# Are Methylaluminoxane Activators Sheets?

Scott Collins, [a] Galib Hasan, [b, c] Anuj Joshi, [a, d] J. Scott McIndoe, [a] and Mikko Linnolahti\*[b]

Density functional theory calculations on neutral sheet models for methylaluminoxane (MAO) indicate that these structures, containing 5-coordinate and 4-coordinate Al, are likely precursors to ionpairs seen during the hydrolysis of trimethylaluminum (Me<sub>3</sub>Al) in the presence of donors such as octamethyltrisiloxane (OMTS). lonization by both methide ([Me]<sup>-</sup>) and [Me<sub>2</sub>Al]<sup>+</sup> abstraction, involving this donor, were studied by polarizable continuum model calculations in fluorobenzene (PhF) and o-difluorobenzene

(DFB) media. These studies suggest that low MW, 5-coordinate sheets ionize by  $[Me_2Al]^+$  abstraction, while  $[Me]^-$  abstraction from Me<sub>3</sub>Al-OMTS is the likely process for higher MW 4-coordinate sheets. Further, comparison of anion stabilities per mole of aluminoxane repeat unit  $(MeAlO)_n$ , suggest that anions such as  $[(MeAlO)_7(Me_3Al)_4Me]^- = [7,4]^-$  are especially stable compared to higher homologues, even though their neutral precursors are unstable.

# 1. Introduction

Methylaluminoxane is a widely used activator in olefin polymerization.<sup>[1]</sup> Despite its beneficial properties as an activator, combining several roles in one material, it is a complex mixture of oligomers of indeterminate structure.<sup>[2]</sup> Moreover, a large excess over the catalyst precursor is needed in slurry or solution polymerization using group 4 metallocene catalysts.<sup>[3]</sup>

Various theories have been proposed to account for the latter finding, [4] initially based on the early work of Barron and coworkers, who showed that strained cages of t-butylaluminoxane could be used, ideally in the presence of Me<sub>3</sub>Al, to activate metallocene catalysts. [5] The strained cages are expected to be only a trace component of MAO and hence the need for a large excess, the remainder being relatively unreactive, larger cages [6] or other structures such as nanotubes. [7]

- [a] Dr. S. Collins, Dr. A. Joshi, Prof. J. S. McIndoe Department of Chemistry University of Victoria, 3800 Finnerty Road Victoria, BC, V8P 5 C2 Canada E-mail: scottcol@uvic.ca mcindoe@uvic.ca
- [b] G. Hasan, Prof. M. Linnolahti Department of Chemistry University of Eastern Finland, Joensuu Campus Yliopistokatu 7, 80100, Joensuu, Finland E-mail: mikko.linnolahti@uef.fi
- [c] G. Hasan
   Institute for Atmospheric and Earth System Research (INAR) c/o Department of Chemistry
   University of Helsinki
   A.I Virtasen Aukio 1, 00014, Helsinki, Finland
   E-mail: galib.hasan@helsinki.fi
- [d] Dr. A. Joshi UVic Genome BC Proteomics Research Centre 4464 Markham St #3101, Victoria, BC V8Z 5N3, Canada E-mail: anujjoshi@uvic.ca
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We have used electrospray ionization mass spectrometry (ESI-MS) to characterize the ion-pairs that form from commercial MAO and various donors in polar media. This work has revealed that the activators present in MAO are higher in MW (700 to > 2000 g mol<sup>-1</sup>) than predicted based on the theory of strained cages. Other studies concur that bulk MAO has an average MW in this range, and thus the otherwise attractive theory originally proposed for the large excess of MAO seems invalid.

Related studies have revealed that the ion-pairs  $[Me_2Al(D)_2]^+$   $[(MeAlO)_n(Me_3Al)_mMe]^-$  (D=neutral, Lewis base) which form from MAO and various donors [8a] undergo facile substitution of structural  $Me_3Al^{[10]}$  by other alkylaluminums-i.e.  $Me_2AlCl,^{[11]}$   $Me_2AlOMe^{[12]}$  and  $R_3Al$   $(R=Et, n-C_8H_{17}, i-Bu).^{[13]}$  The more recent study with  $R_3Al$  indicated the amount of easily exchangeable  $Me_3Al$  is much larger than one would predict based on large cage or tube structures for MAO. [6-7] Also, both neutrals and anions are susceptible to exchange of bound  $Me_3Al$ , as the resulting negative ion spectra do not depend on the order of addition of  $R_3Al$  vs. OMTS.

While monitoring the hydrolysis of Me<sub>3</sub>Al by ESI-MS in fluoroarene solvent, low MW anions were detected shortly after mixing, upon quenching with OMTS and the mixture evolved into one consistently predominantly of one anion [(MeAIO)<sub>16</sub>(Me<sub>3</sub>Al)<sub>6</sub>Me]<sup>-</sup>, hereinafter [16,6]<sup>-</sup>. [14] The low MW anions detected were similar in composition to neutral sheets (MeAIO)<sub>n</sub>(Me<sub>3</sub>Al)<sub>m</sub> (hereinafter **n,m**) located by theory, [6a] while the final composition of the anion mixture resembled commercial material. [8] The neutral sheets were lower in electronic and Gibbs free energy than cages in the size range n=4-13. [6a]

This work led us to the proposal that the reactive precursors in MAO are sheets, [15] possibly stable forms of MAO based on earlier theoretical work. [6c,16] Also, a chelated anion was located for [16,6] and it too has a sheet structure [14] and was much more stable than a previous cage model. [8c] We discuss here new sheet models for the neutral MAO activators (MeAIO)<sub>n</sub>-(Me<sub>3</sub>AI)<sub>m</sub> that are more stable than isomeric cages over the entire size range consistent with the monitoring experiments (n=4-18, m=3-7).

In prior work we determined anionization potentials (AP, neutral-[Me<sub>3</sub>Al]<sup>+</sup>) and ionization potentials (IP, neutral+



[Me]<sup>-</sup>)<sup>[17]</sup> of large cage models for MAO.<sup>[8b]</sup> The anion intensities in aged MAO did not correlate with these calculations, possibly because the cage models were not relevant anion precursors. These discrepancies prompted an examination of other motifs for the reactive components of MAO.

This paper reports AP and IP calculations as well as the results of solvent calculations<sup>[18]</sup> on ion-pairs formed from the neutral sheets and OMTS. The latter studies were motivated by recent work reported by Ehm and co-workers who examined 2,2'-bipyridine (bipy) vs. pyridine donors and our old cage model for (MeAIO)<sub>16</sub>(Me<sub>3</sub>AI)<sub>6</sub>.<sup>[19]</sup>

#### 2. Results and Discussion

## 2.1. Structure and stability of neutral sheets

Shown in Figure 1 are the free energies in terms of  $\Delta G/n$  and  $\Delta G$ -c/n for sheets with n=4-18 using the M06-2X<sup>[20]</sup>/TZVP<sup>[21]</sup> method as implemented in Gaussian 16.<sup>[22]</sup> The condensed phase  $\Delta G$ -c/n values were reported in ref. [15], while the gas phase  $\Delta G/n$  values for larger sheets are reported here for the first time (all values are included as Supporting Information). Some of the lower MW sheets were reported in previous work.<sup>[6a]</sup> The condensed phase estimate of Gibbs energy (G-c), is given by G-c=H- $^2/_3TS$ , [23] while both  $\Delta G$  values are for the reaction

$$0.5 \times (n+m) \text{ Me}_6 \text{Al}_2 + n \text{ H}_2 \text{O} \rightarrow (\text{MeAIO})_n (\text{Me}_3 \text{AI})_m + 2n \text{ CH}_4$$

at T=298 K and p=1 atm.

For lower MW sheets (n=4-9), structures with O<sub>4</sub>AlMe groups featuring 5-coordinate Al, and strained, four-membered Al<sub>2</sub>O<sub>2</sub> rings are stable. By the time n=10, sheets with O<sub>3</sub>AlMe groups with 4-coordinate Al, and six-membered Al<sub>3</sub>O<sub>3</sub> rings are

-390 -392 -394 -396 (oe -398 -400 -402 -404 -406 -408 -410 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19

**Figure 1.**  $\Delta G/n$  in gas (solid lines) and condensed phase (dashed lines) for neutral sheets **n,m**. Open circles correspond to sheets with 5-coordinate Al, filled to 4-coordinate Al. The x-axis is located at  $\Delta G/n$  for the previous cage model. [6a]

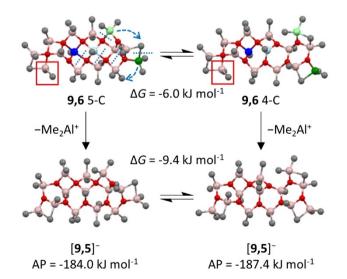
more stable for m=5, while 5-coordinate Al sheets are only most stable until n=12 for m=7. At intermediate sizes both types of structures have comparable energies. In the case of neutral **9,6**, 5- and 4-coordinate Al sheets differ in  $\Delta G$  by 6.0 kJ mol<sup>-1</sup>. One would predict facile conversion from 5-coordinate to 4-coordinate Al structures, through isomerization (Figure 2).

One common characteristic of these sheet structures is that their edges have strained AlMe $_2$  groups bonded to 3- and even 4-coordinate O atoms. The latter, unusual bonding motif for oxygen<sup>[24]</sup> is common in all of these sheets and has been previously observed in high oxygen content Al compounds, along with 5-coordinate Al and even fused Al $_2$ O $_2$  rings.<sup>[25]</sup>

It should be noted that only in one case, neutral **9,5**, use of  $\Delta G/n$  vs.  $\Delta G$ -c/n leads to different predictions as to the most stable structure; 5-coordinate with  $\Delta G/n = -400.00$  and  $\Delta G$ -c/n = -400.96 kJ mol $^{-1}$  vs. 4-coordinate with  $\Delta G/n = -399.54$  and  $\Delta G$ -c/n = -401.63 kJ mol $^{-1}$ . In comparing  $\Delta G/n$  and  $\Delta G$ -c/n, the free energy changes in condensed phase are over-estimated, particularly for the lower MW 5-coordinate sheets (Figure 1).

These  $\Delta G$  values depend on the entropy changes for these hydrolysis reactions. It is well known that entropy is very difficult to calculate with experimental accuracy, even using the M06-2X functional which is well suited to modeling dispersive interactions such as Al–Me–Al bridging. [26]

For example, in the case of Me<sub>3</sub>Al the accepted value for the entropy of dimerization is  $-180.3\,\mathrm{Jmol^{-1}\,K^{-1}}$  in gaseous Me<sub>6</sub>Al<sub>2</sub>. Using the M06-2X functional the calculated value is  $-238.1\,\mathrm{Jmol^{-1}\,K^{-1}}$  in gas phase, while calculated entropies of gaseous Me<sub>3</sub>Al and Al<sub>2</sub>Me<sub>6</sub> are 383.5 and 529.0  $\mathrm{Jmol^{-1}\,K^{-1}}$ , respectively. The latter value is very close to the experimental value of 524.8,  $^{[27]}$  so most of the discrepancy in  $\Delta S$  arises from



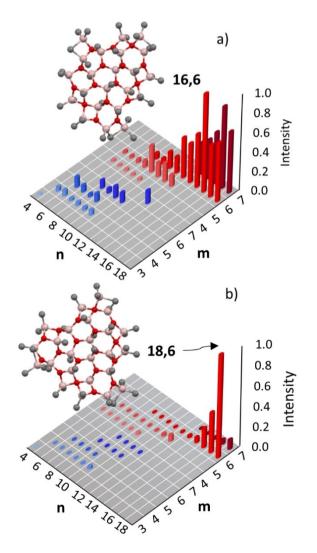
**Figure 2.** Top. Isomerization of 5- to 4-coordinate Al **9,6** sheets. H-atoms omitted for clarity with Al atoms in pink, O atoms in red and C atoms in grey. The Al atoms involved in isomerization are color coded in the reactant and product. Bottom.  $[Me_2Al]^+$  abstraction from each neutral (red rectangle) leads to  $[\mathbf{9,5}]^-$  anions with 4-coordinate Al via ring opening and rearrangement.



over-estimation of entropy for monomeric Me<sub>3</sub>Al, where we have not corrected the entropy for either symmetry or hindered rotation. [26]

We will use the  $\Delta G$  formalism going forward, especially as continuum calculations in fluorinated solvents track more closely with gas phase results.

Before discussing ionization, we should emphasize that what we define as ion-pair stability depends on the metric used for comparing the energies of the corresponding neutrals. Shown in Figure 3 are two charts showing the intensity of neutrals, based on two different metrics for comparing their free energies- $\Delta G/n$  (Figure 3a) vs.  $\Delta G/(n+m)$  (Figure 3b). Both of these metrics are strictly a measure of thermodynamic stability only in the case of isomers.  $\Delta G/n$  is correlated with Al–O bonding in these sheets and allows one to compare sheets differing in m but with the same n which are related through binding of Me<sub>3</sub>Al. Another metric,  $\Delta G/(n+m)$ , the free energy change associated with the amount of Al incorporated



**Figure 3.** Relative intensity of neutral precursors  $\mathbf{n}$ , $\mathbf{m}$  in gas phase based on a)  $\Delta\Delta G/n$  or b)  $\Delta\Delta G/(n+m)$  and the Boltzmann distribution. In the case of  $\Delta\Delta G/(n+m)$  the energy differences are scaled by a factor of  $^{1}/_{2}$ . Four coordinate sheets in red, 5-coordinate sheets in blue. Most stable structures shown for each.

into these sheets, results in a different ordering of structures (Figure 3b). This is because this metric also reflects Al–C bonding above that found in a classical MAO with the repeat unit (MeAlO)<sub>n</sub>. Sheet **16,6** is the lowest energy structure based on  $\Delta G/n$  while **18,6** (Figure 3b) is lowest in energy based on  $\Delta G/(n+m)$ . We will use the  $\Delta G/n$  formalism going forward to better represent all neutrals in the ionization processes.

In **16,6**, the most stable sheet based on  $\Delta G/n$  (Figure 3a), there are 12 Me<sub>2</sub>Al groups arranged along the edges of this molecule. It is anticipated that all of these should be easily exchanged with R<sub>3</sub>Al and this value is identical to the statistically most probable number of exchangeable Me groups when using a large excess of Et<sub>3</sub>Al.<sup>[13]</sup> If interior AlMe groups also involved in binding to 4-coordinate O are included, the number of potentially exchangeable groups exceeds the maximum number observed.

#### 2.2. Experimental Ion Intensities

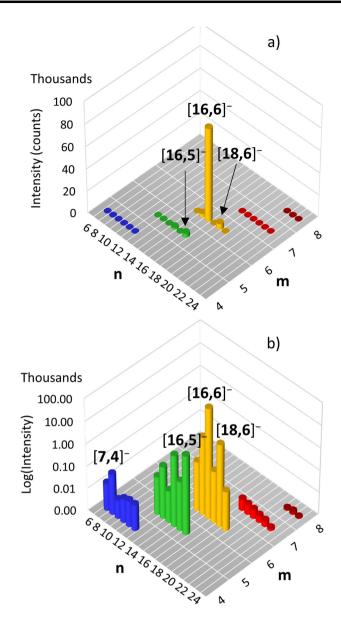
Shown in Figure 4a) are the intensities for major anions detected in DFB upon completion of the growth reaction. [14,15] The spectrum is dominated by [16,6] (yellow) with lesser amounts of [18,6] (yellow), [16,5] (green), [15,6] (yellow), and [14,5] (green). The logarithmic intensity plot (Figure 4b) reveals anions with compositions [22,8] to [24,8] (maroon), [18,7] to [23,7] (red), [14,6] to [19,6] (yellow) [11,5] to [16,5] (green) and [6,4] to [11,4] (blue).

To a first approximation, anions with the same n but different m will be in equilibrium with each other through binding of Me<sub>3</sub>Al. The electrospray ionization process will produce ions which differ from those present in solution if binding of Me<sub>3</sub>Al is freely reversible-even with equilibrium constants on the order of  $10^{-4}$  M governing individual dissociation equilibria.<sup>[8,28]</sup>

For ions other than [16,6] $^-$  (which is the dominant anion in commercial h-MAO and where Me<sub>3</sub>Al fragmentation has been studied in detail<sup>[8]</sup>) it is therefore somewhat difficult to relate the experimental intensities to the theoretical ones, as we are not sure for any given anion sequence [n,m] $^-$  with variable m which is the dominant solution ion. For example, we see the anions [14,m] $^-$  with m=5-6 in Figure 4 and it could be that [14,6] $^-$  instead of [14,5] $^-$  is the principal ion in solution.

#### 2.3. Ionization and anionization potentials

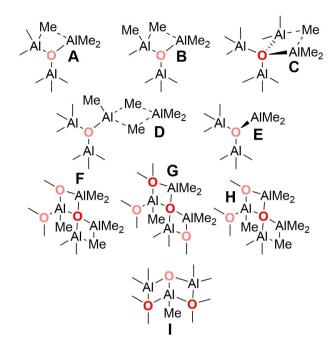
To study ion formation we determined the ionization (IP) and anionization (AP) potentials. The former involves [Me] $^-$  donation to a Lewis acidic neutral and is expressed relative to  $^1/_2$  Me $_6$ Al $_2$  while latter involves [Me $_2$ Al] $^+$  abstraction, and is expressed relative to Me $_6$ Al $_2$ . [17] In each case all possibilities were examined for each neutral precursor with a composition that spans the n,m range of the experimental results. The AP and IP values corresponding to the most favourable site for each process, along with the structures of the anions formed are included as Supporting Information.



**Figure 4.** a) Experimental anion  $[n,m]^-$  intensities obtained from the ESI MS of Me<sub>3</sub>Al and water in DFB ( $[Me_3Al] = 1.2[H_2O] = 0.064$  M). [14] b) Logarithmic intensity plot of the same data.

In earlier work, we identified various kinds of reactive sites present in cage models for MAO,  $^{[6a,12a]}$  and examined their reactivity using the same calculations of AP and IP.  $^{[8b]}$  In cages, the reactive sites for  $[Me]^-$  abstraction from a methyl donor (IP) are characterized by Lewis acidity involving terminal  $\mu^3-O-AlMe_2$  groups, either isolated (type E, Scheme 1) or involved in bridging to adjacent AlMe groups (types A and B). In contrast, terminal  $\mu^3-O-Al_2Me_5$  moieties (type D) or those featuring weaker  $\mu^4-O-Al_2Me_5$  (type C) interactions, are susceptible to  $[Me_2Al]^+$  abstraction (AP). These same sites, with the exception of sites D, are also found in the new sheet models.

In addition, due to the prevalence of 4-coordinate O in these sheets, additional sites are reactive towards both processes. In particular, exterior AlMe<sub>2</sub> moieties bonded to one 4-coordinate O atom (site **F**) are reactive towards [Me]<sup>-</sup>



**Scheme 1.** Reactive sites present in MAO. Three- and 4-coordinate O atoms are highlighted with light and dark red, respectively.

abstraction and  $[Me_2Al]^+$  abstraction. Sites **G** which feature AlMe<sub>2</sub> coordinated to two, 4- coordinate O atoms are much less common but are reactive towards  $[Me_2Al]^+$  abstraction. Finally, unlike cages, sheets feature internal AlMe groups which are coordinated to one and two, 4-coordinate O atoms and these sites (**H** and **I**, respectively) are reactive towards  $[Me]^-$  abstraction.

An important finding is that sheets with 5-coodinate Al, reacting by either ionization mechanism, form sheet anions with 4-coordinate Al via ring opening. This process is illustrated in Figure 2 for the isomeric **9,6** sheets and [Me<sub>2</sub>Al]<sup>+</sup> abstraction forming isomeric [**9,5**]<sup>-</sup> anions. These reactions are accompanied by rearrangement and relief of ring strain in the smaller sheets; this mechanism is not operative for the larger cages studied earlier. Similarly, the larger 4-coordinate sheets are reactive along their edges involving strained, but tetrahedral AlMe<sub>2</sub> or AlMe groups (*vide infra*). Together the reactivity of these sheets towards ionization via either process (i.e. the release of ring strain) is reminiscent of the latent Lewis acidity invoked by Barron and co-workers in their studies of strained t-butyl-aluminoxane cages.<sup>[5]</sup>

#### 2.4. Ion pair stabilities

Through use of polarizable continuum models for solvation<sup>[18]</sup> in PhF or DFB, we determined the free energies of ionization and anionization involving OMTS as donor. These can be compared to the gas-phase results for the same process.

We define ion-pair stability by referencing the free energies of ion-pair formation to the most stable neutral, undergoing ionization by the most favorable process. Neutral **16,6** is the



most stable based on  $\Delta G/n$  while the lowest energy process for ionization is via [Me] $^-$  abstraction from Me<sub>3</sub>Al-OMTS. The results are summarized in Table 1, along with the relative stability of the corresponding neutrals, based on  $\Delta\Delta G/n$ . It is possible to compare [Me<sub>2</sub>Al] $^+$  and [Me] $^-$  abstraction separately but the relative anion intensities do not differ. Further, by putting all intensities referenced to a common species and process, the relative ease of ionization by either process becomes clearer.

We first compare the different mechanisms for ionization. Shown in Figure 5 are the anion [n,m]<sup>-</sup> intensities predicted for [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS by the corresponding neutral sheet in gas phase vs. PhF. There are subtle differences between gas, and solution phases, but the two solvents appear nearly equivalent in agreement with experiment. As one would expect, ionization is favored in the most polar medium (Table 1).

The most intense anion predicted by theory is  $[16,6]^-$  in excellent agreement with experiment (Figure 4). In the gas phase, theory predicts that anions  $[17,m]^-$  should be more intense than  $[18,m]^-$  (m=6,7) while the opposite effect is observed experimentally. In PhF and DFB (not shown) the theoretical  $[n,6]^-$  (n=16-18) anion intensities are in good agreement with those observed experimentally, though  $[17,7]^-$  is still predicted to be the most intense anion after  $[16,6]^-$ . It should be noted that use of the Boltzmann distribution to depict the results is a very demanding test of theory vs. experiment!

In contrast, if ionization occurs via [Me<sub>2</sub>Al]<sup>+</sup> abstraction from MAO by OMTS, the results in Figure 6 suggest that [16,5]<sup>-</sup> should be prominent in PhF while [17,6]<sup>-</sup> should dominate in DFB with [18,6]<sup>-</sup>, and [13,5]<sup>-</sup> having comparable but lower stability. In an absolute sense, this process is also less favourable than [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS for the larger sheets (compare vertical scales in Figure 5 vs. 6).

These theoretical results are at odds with experiment; to the extent that these sheet structures do seem better models for

the experimental results we see than cages,<sup>[8,13–15]</sup> one should accept that [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS would be the preferred process for larger sheets.

Of the lowest MW anions, [7,4]<sup>-</sup> and [8,4]<sup>-</sup> or [9,4]<sup>-</sup> are usually prominent in solution during initial growth while following completion, [7,4]<sup>-</sup> is the most intense anion detected.<sup>[14,15]</sup> Of the two mechanisms for ionization, only [Me<sub>2</sub>AI]<sup>+</sup> abstraction predicts the latter result (Figure 6, blue columns).

Theory predicts that [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS also involves the neutral **7,5** sheet but the resulting anion would have the composition [**7,5**]<sup>-</sup>. We do not detect this anion in these experiments, though we can't exclude that it might easily lose Me<sub>3</sub>Al during the electrospray ionization process.

It seems that the appearance of the experimental spectra  $^{[14,15]}$  cannot be explained by a common ionization mechanism for all species detected. In essence, low MW anions likely form via  $[{\rm Me_2Al}]^+$  abstraction from 5-coordinate sheet precursors, while high MW anions form by  $[{\rm Me}]^-$  abstraction from  ${\rm Me_3Al\text{-}OMTS}$  by 4-coordinate sheets.

## 2.5. Methide vs. Me<sub>2</sub>Al<sup>+</sup> Abstraction

In earlier work we have mentioned the difficulty in distinguishing between these two ionization processes when it comes to the interaction of MAO with neutral, chelating donors. [8a] As shown in Scheme 2 using OMTS as an example, and sites **F** and **D**, related through binding of free Me<sub>3</sub>Al, one cannot easily distinguish between these processes experimentally. This is because the ion-pair that is formed by either process features an identical *m/z* ratio, while ESI-MS is not probing the structure of the neutral precursor nor even the anions formed.

Moreover, as indicated in other work, when MAO is chemically depleted of free Me<sub>3</sub>Al it is still capable of activating catalysts by alkylation and ionization due to the presence of

<b>Table 1.</b> $\Delta\Delta G$ (kJ mol <sup>-1</sup> ) for lon-Pair Formation in Gas Phase, PhF and DFB.																								
Neu	utral		$\Delta\Delta G/n^a$			$+ [Me]^{-b}$		$-[Me_2AI]^{+[c]}$				Neutral			$\Delta\Delta G/n^a$			$+ [Me]^{-b}$			-[Me2AI] <sup>+[c]</sup>			
n	m	Type <sup>d</sup>	$gp^e$	PhF	DFB	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB	n	m	Type <sup>d</sup>	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB	
4	3	5-C	12.0	13.2	12.9	99.1	64.3	64.9	148.6	99.7	100.7	10	5	4-C	3.2	3.5	3.1	44.7	22.2	22.7	60.2	38.3	39.8	
5	3	5-C	14.2	14.5	15.8	54.1	27.3	34.4	153.9	113.9	110.8	10	6	5-C	5.4	5.5	5.8	62.8	58.7	66.8	54.0	41.7	27.9	
5	4	5-C	8.0	8.3	9.3	64.3	45.2	42.6	66.4	44.5	43.4	10	6	4-C	7.5	7.9	7.5	80.2	75.7	68.5	72.9	59.3	60.8	
6	4	5-C	7.1	7.9	8.7	59.8	47.9	47.5	87.6	64.5	60.6	11	5	4-C	4.8	4.9	5.3	57.0	51.0	55.1	71.8	61.6	62.5	
6	4	4-C	10.5	10.8	11.5	50.6	38.9	41.4	87.7	64.5	60.6	11	6	4-C	4.5	4.7	4.8	75.2	79.4	71.8	73.4	52.4	48.2	
6	5	5-C	5.6	7.0	6.8	78.3	62.7	63.1	67.9	46.9	39.5	11	6	5-C	5.7	5.6	5.8	57.4	69.8	52.2	112.1	126.9	107.0	
6	5	4-C	9.9	11.1	10.2	82.0	71.0	64.3	73.9	53.2	49.5	12	5	4-C	4.1	2.9	3.4	40.0	37.9	33.0	57.9	45.1	73.9	
7	4	5-C	9.7	9.4	10.4	75.3	60.2	65.8	103.1	89.0	81.1	12	6	4-C	4.5	4.5	4.6	75.3	63.3	51.4	83.3	80.5	59.1	
7	5	5-C	6.7	6.8	7.6	31.1	22.5	23.1	29.8	19.9	19.0	13	5	4-C	5.4	6.4	5.5	53.1	35.3	35.7	105.2	90.7	88.3	
8	4	5-C	8.5	8.1	8.4	68.0	45.4	49.8	96.0	77.0	75.1	13	6	4-C	2.2	1.3	1.1	33.9	31.4	28.1	41.0	24.2	14.3	
8	4	4-C	10.0	9.8	11.2	68.6	51.8	53.1	192.7	157.8	167.6	13	7	5-C	5.0	6.7	6.5	f	f	f	85.7	91.9	90.1	
8	5	4-C	8.6	7.4	8.9	86.3	79.0	77.9	64.1	50.1	32.6	14	6	4-C	2.3	3.4	2.6	35.6	34.8	29.9	61.2	49.4	57.9	
8	5	5-C	9.2	9.9	10.2	46.6	32.4	32.6	91.3	77.0	72.0	14	7	4-C	2.8	2.5	2.3	f	f	f	47.6	32.2	15.7	
8	6	5-C	6.3	7.3	7.1	46.9	44.4	42.6	48.9	43.8	35.4	15	6	4-C	1.5	1.6	1.6	34.4	39.3	46.1	41.5	36.5	36.9	
9	4	5-C	8.7	8.1	8.4	47.1	35.9	42.9	141.1	115.4	117.8	15	/	4-C	2.3	2.9	3.0	t	t	t	64.1	52.0	45.5	
9	4	4-C	10.4	9.6	11.1	108.4	76.0	86.3	196.9	167.8	166.7	16	6	4-C	0.0	0.0	0.0	0.0	0.0	0.0	13.1	6.5	26.4	
9	5	4-C	6.7	6.6	7.0	79.9	68.8	70.1	91.6	75.5	73.6	16	/	4-C	1.6	2.9	1.8	38.1	45.1	30.4	42.6	38.2	28.6	
9	5	5-C	6.3	6.6	6.4	90.4	75.4	79.0	134.0	116.2	113.3	17	6	4-C	1.6	1.6	1.6	8.3	18.7	18.6	32.3	26.5	20.0	
9	6	4-C	7.2	7.3	7.5	41.8	46.0	41.2	39.5	40.0	32.4	17	/	4-C	0.4	0.3	0.2	8.8	7.3	4.0	20.7	13.8	9.3	
9	6	5-C 5-C	7.9	8.7	8.6	56.6	56.8	51.4	51.8	46.1	38.9	18	6	4-C	1.3	0.8	0.6	15.5	10.2	8.6	31.1	16.8	25.2	
10	4	5-C	7.5	7.0	7.7	55.0	44.7	52.4	129.6	110.3	118.2	18	/	4-C	1.2	0.9	1.2	17.7	32.7	17.8	26.4	24.9	14.6	

[a]  $\Delta\Delta G/n$  for the reaction (n+m)/2 Al<sub>2</sub>Me<sub>6</sub>+n H<sub>2</sub>O $\rightarrow$ (MeAlO)<sub>n</sub>(Me<sub>3</sub>Al)<sub>m</sub>+2n CH<sub>4</sub>. [b].  $\Delta\Delta G$  for the reaction Me<sub>3</sub>Al-OMTS +  $\mathbf{n}$ , $\mathbf{m}$  $\rightarrow$ [Me<sub>2</sub>Al(OMTS)]<sup>+</sup> [ $\mathbf{n}$ , $\mathbf{m}$ ]<sup>-</sup> [c]  $\Delta\Delta G$  for the reaction  $\mathbf{n}$ , $\mathbf{m}$ +OMTS  $\rightarrow$ [Me<sub>2</sub>Al(OMTS)]<sup>+</sup> [ $\mathbf{n}$ , $\mathbf{m}$ -1]<sup>-</sup>. [d] 5-C=5-coordinate Al, 4-C=4-coordinate Al sheet [e] Gas phase result. [f] The ions [13,7]<sup>-</sup> to [15,7]<sup>-</sup> were not detected by ESI-MS.

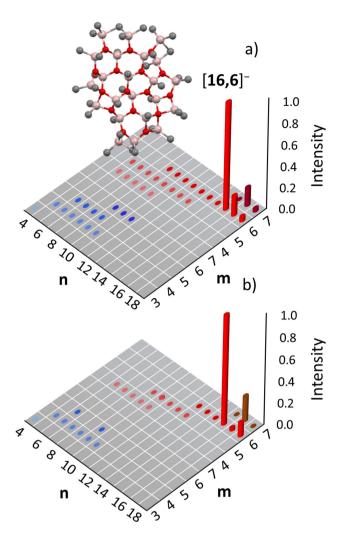


Figure 5. Relative anion [n,m] intensity for ion-pairs formed by [Me] abstraction from Me<sub>3</sub>Al-OMTS in a) gas phase and b) PhF. Red bars are for 4coordinate sheet, blue bars for 5-coordinate sheet precursors. Data from Table 1 and the Boltzmann distribution ( $\Delta\Delta G$  values have been scaled by  $^{1}/_{2}$ ). Most intense anion [16,6] shown in a).

structural Me<sub>3</sub>Al.<sup>[10a,19]</sup> Also, the reaction of donors, even of similar base strength, such as bipy vs. pyridine, can result in different chemo-selectivity towards Me<sub>3</sub>Al vs. Me<sub>2</sub>Al<sup>+</sup> abstraction,[8a] even using MAO depleted in free Me<sub>3</sub>Al.[10a,19] Thus, a donor like OMTS might react with e.g. site **D** to remove Me<sub>3</sub>Al prior to ion-pair formation instead of undergoing direct [Me<sub>2</sub>Al]<sup>+</sup> abstraction.

Thus, for sheet **16,6** we cannot assert that [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS, which is favored on a free energy basis, is necessarily the process involved in ionization. For example, 16,6 might be in equilibrium with a higher energy isomer of 16,7 through binding of Me<sub>3</sub>Al and it is that isomer that reacts with OMTS through [Me<sub>2</sub>Al]<sup>+</sup> abstraction.

To address this issue computationally we located this isomer (i-16,7) and examined its energy in gas phase vs. fluorinated solvent with respect to the competing ionization processes. Unlike any other stable sheet that we have located, i-16,7 has a site D (Scheme 2) that we know is reactive towards

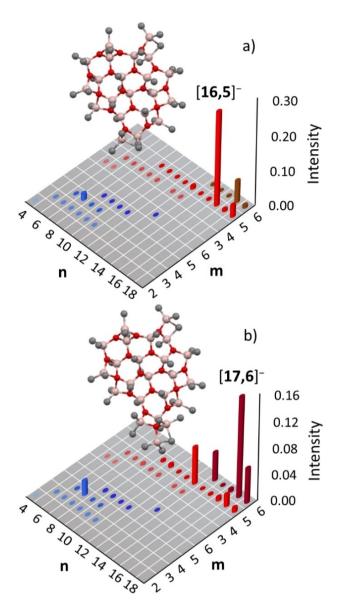
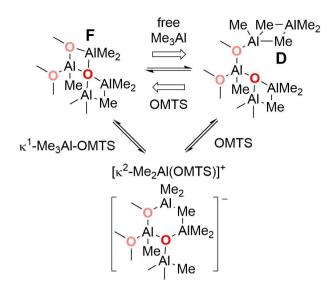


Figure 6. Relative anion [n,m]<sup>-</sup> intensity for ion-pairs formed by [Me<sub>2</sub>Al]<sup>+</sup> abstraction by OMTS in a) PhF and b) DFB with most stable anion shown. Energy scaling as for Figure 5.

[Me<sub>2</sub>Al]<sup>+</sup> abstraction in cages. [6a,8b] Shown in Figure 7 are the free energy differences for the different pathways in fluorinated media.

Formation of i-16,7 from 16,6 and Me<sub>6</sub>Al<sub>2</sub> (0.5 equiv.) is endergonic in gas phase ( $\Delta G = 25.6 \text{ kJmol}^{-1}$ ) or solution, and by a significantly larger amount in both fluorinated solvents  $(\Delta G = 40.1 \text{ and } 42.5 \text{ kJ} \text{mol}^{-1})$ . One can estimate that the equilibrium ratio of 16,6:i-16,7 at 298 K in PhF with [Me<sub>6</sub>Al<sub>2</sub>] = 0.032 M (conditions corresponding to the monitoring reactions) would be  $\geq$  58.4×10<sup>6</sup>:1-i.e. less than ppm amounts of i-16,7 relative to 16,6.

κ<sup>1</sup>-Binding of Me<sub>3</sub>Al by OMTS is also unfavorable due to the large negative entropy change for this process. The ratio of Me<sub>3</sub>Al-OMTS:OMTS present at equilibrium would be about 1 part per thousand, even under the most unfavorable conditions



**Scheme 2.** Methide vs. [Me<sub>2</sub>Al]<sup>+</sup> abstraction and OMTS.

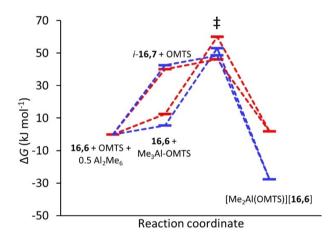


Figure 7. Free energies of formation of [Me<sub>2</sub>Al(OMTS)][16,6] in fluorinated solvent from 16,6, OMTS and Me<sub>3</sub>Al. Blue symbols and lines are for ionization in DFB while red symbols and lines are for ionization in PhF. Transition structures  $(\pm)$  have not been located.

in PhF ( $\Delta G$  = 12.5 kJ mol $^{-1}$ ). Thus, [Me] $^{-}$  abstraction from Me $_3$ Al-OMTS by **16,6** would enjoy a concentration advantage of at least 58,000:1, a not insignificant amount in free energy terms (ca. 28 kJ mol $^{-1}$  at 298 K).

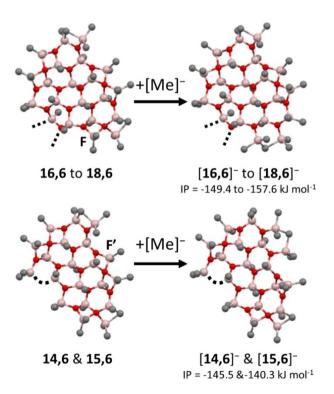
lon-pair formation is unfavorable in the gas phase ( $\Delta G$  = 190.0 kJ mol<sup>-1</sup>), reversible in PhF ( $\Delta G$  = 1.8 kJ mol<sup>-1</sup>), and exergonic in the most polar solvent DFB ( $\Delta G$  = -27.8 kJ mol<sup>-1</sup>). In gas phase it can be asserted (though it is really not relevant) that the higher energy pathway involving i-16,7 and [Me<sub>2</sub>Al]<sup>+</sup> abstraction by OMTS is important whereas, absent information of the actual barriers to ionization, [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS is a much lower energy pathway in solution. As shown in the figure, ionization via methide abstraction from Me<sub>3</sub>Al-OMTS would have to involve a barrier in excess of 50 kJ mol<sup>-1</sup> for [Me<sub>2</sub>Al]<sup>+</sup> abstraction to be competitive.

We did attempt to locate both barriers and intermediates involved in this complex process, involving structural rearrangements in both the cation and anion. For example, methide abstraction by **16,6** involving a least motion pathway generates initially an unchelated anion as a local minimum. Future work will address this issue in detail. We do note that discrete activators featuring [R<sub>2</sub>Al]<sup>+</sup> stabilized by donors have been used to activate catalysts, and most recently effectively combine both alkylation and ionization.<sup>[29]</sup>

#### 2.6. Anion stabilities per repeat unit

In the earlier work we noted that the anion formed from 16,6 by  $[Me]^-$  abstraction (or from i-16,7 and  $[Me_2Al]^+$  abstraction) features a chelated structure. It should be mentioned that the anions formed by this process from the sheets 14,6–18,6 also feature chelation and in fact the site of highest reactivity is very similar in these precursors.

This feature is illustrated in Scheme 3 which compares the structures of the neutrals vs. anions formed; note the high degree of structural similarity. For sheets 16,6 to 18,6 the site of reactivity (F) is basically identical, while the site switches to another site F' that is similar for 14,6 and 15,6. In comparing these structures, it can be seen that one needs a flanking MeAlO<sub>3</sub> group in order for ionization to occur at an adjacent site of type F. As soon as that group is replaced by an Me<sub>2</sub>AlO<sub>2</sub> moiety, (as in 14,6 or 15,6) the favored site for ionization switches to the other site F'.



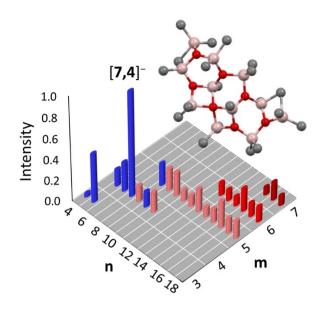
Scheme 3. Ionization of large 4-coordinate sheets by [Me]<sup>-</sup> abstraction from Me<sub>3</sub>Al-OMTS. The **n,6** sheets differ in structure at positions indicated by the dashed lines with the site of ionization labelled.

While this effect may be largely inductive in origin it should be recalled that even in tetrahedral AI, one expects some delocalization of electron density due to O p to AI  $\sigma^*$  conjugation.  $^{\tiny [30]}$  In essence the interior MeAIO $_{\!3}$  moieties in these sheets should be effective in stabilizing a negative charge through both inductive and resonance effects.

Given the structural similarities present, the anions formed from these sheets can be compared in the same manner as the neutrals by defining a stability per aluminoxane repeat unit n. In the case of an anion this involves correction for the amount of Me<sub>3</sub>Al incorporated (m-1), and also the anionic moiety in the form of Me<sub>4</sub>Al $^-$ . This is not valid for small vs. larger structures but is instructive for comparing the sheets of similar size. We note that this correction is also applicable to neutrals and leads to free energy differences identical to that given by  $\Delta G/n$ . The values are provided in Table 2 while a graphical representation appears in Figure 8 for the most stable anions with composition  $[n,m]^-$  in PhF.

In the case of anions  $[14,m]^-$  to  $[18,m]^-$  (m=5-7) it is obvious that these anions have similar stabilities, confirming that the corresponding neutrals possess similar reactivity towards ionization. Thus, at least for these larger structures, one gains more confidence that the ESI-MS results are more directly related to solution concentration of the neutrals, and thus their stability vs. reactivity towards ionization. [14]

On the other hand,  $[7,4]^-$  is the most stable anion per mole of repeat unit in either fluorinated solvent, and anions in this size range, usually derived from 5-coordinate sheets, have comparable stability depending on the mechanism for ionization vs. the precursor involved (Table 2). Thus, their high intensity seen during monitoring reactions at the start<sup>[14,15]</sup> is certainly related to the higher stability of the anions vs. the corresponding neutrals. Finally, anions intermediate in size (n=



**Figure 8.** Relative anion  $[\mathbf{n,m}]^-$  intensities in PhF based on  $\Delta\Delta G/n$  and the Boltzmann distribution. Columns are colour coded according to the neutral sheet undergoing ionization (blue = 5-coordinate, red = 4-coordinate).

10–13, m=4 or 5) have marginally lower average stabilities than higher MW anions, and certainly lower than those derived from 5-coordinate sheets. Thus, their intensity as intermediates in the growth process ought to be lower than their corresponding solution concentrations.

<b>Table 2.</b> $\Delta\Delta G/n$ (kJ mol <sup>-1</sup> ) for Neutrals and Ion-Pairs in Gas Phase, PhF and DFB.																							
Neı	ıtral		$\Delta\Delta G/n^a$			$+ [Me]^{-b}$			-[Me2AI] + c				Neutral			$\Delta\Delta G/n^a$		+ [Me] <sup>- b</sup>			-[Me2Al] + c		
n	m	$Type^{d}$	$gp^e$	PhF	DFB	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB	n	m	$Type^d$	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB	gp <sup>e</sup>	PhF	DFB
4	3	5-C	12.0	13.2	12.9	6.5	8.6	8.7	15.8	14.3	16.3	10	5	4-C	3.2	3.5	3.1	8.6	3.7	2.6	8.9	4.1	3.7
5	3	5-C	14.2	14.5	15.8	0.0	1.0	2.0	17.5	15.8	16.1	10	6	5-C	5.4	5.5	5.8	10.4	7.4	7.0	10.2	6.2	5.8
5	4	5-C	8.0	8.3	9.3	2.1	4.6	3.6	0.0	1.9	2.7	10	6	4-C	7.5	7.9	7.5	12.2	9.1	7.2	8.3	4.4	2.6
6	4	5-C	7.1	7.9	8.7	4.2	5.5	4.7	6.7	6.2	6.0	11	5	4-C	4.8	4.9	5.3	10.7	6.7	5.8	10.9	6.5	6.0
6	4	4-C	10.5	10.8	11.5	2.6	4.0	3.7	6.7	6.2	6.0	11	6	4-C	4.5	4.7	4.8	12.3	9.3	7.3	11.0	5.7	4.7
6	5	5-C	5.6	7.0	6.8	7.2	8.0	7.3	3.4	3.2	2.5	11	6	5-C	5.7	5.6	5.8	10.7	8.4	5.5	14.6	12.5	10.0
6	5	4-C	9.9	11.1	10.2	7.8	9.3	7.6	4.4	4.3	4.2	12	5	4-C	4.1	2.9	3.4	10.0	5.7	3.9	10.4	4.8	4.4
7	4	5-C	9.7	9.4	10.4	8.5	7.5	7.5	10.7	9.9	8.9	12	6	4-C	4.5	4.5	4.6	12.9	7.8	5.5	12.5	8.2	5.7
7	5	5-C	6.7	6.8	7.6	2.2	2.2	1.4	0.2	0.0	0.0	13	5	4-C	5.4	6.4	5.5	11.7	5.6	4.3	14.7	8.9	7.9
8	4	4-C	10.0	9.8	11.2	9.0	6.5	5.7	22.9	18.2	19.3	13	6	4-C	2.2	1.3	1.1	10.2	5.3	3.7	9.8	3.8	2.2
8	4	5-C	8.5	8.1	8.4	8.9	5.7	5.2	10.9	8.1	7.7	13	7	5-C	5.0	6.7	6.5	f	f	f	13.2	9.0	8.0
8	5	4-C	8.6	7.4	8.9	11.2	9.9	8.8	6.9	4.7	2.4	14	6	4-C	2.3	3.4	2.6	10.9	5.7	3.9	11.9	5.9	5.5
8	5	5-C	9.2	9.9	10.2	6.2	4.1	3.1	10.3	8.1	7.3	14	7	4-C	2.8	2.5	2.3	f	f	f	10.9	4.6	2.5
8	6	5-C	6.3	7.3	7.1	6.2	5.6	4.3	5.0	3.9	2.8	15	6	4-C	1.5	1.6	1.6	11.4	6.1	5.1	11.1	5.1	4.2
9	4	4-C	10.4	9.6	11.1	14.5	9.3	9.3	23.0	18.1	17.6	15	7	4-C	2.3	2.9	3.0	f	f	f	12.6	6.2	4.7
9	4	5-C	8.7	8.1	8.4	7.7	4.8	4.5	16.8	12.3	12.2	16	6	4-C	0.0	0.0	0.0	9.7	3.8	2.3	9.8	3.4	3.6
9	5	4-C	6.7	6.6	7.0	11.4	8.5	7.5	11.3	7.8	7.3	16	7	4-C	1.6	2.9	1.8	12.1	6.6	4.2	11.6	5.4	3.7
9	5	5-C	6.3	6.6	6.4	12.5	9.2	8.5	16.0	12.4	11.7	17	6	4-C	1.6	1.6	1.6	10.8	5.1	3.6	11.5	4.8	3.3
9	6	4-C	7.2	7.3	7.5	7.1	6.0	4.3	5.5	3.9	2.7	17	7	4-C	0.4	0.3	0.2	10.8	4.4	2.7	10.8	4.1	2.7
9	6	5-C	7.9	8.7	8.6	8.7	7.2	5.4	6.9	4.6	3.4	18	6	4-C	1.3	0.8	0.6	11.6	4.8	3.1	11.8	4.4	3.7
10	4	5-C	7.5	7.0	7.7	9.6	6.0	5.5	15.9	11.3	11.6	18	7	4-C	1.2	0.9	1.2	11.8	6.0	3.6	11.6	4.9	3.1

[a]  $\Delta\Delta G/n$  for the reaction (n+m)/2 Al<sub>2</sub>Me<sub>6</sub>+n H<sub>2</sub>O $\rightarrow$ (MeAlO)<sub>n</sub>(Me<sub>3</sub>Al)<sub>m</sub>+2n CH<sub>4</sub>. [b].  $\Delta\Delta G/n$  for the reaction Me<sub>3</sub>Al-OMTS+n,m $\rightarrow$ [Me<sub>2</sub>Al(OMTS)]<sup>+</sup>[n,m]<sup>-</sup> [c]  $\Delta\Delta G/n$  for the reaction n,m+OMTS $\rightarrow$ [Me<sub>2</sub>Al(OMTS)]<sup>+</sup> [n,m-1]<sup>-</sup> [d] 5-C=5-coordinate Al, 4-C=4-coordinate Al sheet, [e] Gas phase result. [f] The ions [13,7]<sup>-</sup> to [15,7]<sup>-</sup> were not detected by ESI-M.



## 3. Conclusions

Anionization and ionization potentials were determined for new sheet models for the reactive components of MAO, as well as the free energies of ion-pair formation in gas phase, PhF and DFB involving either [Me]<sup>-</sup> or [Me<sub>2</sub>Al]<sup>+</sup> abstraction and Me<sub>3</sub>Al-OMTS or OMTS as a donor. These results show much better agreement between theory and experimental ESI-MS spectra of hydrolytic MAO and this donor, indicating the activators have sheet rather than cage structures. Of the m = 6 anions,  $[16,6]^-$  is predicted to be the most stable per mole of repeat unit (MeAlO)n and this is also true of its likely precursor, neutral **16,6**, which forms this chelated anion through [Me] abstraction from Me<sub>3</sub>Al-OMTS. In addition, some of the trends seen in ESI-MS experiments monitoring the hydrolysis of Me<sub>3</sub>Al in either solvent can be explained with reference to the relative stability of the anions detected vs. the energies of the corresponding neutral precursors. It seems that lower MW anions formed at the start of this process such as [7,4] are derived from 5coordinate sheets via the process of [Me<sub>2</sub>Al]<sup>+</sup> abstraction whereas the final product anions form from 4-coordinate sheet precursors. Future work will focus on comparing the stability and reactivity of isomeric cages and sheets or other morphologies, and to try to delineate how they are formed from lower MW, linear, cyclic or branched aluminoxane precursors.

# **Experimental Section**

Calculations were carried out by Gaussian 16 software, <sup>[22]</sup> using the M06-2X metahybrid GGA functional of the Minnesota series <sup>[20]</sup> combined with the def-TZVP basis set by Ahlrichs  $et\ al.$  <sup>[21]</sup> Harmonic vibrational frequencies were calculated to confirm the structures as a true minimum in the potential energy surface. Gas phase Gibbs free energies were calculated at  $T=298\ \text{K}$  and  $p=1\ \text{atm}$ . The condensed phase energy corrections to Gibbs free energy ( $\Delta G$ -c), were estimated by multiplication of the  $T\Delta S$  term of Gibbs free energy by 2/3, as recommended and used in the previous literature. <sup>[6a,23]</sup>

The neutral sheet structures of the MAOs, partially adopted from our previous report, [15] but extended to include comparison of 4-C and 5-C structures of the same composition, were located by systematically following the TMA hydrolysis reactions, as described previously, [17b,31] but focusing on condensed phase corrected Gibbs free energy rather than electronic energy in the choice of the followed reaction pathway. Anions were derived from the corresponding neutral sheet structures by both  $[Me_2Al]^+$  cleavage and  $[Me]^-$  abstraction from each potentially reactive edge site. Anionization potentials (AP) were calculated as relative energies for the reaction  $MAO \rightarrow [Me_2Al]^+$   $[MAO]^-$  and ionization potentials (IP) as  $MAO + Me^- \rightarrow [MAO - Me]^-$ , using  $MAO = \frac{1}{2}Me_6Al_2$  as a reference. [17]

## **Solvent Calculations**

Solvent calculations were calculated by the SMD variation of the polarizable continuum model. For  $o-F_2C_6H_4$ , which is not included in the pre-defined solvents in Gaussian 16, the following parameters were used in definition of the solvent:  $\varepsilon=13.4$  Debye, Index of refraction  $n_0^D=2.082$ , Abraham's H-bond acidity  $\alpha=\sum \alpha_2^H=0.00$ , Abraham's H-bond basicity  $\beta=\sum \beta_2^H=0.09$ ,  $\gamma=$  relative (dimensionless) surface tension = 37.85,  $\phi=$  fraction of non-hydrogenic atoms

which are aromatic carbons = 0.75,  $\Psi$  = fraction of non-hydrogenic atoms which are halogen = 0.25.

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# **Conflict of Interest**

The authors declare no conflict of interest.

**Keywords:** methylaluminoxane · ionization · ESI-MS anionization · sheets

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