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1 **Krill (*Euphausia superba*) distribution contracts**  
2 **southward during rapid regional warming**

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45           **High latitude ecosystems are among the fastest warming on the planet<sup>1</sup>. Polar**  
46 **species may be sensitive to warming and ice loss, but data are scarce and evidence is**  
47 **conflicting<sup>2-4</sup>. Here we show that, within their main population centre in the southwest**  
48 **Atlantic sector, the distribution of *Euphausia superba* (hereafter “krill”) has contracted**  
49 **southward over the last 90 years. Near their northern limit, numerical densities have**  
50 **declined sharply and the population has become more concentrated towards the**  
51 **Antarctic shelves. A concomitant increase in mean body length reflects reduced**  
52 **recruitment of juvenile krill. We found evidence for environmental controls on**  
53 **recruitment, including reduced density of juveniles following positive anomalies of the**  
54 **Southern Annular Mode (SAM). Such anomalies are associated with warm, windy and**  
55 **cloudy weather and reduced sea ice, all of which may hinder egg production and survival**  
56 **of larval krill<sup>5</sup>. However, total post-larval density has declined less steeply than the**  
57 **density of recruits, suggesting reduced mortality rates of older krill. The changing**  
58 **distribution is already perturbing the krill-centred food web<sup>6</sup> and may affect**  
59 **biogeochemical cycling<sup>7,8</sup>. Rapid climate change, with associated non-linear adjustments**  
60 **in the roles of keystone species, poses challenges for the management of valuable polar**  
61 **ecosystems<sup>3</sup>.**

62           The pelagic food webs at both poles comprise iconic species, have important  
63 biogeochemical functions<sup>1</sup> and are commercially exploited, prompting concern over how they  
64 will respond to future climate change<sup>2,3</sup>. At the foundation of these food webs are large, lipid-rich  
65 zooplankton species (e.g. euphausiids, copepods and amphipods), which may be particularly  
66 sensitive to warming, given their narrow temperature tolerance and ice-associated life cycles<sup>1-3,9</sup>.  
67 Poleward shifts in species’ distributions are a major response to climatic warming<sup>10</sup>. These shifts  
68 have been observed at both poles but they are highly variable between species, since other  
69 compensation mechanisms are possible<sup>3,4,10</sup>. Projections are particularly uncertain at the poles  
70 because of the scarcity of long-term, large scale data on past changes<sup>2,4</sup>.

71           With its “keystone” role in the food web, Antarctic krill is one of the few polar species with  
72 spatially extensive sampling that spans the last 90 years<sup>11</sup>. The SW Atlantic sector (20°-80°W),  
73 which holds >50% of the circumpolar krill stock<sup>12</sup>, has also warmed rapidly over this time<sup>13</sup>. This  
74 provides a rare opportunity to understand how a cold water stenotherm responds to rapid  
75 environmental change. Within the multinational KRILLBASE project (see Methods) we compiled  
76 all available krill net catch data spanning 1926-2016 into two large databases: one containing  
77 their numerical density (numbers of post-larval krill m<sup>-2</sup>; hereafter density), the other including  
78 length frequency, sex and maturity stage data.

79           During the 1920s and 1930s the highest krill densities were centred in the northern part  
80 of the southwest Atlantic sector (**Fig. 1a**). Since then this distribution has contracted southward  
81 and became centred more strongly over Antarctic continental shelves. Most of this contraction

82 seems to have occurred since the 1970s, prior to which high densities were maintained in the  
83 South Georgia area. The overall southward contraction across 90 years was ~440 km,  
84 manifested as a major decrease in mean density in the north and a modest decrease in the  
85 south (**Fig. 1a**).

86 The data available for the SW Atlantic sector since the mid-1970s, including near-  
87 continuous krill time series and multiple indices of environmental variability, are amenable to  
88 further analysis using mixed models (**Table 1**) to detect systematic change over time. In addition  
89 to standardisation for net type, sampling depth, time of day and time of year, our analysis  
90 accounted for the effects of uneven data coverage and known covariates of krill abundance  
91 including latitude and bathymetry<sup>12</sup>. It also ameliorated the effects of variance inhomogeneity  
92 and temporal autocorrelation, and used de-trending to avoid spurious correlation (see Methods).  
93 The data analysed in each model included up to 12 spatio-temporal averages per austral  
94 summer season. **Figs 1a, 2 and 3** illustrate these statistically robust results with simpler models  
95 fitted to annual averages. The mixed models show a strongly negative time trend in krill density  
96 north of 60°S and a weaker trend further south (**Table 1**, see **Fig. 1b**). Indeed, density trends at  
97 the highest latitudes sampled (south of 65°S) were neutral or positive (**Fig. 2a**). The overall  
98 trend was apparent in independent subsets of the data based on net size (**Supplementary**  
99 **Table 1**), and the stronger negative trends north of 60°S are seen in encounter probability data  
100 (**Fig. S3**)

101 There was also a long-term, spatially coherent trend in the mean krill length dataset (**Fig.**  
102 **2b, Fig. 3a**). Individuals in the current krill population are on average 6mm longer than those in  
103 the 1970s, equating to a roughly 75% increase in their mean body mass. This is opposite in  
104 direction to the more common finding of reduced body size of species in response to warming<sup>14</sup>,  
105 and instead reflects changes in demographic structure of the krill population. Given the  
106 counteracting effects of decreasing numbers and increasing individual mass, the substantial  
107 (70%) decrease in numerical density over 20 years spanning the 1976-1996 and 1996-2016  
108 eras equates to a smaller (59%) decline in biomass density. In addition to the opposing long-  
109 term trends, length also varied with density on an inter-annual scale, such that low density years  
110 were characterised by a higher than average mean length (**Fig. 3b, Table 1**).

111 Previous studies have identified various potential environmental drivers of krill population  
112 dynamics<sup>5,11,15-18</sup>. The clearest environmental covariate of krill density that we found was the  
113 Southern Annular Mode (SAM) (**Fig. 3c**), which is also related to mean length and recruit  
114 density (**Fig. 3d, Table 1**). The SAM is an index of hemisphere-scale atmospheric circulation  
115 which might influence krill population dynamics by affecting the recruitment of small (<30mm)  
116 krill to the population each year<sup>3,5</sup>. Summers of strong recruitment tend to follow periods with  
117 negative SAM anomalies. Sequential years of poor recruitment are periodically boosted by a  
118 year or two of good recruitment where many small krill swell the numbers but depress the

119 average size<sup>5,15,16</sup>. This explains the negative relationship between krill density and mean length  
120 (**Table 1**) illustrated in **Fig. 3b**.

121 Over the last 40 years, recruitment has declined sharply (**Fig. 2c, Fig. S1a, Table 1**) and  
122 indeed significantly more abruptly than the decline in total krill density (**Fig. S1b**). This is  
123 coincident with an ongoing trend towards increasingly positive SAM anomalies (**Fig. 3c**) which  
124 indicate the southward influence of storm tracks across the SW Atlantic sector, low pressure,  
125 warmer, cloudier and windier conditions and reduced sea ice<sup>5,18-20</sup>. Such conditions negatively  
126 affect adult feeding, impacting early spawning in spring, early larvae in summer and later larval  
127 stages which may need early-forming, complex and well illuminated marginal sea ice to promote  
128 survival<sup>17</sup>. The exact mechanisms are likely to vary with latitude. For example, increasing  
129 summer temperatures present a physiological challenge for this stenothermal species at their  
130 northern limit<sup>9</sup>, where a strong link between climate, temperature anomalies and krill recruit  
131 biomass has also been identified<sup>18</sup>. Further south, near the tip of the Antarctic Peninsula, the  
132 biomass and quality of phytoplankton food have also declined<sup>21</sup>. In contrast, at the southern part  
133 of the Western Antarctic Peninsula, the loss of permanent sea ice and increases in  
134 phytoplankton biomass<sup>20</sup> are associated with a more stable or even increasing krill density<sup>5,16</sup>  
135 (**Fig. 2a**).

136 Suggestions that krill density has declined within the southwest Atlantic sector<sup>11,15</sup> have  
137 major ramifications for fisheries management and are the subject of some debate<sup>3,16,22</sup>. Indeed  
138 a recent paper<sup>23</sup>, which analyses 75% of our data, argues that previous evidence of a decline<sup>11</sup>  
139 “is a consequence of not considering interactions between krill density and unbalanced  
140 sampling in time and space in the data, and not accounting for the different net-types used.”  
141 We agree with these authors<sup>23</sup> that analyses of this complex database require care. Our study  
142 considered each of the issues they identify, which suggests that the contrast between their<sup>23</sup>  
143 conclusions and ours reflects other differences in approach. First, we excluded negatively  
144 biased records resulting from sampling in winter or solely in deeper strata, while they did not.  
145 Second, we followed established practice<sup>5,11,15,18,26</sup> in using spatially resolved annual mean  
146 densities as a basic unit, logging these as appropriate. Conversely, they<sup>23</sup> log transformed at  
147 the level of individual records, down-weighting the influence of the high swarm densities which  
148 are a critical feature of krill distribution<sup>12</sup>. This substantially underestimates the mean and  
149 variance in krill density (their<sup>23</sup> Figs 1, 3) compared to previous studies<sup>12</sup>. Third, while we used  
150 statistical hypothesis testing to assess the probability that the detected decline is a false trend  
151 (type I error, indicated by our P values), they did not quantify the probability of failing to find a  
152 real trend (type II error). Overall, we consider that our findings provide a more robust picture of  
153 the spatial pattern of krill density time trends within the SW Atlantic sector.

154 Notwithstanding differences in the way that krill density data may be screened and  
155 analysed, the length frequency database provides independent evidence that krill dynamics

156 have changed fundamentally. The coherent inter-relationships among krill density, mean length  
157 and SAM also provide a plausible driving mechanism. The spatial coherence in these changes  
158 supports the concept of a large and connected marine ecosystem linked by advection<sup>18,24</sup>.  
159 Reduced birth weights of fur seals at South Georgia<sup>6</sup> suggest major changes in the krill-based  
160 food web in the northern part of krill's range. Likewise, in the far south, observations of more  
161 stable krill densities and recruitment<sup>5,16</sup> align with our conclusion that the distribution of krill is  
162 contracting southward.

163 Polar food webs are structured both by top-down and bottom-up effects, but their  
164 relative roles are debated<sup>1,2,22</sup>. Several strands of evidence point to climatic change as a major  
165 driver of krill dynamics in this sector. First, in the Indian sector of the Southern Ocean, where  
166 sea ice and temperature have been more stable over the last 50 years<sup>19</sup>, there was no evidence  
167 for the basin-scale decline in krill stocks that is observed in the rapidly warming SW Atlantic  
168 sector<sup>11</sup>. Second, within the SW Atlantic sector the gradation from a steep decline in density at  
169 lower latitudes towards more stable densities in the south concurs with observed and projected  
170 poleward distribution shifts under warming<sup>2,3,10</sup>. These changes cannot be explained by any  
171 known changes in the suite of krill predators. The relationships between de-trended SAM and  
172 krill population variables are both significant and coherent but other drivers and time-lags,  
173 unresolvable at our scale of analysis, will also influence krill dynamics throughout the sequence  
174 from spawning, through larval stages to the >5-year post-larval life.

175 While the weight of evidence above suggests a predominantly bottom-up control on krill  
176 that has caused a contraction in its distribution, the relative strength of top-down and bottom up  
177 factors will likely be scale-dependent. At small scales, predation can drive risk-reward trade-offs  
178 such as schooling behaviour and vertical migrations<sup>25</sup>. Over the much longer timespan of  
179 changing predator populations, the extent and sources of top-down control will vary<sup>1-3</sup>. Indeed,  
180 total density has not declined so rapidly as recruit density (**Fig. S1**). One possible explanation is  
181 a counteracting increase in survival of older krill, due to long-term changes in predation, intra-  
182 specific competition<sup>26</sup> or other density-dependent factors<sup>18</sup>.

183 The changes in krill density, mean size and range have a series of profound implications  
184 (**Fig. S2**). First, because of the earth's geometry the distribution is contracting into a diminishing  
185 area, because the meridians converge rapidly at high latitudes and further retreat is blocked by  
186 the continent itself. Since total abundance is a product of numerical density and area, reductions  
187 in numerical density will translate to greater reductions in total abundance<sup>2</sup>. Population genetics  
188 studies suggest fluctuations in krill population size over longer timescales<sup>27</sup>, perhaps reflecting  
189 expansions and contractions from habitat refugia during glacial and inter-glacial epochs<sup>28</sup>. The  
190 highest krill densities tend to occur in shelf habitats<sup>12</sup> so the greater area of shelf in the south  
191 would result in an increasingly shelf-oriented population during warm periods. In a warmer  
192 world, a more fragmented, shelf-based distribution may restrict access to the deep water

193 needed for spawning and limit dispersal and basin-scale connection within the Antarctic  
194 Circumpolar Current<sup>22,29</sup>. The primary production in alternative, high latitude spawning areas  
195 might increase in future, but projections suggest that these areas will become more spatially  
196 restricted<sup>29</sup>, have a shorter growing season and, over the longer term, become adversely  
197 affected by ocean acidification effects on egg hatch success<sup>30</sup>.

198 Such changes in krill dynamics would have major ramifications for food web linkages and  
199 biogeochemical cycling (**Fig. S2**). When high densities of krill extend across the SW Atlantic  
200 sector, they support a suite of predators<sup>3,18</sup>. The fecal pellets cascading from krill schools  
201 provide pulses of carbon that can dominate particle export<sup>7</sup>. Their feeding and digestion also  
202 mobilises iron from diatoms and lithogenic sediment, in turn helping to fertilise phytoplankton  
203 blooms<sup>8,25</sup>. In a reorganised food web with a contracted distribution of larger krill over high  
204 latitude shelves, these functions will change. For example, the increased krill size might alter  
205 predator-prey interactions and allow greater swimming speeds, with the potential to migrate to  
206 cooler feeding grounds near the seabed<sup>25</sup>. This has major implications for nutrient cycles<sup>1,8</sup>, and  
207 could link krill to a different suite of predators<sup>25</sup>

208 Given the implications for food security and biodiversity, there is intense interest in  
209 projecting future stock sizes of krill and other high biomass species such as anchovies or  
210 sardines<sup>3,18,24</sup>. Current management of the krill fishery sets conservative catch limits but does  
211 not yet account for trends in stock size or distribution<sup>22</sup>. Models point to an ongoing increase in  
212 positive SAM anomalies for the next 50 years<sup>19</sup>, coupled with warming and reduced ice cover.  
213 This would suggest a further contraction in krill distribution, associated with a suite of mainly  
214 adverse effects (**Fig. S2**). However, climate-population relationships are inherently non-linear  
215 and can change abruptly as food webs shift into new states<sup>2</sup>. For example, abrupt latitudinal  
216 changes in bathymetry may constrain readjustments of distribution in polar regions, and **Fig. S1**  
217 suggests a possible increase in survival, partially compensating for the sharp decline in  
218 recruitment. Species vary greatly in the extent to which their distributions change<sup>10</sup>, these  
219 responses being modulated by genetic adaptation or via adjustments to phenology or  
220 behaviour<sup>3,4</sup>. Various projections for krill have been made<sup>9,16,18,29,30</sup>, but given the likelihood of  
221 non-linearities<sup>18</sup>, these remain uncertain. Long-term data therefore remain the lifeblood of our  
222 understanding of climate change responses and are key to the informed management of polar  
223 ecosystems.

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## Methods

### 1. KRILLBASE abundance database

We have created a database, entitled “KRILLBASE-abundance<sup>31</sup>”, to rescue and collate all available data from untargeted net catches across the Southern Ocean. It was compiled through “data rescue” from old notebooks, the authors’ datasets, published reports and submissions by other data contributors. The full database comprises 15,194 net hauls spanning the 1926 – 2016 period and has data on the numerical density (number m<sup>-2</sup>) of post-larval *Euphausia superba*, hereafter described simply as “density”. This dataset (Fig. S4) is derived from sampling stations at predetermined or randomly selected positions and excludes hauls targeted on krill swarms. It includes ~50% more data than previously published versions of the database<sup>11,32</sup>. The full database is circumpolar and comprises data from 10 nations spanning 56 sampling seasons. Section 13 describes data availability.

### 2. KRILLBASE length-frequency data base.

We have compiled a separate database, entitled “KRILLBASE-length frequency”, which includes length, sex and maturity-stage data for *Euphausia superba*. Unlike the abundance counterpart, this contains data from hauls targeted on krill schools as well as those from random or predetermined locations. This database is also circumpolar, comprising over 11,000 sampling stations over 47 seasons within the period 1926-2014 (Fig. S5). With over 1 million individual krill length measurements both from scientific and commercial nets, the length-frequency database is much larger than, and compiled independently from, the abundance database<sup>33</sup>. The full dataset comprises data from 10 nations, either available in the authors’ home institutes, sent directly by other contributors or transcribed from publications and reports. Section 13 describes data availability.

### 3. Transformation and screening of data

Both the density and the length-frequency databases required some screening for the current analyses. The SW Atlantic sector of interest was defined as 20°-80°W and between the Antarctic Polar Front and 75°S. We divided hauls according to “austral summer” season (for example the 1985 season encompassed all stations sampled between 1 Oct 1984 and 30 April 1985), thereby screening out winter data. Most sampling in both screened datasets was in the summer months, with 76% of hauls in the period December to February. For consistency with other work<sup>32</sup>, the density data were further screened according to the net sampling depths, removing all hauls where the upper sampling depth was > 20m or the lower sampling depth was < 50m. The median upper and lower depths were 0 and 170 m respectively in the screened density dataset. The length frequency dataset was screened by



267 removing all krill < 15mm long, since these include larvae. Nets with large meshes provide  
268 biased estimates of size distribution, therefore we excluded data from all commercial or semi-  
269 commercial trawls and scientific nets with meshes > 6 mm (e.g., RMT25s).

270 We have included both targeted and non-targeted hauls for analysis of length  
271 frequency distribution, following the recommendation<sup>34</sup> that the priority is to sample a sufficient  
272 number of krill to be representative of the wider population, which can require combining  
273 targeted and non-targeted hauls where necessary. However to test whether this may have  
274 caused a bias in the time trends we divided the hauls into those that provided a representative  
275 sample of the whole top 100m layer and the remainder (including targeted hauls). An increase  
276 in mean krill length was seen independently in both subsets of data, supporting [Fig. 2](#) and [3](#).  
277 Therefore we pooled the two data sources for subsequent analyses.

278 The krill-density estimates were based on a wide range of sampling net types, depth  
279 ranges and times of year, all of which can potentially bias temporal-spatial trends. We  
280 therefore applied conversion factors to each haul to standardise to a single, relatively efficient  
281 net sampling method. The chosen efficient sampling combination was a night-time haul with  
282 an 8 m<sup>2</sup> net from 0-200 m on 1 January. The statistical method of adjusting the krill density  
283 values to this sampling method, including model coefficients and sensitivity analysis, are  
284 described in previous papers<sup>31,32</sup>.

285 It is important to note that this standardisation model only used nets sampled  
286 concurrently within the modern era; we could not use the 1 m diameter nets with release gear  
287 used during the *Discovery* era (1920s and 1930s) for the standardisation as there were no  
288 other net types fished concurrently. Therefore the absolute values of standardised krill density  
289 presented for the *Discovery* era (top panels of [Fig. 1a](#)) must be considered as approximate.  
290 Nevertheless, and particularly for the modern era, we believe that this data standardisation  
291 provides a more consistent view of spatial-temporal changes in krill density than the raw  
292 density data. Therefore for all analyses in the main text we used standardised densities. Un-  
293 standardised data as well as subsets of the data by sampling method were analysed to  
294 assess the sensitivity of our results to sampling method and standardisation. These analyses  
295 indicate that the results are broadly coherent across the different methods ([see](#)  
296 [Supplementary Table 1](#)).

297

#### 298 **4. Environmental data**

299 The KRILLBASE-abundance database includes data on depth at each sampling  
300 station, based on a mean value for a 10 km radius buffer around each station from the  
301 GEBCO bathymetry<sup>31</sup>. These values provide a basis for characterising whether the station  
302 was over the shelf ( $\leq 1000\text{m}$ ) or in oceanic waters ( $> 1000\text{m}$ ). We tested krill indices against a  
303 variety of physical variables (see Methods section 9). These included first, the Southern

304 Annular Mode anomalies, obtained from the British Antarctic Survey, Natural Environment  
305 Research Council<sup>35</sup> (<http://www.nerc-bas.ac.uk/icd/gjima/sam.html>). Multivariate ENSO (MEI)  
306 values were obtained from the National Oceanic and Atmospheric Administration, Earth  
307 System Research Laboratory, Physical Sciences Division<sup>36</sup>  
308 <https://www.esrl.noaa.gov/psd/data/correlation/mei.data>.

309 For sea-ice, median values of ice cover were obtained from two passive microwave  
310 radiometer datasets; the Microwave Scanning Radiometer-Earth Observation System (AMSR-  
311 E)<sup>37</sup> aboard the NASA's Aqua satellite and the Defense Meteorological Satellite Program SSM/I  
312 <http://nsidc.org/data/nsidc-0051.html>. From these, the northern latitudes of 15% concentration  
313 were obtained. In addition we tested indices of fast ice timing of formation, breakout and  
314 duration from the South Orkney Islands time series<sup>38</sup>.

315

## 316 5. KRILLBASE data coverage and spatial-temporal pooling

317 Because KRILLBASE is a data rescue and compilation project, data from the  
318 abundance and length frequency databases were not distributed homogeneously in time and  
319 space. To counteract this we have used a suite of methods and sampling units to examine key  
320 relationships. Spatially these include division of the SW Atlantic sector (20°-80°W) data into  
321 2.5° latitudinal bands, and into shelf versus oceanic portions. This resulted in 12 spatial units  
322 defined by 2.5° latitudinal band and bathymetry (shelf versus oceanic waters). Following  
323 reference<sup>2</sup> we excluded spatial units with fewer than 50 stations or 5 sampling seasons from  
324 the spatial visualisations in **Fig. 1a and Fig. S5**. Temporally we have used austral "year" (i.e.  
325 from October of the previous year to April in the given year) as the basic unit of sampling,  
326 based on the great variability in krill density and mean length observed between successive  
327 years due to inter-annual variation in recruitment<sup>15,18,,26,39-41</sup>. Our analyses (e.g. **Figs. 1b, 2,**  
328 **S3**) provide time trends and relationships that were broadly coherent right across the SW  
329 Atlantic sector. For this reason, our illustration of key relationships in **Fig. 3** is at this whole-  
330 sector scale, supported by the mixed models that include the finer subdivisions described  
331 above.

332

## 333 6. Visualisation of the contraction in distribution

334 To provide a visualisation of the changes in distribution revealed statistically by mixed  
335 model no.1 (**Table 1**) we have divided the sampling into 3 periods based on sequential years  
336 of sampling (namely the *Discovery* era of the 1920s and 1930s, then further dividing the  
337 modern era, 1976-2016, into two roughly equal time spans). Sample coverage in each period  
338 is provided in **Fig. S4**. We further restricted the analysis to an area sampled adequately in all  
339 three eras. This was defined by a polygon (red line in **Fig. S4**) including a sub-region that was  
340 sampled consistently but in lower density (hatched area in **Fig. S4**). To visualise changes in  
341

342 the hotspots of krill density (**Fig. 1a**) we used the kernel density tool in ArcGIS to grid the  
343 density sample points from each sampling era. Kernel density estimation is a non-parametric  
344 smoothing interpolation that calculates the density of points in a specified distance around  
345 each feature. We used this approach because it is not prone to edge effects and, across the  
346 domain of each map, could objectively identify hotspot areas of elevated density.

347

## 348 **7. Calculation of population central latitude in each era**

349 We calculated the population central latitude in each era based on the stratification in  
350 to six 2.5° latitudinal bands described in section 5 above, and illustrated in **Fig. 1a**. Population  
351 central latitude is the sum of the products of stratum mean density and stratum mid latitude,  
352 divided by the sum of stratum mean densities. While the substantial southwards contraction of  
353 range within the modern era (**Fig. 1a**) is supported independently by both shelf and oceanic  
354 krill sampling stations, we should stress that this analysis, plus the spatial depictions in **Fig. 1a**  
355 are for illustrative purposes only. Statistical evidence for a range contraction is provided by the  
356 spatio-temporal analysis within mixed model no. 1 in **Table 1** (see also section 10 below).

## 357 **8. Calculation of recruit density**

358 Recruit density is defined here as the mean density of post-larval krill  $\leq 30$  mm in  
359 length<sup>40</sup>. This is an estimation of the density of post-larval krill that are likely to be about 1 year  
360 old within the October to April timeframe of each year's observations<sup>40</sup>. Density of new recruits  
361 in each season was thus calculated as a product of proportional recruitment (the fraction of  
362 the krill measured that were 15-30 mm in length) and mean standardised post-larval krill  
363 density.

364

## 365 **9. Preliminary analysis of relationships with environmental variables.**

366 In a series of preliminary analyses we examined inter-annual variability in a series of  
367 response variables, namely total post-larval krill density, recruit density and mean length at a  
368 range of spatial and temporal scales. The candidate explanatory variables included winter  
369 sea-ice cover (indexed by ice formation, duration, and breakout times from the South Orkneys  
370 fast ice dataset<sup>38</sup>) plus satellite-derived monthly northerly extent of 15% ice averaged within a  
371 series of 10° longitude bands. Climatic indices included SAM (Southern Annular Mode) and  
372 MEI (multivariate El Niño/Southern Oscillation) monthly data with variable lags and integration  
373 periods. The best fit Gaussian GLM (weighted by the number of krill sampling stations per  
374 year) had SAM as the explanatory variable (i.e. average of monthly SAM anomalies for the  
375 period January to September preceding the October to April season of the krill observations) .  
376 At the largest scale of our study, the best sea-ice relationship explained much less of the  
377 variance than SAM, perhaps reflecting more localised specific conditions of ice-krill  
378 relationships<sup>16,40,41</sup>. ENSO has also been identified as a driver of krill dynamics near the

379 Antarctic Peninsula<sup>39-41</sup>. We found that ENSO (indexed by the MEI) related significantly to krill  
380 with very short and long lag times, but these disappeared when added to models alongside  
381 SAM, which was thus by far the clearest predictor at the whole SW Atlantic scale.

382

## 383 **10. Preliminary analysis of trends**

384 We used LOESS regression, implemented using the loess function in the R package  
385 stats<sup>43</sup> (span=1, degree=1) to visualise time trends in response variables: These were across-  
386 station averages of standardised post-larval density, length, and recruit density, grouped by  
387 season and spatial unit. The spatial units were defined by latitude (2.5° bands) and  
388 bathymetry (shelf versus oceanic waters >1000m deep) (Fig. 2). Post-larval density and  
389 recruit density were increased by a constant (half of the minimum post-larval density across all  
390 spatio-temporal units) and log<sub>10</sub> transformed prior to analysis.

391 Encounter probability (the proportion of samples in which the subject species is  
392 present) is a common metric of species distribution. This metric (Fig. S3) corroborated our  
393 findings on numerical density (Fig. 2), namely a strong decline in the north, trending towards a  
394 more stable situation towards the south, suggestive of a contraction in the distribution.  
395 However, we chose density as the focus of our main analysis, given the highly heterogeneous  
396 distribution of krill.

397

398

## 399 **11. Linear mixed models**

400 The datasets used in this analysis were compiled from multiple surveys with a variety  
401 of designs, locations and sampling methods. Standardisation<sup>31,32</sup> allows comparison of data  
402 from individual stations, but analysis of temporal patterns in such data must also ameliorate  
403 the effects of pseudoreplication and inhomogeneity of variance. Further issues include  
404 potential temporal autocorrelation and the risk of spurious correlation due to time trends in  
405 multiple variables. Our exploration of changes in krill population characteristics and their  
406 relationships with environmental variables in the modern era (1976 to 2016) addresses each  
407 of these issues. We used R<sup>42</sup> for all statistical analyses.

408 To ameliorate the effects of pseudoreplication, our analysis was conducted using linear  
409 mixed models which considered spatial unit, year and the interaction between them, as  
410 random effects. We used the lme function in the R package nlme<sup>43</sup> to fit models using  
411 restricted maximum likelihood.

412 We investigated the fixed effects of latitude by including a candidate variable, LAT,  
413 indicating whether the sample was north or south of 60°S. This gave a reasonable balance of  
414 data between north and south but it was not possible to explore bathymetric contrasts in  
415 length and recruit density north of 60°S (Fig. 2). The main candidate explanatory variable was

416 year for models 1-3 in [Table 1](#), de-trended mean length for model 4 and de-trended SAM  
417 (average of monthly anomalies for the period January to September preceding the krill  
418 sampling season) for models 5 to 7. We considered the most complete form of each model  
419 including fixed effects for the main candidate variable plus latitude and bathymetric bin where  
420 feasible; interactions between them; and random effects.

421 We arrived at the final models presented in [Table 1](#) by using model selection to  
422 identify fixed and random effect variables from the set of candidates listed above, including  
423 interactions. Model selection also identified appropriate representations of variance as a  
424 function of the reciprocal of the number of stations (from candidate fixed, power and  
425 exponential functions), to ameliorate the effects of inhomogeneity of variance. It also identified  
426 an appropriate correlation structure (from candidate autoregressive order 1 and  
427 autoregressive moving average functions) to ameliorate the effects of temporal autocorrelation  
428 where relevant. All model selection was based on AIC, and the identification of fixed effects  
429 also considered differences between models based on likelihood ratios. The selected variance  
430 function was a power function for all models except model 2, which used a linear function.

431 To avoid spurious correlations when both the response and main candidate  
432 explanatory variable included a time trend, we de-trended both variables using the relevant  
433 time trend model. The de-trended variable was the original value minus the fitted value based  
434 on fixed effects.

435 We used visual checks to verify that response data were approximately normally  
436 distributed and that model fits were convincing. We verified that the autocorrelation statistics in  
437 the selected models were not significantly different from zero. We also used the Levene test  
438 (R package `car`<sup>44</sup>) to verify that each model was not significantly affected by heteroscedacity.  
439 Finally, we used the `r.squaredGLMM` function in the R package `MuMIn`<sup>45</sup> to estimate the  
440 variance explained by the fixed and random effects in each model. In high variability datasets  
441 like ours, the variance explained by linear models featuring one or two explanatory variables is  
442 typically low, particularly when variables are detrended. The main statistic for detecting  
443 relationships is the P value, which indicates whether the linear model slope is significantly  
444 different from zero.

445 To assess the difference in time trends between recruit density and total post-larval  
446 density ([Fig. S1](#)) we restricted the data set to years and spatial units for which both types of  
447 density estimate were available. We constructed a linear mixed model with density as the  
448 response variable, year as the main explanatory variable and an additional explanatory  
449 variable indicating the type of density estimate (recruit or total post-larval). A significant  
450 interaction between explanatory variables indicates a significant difference in slope.

451 We explored the sensitivity of the time trend in krill density to data selection and  
452 processing by fitting model 1 to alternative versions of the dataset ([Supplementary Table 1](#)).

453 Specifically, we used (i) unstandardised krill density data, (ii) data only from nets with nominal  
454 mouth areas  $>3\text{m}^2$ , and (iii) data only from nets with nominal mouth areas  $\leq 3\text{m}^2$ . All models  
455 identified the negative time trend, but the models fitted to smaller datasets filtered by net size  
456 did not identify a latitudinal difference in trend. As krill aggregate in dense swarms with few  
457 krill between, the probability of mean density being zero increases at low sample sizes.  
458 Consequently, when means based on  $<15$  stations are included, there is a weak relationship  
459 between number of stations and mean density. To confirm that the variance function  
460 ameliorates this effect, we also fitted all models with density or recruit density as a response  
461 variable to restricted datasets which excluded averages based on  $<15$  stations. In all cases  
462 the main fixed effects remained significant.

463

## 464 **12. Calculated decline in density and biomass during the modern sampling era**

465

466 The average separation between sampling in the first and second halves (1976-1995 and  
467 1996-2016) of the modern era is 20.5 years. We thus used the time trends in **Table 1** to  
468 determine respective average changes in density and length over 20.5 years. We used the  
469 unweighted mean of the north and south slopes for density, so the estimated change is  
470 analogous to that expected for a transect with equal length on either side of latitude  $60^\circ\text{S}$ .  
471 Mean lengths were converted to individual dry mass using Scotia Sea-specific length-mass  
472 regressions<sup>46</sup> and biomass density was calculated as the product of individual dry mass and  
473 numerical density. These revealed the 70% decline in density and 59% decline in biomass  
474 density quoted in the text.

475

476

477

### 477 **Data availability**

478

479 We have made the KRILLBASE-abundance database publically available from the  
480 Polar Data Centre at the British Antarctic Survey <http://doi.org/brg8> with supporting metadata<sup>31</sup>  
481 which should be consulted for further details. Likewise KRILLBASE-length frequency data are  
482 also available on request to the Polar Data Centre, with supporting metadata.

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#### Additional Information

657

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659 ([sih@bas.ac.uk](mailto:sih@bas.ac.uk)).

660

661

662 **Author Contributions**

663  
664 AA and SH contributed equally as first authors providing the initial concept and analysis. AA,  
665 VS, EP: concept and construction of KRILLBASE databases; AA, EP, VS, CR, VL, DS, GT:  
666 supply of data to KRILLBASE; LG; mapping; SH: statistical analyses; ALL: Input of ideas to the  
667 study and to the manuscript.

668  
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670  
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684  
685 **Competing Interests**

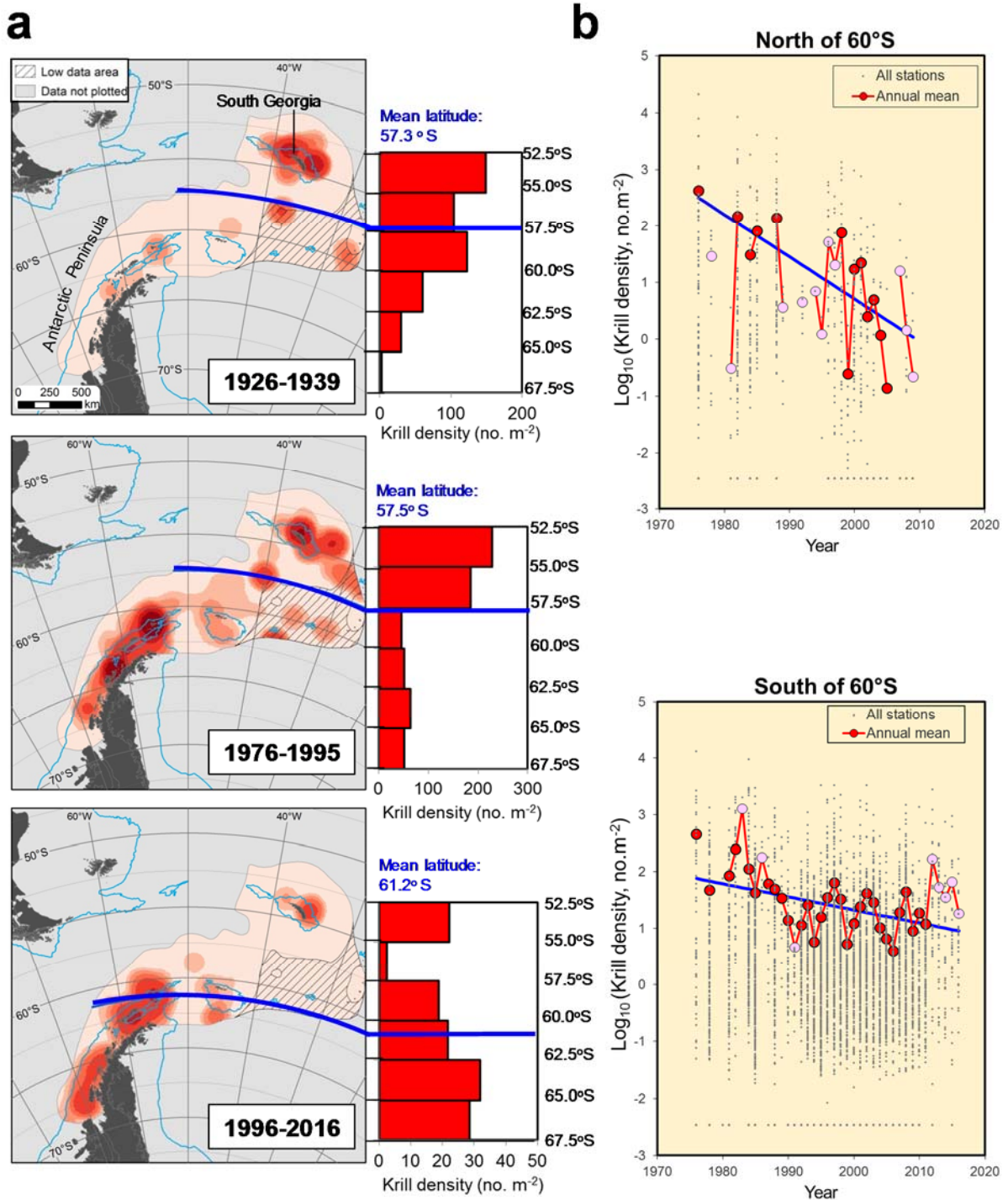
686 None.

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699 **Table 1: Significant relationships among krill density, mean length, Southern Annular**  
700 **Mode and year.**  
701

| Model | Fixed effects model                                 | m1 ( <i>P</i> )    | m2 ( <i>P</i> )    | m3 ( <i>P</i> )   | c      | N   | R <sup>2</sup> <sub>m</sub> | R <sup>2</sup> <sub>c</sub><br>(AIC) |
|-------|---|--------------------|--------------------|-------------------|--------|-----|-----------------------------|--------------------------------------|
| 1     | DENSITY =<br>(m1*YEAR)+(m2*LAT)<br>+(m3*YEAR*LAT)+c | -0.065<br>(<0.001) | -87.437<br>(<0.01) | 0.044<br>(<0.001) | 131    | 290 | 0.08                        | 0.15<br>(773)                        |
| 2     | LENGTH = m1*YEAR+c                                  | 0.173<br>(<0.001)  |                    |                   | -305   | 146 | 0.04                        | 0.33<br>(931)                        |
| 3     | RECRUIT DENSITY =<br>m1*YEAR+c                      | -0.069<br>(<0.001) |                    |                   | 137    | 124 | 0.08                        | 0.10<br>(426)                        |
| 4     | D.DENSITY = m1*D.LENGTH+c                           | -0.044<br>(<0.001) |                    |                   | 0.138  | 124 | 0.01                        | 0.01<br>(283)                        |
| 5     | D.DENSITY =<br>(m1* D.SAM)+(m2*SHELF)+c             | -0.229<br>(<0.001) | 0.577<br>(<0.05)   |                   | -0.186 | 290 | 0.01                        | 0.02<br>(768)                        |
| 6     | D.LENGTH = m1*D.SAM+c                               | 2.197<br>(<0.01)   |                    |                   | 0.093  | 146 | 0.03                        | 0.38<br>(918)                        |
| 7     | D.RECRUIT DENSITY =<br>m1*D.SAM+c                   | -0.352<br>(<0.05)  |                    |                   | -0.024 | 115 | 0.01                        | 0.03<br>(417)                        |

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704 Linear mixed model results indicating significant time trends in log<sub>10</sub>-transformed standardised  
705 post-larval krill density, no. m<sup>-2</sup> (model 1), mean length in mm (2), and log<sub>10</sub>-transformed  
706 recruit density, no. m<sup>-2</sup> (3); covariance in length and density (4); and relationships between the  
707 Southern Annular Mode index and each of standardised krill density (5), mean length (6) and  
708 recruit density (7). The fixed effects are expressed in terms of the coefficients m1, m2, m3 and  
709 c. N is the number of observations (these are plotted in Fig. 2). All models include random  
710 spatial unit effects. Models 2 and 6 also include random year effects. R<sup>2</sup><sub>m</sub> is the marginal  
711 pseudo-R<sup>2</sup> indicating variance explained by the fixed effects and R<sup>2</sup><sub>c</sub> is the conditional pseudo-  
712 R<sup>2</sup> indicating variance explained by both fixed and random effects. AIC is the Akaike  
713 information criterion. Variables prefixed “D” were de-trended. LAT values 0 and 1 represent  
714 latitudes north and south of 60°S respectively and SHELF values 0 and 1 represent shelf  
715 (≤1000m depth) and oceanic waters respectively.



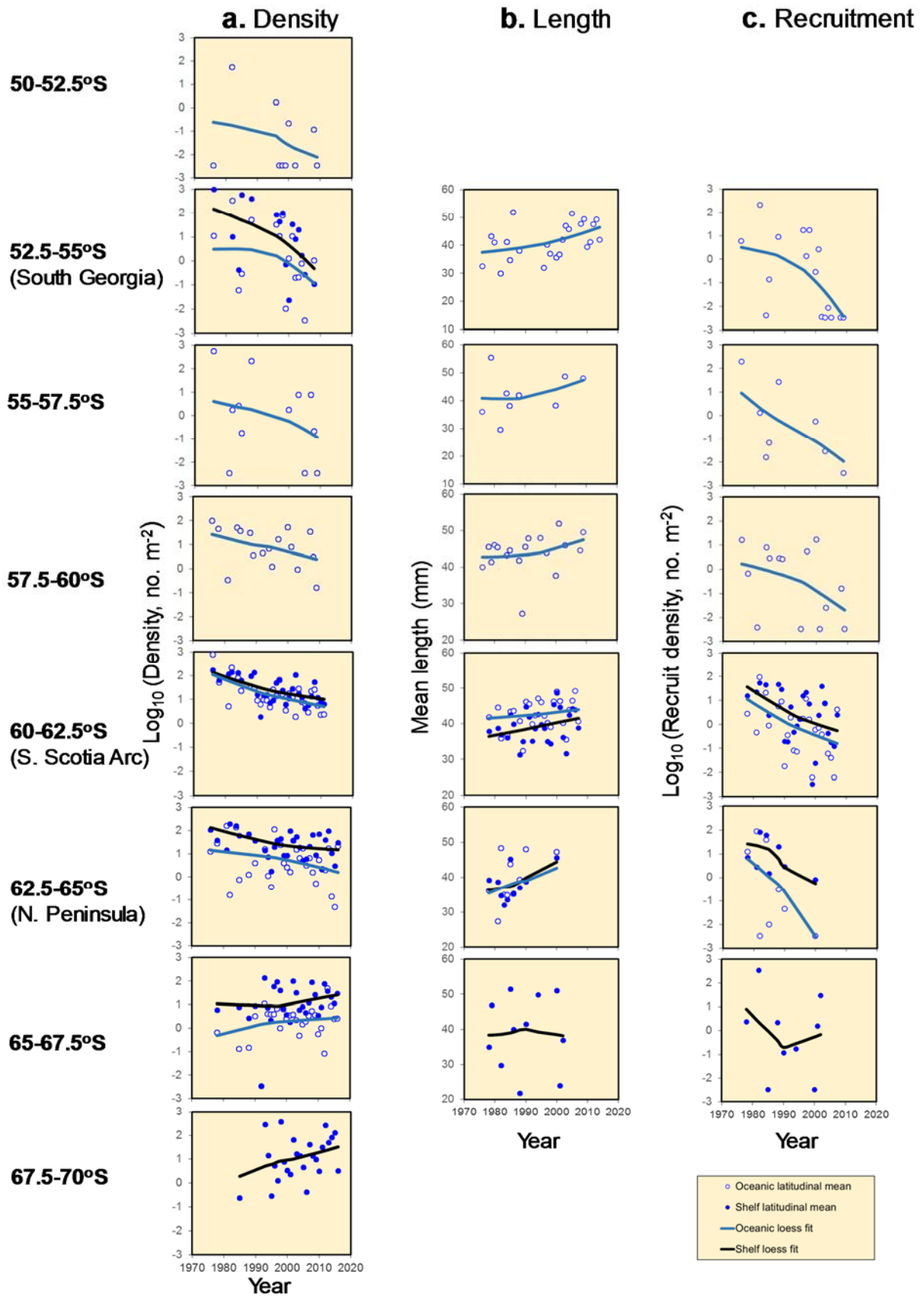
726 **Fig. 1: Southward contraction of krill distribution within the SW Atlantic sector.**

727 **a** Kernel analysis visualising hotspots of post-larval krill density in the SW Atlantic sector  
728 during the *Discovery* sampling era (1926-1939) and the first and second halves of the modern  
729 era, based on the area sampled heavily across all three periods (**see Methods and Fig. S4**).  
730 Blue isobaths denote the 1000m boundary between shelf and oceanic habitats. Within each  
731 map, the analysis identifies relative hotspot areas of high density, indicated by intensity of red  
732 shading. The histograms denote the mean standardised post-larval krill density in six  
733 comparable 2.5° latitude bands with > 50 stations sampled in each era (see Methods). Note  
734 changes in scale between each of the three eras. Thick blue lines across maps and  
735 histograms indicate the centre of krill density (i.e. density-weighted mean latitude; see  
736 Methods). **b** Trends in log<sub>10</sub>-transformed mean standardised post-larval krill density north and  
737 south of 60°S. Small points represent the densities in underlying records, large dots represent  
738 the annual means of these data, weighted by the number of stations per record. Pink dots  
739 represent seasons with <50 stations (average 27 compared to an overall average of 123  
740 stations per season). Solid blue trend lines were fitted using simple linear regression  
741 ( $P < 0.001$ ,  $< 0.01$  adjusted  $R^2 = 0.52, 0.22$  for North and South respectively). Linear mixed  
742 model no.1 in **Table 1** and in **Supplementary Table 1** provides statistical support for these  
743 trends and the significantly greater decline in the North. **Fig. 2** provides finer latitudinal  
744 resolution, for instance showing an increase in density in the far south.

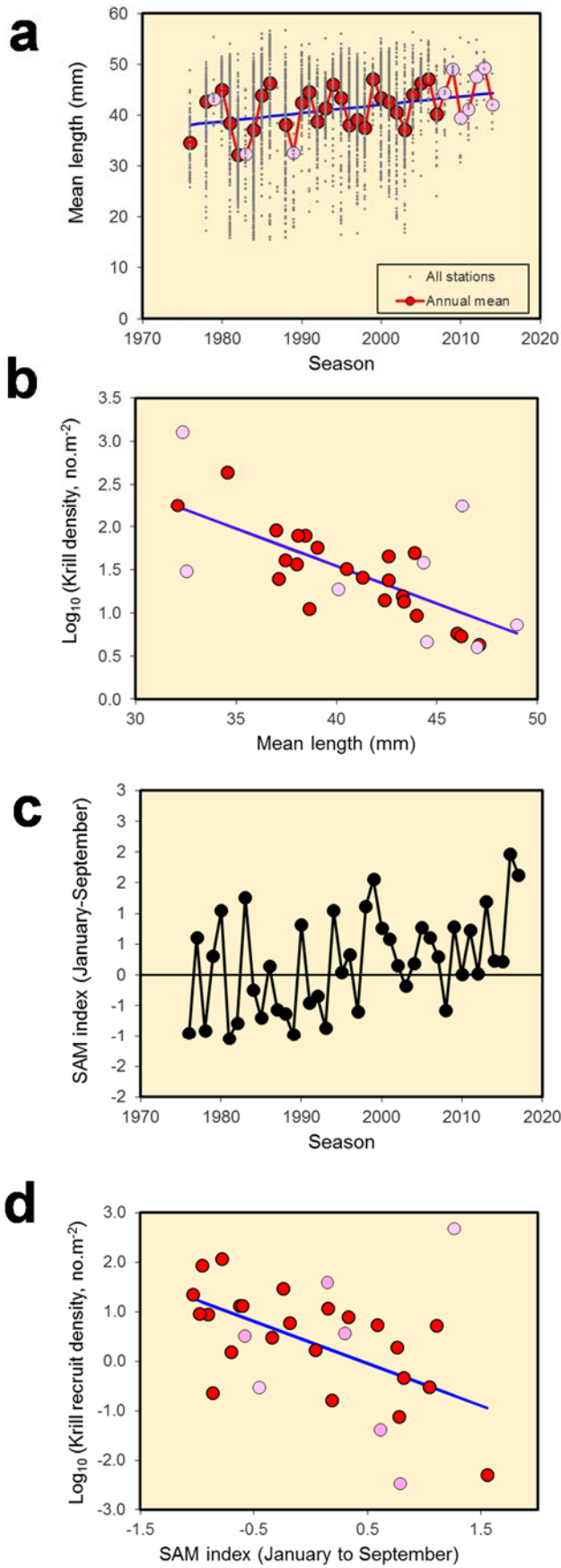
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750 **Fig. 2: A latitudinal gradation of change in krill dynamics over the last 40 years.** The points  
751 are the spatio-temporal means that are included in the linear mixed model analysis in Table 1.  
752 These are grouped by latitude (2.5° band) and bathymetry (shelf ≤1000m water depth, versus  
753 oceanic waters). LOESS curves indicate trends within each spatial unit. The particularly well  
754 sampled bands at South Georgia and near the tip of the Antarctic Peninsula (**Fig. S4**) are labelled  
755 for reference. **a** Density of total post-larvae (i.e. new recruits plus older krill) from 7625 stations.  
756 Evidence for a range contraction is the sharp decline in density at the northern range fringes, with a  
757 progressive stabilisation and then reversal of the trends towards the south. **b** Data from 4308  
758 length frequency sampling stations showing spatially-consistent increases in mean length. **c**  
759 Recruit density has declined very abruptly over the last 40 years in all areas except possibly for the  
760 far south. This is reflected both in the increase in mean length and the decline in density of total  
761 post-larvae. These trends appear broadly congruent across both shelf and oceanic habitats.  
762  
763



767 **Fig. 3: Climatic forcing provides one mechanism for an increase in mean krill length**  
768 **and declines in recruitment and density.** The linear mixed model results in **Table 1**, which  
769 include de-trending where appropriate, provide statistical support for the simple linear  
770 regression relationships illustrated here. **a** Increase in mean length of krill. Regression  $P < 0.05$ ,  
771 adjusted  $R^2 = 0.09$ ; see mixed model no. 2 in **Table 1**. Small points represent the mean lengths  
772 in underlying records. Pink dots represent seasons with  $< 50$  stations (average 18 compared to  
773 an overall average of 116 stations per season). **b** Relationship between mean standardised  
774 post-larval krill density and mean length. Regression  $P < 0.001$ , adjusted  $R^2 = 0.47$ ; for de-  
775 trended data see mixed model no. 4 in Table 1. **c** Inter-annual variation in January-September  
776 SAM anomaly during the modern era. Data are plotted with a 1-year lag, (i.e. Jan-Sept 2015  
777 anomaly is plotted as 2016). **d**. Relationship between  $\log_{10}$ -transformed mean standardised  
778 recruit density (density of individuals  $< 30$  mm long) and the SAM anomaly in the January-  
779 September period preceding the krill sampling season. Regression  $P < 0.001$ , adjusted  
780  $R^2 = 0.30$ . Mixed model nos. 5 to 7 in Table 1 provide relationships between krill and SAM. Pink  
781 dots represent seasons with  $< 50$  stations for either length or density.  
782

## Supplementary Figures & Table

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785 **Fig. S1:** Recruit density has declined more rapidly than total post-larval density, which may be  
786 due to an increase in survival of older krill

787 **Fig. S2:** Ramifications of changing abundance, distribution and body size of krill.

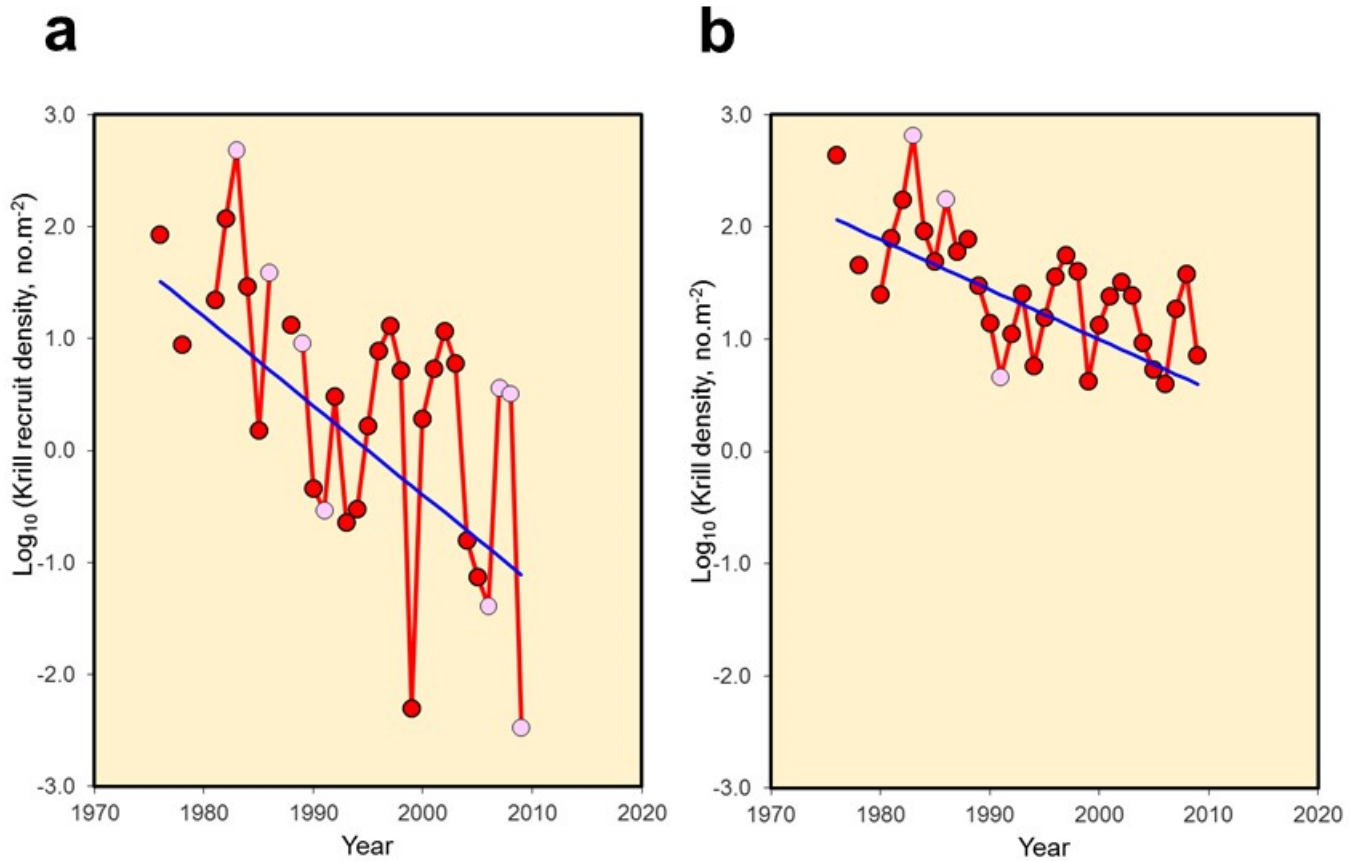
788 **Fig. S3:** Trends in krill encounter probability by latitude suggest a decline in krill presence north  
789 of 60°S.

790 **Fig. S4:** KRILLBASE-abundance coverage within the SW Atlantic sector showing coverage in  
791 each sampling period.

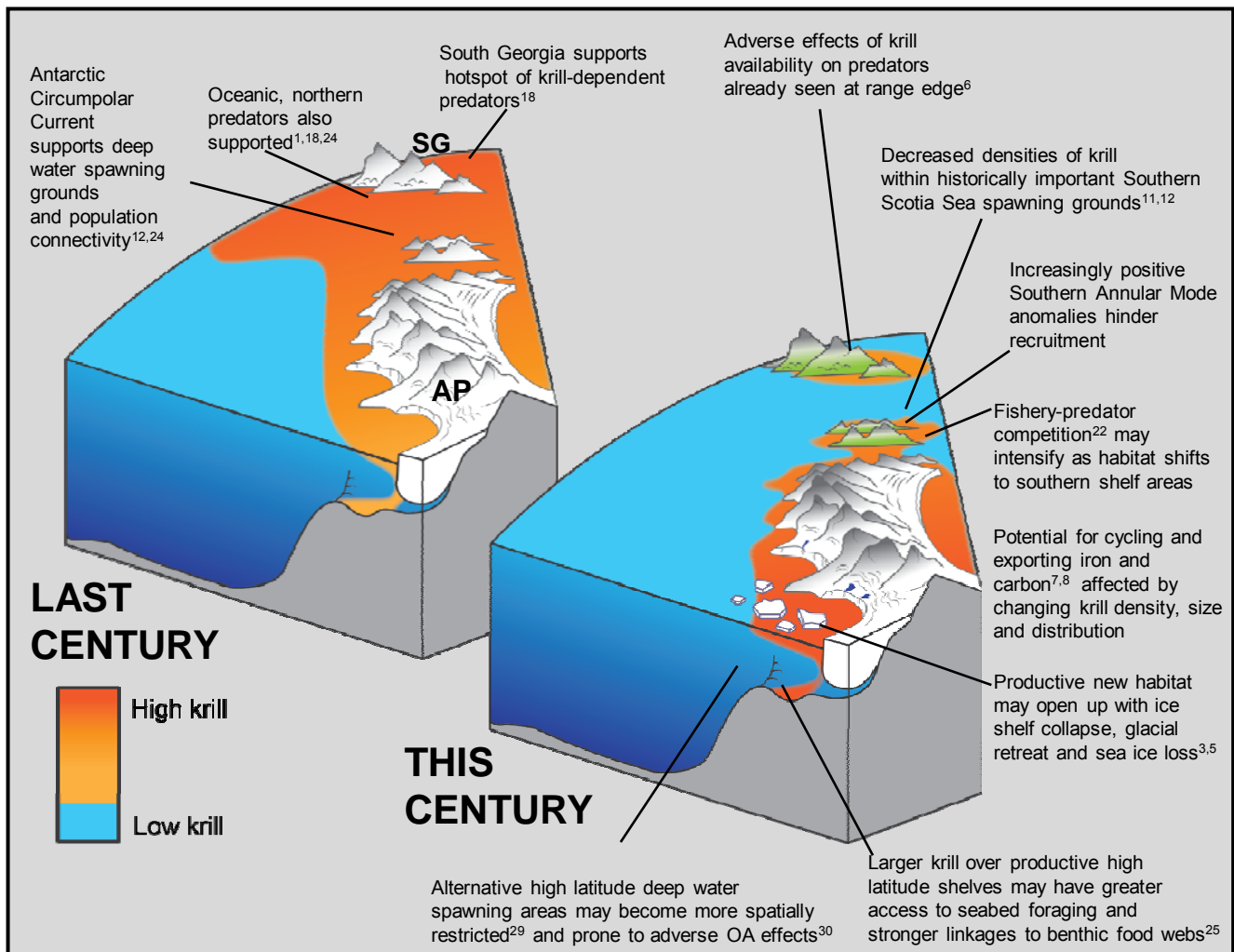
792 **Fig. S5:** KRILLBASE-length frequency coverage and trends in each sampling period.

793 **Supplementary Table 1:** Results of linear mixed models fitted to alternative datasets to  
794 assess sensitivity to data selection and standardisation of density data to a single net  
795 sampling method.

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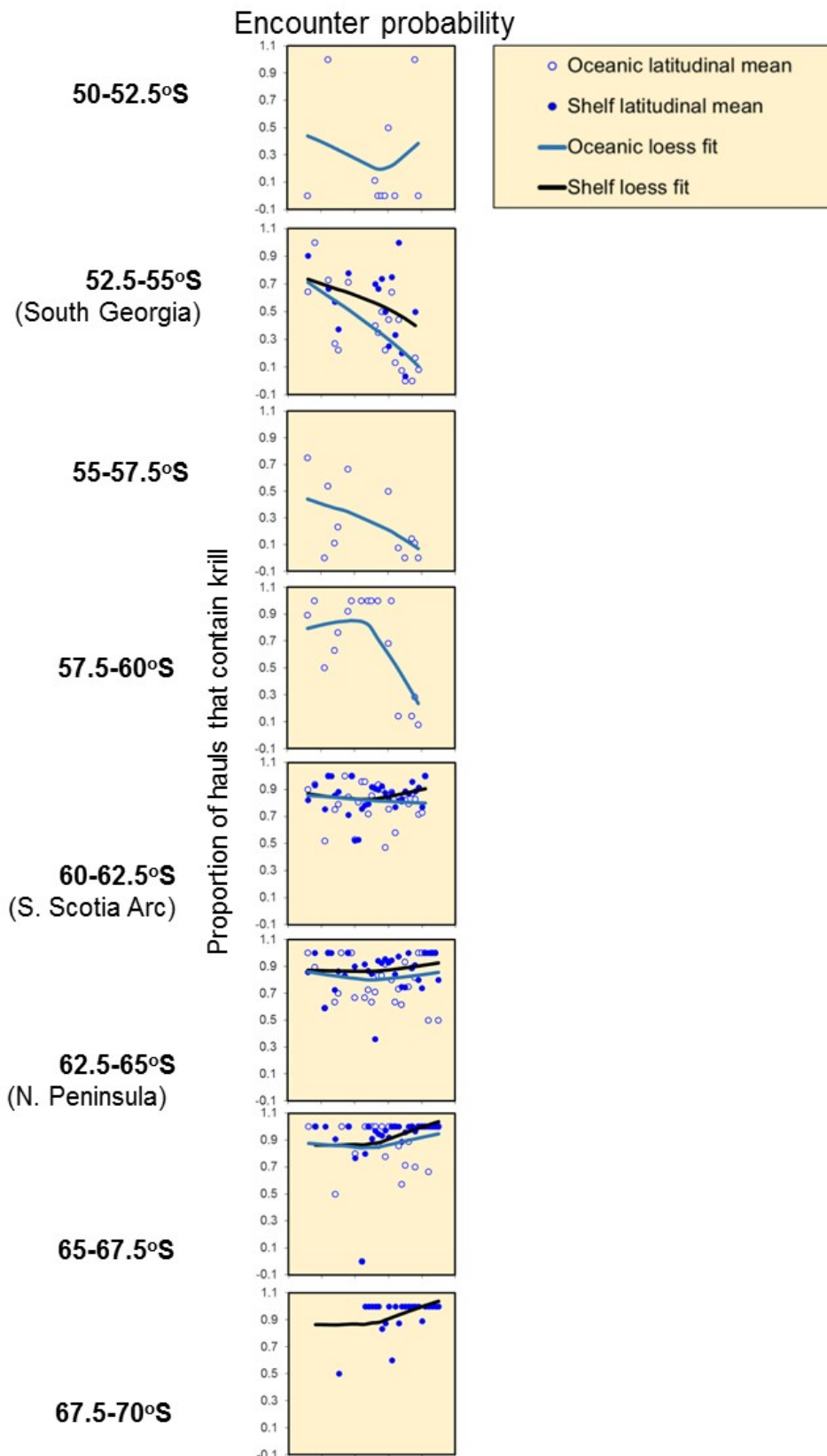


797  
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 799 **Fig. S1: Recruit density has declined more rapidly than total post-larval density, which**  
 800 **may be due to an increase in survival of older krill.** Linear mixed models of log<sub>10</sub>-  
 801 transformed mean standardised recruit density and log<sub>10</sub>-transformed mean standardised  
 802 post-larval krill density versus year confirm that the trend in the former (-0.070) is significantly  
 803 ( $P < 0.001$ ) more negative than the trend in the latter (-0.042) over the comparable joint  
 804 measurement period. This difference is illustrated with simple linear regressions (blue lines)  
 805 fitted to annual means of **a** recruit density ( $P < 0.001$ , adjusted  $R^2 = 0.39$ ) and **b** total post-larval  
 806 density ( $P < 0.001$ , adjusted  $R^2 = 0.50$ ). Pink dots represent seasons with <50 stations.



807  
 808 **Fig. S2: Ramifications of changing abundance, distribution and body size of krill.** The  
 809 illustration portrays a view looking north-eastwards along the Antarctic Peninsula, AP towards  
 810 South Georgia, SG (i.e. from bottom left corner of Fig. 1a), with the intensity of red shading  
 811 showing changes in krill density and distribution that we have found. For reference, seasonal  
 812 mean water temperatures at South Georgia have risen by 1.6°C over the last ~80 years<sup>13</sup>. We  
 813 have summarised the potential implications of ongoing and future climate change this century  
 814 (right hand panel) based on the observed changes and the projected increase in positive SAM  
 815 anomalies for the next ~50 years<sup>20</sup>. The schematic is not intended to be to scale but for  
 816 reference is intended to span from ~70°S to ~50°S; this represents roughly a doubling of  
 817 maximum potential habitat areas between any pair of longitudes. OA means ocean  
 818 acidification.





819  
 820 **Fig. S3: Tends in krill encounter probability by latitude suggest a decline in krill**  
 821 **presence north of 60°S.** Spatio-temporal means of encounter probability (proportion of hauls  
 822 that contained krill), grouped by latitude (2.5° band) and bathymetry (shelf  $\leq 1000\text{m}$  water  
 823 depth, versus oceanic waters). LOESS curves indicate trends within each spatial unit.

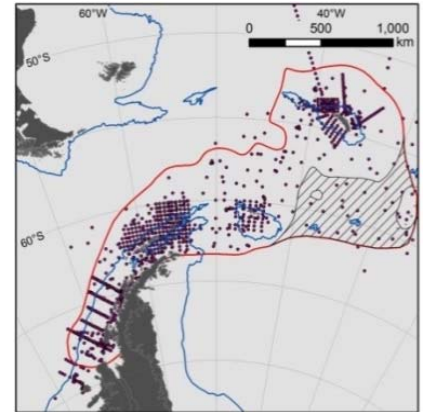
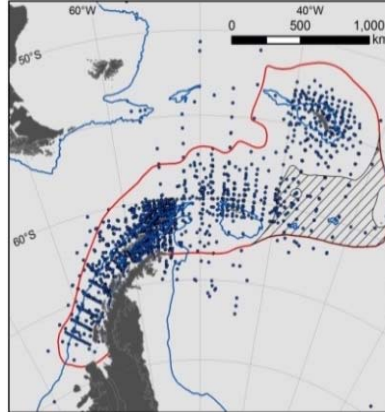
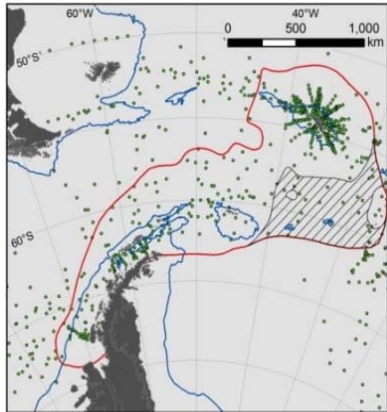
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**1926-1939**

**1976-1995**

**1996-2016**



826 **Fig. S4: KRILLBASE-abundance coverage within the SW Atlantic sector showing**  
827 **coverage in each sampling period.** Points indicate sampling stations. The red line  
828 encloses the region with adequate sampling in all three periods, albeit with less consistent  
829 sampling density in the hatched area. This red-encircled area was selected for visualisation  
830 of density hotspots with kernel analysis.

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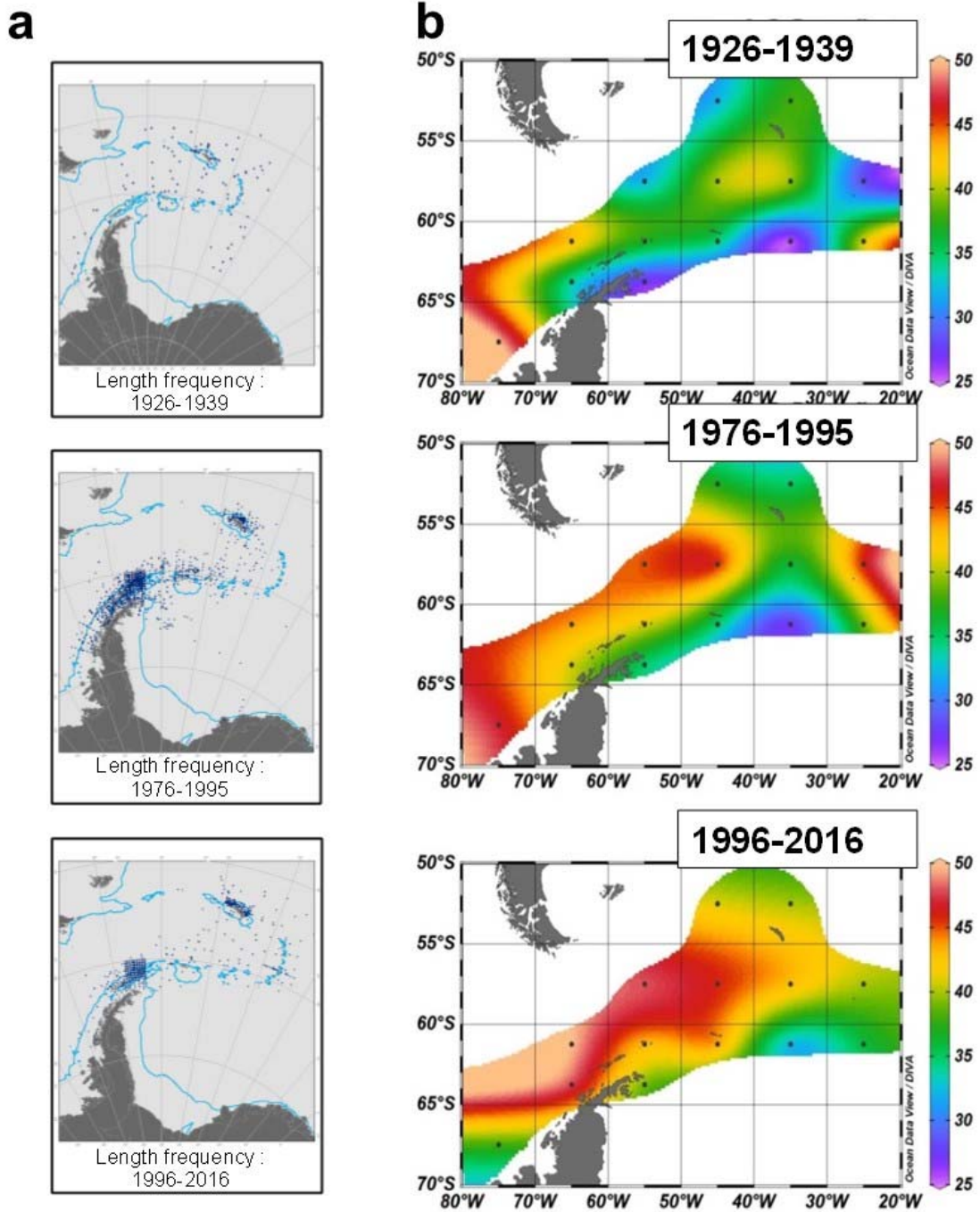
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**Fig. S5: KRILLBASE-length frequency coverage and trends in each sampling period. a**

Sample coverage in each period; points indicate sampling stations. **b** For an initial visualisation of changes in mean length across the three eras we divided the SW Atlantic sector into a series of 5° latitude by 10° longitude grid cells. The region from 60-65°S was sampled more intensively than any other, enabling its further division into finer, 2.5° latitudinal bands as done for the linear mixed models. Mean krill lengths within each grid cell within each era were then calculated. For an overview of changes in mean length across the three eras we used Ocean Data View (<https://odv.awi.de/>) visualisations of those grid cells which had data in all three periods. Most grid cells experienced an increase in mean length from the *Discovery* era through to the most recent sampling period.

858 **Supplementary Table 1: Results of linear mixed models fitted to alternative datasets to**  
859 **assess sensitivity to data selection and standardisation of density data to a single net**  
860 **sampling method.**

861

| Model*   | Summary  | m1 (P)             | m2 (P)             | m3 (P)            | c      | N   | R <sup>2</sup> <sub>m</sub> | R <sup>2</sup> <sub>c</sub> (AIC) |
|--|--|--------------------|--------------------|-------------------|--------|-----|-----------------------------|-----------------------------------|
| 1  | Unstandardised<br>DENSITY ~<br>YEAR*LAT  | -0.063<br>(<0.001) | -94.914<br>(<0.01) | 0.048<br>(<0.001) | 127    | 290 | 0.07                        | 0.13<br>(756)                     |
| 1  | Standardised<br>DENSITY ~<br>YEAR*LAT<br>(where net<br>mouth<3m <sup>2</sup> ) | -0.102<br>(<0.001) | -111.966<br>(NS)   | 0.057<br>(NS)     | 204    | 60  | 0.18                        | 0.18<br>(231)                     |
| 1  | Standardised<br>DENSITY ~<br>YEAR*LAT<br>(where net<br>mouth≥3m <sup>2</sup> ) | -0.034<br>(<0.01)  | -30.178<br>(NS)    | 0.015<br>(NS)     | 69     | 260 | 0.02                        | 0.08<br>(640)                     |
| Models fitted to data with at least 15 stations per density estimate |  |                    |                    |                   |        |     |                             |                                   |
| 1  | Standardised<br>DENSITY ~<br>YEAR*LAT  | -0.071<br>(<0.001) | -89.371<br>(<0.05) | 0.045<br>(<0.01)  | 144    | 144 | 0.01                        | 0.02<br>(318)                     |
| 1  | Unstandardised<br>DENSITY ~<br>YEAR*LAT  | -0.065<br>(<0.001) | -90.036<br>(<0.01) | 0.045<br>(<0.01)  | 131    | 144 | 0.01                        | 0.01<br>(312)                     |
| 1  | Standardised<br>DENSITY ~<br>YEAR<br>(where net<br>mouth<3m <sup>2</sup> )     | -0.140<br>(<0.01)  |                    |                   | 280    | 21  | 0.00                        | 0.00<br>(84)                      |
| 1  | Standardised<br>DENSITY ~<br>YEAR<br>(where net<br>mouth≥3m <sup>2</sup> )     | -0.026<br>(<0.001) |                    |                   | 53     | 123 | 0.01                        | 0.03<br>(238)                     |
| 3  | RECRUIT<br>DENSITY ~<br>YEAR   | -0.064<br>(<0.001) |                    |                   | 127    | 88  | 0.05                        | 0.05<br>(286)                     |
| 4  | D.DENSITY ~<br>D.LENGTH  | -0.043<br>(<0.001) |                    |                   | 0.209  | 88  | 0.00                        | 0.00<br>(170)                     |
| 5  | D.DENSITY ~<br>D.SAM+SHELF   | -0.236<br>(<0.05)  | 0.265 (NS)         |                   | 0.226  | 144 | 0.00                        | 0.00<br>(323)                     |
| 7  | D.R.DENSITY<br>~ D.SAM   | -0.477<br>(<0.05)  |                    |                   | -0.284 | 88  | 0.01                        | 0.01<br>(274)                     |

862

863 \* Number refers to the comparable model, fitted to all data, presented in [Table 1](#). NS= not

864 significant (P>0.05). Other details as [Table 1](#).

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