1	Distressed in the queue? Psychophysiological and behavioral evidence for two alternative car-
2	following techniques
3	
4	Antonio Lucas-Alba ¹ , Óscar M. Melchor ² , Ana Hernando ¹ , Andrés Fernandez ³ , M ^a Teresa Blanch ¹
5	Andrés S. Lombas ¹
6	¹ Departament of Psychology and Sociology. Universidad de Zaragoza, C/Ciudad Escolar s/n. 44003, Teruel.
7	Spain (lucalba@unizar.es)
8	² Impactware, Madrid, Spain
9	³ Department of Behavioral Sciences, Universidad Internacional de la Rioia, Logroño, Spain
,	Department of Denavioral Sciences, em reisiaad internacional de m rabja, Dogrono, Spam
10	Abstract
11	Background. Nature offers numerous examples of animal species exhibiting harmonious collective movement.
12	Unfortunately, the motorized Homo sapiens sapiens is not included and pays a price for it. Too often, drivers
13	who simply follow other drivers are caught in the worst road threat after a crash: congestions. In the past, the
14	solution to this problem has gone hand in hand with infrastructure investment. However, approaches such as the
15	Nagoya Paradigm propose now to see congestion as the consequence of multiple interacting particles whose
16	disturbances are transmitted in a waveform. This view clashes with a longlasting assumption ordering traffic
17	flows, the rational driver postulate (i.e., drivers' alleged propensity to maintain a safe distance). Rather than a
18	mere coincidence, the worldwide adoption of the safety-distance tenet and the worldwide presence of congestion
19	emerge now as cause and effect. Nevertheless, nothing in the drivers' endowment impedes the adoption of other
20	car-following (CF) strategies. The present study questions the a priori of safety-distance, comparing two
21	elementary CF strategies, Driving to keep Distance (DD), that still prevails worldwide, and Driving to keep
22	Inertia (DI), a complementary CF technique that offsets traffic waves disturbances, ensuring uninterrupted traffic
23	flows. By asking drivers to drive DD and DI, we aim to characterize both CF strategies, comparing their effects
24	on the individual driver (how he drives, how he feels, what he pays attention to) and also on the road space
25	occupied by a platoon of DD robot-followers. Methods. Thirty drivers (50% women) were invited to adopt
26	DD/DI in a driving simulator following a swinging leader. The design was a repeated measures model
27	controlling for order. The CF technique, DD or DI, was the within-subject factor. Order (DD-DI / DI-DD) was
28	the between-subjects factor. There were four blocks of dependent measures: individual driving performance
29	(accelerations, decelerations, crashes, distance to lead vehicle, speed and fuel consumption), emotional

30 dimensions (measures of skin conductance and self-reports of affective states concerning valence, arousal, and 31 dominance), and visual behavior (fixations count and average duration, dwell times, and revisits) concerning three regions of the driving scene (the Top Rear Car -TRC- or the Bottom Rear Car -BRC- of the leading 32 33 vehicle and the surrounding White Space Area -WSA). The final block concerned the road space occupied by a 34 platoon of 8 virtual DD followers. Results. Drivers easily understood and applied DD/DI as required, switching 35 back and forth between the two. Average speeds for DD/DI were similar, but DD drivers exhibited a greater 36 number of accelerations, decelerations, speed variability, and crashes. Conversely, DI required greater CF 37 distance, that was dynamically adjusted, and spent less fuel. Valence was similar, but DI drivers felt less aroused 38 and more dominant. When driving DD visual scan was centered on the leader's BRC, whereas DI elicited more 39 attention to WSA (i.e., adopting wider vision angles). In spite of DI requiring more CF distance, the resulting 40 road space occupied between the leader and the 8th DD robot was greater when driving DD. 41 42 Keywords 43 Car-following; Driving behavior; Psychophysiological correlates; Traffic congestion; Waves 44

45 **1. Introduction**

46 Thousands of starlings (Sturnus vulgaris) perform swift changes of speed and position in coordinated and 47 mesmerizing formations in the air to evade predators (Goodenough et al., 2017). Dozens of caterpillars 48 (Thaumetopoea pityocampa) follow each other in perfect harmony to find their place in the forest and could keep 49 such mate-following harmony for as long as half a day before disaggregating (Fitzgerald, 2003). However, every 50 bunch of motorized human (Homo sapiens sapiens) in the world takes pains to follow each other without being 51 trapped in the so-called *phantom traffic jams* (Gazis and Herman, 1992) or traffic congestions pervasively 52 emerging in traffic for no apparent reason. We may conclude there is certain wisdom of nature drivers' keep 53 somehow neglecting. With more than one billion drivers in the world by 2020 (a conservative estimation, see 54 Sperling and Gordon, 2009), the expected two thirds of the world's population living in cities by 2050 (UN, 55 2018), and traffic pollution already causing more deaths than crashes (Caiazzo et al., 2013) this neglect is 56 increasingly untenable.

Our disregarding of lessons from nature hides another important neglect: the wave properties of dense traffic.
Envisioning traffic flows as "a dynamical phenomenon of a many-particle system" (Sugiyama et al., 2008; p. 2)

59 is surprisingly recent. A central element of this new perspective is a changing focus from pairs of cars (the leader, the follower) to broader systemic interactions (e.g. the endogenous dynamics of cars that platoon). Back 60 61 in 2008, researchers in the so-called Nagoya experiment created an artificial jam. Drivers followed each other in 62 a circle of 230 m perimeter under the premise: follow the vehicle ahead in safety in addition to trying to maintain 63 cruising velocity. Participants drove and kept a free flow. But, when the number of drivers rose to 22, 64 fluctuations tripping backward broke the free flow and several vehicles stopped for a moment to avert crashing. At a given point, even a single car's braking was transmitted backward through a column of cars, forming the 65 typical shockwave (soliton) that eventually brought some cars to a complete halt (see also Tadaki et al., 2013; 66 67 Stern et al., 2018).

68 A third neglect keeps human drivers away from the Golden league played by starlings or caterpillars. The 69 majority of car-following engineering models (Saifuzzaman and Zheng, 2014; Sharma et al., 2019) assume that 70 the systematic adoption of safety distance on the part of drivers is a natural or dispositional trend (Pariota, 71 Bifulco, and Brackstone, 2016; Wilson, 2008). Consider the rational driver postulate (Bando et al., 1995; 72 Wilson, 2008), e.g., "drivers typically increase their acceleration when there is an increase in the spacing...and 73 reduce it in the opposite situation. The same happens with respect to relative speed." (Pariota et al., 2016; p. 74 1033). Millions of observations worldwide confirm this behavior: the systematic approach of the follower to the 75 leader (coupling). However, no psychological theory presumes a genetic or biological endowment ready for the 76 massively observed CF behavior. Some other living organisms (ants, bees, caterpillars, and the like) exhibit 77 complex behaviors derived from such endowments, but not humans. Drivers were taught or learned somehow to 78 keep the safety distance. Going back to the physical-mathematical foundations backing the Nagoya paradigm, 79 two main options emerge when following a stopping-and-going car: summing (imitating) and offsetting 80 (counterbalancing) that disturbance (Blanch et al., 2018). We now illustrate how these options may shape traffic 81 flows quite differently.

82

1.1. Sketching two car-following approaches: Traffic flow theory vs WaveDriving

Two main approaches model the basic form of the car-following process (i.e., one vehicle follows a leader in the same lane). The first approach is broadly known as classic *Traffic Flow Theory* (TFT; Ni, 2016; Treiber and Kesting, 2013). The radical starting point of this approach is that of an observer who takes a snapshot of the carfollowing situation and states that the road space occupied by a vehicle depends on 1) The length of the car, 2) The separation between the vehicles (Fig. 1, A). The formalization of that approach can be traced back to the

period 1958-1963 when the core stimulus-response CF models and theories by Chandler et al., (1958), Kometani

and Sasaki (1958), Helly (1959), or Michaels (1963) were developed. Such core models stressed which stimulus

90 (relative velocity, safety distance, desired speed, distance or acceleration, or visual angles subtended by a lead

91 vehicle) guides the follower response (normally, acceleration). A complex family of CF models has evolved

- 92 from that departure point to our days (Brackstone and McDonald, 1999; Saiffuzaman and Zheng, 2014).
- 93 We will name the second approach *WaveDriving* (WD; see Blanch et al., 2018). Here, the observer prefers
- taking a motion picture of the situation and states that the road space occupied by a vehicle depends on 1) The
- length of the car, 2) Safety distance, 3) The anti-jam zone, 4) The unused or remaining space (Fig. 1, B).



96

Figure 1. Traffic Flow Theory (A) versus WaveDriving (B)

Assuming TFT, the observer notes that the two vehicles (leader and follower) keep a speed v and a separation
between them. However, the separation is actually deduced from a series of assumptions (constant speed of the
platoon and average space being kept). We may apply this scheme sequentially and create a platoon of vehicles,
obtaining the fundamental equation for this type of engineering:

$$q = \bar{v}_e k \qquad (1)$$

that may be phrased as "The flow of vehicles in a lane q is the product of the average spatial velocity \overline{v}_e by the 102 103 average density k.". It is important to note that this is a statistical equation; it refers to average values, which can 104 be applied to everything that goes through a section: fluids, gases, conveyor belts or road traffic. If we perform a 105 macroscopic statistical study we would get the fundamental diagram of traffic flow (Smeed, 1968) a graph 106 parabola (Fig. 2, A), with two areas well apart: 1) a zone above the maximum intensity (attained at point M), 107 represented by the continuous line, that is adjustable by least squares (the area of self-paced free flow, or fluid 108 traffic), and 2) a lower zone, beginning at point B and shown by the discontinuous line, reflecting a place of 109 uncertainty (not adjustable mathematically). This is the area of traffic jams and forced-paced traffic, larger than 110 the area of stable traffic. However, B and M are coincident, why can't vehicles circulate below a certain "critical" 111 speed fluidly?





113 Figure 2. Graphical representations of the flow stability areas predicted by TFT (A) and WD (B)

114 The answer is provided by the WaveDriving approach because the observer (as researchers at Nagoya did) pays 115 attention to variations through the whole process. Correspondingly, the separation between cars (Fig.1, A) is 116 decomposed into three variables in Fig. 1, B. The black curve in Fig. 2, B shows the typical relationship between 117 velocity and flow under DD. Point A is maximum flow at the speed limit (e.g., 120 km/h). Ideally, maximum 118 flow at the corresponding speed (e.g., 70-90 km/h) should be kept, but, given the oscillatory nature of traffic 119 flows (reaction time, summing waves), this state cannot last long; a jam occurs and speed and flow decrease. The 120 green curve in Fig. 2.B represents DI. A' is maximum flow at the speed limit. Forced traffic begins at B'. 121 Maximum flow is attained at M'. B' and M' are not coincident so M' is not as precarious as M and can last much 122 longer. In sum, we propose a kind of gambit: gently sacrifice maximum intensity to gain flow stability. This 123 scenario is pictured in Fig. 1, B. The speed of the first vehicle varies, but the second one, for convenience in our explanation, keeps a constant speed of equal value as the average speed of the first vehicle. Let us list the 124 125 variables concerning the follower's vehicle: 1) Its velocity (approximately constant), 2) The safety distance, 3) A 126 variable space, that defines the anti-jam zone, necessary to be able to keep a constant speed, 4) The unused space 127 (because even if the follower keeps the same average speed of the swinging leader, he can do it further away or 128 closer). According to the WD approach, if we apply this scheme sequentially, we create a platoon of vehicles and get the fundamental equation of traffic for this type of engineering: 129

130
$$W_n = W_1 + \Sigma_d W_i \qquad (2)$$

that may be phrased as "The motion wave of the last vehicle W_n is the wave of the movement of the first vehicle

132 W_l plus the management of the space made by each of the following drivers $\Sigma_d W_l$.". Note that it is not a

133 fundamental statistical equation, but an exact one, without loss of variables when taking average values. Waves

134 appear in the equation because it refers to periodic movements or oscillations. So the best mathematical 135 demonstration of WaveDriving is not reached through a cinematic approach (as most TFT models do), but 136 through wave mathematics (although a closer examination of such fundamentals is out of the scope of this 137 paper). Now, if we analyze the intensity-velocity graph (Fig. 2, B), we see clear differences: 1) The stable traffic 138 zone is greater than the forced traffic zone, 2) However, a price is paid for it: *instantaneous capacity* decreases, 139 3) The maximum intensity speed no longer determines the boundary between the two zones, 4) The velocity of 140 fluid traffic remains below that of maximum intensity. In sum, adopting TFT we bring flows to a higher, but 141 precarious, speed and density; at some point, the equilibrium breaks and jams emerge. Adopting WaveDriving, 142 optimal velocity is lower, but flows keep uninterrupted for much longer.

143 What's the right approach? Both approaches are closely related. If the WD approach is taken, with its four 144 variables (1. speed; 2. safety distance; 3. anti-jam zone; 4. unused space) and we make the third and fourth 145 variables worth zero, the result is the classical Traffic Flow Theory. In other words, the third and fourth variables 146 define the level of stability of the platoon and the correct use of space. This is why drivers who only drive with 147 safety distance and speed produce traffic jams: as soon as the speed of the leader is not constant, and oscillates, 148 the disturbance trips backward through the whole platoon till one of them stops (the 'keep-safety-distance' 149 principle turns platoons of followers into perfect means for wave transmission). According to the TFT approach, 150 a traffic jam occurs because the road reached its capacity; more lanes are needed (HCM, 2016). According to the 151 WaveDriving approach, that traffic jam occurs because drivers reached their limits to manage available road 152 space. Although current developments of Traffic Flow Theory (e.g., Ni, 2016) include waves in their 153 formalizations, such models keep seeing drivers as rational ones, i.e., always and uniquely aiming to keep the 154 safety distance. The result is that we benefit from additional modeling to describe the complexities of traffic 155 flows (wave mathematics), but we keep far from the solution to change them substantially.

156

1.2. Drivers' radical oscillation: possibilities

157 Envisioning traffic flows as potential means for wave transmission is coherent with human driving patterns.

158 Drivers move amidst a perennial oscillation either following a swinging leader, a stable leader or when driving

159 with no traffic at all. This was implicit in early CF theories under the Action Point (AP) paradigm (Brackstone,

160 Sultan and McDonald, 2002; Pariota and Bifulco, 2015) and pictured by the characteristic close-following spirals

- 161 in different studies (Pariota et al., 2016; Wagner, 2011). Other CF models describe instability typical of
- 162 transition phases between free-flow and congestion (Orosz, Wilson and Krauskopf, 2004), especially when the

163 leader's speed varies (Pariota et al., 2016). Driving involves a regulation process (concerning speed,

164 acceleration) in the form of a tracking-loop (Adams, 1971). Driving behavior is described by most models as a hierarchical task comprising three performance levels, top-down: navigation (e.g., route selection), maneuvering 165 166 (e.g., reaction to traffic, speed choice, control of longitudinal guidance) and control (use of gas/brake pedals to 167 achieve the previous level's target action) (Horst, 2013). With no adverse external factors (e.g., heavy traffic, 168 curves, fog), drivers' speed systematically oscillates around a mean value due to the regulation process (control). 169 This oscillation, consubstantial to driving, expresses itself when driving alone, when car following at constant 170 speed, for high or low speed, and high or low visibility. Data shows that stable oscillatory patterns at 1 m/s 171 around the mean speed are adopted (Wille, 2011; Wille and Debus, 2005).

172 The oscillatory pattern reported by different studies comes per se, is systematic, and is near-constant in different 173 driving contexts, the CF context only makes it more acute (Sugiyama et al., 2008; Tadaki et al., 2013). Drivers 174 following an oscillatory leader have two main options themselves: just being reactive (imitate) or anticipate, becoming proactive. Most drivers in the world have been taught to be reactive, Driving to keep Distance (DD), 175 176 which in turn sums and enlarges disturbances throughout the CF platoon. The alternative to cope with a lead 177 oscillatory vehicle (the shockwave origin), is anticipating the stop-and-go pattern and becoming shockwave 178 proof offsetting or damping waves and keeping a uniform speed: Driving to keep Inertia (DI). This paper 179 compares the effects of these two CF techniques upon basic performance parameters (e.g., speed, distance to the 180 leader, fuel consumption). Proposing these orthogonal CF techniques (aim for uniform distance vs. uniform 181 speed) points to an alternative to reshape traffic flows, opposes the Normative Driving Behavior concept as a 182 unique or dispositional driving mode and states that drivers can also be proactive, changing operative mode from 183 automatic to controlled (Charlton and Starkey, 2011) and applying DD or DI as appropriate (Blanch et al., 2018).

184 **1.3.** Car-followers: the emotional platoon adapting to flow variations

185 Proposing an alternative CF technique raises an important question: how do drivers' adaptation to CF

disturbances, either adopting DD or DI, impact upon their cognitive, emotional and perceptual resources.

187 Although experts rightly state that "Most driving is spent constrained by a vehicle in front" (Evans, 1991; p.

188 114), motorized human beings move together for little more than a century now. Drivers are not endowed with

189 specific cognitive-emotional programs to follow other drivers in a functional way: inadequate distance and speed

- 190 between vehicles boost cognitive load (Lewis-Evans, de Waard and Brookhuis, 2011), foster adverse affective
- and emotional states, anger provoked by other drivers in particular (Mesken et al., 2007; Zhang and Chan, 2014),

aggressive behavior (Shinar and Compton, 2004) and crashes (Davis and Swenson, 2006). The fundamental

driving goal is arriving within the expected time to the destination. Facing unexpected dense or congested traffic
threatens driving goals, frustrating many drivers, and encouraging aggressive driving.

195 Classical approaches on the elicitation of discrete emotions (Lazarus, 1991) point to a dual process: the primary 196 appraisal process tells drivers the event (e.g., congestion) is actually relevant for their goals (e.g., negative, 197 blocking progress), and the secondary appraisal process brings drivers to evaluate the possibilities to cope with 198 the situation and its consequences: drivers feeling some control and blaming other drivers, will feel anger. Angry 199 drivers feel more in control, doing more optimistic risk appraisals, likely driving faster, above the speed limit 200 (Mesken et al., 2007), showing more prominent speed variations (Deffenbacher et al., 2003), and crossing more 201 traffic lights in yellow (Abdu, Shinar and Meiran, 2012). Angry drivers may come too close to the leading car to 202 the point of tailgating, as a maneuver to indicate "move, I'm in a hurry" (Song and Wang, 2010). However, 203 reducing heading distances turns the vicious circle on tightening CF space, encouraging platoon instability and facilitating disturbances tripping backward, worsening congestion (Ni et al., 2017). Having said this, eliciting 204 205 discrete emotions (e.g., fear, anger) in a CF laboratory setting entails difficulties that are beyond the scope of our 206 study. Instead, we are adopting a general approach based on elementary emotional dimensions such as arousal, 207 valence and dominance (Lang, Bradley and Cuthberg, 1999; Frijda, 2001), the basis upon which discrete 208 emotions are built (e.g., high arousal and low valence compound emotions as anger and anxiety; Cai and Lin, 209 2011; Zhang and Chan, 2014). WaveDriving is a CF strategy in the process of exploration, just as its effects on 210 emotions are too (but see Lucas-Alba et al, 2017). DD is a reactive technique while DI is proactive, requiring 211 more anticipation. This could result in a higher mental workload, although DI also allows for more control of the 212 CF situation (the driver, not the leader, determines speed regulation). This study aims to characterize the effects 213 of DD/DI upon basic emotional dimensions such as valence, arousal, and dominance.

214 If emotions are the energy behind the wheel, direction relies on perception. Driving (CF in particular) is a 215 preeminently visual task (Lee, Lee, and Boyle, 2007), demanding focused attention on the traffic ahead. Early in 216 the 1960s, the AP model proposed a specific psychophysiological mechanism to explain CF discontinuities in 217 the acceleration and deceleration phases: a lead vehicle's visual extent (size) is the specific stimulus for drivers 218 during CF (Pariota and Bifulco, 2015). Besides this elementary psychophysical component, a consequence of 219 speed variations during the CF process, visual patterns shown by expert vs novel drivers matter. The former 220 anticipates, looking ahead and keeping wider vision angles, while the latter normally focus their visual resources 221 on the nearest section and stimulus on the road (Huestegge, 2010). When following a swinging leader in dense

traffic, DD demands little more than a swift reaction (accelerate), imitating the leader, being ready to stop, all demanding a narrow and somehow stereotyped perceptual focus, keeping smaller vision angles, typical of novel drivers. Conversely, DI demands anticipation and constant evaluation to calculate the right (and varying) distance needed to keep a uniform speed, looking well ahead and adopting wider visual angles, all features shared with expert drivers. Consequently, although never examined to date, we expect that driving DI will yield visual patterns akin to expert (e.g., wider visual span, shorter fixations) while driving DD will yield visual patterns more typical of novel drivers (narrower span, longer fixations).

229 **1.4. Goals of the study**

230 The study aims to characterize two elementary CF techniques termed DD (Driving to keep Distance) and DI 231 (Driving to keep Inertia) in some relevant dimensions. Previous studies confirmed that drivers can adopt either 232 DD or DI to follow a swinging leader and that these techniques show opposite patterns of speed and distance 233 variability (Blanch et al., 2018). However, this is an important finding worth replicating, because this unexplored 234 (and neglected) ability is the key to uninterrupted traffic flows. Additional pieces of evidence concerning 235 perceptual and affective factors are also sought. DD drivers just react to someone else behavior, don't have much control or autonomy: low valence, high arousal, and low dominance could be expected. DI drivers face a more 236 237 complex regulation; on the one hand, having to take more variables into account could bring on cognitive 238 overload (hence, low valence, high arousal). On the other hand, they may feel more autonomous, competent and 239 dominant driving DI: high valence, low arousal, and high dominance could compensate for cognitive 240 complexity. Our study aims to explore these possible outcomes in the emotional domain. Finally, the 241 reactive/proactive dichotomy accompanying DD/DI alternatives may influence visual behavior too. Drawing on 242 studies comparing expert and novel drivers, we propose that DI elicits an expert visual search pattern from 243 participants, while DD elicits a non-expert visual search pattern from participants. Conversely, we expect that 244 DD drivers focus on the blinkers/brakes zone of the car ahead while DI drivers explore other areas as well. Our 245 last question regards how these CF techniques differ in terms of the overall road space taken by a platoon of 8 246 bot-followers. According to the WaveDriving approach, DI requires and additional anti-jam distance to keep 247 inertia, but adopting a uniform speed promotes platoon stability behind. Is the distance between the participant 248 and the 8th bot-follower finally greater for DD or DI? Our expectation is positive (Blanch et al., 2018 - study 3), 249 but the possibility of lost space considering the whole platoon needs additional probes.

250 **2.** Methodology

251 **2.1. Goals**

The study aimed to check if A) the same driver could drive in DD and DI modes when following a lead
'swinging' car; B) drivers could follow the driving techniques by heeding a 10 s instruction (three sentences); C)
drivers keep the driving instruction as requested (e.g., not turning to DD instead), D) participants driving DD vs.
DI differ in terms of emotional terms (arousal in particular), E) participants driving DD vs DI differ in visual
patterns (narrow focus vs wider exploration of space ahead); E) the space occupied by eight virtual DD drivers
following either a DD or a DI participant differed.

258 **2.2. Participants**

The sample was composed of 30 people, 15 men and 15 women, falling in a 19-35 year age range (mean age 21.77, SD = 4.17). The basic requirement was to have a driving license. The sample presented a medium-high education level (73.3% were university graduates, 23.3% were high school graduates and 3.3% had vocational training). All of them had a driving license (M = 3.26 years; SD = 3.79), from a half a year to fourteen years, and 46.7% of them drove less than 10,000 km a year. They were asked how often they drove by highway/motorway with a scale from 1 (never) to 4 (very often), the average being 3.30.

265 **2.3. Design**

266 The design was a repeated measures model controlling for order. The manipulation of the type of driving 267 technique applied to follow the lead vehicle, either focused on distance (DD) or focused on inertia (DI), was the within-subject factor. Order (DD-DI / DI-DD) was the between-subjects factor. The set of dependent measures 268 269 formed four blocks: individual driving performance (accelerations, decelerations, crashes, distance to lead 270 vehicle, speed and fuel consumption), emotional dimensions (measures of skin conductance and self-reports of 271 affective states concerning valence, arousal, and dominance), and visual behavior (fixations count and average duration, dwell times, and revisits) concerning three regions of the driving scene: the leader's Top Rear Car 272 273 (TRC), Bottom Rear Car (BRC) and the White Space Area (WSA), the wider area surrounding the car (Fig. 3). The last block concerned the road space occupied by a platoon of 8 virtual DD followers with regards to either 274 275 the participant or the leader vehicles.



276

Figure 3: TRC, BRC and WSA for DD (A) and DI (B). Hit ratio indicates how many participants looked at least once into the area of interests (e.g., top rear car in Figure 3.A was seen by 25 out of 30 participants). Average fixation indicates the average duration of each fixation in an area of interest (e.g., 234.1 ms in the previous case). The fixation count indicates the average number of all fixations for selected participants (e.g., the 25 participants averaged 19.1 fixations in the top rear car area in Fig. 3, A).

282 2.4. Materials

283 The study was carried out in the Faculty laboratories of a Spanish University. Participants carried out the task in

a room equipped with two computers but they could not see the monitor which displayed the participants'

285 psychophysiological performance. Skin conductance (SC) was recorded with a Biopac MP36 (Biopac Systems

Inc., Goleta, CA, USA) at a sampling rate of 50 Hz using two disposable Ag-AgCl electrodes attached to the left

287 hypothenar eminence. Mean SC (in microsiemens, μ S) was calculated for the three experimental periods (see

288 below). The MP36 unit was connected to a standard PC with a Windows 7 operating system.

Self-report measures of the affective state were collected with the "Self-Assessment Manikin" (SAM) scale (Lang, Bradley and Cuthbert, 1999), a nonverbal pictorial assessment technique concerning three general affective dimensions: valence, arousal, and dominance. The SAM scale has been validated with the Spanish population (Moltó et al., 1999) and was applied to measure the affective state after the task in the simulator. The valence scale goes from 1 (*displeasure*) to 9 (*pleasure*), the arousal scale goes from 1 (*aroused*) to 9 (*relaxed*) and the dominance scale goes from 1 (*dominated*) to 9 (*dominant*).

Eye-movements were recorded using a SensoMotoric Instruments GmbH 500-Hz (binocular; spatial
resolution: 0.03°; gaze position accuracy: 0.4°) RED system eye tracker (Teltow, Germany). For saccade and
fixation detection parameters, we used a velocity-based algorithm with a 40% peak velocity threshold and 80 ms
for minimum fixation duration.

299 An early goal for this project was designing a 3D driving simulator to run remotely on a standard PC. 300 ReactFollower (Impactware, 2014), based on UNITY software, was developed and customized to change parameters (speed, frequency of stop-and-go cycles, etc.) externally, via XML. The focus was on creating simple 301 302 DD/DI scenarios, with a lead car's adopting different oscillatory patterns. In the present study, participants drove 303 in three scenarios, always in one lane and not being able to exit or overtake: A) driving alone on the road (in a 304 natural position for drivers, behind the wheel); B) driving behind another car traveling at constant speed of 3 m/s 305 (10.8 km/h); C) driving behind another car traveling with stop-and-go cycles of a sinusoidal function built at a 306 mean speed of 3 m/s, ranging from 0 m/s to 6 m/s (data is presented only from C). Participants could control 307 their car's acceleration/deceleration only by pressing up/down arrows on the keyboard. When "up" was pressed, 308 the car accelerated and maintained a constant speed. When "down" was pressed, it decelerated and maintained a 309 constant speed. As with cruise control, a common option in today's driving, each speed change was incremental: 310 to accelerate or decelerate continually meant repeatedly pressing the keys. The simplest option (keyboard) was 311 preferred to enable all subjects to use the software with basic hardware equipment, and to level differences in 312 expertise with video game keyboards. The road had no changes in horizontal or vertical alignment; the 313 impression of speed was created by horizontal lines moving in the White Space Area. The only requirement was 314 altering speed-distance on a straight flat lane. The brake lights came on every time the leading car slowed down. 315 The driving simulator worked on an HP TouchSmart iq522es with a 23-inch screen, NVIDIA GeForce 9300m 316 GS video card and 4 GB RAM, Intel Core 2 Duo Processor T6400 2.00 GHz, and Windows 10 operating system. 317 A precision Apple USB keyboard (PCB DirectIN V2012) was used. The simulator collected, among others, 318 variables for speed, distance to the leader, and fuel usage (a gross estimate obtained considering variations in 319 speed per frame, table 1).

320

2.5. Procedure

Participants were first monitored, checking the proper evaluation of skin conductance, including a 4 min baseline. Participants then followed scenarios A (a 4 minute drive on the simulator) and B (a 4 minute drive following a leader at constant speed) designed as an adaptation to operate with the simulator. Then the experimental phase proper began. Participants in scenario C were told to follow the lead swinging car and adopt DD or DI; neither option was given an explicit verbal label. The group performing DD first followed this instruction: "In the simulated driving scenario that you will enter, you will see a vehicle ahead of you and it will not move at a constant speed. Sometimes it will go faster or slower. We ask you to travel behind that vehicle as

329 closely as possible without ever risking a crash." Right after this brief instruction (certainly an extreme case,

330 mirroring stop-and-go cycles under forced traffic), they performed on the simulator and once this first task was

finished, they were given the SAM scales. Following this, the instruction for DI was provided: "In the simulated

driving scenario, you will see a vehicle ahead of you and it will not move at a constant speed. Sometimes it will

333 go faster or slower. We ask you to travel smoothly behind the vehicle and maintain a constant speed, without

letting the lead vehicle move too far away.". The SAM scales were filled in again. For the group performing DI

first, the instructions' order was reversed.

336 **3.** Analysis and Results

337 **3.1. Descriptive statistics**

- Table 1 presents the averages and standard deviations of the four blocks of measures of individual driving
- 339 performance, emotional dimensions, visual parameters and road space occupied by a platoon of 8 DD followers.

	DD		DI	
Parameter	М	SD	М	SD
Skin conductance in µS	13.98	5.21	11.94	4.81
Valence (1=displeasure; 9= pleasure)	6.00	1.53	5.80	1.65
Arousal (1= aroused; 9= relaxed)	3.57	1.76	5.23	1.72
<i>Dominance (1= dominated; 9= dominant)</i>	5.53	1.85	6.90	1.73
Frequency of eye fixations	142.68	9.48	134.63	11.39
Average duration of eye fixations in ms	370.23	28.32	555.19	91.40
Dwell time in ms	57205	2885	61929	2441
Frequency of revisits	24.57	3.09	39.27	4.47
Number of accelerations	176.93	92.66	78.27	73.16
Number of decelerations	119.83	34.08	51.27	39.97
Number of collisions	1.87	2.03	0.17	0.46
Distance to lead vehicle in m (M)	5.86	1.01	11.56	4.30
Distance to lead vehicle in m (SD)	3.72	0.68	4.25	0.94
Speed in m/s (M)	3.08	0.03	3.07	0.03
Speed in m/s (SD)	2.60	0.28	1.40	0.71
Distance from lead vehicle to 8^{th} vehicle in $m(M)$	107.06	1.31	102.78	5.56

Distance from participant vehicle to \mathcal{S}^{th} vehicle in $m(M)$	101.20	1.28	91.22	6.63
Virtual fuel consumption (liters)	17.92	1.26	14.50	1.88

340 Table 1. Descriptive statistics for DD and DI

341 **3.2. Inferential analysis**

The four blocks (driver's performance, emotional dimensions, visual behavior and road space occupied by DD followers) were subjected to repeated-measures ANOVA having two levels of driving orientation (DD, DI) as within-subject factor and controlling the order (DD-DI, DI-DD) as between-subject factor. To simplify the exposition, emotional dimensions and visual patterns will be described first, and individual driving performance and collective occupancy of road space will be summarized together.

347 SC measures of arousal were analyzed in a 2 (DD, DI) x 2 (DD-DI, DI-DD) ANOVA. The analyses yielded 348 significant differences for SC under DD vs DI, $F_{(1,28)} = 30.68$; p = .0001, $\eta_p^2 = .523$ (table 1). Fig. 4 shows the 349 pattern of skin conductance variations through the task under DD vs DI for the whole group. Although skin 350 conductance was roughly equal at the beginning of the task both lines separated and differences become more 351 acute as the task progressed. DD drivers kept a higher level of arousal throughout the task than did DI drivers.

352

353



354 Figure 4. Drivers' arousal while performing the car-following task.

355 Self-reports on emotional dimensions were analyzed in a 2 (DD, DI) x 3 (valence, arousal, dominance) x 2 (DD-DI; DI-DD order) ANOVA. The aggregate measure of the three SAM dimensions differed for each driving 356 technique, $F_{(1,28)} = 13.91$; p = .001, $\eta_p^2 = .332$. DI (M = 5.98) was higher than DD (M = 5.03). SAM subscales also 357 differed, $F_{(2,56)} = 19.62$; p = .0001, $\eta_p^2 = .412$. The arousal scores (M = 4.40) were lower than the valence (M =358 359 5.90, p = .001) and dominance scores (M = 6.22, p = .001), but valence and dominance did not differ each other 360 (p = .36). Both factors yielded an interaction, $F_{(2,56)} = 17.31$; p = .0001, $\eta_p^2 = .382$ (table 1). Differences between DD and DI in terms of valence were not significant (p = 0.54) but arousal (p = .001) and dominance (p = .001)361 362 differed.

363 The four variables concerning visual behavior (frequency and average duration of eye fixations, dwell time 364 and revisits) were analyzed in a 2 (DD, DI) x 3 (TRC, BRC, WSA) x 2 (DD-DI; DI-DD) ANOVA. Results 365 showed no differences between DD and DI in the frequency of eye fixations (p = .48; table 1). Mauchly's W test 366 indicated that the assumption of sphericity was not reached, neither for the eye movements regions ($X^2_{(2)} = 6.45$, p = .04, Chi-square) nor for the within-subject factors interaction ($X^2_{(2)} = 20.26$, p = .001). Therefore, degrees of 367 368 freedom were corrected using Greenhouse-Geisser estimates of sphericity ($\varepsilon = .83$ and $\varepsilon = .65$, respectively). The 369 frequency of eye fixations differed for each region, $F_{(2.56)} = 94.85$; p = .0001, $\eta_p^2 = .772$. The TRC region 370 received less fixations (M = 25.38), than BRC (M = 296.51) and WSA eye fixations (M = 94.07; all mean 371 differences significant at p = .001). An interaction was found, $F_{(2.56)} = 13.46$, p = .0001, $\eta_p^2 = .325$. The TRC eye fixations did not differ in terms of driving technique (DD: M = 31.70; DI: M = 19.07; p = .23), but the BRC (DD: 372 373 M = 352.17; DI: M = 249.87; p = .002) and WSA ones did (DD: M = 56.80; DI: M = 131.13; p = .002). While 374 DD drivers focused comparatively more on the BRC (i.e., keeping a visual scanning based on smaller vision 375 angles), DI ones focused more on WSA, the surrounding area (keeping wider vision angles). 376 The mean duration of fixations differed for DD and DI, $F_{(1,28)} = 4.79$; p = .037, $\eta_p^2 = .146$ (table 1), and for each region too, $F_{(2,56)} = 7.74$; p = .001, $\eta_p^2 = .217$. The TRC region received shorter mean fixations (M = 346.62377 ms), than the BRC (M = 544.98 ms) and WSA regions (M = 496.54 ms). Differences TRC-BRC (p = .002) and 378 379 TRC-WSA were significant (p = .011); differences BRC-WSA were not (p = .29). No interactions were found. 380 Dwell times, the time spent in the same area, differed in terms of driving technique, $F_{(1,28)} = 12.98$; p = .001, $\eta_{\rm p}^2 = .317$ (table 1). Mauchly's W test indicated that the assumption of sphericity was not reached, neither for the 381 region factor ($X_{(2)}^2 = 10.90$, p = .004) nor for the interaction between within-subject factors ($X_{(2)}^2 = 44.08$, p =382 .0001). Corrected Greenhouse-Geisser estimates of sphericity were adopted ($\varepsilon = .75$ and $\varepsilon = .55$, respectively). 383 Dwell times differed for each region, $F_{(2.56)} = 97.45$; p = .0001, $\eta_p^2 = .777$, being shorter on TRC (M = 8490 ms), 384

than on BRC (M = 128692 ms) or WSA regions (M = 41519 ms; all mean differences were significant at p =

.0001). Both factors yielded an interaction, $F_{(2,56)} = 12.18$, p = .001, $\eta_p^2 = .303$: the TRC dwell times were shorter

- for DD (M = 5381) than for DI (M = 11598; p = .035); BRC dwell times were longer for DD (M = 145590) than
- for DI (M = 111795; p = .005), and WSA dwell times were again shorter for DD (M = 20644) than for DI (M = 111795; p = .005), and WSA dwell times were again shorter for DD (M = 20644) than for DI (M = 111795).
- 62394; p = .0001). Overall, DD drivers focused longer on BRC (Fig. 5, A), while DI ones focused longer to TRC
- and WSA regions (Fig. 5, B).





392

Figure 5: Two examples of heat points under DD (A) and DI (B).

Revisits, an index of visual activity that indicates when the fixation changes from one of the three regions to 393 another region which had been previously seen, differed for DD vs DI, $F_{(1,28)} = 14.23$; p < .001, $\eta_p^2 = .337$ (table 394 395 1). Again, the assumption of sphericity was not reached, for the region factor ($X^2_{(2)} = 45.93$, p = .0001) and for the interaction between within-subject factors ($X^2_{(2)} = 15.30$, p = .0001). Degrees of freedom were corrected 396 397 using Greenhouse-Geisser estimates of sphericity ($\varepsilon = .55$ and $\varepsilon = .70$, respectively). The amount of revisits differed among regions, $F_{(2,56)} = 35.78$; p = .0001, $\eta_p^2 = .561$. TRC revisits were fewer (M = 14.08), than BRC 398 399 (M = 44.52) and WSA revisits (M = 37.15; all mean differences significant at p = .001). Driving technique and region interacted, $F_{(2,56)} = 6.58$, p = .003, $\eta_p^2 = .190$. The TRC region did not show differences in revisits in terms 400 401 of driving technique (DD: M = 11.13; DI: M = 17.03; p = .11), but differences were significant for BRC (DD: M 402 = 35.57; DI: M = 53.47; p = .002) and WSA regions (DD: M = 27.00; DI: M = 47.30; p = .0001). Finally, the 403 driving technique, region and order interaction was significant too, $F_{(2,56)} = 4.82$, p = .012, $\eta_p^2 = .147$. Although 404 no effect was hypothesized for order, we aimed to check the impact of order on the DD/DI x region interaction 405 (e.g., if beginning with DI influences the way regions are explored under DD). Post hoc simple second-order 406 interaction effects indicated no significant differences for DD-DI vs DI-DD considering TRC (p = .92) BRC (p = .92) 407 .41) or WSA (p = .31) regions under DD. Similarly, the analysis yielded no significant differences for DD-DI vs 408 DI-DD considering TRC (p = .19) BRC (p = .94) or WSA (p = .71) regions under DI.

409

410 We now turn to the block of performance measurements. Accelerations and decelerations were analyzed in a

- 411 2 (DD, DI) x 2 (Acc., Dec.) x 2 (DD-DI, DI-DD) ANOVA. Results showed a greater number of
- 412 acceleration/deceleration operations under DD (M= 148.38) than under DI (M= 64.77), $F_{(1,28)}$ = 31.417; p =
- 413 .0001, $\eta_p^2 = .529$. Overall, accelerations (M = 127.60) were more frequent than decelerations (M = 85.55), $F_{(1,28)} =$
- 414 20.063; p = .0001, $\eta_p^2 = .417$. Both factors (DD/DI; Acc./Dec.) yielded a marginal interaction, $F_{(1,28)} = 4.14$, p = 4.14, p = 4.14,
- 415 .051, $\eta_p^2 = .129$ (table 1): although accelerations are always more frequent than decelerations, under DD the ratio
- 416 between accelerations and decelerations (67.73%, p = .001) was somewhat more marked than under DI (65,50%,
- 417 p = .004).

- 418 Each of the remaining performance parameters was analyzed in a 2 (DD, DI) x 2 (DD-DI, DI-DD) ANOVA.
- 419 Speed and distance represent the fundamentals of DD and DI driving techniques. DD/DI differences on average
- 420 speed were only marginal, $F_{(1,28)} = 3.47$; p = .072, $\eta_p^2 = .111$, but the average speed dispersion differed
- 421 significantly, $F_{(1,28)} = 153.142$; p = .0001, $\eta_p^2 = .845$ (Table 1), being higher under DD. Fig. 6 shows speed under
- 422 DD and DI along 4 minutes for the whole sample (note the brief initial adaptation phase, then a stabilization, and423 the wider speed range for DD).



425 Figure 6: Average speed throughout the task for DD versus DI.

426 Conversely, results indicated that the average distance to the leader was shorter under DD than DI, $F_{(1,28)} =$ 427 52.83; p = .0001, $\eta_p^2 = .654$ (table 1). The mean variations of distance while following the leader yielded smaller 428 dispersions under DD than under DI, $F_{(1,28)} = 8.210$; p = .008, $\eta_p^2 = .227$. Fig. 7 shows the distance to leader kept 429 when DD vs DI techniques were applied.

430



431

432 Figure 7: Average distance to the leader throughout the task for DD versus DI.

433

With regards to collisions, significant differences were found for DD vs DI, $F_{(1,28)} = 21.62$; p = .0001, $\eta_p^2 = .436$ (table 1). Overall, DD drivers accelerate and decelerate more, adopting a similar average speed but higher speed dispersion, and smaller distance to the leader, with lower distance variations, i.e., they assume a CF context with more disturbances and a higher crash probability. Real-life points to congestion as the main context for rear-end collisions too (Davis and Swenson, 2006; SWOV, 2010). Finally, in agreement with the previous data (accelerations, decelerations, speed and distance variability), the average fuel consumption was about 20% greater for DD compared to DI, $F_{(1,28)} = 236.411$; p = .0001, $\eta_p^2 = .894$.

441 The road space occupied by the 8 DD-virtual car platoon was then considered (the simulator systematically

442 stored the X coordinate of the reference vehicles -leader, participant and last vehicle of the following platoon-

443 each second along the whole trip). The distance between the participant's vehicle and the 8th vehicle was longer

under DD than under DI, $F_{(1,28)} = 74.99$; p = .0001, $\eta_p^2 = .728$. And also the distance between the lead vehicle and the 8th vehicle was longer for DD than for DI, $F_{(1,28)} = 18.31$; p = .0001, $\eta_p^2 = .395$ (table 1). Both results are of interest, considering Figs. 6 and 7: although the distance between the participant and the leader is greater under DI (the so-called anti-jam distance must be kept), the 8 DD-followers occupied less road space. So the wave-offsetting distance DI participants needed to keep inertia, stabilized the coming traffic behind, fostering a stable, shorter platoon of DD followers and less road occupancy overall.

Focusing on DD/DI as indicated by the $\eta_{\rm p}^2$ scores, the effect size for the cognitive-affective factors was 450 451 medium-sized (.523 for the DD/DI differences in skin conductance, .382 for the interaction DD/DI x SAM 452 subscales). With regards to the eye-movements, effects were small to middle-sized, and we would underline the 453 DD/DI x Region interaction for eye fixations (.325), and for dwell time (.303). The effect size associated with 454 performance factors was wide-ranging, some of them were large. DD/DI differed for accelerations/decelerations 455 (.529), the amount of collisions (.436), the average distance to the lead vehicle (.654), but most importantly for 456 the speed variation (.845), the distance between the participant's vehicle and the last one (.728) and fuel 457 consumption (.894).

458 **4. Discussion**

459 Summing up the results indicate that DI drivers were more efficient, felt less aroused but more dominant, 460 displayed richer visual patterns, and were more uniformly followed by a platoon of DD virtual drivers. The 461 evidence confirmed the psychological and behavioral validity of the WD approach to CF: the same driver could 462 drive in DD and DI modes when following a lead 'swinging' car; drivers could implement the driving techniques 463 by simply heeding a 10 s instruction (three sentences); and DI drivers kept the driving instruction as requested 464 (i.e., not returning to DD after a while). The very same driving situation, following a leading vehicle that 465 progress amidst a series of stop-and-go cycles, can be confronted by adopting two essentially different CF 466 techniques. This statement completely changes our perspective of how it is possible to organize traffic flows; so 467 far we have only taken half of the possibilities.

468

469 The block of performance measures accurately characterizes these two opposing approaches to vehicular flow. In

470 line with what Sugiyama et al. (2008; Tadaki et al., 2013) observed in Nagoya, DD is only possible at the

471 expense of strong speed variations, which in turn demands more frequent accelerations and decelerations (Fig.

6). This performance pattern produced results concerning individuals' health. On the one hand, a road safety

473 problem derived from a greater probability of rear-end collisions (Davis and Swenson, 2006; SWOV, 2010). On

474 the other hand, a public health issue derived from a higher fuel consumption leading to polluting emissions 475 (Caiazzo et al., 2013). In turn, DI is only possible if reconsidering and anticipating the right CF distance through a proper decomposition of safety and anti-jam distances (Fig. 1, B; Fig. 7). However, rethinking CF in this way 476 477 has its logical reward: fewer accelerations and decelerations, fewer accidents and fuel consumption, with the 478 consequent gain in health. Note that the very same swinging leader, keeping the same average speed, was 479 followed driving DD/DI at virtually equal average driving speeds. Results concerning the block of performance 480 measures of the present study consolidate findings in previous studies (Blanch et al., 2018; Carrasco, 2017; 481 Taniguchi et al., 2015).

482

483 The block of affective dimensions includes psychophysiological (skin conductance) and self-report measures 484 (SAM scales), and also points to individual health issues. Overall, DD occurred at the expense of greater arousal 485 and less sense of dominance whereas DI required less arousal yet prompting feelings of dominance. Skin conductance, controlled by the sympathetic branch of the autonomous nervous system, shows DD/DI curves 486 487 move away from each other over time (Fig. 4) yielding neat DD/DI differences. It is important to note the 488 coincidence of psychophysiological (skin conductance) and self-report (SAM scale) measures of arousal (Lang, 489 1980; Lang et al., 1999): both differ significantly for DD and DI. These results, including self-reports of 490 enhanced dominance under DI, replicate previous findings (Lucas-Alba et al., 2017). Arousal is a fundamental 491 emotional dimension, along with valence. Aroused drivers can be happy or angry, depending on valence. If the 492 driver goals are blocked by third parties (quite common in congestion), drivers frustrate and become angry 493 (Mesken et al., 2007; Zhang and Chan, 2014) giving way to behaviors as tailgating or lane change (Shinar and 494 Compton, 2004; Deffenbacher et al., 2003; Abdu, Sinar and Meiran, 2012), what in turn worsens congestions. 495 However, our study does not present DI/DD differences in valence, the CF task was generally experienced as 496 moderately and equivalently pleasant (Table 1). Manipulating valence and eliciting discrete emotions remains as 497 a due challenge for future studies. Analyzing DD/DI in terms of fundamental psychological needs (autonomy, 498 competence, and affinity; Reeve, 2018) could also be relevant. If perceived as an undue constriction of freedom, 499 a loss of autonomy may give way to psychological reactance, a motivational state with compelling behavioral 500 properties (Steindl et al., 2015). Helping drivers understand and manage CF under a DI perspective should 501 enhance feelings of autonomy and competence, a true traffic calming measure.

502

503 The block concerning visual behavior under the DD/DI techniques was an entirely new exploration. Visual 504 parameters (fixations, dwell times, revisits) differed for DD and DI in terms of the region under scrutiny (top 505 rear car, bottom rear car, and white space area –i.e. the wider area surrounding the car). While Driving to keep 506 Distance drivers' perceptual focus narrowed into the BRC region: more fixations, and longer dwell times, but 507 less revisits. This visual pattern corresponds to a rather small visual angle span and visual immobility: drivers 508 kept concentrated around the braking light's area. DD drivers' visual behavior to keep safety distance relied 509 substantially on BRC and drivers are normally good at estimating time to crash based on upon stereoscopic depth 510 perception and visual angles subtended by a lead vehicle (Gray and Regan, 1998). In the Action Point paradigm, 511 visual angle models define the follower action in terms of the perceived horizontal visual angle between the 512 followers' retina and the width of the leader's vehicle (Pariota and Bifulco, 2015; Saifuzzaman and Zheng, 513 2014). This concept is adopted to examine the driver's ability to scale the relative velocity between the vehicles 514 (Yousif and Al-Obaedi, 2011). According to visual-angle CF models, DD drivers would be expected to 515 accelerate/decelerate as a function of angular velocity (e.g., when the just noticeable difference threshold 516 exceeds 10%) (Brackstone and McDonald, 1999), and so they would pay attention to the BRC area width in 517 particular (braking lights). Besides this basic perceptual mechanism, our inquiry concerns the visual strategy adopted looking ahead while following the very same type of swinging leader, grossly labeled as the expert 518 519 (anticipatory) vs novel (reactive) approaches. The results indicate that while Driving to keep Inertia the focus 520 extended to the WSA region: more fixations, and longer dwell times, but also more revisits were obtained 521 coupled with more revisits into the BRC region too. This visual pattern corresponds to larger visual span and 522 visual dynamism: the DI driver looked ahead (WSA) but alternated more between different zones, so revisits 523 changed from BRC to WSA, back and forth. It is interesting to note how DD kept wide speed variations at the 524 expense of rigid visual scan while driving DI aims for a uniform speed based on a rather flexible and dynamic 525 visual behavior (Fig. 5). Keeping a uniform speed requires decomposing CF distance into safety and anti-jam 526 zones. Hence, DI drivers also needed to pay attention to BRC while taking safety distance into account (as DD 527 drivers did). However, this work was complemented with anticipations concerning the anti-jam zone (damping the leader oscillation and keeping a uniform speed). This calculus was probably not only based on immediate 528 529 visual cues but some type of visual heuristic or scheme built on the fly (DeLucia, 2013). Future work should 530 answer to this basic question: how difficult is learning and adopting the perceptual schemes and anticipations 531 that bring on a successful DI technique under different CF circumstances (e.g., varying stop-and-go patterns of 532 the lead vehicle, traffic density, relative size of the preceding vehicle, and the like; DeLucia, 2013).

533

534 The fourth block focuses on variations observed on the platoon of DD followers and brings the Nagoya paradigm 535 (Sugiyama et al., 2008) one step beyond (see Stern et al., 2018 for results with autonomous vehicles). Each of 536 the eight DD vehicles following participants reacted equally to changes in speed and distance of the preceding 537 vehicle. Driving to keep Inertia requires additional anti-jam space to offset disturbances emitted by the leader 538 (Fig. 7). However, the DD followers required more road space than DI followers, not only when the distance 539 between the participant and the 8th follower is considered, but also considering the stretch between the leader and 540 the very last vehicle. So the wave-offsetting anti-jam distance required to keep inertia was key to stabilize traffic 541 behind, reducing the road space needed and forming a compact, uniform platoon. However, the WD approach warns about the way the 4th variable, the unused or remaining space, is managed. Learning to do it properly is 542 543 important. In a previous study (Blanch et al., 2018; study 3) participants were not trained to evaluate perceptual 544 cues following the leader; as a result, differences in road space between leader and 8th vehicle were similar for 545 DD/DI (although differences in road space between participant and 8th vehicle differed significantly). 546 Participants in the present study were invited to explore that cues properly in a previous task (at Scenario B, 547 participants were requested to coming close and familiarize with vehicle dimensions while following a leader at constant speed). The result is that both the absolute and relative space required by the 8th DD following platoon 548 549 diminished under DI. This result turns into a basic principle of traffic wave dynamics: to achieve platooning at 550 uniform speeds, the speed fluctuations and distance to the preceding vehicles must be properly understood and 551 managed. There is a growing consensus that automation will be surely accompanied by a reduction in time 552 headway, and some researchers expect negative effects after the interaction between automated vehicles and 553 unequipped vehicles begins (Gouy et al, 2014). However, studies focusing on Adaptive Cruise Control have 554 shown that platoon stability emerges with a sufficient gap: only with a short gap, the instability predominates 555 (Ploeg, Wouw, and van de Nijmeijer, 2014). Perhaps reducing time headway is not a good idea after all -dense 556 platoons are the places where disturbances flow better, also between robots, connected or automated cars, that's 557 a law of nature. This is also why autonomous vehicles that are successfully keeping a stable flow by calculating the average speed of the platoon (Stern et al., 2018) may be in trouble if speed fluctuations of some vehicles 558 559 ahead are not rightly anticipated and timely compensated adjusting the anti-jam distance. 560

4.1. Limitations

562 The main objective of this research was to characterize two driving techniques (DD / DI) considering both the variables of the individual driver (including behavioral, emotional and perceptual factors) and a group of eight 563 564 following drivers (the road space occupied by the platoon). Results are robust and relevant but some aspects may 565 be improved. The sample was made of young, relatively inexperienced drivers, most of them university students, 566 and a wider sample with older and more experienced drivers should be also tested. The driving simulator was a 567 simple one, and served our purposes functionally, but a more sophisticated simulator (with gas/brakes pedals and 568 a wheel, wider screen, movement, a more realistic scenario, and a more specific energy consumption model) 569 would be a convenient step to follow (also the use of Instrumented Vehicles). However, besides simulation 570 quality and sophistication, essential factors need not be overlooked. Consider this video comparing the 571 experiment in Nagoya (Sugiyama et al., 2008) with a few robot drivers (https://bit.ly/3gIELi6). Both human 572 drivers and robots adopt the same car-following algorithm (driving with two variables) and so create the same 573 effect: congested groupings by soliton waves. There are many differences between them, but both human drivers 574 and robots comply with physical laws. DD and DI are techniques that require opposing driving strategies in 575 mathematical (number of variables), physical (keeping distance vs inertia), and behavioral terms. To drive DD vs 576 DI, drivers need resorting to strongly opposed mechanisms in attentional, perceptual, and cognitive-emotional 577 terms. Such differences were, in this early stage of our research, made clear in our simulator. Having said this, it 578 is sensible to introduce more realism in future studies. For example, although some pilot tests with real cars in a 579 closed circuit have confirmed the expected outcomes regarding DD/DI (https://bit.ly/2XnQiMo), complementing 580 the cognitive, emotional and behavioral exploration of DD and DI in a driving simulator with more standard 581 functionalities (e.g., with accelerator/brake pedals) and greater realism (e.g. reproducing urban or interurban 582 settings) seems an important objective. Also, the speed of the lead car in our study was very low (reproducing 583 stop-and-go congested traffic) and examining a wider range of speeds would be also convenient, not to mention 584 the fact that merging, overtakes and multiple lanes are perturbing elements that feed congestions as well. Indeed, 585 real traffic jams often involve more complex situations for the driver than Nagoya's circle. Manipulating valence 586 to elicit specific discrete emotions (e.g., anger, anxiety) would be another important goal. 587 Although we must continue to delve into the WaveDriving concept, it is possible to glimpse some practical 588 applications. Phantom traffic jams (that is, traffic jams caused by interference of speed) have many different

causal factors: for example, changes in speed limits (before a curve, a tunnel entrance, signaling road works),

590 changes in the geometry of the route (curves, slopes), even distractions (near-road publicity), are some of the

most common causes. The future lies in driver education, but different anticipatory strategies combining police
 enforcement and variable message signs could contribute greatly to appease and stabilize the flows.

593 **5.** Conclusions

594 WaveDriving could be a determinant and act proactively as a bottom-up element against traffic flow's oscillatory 595 nature, a decisive contribution towards uninterrupted traffic flows. DI was more efficient than DD, either 596 considering driving parameters, cognitive-emotional or collective ones. DD and DI are two altogether different 597 alternatives to follow a swinging leader, but participants adopted and kept them as requested, yielding clear and 598 consistent differences in terms of speed dispersion, CF distance and distance variability, rear-end collisions and 599 fuel consumption. Emotional dimensions differed as well: drivers felt less aroused and more dominant driving 600 DI than driving DD. Visual patterns also differed for DD and DI in terms of attentional focus (fixations and dwell times upon BRC vs WSA/TRC), visual span and scanning variability (revisits). Finally, in spite of the 601 602 supplementary anti-jam distance required to keep inertia while following a swinging leader, the platoon of DD 603 followers occupied less road space when DI was adopted.

604

605 Some of the experimental and working conditions of this study can indeed improve (the sample, the simulator, 606 manipulating valence, the range of speeds of the leader) and will form part of the future directions of our work. 607 Another important line of research (sharpened by the COVID 19 issue) concerns developing a proper WD 608 learning environment (Melchor et al., 2018). However, the present results consolidate an elementary 609 reinterpretation of the traffic flow, with potential repercussions on its sustainability, land-use planning and 610 commercial and leisure mobility. Talking about road capacity may be somehow deceptive. Road functionality should rely on how flows are ordered. The same road, even the same leading behavior, yields differing order and 611 612 road occupancy for the following platoon depending on the driving technique and strategy applied. Paraphrasing 613 Norbert Wiener (1950), one may say that each single driver can be essential in bringing order to the natural 614 entropy of dynamic systems such as traffic flows. Drivers can mentally model the present dynamics of traffic 615 ahead and damp oscillations - not contributing to the problem, but to the solution. Some researchers anticipate difficulties mixing human and non-human drivers in the flows (Gouy et al., 2014). Why should not drivers 616 617 (human and automated) keep similar CF strategies in favor of mobility? Longitudinal mechanical waves are instruments of nature that serve different types of movement, and automatons are committed too. Adopting a 618 619 comprehensive stance is key reaching the functional integration of moving humans and robots alike.

620

621 Acknowledgment

- 622 We thank José Luís Toca-Herrera (BOKU, Vienna) for assisting the research with insight and expertise.
- 623 Support came from Fundación Universitaria Antonio Gargallo y Obra Social Ibercaja, Spain (grant 2015/B011).

624 References

- Abdu, R. Shinar, D. Meiran. N. 2012. Situational (state) anger and driving. *Transportation Research Part F*,
 15: 575-580.
- 627 Adams, J.A. 1971. A closed-loop theory of motor learning. *Journal of Motor Behavior*, 3: 111-150.
- Bando, M., Hasebe, K., Nakayama, A., Shibata, A. & Sugiyama, Y. 1995. Dynamical model of traffic
- 629 congestion and numerical simulation. *Physical Review E*, 51: 1035-1042.
- 630 Blanch, M.T., Lucas-Alba, A., Bellés, T., Ferruz, A., Melchor, O., Delgado, L., Ruíz, F., Chóliz, M. 2018.
- 631 Car following: Comparing distance-oriented vs. inertia-oriented driving techniques. *Transport Policy*, 67: 13-22.
- Brackstone, M. & McDonald, M. 1999. Car-following: A historical review. *Transportation Research Part F*,

633 2: 181-196

- 634 Brackstone, M., Sultan, B., & McDonald, M. 2002. Motorway driver behavior: Studies in car following.
- 635 *Transportation Research Part F, 5(1)*: p. 31–46.
- 636 Cai, H.; Lin, Y. 2011. Modeling of operators' emotion and task performance in a virtual driving environment.
- 637 International Journal of Human-Computer Studies, 69: 571-586.
- 638 Caiazzo, F., Ashok, A., Waitz, I.A., Yim, S.H.L. & Barret, S.R.H. 2013. Air pollution and early deaths in the
- 639 United States. Part I: Quantifying the impact of major sectors in 2005. Atmospheric Environment, 79: 198-208.
- 640 Carrasco, F. 2017. Estudio del efecto de la conducción eficiente sobre el tráfico. Final Degree Thesis,
- 641 Universidad Politécnica de Madrid, Spain.
- Chandler, F.E., Herman, R., Montroll, E.W., 1958. Traffic dynamics: studies in car following. Oper. Res. 6,
 165–184.
- Charlton, S.G. & Starkey, N.J. 2011. Driving without awareness: The effects of practice and automaticity on
 attention and driving. *Transportation Research Part F, 14*: 456–471.
- 646 Davis, G.A. Swenson, T. 2006. Collective responsibility for freeway rear-ending accidents? An application
- of probabilistic causal models. Accident Analysis and Prevention, 38: 728-736.
- 648 Deffenbacher, J. L. Lynch, R. S. Filetti, L. B. Dahlen, E. R. Oetting, E. R. 2003. Anger, aggression, risky
- behaviour, and crash related outcomes in three groups of drivers. Behaviour Research and Therapy, 41: 333-
- 650 349.

- 651 DeLucia, P.R. 2013. Effects of size on collision perception and implications for perceptual theory and
- transportation safety. Current Directions in Psychological Science, 22(3): 199-204.
- 653 Evans, L. 1991. *Traffic safety and the driver*. Van Nostrand Reinhold.
- 654 Fitzgerald, T.D., 2003. Role of trail pheromone in foraging and processionary behavior of pine processionary
- 655 caterpillars Thaumetopoea pityocampa. J. Chem. Ecol. 29, 513–532.
- 656 Frijda, N.H. 2001. The laws of emotion. In W.G. Parrot (Ed.), Emotions in social psychology: Essential
- 657 readings, 57-69. Philadelphia: Psychology Press.
- Gazis, D.C. & Herman, R. 1992. The moving and "phantom" bottlenecks. *Transportation Science*, 26: 22322.
- 660 Goodenough AE, Little N, Carpenter WS, Hart AG. 2017. Birds of a feather flock together: Insights into
- starling murmuration behaviour revealed using citizen science. *PLoS ONE 12(6): e0179277.*
- 662 https://doi.org/10.1371/journal.pone.0179277
- 663 Gouy, M., Wiedemann, K., Stevens, A., Brunett, G., Reed, N. 2014. Driving next to automated vehicle
- 664 platoons: how do short time headways influence non-platoon drivers' longitudinal control? *Transportation*
- 665 Research Part F, 27: 264-273.37.
- 666 Gray, R. & Regan, D. 1998. Accuracy of estimating time to collision using binocular and monocular
- 667 information. Vision Research, 38: 499-512.
- 668 HCM. 2016. Highway Capacity Manual 6th Edition: A Guide for Multimodal Mobility Analysis.
- 669 Transportation Research Board. Washington D.C.
- 670 Helly, W. 1959. Simulation of Bottlenecks in Single Lane Traffic Flow. Proceedings of the Symposium on
- 671 Theory of Traffic Flow, Research Laboratories, General Motors: 207-238.
- Horst, A.R.A. van der. 2013. Behavioural adaptation to roadway engineering countermeasures. In C.M.
- 673 Rudin-Brown & S.L. Jamson (Eds.). Behavioural adaptation and road safety: Theory, evidence and action. CRC
- 674 Press, 113-134.
- Huestegge, L., Skottke, E.M., Anders, S., Müsseler, J., Debus, G. 2010. The development of hazard
- 676 perception: Dissociation of visual orientation and hazard processing. *Transportation Research Part F, 13*: 1–8.
- Kometani, E., Sasaki, T. 1958. On the stability of traffic flow (report-I). J. Oper. Res. Soc. Japan, 2 (1): 11-
- 678 26.
- 679 Lang, P.J. Bradley, M.M., Cuthbert, B.N. 1999. International Affective Picture System (IAPS): Technical
- 680 manual and affective rating. Gainesville, FL: The Center for Research in Psycophisiology, University of Florida.

- 681 Lang, PJ. 1980. Behavioral treatment and bio-behavioural assessment: computer applications. In J.B.
- 682 Sidowski, J.H. Johnson, and T.A. Williams (Eds.), *Technology in Mental Health Care Deliver Systems*. Ablex:
- 683 119-137.
- 684 Lazarus, R. 1991. *Emotion and Adaptation*. New York: Oxford University Press.
- Lee, Y., Lee, J. D., Boyle, L. Ng. 2007. Visual Attention in Driving: The Effects of Cognitive Load and
- 686 Visual Disruption. *Human Factors*, 49 (4), 721–733.
- 687 Lewis-Evans, B. de Waard, D. Brookhuis, K.A.2011. Speed maintenance under cognitive load Implications
- 688 for theories of driver behavior. Accident Analysis and Prevention, 43: 1497-1507.
- 689 Lucas-Alba, A., Blanch, M.T., Bellés, T., Ferruz, A.M., Hernando, A., Melchor, O.M., Delgado, L.C., Ruíz,
- 690 F. & Chóliz, M. 2017. Car-following techniques: reconsidering the role of the human factor. In D. de Waard, A.
- 691 Toffetti, R. Wiczorek, A. Sonderegger, S. Röttger, P. Bouchner, T. Franke, S. Fairclough, M. Noordzij & K.
- 692 Brookhuis (Eds.). Proceedings of the Human Factors and Ergonomics Society Europe Chapter 2016 Annual
- 693 *Conference*, 47-56. Available from http://hfes-europe.org.
- Melchor, O., Lucas-Alba, A., Ferruz, A.M., Blanch, M.T., Martin-Albó, J. (2018). The WaveDriving Course.
- 695 Transportation Research Procedia, 33, 179-186.
- 696 Mesken, J., Hagenzieker, M.P., Rothengatter, T., & de Waard, D. 2007. Frequency, determinants, and
- 697 consequences of different drivers' emotions: An on-the-road study using self-reports, (observed) behaviour, and
- 698 physiology. Transportation Research Part F, 10: 458-475.
- 699 Michaels, R.M., 1963. Perceptual Factors in Car Following, Proceedings of the 2nd International Symposium
- 700 on the Theory of Road Traffic Flow (London, England), OECD.
- 701 Moltó, J., Montañés, S., Poy, R., Segarra, P., Pastor, M.C., Tormo, M.P., Ramírez, I., Hernández, M.A.,
- 702 Sánchez, M., Fernández, M.C., & Vila, J. 1999. Un nuevo método para el estudio experimental de las
- 703 emociones: El International Affective Picture System (IAPS). Adaptación española. Revista de Psicología
- 704 *General y Aplicada, 52: 55-87.*
- 705 Ni, D. 2016. Traffic Flow Theory. Characteristics, Experimental Methods, and Numerical Techniques.
- 706 London: Elsevier.
- Ni, D., Li, L., Wang, H., Jia, C. 2017. Observations of the fundamental diagram and their interpretation from
 the human factors perspective. *Transportmetrica B: Transport Dynamics*, Vol. 5 (Issue 2): 159-176.
- 709 Orosz, G., Wilson, E. & Krauskopf, B. 2004. Global bifurcation investigation of an optimal velocity traffic
- (10) Olosz, G., Wilson, E. & Mauskopi, B. 2004. Global billication investigation of an optimal velocity train
- 710 model with driver reaction time. *Physical Review E*, 70: (026207), 1-10.

- 711 Pariota, L. & Bifulco, G.N. 2015. Experimental evidence supporting simpler Action Point paradigms for car-
- following. Transportation Research Part F, 35: 1-15.
- 713 Pariota, L., Bifulco, G.N. & Brackstone, M. 2016. A linear dynamic model for driving behavior in car
- following. Transportation Science, 50: 1032-1042.
- 715 Ploeg, J. Wouw, N. van de. Nijmeijer, H. 2014. Lp String Stability of Cascaded Systems: Application to
- 716 Vehicle Platooning. IEEE Transactions on Control Systems Technology, 22(2): 786-793.
- 717 Reeve, J. 2018. *Undertanding Motivation and Emotion* (7th Ed.). Wiley.
- 718 Saifuzzaman, M. & Zheng, Z. 2014. Incorporating human-factors in car-following models: A review of
- recent developments and research needs. *Transportation Research Part F*, 48: 379-403.
- 720 Sharma, A., Zheng, Z., Bhaskar, A., Haque, M., 2019. Modelling car-following behaviour of connected
- vehicles with a focus on driver compliance. *Transportation Research Part B*, 126: 256-279.
- 722 Shinar, D. Compton, R. 2004. Aggressive driving: an observational study of driver, vehicle, and situational
- variables. Accident Analysis and Prevention, 36: 429-437.
- 724 Smeed, R.J., 1968. Traffic studies and urban congestion. J. Transp. Econ. Policy 2, 33–70.
- Song, M. Wang, J.H. 2010. Studying the tailgating issues and exploring potential treatment. *Journal of the*
- 726 Transportation Research Forum, 49(3): 69-86.
- 727 Sperling, D., Gordon, D. 2009. Two billion cars: driving toward sustainability. Oxford University Press,
- 728 New York.
- 729 Stern, R.E. Cui, S. Delle Monache, M.L. Bhadani, R. Bunting, M. Curchill, M. Hamilton, N. Haulcy, R.
- 730 Pohlmann, H. Wu, F. Piccoli, B. Seibold, B. Sprinkle, J. Work, D.B. 2018. Dissipation of stop-and-go waves via
- control of autonomous vehicles: Field experiments. *Transportation Research Part C*, 89: 205-221.
- 732 Steindl, C., Jonas, E., Sittenthaler, S., Traut-Mattausch, E., Greenberg, J. 2015. Understanding Psychological
- 733 Reactance: New Developments and Findings. Zeitschrift für Psychologie, 223(4): 205–214.
- 734 Sugiyama, Y., Fukui, M., Kikuchi, M., Hasebe, K., Nakayama, A., Nishinari, K., Tadaki, S. & Yukawa, S.
- 735 2008. Traffic jams without bottlenecks experimental evidence for the physical mechanism of the formation of a
- 736 jam. New Journal of Physics, 10 (033001): 1-7.
- 737 SWOV. 2010. The relationship between road safety and congestion on motorways. SWOV Institute for Road
- 738 Safety Research. Leidschendam, the Netherlands.

- 739 Tadaki, S., Kikuchi, M., Fukui, M., Nakayama, A., Nishinari, K., Shibata, A., Sugiyama, Y., Yosida, T. &
- 740 Yukawa, S. 2013. Phase transition in traffic jam experiment on a circuit. New Journal of Physics, 15: (103034),
- 741 1-20.
- 742 Taniguchi, Y., Nishi, R., Tomoeda, A., Shimura, K., Ezaki, T., Nishinari, K., 2015. A Demonstration
- Experiment of a Theory of Jam-Absorption Driving. Springer International Publishing: 479–483.
- 744 Treiber, M., Kesting, A. 2013. *Traffic Flow Dynamics*. Springer.
- 745 UN. 2018. World Urbanization Prospects: The 2018 Revision. Key facts. Retrieved July 26, 2019:
- 746 https://population.un.org/wup/Publications/Files/WUP2018-KeyFacts.pdf
- 747 Wagner, P. 2011. A time-discrete harmonic oscillator model of human car-following. *The European Physical*
- 748 Journal B, 84: 713–718.
- 749 Wiener, N. 1950. *The human use of human beings*. Free Association Books.
- 750 Wille, M. & Debus, G. 2005. Regulation of speed and time-headway in traffic. In G. Underwood (Ed.),
- 751 Traffic & transport psychology: Theory and application. London. Elsevier: 327-337.
- 752 Wille, M. 2011. Self-induced oscillation and speed production. In D. Hennessy (Ed.), *Traffic psychology: An*
- 753 *international perspective. Nova Science Publishers*, 319-342.
- 754 Wilson, R.E. 2008. Mechanism for spatiotemporal pattern formation in highway traffic models.
- 755 Philosophical Transactions of the Royal Society Part A, 366: 2017–2032.
- 756 Yousif, S., Al-Obaedi, J. 2011. Close following behavior: testing visual angle car following models using
- 757 various sets of data. Transportation Research Part F, 14: 96-110.
- 758 Zhang, T. & Chan, A.H.S. 2014. How appraisals shape driver emotions: A study from discrete and
- dimensional emotion perspectives. *Transportation Research Part F*, 27: 112-123.10.