

1 The effect of mild traumatic brain injury on cerebral microbleeds in aging

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20

21 Abstract

22 Traumatic brain injury (TBI) induces the formation of cerebral microbleeds (CMBs), which are
23 associated with cognitive impairment, psychiatric disorders and gait dysfunction in patients. Elderly
24 people frequently suffer TBI, especially mild brain trauma (mTBI). Interestingly, aging is an
25 independent risk factor for the development of CMBs, as well. However, it is not well established how
26 TBI and aging may interact to promote the development of CMBs. In order to test the hypothesis that
27 mild TBI exacerbates the development of CMBs in the elderly we compared the number and cerebral
28 distribution of CMBs assessed by analysing susceptibility weighted (SWI) magnetic resonance
29 imaging (MRI) in young (25 +/- 10 year-old, n=18) and elder (72 +/- 7 year-old, n=17) patients after
30 mTBI and in aged matched healthy subjects (young: 25 +/- 6 year-old, n=20; aged: 68 +/- 5 year-old,

TBI and aging-induced CMB

31 n=23). We found significantly more CMBs in elder patients after mTBI compared to young patients,
32 however, we did not observe a significant difference in the number of cerebral microhemorrhages
33 between aged and aged + mTBI patients. The majority of CMBs were found supratentorially (lobar
34 and basal ganglion). Lobar distribution of supratentorial CMBs showed that aging enhances the
35 formation parietal and occipital CMBs after mTBI. This suggests that aging and mTBI do not synergize
36 in the induction of the development of cerebral microbleeds and that different distribution of mTBI-
37 induced CMBs in aged patients may lead to specific age-related clinical characteristics of mTBI.

38

39 **1 Introduction**

40 Traumatic brain injury (TBI) has been shown to induce the formation of cerebral microbleeds
41 (CMBs) (1-6). CMBs are hemosiderin deposits of 5 to 10 mm in diameter resulting from bleeding from
42 injured small cerebral arteries, arterioles or capillaries, which are associated with the development of
43 cognitive impairment, psychiatric disorders and gait dysfunction (1, 3, 5-14). Due to orthostatic
44 hypotension, dehydration and impaired balance the elderly population frequently suffers TBI (3, 15,
45 16). The most common form of TBI affecting elderly people is mild brain trauma (mTBI) (15-17).
46 Similarly to TBI, aging is an independent risk factor for the development of CMBs, as well (3, 5, 6,
47 10). The number of CMBs increases with age, and they are causally linked to age-related cognitive
48 decline and gait disturbances. Interestingly, mechanisms leading to the formation of CMBs, such as
49 cerebrovascular oxidative stress, activation of matrix metalloproteinases, modification of the content
50 of the cerebrovascular wall, are all induced by both aging and TBI (4, 6, 12, 14, 17-20). However, it is
51 not well established and characterized how TBI and aging interact to promote the development of
52 CMBs, especially after mild brain trauma. In this brief study we tested the hypothesis that mild TBI
53 exacerbates the development of CMBs in the elderly compared to young patients, and aimed to
54 characterize the location and distribution of CMBs in elder patients after mTBI.

55

56 **2 Materials and Methods**

57 *2.1 Study population*

58 The study was approved by the Regional Ethic Committee of the University of Pecs, Medical
59 School, Hungary (7270-PTE 2018). We retrospectively analysed the medical history and 3 Tesla
60 susceptibility weighted (SWI) MRI of 35 patients' (15 males, 20 females), who had suffered mTBI

TBI and aging-induced CMB

61 (GCS 14-15) and were admitted to the Department of Neurosurgery, Medical School, University of
62 Pecs, Hungary between April of 2014 – September of 2019. We also analysed the SWI MRI images of
63 43 aged matched control patients (17 males and 26 female) without TBI in medical history. For the
64 TBI groups the inclusion criteria were: young: age between 18 – 40 years, aged: above 60 years old at
65 the time of the injury; mild TBI in the history within 6 months to MRI; mild TBI according to Mayo
66 criteria: GCS 14-15, absence or maximum 30 minutes of loss of consciousness, absence of
67 posttraumatic amnesia (PTA) (21). Exclusion criteria: any conditions associated with CMB formation
68 in the medical history as: epilepsy, previous TBI, stroke, transient ischaemic attack, cavernous
69 malformations, cerebral amyloid angiopathy, chronic hypertensive encephalopathy, acute
70 haemorrhagic leukoencephalitis, cerebral autosomal dominant arteriopathy with subcortical infarcts
71 and leukoencephalopathy (CADASIL), Alzheimer disease, cerebral vasculitis, cerebral metastases,
72 haemorrhagic micrometastases, intracranial embolism, intravascular lymphoma, posterior reversible
73 encephalopathy syndrome (PRES), progressive facial hemiatrophy, thrombotic microangiopathies,
74 intracranial infection, COL4A1 brain small-vessel disease (6, 22, 23). For the control group additional
75 exclusion criteria was TBI in the medical records. Both in the TBI and control group, two age groups
76 were defined in a 2x2 study design: young (Y): n=20, 10 females, 10 males, age: 25 +/- 6 years; young
77 + mTBI (Y+mTBI): n=17, 11 females, 6 males, age: 25 +/- 10 years ; aged (A): n=23, 16 females, 7
78 males, age: 68 +/-5 years; aged + mTBI (A+mTBI): n=17, 9 females, 8 males, age: 72 +/- 7 years..

79 *2.2 Imaging protocol*

80 Brain MRI was performed using 3T (Magnetom Trio/Prismafit) Siemens MR scanners. SWI,
81 T1-weighted magnetization-prepared rapid acquisition with gradient echo (MPRAGE) and Fluid-
82 attenuated inversion recovery (FLAIR) images were obtained. T1-weighted high-resolution images
83 were obtained using a three-dimensional (3D) MP-RAGE sequence (TI=900-1100 ms; TR=1900-2530
84 ms; TE= 2.5-2.4 ms; slice thickness=0.9-1.0 mm; field of view (FOV) = 256 mm*256 mm; matrix size
85 = 256*256, 3D SWI images were acquired as follows: TR=27-49 ms; TE= 20-40 ms; slice
86 thickness=1.2-3.0 mm; FOV= 137-201mm*230-240 mm; matrix size= 125-182* 256-320, with no
87 inter-slice gap. For image evaluation 3D Slicer 4.8.1 (<http://www.slicer.org>) software was used.

88 *2.3 Microbleed analysis*

89 Three independent neuroradiologists evaluated the images individually, blinded to medical
90 history. In order to precisely identify CMBs, exclusion of SWI lesions that mimic CMBs (intersection

TBI and aging-induced CMB

91 of veins, bottom of sulci, calcium deposits, artefacts caused by air-tissue interfaces or macroscopic
92 bleeding caused by e.g., an intraventricular drain) was carried out (22, 23). The number and location
93 of CMBs were obtained, according to the clinically validated Microbleed Anatomic Rating Scale
94 (MARS) (24). MARS distinguishes the number of definite and possible lesions and precisely localises
95 the CMBs according to anatomic regions as follows: 1) infratentorial: brainstem or cerebellum; 2)
96 deep: basal ganglia, thalamus, internal or external capsule, corpus callosum, either the periventricular
97 or deep white matter, and 3) lobar: cortex or subcortical white matter. In this study we present only the
98 definite lesions (Fig.1).

99 *2.4 Statistical analysis*

100 Kolmogorov-Smirnov test was used to determine whether sample data have the characteristics
101 of a normal distribution. In order to compare the presence of microbleeds, the number of lesions and
102 specific distribution in different sample groups Kruskal-Wallis with post hoc Dunn's Multiple
103 Comparison Tests and Mann-Whitney U tests were used. To evaluate the effect of comorbidities on
104 number of CMBs, Fisher's exact tests were applied. Differences were considered significant at $p < 0.05$.
105 Statistical analysis was performed using Origin Pro 2018 software.

106 **3 Results**

107 *3.1 The effect of mild traumatic brain injury on the formation of cerebral microbleeds in aging*

108 Characteristics of patients in each group are shown in Table 1. There were no differences in the
109 assessed cerebrovascular risk factors between the groups.

110 We found that aging exacerbated the formation of CMBs significantly ($p < 0.05$) compared to young
111 patients (Fig 2A) confirming the results of previous studies showing that aging is an independent risk
112 factor for the development of CMBs (3, 10, 22). Importantly, the number of CMBs in elder patients
113 was not further increased by mTBI (Fig 2A). mTBI did not enhance the number of CMBs in young
114 patients, either (Fig 2A). We found that aging also exacerbated significantly ($p < 0.05$) the incidence of
115 patients with CMBs regardless the number of bleedings (per cent of patients with CMBs in the given
116 group of patients) compared to young patients (Fig 2B), which was not affected by mTBI (Fig 2B).

117 *3.2 Location characteristics of aging and mild traumatic brain injury-induced cerebral microbleeds*

TBI and aging-induced CMB

118 We found the majority of CMBs in the supratentorial compartment (lobar and basal ganglion),
119 however a small number of microbleeds appears in infratentorial location in aged patient after mTBI.
120 The difference did not reach statistical significance (Figure 2C). Analyzing the distribution of
121 supratentorial CMBs across cerebral lobes (frontal, temporal, parietal, occipital) we found that aging
122 enhances the number of parietal and occipital CMBs after mTBI ($P < 0.05$ vs. Y+mTBI), and that mTBI
123 leads to the formation of more CMBs in the parietal lobes in aging ($P < 0.05$ vs. A) (Figure 2D).

124 4 Discussion

125 It has been shown previously that both TBI and aging induces the development of CMBs (1, 3,
126 4, 6, 8, 10). In both cases CMBs are associated with long term cognitive deficit and gait dysfunction
127 and determine the outcome of patients (1, 3, 5-7, 10-14, 23). Previous epidemiological studies proposed
128 that TBI-related development of CMBs is exacerbated in aging (3). However, the effect of mTBI on
129 the development of CMBs in aging, which is the most frequent form of brain trauma, has not been
130 established (9, 15, 16). Here we show (Figure 2) that significantly more microbleeds can be found in
131 the aging human brain than in young healthy individuals, confirming the results of previous studies (7,
132 8, 10, 14, 22). We also found significantly more CMBs in elder patients after mTBI compared to young
133 mTBI patients, however, we did not observe a significant difference in the number of cerebral
134 microhemorrhages between aged and aged + mTBI patients. This suggests that aging and mTBI do not
135 synergize in the induction of the development of CMBs.

136 Clinical consequences of CMBs, such as the development of cognitive decline is most likely
137 due to the cumulative effects of the lesions as well as damage in specific anatomical locations (6, 14,
138 25). For example, damage of fronto - subcortical circuits linking prefrontal areas to basal ganglia is
139 associated with impairment in executive function, and disarrangement of pathways from the mentioned
140 areas projecting to thalamus results in memory disturbances (14, 25, 26). Although morphological
141 characteristics based on MRI examination are not helpful to distinguish between CMBs of different
142 etiologies, specific locations suggest the pathophysiological reasons of CMBs formation (6, 9, 22, 23,
143 25). For example typical brain areas for traumatic CMBs are corona radiata and longitudinal fasciculus
144 (5, 6, 9). Cerebral microbleeds in deep cerebral areas are thought to be due to cerebral angiopathy
145 induced by hypertension, and lobar CMBs are likely due to amyloid angiopathy (6, 9, 23, 25). We
146 found that aging alters the distribution of CMBs after mTBI (Figure 2). Namely, in elder patients
147 following mTBI the number of occipital and parietal bleedings were exacerbated compared to young
148 patients. This may affect the functional consequences of these bleedings. Accordingly, occipital and

TBI and aging-induced CMB

149 parietal lobes are responsible for integrating visual and cognitive information, playing an important
150 role in voluntary coordination, posture and motor control, spatial cognition and rapid corrections of
151 movements (27-30). Specific tests should be part of patient characterization after mTBI to assess the
152 region-specific consequences of CMBs in aging (and young patients, as well), such as the trail making
153 test, the Beck's depression test, Montreal Cognitive Assessment test etc. This possibility should be
154 verified in the future.

155 Limitations and perspectives

156 The major limitations of our studies are the retrospective design and the relatively small sample size.
157 Future prospective studies should verify our findings on a large number of control healthy volunteers.
158 We used the Mayo criteria to define mTBI. Since other guidelines suggest slightly different scoring
159 systems, it would be important to compare CMB formation in TBI groups defined by various scoring
160 systems. Aging and mTBI may interact in altering regulatory mechanisms of cerebral blood flow (CBF)
161 in a functional manner. Accordingly, changes in neurovascular coupling, autoregulation of CBF and
162 cerebrovascular reactivity should be assessed and correlated with cognitive and gait function in
163 different age groups after mTBI. Finally, the possible mechanisms through which aging and TBI may
164 interact to alter cerebrovascular function and formation of CMBs should be studied, with special focus
165 on mitochondrial oxidative stress, activation of redox-sensitive matrix metalloproteinases,
166 modification of the cerebrovascular wall, production of proinflammatory cytokines and disruption of
167 the blood-brain barrier (5, 10-12, 14, 20, 22, 23, 26).

168 **5 Conflict of Interest**

169 *The authors declare that the research was conducted in the absence of any commercial or financial*
170 *relationships that could be construed as a potential conflict of interest.*

171 **6 Author Contributions**

172 LT and PT designed studies and protocols, AC, PH, BK and NS performed literature search and
173 collected patient data, LT, AC, BK, AT performed image analysis, LT, AC and NS generated figures,
174 LT, AC and PT wrote the manuscript, LT, AC, PH, BK, NS, BK, AT, AS, ZU, AB, TP edited and
175 revised the manuscript.

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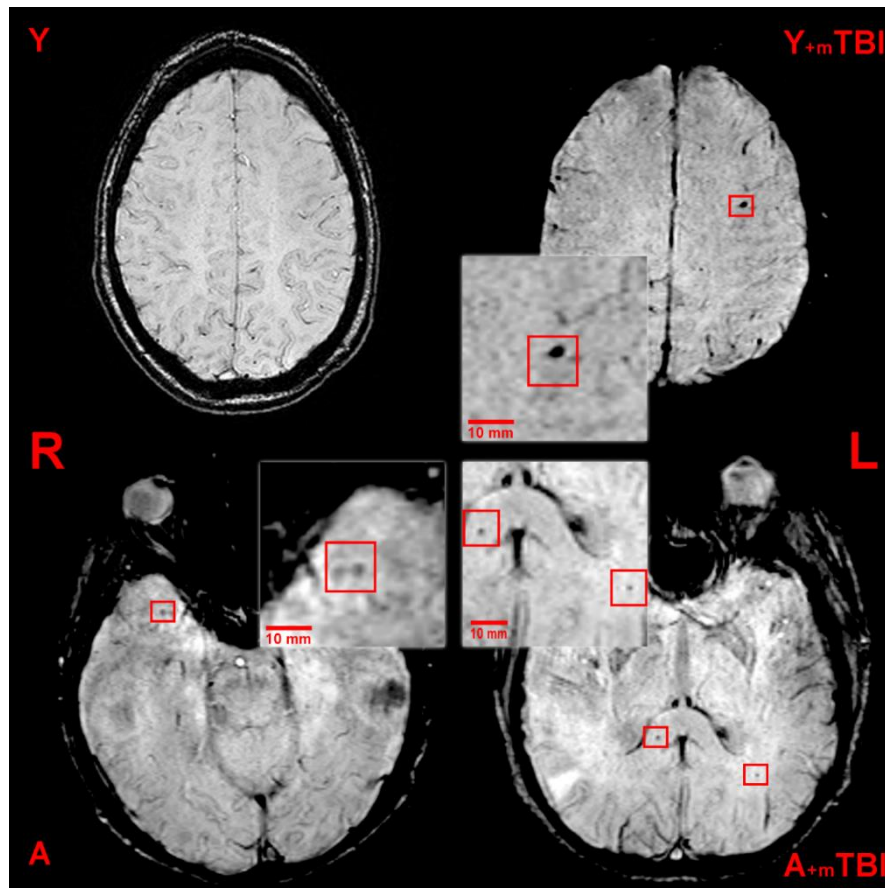
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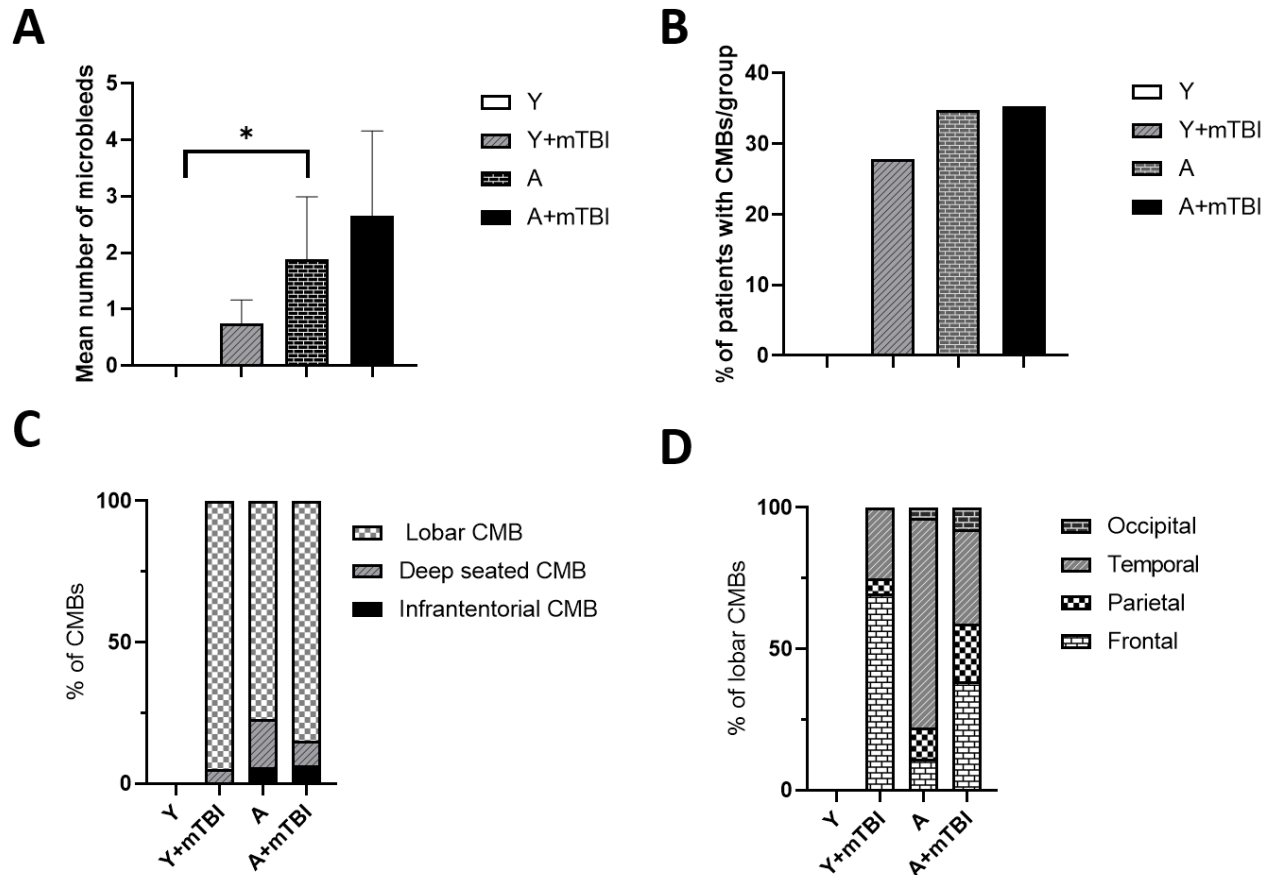
296 9 Figures



297

TBI and aging-induced CMB

298 **Figure 1.** Axial susceptibility weighted (SWI) magnetic resonance images (MRI, 3 Tesla) of a young
 299 control patient (Y, 38-year-old, male), a young patient following mild traumatic brain injury (Y+mTBI,
 300 36-year-old male GCS:15), an aged control patient (A, 67-year-old male) and an aged patient with mild
 301 TBI (A+mTBI, 65-year-old male, GCS:15). Cerebral microbleeds (CMB) appear as ovoid, hypointense
 302 lesions indicated by the red squares (R: right, L: left).
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 304
 305
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307

308 **Figure 2. The effect of mild traumatic brain injury on the development and characteristics of**
 309 **cerebral microbleeds in the elderly.** **A:** Mean number of cerebral microbleeds (CMB) in young
 310 control (Y) patients (n=20, age: 25,09 +/- 5,63 years), young patients after mild traumatic brain injury
 311 (Y+mTBI) (n=17, age: 24,65 +/- 10,22 years), aged control patients (A) (n=23, age: 68,36 +/-4,88
 312 years) and aged patients with mTBI (A+mTBI, n=17, age: 71,86 +/- 7,31 years). Data are mean ±
 313 S.E.M., *P<0.05 vs. YC, ns: non-significant. **B:** Number of patients with CMBs in the studied groups
 314 is expressed as per cent of total number of patients in each group (young control (Y) patients (n=20,
 315 age: 25,09 +/- 5,63years), young patients after mild traumatic brain injury (Y+mTBI) (n=17, age: 24,65
 316 +/- 10,22 years), aged control patients (A) (n=23, age: 68,36 +/-4,88) and aged patients with mTBI
 317 (A+mTBI) (n=17, age: 71,86 +/- 7,31 years). *P<0.05 vs. YC. **C** depicts localization of CMBs in each
 318 group as number of lobar, deep seated (basal ganglion) and infratentorial CMBs expressed as per cent
 319 (%) of total number of CMBs. Note that the majority of CMBs can be found supratentorially (lobar

TBI and aging-induced CMB

320 and basal ganglion), however a small number of microbleeds appears in infratentorial location in aged
 321 patient after mild traumatic brain injury (mTBI). The difference did not reach statistical significance.
 322 **D:** Lobar distribution of supratentorial CMBs in each studied group of patients (frontal, temporal,
 323 parietal, occipital). Please note that aging enhances the number of parietal and occipital CMBs after
 324 mTBI ($P < 0.05$ vs. Y+mTBI), and that mTBI leads to the formation of more CMBs in the frontal,
 325 parietal and occipital lobes in aging ($P < 0.05$ vs. A).

326 (Y): n=20, 10 females, 10 males, age: 25,09 +/- 5,63 years; young + mTBI (Y+mTBI): n=17, 11
 327 females, 6 males, age: 24,65 +/- 10,22 years ; aged (A): n=23, 16 females, 7 males, age: 68,36 +/-4,88
 328 years; aged + mTBI (A+mTBI): n=17, 9 females, 8 males, age: 71,86 +/- 7,31 years..

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330 **Table 1. General description and main cardiovascular comorbidities of the study groups.**

Group	Age (Mean+/-SD)	Sex		Hypertension		Smoking		Urea		Creatinine		Total Cholesterol		Low Density Lipoprotein	
		Female	Male	Yes	No	Yes	No	Normal	Abnormal	Normal	Abnormal	Normal	Abnormal	Normal	Abnormal
Young control (Y)	25,09 +/- 5,63	50%	50%	10,0%	90,0%	5,0%	95,0%	85,0%	15,0%	85,0%	15,0%	90,0%	10,0%	95,0%	5,0%
Young trauma (Y+mTBI)	24,65 +/- 10,22	61,1%	35,3%	5,88%	94,12%	0%	100%	88,24%	11,76%	76,47%	26,53%	94,12%	5,88%	100,0%	0%
Aged control (A)	68,36 +/- 4,88	69,6%	30,4%	60,87%	39,13%	4,35%	95,65%	91,3%	8,7%	91,3%	8,7%	56,52%	48,43%	78,26%	21,74%
Aged trauma (A+mTBI)	71,86 +/- 7,31	52,9%	47,1%	88,24%	11,76%	17,65%	82,35%	82,35%	17,65%	52,94%	47,06%	82,35%	17,65%	100,0%	0%

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