

1 **Sexual trait may simultaneously indicate sperm production and nutritional fitness in**
2 **uniparental nest guarding fishes: a case study on Amur sleeper**

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4 Attila Mozsár^{1,a,*}, András Specziár^{2,a}, Zsolt Pirger², István Czeglédi², Sára Kati^{3,4,5}, Sándor
5 Alex Nagy⁴, Krisztián Nyeste^{4,5}, Dóra Somogyi^{4,5}, László Antal⁴

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7 ¹Research Institute for Fisheries and Aquaculture, National Agricultural Research and
8 Innovation Centre, Szarvas, Hungary

9 ²Balaton Limnological Institute, Centre for Ecological Research, Tihany, Hungary

10 ³Hortobágy National Park Directorate, Debrecen, Hungary

11 ⁴Department of Hydrobiology, University of Debrecen, Debrecen, Hungary

12 ⁵Pál Juhász-Nagy Doctoral School of Biology and Environmental Sciences, University of
13 Debrecen, Debrecen, Hungary

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15 ^a These authors contributed equally.

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17 * Corresponding author: Attila Mozsár, Research Institute for Fisheries and Aquaculture,
18 National Agricultural Research and Innovation Centre, 35. Anna-liget str., Szarvas, Hungary
19 mozsar.attila@haki.naik.hu

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22

23 **Abstract**

24 The reproductive success of uniparental males depends much on their nutritional stage (i.e.
25 body condition). Therefore, secondary sexual traits should convey information not only on
26 fecundity but also on nutritional stage. To test this hypothesis, we evaluate relationships
27 between head crest height (HCH), as a secondary sexual trait, relative testes mass (an
28 indicator of sperm production), body condition (an indicator of nutritional status) and body
29 mass of males of uniparental Amur sleeper *Perccottus glenii* in two contrasting habitats.
30 Furthermore, we examined whether head crest is only a pure investment to advertise male
31 fitness – either to females, rival males or other fish approaching the nest – or it comprises
32 some regainable energy as well. We found that HCH is closely related to relative testes mass,
33 and body condition especially at the beginning of the reproductive period. The head crest
34 consisted of a swollen connective tissue in the supraorbital region of the head, and an
35 oedematous epaxial muscle in the nuchal region. Further, the head crest comprised adipose
36 tissue as well, although not that much as assumed. Therefore, we argue that HCH represents a
37 reliable signal of multiple aspects of male quality; it indicates readiness to spawn and could
38 potentially effectively facilitate female choice towards large males with high fecundity and
39 great nutritional stage, which is essential to good guarding performance. Moreover, the head
40 crest itself also could contribute to nest guarding performance by virtually enlarging body size
41 and by representing a minor fat reserve.

42

43 **Keywords**

44 head crest; nuchal hump, Fulton's condition factor; gonadosomatic index; body size; rotan

45

46 **Introduction**

47 In animals, mate choice is a common phenomenon, since females may benefit (i.e. high
48 fertilisation rate, good genes for offspring, food and parental care) by choosing high quality
49 males (Andersson, 1994; Pizzolon *et al.*, 2012). Therefore, males in several species develop
50 conspicuous secondary sexual traits (SSTs) to advertise their quality and to acquire mates
51 (Simmons, Lüpold & Fitzpatrick, 2017; and references therein).

52 SSTs can signal for different aspects of reproductive performance. In non-resource-based
53 mating systems, where males provide just sperm, SSTs are expected to reflect functional
54 fertility and genetic condition (Andersson, 1994; Sheldon, 1994; Pitcher & Evans, 2001). In
55 species, where the male cares for the offspring, however, SSTs should also convey
56 information on parental ability and general fitness (Birkhead, Fletcher & Pellatt, 1998;
57 Pizzolon *et al.*, 2012). During the parental phase – especially when males are guarding the
58 nest – they frequently face food deprivation and substantial reduction in body condition; thus,
59 their nutritional fitness (i.e. resource acquisition and assimilation efficiency) may strongly
60 relate to parental ability (Pike *et al.*, 2007; Locatello, Pizzolon & Rasotto, 2012). The
61 association between SST and nutritional stage is especially important in uniparental species
62 (Pizzolon *et al.*, 2012), because the nutritional fitness of nest guarding males can be the
63 cornerstone of their reproductive success. Hence, we can hypothesize that SSTs can indicate
64 the current nutritional stage as well as fecundity in species exhibiting uniparental care.

65 During the reproductive season, Amur sleeper *Percottus glenii* Dybowski 1877 (Pisces,
66 Gobiiformes, Odontobutidae) males display a marked SST, the head crest, an enlarged tissue
67 area at the top of the head (Fig. 1). Amur sleeper males invest much energy to guard their nest
68 aggressively and to take care of the fertilized eggs (fanning) until hatching (Bogutskaya &
69 Naseka, 2002). The head crest is considered to provide a more robust appearance for males,
70 which is beneficial in nest guarding (Baerends & Baerends-Van Roon, 1950). However, it is

71 not clear what information the head crest could provide for females or rival males, and
72 whether it could contribute to nest guarding performance directly as an energy reserve, as
73 well. Accordingly, we aimed to examine relationships between the head crest height (HCH)
74 and nutritional status, sperm production and body size of this freshwater fish. Due to its
75 energetic cost, it is likely that development of the head crest is influenced by both the fitness
76 (genetic and nutritional), and the environmental conditions (Cotton, Fowler & Pomiankowski,
77 2004). Therefore, we investigated two contrasting habitats, a eutrophic oxbow lake, and a
78 lowland canal. Because Amur sleeper prefers densely-vegetated standing waters (Kottelat &
79 Freyhof, 2007), we supposed that the latter habitat could provide less favourable conditions
80 for maintaining a high nutritional status. Accordingly, we predicted that in Amur sleeper
81 males: i) HCH positively associates with body condition (an indicator of nutritional stage); ii)
82 HCH also positively associates with relative testes mass (an indicator of sperm production);
83 iii) HCH changes in time with reproduction activity; and iv) HCH differs between the oxbow
84 lake and the canal habitats. Further, we assumed that development of the head crest may
85 concur with energy accumulation for active nest guarding, thus we supposed that: v) it should
86 not be a pure advertiser of male fitness, but also an energy reserve. Therefore, we examined
87 the tissue composition of the head crest, and focused especially on the presence of lipids and
88 adipose tissue.

89

90 **Material and methods**

91 The Amur sleeper is a small sized, predatory fish species native to Far-East Asia. It is
92 considered invasive in Europe where it has recently established populations in many areas
93 (Reshetnikov, 2013; Kati *et al.*, 2015; Nehring & Steinhof, 2015; Covaciu-Marcov, Ferenti &
94 Sas-Kovács, 2017). The species spawns in late spring, above 15°C water temperature
95 (Kottelat & Freyhof, 2007; Grabowska *et al.*, 2011).

96 We examined two habitats: a eutrophic oxbow lake (N48.095889, E21.462722) with a 0.78
97 km² surface area and 0.7 m mean depth; and a lowland canal (N48.144056, E21.629750)
98 which is 91 km long and 6–7 m wide, its depth ranges between 1–2 m and velocity between
99 15–55 cm s⁻¹.

100 Fish were collected by electrofishing monthly between May and July in 2011, on three
101 sampling occasions in both habitats. The collection was approved by the Agricultural, Public
102 Administration Office of Hungary (permission number: 04.3/440-3/2011.). We restricted our
103 study to the May to July period, because later in the year HCH values of males decrease to a
104 value similar to that of females. Captured fish were euthanized immediately with an overdose
105 of clove oil and preserved in 5% formaldehyde. At each sampling occasion we collected at
106 least 50 specimens, resulting in 29, 24 and 14 males from the oxbow lake and 25, 29 and 25
107 males from the canal in May, June and July, respectively. In the laboratory we measured head
108 crest, total (TL) and standard length (SL) to the nearest 0.1 mm, and body mass (BM) to the
109 nearest 0.01 g, using digital caliper and balance. For measurement, we defined head crest as
110 the orbital (at the centre of the eye) height of the head. Actually, we measured the highest
111 vertical extension of the frontal part of the head crest, the supraorbital hump (more detail is
112 provided in the results), which is also the most variable attribute of the head crest both
113 seasonally and between individuals. Then, fish were dissected to identify their sex and to
114 measure the mass of testes in males to the nearest 0.0001 g. For analyses, we standardised the
115 head crest height for TL and hereafter referred as HCH ($HCH = \text{head crest (mm)} \times \text{TL (mm)}^{-1}$).
116 We expressed relative testes mass as gonadosomatic index ($GSI = 100 \times \text{testes mass (g)} \times$
117 $BM (g)^{-1}$) and we used Fulton's condition factor to characterise body condition, hereafter
118 referred as K ($K = 100 \times \text{BM (g)} \times \text{TL (mm)}^{-3}$; Nash, Valencia & Geffen, 2006).

119 For general histology and detection of lipids and adipose tissue in the head crest,
120 supplementary sampling was performed in an oxbow lake in 2020 (permission number:

121 HaGF/113/2020). Fish (3 females, 3 males with conspicuous head crest) were euthanized
122 then, their heads were cut and fixed three days at 4°C in 0.1 M phosphate buffer (PB, pH =
123 7.4) containing 4% paraformaldehyde. Pieces of fixed head crest were cut into 16 µm thick
124 serial sections with a cryostat, dried onto superfrost ultra plus (Thermo Scientific) glass slides,
125 dehydrated in graded ethanol and xylene. Series of dehydrated sections were partly treated for
126 alcian blue – Periodic Acid Schiff (PAS) staining (Sigma, periodic acid solution – 101646/1,
127 Schiff's reagent – 101646/2, and alcian blue solution – 101647.05), partly used for
128 haematoxylin-eosin (H-E; Sigma, 105174.05) staining. Sections of head crest were analysed
129 by a Zeiss Axioplan compound microscope.

130 The relationship between HCH (response variable) and potential explanatory factors,
131 season (May, June and July as dummy variables), habitat (oxbow and canal as dummy
132 variables), BM, GSI and K were evaluated by multiple linear regression analysis using
133 forward stepwise variable selection procedure (at $P < 0.05$) followed by variance partitioning
134 (Cushman & McGarigal, 2002). Correlation between the explanatory variables was low to
135 moderate (Pearson r ranged between 0.009 and 0.578). In order to test the interaction between
136 the continuous explanatory factors (BM, GSI and K) we also included their second degree
137 interaction terms to our preliminary model. However, since none of the interaction terms
138 passed the variable selection test, they were not included in the final model. Assumptions of
139 linearity and normality were checked visually by looking at the scatter plot of model residuals
140 against each explanatory variable and the histogram of model residuals, respectively. Since
141 both assumptions were met, there was no need to transform any of the numeric variables (BM,
142 GSI, K). Since season proved to have a substantial influence on HCH, separate multiple linear
143 regression analyses were executed by sampling occasions as well. Multiple linear analysis
144 was performed by using Statistica 6.0 (StatSoft®) software.

145

146 **Results**

147 Full multiple regression model identified strong month (represented by May as dummy
148 variable), and K and GSI related effects in the development of head crest in male Amur
149 sleeper (Table 1). The effect of K was highly independent of the effect of GSI and season,
150 whereas effects of the latter two factors overlapped greatly (Fig. 2). While fish size (expressed
151 as BM) and habitat proved to have no influence on HCH at this scale. The full model
152 explained 60.6% of the total variance in HCH.

153 HCH was more predictable in May than in June and July, with 65.0%, 35.1% and 36.0%
154 total explained variance in the concerning models (Table 1). All monthly models included K,
155 and co-predictors were the BM in May, and the GSI in June and July. Effect of BM and K
156 overlapped considerably (50.3% of the total variance in HCH was explained as shared effect)
157 in the May model, whereas in June and July model factor effects were largely independent.

158 The histology revealed that head crest consists of two markedly different parts (Fig. 3, 4).
159 Based on their positions, we use the term suborbital hump for smaller swelling in the
160 suborbital region, and nuchal hump for the larger, caudal part of the head crest (see Fig. 3
161 A2). The thickness of hypodermis in head crest region was much more swollen in males than
162 in females due to heavily vascularisation, and many lipids (Fig 3). In the supraorbital region
163 (Fig. 4 A), the hypodermis swollen due to physiological oedema. The suborbital hump is
164 composed of a loose collagen type connective tissue (Fig. 4 I/a). This extremely swollen
165 hypodermis layer contains many lipid vacuoles (Fig. 4 I/b), Wharton's jelly-like tissue with
166 different mesenchymal cell types, and fibroblasts (Fig. 4 I/c, -I/d). The nuchal hump is
167 composed of watery epaxial muscle and focal massive adipose tissue (Fig. 3A, 4 II/a, II/b).

168

169 **Discussion**

170 The SSTs considered as visual cues of multiply aspects of male quality (Andersson, 1994;
171 Sheldon, 1994; Birkhead, Fletcher & Pellatt, 1998; von Schantz *et al.*, 1999; Uetz, Papke &
172 Kilinc, 2002). In species with parental care, the nutritional stage of guarding mate is one of
173 the most important measures of quality (Sargent, 1992; Whoriskey & FitzGerald, 1994;
174 Pizzolon *et al.*, 2012). By reporting positive association between head crest height (HCH), a
175 marked SST in nest guarding male Amur sleeper, and body condition and relative testes mass,
176 our study underlines the role of SST in advertising of nutritional stage and potential fertility.
177 Beyond the communicatory function, we histologically evaluated the potential but minor
178 contribution of the head crest to energy supply during nest guarding.

179 The nutritional stage is an important cue of mate quality, especially in species with
180 parental care, because the poor nutritional stage could directly reduce mate performance and
181 reproductive success (e.g. Sargent, 1992; Whoriskey & FitzGerald, 1994; Erikstad *et al.*,
182 1997; Olsson, Kvarnemo & Svensson, 2009). Therefore, we hypothesised (i) that the head
183 crest should convey information on nutritional stage in uniparental Amur sleeper males. The
184 positive association between the HCH and the body condition through the entire reproduction
185 season confirms the hypothesised role of SST in advertising the nutritional stage, as a crucial
186 measure of male quality in species with parental care. This positive association suggests that
187 similarly to body condition, HCH is a nutrient dependent SST (Birkhead, Fletcher & Pellatt,
188 1998) and interindividual differences in resource acquisition or assimilation efficiency
189 influence these two individual attributes in the same direction (Reznick, Nunney & Tessier,
190 2000). However, it should be noted that the relationship between the nutritional stage
191 (expressed as foraging history or size of energy reserves) and parental performance is not
192 always evident (Lindström & Sargent, 1997; Lindström, 1998; Lehtonen & Lindström, 2007).
193 Hence, Amur sleeper males with more conspicuous HCH are not necessarily better father and
194 its role in mate choice is doubtful.

195 The relationship between SSTs and male fertility is generally positive in fish (e.g. Pike *et*
196 *al.*, 2010; Pizzolon *et al.*, 2012) and in the animal kingdom (Sheldon, 1994; Simmons, Lüpold
197 & Fitzpatrick, 2017; but see Mautz, Møller & Jennions, 2013). In accordance with our related
198 hypothesis (ii), HCH positively correlated with the GSI in Amur sleeper males. Similarly, as
199 assumed (iii), the HCH was a function of time even within the reproductive season. Moreover,
200 the amount of information (i.e. percent of variance explained) represented by the HCH was
201 highest at the beginning of the reproductive period, in May, and decreased substantially later
202 on. In other fish species, the SSTs can promote sex recognition (Barlow & Siri, 1997) and
203 advertise the readiness to spawn (Amundsen & Forsgren, 2001; Clotfelter, Curren & Murphy,
204 2006). Based on its temporal and sex-specific aspects, the head crest could play similar roles
205 in the Amur sleeper. The SSTs, in general, are under androgen control (Birkhead, Fletcher &
206 Pellatt, 1998; Nelson 2005) which can ensure the link between the head crest and readiness to
207 spawn. Sex hormones, furthermore, promote the sperm production (Borg, 1994; Måsvær,
208 Liljedal & Folstad, 2004), supporting a positive association between the relative testes size
209 (GSI) and HCH in Amur sleeper. Although, the relative testis mass is a reliable proxy of
210 sperm production, it reflects only the potential fecundity (Simmons, Lüpold & Fitzpatrick,
211 2017), further studies should focus on the link between head crest and sperm quality.

212 Since nutritional stage dependent SSTs can be a function of environmental factors (Cotton,
213 Fowler & Pomiankowski, 2004; Wong, Candolin & Lindström, 2007), we also hypothesised
214 (iv) a habitat-related aspect of HCH. Although the two studied habitats differed considerably,
215 analyses did not reveal an environmental influence. This finding may indicate the robustness
216 of HCH as a SST and the interindividual variance in nutritional fitness ensure the positive
217 relationship between SST and current nutritional status even within wide environmental
218 scales.

219 Body size *per se* is an important cue of mate quality (Reynolds & Gross, 1992; Pitcher &
220 Evans, 2001), especially in nest-guarding species (Noonan, 1983; Keenleyside, Rangeley &
221 Koppers, 1985; Wiegmann & Baylis, 1995; but see Forsgren, 1997). Based on the positive
222 association between HCH and body size in May, the peak period of reproduction, this SST
223 could promote the recognition of larger and more effective nest-defender males in Amur
224 sleeper. Since HCH is a standardised measure of the head crest, this finding indicates that
225 larger males have more pronounced SST not only in absolute but also in relative sense. A life-
226 history study of Amur sleeper suggested that larger males spawn first (Grabowska *et al.*,
227 2011). This phenomenon supports the vital role of body size in mate acquisition and spawning
228 territory occupation. Since the head crest increases frontal size appearance, larger males with
229 larger head crest may benefit from this SST twice: by the advertisement of their superior size
230 and by an enlarged virtual size. Therefore, head crest could play an important role in nest-
231 defence (Baerends & Baerends-Van Roon, 1950) and male-to-male contests (Fabre *et al.*,
232 2014).

233 The soft and nonpitting characters of head crest in fish suggest its fat deposit origin
234 (Patzner & Seiwald, 1987; Bleick, 1975). However, exact data on fat content of head crest are
235 still insufficient or based on indirect assumptions such as the thickness of hypodermis
236 (Takahashi, 2018; Infante *et al.*, 2018). Our analyses showed that in Amur sleeper male, the
237 head crest histologically divides into two strictly different parts; the frontal part (supraorbital
238 hump) develops mainly from loose connective tissues, while the caudal part (nuchal hump)
239 composed mainly of muscle tissues. Contrary to our hypothesis (v), histological analysis
240 revealed that swelling of both parts is mainly due to oedema and not to an accelerated fat
241 deposition. This finding is in accordance with the results on Midas cichlid – which exhibits
242 conspicuous crest prior to spawning (Barlow & Siri, 1997) – that also identified oedema as
243 the main mechanism causing the rapid development of the crest (Bleick, 1975). Therefore, it

244 is evident that the primary role of the head crest is not to fully compensate the effect of
245 starvation during the nest guarding, but probably to advertise specific aspects of male fitness.
246 Nevertheless, since lipids and some adipose tissues present in both parts of the head crest, this
247 SST could also represent a minor supplementary energy reserve, and accordingly, may
248 contribute little to longer and more efficient nest guarding as well.

249 To conclude, our findings highlight that the head crest of Amur sleeper males conveys
250 information about the nutritional stage (potential guarding performance) and the relative testes
251 mass (potential fertility), but may have only a limited energy reserve function for the period
252 of the nest guarding. Although, the HCH could effectively facilitate female choice towards
253 high quality males, the exact role of head crest size in mate choice is still a subject of debate.
254 Further laboratory studies are needed, therefore, to reveal whether males with more
255 characteristic head crest attract females that are more fecund, and whether females that mate
256 with those males realize higher proportion of hatched larvae.

257

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266

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268

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386 Bell & S. A. Foster), pp. 189–206. Oxford, UK: Oxford University Press.

387 Table 1. Sample size (n), body size (total length; TL, mm), statistics and model parameters (b) of multiple linear regression analysis on the
 388 influence of season (May to July), habitat (oxbow vs. canal) and individual traits (body mass, BM; gonadosomatic index, GSI; Fulton's condition
 389 factor, K) on head crest height in male Amur sleeper *Perccottus glenii*. Only significant (forward stepwise selection at $P < 0.05$) explanatory
 390 factors were retained in each regression model (full model including season, and separate models by sampling months).

Model	n	TL: mean (range)	Model statistics				Regression coefficient				Explained variance (%)	
			d.f.	<i>F</i>	<i>P</i>	$R^2_{adj.}$	b	SE	<i>t</i>	<i>P</i>	total	as pure effect
Full model	146	63.1 (33.5 – 127.2)	3; 142	75.3	<0.001	0.606					60.6	
Intercept							0.052	0.007	7.7	<0.001		
May							0.015	0.002	7.8	<0.001	25.5	16.4
GSI							0.009	0.002	4.5	<0.001	16.8	5.3
K							0.043	0.004	10.6	<0.001	24.5	30.6
May model	54	55.4 (33.5 – 70.0)	2; 51	50.1	<0.001	0.650					65.0	
Intercept							0.063	0.013	4.8	<0.001		
BM							0.005	0.002	2.8	0.007	54.9	4.6
K							0.043	0.011	4.0	<0.001	60.4	10.1
June model	53	64.3 (43.7 – 107.0)	2; 50	15.0	<0.001	0.351					35.1	
Intercept							0.087	0.008	11.3	<0.001		
GSI							0.005	0.002	2.4	0.018	1.7	6.4
K							0.023	0.004	5.2	<0.001	28.7	33.4
July model	39	72.2 (41.5 – 127.2)	2; 36	11.7	<0.001	0.360					36.0	
Intercept							0.081	0.011	7.4	<0.001		
GSI							0.011	0.003	4.0	<0.001	20.0	25.6
K							0.022	0.007	3.2	0.003	10.4	16.0

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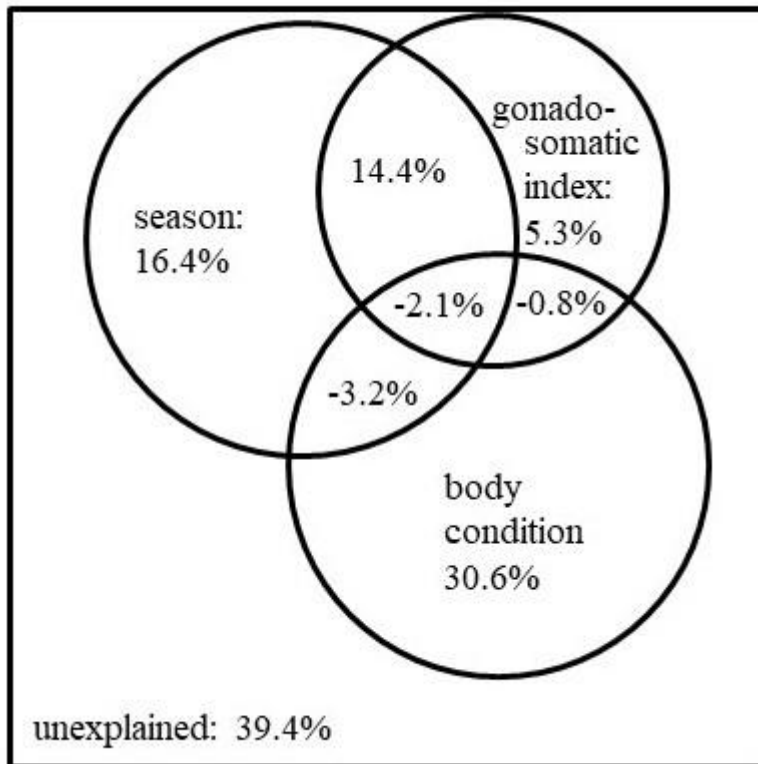
392 Figure 1. Amur sleeper *Perccottus glenii* males display a marked secondary sexual trait, crest
393 at the top of the head during the reproduction season. (Photo: Ákos Harka)



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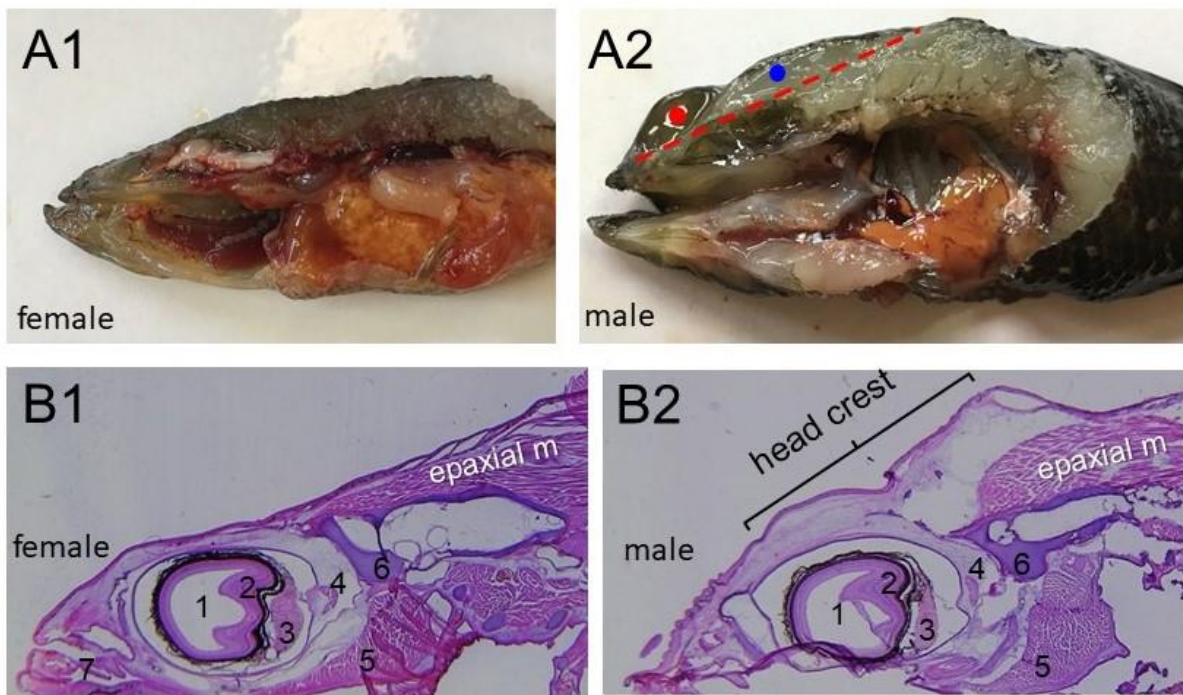
396 Figure 2. Results of variation partitioning on the influence of habitat, season and individual
397 traits (gonadosomatic index, body size and condition) on head crest height, a temporal
398 secondary sexual trait of Amur sleeper *Perccottus glenii* males.



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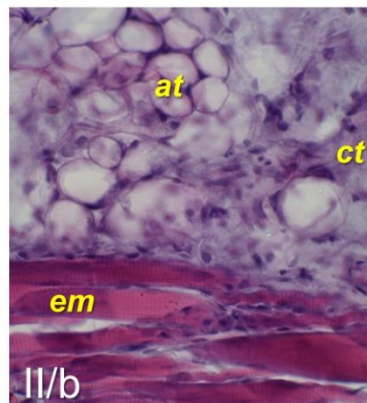
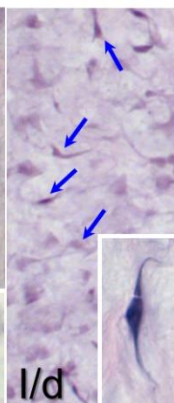
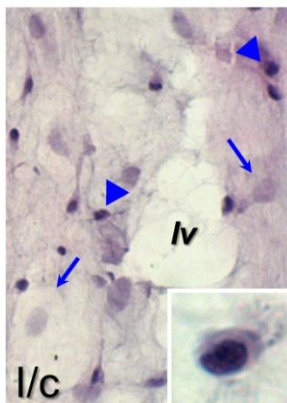
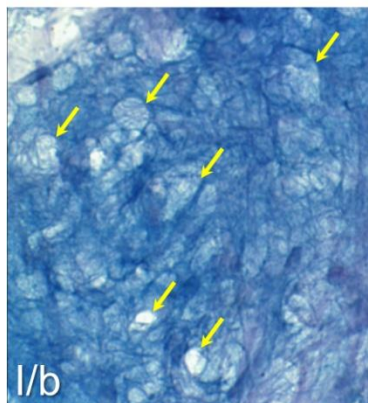
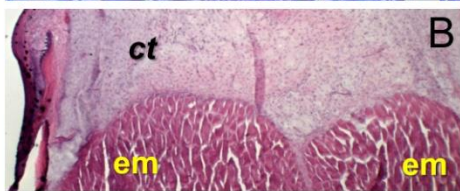
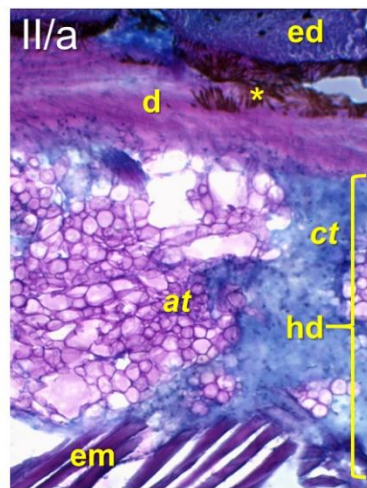
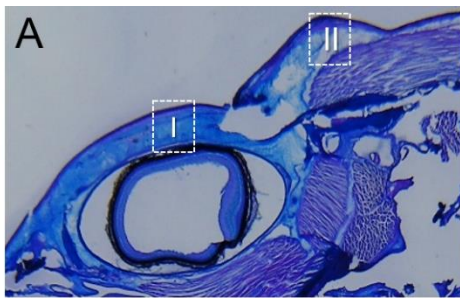
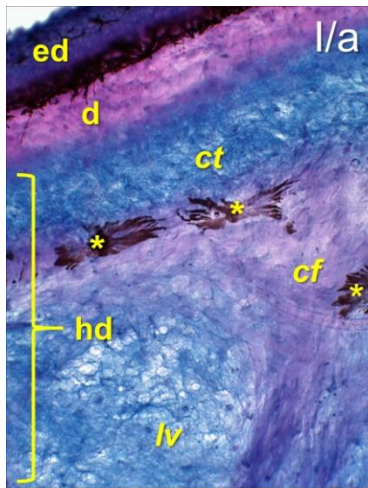
401 Figure 3. Vertical sections of the head crest of Amur sleeper *Perccottus glenii*. An overview
 402 native picture about the head crest of female (A1) and male (A2) during the reproduction
 403 season. Red dot represents the supraorbital hump with brownish oedematous connective tissue
 404 and blue dot indicates the nuchal hump with white epaxial muscle band. Sagittal sections of
 405 the head region of female (B1) and male (B2) fish with H-E staining are also presented. The
 406 male fish possess a swollen head crest contrast to female. 1- eye; 2- retina; 3- choroid rate; 4-
 407 ocular muscle(s); 5- striated muscle; 6- skull skeleton; 7- buccal cavity



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410 Figure 4. H-E, and alcian blue-PAS histological stainings of head crest of male Amur sleeper
411 *Perccottus glenii* during the reproduction season. (A) Swollen head crest of male stained with
412 PAS. On the sagittal overview image, two regions (I. – supraorbital hump, II. – nuchal hump)
413 of the head crest were indicated by dashed squares. (B) Frontal plane of head region, H-E
414 staining. ct- connective tissue (Wharton’s jelly-like) of head crest based on hyaluronic acid,
415 mucopolysaccharide and number of fibres produced by mesenchymal cells; em- epaxial
416 (white) muscle, dorsal surface. (I/a) Histological organization of the supraorbital hump of the
417 head crest, alcian blue-PAS staining, sagittal plane; ct- connective tissue (Wharton’s jelly-
418 like) with cf- collagen fibres, and lv- (empty) lipid vacuoles, furthermore, ed- epidermis, d-
419 dermis, hd- swollen hypodermis layer, stars- melanophore cells. (I/b) Higher magnification of
420 lipid vacuoles (lv). Arrows indicate the empty lipid vacuoles. (I/c) Permanent mesenchymal
421 cells (triangles, see also insert) and fibroblast (arrows) are presented in this high
422 magnification sagittal section of crest tissue. lv- empty lipid vacuoles. (I/d) Wharton’s jelly-
423 like tissue with pluripotent (embryonal) mesenchymal cells (arrows, see also insert) in the
424 crest tissue (H-E staining, sagittal section). (II/a) An overview sagittal section of the nuchal
425 hump of the head crest with epaxial muscle (em) after alcian blue-PAS staining. ct-
426 connective tissue of head crest; ed- epidermis, d- dermis, hd- hypodermis; at- adipose tissue
427 (fat cells) in hypodermis layer; star- melanophore cells. (II/b) Higher magnification picture
428 about alcian blue-PAS stained fat cells (at) and epaxial muscle (em). In connective tissue (ct)
429 of head crest fibroblast and mesenchymal cells are also observed around fat cells.



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