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STUDY PROTOCOL

REVISED High dose oral rifampicin to improve survival from adult tuberculous meningitis: A randomised placebo-controlled double-blinded phase III trial (the HARVEST study) [version 2; peer review: 2 approved]

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Abstract

Background: Tuberculous meningitis (TBM), the most severe form of tuberculosis (TB), results in death or neurological disability in >50%, despite World Health Organisation recommended therapy. Current TBM regimen dosages are based on data from pulmonary TB alone. Evidence from recent phase II pharmacokinetic studies suggests that high dose rifampicin (R) administered intravenously or orally enhances central nervous system penetration and may reduce TBM associated mortality. We hypothesize that, among persons with TBM, high dose oral rifampicin (35 mg/kg) for 8 weeks will improve survival compared to standard of care (10 mg/kg), without excess adverse events.

Protocol: We will perform a parallel group, randomised, placebo-controlled, double blind, phase III multicentre clinical trial comparing high dose oral rifampicin to standard of care. The trial will be conducted across five clinical sites in Uganda, South Africa and Indonesia. Participants are HIV-positive or negative adults with clinically suspected TBM, who will be randomised (1:1) to one of two arms: 35 mg/kg oral rifampicin daily for 8 weeks (in combination with standard dose isoniazid [H], pyrazinamide [Z] and ethambutol [E]) or standard of care (oral HRZE, containing 10 mg/kg/day rifampicin). The primary end-point is 6-month survival. Secondary end points are: i) 12-month survival ii) functional and neurocognitive outcomes and iii) safety and tolerability. Tertiary outcomes are: i) pharmacokinetic outcomes and ii) cost-effectiveness of the intervention. We will enrol 500 participants over 2.5 years, with follow-up continuing until 12 months post-enrolment.

Discussion: Our best TBM treatment still results in unacceptably high mortality and morbidity. Strong evidence supports the increased cerebrospinal fluid penetration of high dose rifampicin, however conclusive evidence regarding survival benefit is lacking. This study will answer the important question of whether high dose oral rifampicin conveys a survival benefit in TBM in HIV-positive and -negative individuals from Africa and Asia.

Trial registration: ISRCTN15668391 (17/06/2019)

Keywords

Tuberculous Meningitis, TB, rifampicin, Xpert Ultra, HIV, treatment, RCT

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REVISED **Amendments from Version 1**

We have noted the comments from both reviewers and added further detail to the Introduction about the rationale for the choice of rifampicin dose based on studies from pulmonary TB and TBM.

We have also added recent data about rifampicin in brain tissue from a rabbit model and the findings of the PK-PD study from the large Vietnamese Intensified treatment trial.

We have removed an asterisk from Table 1 as it was incorrectly included in the version 1.

We mention to Intense-TBM trial in the Discussion.

Any further responses from the reviewers can be found at the end of the article

Introduction

Disease burden and prognosis

Tuberculosis (TB) was the leading cause of mortality worldwide from a single infectious agent and, in 2018, 10 million cases were reported globally[1]. Tuberculous meningitis (TBM) accounts for approximately 1% of global TB cases but has a profound impact due to its high morbidity and mortality[2]; 19–28% of HIV-negative[3] and 40–58% of HIV-positive patients die during treatment[4,5] and half of survivors suffer neurologic disability[6]. This results in a high burden to health care systems and caregivers with prolonged hospital admissions and rehabilitation periods. There is estimated to be 100 000 cases worldwide annually, but due to inaccurate diagnostics and scarce epidemiological data in many TB endemic regions this figure is likely an underestimate[2,7]. TBM disproportionately affects those in high TB prevalence countries, children under 5 years of age and those with HIV coinfection[2]. It is the second leading cause of meningitis in in hospitalised adults in South Africa and Uganda[8,9], and the leading cause of adult meningitis among those admitted to neurology wards in Indonesia (Table 1)[10].

Treatment

Current World Health Organisation (WHO) recommended therapy consists of rifampicin, isoniazid, pyrazinamide and ethambutol for two months (intensive phase), followed by rifampicin and isoniazid alone to complete 9–12 months (continuation phase). Factors known to improve survival include early TB treatment initiation and adjunctive corticosteroids[11]. Nevertheless, morbidity and mortality remains unacceptably high[12], likely in part due to delays in seeking medical care, diagnosis and initiation of treatment. Current drug choice, dose and routes of administration for TBM treatment are based on principles used for pulmonary TB (PTB)[13,14]. However, unlike PTB, antibiotics to treat TBM must cross the blood-brain barrier (BBB) or blood-cerebrospinal fluid-barrier (BCSFB). Therefore, another possible contributory factor towards mortality in TBM may be poor central nervous system (CNS) penetration of vital TB drugs[15].

Factors affecting drug entry into the brain and CSF include lipid solubility, ionisation, molecular weight, protein binding and processes of active transport. Meningeal inflammation also impacts cell tight junction integrity and therefore drug levels in the brain and CSF. Due to variable TB drug penetration across the BCSFB and BBB (Figure 1), optimal treatment regimens for PTB may not be most effective for TBM. It follows, that altering drug selection, dosing and route of administration may improve treatment outcomes by ensuring adequate delivery to the site of disease and early mycobacterial activity in the CNS[15].

Limitations of standard dose rifampicin

Rifampicin forms the backbone of TBM treatment, and is believed to be the most critical drug in the treatment of TB, as supported by the near-universal fatal outcome reported in patients infected with rifampicin and isoniazid resistant *Mycobacterium tuberculosis* strains (multidrug resistant (MDR)-TB)[16,17]. While some excess mortality may be explained by delayed diagnosis of rifampicin resistance, mortality remains greater than 75% in patients diagnosed early enough that second-line drugs can be initiated[17,18].

In plasma, the historical recommended target peak concentrations of rifampicin are 8-24mg/L. This historical target is based on rifampicin concentrations typically observed in healthy adults (i.e. the reference range after a dose of 10mg/kg orally), and is not optimized based on pharmacokinetic-pharmacodynamic (PK-PD) data[19]. In is now accepted that total exposure to TB drugs, or the area under the 24-h concentration–time curve (AUC_{0-24}), is more relevant to the efficacy of first-line TB drugs. Ideally, AUC_{0-24} is considered together with the minimum inhibitory concentration (MIC) of the mycobacteria to yield an AUC_{0-24}/MIC ratio that is supposed to best predict response in murine models[20]. A large meta-analysis of rifampicin pharmacokinetic data

Table 1. Prevalence of meningitis aetiologies in Harvest trial site countries.

Hospital Location	Country	Sample Size	HIV-positive	Meningitis Aetiology Distribution			
				Bacterial	TBM	Cryptococcal	Other / Unknown
Kampala / Mbarara[8]	Uganda	416	98%	4%	8%	59%	29%
Cape Town[9]	S. Africa	1,737	96%	19%	13%	30%	38%
Jakarta[10]	Indonesia	274	54%	0%	34%	5%	61%

TBM = tuberculous meningitis

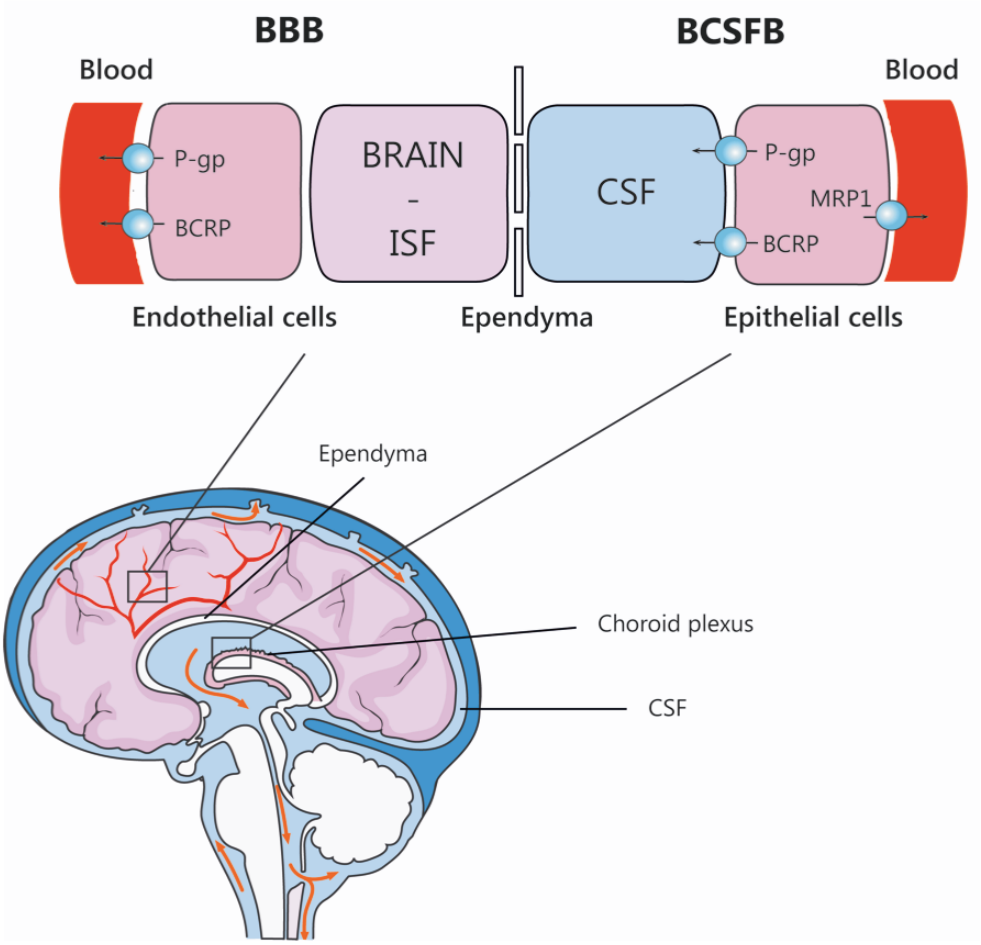

Figure 1. Schematic overview of the blood-brain barrier (brain endothelial cells) and the blood-CSF barrier (choroid plexus epithelial cells). Reproduced with permission from Cresswell *et al.*[21].

amongst TB patients found that the mean plasma rifampicin peak concentration at steady state is only 5.79mg/L (below the historical target concentration) and doses of >25mg/kg are needed to achieve the PK-PD target derived from murine studies (AUC/MIC >271)[22]. Animal and human pharmacokinetic-pharmacodynamic (PK-PD) studies suggest that plasma rifampicin exposure at current dosing (10 mg/kg) provides exposure that it is sub-optimal in most patients[23].

CSF rifampicin concentration is reported to approximately 12% of total (protein-bound plus unbound) plasma concentrations and approximately 50% based on estimated unbound concentrations[24–26]. The standard dose of rifampicin is known to often result in CSF concentration below or around the *M. tuberculosis* minimum inhibitory concentration (MIC) for rifampicin of 0.2–0.4 mg/L[15]. In fact, CSF rifampicin concentrations were below the level of detection in approximately 67% of Indonesian patients with TBM receiving standard oral adult dose (8–10mg/kg)[27].

Additional factors may affect rifampicin exposure in TBM patients. For example, HIV co-infection may influence the concentrations of rifampicin achieved in the plasma and CSF. A meta-analysis of rifampicin pharmacokinetic data from 931 individuals spanning 30 years concluded that HIV status affects the total exposure to rifampicin in the early days of treatment (AUC 37.2 mg.h/L in HIV-positive versus 56.7 mg.h/L in HIV-negative after standard-dose (10 mg/kg) rifampicin, p=0.003)[22]. HIV associated enteric infections and enteropathy causing malabsorption and increased systemic drug clearance secondary to low bodyweight may disproportionately affect drug concentration in these individuals. In addition, these patients are often critically ill on presentation and receive drugs via a nasogastric tube, which may further affect drug delivery.

The extent to which blood and CSF rifampicin concentrations relate to brain tissue exposure is also unclear. Interesting recent data on brain (intralesional) rifampicin pharmacokinetics, derived from a rabbit model of experimentally-induced TBM using serial noninvasive dynamic 11C-rifampin positron emission tomography (PET) over 6 weeks, has shed some light on this matter. Rifampin penetration into infected brain lesions is limited, spatially heterogeneous, and decreases rapidly as early as 2 weeks into treatment. Moreover, rifampin concentrations in the cerebrospinal fluid did not correlate well with those in the brain lesions. First-in-human 11C-rifampin PET performed in a

patient with TBM confirmed these findings. PK modeling using this data predicted that higher rifampin doses (≥30 mg/kg) are required to achieve adequate intralesional concentrations in TBM[28].

High dose rifampicin in pulmonary TB

Trials from both the PanACEA consortium and Peru have shown encouraging results supporting both the efficacy and safety of high dose rifampicin up to a dose of 40 mg/kg[29–32]. The PanACEA multi-arm multistage trial showed that time to stable culture conversion in liquid media was faster in the 35 mg/kg rifampicin group than in the control group (median 48 days vs 62 days, adjusted hazard ratio 1·78; 95% confidence interval (CI) 1·22–2·58, p=0·003), but not in other experimental arms[33]. In an additional analysis, increasing rifampicin exposure was also associated with shortened time to stable culture conversion. The effect did not plateau, indicating that doses >35 mg/kg could be yet more effective[32].

High-doses rifampicin was also associated with a greater estimated fall in M. tuberculosis bacillary load in sputum of patients with pulmonary TB at 2 weeks follow up, i.e. high-dose rifampicin showed increased 'early bactericidal activity'[29,30]. Recent analyses of one of these latter trials (the PanACEA HIGHRIF1 trial) included doses up to 40 mg/kg, demonstrating a further effect of rifampicin exposure on early bactericidal activity and clinical trial simulations showed greater early bactericidal activity for 50 mg/kg rifampicin[34,35]. Recent evaluations of administration of this 50 mg/kg rifampicin dose show that this dose is not well-tolerated. The maximum tolerable dose for rifampicin in PTB is therefore set at 40 mg/kg daily (R. Aarnoutse and L. te Brake, personal communication, data from the PanACEA HIGHRIF1 trial).

In summary, data from pulmonary TB have shown that higher rifampicin doses, starting with at least 20 mg/kg daily but certainly at 35 mg/kg orally, result in strongly increased systemic exposures to rifampicin, were safe, tolerated, and resulted in increased response, as reflected in improved early bactericidal activity and shorter time to culture conversion. These lessons learnt in pulmonary TB are relevant to TBM treatment, considering the key role of rifampicin in TBM treatment and the limited CSF penetration seen at standard dose.

Situation of equipoise

Three Indonesian phase II trials have investigated the safety/tolerability of high dose rifampicin to treat TBM. In 2013, Ruslami *et al.*, randomised 60 adults with TBM to receive 2 weeks of intensified treatment with either standard or 33% higher intravenous rifampicin dose (13mg/kg), and then either 400mg, 800mg or no moxifloxacin in a factorial design[24]. They found that a 33% increase in rifampicin dose led to a three-fold increase in geometric mean plasma area under the curve (AUC_{0-6h}), maximum plasma concentration (C_{max}) and maximum CSF concentration ($C_{highest}$). Although patient numbers were small, there was a significantly lower 6-month mortality (HR 0.42, 95% CI0.2 to 0.91; p=0.03), a quicker resolution of coma (median 4 vs 5 days) and a higher proportion of patients with a complete neurological recovery (31% vs 13%) in the high dose intravenous rifampicin arm. PK-PD analysis revealed that patients who survived in the first two weeks had a significantly higher rifampicin plasma AUC_{0-6h}, plasma C_{max} and CSF $C_{highest}$, with a strong concentration-effect relationship[36]. The effect of rifampicin was similar in both moxifloxacin groups, although statistical power was too small to rule out a possible interaction between the two interventions. Since intravenous rifampicin is an expensive and inconvenient route of administration, especially in low- and middle-income countries, two further oral rifampicin dose-finding trials were undertaken. It was found that a double and triple dose of oral rifampicin led to three and five-fold higher geometric mean total exposures in plasma, with proportional increases in CSF concentrations and without an increase in the incidence of grade 3–4 adverse events[27,37].

The PK and efficacy data from these three small trials were combined and related to each other in a recent combined analysis using population PK approach. The PK analysis included 133 individuals and 1150 rifampicin concentrations (170 from CSF) and the survival analysis included 148 individuals of whom 58 died and 15 dropped out. Higher individual plasma rifampicin exposures (AUC_{0-24h}), lower age and higher baseline Glasgow coma scale (GCS) scores reduced the hazard of death[38]. Figure 2 shows how simulations predicted an increase in 6-month survival from approximately 50% to approximately 70% upon increasing the oral rifampicin dose from 10 to 30 mg/kg, and predicted that even higher doses would further improve survival[38]. Based on this analysis it was concluded that higher rifampicin exposures early during treatment substantially decrease the risk of death and that optimal dose of rifampicin in treatment of TBM should be further investigated in phase III trials.

In contrast, in a large randomised, double-blinded, placebo-controlled trial in Vietnam, adults with TBM received an intensified TBM regimen containing both 15 mg/kg/day oral rifampicin and levofloxacin plus standard isoniazid, pyrazinamide and ethambutol or standard of care TBM treatment for the first two months of treatment, there was no significant difference in 9-month mortality between the two groups (HR 0.94; 95% CI, 0.73 to 1.22; P = 0.66), though there was a survival benefit in those with isoniazid monoresistance (HR 0.34; 95% CI 0.15 to 0.76; P = 0.01)[39,40].

The lack of overall effect in the Vietnam trial could be because the oral rifampicin dose was too low. The nested PK/PD study in 237 trial participants was published earlier this year and aimed to define exposure-response relationships in study participants. Rifampin 15mg/kg increased plasma and CSF exposures compared to 10mg/kg: day 14 plasma AUC_{0-24} increased from 48.2h•mg/L (range 18.2–93.8) to 82.5h•mg/L (range 8.7–161.0) and CSF AUC_{0-24} from 3.5h•mg/L (range 1.2–9.6) to 6.0h•mg/L (range 0.7–15.1). However, within the exposure range achieved, no relationship between rifampin exposure and survival was seen within the trial[41]. It is possible, as seen in the PTB model[34] and in TBM PK-PD model[38], a rifampicin

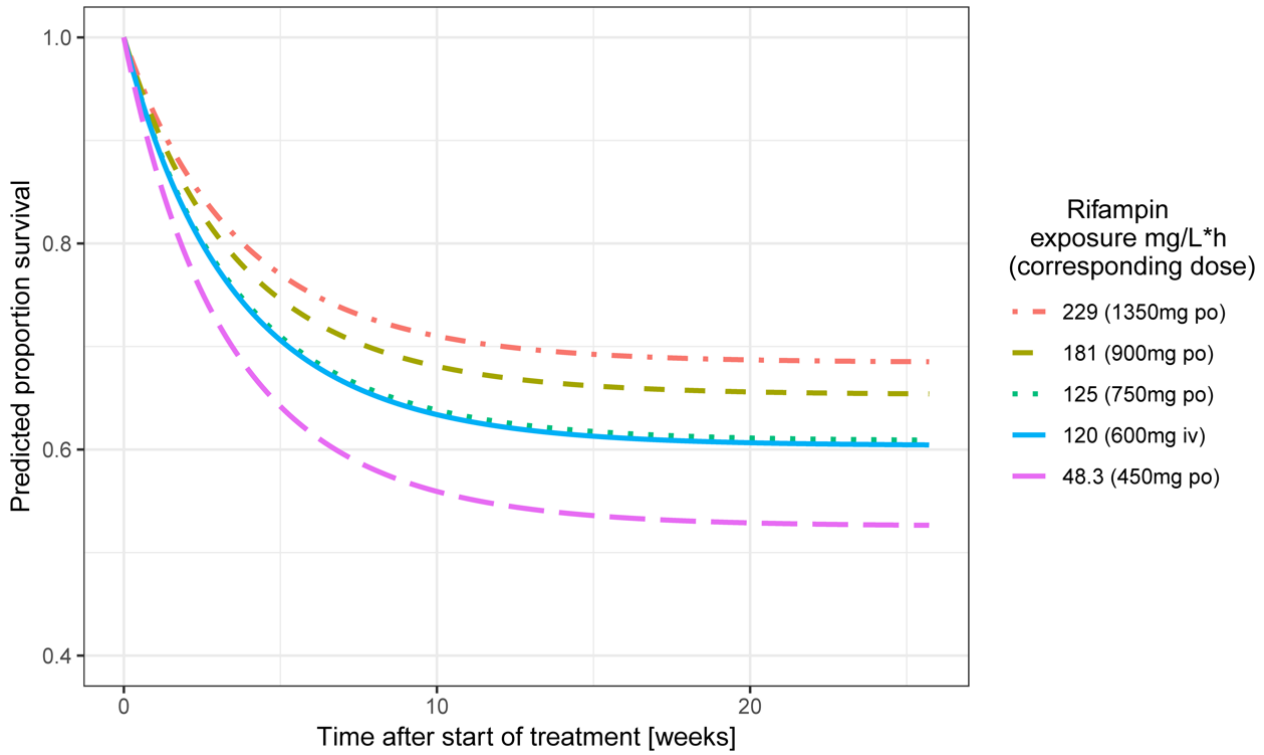

Figure 2. Influence of exposure to rifampicin in plasma on survival in Indonesian patients with TBM.

dose of greater than 15mg/kg is required to achieve exposures capable of reducing time to culture conversion and improving survival, which may explain the lack of exposure-response relationship seen in this trial.

Alternatively, the reduction in mortality seen in the Indonesia trial may represent a type-I error due to a small sample size. In addition, Indonesian patients had more severe neurological dysfunction, with only 7% classified as having grade 1 disease severity according to the British Medical Research Council (BMRC)[42] TBM criteria at baseline, compared to 39% in Vietnam. These conflicting trials create equipoise as to whether higher dose rifampicin is beneficial or not; a question that HARVEST will be able to answer.

Protocol

1. Hypothesis

Our primary hypothesis is that, among those with TBM, high dose oral rifampicin dosed at ~35 mg/kg/day will increase plasma, CSF and brain exposure to rifampicin, resulting in more rapid mycobacterial clearance, and improved clinical outcomes including: 1) survival, 2) more rapid resolution of coma, 3) improvement in functional status.

2. Main study objectives

2.1 Primary objective. Our primary objective is to determine if high dose rifampicin, delivered orally at ~35 mg/kg/day for 8 weeks, is safe and improves 6-month survival compared to standard of care (rifampicin 10 mg/kg/day) for patients with TBM.

2.2 Secondary objectives. Our secondary objectives are six-fold. We will compare the high dose rifampicin to standard of care for:

i. 12-month survival

ii. neurological disability and functional outcomes

iii. safety and tolerability

iv. hospital outcomes related to TBM

v. subsequent neurological deterioration

vi. management (incidence and outcomes) of drug induced liver injury (DILI)

3. Ancillary studies

To maximise research outputs from the trial, several ancillary studies are anticipated. These include:

3.1 Clinical pharmacology studies. The objectives will be to characterise the PK of rifampicin in plasma and CSF between study arms, assess predictors of exposure to rifampicin in plasma and CSF, assess the relationship between rifampicin concentration and survival and evaluate the impact of high dose rifampicin on co-administered antiretroviral therapy (ART).

3.2 Management of DILI. We hypothesise that the current guidelines for management of DILI in those with TBM result in the premature cessation of rifampicin and isoniazid, both critical in early therapy, placing patients at unnecessary risk of death and disability.

3.3 Cost effectiveness analysis of the intervention. We will perform and economic evaluation of the cost effectiveness of the intensified TB treatment intervention from the perspective of the health payer in South Africa (middle income country), Indonesia (middle income country) and Uganda (low income country).

3.4 Archiving blood samples for planned and future studies. We will store CSF, plasma, blood, and urine for studies related to:

1) TBM diagnosis. This may include diagnostic testing for mycobacterial DNA, RNA, or proteins (e.g. Xpert MTB/RIF Ultra, next-generation sequencing, lipoarabinomannan (LAM), etc.)

Where consent has been given for host genomic studies we will store CSF, plasma, and blood for metagenomic (i.e. DNA and RNA) gene sequencing to compare gene expression related to:

2) TBM prognosis (survival vs. death)

3) Neurocognitive outcome

4. Design and setting

HARVEST is a parallel group, randomised, placebo-controlled, double blind, phase III multicentre clinical trial evaluating whether high dose oral rifampicin (~35 mg/kg/day) administered during the first 8 weeks of TBM treatment compared to standard of care (rifampicin ~10 mg/kg/day) improves outcome in TBM (Figure 3). All participants will receive standard isoniazid (~5 mg/kg/day), pyrazinamide (~25 mg/kg/day), ethambutol (~20 mg/kg/day) plus corticosteroids.

The trial will be set in 5 sites across 3 countries: Hasan Sadikin Hospital, Bandung and Dr. Cipto Mangunkusumo Hospital, Jakarta in Indonesia; Mulago National Tertiary Referral Hospital, Kampala and Mbarara Regional Referral Hospital in Uganda; and Prince Mshiyeni Memorial Hospital, Durban in South Africa.

5. Endpoints

5.1 Primary endpoint.

1. 6-month survival

This time point has been chosen since 95% mortality occurs by 6-months, and we anticipate our intervention will have the largest impact early in treatment. Mortality will be determined by active patient follow-up. Patients lost to follow-up during the first 8 weeks will be considered as failures and will count towards the primary endpoint. Participants lost to follow-up after 8 weeks will be censored.

5.2 Secondary endpoints

1. 12-month survival

2. Functional and neurocognitive outcomes

a) In patients presenting with altered mental status and depressed consciousness we will assess the number of days from randomisation until GCS 15 is achieved for ≥2 consecutive days.

b) We will assess functional outcomes by Liverpool Outcome Score at month 6 (Table 2)

c) We will record quantitative neurocognitive performance Z-scores (QNPZ-8) derived from a test battery at 2 and 12 months (Uganda only). The test battery includes Grooved Pegboard test, Colour Trails 1 and 2 tests, WAIS-III Digit Symbol test, Finger Tapping test, WHO-UCLA Auditory Verbal Learning Test, Semantic Verbal Fluency test (category fluency)[43]

3. Safety and tolerability

We will measure five safety and tolerability end points: i) clinical grade 3–4 adverse events (AEs) as classified by Division of AIDS (DAIDS) Toxicity Scale, ii) laboratory AEs grade 3–4, iii) serious adverse events, iv) DILI (alanine transaminase (ALT) or aspartate transaminase (AST) >3x upper limit of normal (ULN) with symptoms of hepatitis or >5x ULN without symptoms of hepatitis, v) discontinuation of TB treatment for >5 days in the first 8 weeks for any cause.

5.3 Tertiary endpoints. Pharmacokinetic parameters and cost-effectiveness relate to the ancillary studies and will be reported separately from the main trial.

6. Inclusion and exclusion criteria

6.1 Inclusion criteria. We will include adults (≥18 years old) who present with a first episode of TBM as clinically suspected by the attending physician (≥3 days of meningitis symptoms and CSF abnormalities) and TB treatment planned. Written informed consent must be obtained by the participant or surrogate in the case of altered mental state.

6.2 Exclusion criteria. The participant will be excluded from the study if there is jaundice, known liver cirrhosis or elevated ALT >5x ULN. Due to dose adjustment of ethambutol and pyrazinamide required in renal failure, patients with an estimated glomerular filtration rate (eGFR) <30 ml/min will be excluded. Participants are ineligible if they have received more than five doses of any TB treatment within the previous seven days, if they have a known allergy to any standard TB drug or have known current or previous rifampicin-resistant *M. tuberculosis* infection. Participants should not be enrolled if they have additional active and confirmed CNS infection, have a contraindication to steroids, are unlikely or unable to attend regular clinic visits or are pregnant or breastfeeding. Due to interactions with rifampicin, HIV-infected individuals are ineligible if they require ongoing use of protease inhibitor-based antiretroviral therapy.

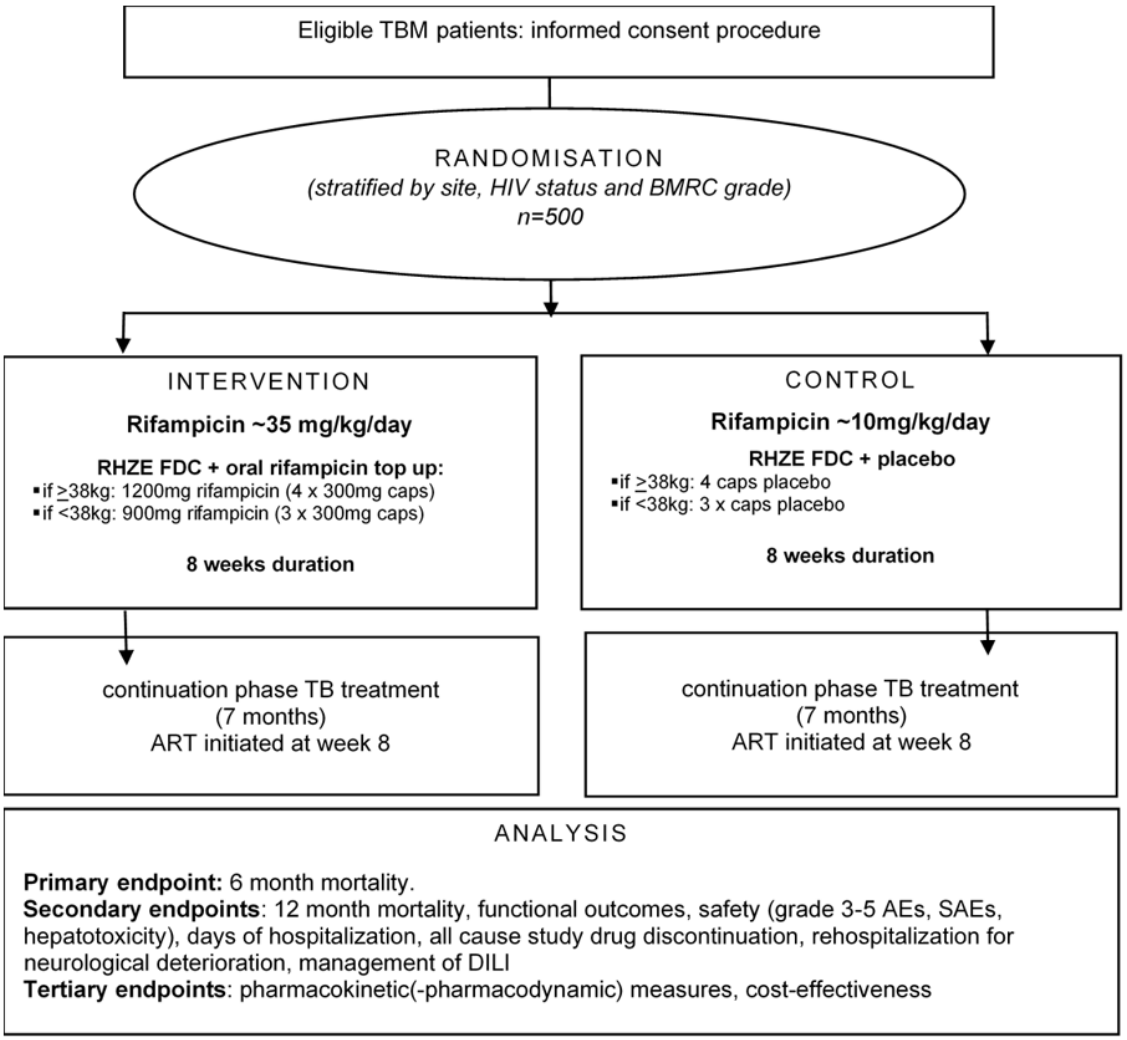

Figure 3. Schematic of study design. Abbreviations: TBM, tuberculous meningitis; BMRC, British Medical Research Council; R, rifampicin; H, isoniazid; Z, pyrazinamide; E, ethambutol; FDC, fixed dose combination; caps, capsules; ART, antiretroviral therapy; AE, adverse event; SAE, serious adverse event; DILI, drug-induced liver injury.

Table 2. Liverpool outcome score[44].

5	Full recovery & normal neurological examination
4	Minor sequelae with mild effects on function or personality change or on medication
3	Moderate sequelae mildly affecting function, probably compatible with independent living
2	Severe sequelae, impairing function sufficient to make patient disabled
1	Death

7. Randomisation and treatment allocation

Adults eligible for enrolment following a diagnostic screening lumbar puncture will be approached for enrolment consent. Randomisation will occur prior to administration of the sixth dose of TB treatment. A computer-generated permutated block randomisation algorithm of different sized blocks will randomise patients within a 1:1 ratio into the two trial arms.

Randomisation will be stratified by:

1. Clinical site

2. HIV-status
3. BMRC TBM disease grade I or II/III at time of randomisation

Equal randomisation will occur at each stratum. Randomisation schedules will be provided to each study site's pharmacy in a listed sequence. Sequential, unique randomisation codes will be recorded on the study entry case report form (CRF) to assure no skipping of the randomisation order. All randomised participants will initiate their allocated study TB treatment within 48 hours of randomisation, and preferably on the same day.

The trial will be placebo-controlled and outcomes determined by study personnel who are all blinded to treatment allocation. Unblinding of individual patients will only occur in rare emergencies after approval from a Chief Investigator. Hepatotoxicity will not require unblinding as they will be managed according to the DILI sub-study algorithm, regardless of their randomisation arm.

7.1 Treatment discontinuation. In order to prevent delay of urgent TBM therapy patients may be enrolled before baseline bloods are known to the study team. Study sponsored withdrawals may take place before day 15 for one of the following reasons

i. Baseline ALT >5xULN. Participants can be randomised before liver function tests (LFT) results are available to the study team, which may be up to 2 days in certain sites.

ii. Baseline eGFR <30 ml/min and fails to rise to ≥30 ml/min within 72 hours of enrolment. Pyrazinamide and ethambutol require renal dosing for long term use in those with an eGFR <30 ml/min which would complicate intervention and management.

iii. Rifampicin resistance identified after randomisation. Patients with isoniazid-mono-resistant TB may participate in the study.

iv. Confirmed CNS infection other than TBM, who have TBM treatment stopped prior to day 15.

8. Interventions

8.1 Meningitis diagnostic tests. Standard of care meningitis testing involves an HIV test (if not already known to be HIV positive), a lumbar puncture with routine CSF analysis for white cell count and differential, protein, glucose and microscopy (e.g. Gram Stain, +/- Ziehl-Neelsen Stain, +/- India ink, cryptococcal antigen (HIV-infected participants), TB testing with GeneXpert MTB/Rif Ultra, CSF TB culture ± urine TB LAM. Whether separate screening consent or lumbar puncture consent is required will depend on local practice.

8.2 Antituberculous therapy. HRZE fixed dose combination TB treatment consists of rifampicin (R) ~10 mg/kg/day, isoniazid (H) ~5 mg/kg/day, pyrazinamide (Z) ~25 mg/kg/day and ethambutol (E) 20 mg/kg/day. The intervention arm will consist of HRZE fixed dose combination tablets based on weight with additional 'top up' of four rifampicin 300mg capsules administered for the first eight weeks (unless <38 kg, in which case only three 'top up' rifampicin capsules are given). In the control arm, three or four additional placebo tablets will be given according to weight (Table 3). After enrolment, participants will receive study TB treatment according to random treatment allocation as soon as possible, within 24 hours of enrolment. After the 8-week intervention period, both arms will be given rifampicin and isoniazid according to standard doses during the continuation phase of treatment for 7 to 10 months according to national guidelines. Medication will be given orally under directly observed therapy during hospitalisation, unless the patient cannot swallow, in which case tablets will be dispersed in water and administered via a nasogastric tube.

8.3 Antiretroviral therapy. HIV therapy will be provided in partnership with local HIV services. HIV-infected participants not already receiving ART will be recommended to initiate ART at 8-weeks following TB treatment initiation, according to international guidelines[45]. All HIV-infected participants will receive cotrimoxazole prophylaxis, unless allergic, according to national guidelines.

8.4 Adjunctive corticosteroid treatment. A meta-analysis found that adjunctive corticosteroid treatment improve survival among HIV-uninfected persons, and as such is recommended for all patients with CNS TB according to WHO guidelines[46]. The benefit in HIV-infected persons is less clear and may be answered by the ACT-HIV trial currently underway in Vietnam and Indonesia (NCT03092817), with results expected in 2020. Corticosteroid administration will be standardised for all participants as per the trial's standard operation procedures

Table 3. Administration of study drug.

Weight	Standard FDC RHZE (150/75/400/275 mg)	Additional Rifampicin 300mg caps / placebo	Total R dose in intervention arm	Total R dose in placebo arm	Dose of other TB drugs (H/Z/E)
30–37 kg	2 tabs	3	1200mg	300mg	150/800/550
38–54 kg	3 tabs	4	1650mg	450mg	225/1200/825
55–70 kg	4 tabs		1800mg	600mg	300/1600/1100
≥ 71 kg	5 tabs		1950mg	750mg	375/2000/1375

R = rifampicin, H = isoniazid, Z = pyrazinamide, E = ethambutol.

(SOP), though clinical discretion can be used where medical complications arise.

8.5 Blood test monitoring. Routine blood test monitoring will occur on day 1, 3, 7, 14, week 4 and week 8 to monitor for rifampicin toxicity. See Table 4 and Table 5.

8.6 Pharmacokinetic sampling. In Indonesian and Ugandan sites intensive pharmacokinetic sampling will take place on day 2, with plasma samples collected at 3 time points, as well as a single CSF sample. These sites have experience in prior PK studies.

8.7 Neurocognitive testing. In Kampala detailed neurocognitive assessment will take place at 2 and 12 months as there is a nurse trained and experience in neurocognitive assessment at that site.

9. Adverse events and safety reporting

The definitions of the EU Directive 2001/20/EC Article 2 based on the principles of the international committee of harmonisation good clinical practice (ICH GCP) apply to this trial protocol. The investigational medicinal product (IMP) is high dose rifampicin and the comparator is standard dose rifampicin. All adverse events will be assessed for seriousness, causality and expectedness. Causality in relation to the IMP is assessed as unrelated, unlikely, possible, probably or definite based on temporal relationship and clinical judgement. If the event is serious and unrelated or unlikely to be related it is classified as a serious adverse event (SAE). If the event is classified as possible, probably or definitely related it is classified as a serious adverse reaction (SAR). Expectedness of the adverse reaction is assessed using the summary of product characteristics (SPC). An unexpected adverse reaction is one not previously reported, or more severe or frequently reported in the SPC. If an SAR is assessed as unexpected it becomes a suspected unexpected serious adverse reaction (SUSAR). Intensity will be assessed using the Division of AIDS (DAIDS) Table for Grading the Severity of Adult and Paediatric Adverse Events.

The coordinating centre (Infectious Disease Institute, Kampala, Uganda) will be notified of all grade 3–4 AEs, SAEs (including deaths) and SARs within 72 hours. Investigators will notify the coordinating centre of all SAEs occurring from the time of randomisation until 6 months (time of primary endpoint). SAEs, SARs, and SUSARs will be reported to the coordinating centre, local and international Institutional Review Boards (IRB) until trial closure, as required by regulatory guidelines. The coordinating centre will report SAEs, SARs and SUSARs to the regulatory authorities and research ethics committees. SAEs and SUSARs will be reported to regulatory authorities within 7 days of the coordinating centre becoming aware of the event.

Quarterly reports pooled over both arms on subject toxicity will be provided to the trial steering committee (TSC). The trial will be monitored by an external and independent data and safety monitoring board (DSMB). The independent data safety and monitoring board (DSMB) review data after 25%, 50% and 75% of participants have completed the 2-month visit, and will have full access to accumulating data on efficacy, safety and treatment group assignment. A Lan-DeMets spending

Table 4. Schedule of events during hospitalisation.

Study item	Screening	Enrolment Day 1	Day 2	Day 3	Day 7	Day 14*	Weekly till hospital discharge	Hospital discharge	Further Hospitalisation
Visit window (days)					+3	+3	+3		
Screening Consent (Uganda only)	X								
Assess eligibility criteria		X							
Patient information and enrolment consent		X							
Clinical history and examination									
Past medical history	X	X							
Medication review	X	X	X	X	X	X	X	X	X
Document HIV status		X							
Current symptoms	X	X	X	X	X	X	X	X	X
Physical examination	X	X	X	X	X	X	X		X
GCS score[a]	X	X	X	X	X	X	X	X	X
BMRC disease grade		X							
Adverse event assessment		X	X	X	X	X	X	X	X

Study item	Screening	Enrolment Day 1	Day 2	Day 3	Day 7	Day 14*	Weekly till hospital discharge	Hospital discharge	Further Hospitalisation
Investigations									
HIV-test (if not known positive)	X								
Cryptococcal antigen if HIV+ (blood)	X								
Sodium	X[c]	X[g]			X				X
Potassium		X							
Glucose (bedside)	X[c]								
Creatinine[d]	X[c]	X[g]			X				X
Hepatic panel[e]	X[c]	X[g]			X	X			X
Blood Count (including differential)	X[c]	X[g]			X				X
CD4 if HIV-positive	X[c]	X[g]							
Pregnancy test	Women[c]								
Chest radiograph	+/-[c]								
Urine sample +/- storage[f]	X[c]								
Lumbar puncture for Xpert, culture and storage after enrolment and if not contra-indicated (SA)[h]		X							
PK/PD sub-study in participating sites: sparse PK sampling[g] (plasma x3 and CSF x1 sample)			X						
Blood / DNA / RNA storage		X	X (if RIF PK)			X (if ART PK, or at hos d/c)			Optional (with consent)

Footnotes:
*week 2 visit may be performed as an inpatient or outpatient depending on the time of hospital discharge
[a] GCS will be captured daily during hospitalisation on a log by study team or routine care providers
[b] Adverse events will be recorded according to DAIDS toxicity scale
[c] Provided as standard of care in some of the trial sites. Where not performed as part of SOC the test will be study sponsored.
[d] Additional renal monitoring will be undertaken in those with abnormal baseline creatinine
[e] Hepatic panel = alanine aminotransferase (ALT), alkaline phosphatase (ALP) and total bilirubin. Hepatitis BsAg, Hepatitis C Ab will be added if baseline ALT is elevated. In the event of DILI hepatic panel will be performed as per DILI SOP.
[f] Urine sample may be collected from HIV-positive patients during screening for testing with TB-LAM (lipoarobinmannan) as part of TB work-up
[g] Baseline bloods must occur at either during screening or at enrolment visit. It is possible these visits will be on the same day. If baseline bloods were done at screening and enrolment occurs >72 hours later baseline blood tests will be repeated.
[h] LP may be performed to do initial Xpert/TB culture tests (if not done through routine care) or to repeat these tests to improve diagnostic yield of TBM. Investigations requested by the treating physician as part of routine care (e.g. exclusion of additional causes of meningitis as appropriate) may also be performed on CSF obtained at this timepoint
GCS = Glasgow come scale, BMRC = British Medical Research Council, PK = pharmacokinetic, PD = pharmacodynamic.

function analogue of the O'Brien-Fleming boundaries will be provided for the 6-month survival outcome with each DSMB report. Early termination or protocol modification will be considered if the O-Brien-Fleming boundary is crossed. If the trial steering committee, Sponsor, IRB or regulatory authorities request the DSMB can modify the frequency of interim analysis.

10. Data collection

10.1 Baseline and subsequent assessment. Participants will be enrolled and hospitalised for at least seven days, discharged and followed up on a regular schedule until week 52 (Table 4, Table 5). Hospitalised patients will be reviewed by a study doctor daily on working days in the first week, then 2–3 times per week until hospital discharge. During weekends and public holidays, the patients can be reviewed by the medical team who will alert the study team of any clinical events. Telephone follow-up and home visits may be used to minimise inconvenience to physically disabled participants. If clinical deterioration occurs participants will be seen at unscheduled visits. Additional laboratory and radiological tests can be undertaken at the discretion of the physician with agreement of the site principal investigator (PI).

Table 5. Outpatient Schedule of events.

Study item	Wk 2*	Wk 4	Wk 8	Wk 12	Wk 18	Wk 24	Wk 36	Wk 52	Sick Visit
Visit window (weeks)	(1,3)	(3,6)	(6, 10)	(10, 13)	(13, 21)	(21, 30)	(30, 44)	(44, 60)	As needed
Dispensing of study drug	X	X	X	standard fixed dose therapy for 7–10 months as per local guidelines					
Interim history		X	X	X	X	X	X	X	X
AE assessment		X	X	X	X	X	X	X	X
Medication review	X	X	X	X	X	X	X	X	X
Adherence assessment	X	X	X	X	X	X	X	X	X
Physical Examination	X	X	X	X		X			X
Liverpool Outcome Score						X			
Detailed neurocognitive follow-up (Uganda)			X					X	
Sodium									± if clinically indicated
Creatinine									± if clinically indicated
Liver Panel[a]	X	X	X						± if clinically indicated
Full blood count, differential									± if clinically indicated
CSF analysis & storage									± if clinically indicated
Initiate or switch ART[b]			X						
Storage of blood (ml)		X (if ART PK)				X (optional, HIV-pos)	X (optional, HIV-pos)		± if clinically indicated

Footnotes:

*week 2 visit may be performed as an inpatient or outpatient depending on the time of hospital discharge

All visits should ideally take place in person but can, in certain circumstances, be done by telephone or via home visit (if patient consents)

[a]Liver panel = ALT; alanine aminotransferase, ALP; alkaline phosphatase, total bilirubin

[b] HIV-infected patients not receiving effective ART. ART initiation via local HIV service and in-line with ART management SOP.

AE = adverse event, CSF = cerebrospinal fluid, ART = antiretroviral therapy

10.2 Data handling and data management. Source documents include detailed CRFs, laboratory and radiology reports, pharmacy dispensing records and external medical records. Data entry will occur via the DataFax system, whereby paper-based CRFs are scanned, emailed to a server and data entered by intelligent character recognition. After initial automated error checking, secondary review for accuracy will be performed by the DataFax team at the Infectious Diseases Institute, Uganda. The DataFax system allows for automated data queries to alert for any missing data on an ongoing basis. This also allows for permanent archiving and potential remote review by oversight bodies. Study specific forms will be harmonised between all study sites enabling multi-site data management. The investigator will retain essential study documents completion of the study, as per local guidelines. Digital images of the source documents will be retained for an indefinite period.

10.3 Quality control and assurance. Internal and external site monitoring will be conducted to ensure that the human subject protection, study procedures, laboratory, study intervention administration, and data collection processes are of high quality and meet the sponsor, ICH E6 and regulatory guidelines. The study may be subject to audit by the Infection Diseases Institute, Uganda under their remit as sponsor, as well as other regulatory bodies to ensure adherence to GCP.

11. Statistical considerations

11.1 Sample size. The target sample size is 500 subjects. The randomization will be stratified by site, BMRC TBM severity grade (I vs II/III) and HIV-status. The goal for completion of enrolment is <30 months based on an accrual of ~200 subjects per year. The primary analysis will be intention to treat. Type 1 error is 0.05 (2-sided) and power = 0.80. We estimate a ~50% cumulative death rate with standard TBM treatment. Experience in recent studies includes an 8-week mortality of 43% (51/120) in TBM patients (88% HIV-infected) in Cape Town[12]. Ruslami *et al.* reported 8-week mortality of 55% in TBM patients who received standard-of-care TB treatment in the Indonesian trial, however, 88% of patients were HIV-uninfected[27]. Two large RCTs have further reported mortality of 40–45%[3,45] in HIV-associated TBM in Vietnam, but with further experience and enhanced excellence in care reduced mortality to 27% in their most recent 2016 published trial (at the perhaps most experienced TBM site in any low and middle-income country)[39]. The potential effect size is based on a conservative effect of at least 10% absolute improvement in all-cause 8-week mortality, which would be sufficient to change clinical practice. In the prior Ruslami *et al.* trial in Indonesia (n=60), there was a 56% relative risk reduction in 8-week mortality with 24% (7/29) mortality using IV rifampicin vs. 55% (17/31) mortality using oral rifampicin therapy[27]. This 31% absolute reduction in mortality may be over-estimated based on the small sample size with a 95% CI of 7.3% to 54% mortality reduction. Both study-sponsored withdrawals and loss to follow-up are each expected to be no more than 5%.

A two-sided log rank time-to-event analysis with an overall sample size of 500 subjects (250 in the standard of care arm and 250 in the high-dose rifampicin arm) achieves 80% power at a 0.05 significance level to detect a hazard ratio of 0.68 when the proportion surviving in the control group is 50%, assuming up to 5% lost-to-follow up and no more than 5% study-sponsored withdrawals. This equates to a 13% absolute improvement in survival. If lost to follow up is less, then power increases slightly. Prior lost to follow up rate has been <1% in recent meningitis trials. The 12-month follow-up period makes lost-to-follow-up more likely, thus we have used an assumption of 5%. For survival analyses, participants who are lost-to-follow-up before 8 weeks will be counted as failures, and participants lost after 8 weeks censored.

11.2 Primary analysis. The primary analysis will be by intention to treat, comparing 6-month survival between the high dose rifampicin arm to the standard of care arm. Time-to-event methods, including Kaplan-Meier cumulative event curves with log-rank tests and proportional hazards regression models will be used to summarize excess risk of death. The primary analysis will be an unadjusted proportional hazards regression model. We will assess the assumption of proportionality of the hazards over time, to investigate if there is any evidence of an early difference that reverses with additional follow-up. Several pre-specified sensitivity analyses will also be performed: a model stratified by the randomization strata (clinical site, HIV status and BMRC TBM grade), and another model adjusted for differences in baseline covariates.

11.3 Secondary analysis. Time-to-event methods will also be used for the secondary events of 12-month survival, adverse events and re-hospitalisation due to neurological deterioration. Logistic regression models will be used to compare the treatment arms for binary outcomes (including normalization of mental status and all-cause drug discontinuation of more than 5 days), or Fisher's Exact tests if appropriate. Ordinal logistic regression models will be used to compare the treatment arms for the Liverpool Outcome Score. General linear models or Wilcoxon rank-sum tests will be used to compare the treatment arms for differences in continuous-valued measurements (including QNPZ-8).

11.4 Planned subgroup analysis. Proportional hazards regression models for 6-month survival will also be performed for a priori subgroups of interest:

- Glasgow Coma Score at presentation
- TBM Diagnostic certainty (Definite/Probable versus Possible)[4]
- BMRC TBM disease severity[3]
- HIV status
- ART status at study entry
- Infection with isoniazid mono-resistant *Mycobacterium tuberculosis* strains
- CSF and plasma rifampicin exposures

11.5 Analysis of ancillary studies. The results of ancillary studies will be reported separately from the main trial. Findings of the diagnostic sub-study will be reported in line with the Standards for the Reporting of Diagnostic Accuracy studies (STARD) guidelines.

12. Ethical considerations

12.1 Confidentiality. All participant-related information (including CRFs, laboratory specimens, evaluation forms, reports, etc.) will be kept strictly confidential. All records will be kept in a secure, locked location and only research staff will have access to the records. Participants will be identified only by means of a coded number specific to each participant. HIV and TB clinic records will be kept in the local HIV and TB clinics as per local practice.

All computerized databases will identify participants by numeric codes only, and will be password-protected. Upon request,

participant records will be made available to the study sponsor, the sponsor's monitoring representative, representatives of the sponsor and applicable local and national regulatory entities.

12.2 Consent. All informed consent documentation will be read in full to potential study participants or their legally acceptable surrogate i.e. caregiver or next of kin. Consent will be obtained with an approved consent form in English or the local language. The potential participant or surrogate will be given sufficient time to review, consider and discuss potential questions. Participants are still eligible for enrolment having received up to 5 days of TB therapy, thus study window for eligibility is not a coercive influence. We estimate 50% of the study population will have altered mental status at initial hospital presentation, therefore may have surrogate consent provided by proxy from their caregiver or next of kin.

Upon restoration of normal mental status, subjects enrolled with proxy consent will be re-consented. We will request permission from the ethics committees to use data of participants who do not regain ability or die prior to providing consent themselves. A person who speaks and understands the language of the informed consent document but does not read and write can be enrolled in a study by "making their mark" via a thumbprint on the informed consent document. The entire consent process and thumbprint will be witnessed by an impartial, literate third party. The witness's name, signature and relationship will be recorded on the informed consent document.

12.3 Sample use and storage. An optional additional storage consent will be obtained for long-term storage of blood, CSF and urine for the purposes of future research at certain sites based on capacity. Absence of this consent does not affect eligibility for enrolment or study procedures and storage requests required by this protocol.

12.4 Withdrawals. Subjects may withdraw consent at any time during the study. Study-sponsored withdrawals may be done prior to study day 15 (section 7.1). If subjects are withdrawn from the study, for whatever reason, they will be eligible to continue to receive TB treatment from a primary TB clinic of their choice. Patients enrolled in the study who choose to leave hospital early against medical advice may continue to participate in the study if they wish. Additional phone calls by study personnel will encourage the subject to seek follow up TB care and to re-join the trial per the ongoing schedule of events. For persons with study-sponsored withdrawal because of an alternative brain infection or pathology other than TBM, referral will be made to appropriate clinical service. Participants will be asked if they would like their accrued data to be destroyed.

12.5 Ethical approval. The study coordination centre has obtained approval from Mulago Hospital Institutional Review Board (MHREC 1554) and the Uganda National Council of Science and Technology (HS428ES). In South Africa, approval will be obtained from the Biomedical Research Ethics Committee of the University of KwaZulu-Natal, the Provincial Department of Health of KwaZulu-Natal and the South African Health Products Regulatory Authority. In Bandung, approval will be obtained from Research Ethics Committee of Universitas Padjadjaran Bandung, and in Jakarta, approval will be obtained from the Research Ethics Committee of the Faculty of Medicine Universitas Indonesia and Oxford Tropical Research Ethics Committee. Likewise, any future amendments to the study protocol will be approved by the trial steering committee and then approved by site(s)' IRB and other regulatory bodies as required before being implemented.

Trial registration. The study was registered on the ISRCTN registry on 17 July 2019 under the registration number ISRCTN15668391.

13. Trial committees

The trial sponsor is the Infectious Diseases Institute, Uganda. The trial management group (TMG) will oversee day-to-day management of the trial and is formed of the chief investigators, site PIs in Uganda, South Africa, Indonesia, a neurologist and statistical advisor. The TMG will meet weekly. The TSC has members of the TMG and independent members. The TSC provides supervision for the trial and advice through the independent Chair. The DSMB will advise the TSC regarding continuation, modification or premature closure of the trail. The DSMB is independent from the sponsor.

14. Dissemination of findings

We will share results though presentations at scientific conferences and in peer-reviewed open-access journals. Electronic data will be stored on the DataFax server housed at the US National Institute of Health. Anonymised data can be accessed on written request to the data manager after approval by the Sponsor and investigators.

Study status

The trial is currently seeking national drug regulatory authority approvals.

Discussion

TBM continues to have unacceptably high morbidity and mortality despite current WHO recommended therapy. High dose rifampicin is safe, tolerable and has favourable CNS penetration compared to standard doses. While survival benefit has been demonstrated, multiple studies in different populations with varying interventions have yielded inconsistent results. In addition to Harvest, a further factorial design phase III RCT (Intense TBM, NCT04145258) exploring high dose rifampicin (35mg/kg) with or without adjunctive linezolid and aspirin is planned in Madagascar, Uganda, South Africa and Côte d'Ivoire. These complementary phase III randomised, placebo-controlled, double-blinded, multi-centre trial will definitively answer the question of whether high dose rifampicin improves survival in TBM.

Data availability

Underlying data

No data are associated with this article.

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Version 2

Reviewer Report 07 October 2020

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✔ **Olivier Marcy**
Centre INSERM U1219, Bordeaux Population Health, University of Bordeaux, Bordeaux, France

I have reviewed the revised version of the manuscript by Marais, Cresswell *et al*. on their high dose rifampin trial to reduce mortality in patients with TB meningitis. Authors have revised the protocol and answered all my comments appropriately. The results of this trial as well as other trials in the field of intensified TBM treatment are much awaited by the scientific community, public health decision makers, clinicians and the patients suffering from this deadly disease.

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: TB-HIV co-infection in children and adults, TB meningitis, TB diagnosis in children.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Version 1

Reviewer Report 02 July 2020

https://doi.org/10.21956/wellcomeopenres.17046.r39145

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✔ **Tihana Bicanic**
1

Centre for Global Health, Institute for Infection and Immunity, St George's, University of London, London, UK
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Marais, Cresswell and collegues present an important, well written, comprehensive trial protocol for a phase III multi-site RCT of high vs standard dose rifampicin in the treatment of TBM in HIV-infected and uninfected patients in Africa and Asia.

The rationale for the study is based on sound phase 2 data, however (as mentioned by Reviewer 1) I would urge the authors/investigators to expand a little on the rationale for the choice of the 35mg/kg rifampicin dose.

The study design, study endpoints and sample size calculation are sound.

I have a few minor suggestions for improvement of the manuscript/protocol:

1. Introduction - limitations of standard dose rifampicin - are there any data (animal or human) on brain (in addition to CSF) penetration of rifampicin? If so, include.

2. Introduction - fig 1- this figure seems quite generic and out of context and could be omitted.

3. High dose rifampicin justification: these are key background data to the dosing chosen in the trial - which is not sufficiently justified here - suggest expand and/or perhaps include diagram summarising any preliminary data from animal/human phase II studies - could replace fig 1.
   Specifically need to expand any known data on relationship between dose and mycobacterial clearance - is there a threshold? I realise no CFU data in TBM specifically - but from animal pulmonary TB models/human EBA data is there a correlation between higher doses rif and CFU counts/faster clearance. You mention time to culture conversion - important to cite the actual data for all experimental arms in PanACEA.

4. Safety of rifampicin: 'It was found that a three-fold increase in oral rifampicin was safe, and resulted in a large increase is CSF and plasma exposure' - please be more specific - to what dose, and what was size of increase?
   You mention PK data from Vietnam trial awaited - the trial was published in 2016/17- are these data now available to you?

5. Ancillary studies - management of DILI - it is unclear how management of DILI will be assessed as an endpoint/compared between groups (agree with Reviewer 1 comments).

6. Inclusion criteria, under case definition -' CSF abnormalities' very non-specific? Suggestive of meningitis? We all realise a lot of treatment is empiric - if clinician judgement only then state so. Agree with reviewer 1 point about which diagnostics likely to be available to clinicians at each site upon which to base inclusion (and subsequent exclusion is alternative diagnosis found).

Is the rationale for, and objectives of, the study clearly described?

Yes

Is the study design appropriate for the research question?
Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Not applicable

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Infectious diseases Phase III clinical trial design and conduct in LMICs; microbial clearance phase II studies in cryptococcal meningitis.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 03 Aug 2020

Fiona Cresswell, Mulago College of Health Sciences, Kampala, Uganda

We thank Dr Bicanic for her expert comments on the protocol and address them in turn below.

Comment 1. The rationale for the study is based on sound phase 2 data, however (as mentioned by Reviewer 1) I would urge the authors/investigators to expand a little on the rationale for the choice of the 35mg/kg rifampicin dose.

Response 1. We have added further detail explaining the rationale for this choice of dose in pulmonary TB treatment, as shown below. We have also added further detail to the description of the PK-PD model-based analysis using data from Indonesian patients with TBM. Together, we hope this makes the rationale for this choice of 35mg/kg dose in the Harvest trial clear.

"The PanACEA multi-arm multistage trial showed that time to stable culture conversion in liquid media was faster in the 35 mg/kg rifampicin group than in the control group (median 48 days vs 62 days, adjusted hazard ratio 1·78; 95% confidence interval (CI) 1·22–2·58, p=0·003), but not in other experimental arms. In an additional analysis, increasing rifampicin exposure was also associated with shortened time to stable culture conversion. The effect did not plateau, indicating that doses >35 mg/kg could be yet more effective.
High-doses rifampicin was also associated with a greater estimated fall in M. tuberculosis bacillary load in sputum of patients with pulmonary TB at 2 weeks follow up, i.e. high-dose rifampicin showed increased 'early bactericidal activity'. Recent analyses of one of these latter trials (the PanACEA HIGHRIF1 trial) included doses up to 40 mg/kg, demonstrating a further effect of rifampicin exposure on early bactericidal activity and clinical trial simulations showed greater early bactericidal activity for 50 mg/kg rifampicin. Recent evaluations of administration of this 50 mg/kg rifampicin dose show that this dose is not well-tolerated. The maximum tolerable dose for

rifampicin in PTB is therefore set at 40 mg/kg daily (R. Aarnoutse and L. te Brake, personal communication, data from the PanACEA HIGHRIF1 trial).
In summary, data from pulmonary TB have shown that higher rifampicin doses, starting with at least 20 mg/kg daily but certainly at 35 mg/kg orally, result in strongly increased systemic exposures to rifampicin, were safe, tolerated, and resulted in increased response, as reflected in improved early bactericidal activity and shorter time to culture conversion. These lessons learnt in pulmonary TB are relevant to TBM treatment, considering the key role of rifampicin in TBM treatment and the limited CSF penetration seen at standard dose.
Further, model-based meta-analysis of data from TB meningitis patients in Indonesia predicted an increase in 6-month survival from approximately 50% to approximately 70% upon increasing the oral rifampicin dose from 10 to 30 mg/kg, and predicted that even higher doses would further improve survival"

Comment 2. The study design, study endpoints and sample size calculation are sound.

I have a few minor suggestions for improvement of the manuscript/protocol:

Comment 3. Introduction - limitations of standard dose rifampicin - are there any data (animal or human) on brain (in addition to CSF) penetration of rifampicin? If so, include.

Response 3. Thank you for raising this point. There is some interesting recent data on brain (intralesional) rifampicin pharmacokinetics derived from rabbit models of experimentally induced TBM using serial noninvasive dynamic 11C-rifampin positron emission tomography (PET) over 6 weeks. Rifampin penetration into infected brain lesions is limited, spatially heterogeneous, and decreases rapidly as early as 2 weeks into treatment. Moreover, rifampin concentrations in the cerebrospinal fluid did not correlate well with those in the brain lesions. First-in-human 11C-rifampin PET performed in a patient with TBM confirmed these findings. PK modeling using this data predicted that rifampin doses (≥30 mg/kg) were required to achieve adequate intralesional concentrations in TBM (Tucker EW, Sci Transl Med, 2018). This information and citation have been added to the protocol.

Comment 4. Introduction - fig 1- this figure seems quite generic and out of context and could be omitted.

Response 4. We have decided to retain the figure as we are keen to visually highlight that fact that the CSF compartment, blood and brain compartment are distinct (yet related).

Comment 5. High dose rifampicin justification: these are key background data to the dosing chosen in the trial - which is not sufficiently justified here - suggest expand and/or perhaps include diagram summarising any preliminary data from animal/human phase II studies - could replace fig 1. Specifically need to expand any known data on relationship between dose and mycobacterial clearance - is there a threshold? I realise no CFU data in TBM specifically - but from animal pulmonary TB models/human EBA data is there a correlation between higher doses rif and CFU counts/faster clearance. You mention time to culture conversion - important to cite the actual data for all experimental arms in PanACEA.In the absence of clearance data from CSF (animal nor human), we have added further detail relating to PK-PD targets from murine PTB and findings relating to clearance in human PTB

from the PanACEA studies.

Response 5. In plasma, the historical recommended target peak concentrations of rifampicin are 8-24mg/L. This historical target is based on rifampicin concentrations typically observed in healthy adults (i.e. the reference range after a dose of 10mg/kg orally), and is not optimized based on pharmacokinetic-pharmacodynamic (PK-PD) data. It is now accepted that total exposure to TB drugs, or the area under the 24-h concentration–time curve ($AUC_{0–24}$), is more relevant to the efficacy of first-line TB drugs. Ideally, $AUC_{0–24}$ is considered together with the minimum inhibitory concentration (MIC) of the mycobacteria to yield an $AUC_{0–24}$/MIC ratio that is supposed to best predict response in murine models (Magis-Escurra, Int J Antimicrob Agents, 2014).

A large meta-analysis of rifampicin pharmacokinetic data from 70 studies with PTB patients found that the mean plasma rifampicin peak concentration at steady state is only 5.79mg/L (below the historical target concentration) and doses of >25mg/kg are needed to achieve the PK-PD target derived from murine studies (AUC/MIC >271) (Stott, J Antimicrob Chemother, 2018).

We have added more detail about the PanACEA studies. The PanACEA multi-arm multistage trial showed that time to stable culture conversion in liquid media was faster in the 35 mg/kg rifampicin group than in the control group (median 48 days vs 62 days, adjusted hazard ratio 1·78; 95% confidence interval (CI) 1·22–2·58, p=0·003), but not in other experimental arms. In an additional analysis, increasing rifampicin exposure was also associated with shortened time to stable culture conversion. The effect did not plateau, indicating that doses >35 mg/kg could be yet more effective (though are unlikely to be well tolerated). The exposure-response relationship for high-dose rifampicin has been explored by using pharmacokinetic-pharmacodynamic modelling based on data from the HIGHRIF studies. Rifampicin exposure was a significant covariate on the bacterial kill rate in sputum resulting in increased early bactericidal activity (Svensson R. J Infect Dis. 2018; 218(6):991-999).

Comment 6. Safety of rifampicin: 'It was found that a three-fold increase in oral rifampicin was safe, and resulted in a large increase is CSF and plasma exposure' - please be more specific - to what dose, and what was size of increase?
You mention PK data from Vietnam trial awaited - the trial was published in 2016/17- are these data now available to you?

Response 6. Since intravenous rifampicin is an expensive and inconvenient route of administration, especially in low- and middle-income countries, two further oral rifampicin dose-finding trials were undertaken. We have added further detail to the protocol paper reflecting the below results.

In the first follow-up study in 30 Indonesian TBM patients, exposures to and safety of higher oral rifampicin doses (750 mg, ca. 17 mg/kg orally; 900 mg, ca. 20 mg/kg orally) were compared with the same 600 mg IV rifampicin dose (Yunivita et al. Int J Antimicrob Agents. 2016;48:415-418), as studied by Ruslami et al. Both higher oral rifampicin doses resulted in approximately similar plasma AUC_{0-24} but lower plasma C_{max} values compared with 600 mg IV. In a second follow-up study, 60 Indonesian TBM patients were assigned in a random double-blinded study to standard 450 mg, 900 mg or 1350 mg (10, 20 and 30 mg/kg for Indonesian subjects) oral rifampicin

combined with other TB drugs for 30 days [Dian S. Antimicrob Agents and Chemotherapy. 2018;62(12):e01004-12]. Double and triple dose of oral rifampicin led to three and five-fold higher geometric mean total exposures in plasma, with proportional increases in CSF concentrations, without an increase in incidence of grade 3/4 adverse events. Overall, tripling the standard dose was considered to be safe.

The PK-PD analysis from the 2016 Vietnamese intensified TB treatment trial were published earlier this year (Ding J, Clin Pharmacol Ther, 2020). The nested PK/PD study in 237 trial participants was published earlier this year and aimed to define exposure-response relationships in study participants. Rifampin 15mg/kg increased plasma and CSF exposures compared to 10mg/kg: day 14 plasma AUC0-24 increased from 48.2h·mg/L (range 18.2-93.8) to 82.5h·mg/L (range 8.7-161.0) and CSF AUC0-24 from 3.5h·mg/L (range 1.2-9.6) to 6.0h·mg/L (range 0.7-15.1). However, within the exposure range achieved, no relationship between rifampin exposure and survival was seen within the trial. It is possible, as seen in PTB studies (Svennson R), and in TBM PK-PD models (Svensson E), a rifampicin dose of greater than 15mg/kg is required to achieve exposures capable of reducing time to culture conversion and improving survival, which may explain the lack of exposure-response relationship seen in this study.

Comment 7. Ancillary studies - management of DILI - it is unclear how management of DILI will be assessed as an endpoint/compared between groups (agree with Reviewer 1 comments).Thank you for seeking clarity on this.

Response 7. We hope the response given to reviewer 1, and copied here, makes the purpose of this sub-study a little clearer. The aim of the sub-study is to determine the safety of a pragmatic management strategy, and does not involve comparison between trial arms. Based on safety data from prior Indonesian high dose rifampicin studies it appears that the majority (8 of 9) of TBM patients who develop transaminitis (ALT of <10x upper limit of normal, ULN), liver enzymes settle without interruption of anti-TB drugs. Where transaminitis occurs, we therefore plan to closely monitor liver function tests whilst continuing TB treatment unless ALT exceeds a threshold of 10x ULN, at which point we will follow an algorithm of stopping and reintroducing TB drugs sequentially. Under trial endpoint #6 we use "management of DILI" as a summary term under which we aim to describe incidence of DILI, frequency and duration of drug interruptions, hepatic outcomes, neurological outcomes. We recognise that "management of DILI" is a little non-specific so we have added "incidence and outcome" and will notify IRBs at the time of next regulatory amendments.

Comment 8. Inclusion criteria, under case definition -' CSF abnormalities' very non-specific? Suggestive of meningitis? We all realise a lot of treatment is empiric - if clinician judgement only then states so. Agree with reviewer 1 point about which diagnostics likely to be available to clinicians at each site upon which to base inclusion (and subsequent exclusion is alternative diagnosis found). This is challenging point and something we discussed at length when writing the protocol. All sites will provide a minimum set of CSF tests which includes Gram's stain, bacteriological culture, Xpert MTB/RIF Ultra, TB culture, Cryptococcal antigen if HIV-positive. Availability of other tests varies by site (including viral PCR, syphilis serology, fungal culture, cytology) and can be performed at clinician discretion.

Response 8. As the CSF picture of TBM varies hugely depending on degree of immunosuppression

and ART status we have specified that only one CSF parameter must be abnormal (cell count, protein or glucose). Interpretation of CSF findings, within the clinical picture of the patient, and eligibility for enrolment is at the discretion of the study physician.

In light of the fact that only around 50% of TBM is microbiologically confirmed and in advanced HIV disease it may be necessary to cover a number of CNS infections empirically whilst waiting for the results of diagnostics tests and imaging, we have gone on to specify in the full protocol that:

- *Empiric toxoplasmosis therapy is acceptable*
- *Positive CSF or blood cryptococcal antigen relating to prior treated cryptococcal meningitis and receiving secondary prophylaxis is acceptable if microbiologically-confirmed TBM*
- *Study sponsored withdrawal from the trial can take place if there is a microbiological confirmation of another CNS infection other than TBM prior to day 15 of the study*

Competing Interests: No competing interests were disclosed.

Reviewer Report 28 May 2020

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Olivier Marcy
Centre INSERM U1219, Bordeaux Population Health, University of Bordeaux, Bordeaux, France

This manuscript by Marais, Creswell *et al.* presents an important randomized clinical trial protocol aiming to assess the impact of using high dose oral rifampicin (35mg/kg) versus the usual daily dose of 10 mg/kg in the treatment of tuberculosis (TB) meningitis (TBM). As it is well presented by the authors in the introduction section of the manuscript, evidence is cumulating on the interest of higher doses of rifampicin for pulmonary TB, and the hypothesis is that TBM, the deadliest form of tuberculosis, could benefit from higher oral doses of rifampicin. PK and clinical data from phase 2 studies also confirm the interest of higher doses of rifampicin to decrease the risk of death. As stated by the authors “optimal dose of rifampicin in treatment of TBM should be further investigated in phase III trials”.

The trial protocol, already approved by national ethics review committees, is globally well presented and as mentioned above very well justified. I have a few comments that should be taken into account to help the reader understand the study.

1. I would suggest mentioning clearly in the rationale or hypothesis why the 35 mg/kg dose was chosen and refer to publications by Boree and collaborators showing the good safety of such high doses.

2. Secondary objectives #6 refers to drug-induced liver injury (DILI) management. When one

could expect that occurrence of DILI would differ between the two trial arms despite previous reassuring previous safety data, it is unclear how management itself is an endpoint. One would expect that management of DILI, when it occurs, would be the same in both arms i.e. interruption of potentially hepatotoxic drugs above a determined ALT elevation threshold. Can the authors clarify what they mean by the possible differences in management as an endpoint itself?

3. In their analysis of the primary endpoints, authors will consider loss to follow-up before 8 weeks as a failure (death) and loss to follow-up after 8 weeks as censored data. Although mortality is maximum between the first few weeks of TBM treatment, the probability of survival still decreases steeply beyond 8 weeks. It is therefore very questionable to consider loss to follow-up at any time before 6 months as censored data. I would suggest keeping a more stringent approach considering loss to follow-up as failure at any time and using censoring beyond 8 weeks rather as a sensitivity analysis.

4. Authors should clarify what they mean by adverse events graded 3 to 5 using the Division of AIDS (DAIDS) Table for Grading the Severity of Adult and Pediatric Adverse Events. To my knowledge, the latest version – corrected 2.1 July 2017, and preceding ones only include adverse events graded as severe (3) or life-threatening (4) but no grade 5 events. What adverse events are considered as grade 5 by the investigators? Is it an event leading to death? If it is the case, how will adjudication be done?

5. How extensively will additional active and confirmed CNS infection be investigated? Authors mention CrAg, and microscopy with India ink and gram stain but there seems to be flexibility left to local practices.They should clarify whether local practices include bacteriological culture and investigation for any other viral or fungal infection.

6. The sample size is based on a 50% mortality hypothesis that seems reasonable in an HIV-infected population only but that may appear to be lower in HIV-uninfected patients. Authors should clarify what is the expected HIV prevalence in the study population.

7. The statistical analysis does not include any plan for interim analysis. I recommend clarifying whether the DSMB will be reviewing unblinded data by study arm on the primary endpoint and providing a short description of the interim analyses and stopping rules if any.

8. Of note, another randomized trial will address the question of the effect on TBM mortality of intensified treatment using high dose rifampicin (35 mg/kg) and linezolid with study sites in Uganda and South Africa, as well as Côte d'Ivoire and Madagascar (ClinicalTrials.gov Identifier: NCT04145258). The trial, designed as a factorial trial will also test the addition of low-dose aspirin. I would suggest mentioning this trial in the discussion section and commenting on the different options taken by the 2 trials.

At last, there seems to be a typo when mentioning stratified randomization. I suppose authors are referring to BRC TBM disease grade I or II/III at time of randomization rather than "I or II/II".

Is the rationale for, and objectives of, the study clearly described?

Yes

Is the study design appropriate for the research question?

Yes

Are sufficient details of the methods provided to allow replication by others?
Yes

Are the datasets clearly presented in a useable and accessible format?
Not applicable

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: TB-HIV co-infection in children and adults, TB meningitis, TB diagnosis in children.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 03 Aug 2020

Fiona Cresswell, Mulago College of Health Sciences, Kampala, Uganda

We thank the reviewer for their time and expertise in reviewing our protocol manuscript. We have responded to each comment in turn.

Comment 1. I would suggest mentioning clearly in the rationale or hypothesis why the 35 mg/kg dose was chosen and refer to publications by Boree and collaborators showing the good safety of such high doses.

We refer to and cite Martin Boeree's 2015 and 2017 paper in the introduction but have gone onto add further detail about the PanACEA studies in pulmonary TB and the finding of the meta-analysis of Indonesian TBM data.

The PanACEA multi-arm multistage trial showed that time to stable culture conversion in liquid media was faster in the 35 mg/kg rifampicin group than in the control group (median 48 days vs 62 days, adjusted hazard ratio 1·78; 95% confidence interval (CI) 1·22–2·58, p=0·003), but not in other experimental arms. In an additional analysis, increasing rifampicin exposure was also associated with shortened time to stable culture conversion. The effect did not plateau, indicating that doses >35 mg/kg could be yet more effective.
High-doses rifampicin was also associated with a greater estimated fall in M. tuberculosis bacillary load in sputum of patients with pulmonary TB at 2 weeks follow up, i.e. high-dose rifampicin showed increased 'early bactericidal activity'. Recent analyses of one of these latter trials (the PanACEA HIGHRIF1 trial) included doses up to 40 mg/kg, demonstrating a further effect of rifampicin exposure on early bactericidal activity and clinical trial simulations showed greater early bactericidal activity for 50 mg/kg rifampicin. Recent evaluations of administration of this 50 mg/kg rifampicin dose show that this dose is not well-tolerated. The maximum tolerable dose for rifampicin in PTB is therefore set at 40 mg/kg daily (R. Aarnoutse and L. te Brake, personal communication, data from the PanACEA HIGHRIF1 trial). In summary, data from pulmonary TB

have shown that higher rifampicin doses, starting with at least 20 mg/kg daily but certainly at 35 mg/kg orally, result in strongly increased systemic exposures to rifampicin, were safe, tolerated, and resulted in increased response, as reflected in improved early bactericidal activity and shorter time to culture conversion. These lessons learnt in pulmonary TB are relevant to TBM treatment, considering the key role of rifampicin in TBM treatment and the limited CSF penetration seen at standard dose.

Further, model-based meta-analysis of data from TB meningitis patients in Indonesia predicted an increase in 6-month survival from approximately 50% to approximately 70% upon increasing the oral rifampicin dose from 10 to 30 mg/kg, and predicted that even higher doses would further improve survival.

We have added detail to this effect in the manuscript.

Comment 2. Secondary objectives #6 refers to drug-induced liver injury (DILI) management. When one could expect that occurrence of DILI would differ between the two trial arms despite previous reassuring previous safety data, it is unclear how management itself is an endpoint. One would expect that management of DILI, when it occurs, would be the same in both arms i.e. interruption of potentially hepatotoxic drugs above a determined ALT elevation threshold. Can the authors clarify what they mean by the possible differences in management as an endpoint itself?

Thank you for raising this point regarding DILI. The aim of the sub-study is to determine the safety of a pragmatic management strategy, and does not involve comparison between trial arms. Based on safety data from prior Indonesian high dose rifampicin studies it appears that the vast majority (8 of 9) of TBM patients who develop transaminitis (ALT of <10x upper limit of normal, ULN), liver enzymes settle without interruption of anti-TB drugs. Where transaminitis occurs, we therefore plan to closely monitor liver function tests whilst continuing TB treatment unless ALT exceeds a threshold of 10x ULN, at which point we will follow an algorithm of stopping and reintroducing TB drugs sequentially. We have detailed the algorithm for the management of DILI in an SOP. Under trial endpoint #6 we use "management of DILI" as a summary term under which we aim to describe incidence of DILI, frequency and duration of drug interruptions, hepatic outcomes, neurological outcomes. We recognise that "management of DILI" is a little non-specific so we have added "incidence and outcome" and will notify IRBs at the time of next regulatory amendments.

Comment 3. In their analysis of the primary endpoints, authors will consider loss to follow-up before 8 weeks as a failure (death) and loss to follow-up after 8 weeks as censored data. Although mortality is maximum between the first few weeks of TBM treatment, the probability of survival still decreases steeply beyond 8 weeks. It is therefore very questionable to consider loss to follow-up at any time before 6 months as censored data. I would suggest keeping a more stringent approach considering loss to follow-up as failure at any time and using censoring beyond 8 weeks rather as a sensitivity analysis.

This is a point we discussed extensively in the protocol writing process and drew on the experiences at the sites involved in the trial and available literature. We have recently performed a systematic review and meta-analysis of global TBM studies (observational and interventional)

which found that over 90% of mortality occurs in the first 12 weeks, with a pooled 12 week mortality of 23% and 12 month mortality of 25% (In press, A Stadelman et al. Open Forum Infectious Diseases). From prior experience at the trial sites loss to follow-up is a rare occurrence (2% in Ugandan meningitis studies) as significant effort is put into tracking patients, supporting outpatient follow up or providing home visits for those who are severely disabled. The rare occurrences of LTFU are usually in confused meningoencephalitis patients who leave hospital against medical advice and without further medical intervention planned, in whom the likelihood of survival is extremely low. We therefore think it is acceptable to keep the censoring point in the protocol at 8 weeks. We will however do an additional sensitivity analysis counting LTFU at any time as an event to see if there is a difference.

Comment 4. Authors should clarify what they mean by adverse events graded 3 to 5 using the Division of AIDS (DAIDS) Table for Grading the Severity of Adult and Pediatric Adverse Events. To my knowledge, the latest version – corrected 2.1 July 2017, and preceding ones only include adverse events graded as severe (3) or life-threatening (4) but no grade 5 events. What adverse events are considered as grade 5 by the investigators? Is it an event leading to death? If it is the case, how will adjudication be done?

Thank you for pointing out this error. Indeed, grade 5 = death. This has been corrected in the manuscript.

Comment 5. How extensively will additional active and confirmed CNS infection be investigated? Authors mention CrAg, and microscopy with India ink and gram stain but there seems to be flexibility left to local practices. They should clarify whether local practices include bacteriological culture and investigation for any other viral or fungal infection.

The standard of care for routine CSF investigations varies by country and additional tests can be performed beyond the routine depending on clinical circumstances and clinician discretion. All sites include Gram's stain and bacteriological culture as routine. In all sites, other tests including viral PCR, syphilis serology, fungal culture and cytology can be performed at clinician discretion.

Comment 6. The sample size is based on a 50% mortality hypothesis that seems reasonable in an HIV-infected population only but that may appear to be lower in HIV-uninfected patients. Authors should clarify what is the expected HIV prevalence in the study population.

The expected prevalence of HIV in our study population is 60%.

Comment 7. The statistical analysis does not include any plan for interim analysis. I recommend clarifying whether the DSMB will be reviewing unblinded data by study arm on the primary endpoint and providing a short description of the interim analyses and stopping rules if any.

Thank you for this suggestion. Detail has been added in the safety section on the DSMB functions, timing, and early termination considerations.

Comment 8. Of note, another randomized trial will address the question of the effect on

TBM mortality of intensified treatment using high dose rifampicin (35 mg/kg) and linezolid with study sites in Uganda and South Africa, as well as Côte d'Ivoire and Madagascar (ClinicalTrials.gov Identifier: NCT04145258). The trial, designed as a factorial trial will also test the addition of low-dose aspirin. I would suggest mentioning this trial in the discussion section and commenting on the different options taken by the 2 trials.

Thanks for suggesting this. We have added details to the discussion

Comment 9. At last, there seems to be a typo when mentioning stratified randomization. I suppose authors are referring to BRC TBM disease grade I or II/III at time of randomization rather than "I or II/II".

Thank you for spotting this typo! It has been rectified.

Competing Interests: No competing interests were disclosed.