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1 **Complement C3 and C3aR mediate different aspects of emotional**
2 **behaviours; relevance to risk for psychiatric disorder**

3
4 Laura J. Westacott^{1,4†}, Trevor Humby^{1,2†}, Niels Haan¹, Sophie A. Brain², Emma-Louise
5 Bush², Margarita Toneva⁷, Andreea-Ingrid Baloc², Anna L. Moon¹, Jack Reddaway^{1,4},
6 Michael J. Owen¹, Jeremy Hall^{1,4}, Timothy R. Hughes^{3,4}, B. Paul Morgan^{3,4,6}, William
7 P. Gray^{1,4,5\$} & Lawrence S. Wilkinson^{1,2,4*\$}

8
9 ¹ Neuroscience and Mental Health Research Institute, MRC Centre for Neuropsychiatric Genetic and
10 Genomics, School of Medicine, Hadyn Ellis Building, Cardiff University, Cardiff, CF24 4HQ, UK.²
11 Behavioural Genetics Group, Schools of Psychology and Medicine, Cardiff University, Cardiff, CF10
12 3AT, UK. ³ Complement Biology Group, Systems Immunity Research Institute, School of Medicine,
13 Cardiff University, CF14 4XW, Cardiff UK. ⁴ Hodge Centre for Neuropsychiatric Immunology, School of
14 Medicine, Cardiff University, Cardiff CF24 4HQ, UK. ⁵ Brain Repair and Intracranial Therapeutics
15 (BRAIN) Unit, School of Medicine, Cardiff University, CF24 4HQ, UK. ⁶ UK Dementia Research Institute,
16 Cardiff University, Cardiff, CF24 4HQ, UK. ⁷ Institute of Psychiatry, Psychology and Neuroscience,
17 King's College London, London, SE5 8AF, UK.

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20 † These authors contributed equally to the work

21 \$Joint senior authors: Lawrence S. Wilkinson & William P. Gray

22
23 *Corresponding author: Lawrence S. Wilkinson

24
25 Email address: WilkinsonL@cardiff.ac.uk

26
27 Address: Neuroscience and Mental Health Research Institute, School of Medicine,
28 Cardiff University, Cardiff, CF24 4HQ, UK

29
30 **Key words:** *Complement system, Anxiety, Fear, Stress response.*

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Abstract

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Complement is a key component of the immune system with roles in inflammation and host-defence. Here we reveal novel functions of complement pathways impacting on emotional reactivity of potential relevance to the emerging links between complement and risk for psychiatric disorder. We used mouse models to assess the effects of manipulating components of the complement system on emotionality. Mice lacking the complement C3a Receptor (*C3aR^{-/-}*) demonstrated a selective increase in unconditioned (innate) anxiety whilst mice deficient in the central complement component C3 (*C3^{-/-}*) showed a selective increase in conditioned (learned) fear. The dissociable behavioural phenotypes were linked to different signalling mechanisms. Effects on innate anxiety were independent of C3a, the canonical ligand for C3aR, consistent with the existence of an alternative ligand mediating innate anxiety, whereas effects on learned fear were due to loss of iC3b/CR3 signalling. Our findings show that specific elements of the complement system and associated signalling pathways contribute differentially to heightened states of anxiety and fear commonly seen in psychopathology.

70 **1. Introduction**

71 The complement system is a key component of the immune system that plays a pivotal
72 role in inflammation and host-defence. Complement activation occurs via several
73 pathways, all of which lead to cleavage of the central protein, C3 (see Figure S1).
74 Activation of C3 generates the fragments C3a and C3b. C3a is an anaphylatoxin that
75 signals via its canonical G-protein coupled receptor C3aR¹. Activation of this receptor
76 has been demonstrated to trigger calcium mobilization²⁻⁴, stimulating an array of
77 intracellular signalling pathways to induce both pro- and anti-inflammatory effects^{1,5}.
78 C3b on the other hand propagates further complement activation by contributing to the
79 cleavage of complement component 5 (C5) downstream of C3 and, after further
80 cleavage to iC3b, plays a role in opsonisation by macrophages and microglia via
81 complement receptor 3 (CR3). Akin to C3, C5 cleavage generates C5a (another
82 anaphylatoxin and a ligand for the C5a receptor, C5aR) and C5b, which triggers the
83 terminal complement pathway by sequentially binding proteins C6, C7, C8 and C9.
84 These proteins subsequently congregate to assemble the membrane attack complex
85 (MAC) which ultimately results in destruction of the target cell or pathogen via cell
86 lysis⁶.

87

88 In the central nervous system evidence is emerging that complement has functions
89 beyond its canonical immune roles⁷. Neurons, astrocytes and microglia express
90 complement receptors and regulators, and are also capable of synthesising
91 complement proteins^{8,9}. The expression patterns of these vary over the course of brain
92 development¹⁰. Complement impacts a number of neurodevelopmental processes
93 including neurogenesis¹¹, migration¹² and synaptic elimination¹³ as well as ongoing
94 synaptic plasticity processes underlying learning and memory in the adult brain¹⁴.

95 Furthermore, there is increasing evidence that complement is causally involved in the
96 pathogenesis of neurodegenerative and psychiatric conditions. In Alzheimer's
97 disease, genetic variants in complement related loci have been associated with
98 increased disease risk^{15,16}, and complement knockout mice exhibit reduced age-
99 related synapse loss¹⁷ and neuropathology¹⁸. Alterations in complement proteins and
100 activation have also been reported in sera from individuals with autism-spectrum
101 disorder¹⁹ schizophrenia²⁰, major depressive disorder²¹, bipolar disorder²² and post-
102 traumatic stress disorder²³. In the case of schizophrenia, an important finding comes
103 from elegant genetic work demonstrating that structural variation in the complement
104 *C4A* locus is associated with risk of developing the disease²⁴. C4 cleavage generates
105 fragments that contribute to the activation of C3, yielding C3a and C3b. Given the
106 known roles for the iC3b/CR3 pathway in developmental synaptic pruning^{13,25}, it has
107 been suggested that *C4A* variants may impact on psychiatric risk via this mechanism,
108 with excessive synaptic elimination leading to abnormal connectivity and disruption of
109 neural networks²⁴. Variants in *C3* and putative complement-control genes *CSMD1* and
110 *CSMD2* have also been implicated in genetic susceptibility for schizophrenia^{26,27}.

111

112 Altered emotional function, in particular maladaptive anxiety and fear, is a pervasive
113 and clinically important symptom in schizophrenia and a frequent comorbidity across
114 several of the DSM-5 and ICD-11 defined disorders. Anxiety and fear exist along a
115 spectrum of aversive emotional states and can be elicited by differing environmental
116 factors to result in distinguishable behavioural outputs²⁸. Anxiety is characterised by
117 sustained arousal, hypervigilance and risk assessment surrounding anticipated or
118 potential threats, while fear is often characterised as an acute response to an
119 experienced, imminent danger resulting in immediate avoidance, fight or freezing

120 behaviour^{29,30}. Whilst there is significant overlap in the neurocircuitry underlying these
121 states, there are also contributions from distinct neuronal circuitries^{28,31}.

122

123 There is previous data suggesting complement may play a role in emotional responses
124 to aversive circumstances. Mice overexpressing the human *C4A* variant associated
125 with risk for schizophrenia demonstrated elevated anxiety behaviour³². Anxiety
126 phenotypes have also been reported in mice exposed to excessive pre-natal
127 complement activity³³ and neurodegeneration-associated anxiety phenotypes are
128 reduced by complement inhibitors³⁴. Furthermore, aged *C3* deficient mice exhibited
129 lower levels of anxiety alongside enhanced learned fear responses¹⁷, whereas
130 increased anxiety has been reported in mice lacking the *C3aR*³⁵. These previous
131 studies suggest that complement can influence both innate and learned aversive
132 behaviours, however, the precise complement signaling pathways responsible for
133 effects on these dissociable aspects of emotionality is unknown.

134

135 Utilising the central role of *C3* in complement signalling, we used a combination of
136 complement knockout mice to functionally parse innate anxiety and learned fear
137 related phenotypes. In homozygous *C3* knockout mice (*C3*^{-/-})³⁶ complement cannot be
138 activated beyond *C3*, and therefore these animals lack *C3* activation fragments (*C3a*,
139 *C3b*) and downstream activation products (*C5a*, *C5b*) and thus cannot activate the
140 terminal complement pathway. Phenotypes in this model could therefore be the result
141 of loss of any of these downstream effector molecules. We compared the *C3*^{-/-} model
142 with homozygous *C3aR* knockout mice (*C3aR*^{-/-})³⁷. In these mice, complement is
143 intact apart from the capacity for *C3a* to bind its canonical receptor *C3aR* and hence
144 through use of both models, we tested the extent to which phenotypic effects were the

145 result specifically of disrupted C3a/C3aR signalling. A priori, because C3a is an
146 obligate cleavage fragment of C3, we hypothesised that any phenotypes dependent
147 on interaction of C3a and C3aR would be apparent in both *C3^{-/-}* and *C3aR^{-/-}* models.

148

149 **2. Materials and Methods**

150 **2.1 Mouse models and husbandry.** Wildtype and *C3^{-/-}* strains were sourced in-house
151 from Professor B. Paul Morgan and Dr Timothy Hughes (strains originally from The
152 Jackson Laboratory; B6.PL-Thy1^a/CyJ stock#000406 and B6;129S4-C3tm1Crr/J
153 stock#003641 respectively); *C3aR^{-/-}* mice were provided by Professor Craig Gerard of
154 Boston Children's Hospital, USA (strain subsequently provided to The Jackson
155 Laboratory; B6.129S4(C)- *C3ar1^{tm1Cge}*/BalouJ; stock#033904). *C5^{-/-}* mice (as
156 described in ³⁸) were provided by Professor Marina Botto, Imperial College London.
157 This strain originated from naturally C5-deficient DBA/2J mice, that had been
158 backcrossed to C57Bl/6J. *C3^{-/-}*, *C3aR^{-/-}* and *C5^{-/-}* strains were maintained via
159 homozygous x homozygous breeding and were on a C57Bl/6J background. In all
160 experiments, knockout mice were compared to wildtype mice also on a C57Bl/6J
161 background. Mice were between 3-8 months old during experimental testing and were
162 kept in a temperature and humidity-controlled vivarium (21±2°C and 50±10%,
163 respectively) with a 12-hour light-dark cycle (lights on at 07:00hrs/lights off at
164 19:00hrs). Home cages were environmentally enriched with cardboard tubes, soft
165 wood blocks and nesting materials and animals were housed in single sex littermate
166 groups (2-5 mice/cage). Standard laboratory chow and water were available *ad*
167 *libitum*. All procedures were performed in accordance with the requirements of the UK
168 Animals (Scientific Procedures) Act (1986).

169

170 **2.2 General behavioural methods.** Testing took place between the hours of 09:00
171 and 17:00, with random distribution of testing for subjects of different genotypes
172 throughout the day. Mice were habituated to the test rooms for 30 min prior to testing.
173 All assays involved individual testing of mice and apparatus was cleaned thoroughly
174 with a 70% ethanol solution between subjects.

175

176 **2.3 Data collection.** Data for the elevated plus maze, elevated zero maze and open
177 field were collected using EthoVision XT software (Noldus Information Technology,
178 Netherlands) via a video camera mounted above the centre of each piece of
179 apparatus. Tracking of each subject was determined as the location of the greater
180 body-proportion (12 frames/s) in the specific virtual zones of each piece of apparatus.

181

182 **2.4 The elevated plus maze (EPM).** The maze, positioned 300 mm above the floor
183 and illuminated evenly at 15 lux, was constructed of opaque white Perspex and
184 consisted of two exposed open arms (175 x 78 mm², length x width, no ledges) and
185 two equally sized enclosed arms, which had 150 mm high walls³⁹. Equivalent arms
186 were arranged opposite one another. Subjects were placed at the enclosed end of a
187 closed arm and allowed to freely explore for 5 minutes. Data from each pair of arms
188 were combined to generate single open and closed arm values (number and duration
189 of arm entries and latency of first entry to each arm). In addition, the following
190 parameters were manually scored (by an experimenter positioned at a computer in the
191 same room as the maze, watching the live-video stream of the test); number of stretch-
192 attend postures (SAPs; defined as the animal slowly and carefully reaching towards
193 the open arms in a low, elongated body posture^{40,41}) and number of head dips from
194 the open arms (looking down over the edge of an open arm).

195

196 **2.5 The elevated zero maze (EZM).** The maze, positioned 520 mm above the floor
197 and illuminated evenly at 15 lux, was constructed of wood and consisted of two
198 exposed open regions (without ledges; 52 mm wide) and two equally sized enclosed
199 regions (also 52 mm wide), which had 200 mm high grey opaque walls. The diameter
200 of the maze was 600mm. Equivalent regions were arranged opposite one another.
201 Subjects were placed at the border of one of the open and closed regions and allowed
202 to freely explore for 5 min. Data from each pair of regions were combined to generate
203 single open and closed region values (number and duration of region entries and
204 latency of first entry to each region). In addition, the number of head dips (as above)
205 were measured. Due to the high walls of the enclosed sections of the maze, subjects
206 were not visible to the experimenter when in the closed regions and therefore these
207 parameters were scored only when a subject was on the open regions.

208

209 **2.6 Locomotor activity (LMA).** LMA was measured in an apparatus consisting of
210 twelve transparent Perspex chambers (each 210 x 360 x 200 mm, width x length x
211 height). Two infrared beams were embedded within the walls of each chamber, which
212 crossed the chamber 30 mm from each end and 10 mm from the chamber floor.
213 Individual subjects were placed in a designated chamber for a 120 min duration on
214 three consecutive days. Beam breaks were recorded as an index of activity, using a
215 computer running custom written BBC Basic V6 programme with additional interfacing
216 by ARACHNID (Cambridge Cognition Ltd, Cambridge, UK). Data were analysed as
217 the total number of beam breaks per session per day.

218

219 **2.7 Fear-potentiated startle (FPS).** FPS was assessed using startle chamber
220 apparatus which consisted of a pair of ventilated and soundproofed SR-LAB startle
221 chambers (San Diego Instruments, CA, USA) each containing a non-restrictive
222 Plexiglas cylinder (35 mm in diameter), mounted on a Perspex plinth, into which a
223 subject was placed. The motor responses of subjects to white noise stimuli (generated
224 from a speaker 120 mm above the cylinder) were recorded via a piezoelectric
225 accelerometer, attached centrally below the Plexiglas cylinder, which converted
226 flexion plinth vibration into electrical signals. The peak startle response, within 200ms
227 from the onset of each startle presentation, in each trial, was normalized for body
228 weight differences using Kleiber's 0.75 mass exponent⁴² as per⁴³. A computer running
229 SR-Lab software (Version 94.1.7.48) was used to programme trials and record data.
230 A foot shock grid connected to a shock generator (San Diego Instruments, CA, USA)
231 was inserted into the Plexiglas cylinder before conditioning sessions.

232

233 FPS consisted of three separate sessions presented over a two-day period (see Figure
234 4A). On the first day, mice were given a pre-conditioning session immediately followed
235 by the conditioning session. The pre-conditioning session started with a 5 min
236 acclimatisation phase followed by presentation of 3 no-stimulus trials, and then a block
237 of pulse-alone trials presented at 90, 100 and 110dB (5 of each at 40 ms duration).
238 Trials were randomly distributed throughout the session and presented with a 60 s
239 random interval (range 36 s to 88 s). After the pre-conditioning session was complete,
240 mice were removed from the startle chambers, restraint tubes cleaned, and shock
241 grids were placed into the Plexiglas cylinders prior to commencing the conditioning
242 session. The mice were then returned to the startle chambers and subjected to a
243 session consisting of a 5 min acclimatisation phase followed by 3 CS+shock trials, with

244 3 no stimulus trials before and after, presented with a 2min random interval (range 1.5
245 to 3min). The scrambled 0.14 mA, 0.5 s foot shock was delivered in the final 0.5 s of
246 the 30 s visual CS. Following a 24hr delay, subjects were assessed for FPS in the
247 post-conditioning session. This session followed the same format as the pre-
248 conditioning session (5 min acclimatisation phase followed by presentation of 3 no-
249 stimulus trials, and then a block of pulse-alone trials presented at 90, 100 and 110dB,
250 with 5 of each at 40 ms duration) however the final block of trials also included
251 pulse+CS trials at 90, 100 and 110 dB (5 of each), with the startle pulse presented in
252 the final 40 ms of the CS. FPS was determined as the fold change between pulse-
253 alone trials and pulse+CS trials within the post-conditioning session.

254

255 **2.8 Corticosterone measurements.** Testing took place between the hours of 10:00
256 and 14:00 to account for the diurnal pattern of corticosterone release⁴⁴. Mice were
257 allowed to freely explore the EPM for 5 min, after which they were placed in a holding
258 cage for a further 25 min before being culled by cervical dislocation. Control mice were
259 removed from their home cage and immediately culled. There was an equal
260 distribution of subjects of different genotypes, counterbalanced between the two test
261 conditions and throughout the testing period. Trunk blood was collected into heparin
262 tubes (Becton Dickinson, USA) and immediately centrifuged at 4000 rpm for 10 min,
263 and the supernatant removed and frozen at -80°C until further use. A corticosterone
264 ELISA was performed according to manufacturer's instructions (ADI-900-097, Enzo
265 Life Sciences, UK) and analysed using a four-parameter logistic curve plug in
266 (<https://www.myassays.com/four-parameter-logistic-curve.assay>).

267

268 **2.9 Diazepam study.** Wildtype, *C3^{-/-}* and *C3aR^{-/-}* were used and were randomly
269 assigned to either vehicle or drug conditions within each genotype. A three-day dosing
270 regimen of diazepam (2 mg/kg, i.p., Hameln Pharmaceuticals, UK) or an equivalent
271 volume of vehicle (0.1 M phosphate buffered saline, pH 7.4) was used, based on pilot
272 testing in wildtype mice to establish an effective anxiolytic dose with minimal sedative
273 effects (data not included). Following 2 days of pre-treatment, diazepam or vehicle
274 was administered 30 min prior to testing on the EPM on the 3rd day.

275

276 **2.10 Tissue for gene expression analysis.** Mice were removed from their home cage
277 and immediately culled via cervical dislocation. Brains were removed and the
278 following regions dissected: medial prefrontal cortex (mPFC), ventral hippocampus
279 (vHPC) and cerebellum (see Figure 6A) and frozen at -80° until further use.

280

281 **2.11 Quantitative Polymerase Chain Reaction (qPCR).** Gene expression was
282 analysed using standardised qPCR methods with quantification using the $2^{-\Delta\Delta Ct}$
283 method⁴⁵. Brain tissue from the mPFC, vHPC and the cerebellum was analysed. RNA
284 was extracted using the RNeasy kit (QIAGEN) and was subsequently treated with
285 DNase to remove genomic DNA (TURBO DNA-free kit, Thermo Fisher Scientific).
286 RNA was then converted to cDNA (RNA to cDNA EcoDry Premix, Random Hexamers,
287 Clontech, Takara). cDNA samples were run in triplicate in 96 well reaction plates using
288 SYBR-Green-based qPCR (SensiFast, HI-ROX, Biorline) according to manufacturer's
289 instructions using a StepOnePlus System (Applied Biosystems, Thermo Fisher
290 Scientific). Genotypes were counterbalanced across plates and genes of interest were
291 run alongside housekeeping genes *Gapdh* and *Hrpt1* for each sample, within the same
292 reaction plate. All samples were run in triplicate and samples differing by >0.3 Cts

293 were excluded. The change in expression of genes of interest, after normalisation to
 294 the two house-keeping genes (ΔCt) was transformed to yield $2^{-\Delta\Delta Ct}$ values. Relative
 295 changes from wildtype animals were calculated for each gene of interest.

296

297 **2.12 Primers.** Primers were designed to span at least one exon-exon junction and to
 298 match the target sequence only in mouse (Primer-Blast, NCBI) and were synthesised
 299 commercially (Sigma Aldrich). Primer efficiency was determined separately through a
 300 dilution series of cDNA samples from wildtype hippocampus, cerebellum and cortex.
 301 Primers with an efficiency between 90-110% were selected.

302

303 **Table 1.** List of primer sequences used.

Gene	Species	Forward	Reverse
<i>Gapdh</i>	Mouse	GAACATCATCCCTGCATCCA	CCAGTGAGCTTCCCGTTCA
<i>Hprt1</i>	Mouse	TTGCTCGAGATGTCATGAAGGA	AATGTAATCCAGCAGGTCAGCAA
<i>Gabra2</i>	Mouse	AAGCCACTGGAGGAAAACATCT	TTAGCCAGCACCAACCTGAC
<i>Crhr1</i>	Mouse	CTTCAACTCTTTCTGGAGTCCT	TGGCAGAGCGGACCTCA
<i>Nr3c1</i>	Mouse	AAACTCTGCCTGGTGTGCTC	GGTAATTGTGCTGTCTTCCAC
<i>Cacna1c</i>	Mouse	ATGGTTCTTGTGAGCATGTTGCGG	TGCAAATGTGGAACCGTAAGTG
<i>Cacna1d</i>	Mouse	AGAGGACCATGCGAACGAG	CCTTACCAGAAATAGGGAGTCT
<i>Cacna1e</i>	Mouse	CTCATGTCACCACCGCTAGG	TCTGTCTGCACCACCTTTGG

304

305 **2.13 Genotyping** Genotyping was performed on post-mortem tail tip samples. Qiagen
 306 DNeasy Blood and Tissue Kits (Qiagen, Manchester, UK) were used to extract
 307 genomic DNA (gDNA) as per the manufacturers standard protocol. For *C3^{-/-}* mice, JAX
 308 protocol 27746 was used (common; ATCTTGAGTGCACCAAGCC, wildtype;
 309 GGTTGCAGCAGTCTATGAAGG, mutant; GCCAGAGGCCACTTGTATAG) and for

310 *C3aR*^{-/-} JAX protocol 27638 was used (common; AGCCATTCTAGGGGCGTATT, wild
311 type reverse; CATGGTTTGGGGTTATTTTCG, mutant reverse;
312 TTGATGTGGAATGTGTGCGAG). For both genotypes, a touchdown cycling protocol
313 was used (see JAX protocols for details). Genotyping for *C5*^{-/-} mice was performed as
314 described in ³⁸.

315

316 **2.14 Statistical analysis.** All statistical analyses were carried out using GraphPad
317 Prism 8.4.1 (GraphPad Software, CA, USA). Data was assessed for equality of
318 variances using the Brown-Forsythe test and then appropriate parametric (*t* test, one-
319 way or two-way ANOVA) or non-parametric (Kruskal-Wallis) tests used. *Post hoc*
320 pairwise comparisons were performed using the Tukey or Dunn's tests for parametric
321 or non-parametric analyses, respectively. For all analyses, alpha was set to 0.05 and
322 exact p values were reported unless p<0.0001. All p values were multiplicity
323 adjusted⁴⁶. Data are expressed as mean ± standard error of the mean.

324

325 The main between-subjects' factor for all ANOVA analyses was GENOTYPE (WT, *C3*
326 ^{-/-}, *C3aR*^{-/-}, or *C5*^{-/-}). For the EPM, LMA and FPS experiments, there were within-
327 subject factors of ZONE (open, closed, middle), DAY (1,2,3) and STIMULUS
328 INTENSITY (90, 100, 110 dB) respectively. Analysis of plasma corticosterone by two-
329 way ANOVA included an additional between subject factor of CONDITION (baseline,
330 EPM), and for the diazepam experiment, there was an additional between subject
331 factor of DRUG (diazepam, vehicle). For qPCR analyses, Δ Ct values were analysed
332 by one-way ANOVA.

333

334

335 **3. Results**

336

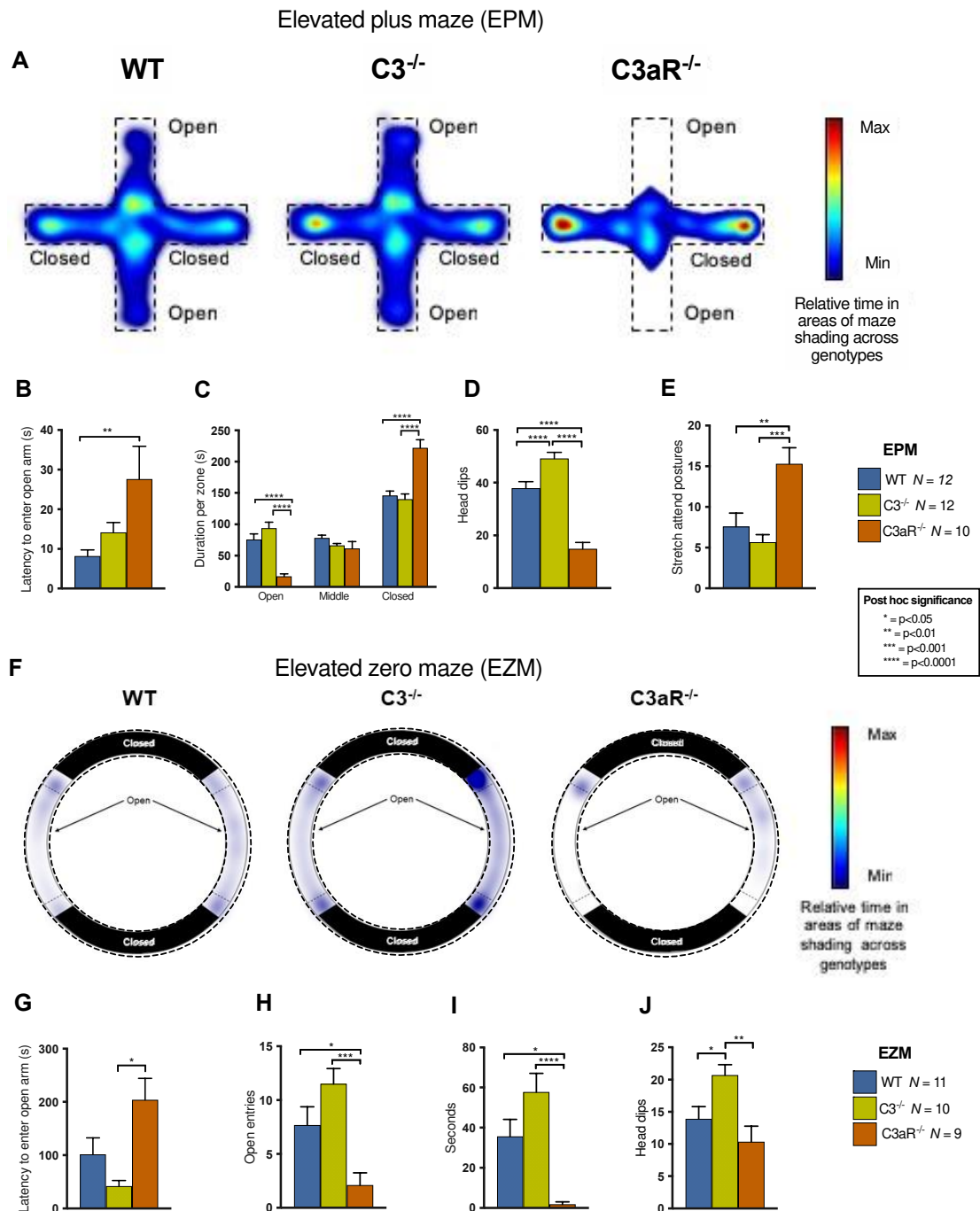
337 **3.1 Increased innate anxiety in *C3aR*^{-/-} but not *C3*^{-/-} mice.** Using a cohort of male
338 wildtype, *C3*^{-/-} and *C3aR*^{-/-} mice we first assessed emotional reactivity in the elevated
339 plus maze (EPM), a well-established test of innate anxiety in rodents which exploits
340 the conflict between the drive to explore novel environments and the innate aversion
341 towards open, brightly lit spaces^{47,48}. Heatmaps indexing overall maze exploration
342 over a 5-minute session demonstrated major differences in open arm exploration
343 between genotypes (Figure 1A; see Supplementary Video 1 for representative
344 examples). Notably, in comparison to wildtype and *C3*^{-/-} mice, *C3aR*^{-/-} mice took
345 significantly longer to first enter the open arms (Figure 1B) and spent less time on the
346 open arm per entry (Figure S2A), leading to a reduced overall duration spent in the
347 open arms (Figure 1C), findings consistent with increased anxiety. The ethological
348 parameters head dips and stretch attend postures (SAPs) also differed between
349 genotypes (Figure 1D,E), with *C3aR*^{-/-} mice exhibiting decreases in the former and
350 increases in the latter, a pattern of results again consistent with heightened anxiety⁴⁹.
351 We also noted a significantly increased frequency of head dipping in *C3*^{-/-} mice (Figure
352 1D), suggestive of reduced levels of anxiety relative to both wildtype and *C3aR*^{-/-}
353 mice⁵⁰.

354

355 These initial data were consistent with an anxiogenic phenotype present in *C3aR*^{-/-}
356 mice but absent in *C3*^{-/-} mice. We confirmed the findings in two further independent
357 tests of anxiety using additional cohorts of animals. First we used the elevated zero
358 maze (EZM, see Methods Section 2.6), another test of anxiety-like behaviour which
359 similarly probes behavioural responses to exposed, illuminated spaces⁵¹. The data

360 recapitulated the pattern of findings seen in the EPM (Figure 1F-J). Additional data
361 from the open field test, where *C3aR*^{-/-} mice were more likely to avoid the centre of the
362 arena, were also consistent in demonstrating a specific anxiety-like phenotype in
363 *C3aR*^{-/-} but not *C3*^{-/-} mice (Figure S3). Given that several of the measures indexing
364 anxiety were dependent on movement around the apparatus it was important to
365 eliminate potential locomotor confounds. To address this issue, we measured activity
366 independently in a non-anxiety provoking environment and found no differences in
367 locomotor activity between genotypes (Figure S2C), demonstrating that anxiety
368 measures were unlikely to be influenced by movement confounds. Importantly,
369 experiments conducted in female mice demonstrated comparable *C3aR*^{-/-} anxiety
370 phenotypes in both the elevated plus maze and open field (Figure S6&7).

371



372

373 **Figure 1. C3aR^{-/-}, but not C3^{-/-} mice show increased anxiety-like behaviour in the**374 **elevated plus maze (EPM;A-E) and elevated zero maze (EZM;F-J).** (A) Heatmaps

375 displaying relative time per zone of the EPM across genotypes (B) Latency to first

376 open arm visit; wildtype 8.21±1.53s, C3^{-/-} 14.1±2.52s, C3aR^{-/-} 27.6±8.31s, (H₂=10.5,377 p=0.005). *Post hoc* tests demonstrated that C3aR^{-/-} mice took significantly longer to378 first enter the open arms than wildtype mice (p=0.0045). (C) C3aR^{-/-} mice distributed

379 their time across the EPM differently to wildtype and $C3^{-/-}$ mice (GENOTYPE \times ZONE,
380 $F_{4,62}=17.7$, $p=0.0001$) spending less time in the open arms ($C3aR^{-/-}$ $16.70\pm 3.73s$ vs.
381 wildtype $75.78\pm 8.86s$, $p<0.0001$, $C3aR^{-/-}$ vs. $C3^{-/-}$ $93.86\pm 9.59s$ $p<0.0001$) and
382 significantly more time in the closed arms ($C3aR^{-/-}$ $221.88\pm 12.06s$ vs. wildtype
383 $146.01\pm 7.01s$, $p<0.0001$, and $C3^{-/-}$ $140.04\pm 8.61s$ $p<0.0001$). **(D)** $C3aR^{-/-}$ (14.90 ± 2.22)
384 mice performed significantly fewer head dips than wildtype (37.92 ± 2.53 , $p<0.0001$)
385 and $C3^{-/-}$ mice (49.17 ± 2.37 , $p<0.0001$), whereas $C3^{-/-}$ mice performed significantly
386 more head dips than wildtype mice ($p=0.0061$; overall ANOVA $F_{2,31}=48.0$, $p<0.0001$).
387 **(E)** $C3aR^{-/-}$ mice performed significantly more stretch attend postures (SAPs;
388 15.30 ± 1.80) than wildtype (7.58 ± 1.66 , $p=0.0042$) and $C3^{-/-}$ mice (5.67 ± 0.94 , $p=0.0004$;
389 overall ANOVA $F_{2,31}=10.3$, $p=0.0004$). **(F)** Heatmaps displaying relative exploration of
390 the open segments of the elevated zero maze, across genotypes. Note that due to the
391 height of the walls in the closed regions it was not possible to track mice or observe
392 ethological behaviours such as grooming or SAPs. **(G)** There was a significant
393 difference in the latency to first enter the open arms (wildtype $101.00\pm 31.00s$, $C3^{-/-}$
394 $42.00\pm 2.52s$, $C3aR^{-/-}$ $204.00\pm 40.40s$, $H_2=8.13$, $p=0.0171$). *Post hoc* tests revealed
395 that $C3aR^{-/-}$ mice took significantly longer than $C3^{-/-}$ mice to initially enter the open
396 region ($p=0.0140$). **(H)** The number of entries made to open regions differed between
397 genotypes (wildtype 7.69 ± 1.69 , $C3^{-/-}$ $11.5\pm 1.43s$, $C3aR^{-/-}$ 2.10 ± 1.15 , $F_{2,30}=8.96$,
398 $p=0.0009$). $C3aR^{-/-}$ mice made significantly fewer entries to the open areas than
399 wildtype ($p=0.0324$) and $C3^{-/-}$ mice ($p=0.0006$) and **(I)** spent significantly less time on
400 the open arms (1.77 ± 1.29) compared to wildtype ($35.7\pm 8.43s$, $p=0.0132$) and $C3^{-/-}$
401 ($57.7\pm 9.32s$, $p<0.0001$; overall Kruskal-Wallis test $H_2=19.2$, $p<0.0001$). **(J)** $C3^{-/-}$ mice
402 performed significantly more head dips (20.7 ± 1.62) than wildtype (13.9 ± 1.89 ,

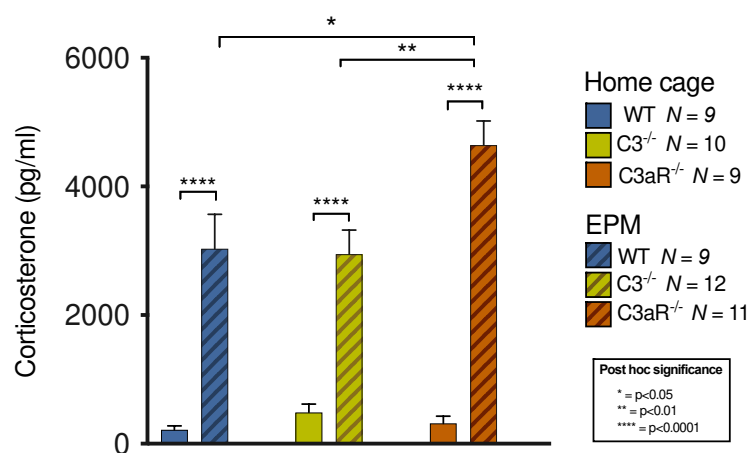
403 $p=0.048$) and $C3aR^{-/-}$ mice (10.3 ± 2.42 , $p=0.0034$; overall ANOVA
 404 $F_{2,27}=6.86, p=0.0039$). Data are mean \pm S.E.M. *, **, *** and **** represent $p\leq 0.05$,
 405 $p\leq 0.01$, $p\leq 0.001$ and $p\leq 0.0001$ for *post-hoc* genotype comparisons, respectively.

406

407 **3.2 Neuroendocrine response in $C3aR^{-/-}$ and $C3^{-/-}$ mice following exposure to the** 408 **elevated plus maze**

409 We next tested whether the behavioural measures of anxiety were paralleled by
 410 changes in plasma levels of the stress hormone corticosterone. In a separate cohort
 411 of wildtype, $C3^{-/-}$ and $C3aR^{-/-}$ male mice, we assayed plasma corticosterone 30 minutes
 412 after exposure to the EPM and compared levels to those of a group of animals who
 413 remained in their home-cages. There were no genotype differences in basal
 414 corticosterone levels; however, being placed on the EPM increased plasma
 415 corticosterone 6-15-fold in all genotypes, demonstrating that the EPM was a potent
 416 stressor (Figure 2A). *Post hoc* analyses showed a significantly greater EPM-evoked
 417 corticosterone response in the $C3aR^{-/-}$ animals, consistent with their increased
 418 anxiety-like behaviour observed on the maze.

419



421 **Figure 2. Neuroendocrine response following exposure to the elevated plus**
422 **maze (A)** 5-minute exposure to the EPM significantly elevated corticosterone in all
423 genotypes (main effect of CONDITION, $F_{1,54}=143$, $p<0.0001$; baseline 344.66 ± 63.70
424 vs. EPM 3553.84 ± 274.13). There was a significant GENOTYPE \times CONDITION
425 interaction ($F_{2,54}=4.64$, $p=0.0138$). *Post hoc* analysis showed that after the EPM,
426 $C3aR^{-/-}$ mice demonstrated significantly higher corticosterone levels (4640.27 ± 376.13)
427 than wildtype (3033.78 ± 535.06 , $p=0.0127$) and $C3^{-/-}$ mice (2948.00 ± 374.87 ,
428 $p=0.0032$). *Post hoc* tests also indicated that there were no baseline differences
429 between genotypes (wildtype 216.54 ± 63.2 vs. $C3aR^{-/-}$ 316.17 ± 111.60 $p>0.9999$,
430 wildtype vs. $C3^{-/-}$ $p=0.9927$, and $C3^{-/-}$ 485.60 ± 130.35 vs. $C3aR^{-/-}$ mice $p=0.9992$). Data
431 represent mean + S.E.M. *, **, and **** represent $p\leq0.05$, $p\leq0.01$ and $p\leq0.0001$ for
432 *post-hoc* genotype comparisons, respectively.

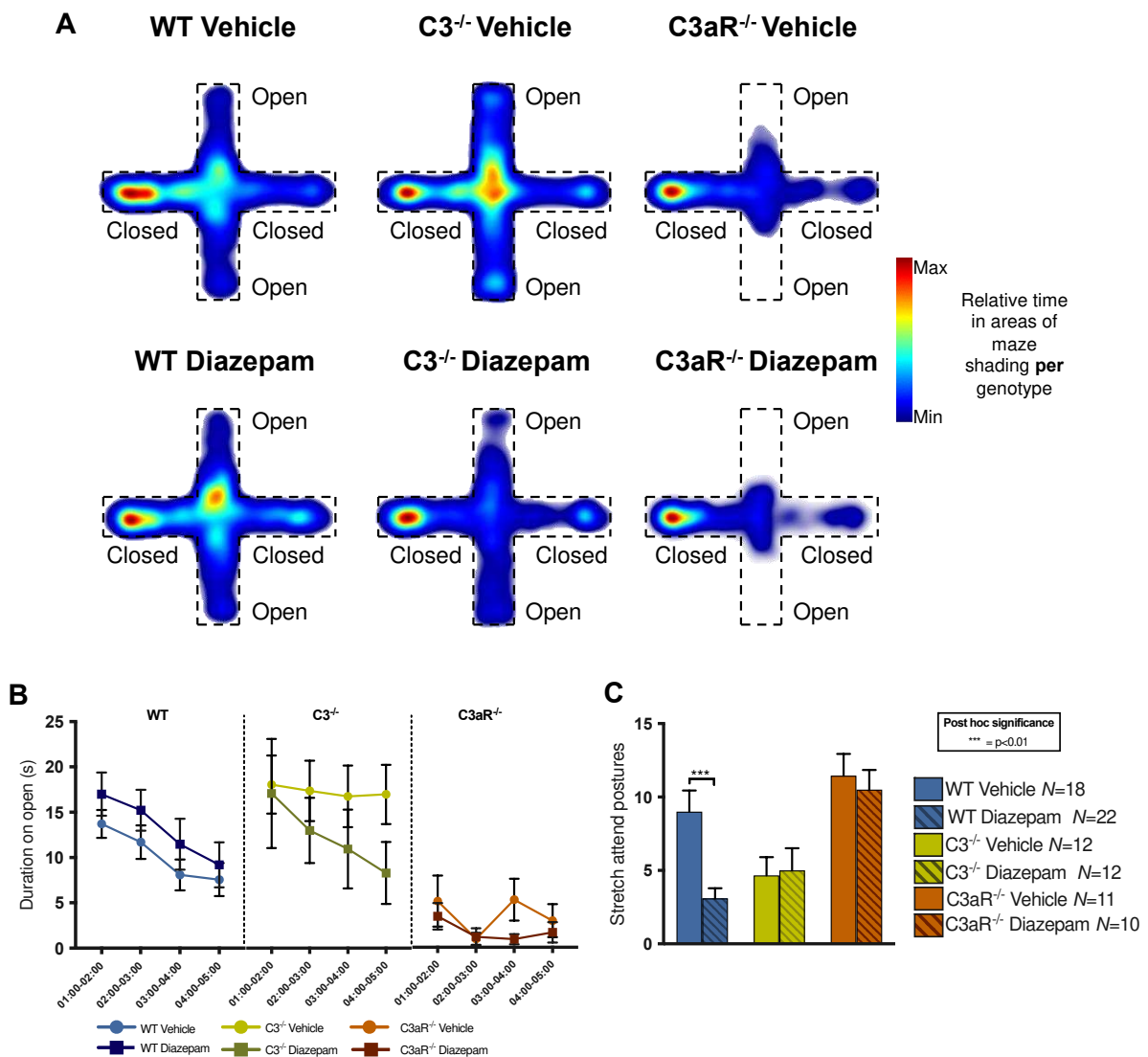
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434

435 **3.3 Altered sensitivity of $C3aR^{-/-}$ and $C3^{-/-}$ mice to diazepam in the elevated plus** 436 **maze**

437 In a further independent cohort of male mice, we tested the sensitivity of EPM induced
438 anxiety-like behaviour to the benzodiazepine diazepam, an established clinically
439 effective anxiolytic drug^{47,52}. Our initial behavioural findings were replicated in vehicle-
440 treated animals across all behavioural indices of anxiety, again showing an anxiogenic
441 phenotype in $C3aR^{-/-}$ but not $C3^{-/-}$ mice (Figure 3A). As anticipated, in wildtype mice
442 2mg/kg diazepam led to a trend for increased time on the open arms (Figure 3B) and
443 a significant reduction in SAPs which are considered to reflect risk assessment
444 behaviour⁵³⁻⁵⁵(Figure 3C). These effects were therefore consistent with reduced
445 anxiety^{50,55}. In contrast, the same dose of drug that was effective in eliciting anxiolysis

446 in wildtypes was without effects in *C3aR*^{-/-} mice (Figure 3A,B,C) and produced a
 447 seemingly anxiogenic (increased anxiety) pattern of effects in *C3*^{-/-} mice (Figure 3A,B
 448 and Figure S4B,C). Locomotor activity monitored across all the maze (Figure S4D)
 449 indicated that wildtype and *C3aR*^{-/-} mice were unlikely to have been influenced by
 450 diazepam-induced sedation. In *C3*^{-/-} mice however, activity was significantly
 451 suppressed under drug conditions indicating a possible sedative effect. Together,
 452 these data indicated a fundamentally altered reactivity to diazepam in both *C3*^{-/-} and
 453 *C3aR*^{-/-} models.
 454



455

456 **Figure 3. Altered sensitivity to diazepam in *C3aR*^{-/-} and *C3*^{-/-} mice.** Behaviourally
457 naïve mice were treated with either diazepam (2mg/kg, i.p) or vehicle injections once
458 daily for 2 days and then 30 minutes prior to testing. **(A)** Heatmaps demonstrating
459 duration spent in zones of the maze by vehicle treated and diazepam treated animals
460 **(B)** Plots showing duration spent on open arms in 1-minute time bins (start-01:00 was
461 excluded due to effect of diazepam in delaying initial entry to open arms across
462 genotypes, see Supplementary Figure 4A). There was a trend for wildtype diazepam
463 treated animals to spend more time on the open arms throughout the task although
464 this did not reach significance (main effect of DRUG, $F_{1,38}=1.41$, $p=0.2462$). In *C3*^{-/-}
465 mice there was a strong tendency for drug treated animals to explore the open arms
466 less than vehicle treated *C3*^{-/-} mice (main effect of DRUG, $F_{1,22}=1.25$, $p=0.2764$). A
467 similar, though less pronounced pattern was seen in *C3aR*^{-/-} mice (main effect of
468 DRUG, $F_{1,19}=1.55$, $p=0.2284$) **(C)** There were genotype differences in SAPs (main
469 effect of GENOTYPE, $F_{2,79}=10.7$, $p<0.0001$), a main effect of DRUG ($F_{1,79}=4.13$,
470 $p=0.0454$) and a significant GENOTYPE × DRUG interaction ($F_{2,79}=4.64$, $p=0.0138$).
471 *Post hoc* tests showed that diazepam significantly reduced the number of SAPs in
472 wildtype mice only (wildtype vehicle 9.00 ± 1.44 vs. wildtype diazepam 3.09 ± 0.71 ,
473 $p=0.0006$, *C3*^{-/-} vehicle 4.67 ± 1.24 vs. *C3*^{-/-} diazepam 5.00 ± 1.51 , $p=0.9975$, *C3aR*^{-/-}
474 vehicle 11.45 ± 1.49 vs. *C3aR*^{-/-} diazepam 10.50 ± 1.34 , $p=0.9558$). Data are mean +
475 S.E.M. *** represents $p\leq 0.001$ for *post-hoc* genotype comparisons.

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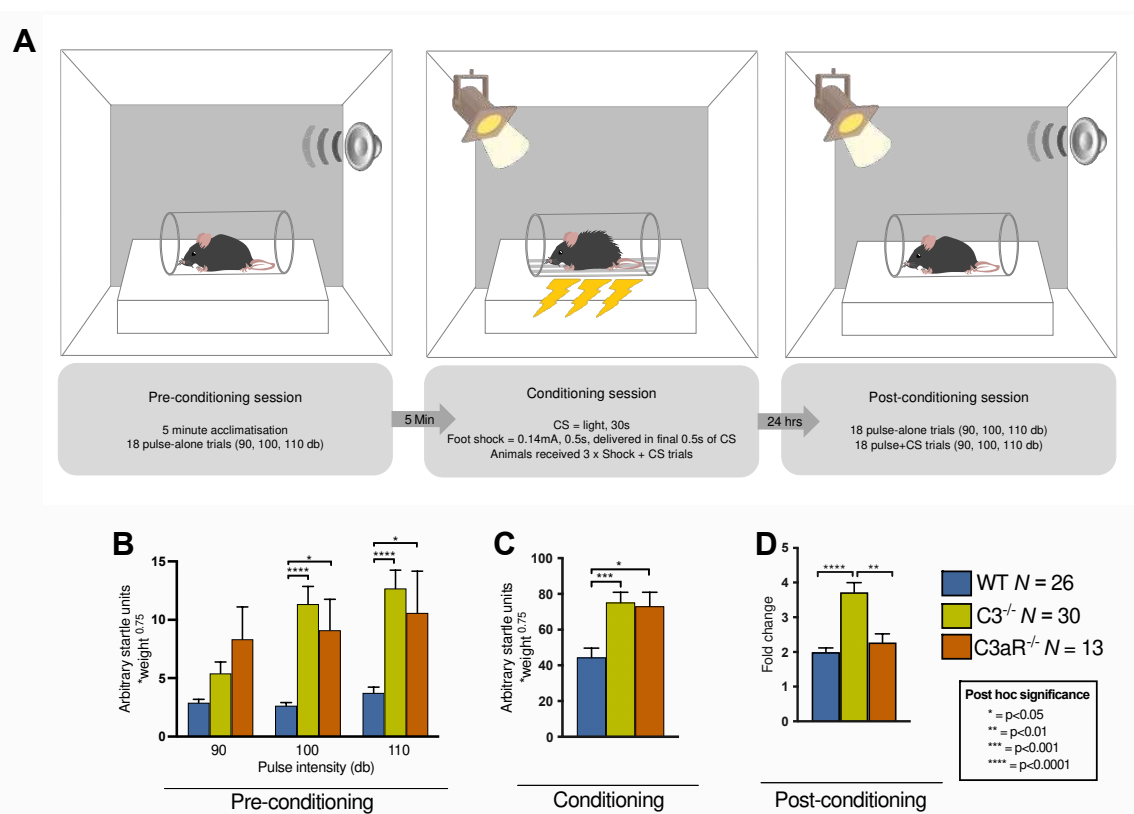
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481 **3.4 Enhanced fear learning in *C3*^{-/-} but not *C3aR*^{-/-} mice**

482 Psychiatric disorders are associated with maladaptive responses to both innate and
483 learned aversive stimuli^{56,57}. We therefore extended our analysis to investigate
484 whether the behavioural dissociations in innate anxiety observed between *C3*^{-/-} and
485 *C3aR*^{-/-} mice would also apply to learned or conditioned fear, where a previously
486 neutral cue generates a fear response as a result of predicting an aversive outcome.
487 In a further group of male mice, we used the fear-potentiated startle (FPS)
488 paradigm^{30,58} a well-established method of generating learned fear responses to an
489 acute and imminent danger signal that is characteristic of fear. In this paradigm (see
490 Figure 4A and Methods Section 2.7) fear learning is indexed by an enhanced response
491 to a startling noise in the presence of a cue (the conditioned stimulus or CS) previously
492 paired with mild foot shock (the unconditioned stimulus). In the pre-conditioning
493 session, pulse-alone trials revealed increased basal startle reactivity in both *C3aR*^{-/-}
494 and *C3*^{-/-} mice relative to wildtype (Figure 4B). Increased reactivity to the unconditioned
495 foot shock stimulus (in the absence of any startle stimulus) during the conditioning
496 session was also observed in both knockouts (Figure 4C). However, these common
497 effects of genotype were not seen in the fear-potentiated startle measures which index
498 fear learning. Whilst all groups showed the expected enhancement of the startle
499 response in the presence of the CS, the effect of the CS was significantly greater in
500 *C3*^{-/-} animals relative to the *C3aR*^{-/-} and wildtype mice (Figure 4D), indicating enhanced
501 learning of the fear related-cue by the *C3*^{-/-} mice. This pattern of effects was also
502 observed in female mice (Figure S8). This was the opposite pattern of effects to those
503 observed in the tests of innate anxiety and showed a double dissociation in the impact
504 of manipulating C3 and C3aR function that depended fundamentally on the nature of
505 the aversive stimulus.



506

507 **Figure 4. Enhanced fear-potentiated startle in C3^{-/-} but not C3aR^{-/-} mice. (A)**

508 flow chart depicting the FPS protocol used, which took place in three separate sessions

509 over two consecutive days. Baseline startle reactivity to a range of pulse intensities

510 was assessed in the pre-conditioning session, immediately preceding the conditioning

511 session in which a visual stimulus (light) was paired with 3 weak foot shocks. 24 hours

512 later, subjects were re-introduced to the same chamber and startle reactivity was

513 compared between Pre pulse-alone trials and pulse+CS trials to determine the degree of

514 FPS. On all trials, the peak startle response was recorded and normalised for body

515 weight differences using Kleiber's 0.75 mass exponent, and fold-changes calculated.

516 **(B)** There was a significant main effect of GENOTYPE ($F_{2,66}=9.04$, $p=0.0003$) and a517 significant GENOTYPE \times STIMULUS INTENSITY interaction ($F_{4,132}=7.55$, $p<0.0001$).518 C3^{-/-} and C3aR^{-/-} mice demonstrated increased levels of startle responding relative to519 wildtype mice at 100dB (C3^{-/-} 11.34 \pm 1.51 vs. wildtype 2.63 \pm 0.26, $p<0.0001$, C3aR^{-/-}520 9.12 \pm 2.63 vs. wildtype $p=0.0174$) and 110dB (C3^{-/-} 12.69 \pm 1.55 vs. wildtype 3.74 \pm 0.50,

521 $p < 0.0001$, $C3aR^{-/-}$ 10.58 ± 3.58 vs. wildtype $p = 0.0111$) **(C)** $C3^{-/-}$ and $C3aR^{-/-}$ mice also
522 showed increased startle responding to the footshock+CS ($C3^{-/-}$ 75.18 ± 5.73 , $C3aR^{-/-}$
523 73.14 ± 7.78) pairings relative to wildtype mice (44.34 ± 5.29 , $C3^{-/-}$ vs. wildtype $p = 0.0006$,
524 $C3aR^{-/-}$ vs. wildtype $p = 0.0137$, overall ANOVA $F_{2,66} = 8.7$, $p = 0.0004$), although it should
525 be noted that responses were much greater to these stimuli in all mice than to the
526 startle stimuli in the pre-conditioning session. **(D)** In the post-conditioning session, all
527 mice demonstrated increases to the pulse+CS stimuli in comparison to pulse-alone
528 stimuli, as demonstrated by the fold-change increase in startle responding, however,
529 this effect was significantly increased in $C3^{-/-}$ mice (3.72 ± 0.27) relative to wildtype
530 (1.99 ± 0.11 , $p < 0.0001$) and $C3aR^{-/-}$ mice (2.27 ± 0.24 , $p = 0.0056$, overall Kruskal-Wallis
531 test $H_2 = 27.7$, $p < 0.0001$). Data are mean + S.E.M. *, **, *** and **** represent $p \leq 0.05$,
532 $p \leq 0.01$, $p \leq 0.001$ and $p \leq 0.0001$ for *post-hoc* genotype comparisons, respectively.

533
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535

536 **3.5 Complement signalling pathways underlying abnormal learned fear** 537 **phenotypes in $C3^{-/-}$ mice**

538 Given the central role of C3 within the complement system, its deletion affects a
539 number of distal pathways (Figure 5A), with the activity of the C3a/C3aR, C3b/CR3,
540 C5a/C5aR and terminal pathways affected. Therefore, the loss of function of any of
541 these pathways may have contributed to the observed fear learning phenotype in $C3^{-/-}$
542 $^{-/-}$ mice. However, it is possible to exclude effects due to loss of the C3a/C3aR pathway
543 since the fear learning phenotype was specific to $C3^{-/-}$ and not $C3aR^{-/-}$ mice (Figure
544 4D). This left iC3b/CR3 signalling and/or pathways downstream of C5 (i.e. C5a/C5aR,
545 terminal pathway) as the remaining possibilities. In order to distinguish between these
546 pathways, we repeated the FPS experiment with the addition of $C5^{-/-}$ mice. This model

547 has intact C3a/C3aR and iC3b/CR3 signalling, but lacks C5a/C5b and terminal
548 pathway activity, as do $C3^{-/-}$ mice (Figure 5A). We hypothesised that if $C5^{-/-}$ mice also
549 displayed enhanced fear-potentiated startle, then the phenotype in $C3^{-/-}$ mice would
550 likely be due to a loss of C5a/C5aR signalling or the terminal pathway. On the other
551 hand, if $C5^{-/-}$ mice demonstrated normal fear-potentiated startle, this would confine the
552 likely mediating pathway in $C3^{-/-}$ mice to iC3b/CR3 signaling (Figure 5A).

553

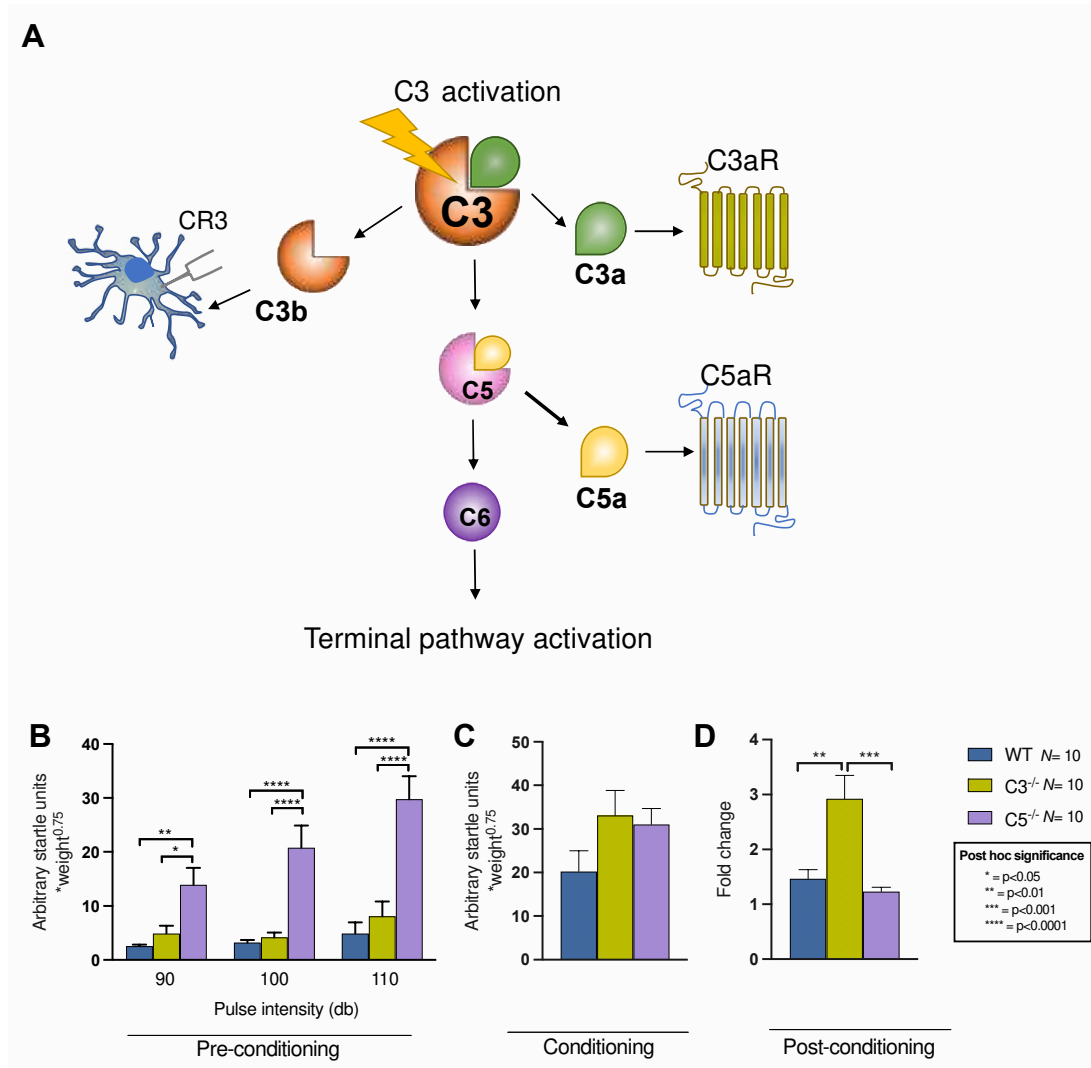
554 Results from the pre-conditioning session demonstrated increases in the startle
555 response of $C5^{-/-}$ mice, (Figure 5B) although in this instance the previously observed
556 enhanced startle reactivity in $C3^{-/-}$ mice (Figure 4B) was not replicated. In the
557 conditioning session, there was again evidence of increased startle responses to
558 shock (Figure 5C) in $C3^{-/-}$ mice and responses were of a similar magnitude in $C5^{-/-}$
559 mice, although these were not significantly different to wildtype. We replicated the
560 previous finding of enhanced fear-potentiated startle in $C3^{-/-}$ mice (Figure 5D), but
561 critically both male (Figure 5) and female (Figure S9) $C5^{-/-}$ mice showed no evidence
562 of enhanced fear learning and were comparable to wildtypes, indicating that loss of
563 iC3b/CR3 signalling, but not loss of C5a/C5aR and the terminal pathway, was involved
564 in the $C3^{-/-}$ fear learning phenotype. Additionally, we did not observe innate anxiety-
565 like phenotypes in $C5^{-/-}$ male mice (Figure S5).

566

567 This pattern of effects allowed us to distinguish between the likely mechanisms
568 underlying the enhanced learned fear in $C3^{-/-}$ mice, as we could exclude concomitant
569 loss of C5a/C5aR signalling or molecules downstream of C5, and hence also exclude
570 an explanation based on effects of C5a/C5aR signalling on developmental
571 neurogenesis^{59,60}. Instead, these data raised the possibility of an explanation based

572 on the established effects of the iC3b/CR3 pathway on synaptic pruning, a mechanism
 573 involving microglia mediated elimination of synapses impacting on neurodevelopment
 574 and learning-related synaptic plasticity^{13,14,61}.

575



576

577 **Figure 5. Pathways underlying fear learning phenotypes in C3^{-/-} mice** (A) C3
 578 activation leads to generation of cleavage fragments C3a and C3b. The former signals
 579 via C3aR whereas the latter signals via complement receptor 3 (CR3). C3b is also
 580 necessary for forming the convertase enzyme that cleaves C5. Upon cleavage, C5
 581 generates the fragments C5a and C5b (not shown). C5a signals via the C5aR,
 582 whereas C5b propagates activity of the terminal complement pathway via C6. Since

583 C3 cannot be activated in $C3^{-/-}$ mice, the action of all these pathways (C3a/C3aR,
584 C3b/CR3, C5a/C5aR, terminal pathway) is absent. By using $C5^{-/-}$ mice, which lack
585 C5a/C5aR and terminal pathway activity, we examined whether lack of C3b/CR3,
586 C5a/C5aR or the terminal pathway was responsible for fear learning phenotypes in
587 $C3^{-/-}$ mice. **(B)** In the pre-conditioning session there were significant main effects of
588 GENOTYPE ($F_{2,27}=18.4$, $p<0.0001$) and STIMULUS INTENSITY ($F_{2,54}=19.0$,
589 $p<0.0001$) and a significant GENOTYPE \times STIMULUS INTENSITY interaction
590 ($F_{4,54}=7.00$, $p<0.0001$). $C5^{-/-}$ mice demonstrated increased levels of startle responding
591 relative to wildtype and $C3^{-/-}$ mice at all stimulus intensities (90dB; WT 2.55 ± 0.26 vs.
592 $C5^{-/-}$ 13.92 ± 3.14 , $p=0.0069$, $C3^{-/-}$ 4.92 ± 1.40 vs. $C5^{-/-}$ $p=0.0405$, WT vs. $C3^{-/-}$ $p=0.7919$;
593 100dB; WT 3.23 ± 0.45 vs. $C5^{-/-}$ 20.83 ± 4.07 , $p<0.0001$, $C3^{-/-}$ 4.92 ± 0.88 vs. $C5^{-/-}$
594 $p<0.0001$, WT vs. $C3^{-/-}$ $p=0.9639$; 110dB; WT 4.92 ± 2.03 vs. $C5^{-/-}$ 29.78 ± 4.29 ,
595 $p<0.0001$, $C3^{-/-}$ 8.07 ± 2.76 vs. $C5^{-/-}$ $p<0.0001$, WT vs. $C3^{-/-}$ $p=0.6643$). **(C)** There were
596 no significant differences in startle responses to the footshock+CS pairings during the
597 conditioning session (WT 20.23 ± 4.76 , $C3^{-/-}$ 33.10 ± 5.74 , $C5^{-/-}$ 31.08 ± 3.59 , $F_{2,27}=2.10$,
598 $p=0.1421$). **(D)** In the post-conditioning session, all mice demonstrated increases to
599 the pulse+CS stimuli in comparison to pulse-alone stimuli, as demonstrated by the
600 fold-change increase in startle responding, however, this effect was again significantly
601 increased only in $C3^{-/-}$ mice (2.92 ± 0.43) relative to wildtype (1.47 ± 0.17 , $p=0.0020$) and
602 $C5^{-/-}$ mice (1.23 ± 0.08 , $p=0.0004$, overall ANOVA $F_{2,27}=11.5$, $p=0.0002$). Data
603 represent mean + S.E.M. *, **, *** and **** represent $p\leq 0.05$, $p\leq 0.01$, $p\leq 0.001$ and
604 $p\leq 0.0001$ for *post-hoc* genotype comparisons, respectively.

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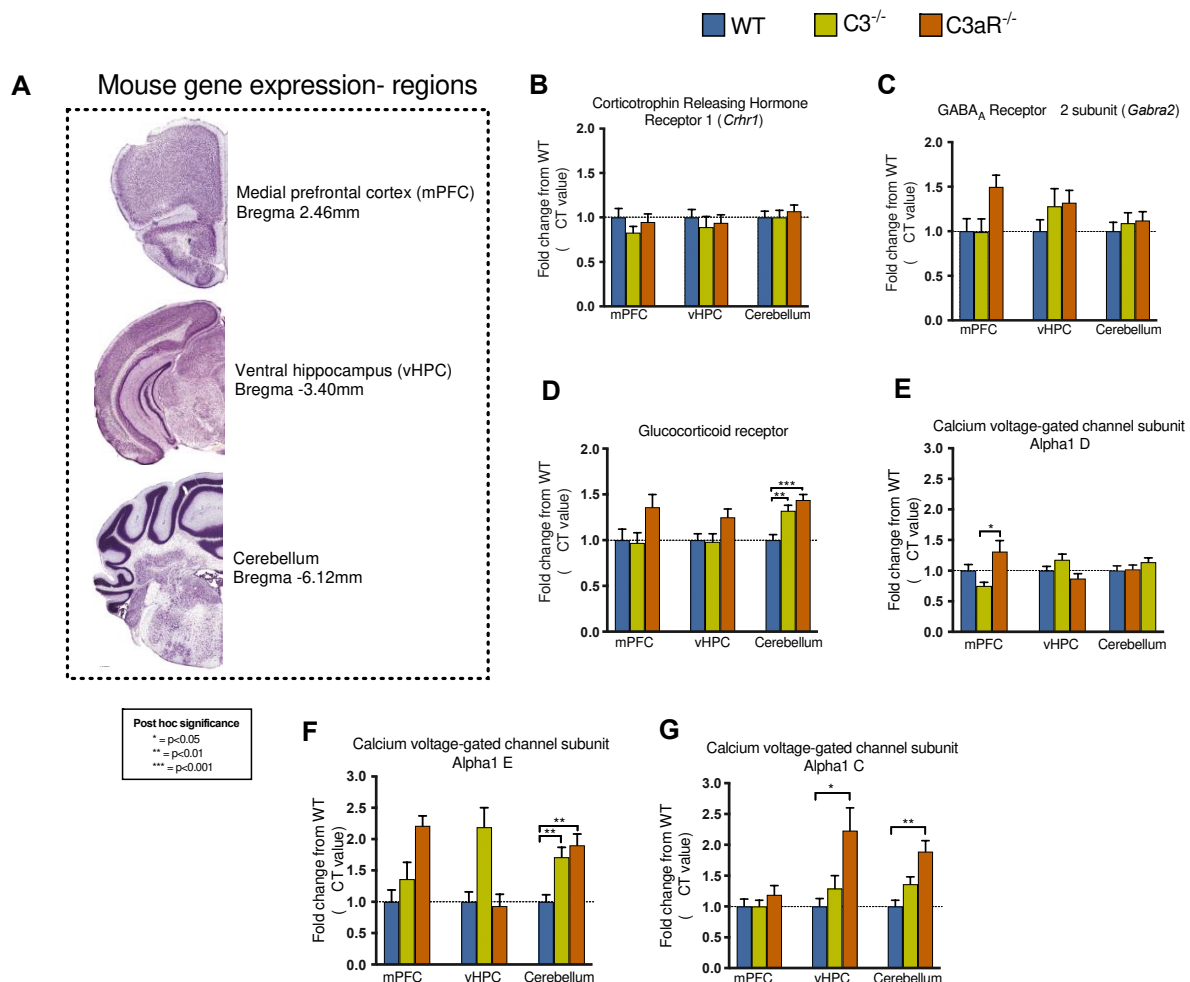
608 **3.6 Differential expression of stress and anxiety related genes in *C3aR*^{-/-} and**
609 ***C3*^{-/-} mice**

610 We next sought to assess whether the dissociations in innate anxiety and learned fear
611 between *C3aR*^{-/-} and *C3*^{-/-} models were associated with differential gene expression in
612 brain regions associated with emotional behaviours. We assayed gene expression in
613 male mice, within three regions of recognised importance in stress and anxiety; the
614 medial prefrontal cortex (mPFC), ventral hippocampus (vHPC) and
615 cerebellum^{28,62}(Figure 6A). Given our previous data showing differential corticosterone
616 responses and altered sensitivity to diazepam in both knockouts, we first measured
617 expression of the glucocorticoid receptor *Nr3c1* and the corticotrophin-releasing
618 hormone receptor 1 *Crhr1*, together with *Gabra2* which encodes the GABA_A receptor
619 α 2 subunit responsible for mediating benzodiazepine anxiolysis⁶³. There were no
620 effects of genotype on *Crhr1* and *Gabra2* mRNA expression in any of the brain regions
621 assayed (Figure 6B,C). *Nr3c1* expression did however show effects of genotype with
622 trends indicating increases in *C3aR*^{-/-} mice in the mPFC and vHPC, and significantly
623 increased expression in cerebellum that was common to both knockouts (Figure 6D).
624

625 As activation of C3aR has been shown to stimulate calcium influx from the extracellular
626 space^{2-4,64,65} and calcium channel subunit variants have strong links to risk for
627 psychiatric and neurological disorders, as well as anxiety phenotypes^{66,67}, we also
628 investigated a panel of voltage-gated calcium channels. Expression of *Cacna1d*, which
629 encodes the Cav1.3 channel of L-type calcium gated voltage channels, was increased
630 in the mPFC of *C3aR*^{-/-} mice (Figure 6E) and in both *C3*^{-/-} and *C3aR*^{-/-} mice there was
631 upregulation of cerebellar *Cacna1e*, which encodes the Cav2.3 channel of R-type
632 voltage gated calcium channels (Figure 6F). We also investigated the expression of
633 *Cacna1c* which encodes the Cav1.2 subunit of L-type voltage gated calcium channels

634 that forms the channel pore allowing calcium entry⁶⁸. We found genotype and brain
 635 region specific changes in *Cacna1c* expression, with selective increases in expression
 636 in *C3aR*^{-/-} mice in the vHPC and cerebellum, but not the mPFC (Figure 6G).

637



638

639 **Figure 6. mRNA expression of stress and anxiety related genes. (A)** Animals were

640 culled and the medial prefrontal cortex (mPFC), ventral hippocampus (vHPC) and

641 cerebellum were dissected. **(B)** There were no significant differences in the expression

642 of Corticotrophin releasing hormone receptor 1 (*Crhr1*) in any region, across

643 genotypes (mPFC $F_{2,53}=0.587$, $p=0.5597$, N wildtype=20, *C3*^{-/-}=17, *C3aR*^{-/-}= 19; vHPC

644 $F_{2,49}=0.169$, $p=0.8450$, N WT=20, *C3*^{-/-}=15, *C3aR*^{-/-}= 17; cerebellum $F_{2,47}=0.0482$,

645 $p=0.8346$, N WT=19, *C3*^{-/-}=17, *C3aR*^{-/-}= 14). **(C)** There were also no significant

646 changes in the expression of the GABA_A receptor α 2 subunit (*Gabra2*) in any region,
 647 across genotypes (mPFC $H_2=1.04$, $p=0.5939$, N wildtype=20, $C3^{-/-}=19$, $C3aR^{-/-}=16$;
 648 vHPC $F_{2,49}=0.721$, $p=0.4914$, N WT=20, $C3^{-/-}=13$, $C3aR^{-/-}=19$; cerebellum
 649 $F_{2,47}=0.221$, $p=0.8026$, N WT=18, $C3^{-/-}=17$, $C3aR^{-/-}=15$). **(D)** Expression of the
 650 glucocorticoid receptor (*Nr3c1*) was significantly increased in the cerebellum of both
 651 $C3^{-/-}$ and $C3aR^{-/-}$ groups ($F_{2,61}=10.3$, $p=0.0002$, $C3^{-/-}$ vs. wildtype $p=0.0023$, $C3aR^{-/-}$ vs.
 652 wildtype $p=0.0002$, N wildtype=19, $C3^{-/-}=20$, $C3aR^{-/-}=15$). There were trends towards
 653 increased expression of the glucocorticoid receptor gene *Nr3c1* in the mPFC and
 654 vHPC of $C3aR^{-/-}$ mice but these were not significant (mPFC; $F_{2,56}=1.33$, $p=0.2723$, N
 655 wildtype=20, $C3^{-/-}=20$, $C3aR^{-/-}=19$, vHPC; $F_{2,62}=1.11$, $p=0.3345$, N wildtype=23, $C3^{-/-}$
 656 =20, $C3aR^{-/-}=22$). **(E)** Calcium voltage-gated channel subunit Alpha 1d (*Cacna1d*)
 657 expression was changed in the mPFC ($F_{2,36}=7.52$, $p=0.0407$) owing to altered
 658 expression between $C3^{-/-}$ and $C3aR^{-/-}$ mice ($p=0.0314$; N wildtype=11, $C3^{-/-}=13$, $C3aR$
 659 $^{-/-}=15$). There were no differences in the vHPC ($F_{2,31}=2.27$, $p=0.1199$, N wildtype=14,
 660 $C3^{-/-}=10$, $C3aR^{-/-}=10$) or cerebellum ($F_{1,39}=0.648$, $p=0.5286$, N wildtype=14, $C3^{-/-}=16$,
 661 $C3aR^{-/-}=12$). **(F)** Expression of the Calcium voltage-gated channel subunit Alpha 1e
 662 (*Cacna1e*) was significantly upregulated in the cerebellum of both knockouts
 663 ($F_{2,39}=7.52$, $p=0.0017$, wildtype vs. $C3^{-/-}$ $p=0.0082$, wildtype vs. $C3aR^{-/-}$ $p=0.0032$; N
 664 wildtype=14, $C3^{-/-}=16$, $C3aR^{-/-}=12$). There were borderline significant changes in
 665 expression in the vHPC ($F_{2,32}=3.15$, $p=0.0565$, N wildtype=14, $C3^{-/-}=11$, $C3aR^{-/-}=10$)
 666 and no significant changes in the mPFC ($H_2=3.43$, $p=0.1802$, N wildtype=11, $C3^{-/-}=12$,
 667 $C3aR^{-/-}=15$). **(G)** Expression levels of the Calcium voltage-gated channel subunit
 668 Alpha 1c (*Cacna1c*) were significantly increased in $C3aR^{-/-}$ mice in a regionally specific
 669 manner in the vHPC ($F_{2,47}=3.20$, $p=0.0496$, $C3^{-/-}$ vs. wildtype $p=0.6895$, $C3aR^{-/-}$ vs.
 670 wildtype $p=0.0295$, N wildtype=21, $C3^{-/-}=13$, $C3aR^{-/-}=16$) and the cerebellum

671 ($F_{2,54}=5.84$, $p=0.0051$, $C3^{-/-}$ vs. wildtype $p=0.1613$, $C3aR^{-/-}$ vs. wildtype $p=0.0024$, N
672 wildtype=20, $C3^{-/-}=20$, $C3aR^{-/-}=17$). There were no significant changes in the mPFC
673 ($F_{2,52}=1.04$, $p=0.5939$, N wildtype=20, $C3^{-/-}=19$, $C3aR^{-/-}=16$). Data represent fold
674 change from wildtype + SEM. *, **, *** represent $p\leq 0.05$, $p\leq 0.01$ and $p\leq 0.001$ for *post-*
675 *hoc* genotype comparisons, respectively.

676

677

678 **4. Discussion**

679 Using knockout models manipulating specific complement proteins, we have revealed
680 dissociable effects of complement pathways on distinct elements of aversive
681 behaviours. $C3aR^{-/-}$ mice displayed a profound innate anxiety phenotype that was
682 lacking in $C3^{-/-}$ mice. The specificity of the anxiety phenotype exhibited by $C3aR^{-/-}$ mice
683 at the behavioural level was paralleled by EPM-evoked corticosterone levels,
684 confirming the validity of the EPM as an index of anxiety-like behaviour. In contrast,
685 when we examined learned fear, where a previously neutral cue generates a fear
686 response as a result of predicting an aversive outcome, we found that the dissociation
687 was reversed with the $C3^{-/-}$ mice exhibiting an enhanced fear response to a conditioned
688 cue, but no differences between wildtype and $C3aR^{-/-}$ mice. These findings indicate
689 that closely related elements of the complement system can differentially impact upon
690 the neural mechanisms underlying innate anxiety and learned fear, pointing to a
691 hitherto unrecognized complexity of complement effects on brain function and
692 behaviour of relevance to emotional dysfunction in psychopathology.

693

694 Our findings extend previous findings of abnormal anxiety behaviour in $C3aR^{-/-}$ mice³⁵.

695 Our use of specific complement knockout models allowed us to further pinpoint the

696 likely complement pathways and potential mechanisms underlying C3aR-mediated
697 anxiety. Since C3a is solely produced via C3 cleavage, and C3aR is the canonical
698 receptor for C3a, we hypothesised that any phenotypes dependent on the binding of
699 C3a to the C3aR would be apparent in both *C3*^{-/-} and *C3aR*^{-/-} models. However, this
700 was not borne out in our data. Given the divergence in phenotypes seen, one
701 explanation is that the *C3aR*^{-/-} anxiety phenotypes are independent of C3a and instead
702 mediated by an alternative ligand. It has long been speculated that there may be
703 promiscuity of the C3aR due to its unusually large second extracellular loop⁶⁹. Indeed,
704 a cleavage fragment of the neuropeptide precursor protein VGF (non-acronymic),
705 TLQP-21, was recently reported to bind the C3aR^{70,71}. This peptide has pleiotropic
706 roles including in the stress response⁷² and its precursor VGF is widely expressed
707 throughout the CNS⁷³ and in regions associated with stress reactivity such as the
708 hypothalamus, where there is evidence for C3aR expression^{74,75}. Determining whether
709 the mechanisms underlying innate anxiety phenotypes in *C3aR*^{-/-} mice are dependent
710 on TLQP-21/C3aR interactions will be a priority for future work.

711

712 Whether the *C3aR*^{-/-} phenotypes described here are the result of ongoing effects of
713 *C3aR* deletion in the adult brain or instead the enduring consequence of
714 neurodevelopmental impacts of *C3aR* deficiency also remains to be determined. On
715 the basis of previous findings implicating C3aR in both developmental neurogenesis⁷⁶
716 and in acute brain changes following behavioural manipulations⁷⁷, both are
717 possibilities. One strategy would be to test whether acute administration of the C3aR
718 antagonist SB290157⁷⁸ phenocopies the constitutive knockout of *C3aR*, though at
719 present no data is available on the CNS penetration of SB290157 and this molecule
720 has received criticism due to evidence of agonist activity⁷⁹.

721

722 Interestingly, recent preclinical work has suggested a protective role for C3a/C3aR in
723 chronic-stress induced depressive-behaviour⁹¹. Given the common co-occurrence of
724 anxiety and depression, our findings of enhanced anxiety in *C3aR*^{-/-} mice might seem
725 at odds with the reported resilience of this strain to depression-related phenotypes⁹¹.
726 However, the chronic unpredictable-stress paradigm used in these studies is likely to
727 evoke significant inflammation, and therefore the extent to which our data in acutely
728 stressed animals can be compared is questionable. Our corticosterone data indicated
729 that whilst *C3aR*^{-/-} mice had greater reactivity in after a 5-minute exposure to the EPM,
730 their stress levels were normal at baseline. Further studies are thus needed to
731 determine how the anxiety phenotype of *C3aR*^{-/-} mice may be modulated by stressors
732 of a more chronic nature, and also whether dissociations may also exist in the impact
733 of the C3aR on depressive and anxiety-like behaviours.

734

735 We also probed mechanisms underpinning the *C3aR*^{-/-} innate anxiety phenotype by
736 assessing the effects of the anxiolytic drug diazepam. We found that a dose of
737 diazepam that was anxiolytic in wildtype mice had no effect in *C3aR*^{-/-} mice. Stretch
738 attend postures, thought to reflect risk assessment behaviour⁵⁴, are highly sensitive to
739 pharmacological manipulation^{50,80} and in agreement with our own findings, diazepam
740 has been shown to specifically decrease SAPs in the absence of effects on open arm
741 exploration⁸¹. Importantly, *C3aR*^{-/-} mice consistently performed more SAPs than other
742 genotypes, and therefore floor effects cannot account for the pattern of results
743 observed. Benzodiazepines act on GABA_A receptors⁶³ however we found no
744 significant changes in expression of *Gabra2*, a GABA_A receptor subunit responsible
745 for anxiolytic actions of benzodiazepines in tests of innate anxiety⁶³, in the brain

746 regions sampled. This raises the possibility of alternative molecular mechanisms
747 mediating the anxiety phenotypes seen in the *C3aR*^{-/-} model. Whatever the molecular
748 underpinnings of the dissociable anxiety phenotypes, our data show a profoundly
749 altered effect of diazepam in both knockouts; a lack of response in *C3aR*^{-/-} and an
750 apparent paradoxical anxiogenic effect of the drug in *C3*^{-/-} mice, though this
751 interpretation needs to take into account an apparent selective sedative effect in *C3*^{-/-}
752 mice.

753

754 In contrast to innate anxiety, we observed a specific effect of *C3* knockout on
755 conditioned fear. The absence of a comparable phenotype in *C3aR*^{-/-} and *C5*^{-/-} mice
756 suggested that these effects were unlikely to be due to loss of either C3a/C3aR,
757 C5a/C5aR, or the terminal pathway, and instead that enhanced fear learning
758 phenotypes in *C3*^{-/-} mice were likely dependent on loss of the iC3b/CR3 pathway. This
759 pathway has been strongly implicated in activity dependent synaptic elimination during
760 neurodevelopment^{13,25} and in age-dependent synapse loss⁸². While demonstrations
761 of complement mediated synaptic pruning during development have centered on the
762 visual system, complement-mediated microglial phagocytosis of dopamine D1
763 receptors has been demonstrated in the nucleus accumbens with functional impacts
764 on social behaviour⁸³. It remains to be seen whether complement mediated processes
765 of this nature, within brain regions linked to fear processing such as the ventral
766 hippocampus, amygdala and prefrontal cortex are responsible for enhanced fear
767 learning in *C3*^{-/-} mice, or whether this phenotype is a general consequence of altered
768 synaptic elimination throughout the *C3*^{-/-} brain. In addition to developmental processes,
769 the iC3b/CR3 pathway could also be involved acutely in fear learning. *C3* mRNA is
770 upregulated during discrete stages of fear learning⁷⁷ and microglial CR3 is implicated

771 in long term depression⁸⁴. Furthermore, complement-mediated engulfment of
772 synapses by microglia may be important in the forgetting of fear memories¹⁴.

773

774 At the gene expression level, we found some changes which were common to both
775 knockouts, and one result that was specific to *C3aR*^{-/-} mice. Regarding the latter, there
776 was a highly specific increase in expression of *Cacna1c* in the ventral hippocampus
777 and cerebellum of *C3aR*^{-/-} mice. This finding is of potential interest given the strong
778 evidence implicating *CACNA1C* variants in genetic risk for a broad spectrum of
779 psychiatric disorders including schizophrenia and bipolar disorder⁶⁶, with anxiety
780 phenotypes reported in both human risk variant carriers⁸⁵ and animal models⁸⁶⁻⁸⁹.
781 Furthermore, recent evidence indicates convergent polygenic mechanisms shared
782 between complement and other psychiatric risk genes⁹⁰, including calcium regulation
783 pathways, and thus our study lends further support to an interaction between these
784 systems. Whether alterations in *Cacna1c* are of direct functional relevance to the
785 *C3aR*^{-/-} anxiety phenotypes observed here remains to be determined experimentally.
786 We also observed increased cerebellar expression of the glucocorticoid receptor in
787 both *C3*^{-/-} and *C3aR*^{-/-} mice, suggesting that these alterations may result from the
788 absence of C3a/C3aR signalling. Expression of these genes did not differentiate
789 between models and therefore were unlikely to contribute to the dissociable effects of
790 the knockouts on behaviour and stress hormone physiology. Future studies of
791 neuronal activity in brain regions linked to emotion may be more informative in terms
792 of functional neuroanatomy underlying the anxiety-related behavioural and
793 physiological differences seen in the knockout models.

794

795 In summary, our study provides an in-depth behavioural phenotyping of complement
796 knockout models revealing distinct effects of complement signaling pathways on
797 emotional behaviours relating to fear and anxiety. These findings add significantly to
798 the evidence that perturbations of the complement system, whether reduced
799 complement activation as in the present work or excessive activation as is predicted
800 by *C4* genetic variants^{24,92}, have major and dissociable effects on brain and
801 behavioural phenotypes of relevance to core clinical symptoms of psychiatric disease.

802

803 **5. Author's contributions**

804 The study was designed by LJW, TH, BPM, WPG and LSW. LJW and TH performed
805 behavioural experiments with assistance from NH. Molecular analyses were
806 performed by SAB, EB, MT, ALM, AIB and LJW. Data interpretation were carried out
807 by JH, MJO, JR, WPG, NH, TRH, BPM, LSW and TH. The manuscript was drafted by
808 LJW, TH, WPG and LSW. All authors approved the final manuscript.

809

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820 **7. Competing financial interests**

821 The authors declare no competing financial interests.

822

823 **8. Materials and correspondence**824 All data from this study are available from the corresponding authors upon reasonable
825 request.

826

827 **9. References**

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