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## On inverse limit sequence

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I am submitting herewith a thesis written by Ralph Bennett entitled "On inverse limit sequence." I have examined the final electronic copy of this thesis for form and content and recommend that it be accepted in partial fulfillment of the requirements for the degree of Master of Arts, with a major in Mathematics.

William S. Mahavier, Major Professor

We have read this thesis and recommend its acceptance:

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Carolyn R. Hodges

Vice Provost and Dean of the Graduate School

(Original signatures are on file with official student records.)

August 11, 1962

To the Graduate Council:

I am submitting herewith a thesis written by Ralph Bennett entitled "On Inverse Limit Sequences." I recommend that it be accepted for nine quarter hours of credit in partial fulfillment of the requirements for the degree of Master of Arts, with a major in Mathematics.

William S. Mahowald  
Major Professor

We have read this thesis and  
recommend its acceptance:

John Weyerberger

E. Cohen

Accepted for the Council:

Hilton A. Smith  
Dean of the Graduate School

**ON INVERSE LIMIT SEQUENCES**

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**A Thesis**

**Presented to**

**the Graduate Council of  
The University of Tennessee**

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**In Partial Fulfillment  
of the Requirements for the Degree  
Master of Arts**

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**by**

**Ralph Bennett**

**August 1962**

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## CHAPTER I

### INTRODUCTION

The following arose out of an unsuccessful attempt to answer the question "is there a map of the unit interval onto itself whose inverse limit is hereditarily indecomposable?" This question naturally leads to the broader problem of determining what sort of continua may be obtained by taking the inverse limit of a single map on the unit interval. A very limited number of answers to this problem will be found in Chapter IV, chiefly dealing with how to obtain indecomposable continua. Chapter V gives some examples to show why Chapter IV contains very little in the way of theorems characterizing the inverse limits by means of reasonable properties of the map. Some examples are also given of continua which may be obtained.

A complete answer is given in Chapter III to the question of what may be obtained as the inverse limit of a sequence of functions on the unit interval. The answer is complete since it is that every compact chainable continuum may be so obtained, and only such continua may be obtained. The question of which compact chainable continuum one will get with a given sequence of maps is not answered.

The study of inverse limits has developed in two principal directions. The first direction is abstract homology theory, which is the source of the concept. This direction will not be considered. The second direction is apparently an outgrowth of the first. It consists of giving examples of unusual continua conveniently generated as inverse

limits and the study of the properties used in generating the examples.

One of the classical sets of examples of indecomposable continua, the solenoids, are very nicely given as inverse limits. R. D. Anderson and Gustave Choquet [1]<sup>\*</sup> have given an example of a compact continuum contained in the plane no two of whose non-degenerate subcontinua are homeomorphic. The construction was by means of inverse limits.

In connection with the general study of inverse limits, M. K. Fort, Jr., and Jack Segal [7] have given a necessary and sufficient condition that an inverse limit be locally connected. J. R. Isbell [9] has shown that an inverse limit on compact subsets of  $E^n$  can be embedded in  $E^{2n}$ . Eilenberg and Steenrod [6; Chapter X] stated a theorem which apparently asserts that each compact space is an inverse limit on a sequence of compact triangulable spaces.

The results listed above are those which are most closely connected with the problems considered here. There seems to have been little systematic study of inverse limits for their own sake. Accessible information is of a very fragmentary nature.

Some elements of the style and notation to be followed should be mentioned, perhaps as a warning. Basic topological theorems and definitions will be used without author reference and sometimes without specific assertion of the particular item being employed. All topological spaces considered are assumed to be metric and of diameter 1, if not otherwise specified. Much of the considerations to follow depend on the concept

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<sup>\*</sup>The numbers which appear in brackets correspond to the numbers in the Bibliography at the end of this paper.



and properties of a product space. For a metric space sequence  $(X_1, d_1), (X_2, d_2), \dots$ , the product  $\prod X_i$  will always be metrized by the function  $D$  defined by

$$D(x, y) = \sum_{k=1}^{\infty} d_k(x_k, y_k) 2^{-k}$$

where  $x$  and  $y$  are points in  $\prod X_i$  and  $x_k$  and  $y_k$  always denote the coordinates of  $x$  and  $y$  in  $X_k$ . This metric is equivalent to the product topology. A concise discussion of product spaces and metric spaces can be found in Kelley [10]. The symbol "iff" is used as an abbreviation for the phrase "if and only if", generally in definitions. The closure of a set  $A$  will be denoted  $A^-$ . The word "continuum" means only a closed and connected set and if compactness is wanted it will be stated in the phrase "compact continuum." The reader should not assume that since some proofs are given in great detail that all are so given, nor should he assume that all the proofs are only sketched upon reading some excessively concise remarks indicative of the outlines of a demonstration.

## CHAPTER II

### BASIC PROPERTIES

A sequence  $(X_1, f_1), (X_2, f_2), \dots$  will be called an inverse limit sequence iff each  $X_i$  is a topological space and each  $f_i$  is a continuous transformation from  $X_{i+1}$  into  $X_i$ . If  $P$  is a property of topological spaces (maps), an inverse limit sequence will be said to have or satisfy property  $P$  iff each space (map) in the sequence has property  $P$ . The word map, if used, will mean continuous transformation. The inverse limit of an inverse limit sequence  $(X_1, f_1), (X_2, f_2), \dots$  is the set of all sequences  $(x_1, x_2, \dots)$  so that for each positive integer  $i$ ,  $x_i$  is in  $X_i$  and  $f_i(x_{i+1})$  is  $x_i$ . The inverse limit is denoted  $\lim(X_i, f_i)$  or more concisely as  $\lim f_i$ , and is always considered as a subspace of the product space of the  $X_i$ . If  $f$  is a map from a space  $X$  into itself the inverse limit of  $f$  is the inverse limit of the sequence  $(X_1, f_1), (X_2, f_2), \dots$  where for each positive integer  $i$ ,  $X_i$  is  $X$  and  $f_i$  is  $f$ , and is denoted  $\lim f$ .

Strictly speaking, one should distinguish between a topological space, and the set of points of the topological space. This will not be done. For a sequence of spaces, the projection map from the product space onto the  $k$ -th coordinate space is always denoted as  $P_k$ . If  $i$  and  $k$  are positive integers and  $i$  is less than  $k$ ,  $f_{ik}$  will denote the map from  $X_k$  into  $X_i$  defined by  $f_{ik} = f_i f_{i+1} \dots f_{k-1}$ . The identity map from  $X_i$  onto  $X_i$  is denoted  $f_{ii}$ . A somewhat confusing property of this notation is that  $f_{i,i+1}$  is  $f_i$ .

In the theorems of this chapter  $(X_i, f_i)$  always denotes an inverse limit sequence.

Theorem 1. If  $A$  is a subset of  $\lim f_i$ , and  $k$  and  $m$  are positive integers with  $m$  greater than  $k$ , then  $P_k(A)$  is  $f_{km}[P_m(A)]$ .

Proof. If  $x$  is in  $P_k(A)$ , there is a point  $y$  in  $A$  whose  $k$ -th coordinate is  $x$ . The  $m$ -th coordinate of  $y$  is necessarily a point  $z$  so that  $f_{km}(z)$  is  $x$ . That is,  $P_k(A)$  is a subset of  $f_{km}(P_m(A))$ . Conversely, if  $z$  is in  $P_m(A)$ , there is a point  $y$  in  $A$  so that the  $m$ -th coordinate of  $y$  is  $z$ . By definition, the  $k$ -th coordinate of  $y$  is  $f_{km}(z)$ , and so  $f_{km}(P_m(A))$  is a subset of  $P_k(A)$ .

Theorem 2. If  $\lim f_i$  exists it is a closed subset of the product space of the  $X_i$ .

Proof. Suppose  $y$  is in  $(\lim f_i)^-$  but not in  $\lim f_i$ . Since  $y$  is not in  $\lim f_i$  there is a positive integer  $n$  so that  $f_n(P_{n+1}(y))$  is not  $P_n(y)$ . There are disjoint open subsets  $U$  and  $V$  of  $X_n$  containing  $P_n(y)$  and  $f_n(P_{n+1}(y))$  respectively. Since  $f_n$  is continuous, there is an open subset  $W$  of  $X_{n+1}$  containing  $P_{n+1}(y)$  so that  $f_n(W)$  is contained in  $V$ . Let  $Z$  denote the open subset  $P_n^{-1}(U) \cap P_{n+1}^{-1}(W)$  of  $\pi X_i$ . Since  $Z$  contains  $y$ ,  $Z$  must contain a point of  $\lim f_i$ . But  $P_{n+1}(Z \cap \lim f_i)$  is contained in  $W$  and  $f_n(P_{n+1}(Z \cap \lim f_i)) = P_n(Z \cap \lim f_i)$  is contained in  $V$ . But also  $P_n(Z \cap \lim f_i)$  is contained in  $U$ , contradicting the disjointness of  $U$  and  $V$ .

Theorem 3. If for each  $n$ ,  $f_n$  is onto, then  $P_n(\lim f_i)$  is  $X_n$  for each  $n$ . Conversely, if for each  $n$ ,  $P_n(\lim f_i)$  is  $X_n$ , then each  $f_n$  is onto. (The proof is obvious.)

Theorem 4. If  $y$  is a point of  $\lim f_i$  and  $U$  is an open subset of  $\lim f_i$  containing  $y$ , then there is a positive integer  $p$ , so that for each integer  $n$  greater than  $p$  there is an open subset  $V$  of  $X_n$  containing  $P_n(y)$  so that if  $z$  is in  $\lim f_i$  and  $P_n(z)$  is in  $V$ , then  $z$  is in  $U$ . (That is,  $P_n^{-1}(V) \cap \lim f_i$  is an open subset of  $U$  containing  $y$ .)

Proof. By the definition of the product topology, there is a positive integer  $p$  and a collection  $U_1, \dots, U_p$  of open sets so that for each  $i$ ,  $U_i \subset X_i$  and so that  $y$  is in  $[\lim f_i \cap ((\pi_{i=1}^p U_i) \times \pi_{i=p+1}^\infty X_i)] \subset U$ . Pick  $n$  to be any integer greater than  $p$ . Since  $f_{in}$  is continuous for  $i = 1, \dots, n$  and  $f_{in}(P_n(y)) = P_i(y)$ , for each  $i$  from 1 to  $p$  there is an open subset  $V_i$  of  $X_n$  containing  $P_n(y)$  so that  $f_{in}(V_i) \subset U_i$ . Define  $V = \bigcap_{i=1}^p V_i$ . Since projections are continuous  $P_n^{-1}(V)$  is an open subset of the product space. Suppose  $z \in \lim f_i$  and  $P_n(z)$  is in  $V$ . For each  $i$  from 1 to  $p$ ,  $P_i(z) = f_{in}(P_n(z))$  is in  $U_i$ , and so  $z$  is in  $U$ . Therefore  $P_n^{-1}(V) \cap \lim f_i$  is an open subset of  $U$  containing  $y$ .

Theorem 5. If  $(X_i, f_i)$  is a compact inverse limit sequence, the inverse limit exists and is compact. If the sequence is also connected, the inverse limit is connected.

Proof. For each positive integer  $n$ , denote as  $F_n$  the transformation from  $\pi_{i=n}^\infty X_i$  into  $\pi_{i=1}^\infty X_i$  defined by  $F_n(x) = (f_{1n}(x_n), f_{2n}(x_n), \dots, f_{nn}(x_n), x_{n+1}, \dots)$  where  $x$  is  $(x_n, x_{n+1}, \dots)$ . Suppose  $U$  is an open subset of  $\pi_{i=1}^\infty X_i$  containing  $F_n(x)$ . There is a

positive integer  $p > n$  and open subsets  $U_1, \dots, U_p$  of  $X_1, \dots, X_p$  respectively so that  $F_n(x) \in (\pi_{i=1}^p U_i) \times \pi_{i=p+1}^\infty X_i \subset U$ . For each  $i$  from 1 to  $n$  there is an open subset  $V_i$  of  $X_n$  containing  $x_n$  so that  $f_{in}(V_i) \subset U_i$ . Define  $V = \bigcap_{i=1}^n V_i$ , and  $W = V \times (\pi_{i=n+1}^p U_i) \times (\pi_{i=p+1}^\infty X_i)$ . Clearly  $W$  is an open subset of  $\pi_{i=n}^\infty X_i$  containing  $x$  so that  $F_n(W) \subset U$ . Therefore each  $F_n$  is continuous.

For each  $n$  denote  $M_n = F_n(\pi_{i=n}^\infty X_i)$ . Since products of compact spaces are compact and the  $F_n$  are continuous, each  $M_n$  is compact. If  $x$  is in the domain of  $F_{n+1}$ ,  $x = (x_{n+1}, x_{n+2}, \dots)$  and  $x' = (f_n(x_{n+1}), x_{n+1}, \dots)$ , then  $F_n(x') = F_{n+1}(x)$ . So for each  $n$ ,  $M_{n+1} \subset M_n$ . Therefore  $\bigcap_{n=1}^\infty M_n$  exists and is compact.

Suppose  $x \in \bigcap_{n=1}^\infty M_n$ . For any positive integer  $k$ , since  $x$  is in  $M_{k+1}$ ,  $P_k(x) = f_k(P_{k+1}(x))$ , and  $x$  is in  $\lim f_i$ . Conversely, if  $x = (x_1, x_2, \dots)$  is in  $\lim f_i$ , then for any positive integer  $k$ ,  $x = F_k(x')$  where  $x' = (x_k, x_{k+1}, \dots)$ , and so  $x$  is in  $\bigcap_{n=1}^\infty M_n$ . So  $\lim f_i$  is  $\bigcap_{n=1}^\infty M_n$  and exists and is compact.

If each  $X_i$  is connected, then  $\pi_{i=n}^\infty X_i$  is connected and  $M_n$  is connected since the image of a connected space under a continuous transformation. Then  $\bigcap_{n=1}^\infty M_n = \lim f_i$  is connected.

It should be noted that Theorems 1, 2, 3 and 5 have been proved by Eilenberg and Steenrod [6] and also by Hocking and Young [8], and are noted in Capel [5]. An example given in the latter can be slightly altered to show the use of Theorems 3 and 5. Denote as  $X$  the non-negative integers with the usual topology and as  $f$  the homeomorphism from  $X$  into  $X$  given by  $f(x) = x + 1$ . For any positive integer  $x$ ,

$f^{-1}(x) = x - 1$ . Note that a point  $z$  in an inverse limit always has the property that  $P_{n+1}(z)$  is in  $f_n^{-1}(P_n(z))$ . Suppose  $x$  is in the inverse limit of  $f$ ,  $x = (x_1, x_2, \dots)$ . The  $(x_1+1)$ -st coordinate of  $x$  is  $f^{-x_1}(x_1) = 0$ . But 0 has no preimage under  $f$ . So  $f$  has no inverse limit.

An easy application of Theorem 4 gives the following.

Theorem 6. If  $K$  is a closed subset of  $\lim f_i$ , and for each  $n$ ,  $P_n(K) = X_n$ , then  $K = \lim f_i$ .

Proof. Suppose  $y$  is in  $\lim f_i$  and  $U$  is an open set containing  $y$ . There is a positive integer  $n$  and an open subset  $V$  of  $X_n$  so that if  $z$  is in  $\lim f_i$  and  $P_n(z)$  is in  $V$ , then  $z$  is in  $U$ . Since  $P_n(K) = X_n$ , there is a point  $z$  of  $K$  so that  $P_n(z)$  is in  $V$ , and so there is a point of  $K$  in  $U$ . So  $y$  is a limit point of  $K$ , and since  $K$  is closed,  $y$  is in  $K$ . Therefore  $K$  is  $\lim f_i$ .

The following theorem says approximately that the same inverse limit is obtained if a subsequence of the inverse limit sequence is taken. This may be considered as a variation of Lemma 2-84 of [8] or of Theorem 2.11 of [5].

Theorem 7. Suppose  $(X_i, f_i)$  is an inverse limit sequence,  $\lim (X_i, f_i)$  exists and  $n_1, n_2, \dots$  is an increasing sequence of positive integers. Then  $\lim (Y_i, g_i)$  exists and is homeomorphic to  $\lim (X_i, f_i)$  where for each  $i$ ,  $Y_i = X_{n_i}$  and  $g_i = f_{n_i, n_{i+1}}$ .

Proof. Denote as  $F$  the transformation from  $\lim (X_i, f_i)$  defined by  $F(x) = (x_{n_1}, x_{n_2}, \dots)$  where  $x = (x_1, x_2, \dots)$ . Clearly  $F$

is into  $\lim(Y_i, g_i)$ , and  $\lim(Y_i, g_i)$  exists. If  $F(x) = F(y)$ , then for each positive integer  $i$ ,  $P_{n_i}(x) = P_{n_i}(y)$ . If  $k$  is a positive integer there is an integer  $i$  so that  $n_i > k$ , and then  $P_k(x) = f_{k, n_i}(P_{n_i}(x)) = f_{k, n_i}(P_{n_i}(y)) = P_k(y)$ , and  $x = y$ . So  $F$  is 1-1. Suppose  $x' = (x_1', x_2', \dots)$  is in  $\lim(Y_i, g_i)$ . If  $x = (f_{1, n_1}(x_1'), f_{2, n_1}(x_1'), \dots, x_1', f_{n_1+1, n_2}(x_2'), \dots)$  clearly  $x$  is in  $\lim(X_i, f_i)$  and  $F(x) = x'$ . So  $F$  is onto. Suppose  $U$  is open in  $\lim(Y_i, g_i)$  and  $F(x)$  is in  $U$ . There is a positive integer  $k > 1$  and an open subset  $V$  of  $Y_k$  containing  $P_k(F(x))$  so that a point  $z$  of  $\lim(Y_i, g_i)$  is in  $U$  if  $P_k(z)$  is in  $V$ . Define  $W = [(n_k^{k-1} X_1) \times V \times (n_{i=n_k+1}^\infty X_i)]$ . Obviously  $P_k[F(W \cap \lim(X_i, f_i))]$   $\subset V$  and  $x$  is in  $W \cap \lim(X_i, f_i)$ . So  $F$  is continuous. Suppose  $U$  is open in  $\lim(X_i, f_i)$  and  $x$  is in  $U$ . By Theorem 4 there is a positive integer  $p$  so that for any integer  $n$  greater than  $p$  there is an open subset  $V$  of  $X_n$  containing  $P_n(x)$  so that the open subset  $P_n^{-1}[V] \cap \lim(X_i, f_i)$  contains  $x$  and is contained in  $U$ . Choose  $n$  to be any  $n_k$  greater than  $p$  and  $V$  a set with the foregoing properties. Clearly  $F(P_{n_k}^{-1}[V] \cap \lim(X_i, f_i))$  contains  $F(x)$  and is open in  $\lim(Y_i, g_i)$  since it is  $P_k^{-1}[V] \cap \lim(Y_i, g_i)$ . So  $F$  is 1-1 continuous and open, and is a homeomorphism.

There are several corollaries to this theorem. Corollary 9 yields an amusing example in Chapter V, that for any compact continuous curve  $T$  (Peano space) there is a map  $f$  from  $T$  onto  $T$  so that the inverse limit of  $f$  is a chainable indecomposable continuum. Since any such

continuum can be embedded in the plane and  $T$  may be of high dimension, this is not the expected thing.

Corollary 8. Suppose  $(X_i, f_i)$  is an inverse limit sequence,  $\lim(X_i, f_i)$  exists and  $n$  is a positive integer. Then  $\lim(Y_i, g_i)$  exists and is homeomorphic to  $\lim(X_i, f_i)$  where  $Y_i = X_{(n-1)+i}$ ,  $g_i = f_{(n-1)+i}$  for each  $i$ .

Corollary 9. Suppose  $X$  and  $Y$  are topological spaces,  $f$  is a map of  $Y$  into  $X$ , and the inverse limit of  $fg$  exists. Then the inverse limit of  $gf$  exists and is homeomorphic to the inverse limit of  $fg$ .

Proofs. Corollary 8 is obtained by considering the increasing sequence  $n, n+1, n+2, \dots$  and applying Theorem 7 directly. Corollary 9 is obtained by considering  $(X_i, f_i)$  where  $X_i = X$  for  $i$  odd,  $X_i = Y$  for  $i$  even,  $f_i = g$  for  $i$  odd and  $f_i = f$  for  $i$  even. Taking the sequence  $1, 3, 5, \dots$ , Theorem 7 gives  $\lim(X_i, f_i)$  is homeomorphic to  $\lim gf$ . Taking the sequence  $2, 4, 6, \dots$  Theorem 7 gives  $\lim(X_i, f_i)$  is homeomorphic to  $\lim fg$ . So  $\lim fg$  is homeomorphic to  $\lim gf$ .

For a map  $f$  of  $X$  into  $X$ ,  $f^1$  will denote  $f$ , and for  $n$  a positive integer,  $f^{n+1}$  denotes  $ff^n$ .

Corollary 10. If  $f$  is a map from  $X$  into  $X$ ,  $\lim f$  exists and  $n$  is a positive integer, then  $\lim f^n$  exists and is homeomorphic to  $\lim f$ .

Corollary 10 is a simplified version of Corollary 11.



Corollary 11. Suppose  $f$  is a map from  $X$  into  $X$ ,  $\lim f$  exists and  $n_1, n_2, \dots$  is a sequence of positive integers, (not necessarily increasing.) Then  $\lim (X_i, f_i)$  exists and is homeomorphic to  $\lim f$  where  $X_i = X$  for each  $i$  and  $f_i = f^{n_i}$ .

Proof. Consider the sequence  $1, n_1 + 1, n_1 + n_2 + 1, \dots$  and  $\lim f$ . Clearly Theorem 7 applies and gives the desired result.

Recall that a collection of open sets  $U_1, \dots, U_n$  in a metric space is called a chain if  $U_k$  intersects  $U_m$  if and only if  $|k - m| \leq 1$ . The maximum diameter of the open sets making up a chain (if such exists) is called the mesh of the chain. A set  $M$  in a metric space is said to be chainable iff for each positive real number  $d$ , there is a chain of mesh less than  $d$  so that every point of  $M$  is contained in some member of the chain. (Such a chain is said to cover  $M$ .)

One may refer to a paper by R. H. Bing, [3], and a paper by Lida K. Barrett [2] for some interesting results on chainable continua, and (especially) for references to other results. The following shows that inverse limits on the unit interval are all chainable. This at least prohibits some pathological continua occurring, but not sufficiently many so as to make the problem uninteresting.

Theorem 12. If  $(X_i, f_i)$  is an onto inverse limit sequence so that each  $X_i$  is compact and chainable, then  $\lim (X_i, f_i)$  is chainable.

Proof. The principal fact used in the proof is that a continuous transformation from a compact metric space into a metric space is uniformly continuous. Note that if  $U_1, U_2, \dots, U_k$  is a chain covering  $X_n$ ,  $P_n^{-1}[U_i] \cap \lim (X_i, f_i) = U_i'$ ,  $i = 1, \dots, k$  is a collection of

open sets covering  $\lim (X_i, f_i)$  and  $U_i'$  intersects  $U_j'$  if and only if  $P_n[U_i']$  intersects  $P_n[U_j']$ , hence if and only if  $U_i$  intersects  $U_j$ . But then  $U_1', \dots, U_k'$  is a chain (of open subsets of  $\lim (X_i, f_i)$ ) covering  $\lim (X_i, f_i)$ .

Suppose  $\varepsilon$  is a positive number. There is a positive integer  $k$  so that  $2^{-k}$  is less than  $(\varepsilon/2)$ . For each positive integer  $i$  not greater than  $k$ , since  $f_{ik}$  is uniformly continuous, there is a positive number  $\delta_i$  so that if  $x$  and  $y$  are in  $X_k$  and  $d_k(x, y) < \delta_i$ , then  $d_i(f_{ik}(x), f_{ik}(y)) < 2^{-k}$ . There is a positive number  $\delta$  less than the minimum of  $\delta_1, \delta_2, \dots, \delta_k$ . Since  $X_k$  is chainable there is a chain of mesh less than  $\delta$  covering  $X_k$ , say  $U_1, \dots, U_n$ . Denote each set of the form  $P_k^{-1}(U_i) \cap \lim f_i$  as  $V_i$ . Then  $V_1, \dots, V_n$  is a chain covering  $\lim f_i$ . Suppose  $x$  and  $y$  are in some  $V_i$ . Since  $d_k(P_k(x), P_k(y)) < \delta$ , we have

$$D(x, y) = \sum_{i=1}^{\infty} d_i(P_i(x), P_i(y)) 2^{-i} \leq \sum_{i=1}^k 2^{-k} 2^{-i} + \sum_{i=k+1}^{\infty} 2^{-i} < 2^{-k} + 2^{-k} < \varepsilon.$$

The assumption that all the  $X_i$  have diameter not greater than 1 was used in the inequality  $d_i(P_i(x), P_i(y)) 2^{-i} \leq 2^{-i}$  for  $i$  greater than  $k$ . So  $V_1, \dots, V_n$  is a chain of mesh less than  $\varepsilon$  covering  $\lim f_i$ .

The following obvious theorem and its corollary give that every compact space is the inverse limit of some inverse limit sequence. This is not very useful since the space used is the space to be duplicated, and we can obtain no information about the space to be duplicated by looking at the spaces in the inverse limit sequence. In fact, one can easily show that if  $(X_1, f_1), (X_2, f_2), \dots$  is an inverse limit sequence

and each  $f_i$  is an onto homeomorphism, then  $\lim f_i$  is topologically equivalent to  $X_1$ .

Theorem 13. If each  $f_i$  is 1-1 and  $\lim f_i$  exists, then for each positive integer  $n$ , the projection  $P_n$  maps  $\lim f_i$  1-1 into  $X_n$ .

Corollary 14. If  $(X_1, f_1), (X_2, f_2), \dots$  is a 1-1 onto compact inverse limit sequence, then  $\lim f_i$  is topologically equivalent to  $X_1$ .

Proof. One only needs recall that a continuous 1-1 map on a compact space is a homeomorphism, and therefore the continuous 1-1 onto transformation  $P_1$  from  $\lim f_i$  onto  $X_1$  is a homeomorphism. Properly the homeomorphism is  $P_1 | \lim f_i$ .

## CHAPTER III

### AN EQUIVALENCE THEOREM

The constructive proof of the principal theorem of this chapter is broken down into several lemmas. The first may seem to be out of place. However, it gives conditions under which one compact chainable continuum is homeomorphic to another, and the conditions of the first lemma will be used to guide the construction. This may make the constructive process clearer than it would have been, had the construction been made without and it were shown at the end that the construction is adequate.

In general a chain will be given a name which is a capital letter, possibly with subscripts, and its links will be denoted by the same letter not capitalized, and with subscripts. The notation the chain  $C = c_1, \dots, c_n$  will mean  $c_1, \dots, c_n$  are distinct links of the chain, and that they are in order. That is,  $c_i$  intersects  $c_j$  if and only if  $|i - j| < 2$ .

A chain  $C$  will be said to be  $\delta$ -spread iff if  $x$  and  $y$  are points of non-adjacent links of  $C$ , then the distance from  $x$  to  $y$  is not less than  $\delta$ . A chain  $C$  will be said to be spread if there is some positive number  $\delta$  so that  $C$  is  $\delta$ -spread.

If each of  $C_1, C_2, \dots$  and  $D_1, D_2, \dots$  is a sequence of chains,  $C_1, C_2, \dots$  will be said to be similar to  $D_1, D_2, \dots$  iff each is a decreasing sequence of chains so that for each positive integer  $n$   $C_n$  and

$D_n$  have the same number of links and if each of  $j$  and  $k$  is a positive integer so that  $C_{n+1}$  contains at least  $j$  links and  $C_n$  contains at least  $k$  links, and  $c_{n+1,j} \subset c_{n,k}$ , then there is a positive integer  $m$  so that  $d_{n+1,j} \subset d_{n,m}$  and  $|m - k| < 2$ . A chain  $E$  is said to have mesh less than the number  $t$  iff each link of  $E$  is of diameter less than  $t$ . A sequence  $E_1, E_2, \dots$  of chains is said to be decreasing iff there is a sequence  $t_1, t_2, \dots$  of real numbers with limit 0 so that for each positive integer  $n$ ,  $E_n$  has mesh less than  $t_n$  and the closure of each link of  $E_{n+1}$  is contained in some link of  $E_n$ .

Lemma 1. Suppose each of  $M$  and  $N$  is a compact chainable continuum and each of  $C_1, C_2, \dots$  and  $D_1, D_2, \dots$  is a decreasing sequence of chains so that

1.  $C_1, C_2, \dots$  is similar to  $D_1, D_2, \dots$ .
2. For each positive integer  $n$ ,
  - A. Each point of  $M$  is in some link of  $C_k$  and each point of  $N$  is in some link of  $D_n$ .
  - B. There is a positive number  $\delta_n$  so that  $D_n$  is  $\delta_n$ -spread and  $D_{n+1}$  has mesh less than  $\delta_n/4$ .
  - C. Each link of  $C_n$  contains some point of  $M$  and each link of  $D_n$  contains some point of  $N$ .

Then  $M$  is homeomorphic to  $N$ .

Proof. First a function is defined from  $M$  into  $N$ . Suppose  $p$  is a point of  $M$ , and  $n$  is a positive integer. Define  $K_{np}$  by agreeing that  $q$  is in  $K_{np}$  iff  $q$  is in  $N$  and there are positive integers

$j$  and  $s$  so that each of  $j$  and  $s$  is not greater than the number of links in  $C_n$ ,  $p$  is in  $c_{nj}$ ,  $q$  is in  $d_{ns}$  and  $|s - j| < 3$ . The set  $K_{np}$  consists of the points of  $N$  in approximately the same position in  $D_n$  as  $p$  is in  $C_n$ . Certainly  $K_{np}$  always exists by condition 2.C. It will be shown that  $K_{n+1,p}^-$  is always contained in  $K_{np}$ , and that  $K_{n,p}$  is of diameter at most 7 times the mesh of  $D_n$ . Since  $K_{np}^-$  is a closed subset of  $N$ , then  $K_{np}^-$  is compact, and we will have that  $K_{1p}^-, K_{2p}^-, \dots$  is a nested sequence of compact sets whose diameters have limit 0 and therefore  $\bigcap_{n=1}^{\infty} K_{np}^-$  which is  $\bigcap_{n=1}^{\infty} K_{np}$  exists and is a single point. The function  $f$  from  $M$  into  $N$  is defined by

$$f(p) = \bigcap_{n=1}^{\infty} K_{np}.$$

To prove the assertions about  $K_{np}$ , note that if  $p$  is in  $c_{nj}$  then  $p$  is not contained in a link of  $C_n$  not adjacent to  $c_{nj}$ . So if  $p$  is in  $c_{nj}$ , and  $p$  is in  $c_{nj'}$ , and  $s$  is a positive integer not greater than the number of links of  $C_n$  and  $|j' - s| < 3$ , it is true that  $|j - s| \leq 3$  since  $|j - j'| \leq 1$ . So  $K_{np}$  is contained in the union of  $d_{nj}$  and the three links to each side of  $d_{nj}$ , a set of diameter at most 7 times the mesh of  $D_n$ . Restated,  $K_{np}$  is contained in the union of at most 7 consecutive links of  $D_n$  no more than three of which are on the same side of  $d_{nj}$ . Next suppose that  $p$  is in  $c_{n+1,k}$ . There is a positive integer  $j$  so that  $c_{n+1,k}$  is contained in  $c_{nj}$ . Since the  $C$ -sequence and the  $D$ -sequence are similar, there is a positive integer  $k'$  so that  $d_{n+1,j}$  is contained in  $d_{n,k'}$ , and  $|k - k'| \leq 1$ . Because  $p$  is in  $c_{nk}$ , if  $|r - k| < 3$ , we have the

intersection of  $d_{nr}$  and  $N$  is contained in  $K_{np}$ . But if  $d_{nr}$  is a link of  $D_n$  adjacent to  $d_{nk'}$ , then  $|r - k| \leq |r - k'| + |k' - k| < 3$  and so  $K_{np}$  contains the intersection of  $N$  and links of  $D_n$  either adjacent to  $d_{nk'}$  or  $d_{nk'}$ . Since  $K_{n+1,p}$  contains at most the intersection of  $N$  and  $d_{n+1,j}$  and of three links to each side of  $d_{n+1,j}$ , and the mesh of  $D_{n+1}$  is less than  $1/4$  the width of the gap between non-adjacent links of  $D_n$ , then four consecutive links of  $D_{n+1}$ , one of which is  $d_{n+1,j}$  have the property that their union does not intersect a link of  $D_n$  not adjacent to  $d_{n,k'}$  and so  $K_{n+1,p}$  does not intersect a link of  $D_n$  not adjacent to  $d_{n,k'}$ . Therefore  $K_{np}$  contains  $K_{n+1,p}^-$ .

It remains to be shown that  $f$  is 1-1, onto and continuous. Since  $M$  is compact, this will be sufficient to know that  $f$  is a homeomorphism of  $M$  onto  $N$ .

Suppose that  $p$  and  $q$  are different points of  $M$ . Choose an integer  $r$  so that  $C_r$  is of mesh less than  $1/15$  the distance from  $p$  to  $q$ . There are integers  $j$  and  $k$  so that  $p$  is in  $c_{rj}$  and  $q$  is in  $c_{rk}$ . Necessarily  $|j - k| > 15$ . But  $K_{rp}$  is contained in the union of at most 7 links of  $D_r$ , one of which is  $d_{rj}$  and  $K_{rq}$  is contained in the union of 7 consecutive links of  $D_r$  one of which is  $d_{rk}$ . Since  $|j - k| > 15$ , these two sets of 7 links contain no adjacent links and so  $K_{rp}$  and  $K_{rq}$  are disjoint. Since  $f(p)$  is in  $K_{rp}$  and  $f(q)$  is in  $K_{rq}$ ,  $f(p)$  is not  $f(q)$ , and  $f$  is 1-1.

Suppose  $U$  is open and  $f(p)$  is in  $U$ . There is a positive number  $\epsilon$  so that the  $\epsilon$ -sphere about  $f(p)$  is contained in  $U$ . There is a positive integer  $r$  so that  $D_r$  has mesh less than  $\epsilon/7$ . Choose  $j$  to be

any positive integer so that  $p$  is in  $c_{rj}$ . If  $q$  is in  $c_{rj}$  then  $K_{rq}$  is contained in the union of 7 consecutive links of  $D_r$ , one of which is  $d_{rj}$ , and this set contains  $f(q)$  and is of diameter less than seven times a number greater than the mesh of  $D_r$ . This set also contains  $f(p)$  and so  $f(p)$  is a distance less than  $7(\epsilon/7)$  from  $f(q)$ , or  $f(q)$  is in  $U$ . So  $f(M \cap c_{rj})$  is contained in  $U$  and  $f$  is continuous.

Since  $f$  is continuous and 1-1 on the compact set  $M$ ,  $f(M)$  is compact and therefore closed. Suppose  $f$  is not onto. Then there is a point  $z$  in  $N$  not in  $f(M)$ . Since  $f(M)$  is closed there is a positive number  $\epsilon$  so that the sphere about  $z$  of radius  $\epsilon$  does not intersect  $f(M)$ . Again choose a positive integer  $r$  so that  $D_r$  has mesh less than  $\epsilon/7$ . Let  $j$  be an integer so that  $z$  is in  $d_{rj}$ . There is a point  $p$  of  $M$  in  $c_{rj}$  by condition 2C. But as above in showing that  $f$  is continuous,  $f(c_{rj} \cap M)$  is contained in the  $\epsilon$ -sphere about  $z$ , and in particular  $f(p)$  is within  $\epsilon$  of  $z$ , contrary to assumption. Therefore  $f$  is onto.

This completes the proof of the first lemma.

The second lemma is a restatement of Lemma 1 of [2], and will not be proved here.

Lemma 2. If  $M$  is a compact chainable continuum, there is a decreasing sequence of chains  $C_1, C_2, \dots$  so that each  $C_n$  is spread, and so that for each positive integer  $n$ , each point of  $M$  is contained in some link of  $C_n$  and each link of  $C_n$  contains a point of  $M$  and a link of  $C_{n+1}$ .

The next Lemma provides the constructive apparatus.



Lemma 3. Suppose E and F are chains containing m and n links respectively so that each link of F contains some link of E and each link of E is contained in some link of F. Suppose further that n is greater than 3,  $\delta$  and  $t$  are positive numbers and Q is an integer greater than 1 so that F is  $\delta$ -spread, E has mesh less than  $\delta/Q$  and  $t/6 > 1/(Q - 1)$ , and V is a spread chain of n connected open subsets of  $[0,1]$  which covers  $[0,1]$  so that V has mesh less than  $2/n$ . Then there is a spread chain U of m connected open subsets of  $[0,1]$  which covers  $[0, 1]$  of mesh less than  $2/m$  and a continuous function g from  $[0, 1]$  into  $[0,1]$  so that the closure of the g-image of each link of U is contained in some link of V and is of length less than  $t$ , each link of V contains the closure of the image of some link of U and so that if  $g(u_j)$  is contained in  $V_k$  then there is an integer p so that  $e_j$  is contained in  $f_p$  and  $|p - k| < 2$ .

I. The chain U is constructed by choosing a number  $w$  less than  $1/(6m)$  and defining  $u_1$  to be  $[0, (1/m) + w)$ ,  $u_2$  to be  $((1/m) - w, (2/m) + w)$ , ... and  $u_m$  to be  $((m-1/m) - w, 1]$ . Clearly U is a chain of m connected open subsets of  $[0,1]$  covering  $[0,1]$ , U has mesh less than  $2/m$  and is  $(1/3m)$ -spread. There is also a positive number  $x$  so that V is  $x$ -spread and  $x$  is less than  $t/2$ .

II. Let  $k_1$  denote a positive integer so that  $e_1$  is contained in  $f_{k_1}$ . Denote as  $j_1$  the smallest positive integer so that  $e_{j_1}$  is not contained in  $f_{k_1}$ . Since F is spread more than the diameter of  $e_{j_1}$  and  $e_{j_1}$  intersects  $f_{k_1}$  in a point of  $e_{j_1-1}$ , there is only one integer  $k_2$  so that  $e_{j_1}$  is contained in  $f_{k_2}$  and  $k_2$  is either  $k_1 + 1$  or  $k_1 - 1$ . Denote  $k_2 - k_1$  as  $i_1$ , and

$k_1 - i_1$  as  $k_2'$ . Two cases will be considered:

A. Either  $k_2' < 1$  or  $k_2' > n$  or for  $1 \leq j \leq j_1$ ,  $e_j$  is not contained in  $f_{k_2'}$ .

B. There is an integer  $j_1'$  with  $1 \leq j_1' < j_1$  so that  $e_{j_1}'$  is contained in  $f_{k_2'}$ .

The second case is that  $E$  runs over into the link of  $F$  on the other side of  $f_{k_1}$  from  $f_{k_2}$  before running inside  $f_{k_2}$ , and leaving  $f_{k_1}$ . The first case is that the second does not occur. Denote the endpoint of  $v_{k_1}$  in  $v_{k_2}$  as  $b_1$  and the endpoint of  $v_{k_1}$  not in  $v_{k_2}$  as  $a_1$ . Denote the endpoint of  $v_{k_2}$  in  $v_{k_1}$  as  $a_1'$  and the endpoint of  $v_{k_2}'$  in  $v_{k_1}$  as  $b_1'$ , if  $v_{k_2}'$  is defined. Either  $a_1 < b_1' < a_1' < b_1$  or  $a_1 > b_1' > a_1' > b_1$ . In either case,  $b_1' - a_1' > x$ . Choose a number  $x_1$  less than  $x$ ,  $|a_1 - b_1'|/2$  and  $|a_1' - b_1|/2$  but greater than 0.

In case A define  $g_1$  to be the function from  $[0, (j_1/m) + w]$  onto  $\frac{a_1' + b_1}{2}$ . The following are true of  $g_1$ : (1)  $g_1$  is continuous, (2) For  $1 \leq j \leq j_1$ ,  $(g_1(u_j))^-$  is contained in  $v_{k_1} \cap v_{k_2}$  and is of diameter 0. (3) For each link  $f_k$  of  $F$  containing a link  $e_j$  of  $E$  with  $1 \leq j \leq j_1$ ,  $v_k$  contains the closure of the image of some link  $u_{j'}$  of  $U$  with  $1 \leq j' \leq j_1$ . (4) If  $g_1(u_j)$  is contained in  $v_k$  for  $1 \leq j \leq j_1$ , then there is an integer  $p$  so that  $e_j \subset f_p$  and  $|p - k| < 2$ . (The integer  $k$  is either  $k_1$  or  $k_2$ ,  $p = k_1$  for  $1 \leq j < j_1$ , and  $p = k_2$  for  $j = j_1$  will do.)

Suppose in case B that  $a_1 < b_1' < a_1' < b_1$ . Then define  $g_1$  from  $[0, (j_1 - 1/m) + w]$  into  $v_{k_1}$  by  $g_1(0) = b_1' - x_1$ ,  $g_1((1/m) + w) = b_1' - (x_1/2)$ ,

$g_1((j_1 - 1/m) - w) = a_1' + (x_1/2)$  and  $g_1((j_1/m) + w) = a_1' + x_1$ , and  $g_1$  is linear on the intervals  $[0, (1/m) + w]$ ,  $[(1/m) + w, (j_1 - 1/m) - w]$  and  $[(j_1 - 1/m) - w, (j_1/m) + w]$ . If  $a_1 > b_1' > a_1' > b_1$ , define  $g_1(0) = b_1' + x_1$ ,  $g_1((1/m) + w) = b_1' + (x_1/2)$ ,  $g_1((j_1 - 1/m) - w) = a_1' - (x_1/2)$  and  $g_1((j_1/m) + w) = a_1' - x_1$ , with the corresponding linearity on the intervals. Note that (1)  $g_1$  is continuous. (2)  $g_1(u_{j_1})^- = [b_1' - x_1, b_1' - (x_1/2)]$  or  $[b_1' + (x_1/2), b_1' + x_1]$  is contained in  $v_{k_2} \cap v_{k_1}$  and is of diameter less than  $t/2$  and  $g_1(u_{j_1})^- = [a_1' + (x_1/2), a_1' + x_1]$  or  $[a_1' - x_1, a_1' - (x_1/2)]$  is contained in  $v_{k_1} \cap v_{k_2}$  and is of diameter less than  $t/2$ , and for  $1 \leq j \leq j_1$ ,  $g_1(u_j)^-$  is contained in  $v_{k_1}$ . Since  $g_1$  is linear on the interval  $[(1/m) + w, (j_1 - 1/m) - w]$  there is a number  $s_1$  so that if  $r$  is the length of a subinterval, then its  $g_1$ -image is of length  $s_1 r$ . Now  $[(1/m) + w, (j_1 - 1/m) - w]$  has length  $(j_1 - 2/m) - 2w$  and its image has length less than 1. So the inequality  $((j_1 - 2/m) - 2w)s_1 < 1$  holds.

Since  $F$  is  $\delta$ -spread, the mesh of  $E$  is less than  $\delta/Q$  and  $e_{j_1}$  and  $e_{j_1'}$  are in non-adjacent links of  $F$ ,  $Q < j_1 - j_1' \leq j_1 - 1$ . Then  $1 < Q \leq j_1 - 2$  and so  $1/(j_1 - 2) \leq 1/Q < 1$ . Applying this to the inequality above and using  $2w < 1/3 m$ , and  $1/Q < t/6$  one sees that

$$1/Q > s_1 \left( \frac{j_1 - 2}{mQ} - \frac{2w}{Q} \right) \geq s_1 \left( \frac{1}{m} - 2w \right) > s_1 \left( \frac{1}{m} - \frac{1}{3m} \right) > (s_1/3)(2/m).$$

So  $t/2 > 3/Q > s_1(2/m)$ . But  $U$  has mesh less than  $2/m$ , and so the length of the image of  $u_j$  for  $1 < j < j_1$  is less than  $t/2 + t/2 = t$ .

(The link  $u_j$  may be split up into a piece in  $[0, (1/m) + w]$  or  $[(j_1 - 1/m) - w, (j_1/m) + w]$  and a piece in  $[(1/m) + w, (j_1 - 1/m) - w]$ .)

(3) If a link  $f_k$  of  $F$  contains a link  $e_j$  of  $E$  with  $1 \leq j \leq j_1$ ,

then  $v_k$  contains the closure of the image of some link  $u_{j'}$  of  $U$  with  $1 \leq j' \leq j_1$ , since  $k$  is either  $k_1, k_2$ , or  $k_2'$ . (4) If  $g_1(u_j)$  is contained in  $v_k$  for  $1 \leq j \leq j_1$ , then there is an integer  $p$  so that  $e_j \subset f_p$  and  $|p - k| < 2$ . (Again,  $k$  is  $k_1$  or  $k_2$  or  $k_2'$  and  $1 \leq j < j_1$ , then  $e_j \subset f_{k_1}$  and  $p = k_1$  gives  $|p - k| < 2$ . If  $j$  is  $j_1$ , then  $k$  is  $k_2$  or  $k_1$ ,  $e_{j_1} \subset f_{k_2}$  and  $p = k_2$  gives  $|p - k| < 2$ .)

It probably should have been noted earlier that  $j_1$  exists since  $n > 3$ . In fact  $j_1 < m$ . It could easily happen that  $k_1$  is 1 or  $m$ , in which case, Case A applies since no  $e_j$  is contained in  $f_{k_2'}$ , because no such link of  $F$  exists. No use was made of  $v_{k_2'}$  in constructing  $g_1$  in part A, and no difficulties arise. However, in the next part, III, the existence of a  $j_2$  is more worrisome. In either Case A or Case B a function  $g_1$  has been constructed satisfying the conditions of the conclusion for  $g$  on the first  $j_1$  links of  $U$ .

III. The next thing to do is to consider as many as possible consecutive links of  $E$  in  $f_{k_2}$  starting with  $e_{j_1}$ . There are five cases to be considered, to be from two major cases.

A. For  $j_1 \leq j \leq m$ ,  $e_j$  is contained in  $f_{k_2}$ .

B. There is an integer  $j$  so that  $j_1 < j$  and  $e_j$  is not contained in  $f_{k_2}$ .

Choose  $j_2$  to be the least such integer  $j$ . Denote as  $k_3$  the integer so that  $e_{j_2}$  is contained in  $f_{k_3}$ . As in II, there is only one such integer and it is either  $k_2 + 1$  or  $k_2 - 1$ . Denote  $k_3 - k_2$  as  $i_2$ , and  $k_2 - i_2$  as  $k_3'$ . Three subcases of B may be considered.

- $B_1$ . We have  $k_3$  is  $k_1$  and no link  $e_j$  of  $E$ ,  $j_1 \leq j \leq j_2$ , is contained in  $f_{k_3}$ .
- $B_2$ . We have  $k_3$  is  $k_1$  and for some integer  $j_2'$  with  $j_1 \leq j_2' < j_2$ ,  $e_{j_2'}$  is contained in  $f_{k_3}$ .
- $B_3$ . We have  $k_3$  is not  $k_1$ .

Two subcases of Case A will be considered. One cannot actually occur in this second step of the construction, but its inclusion makes further steps exactly the same as the second. Recall that  $k_2$  is  $k_1 + i_1$ , and  $|i_1| = 1$ . The number  $k_1 + 2i_1$  which we denote  $k_2''$  is so that  $|k_2'' - k_2| = 1$ . If  $f_{k_2''}$  is defined, then it is the link of  $F$  adjacent to  $f_{k_2}$  but not  $f_{k_1}$ . Two things could conceivably happen.

Case  $A_1$ . No  $e_j$  for  $j_1 \leq j \leq m$ , is contained in  $f_{k_2''}$  (or  $f_{k_2''}$  is not defined.)

Case  $A_2$ . For some integer  $j_2''$  with  $j_1 < j_2'' \leq m$ ,  $e_{j_2''}$  is contained in  $f_{k_2''}$ .

In Case  $A_1$ , define  $g_2$  to be the map from  $[0, 1]$  into  $v_{k_1}$  so that  $g_2$  is  $g_1$  on  $[0, (j_1/m) + w]$  and so that  $g_2$  takes  $g_1((j_1/m) + w, 1]$  onto  $g_1((j_1/m) + w)$ . Clearly (1)  $g_2$  is continuous. (2) For  $j_1 + 1 < j \leq m$ ,  $g_2(u_j)^-$  is contained in  $v_{k_1} \cap v_{k_2}$  and is of diameter 0.  $g_2(u_{j_1+1})^-$  is  $g_1([(j_1/m) - w, (j_1/m) + w]) \cup g_2([(j_1/m) + w, (j_1+1/m) + w])$ , which is of diameter less than  $t/2 + 0$ , and  $g_2(u_{j_1+1})^-$  is contained in  $v_{k_1} \cap v_{k_2}$ . (3) For each link  $f_k$  of  $F$  containing a link  $e_j$  of  $E$  with  $j_1 \leq j \leq m$ ,  $v_k$  contains the closure of the image of  $u_j$ . (Since  $k$  is either  $k_1$  or  $k_2$ .) (4) If  $g_2(u_j)$  is contained in  $v_k$  for  $j_1 \leq j \leq m$ , there is an integer  $p$  so that  $e_j$  is contained in  $f_p$  and

$|p - k| < 2$ . We can invariably choose  $p$  to be  $k_2$ .

In Case  $A_2$ , denote the endpoint of  $v_{k_2}$  in  $v_{k_2}''$  as  $b_1''$  and the endpoint of  $v_{k_2}''$  in  $v_{k_2}$  as  $a_1''$ . Either  $a_1' < b_1 < a_1'' < b_1''$  or  $a_1' > b_1 > a_1'' > b_1''$ . Somewhat confusingly, the endpoints of  $v_{k_2}$  are  $a_1'$  and  $b_1''$ . Choose a positive number  $x_2'$  so that  $x_2'$  is less than  $t/2$  and less than  $|a_1'' - b_1''|/2$ . Define the map  $g_2$  from  $[0, 1]$  into  $[0, 1]$  by  $g_2$  is  $g_1$  on  $[0, (j_1/m) + w]$ ,  $g_2((m-1/m) - w)$  is  $a_1'' + (x_2'/2)$  and  $g_2(1)$  is  $a_1'' + x_2'$  if  $a_1'' < b_1''$ ,  $g_2((m-1/m) - w)$  is  $a_1'' - (x_2'/2)$  and  $g_2(1)$  is  $a_1'' - x_2'$  if  $a_1'' > b_1''$ , and  $g_2$  is linear on  $[(j_1/m) + w, (m-1/m) - w]$  and on  $[(m-1/m) - w, 1]$ . Since  $e_{j_1-1}$  is contained in  $f_{k_1}$  and  $e_{j_2}''$  is contained in  $f_{k_2}''$ , a link of  $F$  not adjacent to  $f_{k_1}$ , there are at least  $Q$  links of  $E$  between  $e_{j_1-1}$  and  $e_{j_2}''$ . So  $Q \leq j_2'' - (j_1 - 1) - 1 = j_2'' - j_1 \leq m - j_1$ . Since  $g_2$  is linear on  $[(j_1/m) + w, (m-1/m) - w]$  there is a number  $s_2$  so that if  $r$  is the length of a subinterval of  $[(j_1/m) + w, (m-1/m) - w]$ , then its  $g_2$ -image is of length  $s_2 r$ . Since the whole interval is of length  $((m - j_1 - 1)/m) - 2w$  and its image has length less than 1,  $s_2((m - j_1 - 1)/m) - 2w < 1$ . Since  $Q - 1 \leq m - j_1 - 1$ , and  $t/6 > 1/(Q - 1) \geq 1/(m - j_1 - 1)$ , then  $t/6 > s_2((1/m) - 2w/(m - j_1 - 1)) \geq s_2((1/m) - 2w) > s_2(2/(3m))$ , and so  $t/2 > s_2(2/m)$ . We have (1)  $g_2$  is continuous. (2) For  $j_1 + 1 < j < m - 1$ ,  $g_2(u_j)^-$  is of diameter less than  $t/2$  and is contained in  $v_{k_2}$ . The set  $g_2(u_m)^-$  is of diameter  $x_2'/2$ , hence of diameter less than  $t/2$ , and is contained in  $v_{k_2}''$ . The set  $g_2(u_{j_1+1})^-$  is  $(g_1[(j_1/m) - w, (j_1/m) + w] \cup g_2[(j_1/m) + w, ((j_1+1)/m + w)])$  and is of diameter less than the sum of the length of  $g_1(u_{j_1})^-$  and

$s_2(1/m)$ , which is less than  $t$ . Similarly  $g_2(u_{m-1})^-$  is of diameter less than  $t$ , and both  $g_2(u_{j_1+1})^-$  and  $g_2(u_{m-1})^-$  are contained in  $v_{k_2}$ .

(3) For each link  $f_k$  of  $F$  so that  $f_k$  contains a link  $e_j$  of  $E$  with  $j_1 \leq j \leq m$ ,  $v_k$  contains the closure of the  $g_2$ -image of a link  $u_{j'}$  of  $U$  with  $j_1 - 1 \leq j' \leq m$ , since  $k$  is either  $k_1, k_2$  or  $k_2''$ . (4) If  $g_2(u_j)$  is contained in  $v_k$  for  $j_1 \leq j \leq m$ , then  $k$  is either  $k_1, k_2$  or  $k_2''$  and  $p = k_2$  gives both that  $e_j$  is contained in  $f_p$  and  $|p - k| < 2$ .

How to continue the construction, and finish it, when the chain  $E$  ends in a link of  $F$  has now been shown. In both cases  $A_1$  and  $A_2$  a function  $g_2$  was constructed which fulfilled all the conditions of the conclusion. The only part of the conclusion which might be in doubt is that each link of  $V$  contains the image of some link of  $U$ . Any link  $v_k$  of  $V$  contains the image of some link of  $U$  since  $f_k$  contains some  $e_j$ . Either  $1 \leq j \leq j_1$  or  $j_1 \leq j \leq m$ . But one of the observations numbered (4) after the construction of  $g_1$  and  $g_2$  applies, and  $v_k$  contains the closure of the image of some link of  $U$ .

Case  $B_1$  is that  $k_1$  is  $k_3$  and no link of  $E$  is contained in  $f_{k_2}$ , for  $j_1 \leq j \leq j_2$ . To duplicate this behavior it is sufficient to map all the links  $u_j$  of  $U$  with  $j_1 \leq j \leq j_2$  into  $v_{k_1} \cap v_{k_2}$ . Take  $g_2$  to be  $g_1$  on  $[0, (j_1/m) + w]$  and so that  $g_2$  maps all of  $[(j_1/m) + w, (j_2/m) + w]$  onto  $g_1((j_1/m) + w)$ . The required four properties hold for  $g_2$ . The statements of these properties can be obtained by replacing  $m$  with  $j_2$  in the statement of the resulting properties in Case  $A_1$ . The proofs are almost exactly identical.

Case  $B_2$  is that  $k_3$  is  $k_1$  and for some integer  $j_2'$  so that  $j_1 < j_2' < j_2$ ,  $e_{j_2'}$  is contained in  $f_{k_3'}$ . To duplicate this, we shall make the images run over into  $v_{k_3'}$  and back into  $v_{k_1} \cap v_{k_2}$ , which is  $v_{k_3} \cap v_{k_2}$ . Define  $a_2$  and  $b_2$  to be the endpoints of  $v_{k_2}$  in  $v_{k_3'}$  and  $v_{k_3}$  respectively,  $a_2'$  to be the endpoint of  $v_{k_3}$  in  $v_{k_2}$  and  $b_2'$  to be the endpoint of  $v_{k_3'}$  in  $v_{k_2}$ . Either  $a_2 < b_2' < a_2' < b_2$  or  $a_2 > b_2' > a_2' > b_2$ . Let  $x_2$  be a positive number less than half the overlap of each of  $v_{k_2}$  and  $v_{k_3}$  and  $v_{k_3}$  and  $v_{k_3'}$ , and also less than  $t/2$ . Recall that  $g_1$  is so that  $g_1((j_1/m) + w)$  is in  $v_{k_1} \cap v_{k_2}$  which is  $v_{k_2} \cap v_{k_3}$ . We choose  $g_2$  to be the function defined on  $[0, (j_2/m) + w]$  so that  $g_2$  is  $g_1$  on  $[0, (j_1/m) + w]$ ,  $g_2(((j_2'-1)/m) + w)$  is  $b_2' - x_2$  and  $g_2((j_2'/m) + w)$  is  $b_2' - x_2$  if  $a_2 < b_2'$ ,  $g_2(((j_2' - 1)/m) + w)$  is  $b_2' + x_2$  and  $g_2((j_2'/m) + w)$  is  $b_2' + x_2$  if  $a_2 > b_2'$ ,  $g_2((j_2/m) + w)$  is  $(a_2' + b_2)/2$ , and  $g_2$  is linear on the intervals  $[(j_1/m) + w, ((j_2' - 1)/m) + w]$ ,  $[((j_2' - 1)/m) + w, (j_2'/m) + w]$  and  $[j_2'/m) + w, (j_2/m) + w]$ . Since  $e_{j_1-1}$  and  $e_{j_2}$  are contained in  $f_{k_1}$  and  $e_{j_2'}$  is contained in  $f_{k_3'}$ ,  $j_2' - j_1 + 1 < Q$  and  $j_2 - j_2' < Q$ . Similar to the above one can show (1)  $g_2$  is continuous. (2) For  $j_1 \leq j \leq j_2$   $g_2(u_j)^-$  is contained in  $v_{k_2}$  and is of diameter less than  $t$ . Moreover,  $g_2(u_{j_2'})^-$  is contained in  $v_{k_3'} \cap v_{k_2}$  and  $g_2((j_2/m) + w)$  is in  $v_{k_2} \cap v_{k_3}$ . (3) for each link  $f_k$  of  $F$  containing a link  $e_j$  of  $E$  with  $j_1 \leq j \leq j_2$ , there is an integer  $j'$  so that  $j_1 - 1 \leq j' \leq j_2$  and  $g_2(u_{j'})^-$  is contained in  $v_k$ . (Again  $k$  is either  $k_3, k_2$  or  $k_3'$  and one may choose  $j'$  to be  $j_1 - 1, j_1 - 1$  and  $j_2'$  respectively.) (4) If  $g_2(u_j)^-$  is contained in  $v_k$  for  $j_1 \leq j \leq j_2$ , then there is an



integer  $p$  so that  $e_j$  is contained in  $f_p$  and  $|p - k| < 2$ . We have  $k$  is either  $k_3, k_2$  or  $k_3'$ ,  $p = k_2$  will do if  $j_1 \leq j < j_2$ , and  $p = k_1 = k_3$  will do if  $j$  is  $j_2$ .

Case  $B_3$  is that  $k_3$  is not  $k_1$ , in which case  $k_3'$  is  $k_1$  and will be ignored. The map  $g_2$  from  $[0, (j_2/m) + w]$  is defined so that  $g_2$  is  $g_1$  on  $[0, (j_1/m) + w]$ ,  $g_2(((j_2 - 1)/m) - w)$  is  $a_2' + (x_2/2)$  and  $g_2((j_2/m) + w)$  is  $a_2' + x_2$  if  $a_2' < b_2$ ,  $g_2(((j_2 - 1)/m) + w)$  is  $a_2' - x_2$  and  $g_2((j_2/m) + w)$  is  $a_2' - x_2$  if  $a_2' > b_2$ , and  $g_2$  is linear on  $[(j_1/m) + w, ((j_2 - 1)/m) + w]$ , and on  $[((j_2 - 1)/m) + w, (j_2/m) + w]$ .

Again  $j_2 - j_1 > Q$  and the following hold: (1)  $g_2$  is continuous.

(2) For  $j_1 \leq j \leq j_2$ ,  $g_2(u_j)^-$  is contained in  $v_{k_2}$  and is of length less than  $t$ , and  $g_2(u_{j_1})^-$  is contained in  $v_{k_2} \cap v_{k_3}$ . (3) For each link  $f_k$  of  $F$  containing a link  $e_j$  of  $E$  with  $j_1 \leq j \leq j_2$ , there is an integer  $j'$  with  $j_1 \leq j' \leq j_2$  so that  $g_2(u_{j'})^-$  is contained in  $v_k$ . (4) If  $g_2(u_j)^-$  is contained in  $v_k$  for  $j_1 \leq j \leq j_2$ , then there is an integer  $p$  so that  $e_j$  is contained in  $f_p$  and  $|p - k| < 2$ .

IV. Clearly the above process can be continued, if necessary.

Step III constructed a function  $g_2$  so that  $g_2$  fulfills all the requirements for the function  $g$  of the conclusion on at least the first two links of  $U$ . If  $g_2$  does not have domain  $[0, 1]$ , the next constructive step would involve first seeing if all the links  $e_j$  of  $E$  with  $j_2 \leq j \leq m$  are contained in  $f_{k_3}$ . If so, precisely the same procedure as in Case  $A_1$  or Case  $A_2$  of III could be followed. If not, then a precise analogue of Case  $B_1$ , Case  $B_2$  or Case  $B_3$  would hold. A function  $g_3$  could then be constructed so that  $g_3$  is an extension of  $g_2$ ,  $g_3$  is

continuous, and  $g_3$  fulfills the properties of  $g$  for at least one more link of  $U$  than  $g_2$  does.

This completes the demonstration of Lemma 3.

The first three lemmas may be described in a heuristic fashion. The proof of the theorem of this chapter involves imitating a sequence of chains which characterize a chainable continuum with a sequence of continuous functions on  $[0,1]$ . The imitation will be faithful enough so that the inverse limit of the sequence of functions is homeomorphic to the original chainable continuum. The first lemma gives a standard of accuracy for the imitation to follow. The second lemma asserts there is a sequence of chains of a nice enough nature "characterizing" the continuum which we will see are comfortably imitable. The third lemma says the imitation may be made so as to satisfy many conditions if the chains satisfy a few conditions.

The following two lemmas continue the process. The fourth merely gives that the imitation, still to be put together out of the pieces provided by the lemmas, satisfies one more of the criteria of adequacy of the first lemma. The fifth lemma is really inessential, but says the imitation to be constructed may be chosen to be of a more pleasing nature.

Lemma 4. Suppose  $f_1, f_2, \dots$  is an inverse limit sequence on  $[0,1]$  and  $U_1, U_2, \dots$  is a sequence of chains of open subsets of  $[0, 1]$  each covering  $[0, 1]$  so that for all positive integers  $n$  if  $u_{n,j}$  is a link of  $U_n$ , then there is a link  $u_{n+1,k}$  of  $U_{n+1}$  so that  $f_n(u_{n+1,k})$  is contained in  $u_{n,j}$ . Then for each positive integer  $n$ , if  $u_{n,j}$  is a link of  $U_n$ , there is a point  $p$  in  $\lim f_i$  so that  $P_n(p)$  is in  $u_{n,j}$ .

Proof. Recall that in the proof of Theorem 5 of Chapter I, when given a compact inverse limit sequence  $(X_1, f_1)$  then a sequence of continuous functions  $F_1, F_2, \dots$  was constructed. Each  $F_i$  was defined from  $\prod_{k=1}^{\infty} X_k$  into  $\prod_{k=1}^{\infty} X_k$  by  $F_i(x) = (f_{1,i}(x_1), f_{2,i}(x_1), \dots, f_{i,i}(x_1), x_{i+1}, \dots)$  where  $(x_1, x_{i+1}, \dots)$  was a point of  $\prod_{k=1}^{\infty} X_k$ . The functions had the properties that the range of each  $F_i$  contained the range of  $F_{i+1}$ , and that the intersection of the ranges of all the  $F_i$  existed and was  $\lim f_i$ .

In this case each  $X_i$  is  $[0,1]$ . Suppose  $u_{nj}$  is a link of  $U_n$ . By hypothesis there is a sequence  $u_{n+1,j_1}, u_{n+2,j_2}, \dots$  of links of  $U_{n+1}, U_{n+2}, \dots$  so that  $f_n(u_{n+1,j_1})^-$  is contained in  $u_{nj}$  and for each positive integer  $i$ ,  $f_{n+i}(u_{n+i+1,j_{i+1}})^-$  is contained in  $u_{n+i,j_i}$ . For each positive integer  $i$ ,  $f_{n+i}(u_{n+i+1,j_{i+1}})^-$  is compact and so  $f_{n+i}(u_{n+i+1,j_{i+1}})^-$  is compact and so  $f_{n+i}(u_{n+i+1,j_{i+1}})^- \times \prod_{k=n+i+1}^{\infty} (X_k)$  is compact and its image under  $F_{n+i}$  is therefore compact. Define  $D_i$  to be  $f_{n+i}(u_{n+i+1,j_{i+1}})^- \times \prod_{k=n+i+1}^{\infty} X_k$  for each positive integer  $i$ . Clearly for each  $i$ ,  $F_{n+i}(D_i)$  contains  $F_{n+i+1}(D_{i+1})$  and  $P_n(F_{n+i}(D_i))$  is contained in  $u_{nj}$ . Since each  $F_{n+i}(D_i)$  is compact  $\bigcap_{k=1}^{\infty} F_{n+k}(D_k)$  exists, is compact, is a subset of  $\lim f_i$  and  $P_n(\bigcap_{k=1}^{\infty} F_{n+k}(D_k))$  is contained in  $u_{nj}$ , and the lemma is proved.

Lemma 5. Suppose  $f_1, f_2, \dots$  is a sequence of continuous functions from  $[0, 1]$  into  $[0, 1]$  and  $\lim f_i$  is non-degenerate. Then there is a sequence  $g_1, g_2, \dots$  of continuous functions from  $[0, 1]$  onto  $[0, 1]$  so that  $\lim f_i$  is homeomorphic to  $\lim g_i$ .

Proof. For each positive integer  $n$  define  $a_n$  and  $b_n$  to be the left and right endpoints of the possibly degenerate interval,  $\bigcap_{i=1}^{\infty} f_{n,n+i}[0,1]$ . For each positive integer  $i$ ,  $f_{n,n+i}$  is continuous and so  $f_{n,n+i}[0,1]$  is a compact subcontinuum of  $[0,1]$ . Moreover since  $f_{n,n+i+1}[0,1]$  is  $f_{n,n+i}(f_{n+i}[0,1])$ ,  $f_{n,n+i+1}[0,1]$  is contained in  $f_{n,n+i}[0,1]$  and the intersection of a nested sequence of compact continua is a compact continuum,  $\bigcap_{i=1}^{\infty} f_{n,n+i}[0,1]$  exists and is a subcontinuum of  $[0,1]$ . So it is proper to call  $\bigcap_{i=1}^{\infty} f_{n,n+i}[0,1]$  by the name  $[a_n, b_n]$  if we allow degenerate intervals.

It is also true that  $f_n(\bigcap_{i=1}^{\infty} f_{n+1,n+i+1}[0,1])$  is contained in  $\bigcap_{i=1}^{\infty} f_n(f_{n+1,n+i+1}[0,1])$  which is  $\bigcap_{i=2}^{\infty} f_{n,n+i}[0,1]$  and is contained in  $[a_n, b_n]$ , since  $f_{n,n+1}[0,1]$  contains  $f_{n,n+2}[0,1]$ . For each positive integer  $n$ ,  $f_n[a_{n+1}, b_{n+1}]$  is contained in  $[a_n, b_n]$ . Suppose  $p$  is in  $[a_n, b_n]$  but not in  $f_n[a_{n+1}, b_{n+1}]$ . Now  $f_n^{-1}(p)$  is closed and so compact, and does not intersect  $[a_{n+1}, b_{n+1}]$ . Since for each  $i$ ,  $f_{n+1,n+i+1}[0,1]$  is compact, it must be there is a positive integer  $j$  so that  $f_{n+1,n+i+j}[0,1]$  does not intersect  $f_n^{-1}(p)$  or  $\bigcap_{i=1}^{\infty} (f_{n+1,n+i+1}[0,1] \cap f_n^{-1}(p))$  would exist and be contained in  $f_n^{-1}(p) \cap [a_{n+1}, b_{n+1}]$  which does not exist. But then  $f_n f_{n+1,n+i+j}[0,1]$  does not contain  $p$  and does contain  $[a_n, b_n]$  since it is  $f_{n,n+i+j}[0,1]$ . A contradiction has been produced, and so we know for each  $n$ ,  $f_n[a_{n+1}, b_{n+1}]$  is  $[a_n, b_n]$ . Suppose  $p$  is in  $\lim f_i$ . For all positive integers  $n$  and  $i$ ,  $f_{n,n+i}(P_{n+1}(p))$  is  $P_n(p)$  and so  $P_n(p)$  is in  $f_{n,n+i}[0,1]$ . That is,  $P_n(p)$  is in  $[a_n, b_n]$ . The obvious thing to do is cut each  $f_n$  down to  $[a_n, b_n]$ .

For each positive integer define  $f_n^*$  to be  $f_n|_{[a_n, b_n]}$ , where " $|$ " denotes restriction. Clearly  $\lim(f_n^*, [a_n, b_n])$  is  $\lim f_n$ , since if  $p$  is in  $\lim f_n$ ,

then  $P_n(p)$  is in  $[a_n, b_n]$  for each  $n$  and  $f_n^*(P_{n+1}(p)) = f_n(P_{n+1}(p)) = P_n(p)$  and  $p$  is in  $\lim(f_n^*, [a_n, b_n])$ . The containment in the other direction is even more obvious.

Since  $\lim f_n$  is non-degenerate, there is an integer  $r$  so that  $[a_r, b_r]$  is non-degenerate and necessarily for  $k > r$ ,  $[a_k, b_k]$  is non-degenerate. For each positive integer  $k$  not less than  $r$  define  $T_k$  by  $T_k(x) = (x - a_k)/(b_k - a_k)$ . Each  $T_k$  is a linear homeomorphism from  $[a_k, b_k]$  onto  $[0, 1]$ . For each integer  $k$  not less than  $r$  define  $g_k$  to be  $T_k f_k^* T_{k+1}^{-1}$ . The inverse limit of the sequence  $g_r, g_{r+1}, \dots$  is by Theorem 7 of Chapter I, the inverse limit of the sequence  $T_r, f_r^*, T_{r+1}^{-1}, T_{r+1}, f_{r+1}^*, T_{r+2}^{-1}, T_{r+2}, f_{r+2}^*, \dots$ . But this is the inverse limit of  $f_r^*, f_{r+1}^*, f_{r+2}^*, \dots$ , which is the inverse limit of  $f_1, f_2, \dots$ . Each  $g_k$  is from  $[0, 1]$  onto  $[0, 1]$ , since  $g_k[0, 1] = T_k f_k^*[a_{k+1}, b_{k+1}] = T_k[a_k, b_k] = [0, 1]$  and so  $\lim f_i$  is  $\lim g_i$  and the lemma is proved.

Theorem. A compact continuum  $M$  is chainable if and only if homeomorphic to the inverse limit of a sequence of continuous functions from  $[0, 1]$  into  $[0, 1]$ . Moreover,  $M$  is a non-degenerate compact chainable continuum iff there is a sequence of continuous functions from  $[0, 1]$  onto  $[0, 1]$  whose inverse limit is homeomorphic to  $M$ .

Proof. The "moreover" is a direct and simple consequence of Lemma 5 and the first part of the theorem. Lemma 5 and Theorems 5 and 12 of Chapter II give immediately that each inverse limit of a sequence of maps on  $[0, 1]$  is a chainable compact continuum, with the obvious observation that a point is chainable.

It remains to be shown that if  $M$  is a compact chainable continuum,

then  $M$  is homeomorphic to the inverse limit of a sequence of functions on  $[0,1]$ . If  $M$  is degenerate, then  $M$  is homeomorphic to the inverse limit of the function  $g$  defined by  $g(x) = 0$  for  $x$  in  $[0,1]$ . The inverse limit of  $g$  is the point  $(0,0,0, \dots)$ . Suppose  $M$  is non-degenerate. By Lemma 2 there is a decreasing sequence  $C_1, C_2, \dots$  of spread chains so that for each positive integer  $n$ , each point of  $M$  is contained in some link of  $C_n$ , and each link of  $C_n$  contains some link of  $C_{n+1}$  and a point of  $M$ .

Since  $M$  is non-degenerate, there is a positive integer  $n_1$  so that  $C_{n_1}$  contains more than four links. Let  $r_1$  denote the number of links in  $C_{n_1}$ ,  $\delta_1$  a positive number so that  $C_{n_1}$  is  $\delta_1$ -spread,  $t_1$  denote the number  $1/2$  and  $Q_1$  denote any integer greater than 4 so that  $t_1/16$  is greater than  $1/(Q_1 - 1)$ . Since  $C_1, C_2, \dots$  is decreasing there is an integer  $n_2$  greater than  $n_1$  so that  $C_{n_2}$  has mesh less than  $\delta_1/Q_1$ . Let  $U_1$  be any spread chain of  $r_1$  connected open subsets of  $[0,1]$  covering  $[0,1]$  which is of mesh less than  $2/r_1$ . Let  $r_2$  denote the number of links in  $C_{n_2}$ . By Lemma 3 there is a spread chain  $U_2$  of  $r_2$  connected open subsets of  $[0,1]$  covering  $[0,1]$  which has mesh less than  $2/r_2$  and there is a continuous function  $g_1$  from  $[0,1]$  into  $[0,1]$  so that the closure of the  $g_1$ -image of each link of  $U_2$  is contained in some link of  $U_1$  and is of length less than  $t_1$ , each link of  $U_1$  contains the closure of the  $g_1$ -image of some link of  $U_2$  and so that if  $g_1(u_{2j})$  is contained in  $u_{1k}$ , then there is an integer  $p$  so that  $c_{n_2,j}$  is contained in  $c_{n_1,p}$  and  $|p - k| < 2$ .

Suppose this process has been continued for  $m - 1$  steps in the manner described below. An increasing sequence  $n_1, n_2, \dots, n_m$  of positive

integers and a sequence of integers  $r_1, r_2, \dots, r_m$  each greater than 4 exists so that for  $1 \leq i \leq m$ ,  $C_{n_i}$  contains  $r_i$  links and  $r_i$  is greater than  $2^{i+1}$ . A sequence  $\delta_1, \delta_2, \dots, \delta_m$  of positive numbers exists so that for  $1 \leq j \leq m$ ,  $C_{n_j}$  is  $\delta_j$ -spread and for  $1 \leq j \leq m-1$ ,  $C_{n_{j+1}}$  is of mesh less than  $\delta_j/4$ . A sequence  $U_1, \dots, U_m$  of spread chains of connected open subsets of  $[0,1]$  covering  $[0,1]$  exists so that for  $1 \leq j \leq m$ ,  $U_j$  contains  $r_j$  links and is of mesh less than  $2/r_j$ . A sequence  $g_1, g_2, \dots, g_{m-1}$  of continuous functions from  $[0,1]$  into  $[0,1]$  exists so that for  $1 \leq j \leq m-1$ , the closure of the  $g_j$ -image of each link of  $U_{j+1}$  is contained in some link of  $U_j$  and each link of  $U_j$  contains the closure of the  $g_j$ -image of some link of  $U_{j+1}$ . Moreover, for any two points  $x$  and  $y$  in a link of  $U_j$ ,  $|g_{ij}(x) - g_{ij}(y)| < 2^{-j}$  where  $i < j \leq m$  and  $g_{ij}$  denotes  $g_i \circ g_{i+1} \circ \dots \circ g_{j-1}$ . Note that  $U_j$  is of mesh less than  $2^{-j}$  for  $1 \leq j \leq m$  since of mesh less than  $2/r_j$  and  $r_j \geq 2^{j+1}$ .

Now  $g_{im}$  is continuous for  $i < m$  and so uniformly continuous. Clearly there is a positive number  $t_m$  so that if  $|x - y| < t_m$ , then  $|g_{im}(x) - g_{im}(y)| < 2^{-m-1}$ , and so that  $t_m$  is less than  $2^{-m-1}$ . Choose an integer  $Q_m$  greater than 1 so that  $t_m/6 > 1/(Q_m - 1)$ . Choose an integer  $n_{m+1}$  greater than  $n_m$  so that the mesh of  $C_{n_{m+1}}$  is less than  $\delta_m/Q_m$  and is less than  $\delta_m/4$ , and  $C_{n_{m+1}}$  has more than  $2^{m+2}$  links. Denote the number of links of  $C_{n_{m+1}}$  as  $r_{m+1}$ . By Lemma 3 there is a continuous function  $g_m$  from  $[0,1]$  into  $[0,1]$  and a spread chain  $U_{m+1}$  of  $r_{m+1}$  connected open subsets of  $[0,1]$  covering  $[0,1]$  so that  $U_{m+1}$  has mesh less than  $2^{-m-1}$  (which is more than 2 times the reciprocal of the number

of links in  $C_{n_{m+1}}$ , the closure of the  $g_m$ -image of each link of  $U_{m+1}$  is contained in some link of  $U_m$  and is of length less than  $t_m$ , each link of  $U_m$  contains the  $g_m$ -image of some link of  $U_{m+1}$  and so that if  $g_m(u_{m+1,j})$  is contained in  $u_{m,k}$  then there is a positive integer  $p$  so that  $c_{n_{m+1},j}$  is contained in  $c_{n_m,p}$  and  $|k - p| < 2$ .

By induction the above process can be continued for all positive integers. Let  $D_i$  denote  $C_{n_i}$  for each positive integer  $i$ . Therefore there exists a decreasing sequence  $D_1, D_2, \dots$  of spread chains so that for each positive integer  $i$ , each point of  $M$  is in some link of  $D_i$  and each link of  $D_i$  contains a point of  $M$ . There is a sequence  $\delta_1, \delta_2, \dots$  of positive numbers so that for each positive integer  $i$ ,  $D_i$  is  $\delta_i$  spread and  $D_{i+1}$  has mesh less than  $\delta_i/4$ . There is a sequence  $U_1, U_2, \dots$  of spread chains of connected open subsets of  $[0,1]$  covering  $[0,1]$  so that each  $U_i$  has the same number of links as  $D_i$ . There is a sequence  $g_1, g_2, \dots$  of continuous functions from  $[0,1]$  into  $[0,1]$  so that each  $U_i$  has mesh less than  $2^{-i}$  so that for any two points  $x$  and  $y$  of a link of  $U_i$ ,  $|g_{ji}(x) - g_{ji}(y)| < 2^{-i}$  for all  $j$  less than  $i$  and so that the closure of the  $g_i$ -image of each link of  $U_{i+1}$  is contained in some link of  $U_i$ , each link of  $U_i$  contains the closure of the  $g_i$ -image of some link of  $U_{i+1}$  and so that if  $g_i(u_{i+1,j})$  is contained in  $u_{i,k}$  there is a positive integer  $p$  so that  $d_{i+1,j}$  is contained in  $d_{i,p}$  and  $|p - k| < 2$ .

Let  $T$  denote the inverse limit of  $g_1, g_2, \dots$ . Let  $V_1$  denote the chain of open subsets of  $T$  covering  $T$  whose links are

$(u_{11} \times \pi_{i=2}^{\infty} [0,1]) \cap T$ ,  $(u_{12} \times \pi_{i=2}^{\infty} [0,1]) \cap T$ , etc. For integers  $j$  greater than 1 let  $V_j$  denote the chain of open subsets of  $T$  covering  $T$  whose links are  $(\pi_{i=1}^{j-1} [0,1] \times u_{j1} \times \pi_{i=j+1}^{\infty} [0,1]) \cap T$ ,



$(\pi_{i=1}^{j-1}[0,1] \times u_{j2} \times \pi_{i=j+1}^{\infty}[0,1]) \cap T$ , etc. Each of the links of each  $V_j$  exists and contains a point of  $T$  by Lemma 4. Suppose  $v_{jk}$  is a link of  $V_j$ . Let  $x$  and  $y$  denote any two points of  $v_{jk}$ . Since  $x$  and  $y$  are in  $T$ , and  $P_j(x)$  and  $P_j(y)$  are in  $u_{jk}$ , the distance from  $x$  to  $y$  is

$$\begin{aligned} \sum_{i=1}^{\infty} |P_i(x) - P_i(y)| 2^{-i} &\leq \sum_{i=1}^{j-1} |g_{ij}(P_j(x)) - g_{ij}(P_j(y))| 2^{-i} \\ &\quad + |P_j(x) - P_j(y)| + \sum_{i=j+1}^{\infty} 2^{-i} \\ &\leq 2^{-j} \sum_{i=1}^{j-1} 2^{-i} + 2^{-j} + 2^{-j} < 3(2^{-j}) . \end{aligned}$$

For any link  $v_{j+1,i}$  of  $V_{j+1}$ , there is a link  $u_{j,k}$  of  $U_j$  so that

$g_j(u_{j+1,i})^-$  is contained in  $u_{jk}$ . Since  $v_{j+1,i} = g_j^{P_{j+1}}(v_{j+1,i})$ , and

since  $u_{j+1,i} \supset P_{j+1}(v_{j+1,i})$  we have  $v_{j+1,i}^- \subset v_{jk}$ . So  $V_1, V_2$  is a

decreasing sequence of chains. Obviously, by the construction,  $V_1, V_2, \dots$

is similar to  $D_1, D_2, \dots$  and by Lemma 1,  $T$  is homeomorphic to  $M$ .

This completes the proof of the theorem.

## CHAPTER IV

### SOME THEOREMS ON DECOMPOSABILITY

This chapter is principally concerned with a few theorems that say when the inverse limit of a single function on  $[0,1]$  is indecomposable. A continuum is said to be indecomposable iff it is not the union of two proper subcontinua. It is well-known that a non-degenerate compact continuum is indecomposable iff it contains three points between each pair of which it is irreducible. That is a non-degenerate compact continuum  $M$  is indecomposable iff it contains three points  $a$ ,  $b$ , and  $c$  so that no proper subcontinuum of  $M$  contains more than one of  $a$ ,  $b$ , and  $c$ .

The first theorem gives that continuous functions from  $[0,1]$  into  $[0,1]$  of a particularly simple type have very decomposable inverse limits, which are in fact arcs. An arc may be defined as a non-degenerate compact metrizable continuum with at most two non-cut points. A standard theorem is that a compact continuum is an arc iff it is homeomorphic to the unit interval (with the usual topology.) A much stronger form of the first theorem may be found in Capel [5].

Theorem 1. If  $f$  is a monotone continuous function from  $[0,1]$  onto  $[0,1]$ , then  $\lim f$  is an arc.

Proof. It will be assumed that  $f$  is non-decreasing. If  $f$  were non-increasing, then  $f^2$  is non-decreasing and the inverse limit of  $f$  is homeomorphic to the inverse limit of a non-decreasing function by

Corollary 10 of Chapter II.

Since  $f$  is onto, its inverse limit is non-degenerate. Since  $f$  is onto and non-decreasing,  $f(0) = 0$  and  $f(1) = 1$ . So  $(0,0, \dots)$  and  $(1,1, \dots)$  are points of the inverse limit of  $f$ . Suppose  $p$  is a point of the inverse limit of  $f$  so that for some positive integer  $n$ ,  $P_n(p)$  is not 0 and is not 1. For each integer  $m$  greater than  $n$ ,  $P_m(p)$  is neither 0 nor 1. Define  $A_m$  to be  $(\lim f) \cap P_m^{-1}([0, P_m(p)))$  and  $B_m$  to be  $(\lim f) \cap P_m^{-1}((P_m(p), 1])$  for each integer  $m$  greater than  $n$ . Define  $A$  to be  $\bigcup_{m=n+1}^{\infty} A_m$  and  $B$  to be  $\bigcup_{m=n+1}^{\infty} B_m$ . Each of  $A$  and  $B$  is an open subset of  $\lim f$ . Suppose  $q$  is in  $\lim f$  and  $q$  is not  $p$ . For some positive integer  $j$ ,  $P_j(p)$  is not  $P_j(q)$ , and  $j$  can be chosen larger than  $n$ . If  $P_j(p) < P_j(q)$ , then  $q$  is in  $A_j$ . If  $P_j(p) > P_j(q)$ , then  $q$  is in  $B_j$ . So  $\lim f - p$  is  $A \cup B$ . Suppose  $q$  is a point in  $A$  and  $q$  is a point in  $B$ . For some integer  $j$  greater than  $n$ ,  $P_j(q) > P_j(p)$ , and for some integer  $k$  greater than  $n$ ,  $P_k(q) < P_k(p)$ . If  $j$  is greater than  $k$ , then  $f(P_j(p)) \leq f(P_j(q))$  and  $P_{j-1}(p) \leq P_{j-1}(q)$ ,  $fP_{j-1}(p) \leq fP_{j-1}(q)$  and  $P_{j-2}(p) \leq P_{j-2}(q)$ , etc., since  $f$  is non-decreasing. But then also  $P_k(p) \leq P_k(q)$  contrary to assumption. A similar argument suffices if  $j$  is less than  $k$ . Therefore  $A$  and  $B$  do not intersect, and  $p$  separates  $\lim f$ .

It has been shown that each point of  $\lim f$  other than  $(0,0, \dots)$  and  $(1,1, \dots)$  separates  $\lim f$ , and  $\lim f$  is an arc.

A simple example shows that this onto condition of the first theorem cannot easily be dispensed with, and requiring  $f$  simply to have

a non-degenerate range is not sufficient. Let  $f$  be the function from  $[0,1]$  into  $[0,1)$  defined by  $f(x) = (x/2) + (1/4)$ . A simple computation shows  $f^{-n}(x) = 2^n x - 2^{n-1} + 1/2$ , and the only number with enough preimages in  $[0,1]$  is  $1/2$ . That is, the inverse limit of  $f$  is  $(1/2, 1/2, 1/2, \dots)$ .

The first theorem may be considered as a slight strengthening of the fact that an onto homeomorphism has an inverse limit homeomorphic to its domain, since an onto monotone map is "almost" a homeomorphism. The above example also shows that onto homeomorphisms do not share this property.

The second theorem gives a simple condition which implies the inverse limit is indecomposable. An example in Chapter V shows this is not a necessary and sufficient condition.

Theorem 2. Suppose  $g$  is a continuous function from  $[0, 1]$  onto  $[0, 1]$  and there are numbers  $a, b,$  and  $c$  so that  $a < b < c$  and either  $g(a) = g(c) = 1$  and  $g(b) = 0$  or  $g(a) = g(c) = 0$  and  $g(b) = 1$ . Then the inverse limit of  $g$  is indecomposable.

Proof. Suppose that  $g(a) = g(c) = 0$  and  $g(b) = 1$ . Since  $g$  is continuous there are numbers  $d$  and  $e$  with  $a \leq d < b < e < c$  so that  $g(d) = d$  and  $g(e) = e$ . Since  $d < b$  and  $g(b) = 1$ , there is a number  $h$  so that  $d < h < b$  and  $g(h) = b$ , and since  $b < e < c$  and  $g(b) = 1$ , there is a number  $k$  so that  $b \leq k < e$  and  $g(k) = c$ . Now  $g^2(h) = 1$  and  $g^2(k) = 0$  and  $h < k$  so there is a number  $f$  so that  $h < f < k$  and  $g^2(f) = f$ . We have now that  $g^2[d, f]$  contains  $[d, f]$  and  $g^2(h)$ , which is 1. So  $g^2[d, f]$  contains  $[d, 1]$ . Also  $g^2 g^2[d, f]$  contains

$[d,1]$  and  $g^2k$  which is 0, and so  $g^4[d,f] = [0,1]$ . Similarly  $g^4[f,e]$  and  $g^4[d,e]$  are  $[0,1]$ .

The inverse limit of  $g^4$  is homeomorphic to the inverse limit of  $g$ . Also  $g^4$  leaves the three numbers  $d, e$  and  $f$  fixed and so  $(d,d,d, \dots)$ ,  $(e,e,e, \dots)$  and  $(f,f,f, \dots)$  are points of  $\lim g^4$ . Suppose  $T$  is a subcontinuum of  $\lim g^4$  containing  $(d,d,d, \dots)$  and  $(e,e,e, \dots)$ . Since  $T$  is connected and for each positive integer  $n$ ,  $P_{n+1}$  is continuous,  $P_{n+1}(T)$  contains  $[d,e]$ . But  $g^4 P_{n+1}(T) = P_n(T)$  by Theorem 1 of Chapter II, so for each positive integer  $n$ ,  $P_n(T) = [0,1]$ . By Theorem 6 of Chapter II,  $T$  is  $\lim g^4$ , and so  $\lim g^4$  is irreducible from  $(d,d,d, \dots)$  to  $(e,e,e, \dots)$ . Similarly  $\lim g^4$  is irreducible from  $(d,d,d, \dots)$  to  $(f,f,f, \dots)$  and from  $(e,e,e, \dots)$  to  $(f,f,f, \dots)$  and  $\lim g^4$  is indecomposable.

Suppose instead that  $g(a) = g(c) = 1$  and  $g(b) = 0$ . Then since  $g$  is continuous, there are numbers  $r, s$  and  $t$  and  $u, v$  and  $w$  so that  $a \leq r < s < t \leq b \leq u < v < w \leq c$  so that  $g(r) = g(w) = c$ ,  $g(s) = g(v) = b$  and  $g(t) = g(u) = a$ . Then  $s < u < v$  and  $g^2(s) = g^2(v) = 0$  and  $g^2(u) = 1$  and by the above argument the inverse limit of  $g^2$  is indecomposable. But since the inverse limit of  $g^2$  is homeomorphic to the inverse limit of  $g$ , the inverse limit of  $g$  is indecomposable, and the theorem is proved.

Actually Theorem 2 is a special case of Theorem 3, but its proof is enough different to make it interesting in itself. The proof of Theorem 3 depends on some concepts and theorems of which the author first read in a paper of Lida K. Barrett [2]. The essential concepts used here are of a defining sequence and of a chain looping in another. If  $M$  is a chainable

continuum, a sequence  $C_1, C_2, \dots$  of chains is said to be a defining sequence for  $M$  iff  $C_1, C_2, \dots$  is a decreasing sequence of spread chains so that for each positive integer  $n$ , each point of  $M$  is contained in some link of  $C_n$  and each link of  $C_n$  contains some point of  $M$  and a link of  $C_{n+1}$ . A chain  $C$  is said to loop in a chain  $D$  iff each link of  $C$  is contained in some link of  $D$  and there is a subchain  $C'$  of  $C$  so that either the first and last links of  $C'$  are contained in the first link of  $D$  and some link of  $C'$  is contained in the last link of  $D$  or the first and last links of  $C'$  are contained in the last link of  $D$  and some link of  $C'$  is contained in the first link of  $D$ .

Theorem 2 of [2] may be restated as follows: If  $M$  is an indecomposable compact continuum and  $C_1, C_2, \dots$  is a defining sequence for  $M$ , then for each positive integer  $n$  there is an integer  $i$  greater than  $n$  so that  $C_i$  loops in  $C_n$ . Conversely if there is a defining sequence  $C_1, C_2, \dots$  for the compact chainable continuum  $M$  so that for each positive integer  $n$  there is an integer  $i$  greater than  $n$  so that  $C_i$  loops in  $C_n$ , then  $M$  is indecomposable.

Analogously, if  $f$  is a function from  $[0,1]$  into  $[0,1]$  and  $\epsilon$  is a positive number,  $f$  is said to  $\epsilon$ -loop iff there are numbers  $a, b$  and  $c$  with  $a < b < c$  so that either  $|f(a) - 1| < \epsilon$ ,  $|f(c) - 1| < \epsilon$  and  $|f(b) - 0| < \epsilon$  or  $|f(a) - 0| < \epsilon$ ,  $|f(c) - 0| < \epsilon$  and  $|f(b) - 1| < \epsilon$ . So a function  $\epsilon$ -loops if it follows the behavior of the function of Theorem 2 to within  $\epsilon$ . An analogue to the theorem quoted above is immediate, and the proof is only sketched.

Theorem 3. If  $f$  is a continuous function from  $[0,1]$  onto  $[0,1]$ , the inverse limit of  $f$  is indecomposable if and only if for each positive

number  $\epsilon$  there is a positive integer  $n$  so that  $f^n$   $\epsilon$ -loops.

Proof. Suppose  $\lim f$  is indecomposable, and  $\epsilon$  is a positive number. By methods similar to those used before, a defining sequence of chains can be produced for  $\lim f$  by taking a sequence of chains  $U_1, U_2, \dots$  each covering  $[0,1]$ , and this can be arranged so that  $U_1$  has mesh less than  $\epsilon$ . Now since for some integer  $n + 1$  the chain resulting from  $U_{n+1}$  loops in the chain resulting from  $U_1$ , we have integers  $i, j$ , and  $k$  with  $i < j < k$  so that either  $f^n(u_{n+1,i}) \cup f^n(u_{n+1,k}) \subset u_{1,1}$  and  $f^n(u_{n+1,j})$  is contained in the last link of  $U_1$ , or  $f^n(u_{n+1,i}) \cup f^n(u_{n+1,k})$  is contained in the last link of  $U_1$  and  $f^n(u_{n+1,j}) \subset u_{1,1}$ . Choosing points  $a, b$  and  $c$  so that  $a$  is in  $u_{n+1,i}$ ,  $b$  is in  $u_{n+1,j}$  and  $c$  is in  $u_{n+1,k}$  it is clear that  $f^n$   $\epsilon$ -loops.

Conversely if for each positive number  $\epsilon$ , there is a positive integer  $n$  so that  $f^n$   $\epsilon$ -loops we can construct a defining sequence  $V_1, V_2, \dots$  for  $\lim f$  so that for each positive integer  $n$ ,  $V_{n+1}$  loops in  $V_n$ , in an obvious way.

Morton Brown [3] has proved a theorem similar to this, which shows that a property much like  $\epsilon$ -looping is necessary and sufficient for an inverse limit sequence to have an hereditarily indecomposable inverse limit. His theorem is concerned with much more general inverse limit sequences, although the condition on the maps is necessarily somewhat more restrictive.

The following gives another condition under which an inverse limit is decomposable, and it is a simple corollary to Theorem 3 that the inverse limit of  $f$  is decomposable.

Theorem 4. Suppose  $f$  is a continuous function from  $[0,1]$  onto  $[0,1]$  and there is a number  $b$  so that  $0 < b < 1$  and  $f(b) = b$ ,

$f[0,b] = [0,b]$  and  $f[b,1] = [b,1]$ . Then the inverse limit of  $f$  is decomposable,  $\lim f$  is the union of  $\lim(f|[0,b])$  and  $\lim(f|[b,1])$  and these proper subcontinua of  $\lim f$  intersect in only one point.

Proof. Since  $f|[0,b]$  is a continuous function from  $[0,b]$  onto  $[0,b]$ ,  $\lim(f|[0,b])$  is a compact continuum and similarly  $\lim(f|[b,1])$  is a compact continuum. Suppose  $p$  is in  $\lim f$ . Either  $P_n(p) = b$  for all  $n$ , or for some  $n$ ,  $P_n(p)$  is in  $[0,b)$  or for some  $n$ ,  $P_n(p)$  is in  $(b,1]$ . If for some  $n$   $P_n(p)$  is in  $[0,b)$ , then for  $m$  less than  $n$ ,  $P_m(p) = f^{n-m}(P_n(p)) = (f|[0,b])^{n-m}(P_n(p))$  and  $P_m(p)$  is in  $[0,b]$ . Clearly also it cannot be that for some  $m$  greater than  $n$ ,  $P_m(p)$  is in  $[b,1]$  or  $P_n(p)$  would have to be in  $[b,1]$ , and so  $p$  is in  $\lim(f|[0,b])$ . If for some  $n$ ,  $P_n(p)$  is in  $(b,1]$ , we see similarly that  $p$  is in  $\lim(f|[b,1])$ . So  $\lim(f|[0,b]) \cup \lim(f|[b,1])$ . No point with first coordinate 0 is in  $\lim(f|[b,1])$  and no point with first coordinate 1 is in  $\lim(f|[0,b])$ . So  $\lim(f|[0,b])$  and  $\lim(f|[b,1])$  are proper subcontinua of  $\lim f$  whose union is  $\lim f$ ,  $\lim f$  is decomposable, and clearly  $\lim(f|[0,b]) \cap \lim(f|[b,1])$  is  $(b,b,b, \dots)$ .

The next theorem is used mainly in some of the examples of Chapter V. A topological ray is a continuum homeomorphic to the non-negative real numbers with the usual topology. A non-degenerate connected set is a topological ray iff separable, locally compact and it has one non-cut point so that each other point separates it into two connected sets.

Theorem 5. Suppose  $f_1, f_2, \dots$  is a sequence of continuous functions from  $[0,1]$  into  $[0,1]$  and the sequence  $g_1, g_2, \dots$  of continuous functions from  $[0,1]$  onto  $[0,1]$  is so that for each positive integer  $n$ ,  $g_n(0) = 0$ ,  $g_n(1/4) = 1$ ,  $g_n(1/2) = f_n(0)/2 + 1/2$ ,  $g_n$  is linear on



$[0, 1/4]$  and  $[1/4, 1/2]$  and for  $x$  in  $[1/2, 1]$ ,  $g_n(x) = (1/2)f_n(2x-1) + 1/2$ . Then  $\lim g_i$  is the union of a topological ray  $R$  and a continuum  $M$  so that  $R$  and  $M$  are disjoint, each point of  $M$  is a limit point of  $R$  and  $M$  is homeomorphic to  $\lim f_i$ .

Proof. Let  $R$  denote the set of points of  $\lim g_i$  with some coordinate less than  $1/2$ . Let  $M$  denote the set of points of  $\lim g_i$  with all coordinates greater than or equal to  $1/2$ . Clearly  $R \cup M$  is  $\lim g_i$  and  $R$  and  $M$  are disjoint. Define  $T$  from  $[0, 1]$  onto  $[1/2, 1]$  by  $T(x) = x/2 + 1/2$ . Clearly  $T^{-1}$  is 1-1 from  $[1/2, 1]$  onto  $[0, 1]$  and is so that  $T^{-1}(x)$  is always  $2x - 1$ . Then  $g_n|_{[1/2, 1]}$  is  $Tf_nT^{-1}$ , and the inverse limit of  $g_1|_{[1/2, 1]}, g_2|_{[1/2, 1]}, \dots$  is homeomorphic to  $\lim f_n$  by Theorem 7 of Chapter I. (The explicit proof is similar to the proof of Lemma 5 of Chapter III.) But this continuum is  $M$  and so  $M$  is homeomorphic to  $\lim f_i$ .

Now we define a relation  $<$  on  $R$ . We shall say that  $p < q$  iff for some positive integer  $n$ ,  $P_n(p) < P_n(q) < 1/2$ , where here  $<$  is the normal order on the real numbers. Observe that if  $p$  is in  $R$  and  $P_n(p) < 1/2$ , then  $P_{n+1}(p) = (1/4)P_n(p)$ ,  $P_{n+2}(p) = (1/16)P_n(p)$ , etc. For all integers  $m$  greater than  $n$ ,  $P_m(p)$  is  $(1/4)^{m-n}P_n(p)$ , and a coordinate of a point of  $R$  which is in  $[0, 1/2]$  completely determines the point. So if  $p$  and  $q$  are in  $R$  there is a positive integer  $n$  so that both  $P_n(p)$  and  $P_n(q)$  are in  $[0, 1/2)$ , and  $<$  is defined for all pairs  $p$  and  $q$  in  $R$ . Clearly also  $<$  is transitive and anti-reflexive.

The next thing to show is that if  $p < q$  for  $p$  and  $q$  in  $R$ , then  $[p, q]$  is an arc, where  $[p, q]$  denotes the set of all points  $x$  of

$R$  so that  $p \leq x \leq q$ . Choose a positive integer  $n$  so that both  $P_n(p)$  and  $P_n(q)$  are less than  $1/2$ . Clearly  $[p,q]$  consists of the points  $r$  of  $\lim g_1$  so that  $P_n(p) \leq P_n(r) \leq P_n(q)$  and the function  $S$  from  $[P_n(p), P_n(q)]$ , defined by  $S(x)$  is the unique point of  $\lim g_1$  whose  $n$ -th coordinate is  $x$ , is 1-1 and continuous and onto  $[p,q]$ , and so  $[p,q]$  is an arc.

Therefore  $R$  is arcwise connected and connected. Since  $R$  is an open subset of  $\lim g_1$ ,  $R$  is separable and locally compact. It is also true that  $R - (0,0,0, \dots)$  is arcwise connected by the preceding argument and so  $(0,0, \dots)$  is a non-cut point of  $R$ . For  $p$  in  $R$  not  $(0,0,0, \dots)$ , for  $q$  and  $r$  less than  $p$ , there is an arc of points less than  $p$  containing  $q$  and  $r$  and so the set of points less than  $p$  is connected. Similarly the set of points of  $R$  greater than  $p$  is connected. Choosing an integer  $n$  so that  $P_n(p) < 1/2$ , we have  $R - p$  is  $(R \cap P_n^{-1}[0, P_n(p)]) \cup (R \cap P_n^{-1}(P_n(p), 1])$  which are disjoint open subsets of  $R$  and so  $p$  separates  $R$ . Therefore  $R$  is a topological ray.

Suppose  $x$  is a point of  $M$ , and  $U$  is an open subset of  $\lim g_1$  containing  $x$ . By Theorem 4 of Chapter II there is a positive integer  $n$  and an open subset  $V$  of  $[0,1]$  so that  $P_n^{-1}(V) \cap \lim g_1$  contains  $x$  and is contained in  $U$ . By the way  $g_n$  was constructed there is a number  $s$  in  $[0, 1/4]$  so that  $g_n(s) = P_n(x)$ . The unique point  $y$  of  $R$  so that  $P_{n+1}(y) = s$  has the property that  $P_n(y) = P_n(x)$  and so  $y$  is in  $U$ , since  $P_n(y)$  is in  $V$ . So  $R$  is dense in  $\lim g_1$ .

This completes the proof of Theorem 5.

A theorem of R. H. Bing [3] is that each compact chainable continuum can be embedded in the plane. There is a hereditarily indecomposable compact non-degenerate chainable continuum which by the theorem of Chapter III can be represented as the inverse limit of a sequence of continuous functions from  $[0,1]$  onto  $[0,1]$ ,  $f_1, f_2, \dots$ . By the preceding theorem there is a chainable continuum which is the union of such an hereditarily indecomposable continuum and a ray dense in it. Embedding this in the plane, we obtain a very wild topological ray, one whose set of limit points not in itself is an hereditarily indecomposable continuum.

From some of the preceding comments, the following is clear.

Corollary 6. If  $M$  is a chainable compact continuum, there is a compact chainable continuum which is the union of a topological ray  $R$  and a continuum  $M'$  homeomorphic to  $M$  so that  $M'$  and  $R$  are disjoint and  $R$  is dense in  $M'$ .

## CHAPTER V

### SOME EXAMPLES

The first example shows that the converse of Theorem 1 of Chapter IV does not hold.

Example 1. There is a continuous function from  $[0,1]$  onto  $[0,1]$  which is not monotone and whose inverse limit is an arc.

Define  $g$  on  $[0,1]$  by  $g(0) = 0$ ,  $g(1/2) = 1/4$ ,  $g(7/12) = 1/2$ ,  $g(8/12) = 1/4$ ,  $g(3/4) = 1/2$ ,  $g(1) = 1$  and  $g$  is linear on the intervals  $[0, 1/2]$ ,  $[1/2, 7/12]$ ,  $[7/12, 8/12]$ ,  $[8/12, 3/4]$  and on  $[3/4,1]$ . The function  $g$  is continuous and not monotone. Note that for  $x$  in  $(1/2,1]$ ,  $g^{-1}(x)$  is degenerate and is in  $(3/4,1]$ . If a point of  $\lim g$  has a coordinate in  $(1/2,1]$ , then all further coordinates are in  $(3/4,1]$  and they are a monotone non-decreasing sequence. Suppose  $x$  is  $1/2$ . Then  $g^{-1}(x)$  consists of  $7/12$  and  $3/4$ , and the preimages of  $7/12$  and  $3/4$  are in  $(3/4,1]$ . So if a point of  $\lim g$  has  $n$ -th coordinate  $1/2$  the  $(n+2)$ -coordinate is in  $(3/4,1]$  and all further coordinates form a monotone increasing sequence. Suppose  $x$  is in  $(1/4,1/2)$ . There are three  $g$ -preimages of  $x$ , all in  $(1/2,1]$ , and so the  $g$ -preimages of the  $g$ -preimages are in  $(3/4,1]$ . The  $g$ -preimages of  $1/4$  are  $1/2$  and  $7/12$ , and we have seen that the  $g$ -preimages of the  $g$ -preimages of  $1/2$  and  $7/12$  are in  $(3/4,1]$ . On  $[0, 1/2)$ ,  $g(x) = x/2$  and for  $x$  in  $[0, 1/4)$ ,  $g^{-1}(x) = 2x$ . For  $x$  in  $(0, 1/4)$  there is a positive integer  $m$  so that  $1/2 > 2^m x \geq 1/4$ ,  $g^{-m}(x)$  is in  $[1/4,1/2)$ . But then

if a point of  $\lim g$  has a coordinate in  $(0, 1/4)$  it eventually has a coordinate in  $[1/4, 1/2)$  and we have just seen that if a point of  $\lim g$  has a coordinate in  $[1/4, 1/2]$  it has a coordinate in  $(3/4, 1]$ . In summary, it has been shown that every point other than  $(0, 0, 0, \dots)$  has a coordinate in  $(3/4, 1]$ . Moreover since  $g^{-1}$  is 1-1 from  $(3/4, 1]$  into  $(3/4, 1]$ , for each positive integer  $n$  and number  $x$  in  $(3/4, 1]$ , there is only one point  $p$  of  $\lim g$  so that  $P_n(p) = x$ . If  $p$  is a point of  $\lim g$ , and  $p$  is not  $(0, 0, \dots)$  or  $(1, 1, \dots)$  then there is a positive integer  $n$  so that  $P_n(p)$  is in  $(3/4, 1)$  and  $\lim g - p = (\lim g \cap P_n^{-1}[0, P_n(p))) \cup (\lim g \cap P_n^{-1}(P_n(p), 1])$  which are separated sets. Therefore  $\lim g$  is a compact continuum in a metric space with at most two non-cut points and is an arc.

The second example shows that there is a function  $f$  from  $[0, 1]$  onto  $[0, 1]$  whose inverse limit is indecomposable and so that there are no numbers  $a, b$  and  $c$  with  $a < b < c$  so that either  $f(a) = f(c) = 0$  and  $f(b) = 1$  or  $f(b) = 0$  and  $f(a) = f(c) = 1$ . That is, the converse of Theorem 2 of Chapter IV does not hold.

Example 2. There is a continuous function  $g$  from  $[0, 1]$  onto  $[0, 1]$  whose inverse limit is indecomposable so that  $g^{-1}(0) = 0$  and  $g^{-1}(1) = 1$ . Define  $g$  on  $[0, 1]$  by  $g(0) = 0$ ,  $g(1/3) = 1/4$ ,  $g(4/9) = 3/4$ ,  $g(5/9) = 1/4$ ,  $g(2/3) = 3/4$  and  $g(1) = 1$ , and  $g$  is linear on  $[0, 1/3]$ ,  $[1/3, 4/9]$ ,  $[4/9, 5/9]$ ,  $[5/9, 2/3]$  and  $[2/3, 1]$ . Clearly  $g$  is continuous and  $g^{-1}(0) = 0$ ,  $g^{-1}(1) = 1$ . A little thought will show that for all  $x$  in  $[0, 1]$ ,  $g(1-x) = 1 - g(x)$ . On

$[0, 1/3]$  ,  $g(x) = 3/4 x$  , and so for any positive integer  $n$  ,  $g^n(x) = (3/4)^n x$  for  $x$  in  $[0, 1/3]$ . If  $\epsilon$  is a positive number there is a positive integer  $n$  so that  $(3/4)^n < \epsilon$  . Since  $g(1-x) = 1-g(x)$  , then  $g^2(1-x) = g(1-g(x)) = 1-g^2(x)$  and clearly for any positive integer  $n$  ,  $g^n(1-x) = 1-g^n(x)$  . Now  $g(5/9) = 1/4$  and so  $g^{n+1}(5/9) = (3/4)^n(1/4) < \epsilon$  . Also  $g(4/9) = 3/4$  , and  $g^{n+1}(4/9) = g^n(3/4) = g^n(1-1/4) = 1-(3/4)^n(1/4)$  . Moreover  $g^{n+1}(0) = 0$  . So we have  $|g^{n+1}(0) - 0| = 0 < \epsilon$  ,  $|g^{n+1}(4/9) - 1| = |(3/4)^n(1/4)| < \epsilon$  and  $|g^{n+1}(5/9) - 0| = |(3/4)^n(1/4)| < \epsilon$  , and  $g^{n+1}$   $\epsilon$ -loops. Since for each positive number  $\epsilon$  there is a positive integer  $n$  so that  $g^{n+1}$   $\epsilon$ -loops, by Theorem 3 of Chapter IV, the inverse limit of  $g$  is indecomposable.

It is by no means necessary that a function with the properties stated in Example 2 need have such an obvious up and down character. In fact, there is a continuous  $g$  from  $[0, 1]$  onto  $[0, 1]$  whose inverse limit is indecomposable so that for each  $x$  in  $[0, 1]$   $g(x) \leq x$  . This is neither surprising enough nor instructive enough to warrant its inclusion.

The next is an example of a continuum which can be obtained as the inverse limit of a single function.

Example 3. A continuous function from  $[0,1]$  onto  $[0,1]$  whose inverse limit is a topological "Sin(1/x)" curve.

For each  $n$  let  $\delta_n$  be the identity map of  $[0,1]$  onto  $[0,1]$ . Using the procedure of Theorem 5 of Chapter IV we have that the inverse limit of  $g_1, g_2, \dots$  where for each  $n$   $g_n(0) = 0$  ,  $g_n(1/4) = 1$  ,  $g_n(1/2) = 1/2$ ,  $g_n(1) = 1$  and  $g_n$  is linear on  $[0, 1/4]$  ,  $[1/4, 1/2]$  and

$[1/2,1]$  is the union of a topological ray and an arc disjoint from the ray so that the ray is dense in the arc. Each  $g_n$  is  $g_1$  and so this is the inverse limit of a single function. A "Sin(1/x)" curve is also the union of an arc and a ray of this form. Unfortunately this is not enough information to know that the inverse limit of  $g_1$  is a "Sin(1/x)" curve. The actual verification would be very tedious and will not be done here.

If  $f$  is a monotone non-decreasing function from  $[0, 1]$  into  $[0,1]$ , then  $f, f^2, f^3, \dots$  converge pointwise to a continuous function, whose graph is an arc. If  $f$  is onto, then the inverse limit of  $f$  is an arc. Consider the function  $g$  of Example 1. The graphs of  $g, g^2, g^3, \dots$  converge to the set of all points  $(x,y)$  with  $x$  and  $y$  in  $[0,1]$  and either  $y = 0$  or  $x = 1$ . This set is also an arc, and the inverse limit of  $g$  is an arc. Consider the function  $g_1$  of Example 3. The graphs of  $g_1, g_1^2, g_1^3, \dots$  have a sequential limiting set which is a polygonal "Sin(1/x)" curve in the upper half of the unit square with an arc trailing off along the lower left-hand edge of the unit square. These and some other things of the same sort make it seem that there must be a definite connection between convergence of the graphs of a function and its inverse limit. No specific conjecture is offered.

The next example is an illustration of the case with which some examples of continua with specified properties may be obtained as inverse limits and also of a continuum which can be obtained as the inverse limit of a single function. It is essentially just an iteration of the procedure of Theorem 5 of Chapter IV.

Example 4. A continuum with only two topologically different non-degenerate subcontinua, one of which is an arc, and which is the union of a ray dense in the continuum and a homeomorphic image of the continuum disjoint from the ray.

Define a map  $f$  from  $[0, 1/2]$  onto  $[0, 1]$  by  $f(0) = 0$ ,  $f(1/4) = 1$ ,  $f(1/2) = 1/2$  and  $f$  is linear on  $[0, 1/4]$  and  $[1/4, 1/2]$ . Define the map  $T$  from  $[0, 1]$  onto  $[1/2, 1]$  by  $T(x) = (x/2) + (1/2)$ . The map  $T$  is 1-1 and maps  $[0, 1/2]$  onto  $[1/2, 3/4]$ . Define  $g$  by  $g = f$  on  $[0, 1/2]$ ,  $g = TfT^{-1}$  on  $[1/2, 3/4]$ ,  $g = T^2fT^{-2} = TgT^{-1}$  on  $[3/4, 7/8]$ , and in general,  $g$  is  $T^n f T^{-n}$  on  $[1 - 2^{-n}, 1 - 2^{-n-1}]$ , and  $g(1) = 1$ . Essentially, the graph of  $g$  is formed by taking the graph of  $f$ , squeezing down to half its height and width, shifting up  $1/2$  and over onto  $[1/2, 3/4]$ , then squeezing  $f$  down to  $1/4$  its height and width, shifting up  $3/4$  and over onto  $[3/4, 7/8]$ , etc. We have that  $T^{-1}$  is given by  $T^{-1}(x) = 2x - 1$ , and so  $T^{-1}(1 - 2^{-n}) = 2 - 2^{-n+1} - 1 = 1 - 2^{-n+1}$  for all positive integers  $n$ . Moreover  $T^{-n}(1 - 2^{-n}) = 1 - 2^0 = 0$ ,  $T^{-n+1}(1 - 2^{-n}) = 1 - 2^{-1} = 1/2$  and  $T^n f(0) = T^n(0) = T^{n-1}(1/2) = T^{n-1}f(1/2)$  and so  $g$  is well defined on points of the form  $1 - 2^{-n}$ . A little reflection shows  $T^n[0, 1] = [1 - 2^{-n}, 1]$  and so it is always true that  $g[1 - 2^{-n}, 1 - 2^{-n-1}]$  is contained in  $[1 - 2^{-n}, 1]$ . Since  $g$  is piecewise linear on  $[0, 1)$  and by the preceding remark the limit of  $g$  at 1 (from the left) is 1,  $g$  is continuous.

Note now that  $TgT^{-1}$  on  $[1/2, 1]$  is  $g$  on  $[1/2, 1]$ , and so  $\lim g$  is homeomorphic to  $\lim(g | [1/2, 1])$ , precisely as in Theorem 5 of Chapter IV. So  $\lim g$  is homeomorphic to the union of a topological ray



$R$  and a continuum  $M$  so that  $R$  and  $M$  are disjoint,  $R$  is dense in  $M$  and  $M$  is homeomorphic to  $\lim g$ . Next, recall that the only non-degenerate compact subcontinuum of a ray is an arc. So if  $H$  is a compact subcontinuum of  $\lim g$  and  $H$  is contained in a ray in  $\lim g$ ,  $H$  is an arc. Since  $\lim g$  is compact, each subcontinuum of  $\lim g$  is compact and so if  $H$  is a non-degenerate subcontinuum of  $\lim g$  and  $H$  is not an arc,  $H$  is not contained in a ray in  $\lim g$ .

Note that  $T$  and  $g$  commute. If  $x$  is a point in  $[1 - 2^{-n}, 1 - 2^{-n-1}]$ , then  $T(x)$  is in  $[1 - 2^{-n-1}, 1 - 2^{-n-2}]$ . On  $[1 - 2^{-n}, 1 - 2^{-n-1}]$ ,  $g$  is  $T^n f T^{-n}$  and on  $[1 - 2^{-n-1}, 1 - 2^{-n-2}]$ ,  $g$  is  $T^{n+1} f T^{-n-1}$ . So  $gT(x) = T^{n+1} f T^{-n-1} T(x) = T^{n+1} f T^{-n}(x) = Tg(x)$ . Clearly the map  $h$  from  $\lim g$  into  $\lim g$  defined by  $h(p) = (TP_1(p), TP_2(p), \dots)$  is a homeomorphism which leaves  $(1, 1, 1, \dots)$  fixed. As in the proof of Theorem 5 of Chapter IV, the set  $R$  of all points of limit  $g$  with some coordinates less than  $1/2$  is a ray, and the rest is homeomorphic to  $\lim g$ , as remarked before. Suppose  $H$  is a non-degenerate proper subcontinuum of  $\lim g$  which is not an arc. Either  $H$  intersects  $R$  or  $H$  does not. If  $H$  intersects  $R$ ,  $H$  is clearly homeomorphic to  $\lim g$  since  $H$  is not contained in  $R$  and therefore  $H$  is only  $\lim g$  less a half-open arc beginning the ray  $R$ , and a slight displacement along  $R$  gives  $H$  is homeomorphic to  $\lim g$ . Suppose  $H$  does not intersect  $R$ . Then there is a first integer  $n$  so that  $h^{-n}(H)$  intersects  $R$ . Since  $H$  is not an arc,  $h^{-n}(H)$  is not contained in  $R$  and so  $h^{-n}(H)$  is homeomorphic to  $\lim g$ . Since  $h$  is a homeomorphism,  $H$  is homeomorphic to  $\lim g$ .

The next example shows that things are not always what they might seem.

Example 5. If  $M$  is a non-degenerate compact continuous curve, there is a continuous function  $g$  from  $M$  onto  $M$  whose inverse limit is a chainable indecomposable continuum.

Since  $M$  is normal and connected there is a continuous function  $f$  from  $M$  onto  $[0,1]$ . Let  $a$  be any point so that  $f(a) = 0$  and  $b$  any point so that  $f(b) = 1$ . By a standard theorem there is a continuous function  $T_2$  from  $[1/6, 2/6]$  onto  $M$  and a continuous function  $T_5$  from  $[4/6, 5/6]$  onto  $M$ . Now either  $T_2(1/6)$  is  $a$  or there is an arc  $\alpha_1$  from  $a$  to  $T_2(1/6)$  contained in  $M$ . In either case there is a continuous function  $T_1$  from  $[0, 1/6]$  into  $M$  so that  $T_1(0) = a$  and  $T_1(1/6) = T_2(1/6)$ . Similarly there are continuous functions  $T_3$ ,  $T_4$  and  $T_6$  from  $[2/6, 3/6]$ ,  $[3/6, 4/6]$ , and  $[5/6, 1]$ , respectively, into  $M$  so that  $T_3(2/6) = T_2(2/6)$ ,  $T_3(3/6) = b$ ,  $T_4(3/6) = b$ ,  $T_4(4/6) = T_5(4/6)$ ,  $T_6(5/6) = T_5(5/6)$  and  $T_6(1) = a$ . Define  $T$  to be the continuous function from  $[0,1]$  onto  $M$  so that  $T|[(n-1/6, (n/6))]$  is  $T_n$  for  $n=1,2,3,4,5,6$ . Now define  $g$  to be  $Tf$ . The function  $g$  is continuous from  $M$  onto  $M$ . By Corollary 9 of Chapter II, the inverse limit of  $g$  is homeomorphic to the inverse limit of  $fT$ . Now  $fT$  is continuous,  $fT(0) = f(a) = 0$ ,  $fT(1/2) = f(b) = 1$  and  $fT(1) = f(a) = 0$  and the inverse limit of  $fT$  is a chainable indecomposable continuum by Theorem 2 of Chapter IV.

So although one gets chainable continua out of inverse limits on chainable compact continua, it is by no means necessary to take chainable continua to get a chainable inverse limit.

On the very unlikely chance that one now believes that inverse limits always are chainable, examples of non-chainable indecomposable inverse limit continua will now be given. It is, of course, necessary to know that an  $n$ -od is not chainable for any positive integer  $n$  greater than 2. A continuum  $M$  will be said to be an  $n$ -od iff  $M$  contains a point  $p$  and a sequence of arcs  $A_1, A_2, \dots, A_n$  so that  $M$  is  $\bigcup_{i=1}^n A_i$  and  $A_i \cap A_j$  is  $p$  for  $i \neq j$ .

Example 6. For each integer  $n$  greater than 2 there is a continuous function from an  $n$ -od onto itself whose inverse limit is indecomposable and contains an  $n$ -od.

Suppose  $n$  is greater than 2 and  $M$  is an  $n$ -od. Denote the "arms" of  $M$  as  $A_1, A_2, \dots, A_n$  and the point at which these arcs meet denote as  $p$ . Suppose  $f$  is a continuous transformation from  $M$  onto  $M$  so that  $f$  leaves  $p$  fixed and for each integer  $m$  less than  $n$ ,  $f(A_m) = A_m \cup A_{m+1}$ , and  $f(A_n) = A_n \cup A_1$ . Then  $f^2(A_1) = A_1 \cup A_2 \cup A_3$ ,  $f^3(A_1) = A_1 \cup A_2 \cup A_3 \cup A_4$ , etc., and  $f^n(A_1) = M$ . Suppose  $M_1$  and  $M_2$  are proper subcontinua of  $\lim f$  so that  $M_1 \cup M_2 = \lim f$ . For each positive integer  $k$ ,  $P_k(M_1) \cup P_k(M_2)$  is  $M$ , since  $f$  is onto. It must be that for one of  $M_1$  and  $M_2$ , for each positive integer  $k$ ,  $P_k(M_i)$  contains one of the arcs  $A_j$ . But then for each positive integer  $k$ ,  $P_k(M_i)$  is  $f^n P_{k+n}(M_i)$  which is  $M$ , and so  $M_i$  is  $\lim f$ . So  $\lim f$  is indecomposable.

Clearly  $f$  might have been chosen so that there are subarcs  $B_1, B_2, \dots, B_n$  of  $A_1, A_2, \dots, A_n$  respectively, each containing  $p$  so that  $f$  is 1-1 from each  $B_i$  onto  $A_i$ . It is not difficult to see

that  $f$  restricted to the set  $\bigcup_{i=1}^n B_i$  is an into homeomorphism, and that  $\lim f$  contains a homeomorphic image of the  $n$ -od  $\bigcup_{i=1}^n B_i$ .

The following conjecture is offered principally because it was very difficult to find a counter-example. It is conjectured that any piece-wise linear map of the unit square onto itself has a decomposable inverse limit. An unsuccessful search for a nice map of the unit square onto itself with an indecomposable inverse limit led to Example 5. Another problem suggested by some of the preceding work is to find some topologically different indecomposable inverse limits of single functions from  $[0, 1]$  into  $[0, 1]$ .

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