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**WESTERN SYDNEY**  
UNIVERSITY



**Characterisation of Metal-Amyloid  $\beta$  Peptide  
Interactions and the Effect of Metals on the  
Gene Expression of Amyloid Precursor  
Protein**

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Dedicated to my parents,

Peter and Ruby

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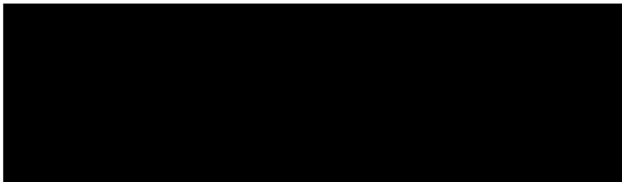
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## Statement of Authentication

This thesis is submitted in fulfilment of the requirements for the degree of Doctor of Philosophy at Western Sydney University, School of Science. The work presented in this thesis is, to the best of my knowledge and belief, original except as acknowledged in the text. I hereby declare that I have not submitted this material, either in full or in part, for a degree at this or any other institution.



Gayani Petersingham

November 2019

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## Thesis Abstract

Metal ions are present in foods, water and the environment surrounding us. Some of them are essential to the human body, participating in numerous biological functions, whilst others are toxic to living life and have no physiological function. Even the metal ions which are essential for life, such as copper ( $\text{Cu}^{2+}$ ), iron ( $\text{Fe}^{3+}$ ) and zinc ( $\text{Zn}^{2+}$ ), are tightly regulated in the cell. Their excess or dysregulation is detrimental to human health. It is therefore no wonder that metal ions are associated with a variety of human diseases such as neurodegenerative disorders. A wealth of literatures links metal ions ( $\text{Cu}^{2+}$ ,  $\text{Fe}^{2+/3+}$ ,  $\text{Zn}^{2+}$ ) to Alzheimer's disease (AD). A non-essential metal ion, i.e. aluminium ( $\text{Al}^{3+}$ ), is the third most abundant metal in the Earth's crust and is becoming more and more bioavailable via foods and water due to environmental contamination and pollution. Consequently, the chronic accumulation of  $\text{Al}^{3+}$  is insidious to human health. Numerous publications have linked  $\text{Al}^{3+}$  to AD. However, molecular evidence underlying such claim is still lacking.

AD is a devastating neurodegenerative condition that poses major challenges to human health, with a prevalence of around 35 million patients worldwide. One of the neuropathological hallmarks in AD patients is extracellular amyloid plaques which are composed of amyloid  $\beta$  peptide ( $\text{A}\beta$ ) aggregates. The amyloid cascade hypothesis suggests that aggregation of the  $\text{A}\beta$  peptide into soluble oligomers and senile plaques is the main driver of AD. Metal ions are found to be present in amyloid plaques, including  $\text{Cu}^{2+}$ ,  $\text{Fe}^{2+/3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Al}^{3+}$ . The experimental evidence from the literatures thus far points to a role of metal ions in  $\text{A}\beta$  aggregation and pathogenesis of AD. To this end, the metal ion hypothesis for AD is proposed, which suggests that disruption of metal ion homeostasis promotes  $\text{A}\beta$  aggregation and onset of AD. However, such a link is still premature, clinical, epidemiological and molecular studies are needed.

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Therefore, this thesis takes a molecular approach by NMR (nuclear magnetic resonance) spectroscopy to investigate the interaction of metal ions with A $\beta$  peptide, A $\beta_{1-28}$ , and to analyse potential metal chelators for future therapeutic application, and to unravel the effect of metal ions on the expression of APP gene (the gene encoding amyloid precursor protein) as well as the antagonising effect of metal chelators against metal ions in APP gene expression.

In this thesis, I have firstly reviewed the literatures on the metal ions, their interactions with A $\beta$  peptides, their role in A $\beta$  aggregation, and their potential involvement in AD pathogenesis. AD and the current hypotheses for AD pathogenesis have also been reviewed, including amyloid cascade hypothesis, metal ion hypothesis and oxidative stress hypothesis. I have then reviewed a panel of natural metal ligands that might have therapeutic potential to chelate AD related metals and prevent metal related oxidative stress.

By the means of NMR, the interactions of metal ions with A $\beta_{1-28}$  peptide as well as the A $\beta_{1-28}$  analogues were analysed (Chapter 3). The key binding site of Al $^{3+}$  were determined. NMR data showed Al $^{3+}$  interacts with A $\beta_{1-28}$  by the NH and  $\alpha$ H of numerous residues experiencing upfield shifts. Using A $\beta$  analogues where His6, His13 and His14 were individually replaced by alanine residue(s) including A $\beta_{1-28}$  His6A (His6 was replaced by alanine), A $\beta_{1-28}$  His13A (His13 was replaced by alanine), A $\beta_{1-28}$  His14A (His14 was replaced by alanine), and A $\beta_{1-28}$  His6,13,14A (all His6, His13 and His14 residues were replaced by three alanine residues), I have demonstrated that the histidine residues (His6, His13 and His14) and N-terminal Asp1 were involved in the Al $^{3+}$  coordination. By comparing Al $^{3+}$  to Zn $^{2+}$ , a relatively well-studied metal ion for its interaction with A $\beta$ , this study found that Al $^{3+}$  has a similar affinity to A $\beta_{1-28}$ . Additionally, the change of chemical shift index (CSI) values caused by Al $^{3+}$  coordination indicated the subsequent change of secondary structure of A $\beta_{1-28}$  upon the metal coordination.

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The above findings are reported for the first time, because there are no available details for the molecular interaction between  $\text{Al}^{3+}$  and  $\text{A}\beta$ . The results provide significant evidence for the potential role of  $\text{Al}^{3+}$  in  $\text{A}\beta$  aggregation, hence in AD aetiology.

The ability of metal ions interacting with  $\text{A}\beta$  *in vitro* means such interaction is potentially existent *in vivo*. Therefore, reducing the metal availability by metal chelation would minimise metal ions' interaction with  $\text{A}\beta$ . Therefore, the metal coordination of a panel of chelating ligands was characterised in Chapter 4. These ligands are histidine, glutathione (GSH), maltol, citric acid and malic acid. They are naturally occurring, easily metabolised and nontoxic to humans.

The panel of ligands were characterised by NMR and molecular mechanics to explore their ability in metal coordination. The findings demonstrated that they can coordinate  $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ . GSH and histidine in particular exhibited much higher affinity to  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$ . Considering the previously proven antioxidant property for both GSH and histidine, their ability to chelate metal ions could be of significance to treatment of metal-related disorders such as AD.

Following the NMR and molecular mechanics analysis, MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) toxicity assays (Chapter 5) were used to determine the potential of the metal ligands in cellular context. Citric acid, was effective at reducing the metal toxicity of 1 mM  $\text{Al}^{3+}$ , however significantly decreased the cell viability in cells exposed to  $\text{Zn}^{2+}$ . The ligands, GSH and histidine were overall more effective at ameliorating the metal toxicity of  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$  as well as nonrelated AD metal ion  $\text{As}^{3+}$  and  $\text{Cr}^{6+}$ . Moving on to the effect of metal ions on APP gene expression, the findings demonstrated that the relative expression of APP gene in human neuronal cells (SH-SY5Y) is upregulated in the presence of

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$Al^{3+}$  and  $Zn^{2+}$ , suggesting that these two metal ions are likely to induce higher level of amyloid precursor protein and consequently more  $A\beta$ . The upregulation of APP gene expression by metal ions is a novel finding for human neuronal cells, although similar result was found in animal models. It is significant, since such modulation of APP gene expression by metal ions could happen *in vivo*, therefore supporting the current hypothesis that metal ions are involved in AD pathogenesis.

Moreover, the findings demonstrate that chelating ligands such as histidine and GSH reduced the expression of APP gene. Whilst the mechanism of histidine and GSH in reducing APP gene expression is not clear, the finding is of significance in that metal chelation could be at least a strategy to be considered for AD treatment. Future studies on this front is definitely warranted.

In conclusion, the findings of this study provide significant evidence for the interaction of metal ions with  $A\beta$ . The molecular details of  $Al^{3+}$  and  $Zn^{2+}$  with  $A\beta$  and its role in upregulating APP gene expression are novel. Therefore, the findings support the unification of the amyloid cascade and metal ion hypotheses. That the metal chelating ligands histidine and GSH can antagonise the effect of metal ions on APP gene upregulation provides a significant avenue for AD treatment strategy.

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## Publications

Zaman, M., Johnson, A., **Petersingham, G.**, Muench, G., Dong, Q and Wu, M. (2019), 'Protein kinase CK2 is involved in zinc homeostasis in Breast and Prostate Cancer Cells', *BioMetals*, vol 32, no 6, pp 861-873.

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Johnson, A., Veljanoski, F., O'Doherty, P., Zaman, M., **Petersingham, G.**, Bailey, T., Muench, G., Kersaitis, C. and Wu, M. (2016), 'Revelation of molecular basis for chromium toxicity by phenotypes of *Saccharomyces cerevisiae* gene deletion mutants', *Metallomics*, vol 8, no 5, pp 542 - 550.

Tun, N., Lennon, B., O'Doherty, P., Johnson, A., **Petersingham, G.**, Bailey, T., Kersaitis, C. and Wu, M. (2014), 'Effects of metal ions and hydrogen peroxide on the phenotype of yeast *hom6* mutant', *Letters in Applied Microbiology*, vol 60, no 1, pp 20 - 26.

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## Conference presentations

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# 1 LITERATURE REVIEW

## 1.1 General introduction

Metal ions such as aluminium ( $\text{Al}^{3+}$ ), zinc ( $\text{Zn}^{2+}$ ), ferrous ( $\text{Fe}^{2+}$ ) and cupric ( $\text{Cu}^{2+}$ ) are implicated in the formation of amyloid  $\beta$  ( $\text{A}\beta$ ) peptide aggregates.  $\text{A}\beta$  aggregation is a hallmark of Alzheimer's disease (AD) (Adlard and Bush, 2006; Nair et al., 2010). The outset this thesis aims to investigate the interaction of metal ions with  $\text{A}\beta$ , therefore the findings have bearing on the understanding of AD, a progressive neurodegenerative condition with yet to be defined mechanism (Arispe et al., 2010; Ballard et al., 2011). Various factors such as genetic mutations, amyloid precursor protein (APP) processing,  $\text{A}\beta$  and metal ions have been identified to play a role in AD (Barber, 2012; Lanoiselée et al., 2017). Majority of AD cases are sporadic, not genetically related, with no defined causal mechanisms (Huat et al., 2019). One school of thoughts proposed by a body of experimental findings is that the  $\text{A}\beta$  peptide serves as a ligand for metal ions and their interactions can eventuate into  $\text{A}\beta$  aggregation accompanied with oxidative stress in brain (Pearson and Peers, 2006; Bush and Tanzi, 2008; Duce et al., 2011). As metals are natural constituents of the earth's crust, they are inextricably relevant to living organisms, whether they are biologically essential or non-essential. Human exposure to metals constantly increases because of their exponential applications in a variety of industries. Consequently, this study will firstly characterise the interaction of  $\text{A}\beta_{1-28}$  with metal ions, I will then investigate variety of organic chemical ligands for their interaction with a range of metal ions. The aims are to develop suitable ligands for therapeutic application as metal ion chelators, and to examine the effect of metal ions on APP level in neuronal cells.

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The interaction of metal ions with A $\beta$  will be analysed in this project, using A $\beta$ <sub>1-28</sub>, by nuclear magnetic resonance (NMR) spectroscopy, primarily focusing on the highly neurotoxic Al<sup>3+</sup>, as neither its coordination to A $\beta$  peptide nor its implication in AD have yet been established (Tomljenovic, 2011; Walton, 2012). Characterisation of the interactions of metal ion and A $\beta$  will be conducted by <sup>1</sup>H (proton), NOESY (Nuclear Overhauser Effect Spectroscopy) and TOCSY (TOtal Correlated Spectroscopy) NMR. Previous studies have demonstrated that the N-terminal Asp1 and all the three histidine (His6, His13 and His14) residues of A $\beta$  coordinate with metal ions (Danielsson et al., 2007). A $\beta$  peptide analogues were synthesised chemically in this study by substitution of individual histidine residues with alanine which has a short and uncharged side chain in order to dissect the role of histidine residues of A $\beta$  in metal ion coordination. Furthermore, this study will likely reveal other potential coordinating sites such as the arginine residue (Arg5), serine (Ser8), glutamic acid (Glu11) and tyrosine (Tyr10).

The characterisation of a panel of ligands, including histidine, glutathione (GSH), 3-hydroxy-2-methyl-4H-pyran-4-one (maltol), citric acid and malic acid for their interactions with biometals (Zn<sup>2+</sup> and Cu<sup>2+</sup>) and non-biological metal ion (Al<sup>3+</sup>) has been conducted to uncover potential natural metal chelators. The interaction of metal ions and the ligands was analysed using <sup>1</sup>H NMR titration experiments, to demonstrate where the metals coordinate to the complexes. The coordination strength and stability of the complexes of the metals to ligands was determined by molecular mechanics (MM2 calculations and HOMO values). Mammalian neuronal cell culture system was also employed for measuring the effect of ligand on metal toxicity in the cells by the colorimetric cell-proliferation assay called MTT assay which uses the tetrazolium salt MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide) (Mosmann, 1983), in order to determine the ability of the ligands in lowering metal toxicity under biological conditions. The use of the highly toxic heavy metals As<sup>3+</sup> and Cr<sup>6+</sup>

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demonstrated whether the ligands can chelate highly toxic metals although they are not related to AD, and the findings could lead to application of potential metal chelators for alleviating the toxic metal related health problems.

On the basis of the published evidence (Lin et al., 2008), albeit very limited, it is hypothesised that metal ions could also affect the expression of APP gene. Quantitative RT-PCR (Reverse Transcription Polymerase Chain Reaction) was used to test whether the expression of APP gene in human SH-SY5Y neuronal cells is affected by metal ions such as  $Al^{3+}$  and the extensively studied  $Zn^{2+}$ . To explore the antagonistic effect the ligands have on metal ions, qRT-PCR was used to measure APP gene expression level in the presence of the ligands and  $Al^{3+}$ .

My thesis is of significance on a number of fronts. The molecular details gained from characterising the interaction of metal ions with  $A\beta$  should provide support to the notion that metals ions interact with  $A\beta$ . The effect of metals on APP gene expression has been scarcely studied until the present, findings on this front should aid our understanding of AD in terms of the potential role for metal ions in AD pathogenesis. By screening a spectrum of potential ligands for metal chelation, findings should benefit the development of therapeutic drugs for metal-related health problems such as AD and acute metal poisoning.

To summarise simply, the aims of this study are firstly to characterise the interactions between metal ions and amyloid  $\beta$  peptide ( $A\beta$ ); then to analyse the interactions between metal ions and metal-chelating ligands; finally, to uncover the effect of metal ions on the expression of APP gene and the antagonistic effect of ligands against the metal ions.

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## 1.2 Metal ions

Metals ions are constituents of the Earth's crust. Around 95 of the 118 elements in the periodic table are metals. Metal ions are central to protein structure and function. Many metal-binding proteins are enzymes which are present in the early forms of life, prokaryotes, and continued in eukaryotes (Williams, 2012). Roughly 40% of all proteins crystallized to date have a metal bound within the structure and thought to be relevant to function (Waldron et al., 2009). The most abundant metal ion in living organisms is iron, followed by zinc. Metal ions and life have been evolving together (Hong Enriquez and Do, 2012). The complexity of organisms, especially in three steps, prokaryotes, single-cell and then multi-cell eukaryotes, coincides with oxygen-related environmental changes in the evolution of life. For example, the rise of oxygen caused a rise of zinc in the sea as estimated from the analysis of sediments (Hong Enriquez and Do, 2012). During the long evolution process, organisms learned to use or to avoid certain metal ions. Hence, there are essential or non-essential metal ions to living organisms.

## 1.3 Metal ions and biology

The essential metal ions can be further divided into two camps, including one group which is needed in larger quantity by the organisms (e.g.  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ), and the other group which are in much lower abundance such as the trace metal ions such as  $\text{Fe}^{2+/3+}$ ,  $\text{Cu}^{+2+}$  and  $\text{Zn}^{2+}$ . A tenet of the cell biology of metals is that some metals tend to bind organic molecules more avidly than others. The natural order of stability for divalent metals, often called the Irving–Williams series (Irving and Williams, 1948), sets out a resulting trend with copper and zinc forming the tightest complexes, then nickel and cobalt, followed by ferrous iron and manganese and finally, forming

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the weakest complexes, calcium and magnesium. Zinc is a quintessential metal in metal biology. Going back chronologically, zinc was first found essential to living organism in 1869, by Jules Raulin, a student of Louis Pasteur (Raulin, 1869). It is involved in enzymatic catalysis as a cofactor, structural component in proteins and signal transduction as a second messenger (Hershinkel et al., 2001; Laity et al., 2001; Maret, 2001; Taylor et al., 2012). The first zinc binding enzyme discovered is carbonic anhydrase (Keilin and Mann, 1940). It is estimated that, in human proteome, there are at least 3000 zinc-binding proteins (Maret, 2012). Many of these proteins are transcription factors for DNA binding and gene regulation (Ebert and Altman, 2008). For non-essential metals, their presence in living organisms is deleterious. For example, aluminium ( $\text{Al}^{3+}$ ) has no biological role in organisms. However,  $\text{Al}^{3+}$  ions are taken up by humans via drinking water and diet. With climate change and environmental pollution, the acidity of soil is increasing, which leads to the increased solubility of aluminium minerals and increased abundance of  $\text{Al}^{3+}$  ion in drinking water, plants and foods, and in turn more  $\text{Al}^{3+}$  ions are accumulated in people. Therefore, aluminium is associated with AD (Huat et al., 2019), although more evidence is required for such a link.

The excess of essential metal ions such as  $\text{Fe}^{2+/3+}$ ,  $\text{Cu}^{1+/2+}$  and  $\text{Zn}^{2+}$  is harmful to humans. Their optimal physiological concentration range between deficiency and toxicity is relatively small and needs to be tightly controlled. The cellular process to maintain the metal level at optimum is termed as homeostasis. Metal dyshomeostasis results from the disruption of metal homeostasis, which can lead to health disorders. For non-essential metals such as cadmium and hexavalent chromium, tiny amounts of them could promote severe toxicity to the cell (Permenter et al., 2011).

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The omnipresence of metals in our quotidian lives presents innumerable hazards and health risks, albeit many of them are essential to human health. It is well known that metals are associated with many chronic health conditions as well as acute toxicity. World Health Organization (WHO) (2010) approximates metals are accountable for a significant mortality and morbidity, with 25% of total burden of disease linked to metal exposure. Metal ions have been found to interact with cell components such as DNA and nuclear proteins, causing DNA damage and conformational changes that may lead to cell cycle modulation, carcinogenesis or apoptosis (Wang and Shi, 2001; Beyersmann and Hartwig, 2008). Their toxicity depends on several factors including the dose, route of exposure, and chemical species, as well as the age, gender, genetics, and nutritional status of exposed individuals (Touchette et al., 2010).

Essential metals are inextricably involved in numerous essential biological processes and enzymatic reactions of the cell. For examples, iron ( $\text{Fe}^{2+}$  or  $\text{Fe}^{3+}$ ) is a crucial part of haemoglobin for oxygen or carbon dioxide transport (Gupta, 2014). Many enzymes require copper ( $\text{Cu}^+$  or  $\text{Cu}^{2+}$ ) and zinc ( $\text{Zn}^{2+}$ ) to serve as cofactors or prosthetic groups (Tchounwou et al., 2012). Their import into the cell, intracellular trafficking, and export out of the cell must be tightly regulated at the cellular level, in order to maintain homeostasis. Metal dyshomeostasis can result in serious diseases such as cancers and neurodegenerative disorders like AD (Akatsu et al., 2012; Masaldan et al., 2018; Trist et al., 2018).



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## 1.4 Alzheimer's disease

Alzheimer's disease (AD) was firstly reported on November 3, 1906 by a clinical psychiatrist and neuroanatomist - Alois Alzheimer, as a progressive neurodegenerative condition (Hippius and Neundörfer, 2003). AD is the most common form of dementia, by 2020 it is estimated to affect 50 million people worldwide, of which more than 400 000 reside in Australia (Macaulay and O'Meara, 2011; Hebert et al., 2013). Such a high global prevalence leads to serious socioeconomic burden and poses major challenges for human health in the 21st century. The clinical symptoms of AD are progressive cognitive deterioration and decline in memory. Initially the decline in memory occurs via the loss of episodic memory, which is a declarative memory subcategory, leading to a reduction in the ability to recollect recent events (Chopra et al., 2011). The molecular mechanism that causes the cognitive deterioration and decline in memory seen in AD remains an open question, albeit a considerable quantity of studies has been carried out until the present.

The disease is characterised by neuronal and synaptic loss resulting in gliosis and tissue atrophy. The histopathological hallmarks of AD, known as senile plaques and neurofibrillary tangles (Lau et al., 2002), are primarily found in the temporal and frontal cortices (Serrano-Pozo et al., 2011). The extracellular senile plaques were described as a "peculiar substance" by Alzheimer (Hippius and Neundörfer, 2003). In 1984, Glenner and Wong found senile plaques consisted of fibrils, which are made up of an aggregated A $\beta$  peptide (Glenner and Wong, 1984). The intraneuronal neurofibrillary tangles are composed of paired helical filaments of hyperphosphorylated Tau protein (Zatta et al., 2009). The intraneuronal aggregates of hyperphosphorylated and misfolded Tau become extraneuronal ("ghost" tangles) when tangle-bearing neurons die (Serrano-Pozo et al., 2011). Neurofibrillary tangles have a stereotypical

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spatiotemporal progression that correlates with the severity of the cognitive decline (Serrano-Pozo et al., 2011).

There are two forms of AD, the familial and sporadic AD. The familial AD is also called early-onset AD, accounts for only 5% of cases (Perrone et al., 2012). It occurs from the gene mutations in the presenilins (PS1& PS2), the APP genes of chromosome 1, 14 and 21 respectively and apolipoprotein isoform gene (ApoE4) (Tanzi et al., 1996; Mattson, 2004; Lanoiselée et al., 2017). However, the majority of cases are sporadic AD which is not due to genetic mutations. Sporadic AD has consistent pathological and clinical characteristics similar to familial AD but has a later age of onset (Kern and Behl, 2009; Pimplikar et al., 2010). Although the senile plaques and neurofibrillary tangles are characteristic lesions for both forms of AD, the molecular mechanism that initiates the pathogenesis in sporadic AD is not fully understood. Recent advances of research activities demonstrated that the A $\beta$  is associated to the AD pathology and considered a critical factor in the pathogenesis of sporadic AD (Hardy and Selkoe, 2002). However, that the very existence of A $\beta$  aggregation in the disease is a cause or a by-product of AD still remains debatable (Hardy, 2006; Lee et al., 2007; Korczyn et al., 2008). Experimental findings supporting the role of A $\beta$  in AD pathogenesis are numerous, only a few examples are described in the following. Transgenic mice containing the APP gene mutation of Lys<sup>670</sup>  $\rightarrow$  Asn, Met<sup>671</sup>  $\rightarrow$  Leu, lead to increased production of human A $\beta$  resulted in behavioural and memory deficits characteristic of AD (Hsiao et al., 1996). The conformational transition of the secondary structure of A $\beta$  from random coil to  $\beta$  sheet increased the neurotoxicity of the peptide (Ueda et al., 1994). Aggregation of A $\beta$  into amyloidogenic fibrils was found to produce neurotoxic compact plaques, in primary rat hippocampal cultures (Lorenzo and Yankner, 1994). Although these findings illustrate that the aggregation of A $\beta$  is likely a crucial event in the pathogenesis of AD, the specific aspects of the plaque assembly process still requires to be

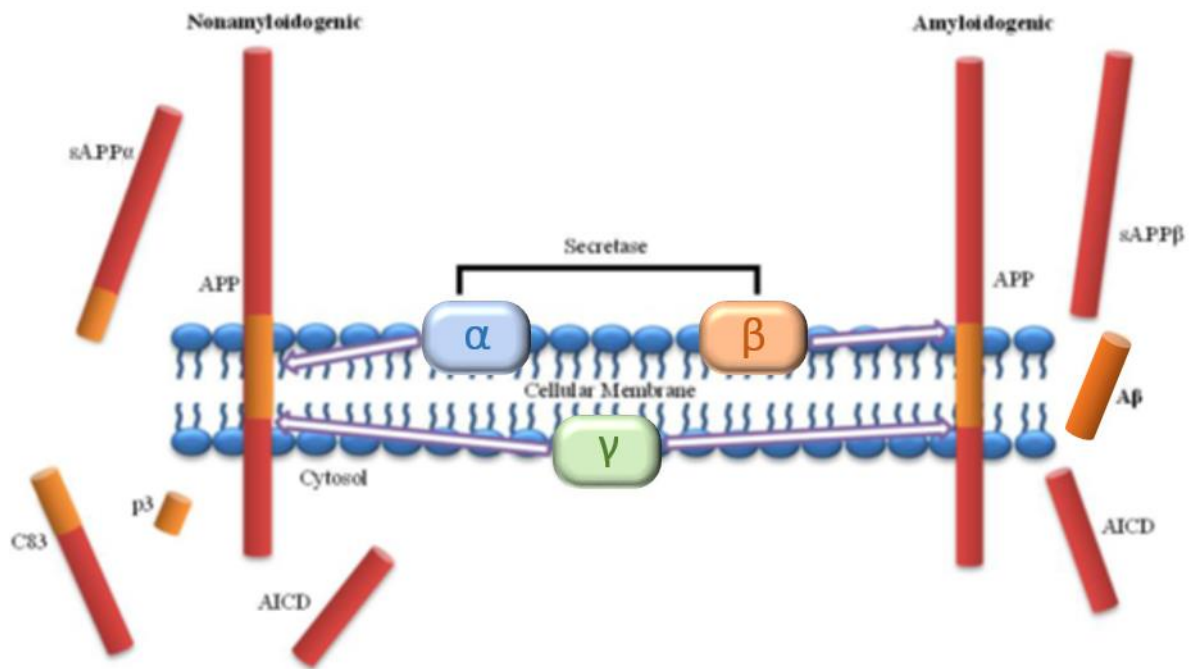
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elucidated. This study will seek to reveal some details on how metal ions interact with A $\beta$ , which could play a role in A $\beta$  aggregation and consequently AD.

## **1.5 Amyloid precursor protein (APP)**

The A $\beta$  peptide is generated after two consecutive cleavage events by  $\beta$ - and  $\gamma$ -secretases of APP encoded by APP gene, a type-1 transmembrane protein with a single transmembrane span and an extracellular N-terminus. APP can also be cleaved by  $\alpha$ -secretase within the A $\beta$  domain to release soluble APP derivative and preclude A $\beta$  generation (Zhang et al., 2011). As previously mentioned, genetic mutations in APP, as well as in presenilins (PS1 and PS2), are the cause for familial AD. Also mentioned is that A $\beta$  could play a role in the pathogenesis of sporadic AD. Therefore, it is significant to recognise that APP is a critical protein for AD.

APP is expressed in various tissues, especially in the central nervous system (CNS). It is synthesised in the endoplasmic reticulum and then transported to the Golgi apparatus, where it completes maturation and is finally transported to the plasma membrane. Although its physiological function is still unclear, APP could play an important role in brain development, memory and synaptic plasticity (Breen et al., 1991). It is suggested that APP is involved in neurite outgrowth and synaptogenesis, neuronal protein trafficking along the axon, transmembrane signal transduction, cell adhesion and calcium metabolism (Zheng and Koo, 2006). The metabolism of APP can follow two different pathways shown in Figure 1.1.



**Figure 1.1 Schematic of APP proteolytic cleavage.** In the non-amyloidogenic pathway, APP is cleaved by  $\alpha$ -secretase and then  $\gamma$ -secretase or  $\beta$ -secretase to form truncated  $A\beta_{17-40/42}$  or  $A\beta_{1-16}$  peptides respectively. In the amyloidogenic pathway, APP undergoes consecutive cleavage by the  $\beta$ - and  $\gamma$ -secretases, producing  $A\beta_{40/42}$  peptide.

Physiological APP undergoes non-amyloidogenic cleavage, predominantly in post-Golgi secretory and endocytic compartments, by  $\alpha$ -secretase and  $\gamma$ -secretase, producing soluble APP. The amyloidogenic pathway occurs at the plasma membrane where the APP cleavage is sequentially conducted by  $\beta$ -secretase and  $\gamma$ -secretase. This pathway releases  $A\beta$ . As shown in Figure 1.1, both cleavage pathways for APP lead to the formation of carboxyterminal fragments (C83) and amino-terminal fragments secreted APP (sAPP $\alpha$  and sAPP $\beta$ ). Then followed by the formation of amino-terminal APP intracellular domain (AICD), this fragment is involved in nuclear signalling (Chow et al., 2010). Both non-amyloidogenic and amyloidogenic pathway occur under normal conditions in all cells (Haass et al., 1992). During neuronal activity,  $A\beta$  is formed intracellularly in vesicles such as endosomes, then released into the extracellular space of the brain, this process does not necessarily result in AD pathology, as AD pathogenesis is

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multifactorial and involves the disruption of multiple mechanisms. However, the soluble form of A $\beta$  is found in healthy brain but the aggregated form is in the brain of AD patients (Glenner and Wong, 1984). Hence numerous hypotheses have been postulated to elucidate the mechanism responsible for the development of senile plaques. In this thesis, the interaction of metal ions with A $\beta$  peptide is investigated, and the effect of metal ions on APP gene expression is also investigated.

Thus far there is scant evidence on the effect of metal ions on APP gene expression. It was demonstrated that cupric and manganese (Mn<sup>2+</sup>) ions potently increased the expression of APP gene in a time- and concentration-dependent manner (Lin et al., 2008; Kim et al., 2018). As metal ions such as Al<sup>3+</sup> are implicated in AD (Exley, 2014; Exley and Vickers, 2014), their effect on A $\beta$  aggregation as well as APP gene expression needs to be studied. Therefore, investigation into the effect of metal ions on APP gene expression is part of this project.

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## 1.6 Amyloid $\beta$ ( $A\beta$ ) peptide

$A\beta$  was firstly characterised in 1984 by Glenner and Wong (1984). It is a soluble cellular metabolite found in cerebrospinal fluid (CSF) and plasma (Yang et al., 2000). As described previously,  $A\beta$  is produced by a variety of cells, from the sequential proteolytic processing of the APP by secretases  $\beta$  and  $\gamma$  (Glenner, 1985; Lahiri and Maloney, 2010).

$A\beta$  encompasses a group of peptides ranging in size from 12 to 42 amino acid residues. The primary amino acid sequence of the 42-residue  $A\beta$  isoform  $A\beta_{42}$  is shown in Figure 1.2. The C-terminal amino acid sequence of  $A\beta$  is mainly composed of hydrophobic residues, which performs an important part in its insolubility and in the initial steps of aggregation. The N-terminal region of  $A\beta$  is amphipathic and participates in the binding of  $A\beta$  to apoE (Strittmatter et al., 1993), cholesterol (Yao and Papadopoulos, 2002), glycosaminoglycans (Narindrasorasak et al., 1991; Buée et al., 1993), and metal ions (Adlard and Bush, 2006).



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A $\beta$  is thought to be an intrinsically unstructured peptide. The secondary and tertiary structure is extensively influenced by environmental milieu, for example, in membrane-like media, the truncated 28 residue long A $\beta$  peptide (A $\beta$ <sub>1-28</sub>) folds into a predominately  $\alpha$ -helical structure with a bend centred at residue Val12 (Talafov et al., 1994). Without a defined tertiary structure, studies of its physiological functions are difficult. Emerging data suggests A $\beta$  plays important physiological roles, such as controlling synaptic plasticity (Kamenetz et al., 2003) involved in regulatory neurogenesis, metal ion homeostasis or sequestration, oxidative stress protection, calcium regulation (Cardenas-Aguayo Mdel et al., 2014), immune response and signaling pathways (Ramanathan et al., 2015). Brain disruptions, for example a stroke and trauma, results in an irregular up regulation of APP and accumulation of A $\beta$  (Pierce et al., 1996; van Groen et al., 2005). While the primary function of A $\beta$  still remains to be established, in the brain of AD patient it is in the aggregated form, and in healthy brain tissue it is in the soluble form.

Although decades have passed since A $\beta$  was characterised and associated with AD, how it forms aggregates in the brains of AD patients is still unknown. Multiple factors such as pH, temperature, peptide concentration, and ionic strength have been implicated in the formation of A $\beta$  aggregates (Meisl et al., 2016). However, the extracellular increase of A $\beta$  concentration in the brain has been found to result in A $\beta$  aggregation, forming  $\beta$ -sheet rich structures which are characteristic of AD (Ding and Keller, 2003). Aggregation begins with the formation of oligomers species, these are then re-arranged into protofibrils and fibrils which are found in senile plaques. As the oligomer species in AD patient brains can permeabilize cellular membranes, which consequently initiate a series of events resulting in cell dysfunction and death, it is considered more toxic for cells (Pham et al., 2010; Forloni et al., 2016).



Physiologically, A $\beta$  can vary in length, ranging from 37 to 42 residues depending on the location the  $\gamma$ -secretase cleavage process occurs on APP (Small, 2001; Karran et al., 2011). The chemical properties of A $\beta$  are an important factor in AD pathogenesis. As seen in the Table 1.1, A $\beta$  with various lengths and sequences have been used previously to analyse the different properties of A $\beta$ . While A $\beta_{42}$  is the most toxic form but not as prevalent, compared to its smaller counterparts (Jarrett et al., 1993). A $\beta_{42}$  is enriched in A $\beta$  deposits and it is more prone to fibril formation (Masters et al., 1985; Kang et al., 1987; Vigo-Pelfrey et al., 1993). Studies *in vitro* found that A $\beta_{42}$  peptide also readily aggregates more than the A $\beta_{40}$  peptide, and A $\beta_{42}$  peptide was also found to seed the aggregation of A $\beta_{40}$  peptide (Hilbich et al., 1991; Jarrett et al., 1993).

**Table 1.1 Various A $\beta$  sequences utilised previously for structural analysis studies**

A $\beta$ Peptide	Sequence	Reference
A $\beta_{42}$	DAEFRHDSGYLEIVHHQKLVFFAEDVGSNGAIIGLMVGG VVI	(Halverson et al., 1990)
A $\beta_{40}$	DAEFRHDSGYEVHHQKLVFFAEDVGSNKGAIIGLMVGG VV	(Touchette et al., 2010)
A $\beta_{1-28}$	- hA $\beta_{28}$ DAEFRHDSGYEVHHQKLVFFAEDVGSNK-NH2 - rA $\beta_{28}$ DAEFGHDSGFVVRHQKLVFFAEDVGSNK-NH2 - Ac- rA $\beta_{28}$ Ac- DAEFRHDSGYEVHHQKLVFFAEDVGSNK-NH2 - Ac- hA $\beta_{28}$ Ac- DAEFGHDSGFVVRHQKLVFFAEDVGSNK-NH2	(Gaggelli et al., 2007)
A $\beta_{1-16}$	DAEFRHDSGYEVHHQK	(Kowalik-Jankowska et al., 2003)
A $\beta_{1-12}$	DAEFRHDSGYEV	(Narayan et al., 2013)

Notes: h- human, r- rat and Ac- acetylation of the N-terminus

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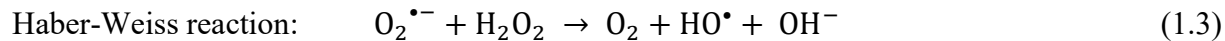
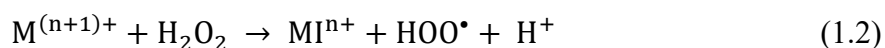
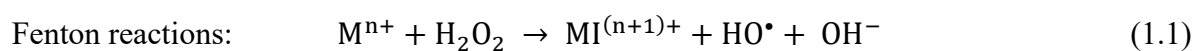
To ensure this study is relevant to the biological reality, it will be conducted at the biological pH of 7.4. In terms of studying the peptides by  $^1\text{H}$  NMR analysis, previous studies have illustrated the tendency for  $\text{A}\beta_{40}$  to undergo rapid conformational changes, causing large up field shifts. This property would cause the characterisations of the resulting metal-ligand complex difficult, thus the use of larger peptides would not be feasible in this project. Also, the longer peptides, such as  $\text{A}\beta_{42}$ , can rapidly aggregate and suddenly form fibrils. Therefore, when compared to the larger counterparts, the truncated 28 residues long  $\text{A}\beta$  peptide ( $\text{A}\beta_{1-28}$ ) is practically more manageable, in regard to its propensity to precipitate or aggregate out of a solution. Shorter  $\text{A}\beta$  peptides cannot be used as under biological conditions pH of 7.4, it will not undergo aggregation (Mekmouche et al., 2005), thus rendering secondary structural studies less relevant to the disease development. Furthermore,  $\text{A}\beta_{1-28}$  contains three histidine residues which are possibly involved in metal coordination. Hence this study will use the  $\text{A}\beta_{1-28}$ , with the sequence of DAEFRHDSGYEVHHQKLVFFAEDVGSNK. Since the precise mechanism of  $\text{A}\beta$  aggregation is yet to be identified, it is expected that the outcome of this study can enhance our understanding of  $\text{A}\beta$  aggregation in terms of the role of metal ions in the process.

## **1.7 Oxidative stress and Alzheimer's disease**

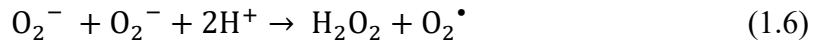
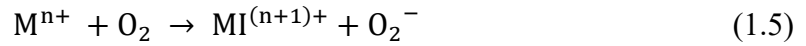
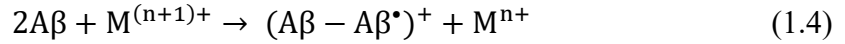
Another feature of AD is its association with oxidative stress. Oxidative stress occurs with the increased production of reactive oxygen species (ROS), caused by the inadequate detoxification of cellular antioxidant defence system. ROS is a collective term for oxygen radicals (superoxide and hydroxyl) and non-radical derivatives (hydrogen peroxide), they bear unpaired electrons (Kim et al., 2015). ROS are very unstable and readily cause damages in macromolecules such as proteins, lipids and nucleic acids (Bhattacharya, 2015).

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The brain is susceptible to oxidative stress as a result of relatively low concentrations of antioxidants, higher levels of polyunsaturated fatty acids and the elevated oxygen requirements (Evans, 1993; Huang et al., 2016). In particular, the mitochondria is a major source of oxidative stress, as it requires high levels of oxygen for the energy production (Bhat et al., 2015). A characteristic pathological feature of AD is oxidative stress and mitochondrial dysfunction. In AD patients, in the neocortex exhibits metabolic markers of oxidative stress include free radical induced damage of brain proteins, lipids and DNA. Increased concentration of redox active metals ions,  $\text{Cu}^{2+}$  and  $\text{Fe}^{2+}$  result in the production of ROS via the involvement in Fenton reactions and Haber-Weiss reaction. These reactions are shown below in Equations 1.1 to 1.3-



The biochemical relationships amongst  $\text{A}\beta$ , metal ions, plaques, oxidative stress and AD is multifaceted and intriguing. *In vitro* evidence suggests  $\text{A}\beta$  plays a role in oxidative stress in AD brain, such as synthesised  $\text{A}\beta$  can cause lipid peroxidation of synaptosomes (Butterfield et al., 1994). The coordination of  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$  to  $\text{A}\beta$  has been found to catalyse the production of ROS, in particular the highly reactive hydroxyl radical (Nakamura et al., 2007).  $\text{A}\beta$  is also able to reduce  $\text{Cu}^{2+}$  to  $\text{Cu}^+$  and  $\text{Fe}^{3+}$  to  $\text{Fe}^{2+}$ , simultaneously generating ROS, hydrogen peroxide and hydroxyl radical (Huang et al., 1999). The mechanistic reaction of this is detailed by Huang et al. (2004) in Equations 1.4 to 1.6.



This subsequently demonstrates a relationship between A $\beta$ , metal ion and oxidative stress. On one hand, A $\beta$  production and metal ions can play an important role in the oxidative process and subsequent mitochondrial dysfunction seen in AD (Sayre et al., 2005; Murakami et al., 2012; Trist et al., 2018). On the other hand, metal dyshomeostasis and oxidative stress are major factors that trigger the production and oligomerization of A $\beta$  in AD (Butterfield, 2002; Drago et al., 2008; Tabner et al., 2011; Murakami et al., 2012). Therefore, the interactions between oxidative stress, metal ions and A $\beta$  may be the multifaceted culprits which trigger and accelerate AD pathogenesis.

## 1.8 AD pathogenesis hypotheses

In the past decades, many different hypotheses for AD pathogenesis have been proposed. Some, such as the cholinergic hypothesis of AD state cognitive impairment comes from dysfunction of acetylcholine (Francis et al., 1999). However, trials to inhibit this pathway with symptom-treating receptor antagonists, had limited efficiency (Weinstock, 1995). Hence the quest for more causative pathogenic targets continues until the present. Stemming from the different features of the disease, the three main hypotheses have emerged, i.e., amyloid cascade hypothesis, metal ion hypothesis and oxidative stress hypothesis. A major theme of my study is the interaction between A $\beta$  and metal ions as well as the oxidative process surrounding this interaction, thus this section will discuss these competing hypotheses.

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### 1.8.1 Amyloid cascade hypothesis

Although multiple risk factors have been associated with the sporadic AD, it is not clear what factors can cause the disease. However, one thing is almost certain, that is, A $\beta$  is linked to AD, albeit whether it is the cause or effect is still debatable. The ‘amyloid cascade hypothesis’ is the most influential model for the pathology of AD proposed over the last 25 years (Karran and De Strooper, 2016). It suggests the deposits of A $\beta$  aggregates in the brain is imperative step in the development of AD (Hardy and Higgins, 1992). The abnormal processing of APP, as well as its aberrant metabolism, causes excessive A $\beta$  in the brain, therefore, resulting in A $\beta$  aggregation, neurodegeneration and neuronal cell death accompanied with AD (Budimir, 2011).

This hypothesis is supported firstly by the discovery of the APP gene which exists on chromosome 21. APP gene is mutated in both familial AD and Down syndrome (Barber, 2012). Secondly, observations of individuals with Down syndrome are affected by neuropathological features indistinguishable from AD patients (Olson and Shaw, 1969; Glenner and Wong, 1984). Studies showed that in Down syndrome the temporal sequence of events initiated with the formation of plaques, followed by the development of neurofibrillary tangles and the subsequent neuronal cell damage also seen in AD (Rumble et al., 1989).

As the amyloid cascade hypothesis suggests that A $\beta$  deposition in the brain is the cause of AD (Hardy and Higgins, 1992), thus implying that if A $\beta$  deposition is eliminated, AD would be cured or ameliorated in human subjects. However, the data accumulated in the years after the hypothesis proposed, even Hardy himself (Hardy, 2009) asked the provocative question, “Has the amyloid cascade hypothesis for Alzheimer's disease been proved?”. Clinical trials with A $\beta$ -related immunotherapy provided inconclusive answers. A $\beta$  immunotherapy with synthetic A $\beta$

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peptide (AN-1792) and adjuvant QS-21 was the first active immunotherapy for AD (Robinson et al., 2004; Lee et al., 2005). The therapy was based on AN-1792 provoking the immune response in order to eliminate amyloid deposits in brain (Nicoll et al., 2003). Preclinical evidence demonstrated the therapy prevented A $\beta$  deposition. However, Phase IIA trials were suspended in early 2002 when 6% of patients, who received the vaccine, developed meningoencephalitis (Robinson et al., 2004; Gilman et al., 2005). Despite the trials being abandoned, studies found A $\beta$  immunotherapy can be beneficial in AD, as post mortem analysis demonstrated the clearance of senile plaques in the immunised group (Holmes et al., 2008). The amyloid cascade hypothesis has continued to gain support in the past two decades, with genetic studies and many current treatment strategies intending to reduce A $\beta$  production or increase A $\beta$  metabolic rate (Barage and Sonawane, 2015).

### **1.8.2 Metal ion hypothesis**

With what specifically initiates the excessive A $\beta$  and the variation in its metabolism still unknown, and the incomplete success for therapeutic anti-amyloid drugs, numerous studies point to the other factor, i.e. metal ions. This is triggered by the fact that metal ions were found in amyloid plaques and that A $\beta$  accumulation and aggregation can be markedly affected by metal ions in the brain (Bolognin et al., 2011; Kim et al., 2018). The ‘metal ion hypothesis’, also known as the ‘neurotoxic trace element hypothesis’, suggests that the aggregation of A $\beta$  and its neurotoxicity is mediated by metallochemical reactions, as well as impaired metal homeostasis (Bush and Tanzi, 2008). The metal ion hypothesis indicates that the interaction of A $\beta$  with metal ions is the causative factor of neuronal cell loss and AD (Blessed et al., 1968; Mann and Esiri, 1989; Selkoe, 1991; Kim et al., 2018). In support of the metal hypothesis, it has been shown that AD patients have elevations in brain iron (Fe<sup>2+</sup>) level and accumulation of

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copper ( $\text{Cu}^{2+}$ ), zinc ( $\text{Zn}^{2+}$ ) and aluminium ( $\text{Al}^{3+}$ ) in cerebral  $\text{A}\beta$  deposits such as senile plaques (Cherny et al., 2001; Bush et al., 2003; Miller et al., 2006). An important breakthrough for the metal ion hypothesis, was numerous observations that  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$ ,  $\text{Fe}^{2+}$  and  $\text{Cu}^{2+}$  are essential for formation and structural integrity of  $\text{A}\beta$  aggregates, oligomers, and fibrils (Atwood et al., 1998; Lovell et al., 1998; Dong et al., 2003; House et al., 2004; Huang et al., 2004; Miller et al., 2006; Talmard et al., 2009). Metal ions can also bind to the location of the  $\gamma$ -secretase cleavage, which subsequently limits the cleaving by  $\beta$ -secretase (Bush et al., 1993). This results in the increased production of  $\text{A}\beta$ .

### **1.8.3 Oxidative stress hypothesis**

The third hypothesis of AD pathogenesis asserts that age, environmental or genetically enhanced oxidative stress results in the gene defects and declining mitochondrial function and triggers the pathogenesis of AD (Markesbery, 1997; Bhat et al., 2015; Cheignon et al., 2018). The oxidative stress leads to neurological disorders, by initiating apoptosis in neurons (Nakamura et al., 2007; Dumont and Beal, 2011). The neurological apoptosis occurs by triggering a range of pathways from lesions, misfolded proteins, excitotoxicity or  $\text{Ca}^{2+}$  dyshomeostasis (Beal, 1995). Numerous post mortem human studies support that oxidative stress is a constant feature of the AD brain pathology, with the increased oxidative stress biomarkers and ROS-oxidized proteins detected in the frontal and parietal lobes and in the hippocampus of AD (Subbarao et al., 1990; Hensley et al., 1995; Smith et al., 1997; Markesbery and Carney, 1999; Lauderback et al., 2001; Butterfield et al., 2006). Mice models demonstrate oxidative stress features of lipid and protein oxidation is present in the early development of their phenotype (Matsuoka et al., 2001; Anantharaman et al., 2006). As

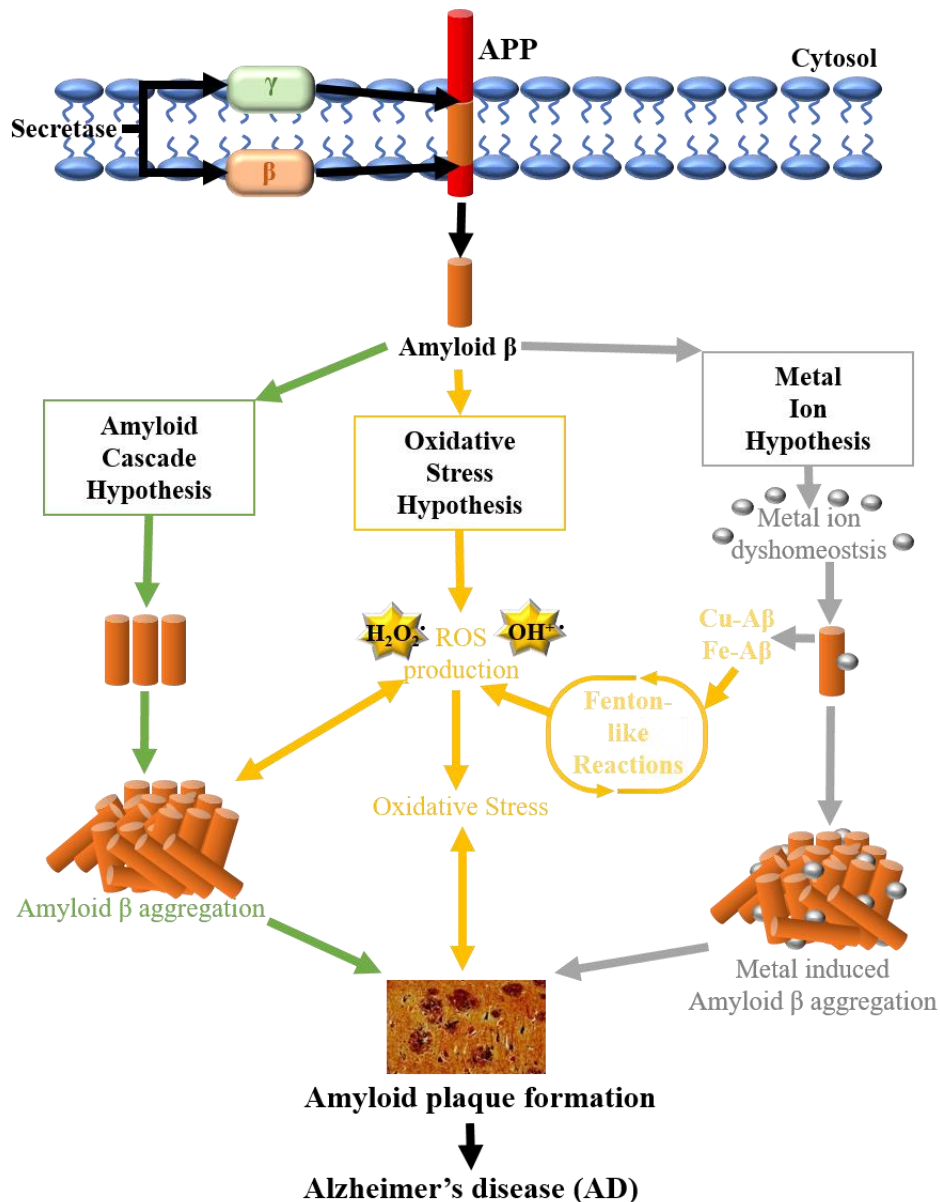
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indicated in section 1.7, A $\beta$  aggregates have the potential to form ROS. Hence the neurodegeneration around the amyloid plaques are also associated to oxidative stress.

#### **1.8.4 Alzheimer's disease multifactorial nature**

The amyloid cascade hypothesis, metal ion hypothesis and oxidative stress hypothesis reveal the multifactorial nature of AD, with several pathological factors such as oxidation, inflammatory response, disruption of metal ion homeostasis considered as both the triggers and subsequent events of A $\beta$  aggregation (Mantyh et al., 1993; Hou et al., 2004; Huang et al., 2004; Kurganov et al., 2004; Boyd-Kimball et al., 2005; Hung et al., 2008; Bolognin et al., 2011; Meisl et al., 2016). While the etiological mechanism of AD remains unclear, evidence supports the notion that metals are critically involved as factors or cofactors in AD etiopathogenesis. Many features of the three hypotheses are inextricably linked via metal ions, as shown in Figure 1.3. Metal ions are related to both A $\beta$  aggregates and oxidative stress, indicating a consequential involvement in AD.





**Figure 1.3 Schematic description of the hypotheses for Alzheimer's disease.** Amyloid  $\beta$  ( $A\beta$ ) monomers produced via the cleavage of APP (amyloid precursor protein) by  $\beta$ - and  $\gamma$ - secretase. In the amyloid cascade hypothesis  $A\beta$  monomers aggregate, resulting in the formation of amyloid plaques (image sourced from Tschanz, 2011) which in turns cause AD. The production of ROS plays a major role in the oxidative stress hypothesis. ROS are believed to be either the cause or a by-product of  $A\beta$  aggregation and amyloid plaques. ROS can also be formed by Fenton-like reactions through the binding of  $A\beta$  monomers to  $Cu^{+2+}$  or  $Fe^{2+/3+}$ , leading to  $A\beta$  aggregation and amyloid plaques. The metal ion hypothesis highlights metal dyshomeostasis which leads to  $A\beta$  aggregation and AD. These three hypotheses are connected, as metal ions can be involved in the ROS generation,  $A\beta$  aggregation, and the formation of amyloid plaques.

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## 1.9 Metal ions associated with Alzheimer's disease

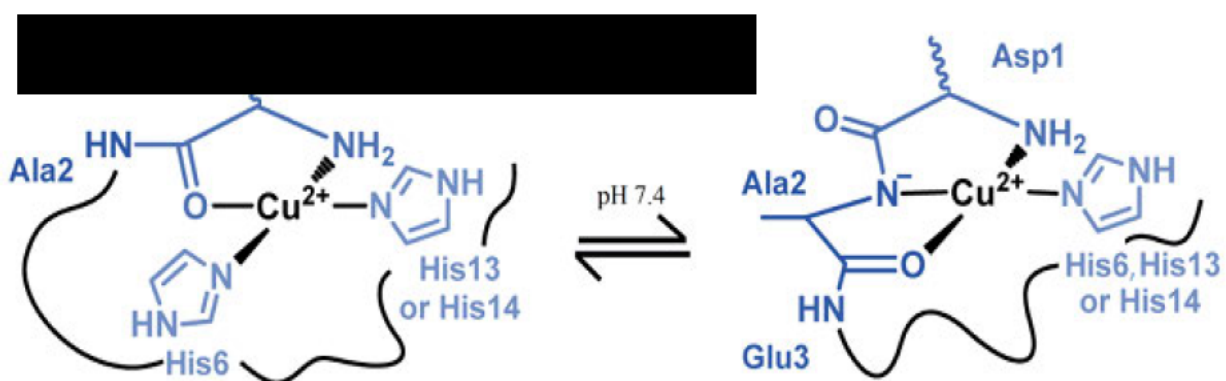
The dyshomeostasis of the brain's metal ions promotes A $\beta$  aggregation. In the case of copper and iron, they can generate reactive oxygen species, which may involve in AD development (Bush and Tanzi, 2008; Drew, 2017). Donnelly et al (2007) referred to A $\beta$  as metal sinks due to the high concentration of metals in A $\beta$  plaque. Metals Al<sup>3+</sup>, Zn<sup>2+</sup>, Cu<sup>+</sup>/Cu<sup>2+</sup> and Fe<sup>2+</sup>/Fe<sup>3+</sup> have been found in amyloid plaque of AD patients at concentration of 0.5-1 mmol L<sup>-1</sup> (Faller and Hureau, 2009). Along with the global warming and elevated environmental pollution, our exposure to metal ions increases and this in turn adversely affects human health. The role of metal ions (targeted in this study i.e. copper, zinc, aluminium, arsenic and chromium) in A $\beta$  coordination and aggregation has been investigated in the past years, the findings are reviewed below.

### 1.9.1 Copper

Copper has a major role in brain metabolism, it is essential for Cu/Zn superoxide dismutase (SOD1) for antioxidant defence, electron transport, mitochondrial respiratory chain, dopamine  $\beta$ -hydroxylase, biosynthesis, and ceruloplasmin for iron homeostasis (Waggoner et al., 1999; Donnelly et al., 2007). Cu<sup>2+</sup> homeostasis is particularly important, as concentrations of free Cu<sup>2+</sup> exceeding 10<sup>-18</sup> M can cause oxidative damage (Rae et al., 1999). Numerous studies have indicated Cu<sup>2+</sup> homeostasis is severely impaired in AD (Brown and Kozlowski, 2004; Gaggelli et al., 2006). Elevated concentrations of Cu<sup>2+</sup> have been found in AD brains (Zheng et al., 2010). The Cu<sup>2+</sup> levels in the serum of AD patients is 54% higher than controls (Squitti et al., 2002). The Cu<sup>2+</sup> concentrations of 19.3  $\pm$  6.3  $\mu$ g/g are found in AD neuropil and up to 30.1  $\pm$  11.0  $\mu$ g/g in amyloid plaques (Lovell et al., 1998). A $\beta$  has a high affinity for Cu<sup>2+</sup> and coordination is found to alter the morphology of aggregated A $\beta$  fibrils (Cherny et al., 1999). In primary cortical

neurons, the binuclear Cu-A $\beta_{1-42}$  complex is neurotoxic. The Cu-A $\beta_{1-42}$  complex exhibits indicators of oxidative stress, inducing higher levels of lipid peroxidation and dityrosine (Smith et al., 2006). ROS production is frequently attributed to Cu $^{2+}$ , in comparison to AD related Fe $^{2+}$ , as Cu $^{2+}$  has a higher redox activity (Nakamura et al., 2007 ; Cheignon et al., 2018).

*In vitro* studies have found that A $\beta$  has a high affinity to Cu $^{2+}$  and that the morphology of the Cu $^{2+}$  bound A $\beta$  aggregates are distinguishable from the other metals tested (Zn $^{2+}$ , Ca $^{2+}$  and Mg $^{3+}$ ) (Cherny et al., 1999). Cu $^{2+}$  normally binds A $\beta$  in a 1:1 stoichiometry (Syme et al., 2004). Hou and Zagorski (2006) found a weak detection of the signal for the  $^1\text{H}$  atoms bonded to  $^{15}\text{N}$  atoms of the histidine residues, thus confirming findings that imidazole nitrogen of histidine are metal binding sites for Cu $^{2+}$  (Watt et al., 2011), with the possible existence of a second, low-affinity binding site situated around the N-terminus (Asp1 and Ala2). The coordination of Cu $^{2+}$  to A $\beta$  has been comprehensively studied with a few techniques (Beauchemin and Kisilevsky, 1998; Syme et al., 2004; Streltsov et al., 2008). The most accepted coordination modes (Hureau, 2012) are illustrated in Figure 1.4, with the corresponding binding affinity ( $K_D$ ) of  $10^{10} \text{ M}^{-1}$  (Alies et al., 2013).



**Figure 1.4 Proposed coordination modes of Cu $^{2+}$  to A $\beta$ .** Structure is based on bibliographic data (Hureau, 2012) the corresponding binding affinity constant values ( $K_D$ ) at pH 7.4 are found to be  $10^{10} \text{ M}^{-1}$  (Alies et al., 2013).

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At physiological pH,  $\text{Cu}^{2+}$  binding modes are found with the distorted square planar geometry, which is preferred by the  $d^9$  metal ion. The slight fluctuation around physiological pH results in the structural interconversions between Figure 1.4A and B. The coordination mode of Figure 1.4A is adopted at slightly lower pH, where  $\text{Cu}^{2+}$  binds via the histidine residues, N-terminal amine and carbonyl of the amide bond between Asp1-Ala2. At a higher pH, the binding mode of Figure 1.4B is found, in which the  $\text{Cu}^{2+}$  coordination through a histidine residue, N-terminal amine, the carbonyl of the amide bond between Ala2-Glu3 and the deprotonated nitrogen of the amide bond between Asp1-Ala2. As the interaction of  $\text{Cu}^{2+}$  and  $\text{A}\beta$  has been previously established, it will not be the main metal of focus in my study.

### 1.9.2 Zinc

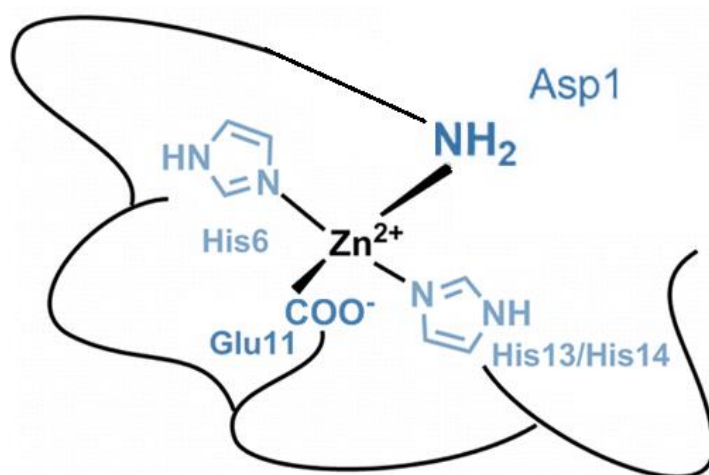
Zinc ( $\text{Zn}^{2+}$ ) is a *d* block metal with a  $d^{10}$  configuration and an atomic number of 30. It is one of the essential trace metal ions in living organisms - the second most abundant transition metal after iron ( $\text{Fe}^{2+/3+}$ ). In the recent decades,  $\text{Zn}^{2+}$  has been found to be involved in multitudes of biological functions. In the central nervous system (CNS),  $\text{Zn}^{2+}$  plays a central role in processes such as apoptosis, oxidative stress, immune defence, neurogenesis, motor coordination, memory, and synaptic plasticity (Lu et al., 2000; Smart et al., 2004; Kepp, 2012). The majority of  $\text{Zn}^{2+}$  is in the testes, muscle, liver, and brain (Chen et al., 2016). However, zinc dyshomeostasis has been associated with AD, and vascular-type dementia (Watt et al., 2011; Kawahara et al., 2014). Burnet (1981) was the first to suggest the role of zinc in AD pathogenesis. The genetic risk factor in familial AD, the ApoE4 gene is related to higher serum levels of  $\text{Zn}^{2+}$  (Mattson, 2004).

Evidence indicates  $\text{Zn}^{2+}$  affect  $\text{A}\beta$  in numerous ways, via transcription factor zinc-fingers, as bound  $\text{Zn}^{2+}$  in active sites of proteases (Lovell, 2009), and direct coordination to  $\text{A}\beta_{40/42}$  (Yang et al., 2000; Danielsson et al., 2007).  $\text{Zn}^{2+}$  can also coordinate to APP, at the  $\alpha$  secretase site

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(Bush et al., 1993). Consequently cleavage of APP is left to  $\beta$  and  $\gamma$  secretase which increases production of  $A\beta_{40/42}$  (Bush et al., 1994). Zinc-dependent amyloidosis is indicated to be a result from zinc-amyloid interaction (Mantyh et al., 1993). Under physiological condition *in vitro*, rapid  $A\beta$  aggregation mediated by  $Zn^{2+}$  have been observed (Huang et al., 2000).

Similar to  $Cu^{2+}$ , the interactions of  $Zn^{2+}$  and  $A\beta$  have been well documented (Yang et al., 2000; Danielsson et al., 2007; Faller and Hureau, 2009; Nair et al., 2010; Watt et al., 2011). Previous findings indicate that  $Zn^{2+}$  binds to  $A\beta$  in a 1:1 stoichiometry with a mononuclear binding site (Danielsson et al., 2007). The study found the majority of  $Zn^{2+}$  binding sites are located within the first 16 residues in the hydrophilic section of the N-terminal, as only two sites are present on the carboxyl terminal (Atrián-Blasco et al., 2017). The three histidine residues in the N-terminus of  $A\beta_{42}$  peptide, are involved in zinc binding (Danielsson et al., 2007; Watt et al., 2011) with an equilibrium between His13 and His14 for one binding position anticipated (Alies et al., 2016). Studies using  $A\beta$  analogues supported this equilibrium, finding that all three histidine residues (His 6, His 13 and His 14) are zinc binding sites (Liu et al., 1999; Yang et al., 2000).



**Figure 1.5 Proposed coordination mode of  $Zn^{2+}$  to  $A\beta$ .** Structure is based on bibliographic data (Danielsson et al., 2007; Watt et al., 2011) with an binding affinity constant values ( $K_a$ ) at pH 7.4 are found to be  $10^5 M^{-1}$  (Alies et al., 2016).

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Based on these studies the most plausible first-coordination sphere structure of  $Zn^{2+}$ -A $\beta$  is illustrate in Figure 1.5. The coordination mode of  $Zn^{2+}$  to A $\beta$ , maintains the  $Zn^{2+}$  preferred tetrahedral geometry, binding through two histidine residues (His6 and His13 or His14), as well as the N-terminus of Asp1 and the carboxylic acid R group of Glu11 (Gaggelli et al., 2007)  $Zn^{2+}$  along with  $Al^{3+}$  will be investigated in this study.

### 1.9.3 Aluminium

Aluminium is the third most abundant metallic element found on earth (Exley, 2004). Due to its abundance  $Al^{3+}$  is readily found in water, airborne dust and foods. It is also used in antiperspirants sprays and medicines such as adjuvant in vaccines and antacids. The main contributor for the intake of  $Al^{3+}$  in humans is via food and drink.  $Al^{3+}$  is insidious as it accumulates over time. Since the kidneys are the key organ for detoxification, neurotoxic effects of  $Al^{3+}$  have been described in dialysis patients (Parkinson et al., 1981), who exhibited elevated aluminium concentrations in plasma and brain tissue. Such patients showed disorientation, memory impairments, and, at advanced stages, dementia (Parkinson et al., 1981).  $Al^{3+}$  exhibits a high affinity to proteins, which it is able to cross-link.

Despite aluminium being defined as redox inactive,  $Al^{3+}$  can become redox-active with the use of a very harsh reducing agent (Myers et al., 2011). It also has potent pro-oxidant abilities demonstrated by the formation of an aluminium superoxide semi-reduced radical cation  $\cdot AlO_2^{2+}$ , which is more powerful oxidant than either  $O_2^{\cdot-}$  or  $HO_2^{\cdot-}$  (Exley, 2004). The ability of  $Al^{3+}$  to be a neurotoxin was established over 100 years ago (Yokel, 2012).  $Al^{3+}$  plays a role in dialysis encephalopathy (Alfrey et al., 1976) and increases proinflammatory cytokines in the CNS (Campbell, 2004). In human breast MCF-7 cancer cells, the presence of  $Al^{3+}$  was found to

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increase the processes of metastasis (Darbre et al., 2013). Understandably the safety of  $\text{Al}^{3+}$  in humans has been controversial for a century.

One of the major sources of  $\text{Al}^{3+}$  exposure is food preservatives or contamination from food, cooking and cookware, which accounts for an intake of about 20 mg/day (Greger and Sutherland, 1997). Hence  $\text{Al}^{3+}$  is commonly absorbed through the gastrointestinal tract. The inhalation of  $\text{Al}^{3+}$  in antiperspirant aerosol or as air-borne particulates allows the absorption of  $\text{Al}^{3+}$  through across the respiratory tract into systemic circulation (Yokel, 2012). Aluminium adjuvants are also present in vaccines (Hem, 2002).

Various  $\text{Al}^{3+}$  chemical species can occur *in vivo*, which possess various physical, chemical and biological properties. The presence of  $\text{Al}^{3+}$  have been detected in numerous biological fluids including urine (Davenward et al., 2013), CSF (Roos et al., 2013), sweat (Genuis et al., 2011) and seminal fluids (Hovatta et al., 1998). Aluminium is excreted from the body by a number of different modes of excretion, for non-systemic aluminium faeces is the major route and urine eliminates systemic aluminium (Ruipérez et al., 2012).

According to UV spectroscopy and thermodynamic modelling two primary aluminium species exists in blood extracellular fluid (ECF) (Harris et al., 2003). In plasma, Al transferrin (Tf) accounts for approximately 93% and Al citrate accounts for about 5.5% of  $\text{Al}^{3+}$  species (Yokel, 2012).  $\text{Al}^{3+}$  has no known biological role, however, it is present in human brain tissue in concentrations of 1.02 to 2.01  $\mu\text{g/g}$  dry weight across the four main lobes (House et al., 2012).  $\text{Al}^{3+}$  has two potential routes to enter the brain from blood, firstly through the blood brain barrier (BBB) and secondly through the choroid plexus (CP) into the CSF (Xu et al., 1992; Allen et al., 1995 ). Concentrations of aluminium is higher in plasma, but the metal ions can rapidly enter brain ECF and CSF (Yokel, 2012).

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$\text{Al}^{3+}$  is the first exogenous metal to be identified as a risk factor in AD, as reviewed by multiple authors (Neri and Hewitt, 1991; Bondy, 2010; Frisardi et al., 2010; Tomljenovic, 2011). Recent reports have indicated that the exposure to  $\text{Al}^{3+}$  contributes to the development of AD (Exley, 2014). Studies found that in post mortem studies of familial AD patients the mean  $\text{Al}^{3+}$  concentration of the occipital, frontal, temporal and parietal lobes was 3.89, 3.66, 2.03 and 1.61  $\mu\text{g/g}$  dry weight respectively (Mirza et al., 2017). Oxidative stress is accelerated by  $\text{Al}^{3+}$  *in vivo* and *in vitro* (Khan et al., 2006). The reaction of  $\text{Al}^{3+}$  with superoxide ( $\text{O}_2^-$ ) produces radical ions ( $\text{AlO}_2^{2+}$ ) which increase the Fenton and Haber-Weiss reactions (Exley, 2004).

Unlike  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ ,  $\text{Al}^{3+}$  is not considered as an AD related metal and the metal ions association to AD is still controversial, because of insufficient evidence. However, numerous studies provide possible mechanisms for the involvement of  $\text{Al}^{3+}$  in amyloid plaque formation (Neri and Hewitt, 1991; House et al., 2004; Drago et al., 2008; Chen et al., 2011). Similar to other metal ions,  $\text{Al}^{3+}$  is found directly bond to  $\text{A}\beta$  in senile plaques (Yumoto et al., 2009). A study aimed to determine the different effects of  $\text{Cu}^{2+}$ ,  $\text{Fe}^{2+}$ ,  $\text{Fe}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Al}^{3+}$  on the aggregation and toxicity of  $\text{A}\beta$  showed that metal binding to  $\text{A}\beta$  significantly altered the chemical properties of  $\text{A}\beta$ , and that  $\text{Al}^{3+}$  was found to induce one of the most irregular aggregation behaviour, leading to the formation of highly toxic amyloid fibrils (Bolognin et al., 2011). Aggregation caused by the presence of aluminium was greater compared to zinc, copper and iron (Kuroda and Kawahara, 1994).  $\text{A}\beta$  bound to  $\text{Al}^{3+}$  was revealed to show the largest radius of 30 nm for the resulting aggregates. Studies suggest trivalent metal ions such as  $\text{Al}^{3+}$  are significant to the formation of plaques, whereas  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  are deposited adventitiously (House et al., 2004; Exley, 2006). Along with the effect of  $\text{Al}^{3+}$  on  $\text{A}\beta$  aggregation the use of enzyme-linked immunosorbent assay (ELISA) indicated  $\text{Al}^{3+}$  was the only metal ion bound  $\text{A}\beta$  species which induced the production of excessive APP (Bolognin et al., 2011). Walton and Wang (2009)



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demonstrated APP is upregulated *in vivo*, under Al<sup>3+</sup> exposure in rat models. However, a contradicting study indicated that it did not increase the expression of APP compared to other AD related metal ions (Lin et al., 2008).

The trivalent aluminium ion has an effective radius of 0.51 Å (Aghav et al., 2011). As it has a positive charge, the aluminium ion will readily accept electron pair donors and function as a Lewis acid. The high charge and small ionic radius of Al<sup>3+</sup> gives it a strong polarizing effect on the adjacent atoms and can be classified as a hard Lewis acid (McCafferty, 2003). It can form complexes of higher stability with ligands containing hard donor groups. As a hard Lewis acid it has an affinity for bases. Ligands with the most effective donor atoms possess a strongly basic negative charge. In complexes Al<sup>3+</sup> prefers binding to oxygen atoms due to the smaller size however, nitrogen binding also occurs (Djurdjevic et al., 2005). A coordination numbers of four, five and six are most commonly reported for Al<sup>3+</sup>, allowing the preferred geometry of tetrahedral and octahedral (Jennison et al., 1999; Streitz and Mintmire, 1999). Too many ligands coordinating to Al<sup>3+</sup> would be less stable as a result of crowding a relatively small metal ion radius, however an insufficient amount of ligands would cause Al<sup>3+</sup> into an unfavourable geometry (Urwin et al., 2016).

The interaction of Al<sup>3+</sup> and A $\beta$  is the major theme of this thesis. Although the coordination of Al<sup>3+</sup> to A $\beta$  is implicated in the pathogenesis of AD, the molecular details of aluminium binding with A $\beta$  is lacking until the present. This thesis will aim to determine the coordination of Al<sup>3+</sup> in A $\beta$  and determine the binding affinity, as well as study the effect of Al<sup>3+</sup> on the expression of APP gene.

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## 1.10 Toxic metal exposure

Since the early 20th century the industrial production and emissions of toxic metals have dramatically increased. This enhances the risk of exposure to human beings. Two highly toxic metal ions which are associated to our aging population are discussed below.

### 1.10.1 Arsenic

Arsenic is naturally present in ground and drinking water, air and foods. Its mining and industrial usage leads to the increased contamination of water and crops. Rice, the staple food of Asia, often contains an elevated level of arsenic, due to pollution and its natural prevalence in ground waters originating from the river systems of the Himalayas (Sohn, 2014). The metalloid ion exists in multiple oxidation states, but mainly trivalent  $\text{As}^{3+}$  or pentavalent  $\text{As}^{5+}$ . Both  $\text{As}^{3+}$  and  $\text{As}^{5+}$  are toxic. Particularly,  $\text{As}^{3+}$  is becoming increasingly abundant in our environment. This study uses sodium arsenite ( $\text{NaAsO}_2$ ), therefore, focusing on  $\text{As}^{3+}$ . In arsenic pathogenesis the reactive oxygen species-induced oxidative damage is a common characteristic, and arsenic also alters the integrity of mitochondria (Jomova et al., 2011). Chronic arsenic toxicity is a global environmental health problem affecting millions of people in the United States, Germany, Bangladesh and Taiwan. For example, an epidemiology study conducted by Lin et al (2013) evaluated the arsenic levels in drinking water and mortality of liver cancer in Taiwan, finding that within the analysed 20-year period, there were 1130 cases of liver cancer (802 males and 301 females). The study found that villages exposed to arsenic concentrations of 0.64 mg/L and above from the water supply, showed an increase in the liver cancer cases.

Furthermore, arsenic has also been linked to AD (Gong and O'Bryant, 2010). Arsenic toxicity induces hyperphosphorylation of protein Tau and up-regulated transcription of the amyloid

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precursor protein (APP), which are involved in the formation of neurofibrillary tangles and brain amyloid plaques (Dewji et al., 1995; Giasson et al., 2002). Compared to the research intensity on the other metals such as  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$  and  $\text{Al}^{3+}$ , there is a limited amount of data about the effect of  $\text{Ar}^{3+}$  on neurons and  $\text{A}\beta$  aggregation. Anyhow, the association of  $\text{Ar}^{3+}$  with AD may have illustrated at least one aspect of AD, that is, there are many factors involved in AD development in humans. If the ligands used in this study could coordinate strongly to  $\text{Ar}^{3+}$ , the therapeutic aspect of the ligand would be quite useful in ameliorating arsenic toxicity and its related health problems.

### 1.10.2 Chromium

Chromium is the sixth most abundant element in the earth's crust (De Flora, 2000). The benefits of chromium have been exploited in many areas such as leather tanning, electroplating, stainless steel production and clinical orthopaedics. It is predominantly found in the stable trivalent state or  $\text{Cr}^{3+}$  form, and the strong oxidizing hexavalent state (VI) or  $\text{Cr}^{6+}$  form (Barceloux, 1999). The hexavalent  $\text{Cr}^{6+}$  ion is highly toxic and has carcinogenesis effects in humans (Zhitkovich, 2011). The Birmingham hip resurfacing arthroplasty resulted in the significant increase in serum chromium levels (Weinstock, 1995). The body is able to reduce  $\text{Cr}^{6+}$  to  $\text{Cr}^{3+}$ , which is imperative as  $\text{Cr}^{3+}$  is essential in the metabolism of cholesterol, fat and glucose, with required concentrations of 50 to 200  $\mu\text{g}/\text{day}$  (Jones, 1990). However higher concentrations of  $\text{Cr}^{6+}$  can lower the body's reduction capability, consequently the hexavalent ions will accumulate and damage DNA (Jones, 1990; Nigam et al., 2014). Research has shown presence of  $\text{Cr}^{6+}$  increase the development of DNA adducts, DNA-protein cross links, breaking of DNA strands, the aberrations and instability of chromosomes (Hu et al., 2011). Nigam et al. (2014) found that a large group of genes had a significant dysregulation of expression from exposure to  $\text{Cr}^{6+}$ . Thus, chromium homeostasis is

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critical to health, either excess amount or deficiency can adversely affect human's health. So far, there is no evidence of chromium's involvement in A $\beta$  aggregation. However, if the ligands in my study prevent Cr<sup>6+</sup> toxicity, the therapeutic aspect of the ligand would address various metal related health problems.

In summary, mounting evidence demonstrates the role of metal ions in human metabolism and diseases. In recent years, a new view has emerged, which focuses on a more general picture of metal ion homeostasis (White et al., 2006; Singh et al., 2013). This view details interaction of metal ions with A $\beta$  as just a part of the overall metal homeostasis process. This new thinking is in fact an extension of the metals hypothesis of AD, again accentuating the possible role of metals in A $\beta$  aggregation and oxidation.

### **1.11 Alzheimer's disease therapeutic rational**

The multifactorial nature of AD demonstrated by several pathological factors from, oxidation, inflammatory response, disruption of metal ion homeostasis, thought as the both the triggers and subsequent events of A $\beta$  aggregation (Mantyh et al., 1993; Hou et al., 2004; Huang et al., 2004; Kurganov et al., 2004; Boyd-Kimball et al., 2005; Hung et al., 2008; Bolognin et al., 2011; Meisl et al., 2016). This in turn supports the development of multifunctional therapeutic compounds in association to AD. Drug development for AD primarily focuses on Tau based and amyloid based strategies (Kepp, 2012). Considering the multiple factors are involved in the pathogenesis of AD, drugs targeting single causes are inefficient to prevent the progression of the neurodegeneration. The crucial role metal ions play in multiple pathways of AD supports that metal chelation therapy is a significant therapeutic approach. An effective drug for AD should have more than one or two of the

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following properties, restrict ROS production, metal chelating ability, high cellular uptake, ability to cross the BBB, antioxidant capabilities, reduce A $\beta$  aggregation or inhibit A $\beta$  production. However, designing metal chelators with selective and specific properties is highly challenging. As in the case of lipophilic metal chelator clioquinol (5-chloro-7-iodo-8-hydroxyquinoline, CQ), it significantly lowered amyloid plaques, resulting in improved cognitive behaviour in phase II clinical trials. However long term use of CQ, resulted in subacute myelo-optic neuropathy (SMON) (Cahoon, 2009 ; Mancino et al., 2009). CQ did demonstrate a close association between metal dyshomeostasis and the onset and/or progression of AD, although the underlying biochemical mechanisms for some conditions remain unclear. Nevertheless, there is a beneficial aspect for BBB penetrable antioxidant and metal-chelating compounds (DeWeerd, 2011).

## **1.12 Metal chelation therapy**

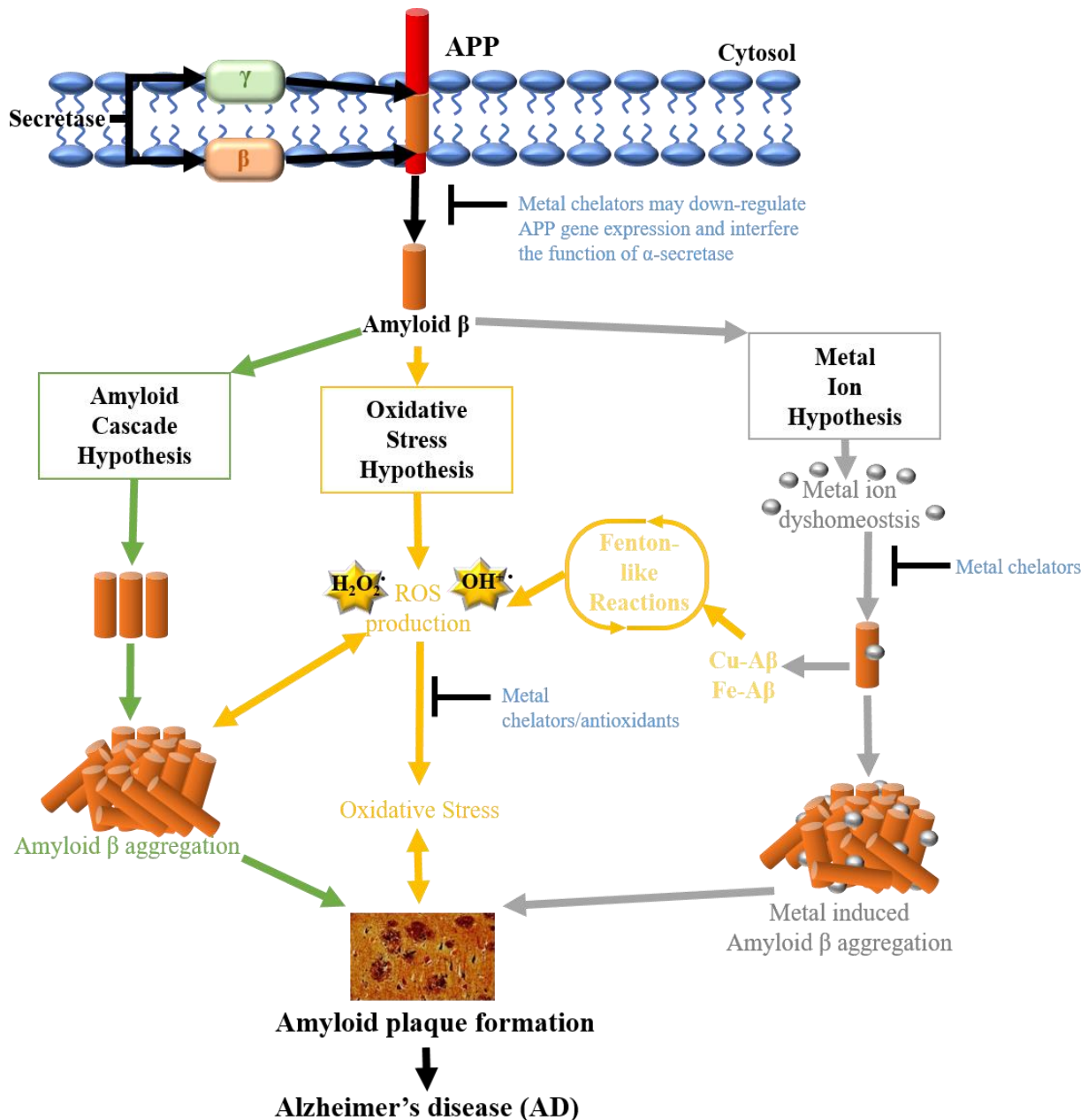
Chelation therapy is increasingly acknowledged as a worthwhile strategy for decreasing the abnormal accumulation of the metals such as copper, iron and zinc, as well as nonessential and toxic metals such as aluminium, cadmium, lead, mercury, chromium and arsenic (Yokel et al., 1996; Andersen, 2004). Chelation therapy becomes an alternative treatment for AD albeit still controversial, in spite of the undesirable clinical results of the chelators such as CQ and EDTA (Bush and Masters, 2001; Treiber et al., 2004; Hegde et al., 2009).

The underlying mechanism for metal chelation therapy is that coordination of chelating agent to a metal ion results in the formation of a stable complex, thus preventing the metal ion from interacting with other biological molecules such as A $\beta$ . Developing an effective therapeutic chelating agent requires desirable physical and chemical characteristics such as high affinity for

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the toxic metal, bioavailability, ability to penetrate cell membrane, high solubility, rapid elimination of metal ions, natural metabolism and excretion (Andersen, 2004).

Many chelating compounds are found in natural products or well-known drugs for other purposes (Park, 2010; B Pocernich et al., 2011). This natural metal chelators approach could be adapted to AD therapies, due to the multifunction capabilities. As shown in Figure 1.6 a natural multifunctional metal chelator (MMC) could address all pathogenic factors of the amyloid cascade, metal ion and oxidative stress hypothesis previously illustrated in Figure 1.3. In addition to being antioxidant and metal-chelating, those compounds should also be capable of crossing the BBB and must be of reasonable small molecular weight. The panel of ligands I have used were selected for their known metal sequestering properties, namely, histidine, glutathione (GSH), maltol, citric acid and malic acid. These ligands are naturally occurring, easily metabolised and not toxic to humans. By screening of these potential ligands, desirable metal ligands can be discovered. The following section will discuss the selected ligands as chelating compounds.



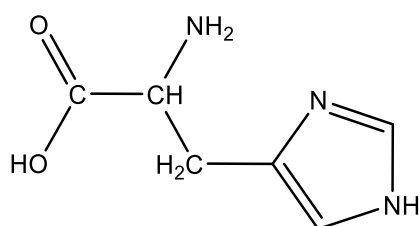
**Figure 1.6 Proposed therapeutic intervention by multifunctional metal chelators on Aβ aggregation.** The multifunctional metal chelators (MMC) can lower the function of β-secretase by preventing metal ions from binding to APP at the α-secretase cleavage site, therefore allowing the formation of sAPP<sub>α</sub>, which is nontoxic. MMC can prevent the over-production of APP and hence reducing Aβ accumulation in the amyloid cascade hypothesis. Certain MMC have antioxidant capabilities which can reduce oxidative stress and scavenge ROS in the oxidative stress hypothesis. Excess metal ions, from the metal ion hypothesis, can be removed by MMC before their interactions with Aβ, preventing the formation of ROS and Aβ aggregation. Image sourced from Tschanz, 2011.

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## 1.13 Chelating ligands

### 1.13.1 Histidine

Among the 20 naturally occurring amino acids, histidine (Figure 1.7) is the most active and versatile member that plays the multiple roles in protein interactions, often the key residue in enzyme catalytic reactions. This in turn accentuates the significance of histidine for A $\beta$  which contains three histidine residues in its N-terminus. It is, in this regard, an almost perfect metal binding peptide. A vast body of literature demonstrates that the metal coordinating role of histidine is of no surprise (Van Campen and Gross, 1969; Djurdjevic et al., 2001; Arispe et al., 2008; Shin and Saxena, 2008; Nair et al., 2010; Matsui et al., 2017). The imidazole side chain of histidine, shown in Figure 1.7, is a common coordinating site in metalloproteins and is a part of catalytic sites in many enzymes (Strange et al., 1987; Housecroft and Sharpe, 2008). The coordination role of histidine has also been applied in genetic engineering for protein expression and purification. Polyhistidine tag is widely used in design of gene constructs, so that the expressed proteins can be easily purified via nickel (Ni<sup>2+</sup>) affinity chromatography (Hochuli et al., 1988).



**Figure 1.7 Structure of histidine.**

Unlike the histidine residues in A $\beta$ , as a free amino acid, histidine has four potential coordination sites, through the carboxylate group, the amino group, and the two nitrogens of



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the imidazole. This study explores the role of histidine as a chelating ligand. Histidine may be able to form metal-ligand complexes with some of the metals of interest. This study will determine the binding affinity of histidine and metal ions, in comparison to the other ligands. The relative binding affinities of the ligands could determine the best coordinating ligands and can lead to future therapeutic agents. Smaller ligands like histidine have less steric hindrance, hence would readily bind to metal ions, prevent their accumulation and the subsequent aggregation of A $\beta$ .

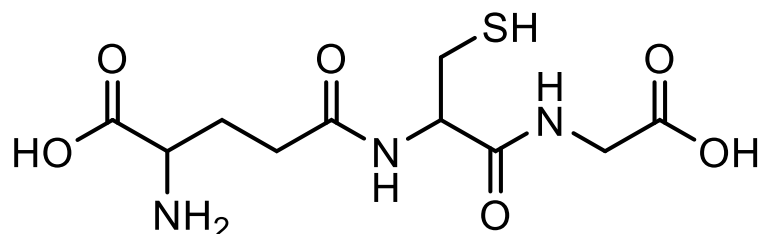
### **1.13.2 Glutathione**

GSH is a tripeptide (Figure 1.8) and is a prevalent endogenous antioxidant in the cell. GSH plays a fundamental role in detoxification of ROS and nucleophilic compounds, thus regulating the intracellular redox environment (Dringen, 2000). The concentration of GSH is decreased with age and in diseases with oxidative stress including AD (Liu and Choi, 2000). Neuronal cells are also protected against A $\beta$ <sub>42</sub> induced protein oxidation with the upregulation of GSH (Boyd-Kimball et al., 2005), and GSH was found to provide protection against A $\beta$ <sub>42</sub> induced apoptosis in cortical neurons (Medina et al., 2002; Barber and Griffiths, 2003). Hence GSH has potential as a therapeutic strategy in AD treatment.

In regards to the characteristic metal dyshomeostasis observed in AD, GSH is also one of the most versatile metal binding ligand, playing an essential role in metal storage, transport and metabolism (Hammond et al., 2001). The metal-related functions of GSH include the mobilization and delivery of metals to ligands, the cross transport of metal along cell membranes and finally, a cofactor in redox reactions involving metals (Ballatori et al., 2009).

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GSH is a tripeptide made up of three amino acid residues, namely glutamate, cysteine and glycine.



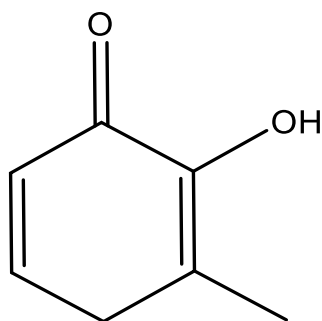
**Figure 1.8 Structure of glutathione**

GSH is a multidentate ligand, with the presence of eight potential metal binding sites, though simultaneously coordinated to a single metal ion is not possible. Hence the coordination chemistry of GSH to metals allow the formation of polynuclear complexes (Kreżel and Bal, 1999). The eight donor atom of GSH are classed as the amine donors, carboxylate donors, the thiol group and the peptide bonds. Due to the variety of donor atom types it is of no surprise that GSH is a versatile metal binding ligand. GSH has a high affinity for metals forming thermodynamically stable complexes with numerous metals including  $\text{Cr}^{6+}$ ,  $\text{Mn}^{2+}$ ,  $\text{Fe}^{2+}$ ,  $\text{Co}^{2+}$ ,  $\text{Au}^+$ ,  $\text{Ag}^+$ ,  $\text{Pb}^{2+}$ ,  $\text{Ni}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$ ,  $\text{Cd}^{2+}$  and  $\text{Hg}^{2+}$  (Kreżel and Bal, 1999; Wang et al., 2009; Liu et al., 2014; Ngamchuea et al., 2016). Hence this thesis will determine the molecular details of GSH in metal ion coordination, and its binding affinity with metal ions, in comparison to the other ligands. The comparison of GSH, an established metal chelator, to the other ligands could aid the determination of the strongest metal coordinating ligands. Multifunctional ligands such as GSH would readily coordinate metal ions and reduce the formation of reactive oxygen species.

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### 1.13.3 Maltol

The study of 3-hydroxy-2-methyl-4H-pyran-4-one or maltol (Figure 1.9) in this thesis stems from its ability to bind readily to metal ions. Maltol belongs to a family of hydroxypyrones, and it is a naturally occurring organic compound, often used primarily as a flavour enhancer (Liboiron et al., 2005). It is present in roasted malt (from which it gets its eponymous name). Humans have increased consumptions of malt foods. The maltol-metal complex, gallium maltolate, is an anticancer drug which is orally bioavailable (Bernstein et al., 2000; Chua et al., 2006). Maltol is known to form extremely stable and neutrally charged complexes with a variety of metal ions, including the trivalent cations  $\text{Fe}^{3+}$ ,  $\text{Al}^{3+}$ ,  $\text{Ga}^{3+}$ , and  $\text{In}^{3+}$  (Santos et al., 2005). Maltol has been found to increase the transport of  $\text{Al}^{3+}$  (Langui et al., 1990; Liboiron et al., 2005). It can act as a strong bidentate ligand, coordinating through the carbonyl group and the  $\alpha$ -OH group as seen in Figure 1.9.



**Figure 1.9 Structure of maltol**

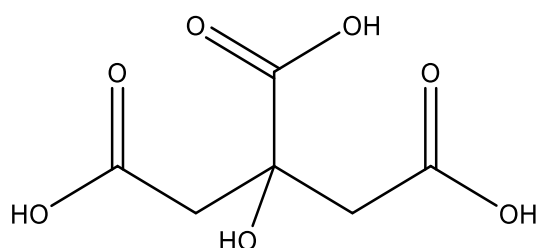
Interestingly, the study conducted by Antipova et al. (2005) on the structure and stability of maltol- $\text{Al}^{3+}$  complexes found maltol to be a suitable chelate ligand. With the  $\text{AlMal}_3$  complexes being electrically neutral, water soluble and relatively stable at the pH 4-8, the study concluded it fulfilled the requirements of a substance aimed to remove excess  $\text{Al}^{3+}$  ions within humans (Antipova et al., 2005). The potential of maltol being a therapeutic ligand is explored in this thesis for its ability to coordinate and form stable complexes with a range of metal ions. Maltol

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is a strong metal chelating ligand with little to no antioxidant ability. Hence the comparison of maltol to multifunctional ligands (GSH and histidine) would be worthwhile.

#### 1.13.4 Citric acid

Citric acid (2-hydroxy-1,2,3-propanetricarboxylic acid, Figure 1.10) plays a crucial role in the Krebs cycle, hence has a great biological significance and many roles in the human body, one of which is the ability of its conjugate base, citrate, to chelate metals (Silva et al., 2009). Due to this ability to form thermodynamically stable complexes with a variety of metal ions, it has found widespread use in food and pharmaceutical industries as well as in medicine (Wyrzykowski and Chmurzyński, 2010). The capability of citrate coordinating to  $\text{Fe}^{2+}$  has long been used by plants and microbes. For example, iron deficient *Bradyrhizobium japonicum* are known to secrete citric acid in order to enhance iron-absorption (Guerinot et al., 1990). The study carried out by Silva et al. (2009) on  $\text{Fe}^{3+}$  citrate speciation in aqueous solutions used spectrophotometric titration to determine the affinity constant for iron and citrate. The study found the following complexes were formed  $\text{M}_2\text{L}_2 - [\text{Fe}_2(\text{CA})_2]^{2-}$ ,  $\text{M}_3\text{L}_3 - [\text{Fe}_3(\text{CA})_3]^{3-}$ ,  $\text{ML}_2 - [\text{Fe}(\text{CA})_2]^{3-}$ ,  $\text{MLH} - [\text{Fe}(\text{HCA})]$  (Silva et al., 2009), where M denotes metal, L denotes ligand.



**Figure 1.10 Structure of citric acid**

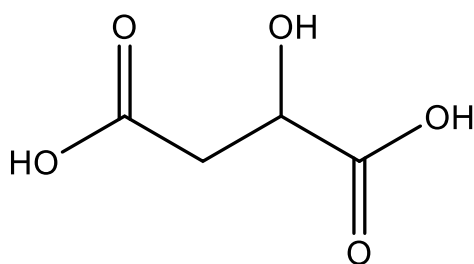
The study by Silva et al. (2009) describes citric acid as a multidentate ligand. The structure of citric acid (Figure 1.10) corresponds to an asymmetric ligand, containing at least seven potential

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donor sites capable of metal ion coordination such as an  $\alpha$ -position hydroxyl group, one  $\alpha$ -position carboxyl group and two  $\beta$ -position carboxyl groups. Citric acid can assemble metal ions in diverse arrangements as both chelator and bridging spacer. Therefore, citric acid is a very appealing chelator, as monomeric, binuclear and polymeric metal complexes. Hence citric acid is explored for its metal chelating potential in this study.

### 1.13.5 Malic acid

Malic acid (Figure 1.11) is a dicarboxylic acid. This organic acid is present in living organisms. It is non-toxic and used as a food additive. To reduce  $\text{Al}^{3+}$  toxicity, plants would release organic acids such as malic acid, citric acid and oxalic acid, from the root's apex to stop  $\text{Al}^{3+}$  from entering the cell. The ability of malic acid to chelate metal ions has been previously investigated (Ma, 2000). The internal detoxification of  $\text{Al}^{3+}$  was accomplished by the formation of an  $\text{Al}^{3+}$ -malic acid complex (Ma, 2000). While malic acid cannot directly prevent the formation of reactive oxygen species, it is capable of detoxifying redox metals  $\text{Cu}^{2+}$  and  $\text{Fe}^{3+}$  (Salovaara et al., 2002; Brajenović and Tonković, 2003; Radalla, 2015).



**Figure 1.11 Structure of malic acid**

The five oxygen donor atoms of malic acid seen in Figure 1.11 allows the acid to act as a multidentate metal ligand. Despite the predominately acidic donor atoms of malic acid, it has been found to coordinate to various metals including  $\text{Ce}^{3+}$ ,  $\text{W}^{6+}$ ,  $\text{Pr}^{3+}$ ,  $\text{Nd}^{2+}$ ,  $\text{Cu}^{2+}$ ,  $\text{Ni}^{2+}$ ,  $\text{Co}^{2+}$  and

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Zn<sup>2+</sup>, Sm<sup>3+</sup>, Al<sup>3+</sup>, Ca<sup>2+</sup>, U<sup>6+</sup> and In<sup>3+</sup> (Markovits et al., 1972; Delhaize et al., 1993; Senthil Raja et al., 2013; Radalla, 2015). The metal chelating potential of malic acid is explored in this study.

## **1.14 Nuclear Magnetic Resonance (NMR) spectroscopy**

### **1.14.1 Structural studies employing NMR spectroscopy**

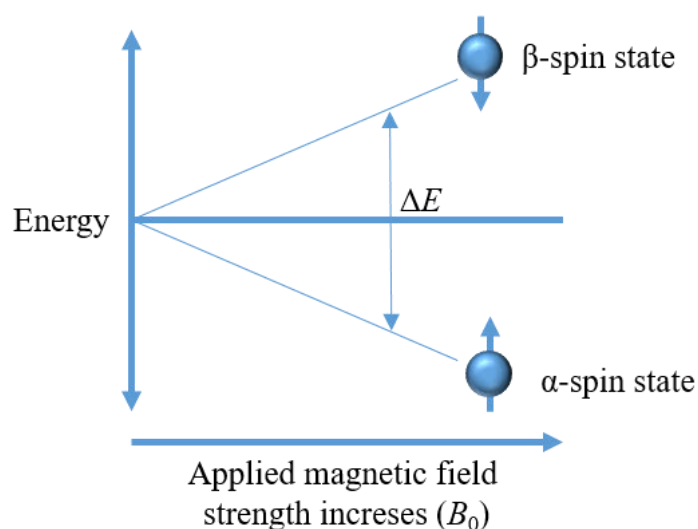
Nuclear Magnetic Resonance (NMR) spectroscopy is used in the determination of structure and interactions between both A $\beta$  peptide and ligands with metal ions at the molecular level. NMR is used to study the resonance frequency that results from the interaction of magnetic field with nuclei that possess magnetic dipole, such as <sup>1</sup>H, <sup>2</sup>H, <sup>13</sup>C, <sup>14</sup>C, <sup>15</sup>N, <sup>17</sup>O, <sup>19</sup>F, and <sup>31</sup>P. In the determination of protein/peptide's secondary and tertiary structures, NMR spectroscopy is an important technique. The advantage of NMR compared to other techniques in structural biology and chemistry is its ability to analyse the samples in physiological conditions and is non-destructive. This section will outline the general theory and principles of NMR spectroscopy.

### **1.14.2 General NMR theory**

NMR is based on the interactions between the magnetic moments of atomic nuclei and magnetic fields which can yield structural information. The subatomic particles such as electrons, protons and neutrons have four basic properties which include mass, charge, magnetism and spin. As measuring nuclear magnetism and spin have basically no effect on a substance's physical and chemical behaviours, these properties can therefore be used to determine a compound's structure without chemical composition disturbance. The nucleus has spin angular momentum, and may be considered as spinning about an axis, which is important in yielding NMR signals. Individual unpaired electrons, protons and neutrons have a positive or negative spin of

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magnitude  $1/2$ , hence as a result the nucleus has a magnetic moment and generates its own magnetic field. Therefore, a sample that contains atomic nuclei with spin, has a magnetic field. When an external magnetic field ( $B_0$ ) is applied to the sample, the magnetic moments on the spins are either aligned with or against the magnetic field. Hence two spin states exist, the lower-energy,  $\alpha$  spin state ( $+1/2$ ) or higher-energy,  $\beta$  spin state ( $-1/2$ ). The energy difference ( $\Delta E$ ) between the two spin states depends on the strength of  $B_0$ .



**Figure 1.12 Energy levels for a nucleus with spin.** In the presence of an applied magnetic field, the magnetic moments of the nuclei line up with or against the field.

Net magnetisation ( $M$ ) is produced by the alignment of the spins with the  $B_0$ . During NMR experiments, short radio frequency (RF) pulses are applied, which excite all protons simultaneously and flip the direction of the nuclear spin alignment. This allows protons to absorb energy at a specific frequency known as Larmor frequency. Relaxation occurs when the atomic nuclei return to the original state, which generates induced magnetic signals. The RF signals recorded by receiver coils, are referred to as free induction decay (FID). The FID is mathematically processed by Fourier transformation which converts the signal into frequency domain signal projected as an NMR spectrum. The resulting resonance signals are specific to

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the proton or  $^1\text{H}$  (hydrogen atom isotope), present in the molecules. As different protons in a peptide or molecule will have different chemical and magnetic environment, structural information from each proton can be extracted by NMR indirectly from the position of signals. Hence the ability to assign groups of resonances to a specific proton type within a protein. This includes the backbone  $^1\text{H}$ , side chain amide  $^1\text{H}$ , aromatic side chain  $^1\text{H}$ , aliphatic side chain  $^1\text{H}$  and  $\alpha\text{H}$  in regions of  $\beta$  sheet structures (Reid et al., 1997). The NMR spectroscopy described thus far is known as one dimensional (1D) NMR spectroscopy. The technique is valuable in the analysis of small molecules. 1D NMR experiments can provide different kinds of information about a protein or peptide, which can be performed in physiological condition, allowing the structural configurations to be similar as those present *in vivo*.

### **1.14.3 Two-dimensional NMR spectroscopy**

Larger molecules such as peptides and proteins contain numerous magnetically non-equivalent nuclei, resulting in signal overlap. Hence the extension of 1D NMR into multiple dimensions is essential in the study of peptides. Two-dimension (2D) NMR spectroscopy plots the signal intensity of a 1D NMR along two frequency axes. Consequently, the peak in a 2D NMR spectra has an intensity and two frequency co-ordinates (Rubin, 2017). The homonuclear 2D NMR methods utilised in this thesis transfer magnetization between the same nuclei connected by bonds or through space.



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#### 1.14.4 Correlated Spectroscopy (COSY)

Correlated spectroscopy (COSY) yields signals from homonuclear through bond interactions ( $J$ -coupling). The bond connectivity of a molecule is determined through protons that are  $J$ -coupled by two or three bonds (Arseniev et al., 1982). Simple COSY experiments, consists of  $90^\circ$  pulses, involves an initial RF pulse (p1), an evolution time ( $t_1$ ), followed by the second RF pulse (p2), and then measurement time ( $t_2$ ). The initial RF pulse results in the magnetisation of the transverse plane which evolves over the time interval of  $t_1$ . During the second RF pulse transfer magnetisation from one proton to the other proton. A COSY spectrum has two types of peaks, cross peaks and diagonal peaks. A COSY peak occurs at a frequency  $\omega_1 = \Omega_A$ ,  $\omega_2 = \Omega_B$  which indicates the spin at the offset chemical shift ( $\Omega_A$ ) is coupled to another spin at offset chemical shift ( $\Omega_B$ ) (Keeler, 2005). COSY is a standard experiment for the analysis of scalar spin-spin through bond coupling connectivities. This method provides information for the identification of chemical shifts of spins that are coupled to one another, hence allowing determination of the  $J$ -coupling network in the molecule.

#### 1.14.5 Total Correlation Spectroscopy (TOCSY)

Total correlated spectroscopy (TOCSY) is another form of homonuclear  $^1\text{H}$  2D-correlation NMR method, in which two  $^1\text{H}$  coupled spins indicate the existence of a cross-peak multiplet. TOCSY spectra provide correlations between all the protons of a coupled spin system or network. For TOCSY, the quintessential period is during the pulse sequence (mixing period) in which only scalar coupling is acting while the chemical shift is suppressed. During the mixing period, there is magnetisation exchange among  $J$ -coupling networks (Keeler, 2005). TOCSY is a useful method for the identification of coupled spins which belong to a network of spins, which is essential for overlapping spectra of a complex which would otherwise have

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unambiguous spectra. These features are essential in the assignment of proton signals in a protein spectrum. TOCSY experiments are applicable to peptide studies as correlation cross peaks allows the identification of protons belonging to individual amino acid residues (Reid et al., 1997).

#### **1.14.6 Nuclear Overhauser Effect Spectroscopy (NOESY)**

Nuclear Overhauser Effect Spectroscopy (NOESY) method identifies the spins undergoing cross relaxation and measures the cross relaxation rates. The NOESY results from direct dipolar couplings providing means of cross-relaxation, and only spins close to one another in space will undergo cross-relaxation (Wüthrich, 1986). In NOESY, experiments identify the Nuclear Overhauser Effects (NOEs) within a molecule, which enables the identification of protons within close proximity in space (Neuhaus and Williamson, 1989). NOESY experiments the pulse sequence involve three  $90^\circ$  RF pulses. The initial pulse results in the magnetization into the x-y plane which precesses in the evolution time  $t_1$ . The following pulse rotates this magnetisation to the z axis which allows dipolar coupling with other spins during the subsequent mixing period. The third and final pulse forms a transverse magnetization from the remaining longitudinal magnetization. Following the third pulse, acquisition begins, and the transverse magnetization is expressed as a function of the time  $t_2$ . NOESY spectra consist of cross peaks generated from the transverse magnetization. The non-equilibrium z component magnetisation is exchanged between the spin systems within close proximity via intra- or intermolecular dipolar relaxation during the mixing period (Wüthrich, 1986). NOESY is used in the sequential assignment of resonances and determination of the three dimensional structure investigation of peptides and proteins.

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### 1.14.7 NMR assignments in peptides

NMR provides essential structural data of peptide in solution. NMR assignments of the proton resonances are determined from 2D NMR experiments (Wüthrich, 1986). The signals from individual spin system are correlated to other spins through bond ( $J$ -coupling) or space (dipolar coupling) thus allowing the signal of every amino acid residue to be assigned. The identification of the amino acids in a sequence is performed by sequence-specific assignment method. Signals of individual spin systems are assigned through TOCSY experiments which provide through bond correlations of the resonances in same spin systems. Therefore, the cross-sections of the TOCSY spectra of A $\beta$  peptide will illustrate cross-peaks from the NH to  $\alpha$ H,  $\beta$ H,  $\gamma$ H,  $\delta$ H, and aroH (if appropriate). The spin systems resonances are reference to published chemical shifts of random coil (Wüthrich, 1986; Reid et al., 1997).

Identifying the position of the amino acids in the sequence is conducted by NOESY experiments which identify the protons of neighbouring amino acids that are close in proximity through space. Sequential distances are the distance between protons of two adjacent amino acids. In sequential NMR assignment, a spin system of amino acid residue starts with the proton of the backbone nitrogen (NH), followed by the proton on the  $\alpha$ -carbon ( $\alpha$ H) and then the side chain ( $\beta$ H,  $\gamma$ H,  $\delta$ H, aroH, etc.). The typical chemical shifts proton in random coil peptides and proteins are shown in Table 1.2.

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**Table 1.2 <sup>1</sup>H Chemical shifts of amino acid residues of random coil peptides and proteins.**

Residue	NH	$\alpha$ H	$\beta$ H	other
Ala	8.25	4.35	1.40	
Arg	8.27	4.40	1.80, 1.92	$\gamma$ H 1.72; $\delta$ H 3.31
Asn	8.75	4.76	2.76, 2.83	
Asp	8.41	4.77	2.75, 2.84	
Cys	8.31	4.69	2.96, 3.28	
Gln	3.41	4.37	2.01, 2.13	$\gamma$ H 2.38
Gly	8.39	3.96		
His	8.41	4.63	3.20, 3.26	4H 7.14; 2H 8.12
Ile	8.19	4.22	1.86	$\gamma$ H 1.19, 1.48, 0.94
Leu	8.42	4.39	1.65	$\gamma$ H 1.65; $\delta$ H 0.94, 0.90
Lys	8.41	4.36	1.75, 1.87	$\gamma$ H 1.47; $\delta$ H 1.71; $\epsilon$ H 3.02
Met	8.42	4.51	2.00, 2.16	$\gamma$ H 2.63; $\epsilon$ H 2.13
Phe	8.23	4.66	2.99, 3.22	aroH 7.34
Pro		4.71	1.98, 2.30	$\gamma$ H 2.30; $\delta$ H 3.65
Ser	8.38	4.5	3.89	
Thr	8.24	4.35	4.22	$\gamma$ H 1.23
Trp	8.09	4.71	3.20, 3.22	2H 7.24; 4H 7.17, 7.65; 6H 7.24; 7H 7.50
Tyr	8.18	4.6	2.92, 3.13	aroH 7.15, 6.86
Val	8.44	4.18	2.13	$\gamma$ H 0.94, 0.97

Adapted from Wüthrich (1986) and Reid et al. (1997) residues are non-terminal.

A sequence specific assignment of a peptide is achieved by correlating proton in one residue to proton in the next residue in the sequence using NOE interactions. Hence it is estimated that the NOE correlation between  $\alpha$ H of the N-terminal residue (i) and the NH of the next residue (i + 1), and occasionally from the H $\beta$  of residue i and the NH of residue i+1 (Jacobsen, 2007).

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## 1.15 Experimental approach

This study utilises the analytical techniques of NMR spectroscopy in acquisition of molecular details of the interactions between metal ions and A $\beta$  peptide. The use of NMR titrations provides information on the interaction of various ligands and metal ions, particularly the stoichiometric ratio, coordination sites and binding affinity. NMR titration of A $\beta$  with metal ions reveals the residues involved in metal coordination. Whilst such titration reveals the effect of metal ions on the primary structure of A $\beta$ , the effect of metal ions on the secondary structure of A $\beta$  will be unravelled by the chemical shift index (CSI).  $K_D$  values were obtained from chemical shifts variations ( $\Delta\delta$ ) in the titration of Al<sup>3+</sup> and Zn<sup>2+</sup>.  $K_D$  values determined which metal ion has the strongest binding affinity to the peptide. Furthermore, with the NMR titration of ligands with metal ions, their potential in metal chelation will be explored, which should aid future development of therapeutic agents for AD treatment. Molecular mechanics was used to determine the relative strength and stability of the metal ligand complexes. Following the NMR analysis for molecular details of metal coordination of A $\beta$  and the metal chelation of ligands, a biological study was carried out using MTT cell viability assays on SH-SY5Y neuronal cells to establish the capacity of the ligands in reducing metal toxicity. The effect of the ligands was also tested against the toxic metal ions, As<sup>3+</sup> and Cr<sup>6+</sup>, which are not related to AD. This would expand the therapeutic potential to toxic metal exposure. Finally, the effect of metal ions on the expression of APP gene in the human neuronal cells and the antagonistic effect of the chelation ligands against the metal ions was investigated by quantitative reverse transcription polymerase chain reaction (qRT-PCR).

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## 1.16 Aims

1. To characterise the interactions between metal ions and A $\beta$  by NMR.
2. To determine specific aluminium binding sites in A $\beta$ <sub>1-28</sub>.
3. To analyse the interactions between metal ions and a repertoire of ligands, with NMR analysis and molecular mechanics.
4. To uncover if the chelating ligands can reduce metal toxicity in mammalian neuronal cells using MTT assay.
5. To uncover the effect of metal ions on the expression of amyloid precursor protein (APP) gene and the effect of ligands against metal ions by qRT-PCR.

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## 2 MATERIALS AND METHODS

### 2.1 General materials, reagents and treatments

The required general materials were purchased from Sigma Aldrich, Sydney, Australia. All the specific chemicals and solvents used were of analytical grade or higher, obtained from commercial suppliers. The MilliQ™ system (Millipore, Australia) was the source of filtered and deionised water, which was used to prepare all stock solutions, media and buffers.

#### 2.1.1 Metal solutions

All metal stocks solutions and treatments were made using zinc(II) nitrate hexahydrate (reagent grade, 98%), copper(II) chloride dihydrate (ACS reagent,  $\geq 99.0\%$ ), aluminium(III) chloride hexahydrate (*ReagentPlus*®, 99%), sodium (meta)arsenite ( $\geq 90\%$ ) or chromium(VI) oxide (ACS reagent,  $\geq 98.0\%$ ).

#### 2.1.2 Ligand solutions

All ligand stock solutions and treatments were made using maltol (analytical standard), L-glutathione (reduced,  $\geq 98.0\%$ ), L-histidine (*ReagentPlus*®,  $\geq 99\%$ ; TLC), citric acid anhydrous (ACS reagent,  $\geq 99.5\%$ ), DL-malic acid (*ReagentPlus*®,  $\geq 99\%$ ).

#### 2.1.3 Phosphate buffer

The 90% H<sub>2</sub>O:10% D<sub>2</sub>O 10 mM stock solution of the phosphate buffer was prepared by dissolving the monobasic NaH<sub>2</sub>PO<sub>4</sub>·H<sub>2</sub>O (0.0780 g) and the dibasic Na<sub>2</sub>HPO<sub>4</sub>·7H<sub>2</sub>O (0.5188 g) in 225 mL Milli Q water and 25 mL D<sub>2</sub>O. The pH of the buffer solution was adjusted to  $7.45 \pm 0.01$  by titrating with concentrated hydrochloric acid solution. The pH of the solutions was measured using an Accumet excel XL15 pH meter.

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### 2.1.4 Synthesis of pyridoxal amino methyl phosphonic acid (PYRAMPA)

A solution of (2.46 mmoles) 500 mg of pyridoxal hydrochloride salt in methanol was added dropwise to a solution of (2.46 mmoles) 273 mg of aminomethylphosphonic acid (AMPA). The reaction mixture was basified with the addition of (6.90 mmoles) 385 mg solid KOH. The characteristic deep orange-yellow colour of the Schiff base was observed. Methanol was removed under vacuum. Leaving the microcrystalline material of the tripotassium salt of the Schiff base behind. The base was reduced in 25 mL of dry methanol and the addition of 2 equivalents of solid (2.1 mmoles) 81 mg sodium borohydride. Reduced material was concentrated by pumping off most of the methanol under vacuum. The mixture was acidified with the addition of minimum amount of 6.0 M HCl. The white precipitate was recovered by gravity filtration. After 48 hr the filtrate was transferred from room temperature to -4 °C for a further 48 hr for the crystallization of PYRAMPA. Yields of 83% of the theoretical, 0.68 g of product, was obtained. Melting point  $263 \pm 2$  °C.

### 2.1.5 Synthesis of metal-maltol complexes

Synthesis of each metal complex involved dissolving 3.15 g (0.025 mol) of maltol and 2.5 g (0.01 mol) of  $\text{Zn}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$ ; 3.78 g (0.03 mol) of maltol and 2.71 g (0.01 mol) of  $\text{AlCl}_3 \cdot 6\text{H}_2\text{O}$ ; 3.15 g (0.025 mol) of maltol and 2.50 g (0.01 mol) of  $\text{CuCl}_2 \cdot 2\text{H}_2\text{O}$  in 160 mL in Milli Q water. Mild heating was used to assist dissolution. Once the maltol was fully dissolved the pH was adjusted to pH 8.3. The solution was further heated to 65 °C with gentle stirring to produce precipitate. When the reaction mixture was cooled and filtered, washed several times with acetone and dried overnight in a vacuum desiccator.



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## 2.2 Peptide synthesis and purification

Peptides representing various fragments and analogues of A $\beta$  were synthesised by employing solid phase Fmoc chemistry and produced by Auspep in Tullamarine, Victoria. After removal from the resin and deprotection, the samples were purified using reverse phase high performance liquid chromatography (HPLC) and characterised using mass spectrometry (MS), for the purity of synthesised A $\beta$  and A $\beta$  analogues see Appendix A. Synthesised peptides were supplied lyophilised and stored in the freezer at  $-40\text{ }^{\circ}\text{C}$  or  $-80\text{ }^{\circ}\text{C}$ .

### 2.2.1 Design of peptides and peptide sequences

Peptides were synthesised according to the human sequence of A $\beta$  in which the N-terminus were left as a free native amino group and C-terminus was truncated to A $\beta_{1-28}$  fragments. Together with the wild-type A $\beta_{1-28}$  peptide, three analogues of A $\beta_{1-28}$  were synthesised in which His6, His13 or His14 residues were individually replaced by alanine and one analogue in which the all three histidine residues (His6, His13 and His14) were all replaced by alanine. Sequences are given Table 2.1 below:

**Table 2.1. Design of peptides used this in this study**

Peptide	Sequence
A $\beta_{1-28}$	DAEFR <u>H</u> DSGYEV <u>HH</u> QKLVFFAEDVGSNK
A $\beta$ H6A	DAEFR <u>A</u> DSGYEV <u>HH</u> QKLVFFAEDVGSNK
A $\beta$ H13A	DAEFRHDSGYEV <u>A</u> HQKLVFFAEDVGSNK
A $\beta$ H14A	DAEFRHDSGYEVH <u>A</u> QKLVFFAEDVGSNK
A $\beta$ H6,13,14A	DAEFR <u>A</u> DSGYEV <u>AA</u> QKLVFFAEDVGSNK

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## **2.3 Procedures for NMR acquisition and analysis**

NMR experiments were performed on either a Bruker Avance 400 MHz (9.4 T) NMR spectrometer equipped with a BBFO probe or the Bruker Avance III 600 MHz (14.1 T) NMR spectrometer equipped with a BBI probe. 1D and 2D NMR spectra were collected at 298 K. Water suppression was achieved using WATER-suppression by GrAdient-Tailored Excitation (WATERGATE) sequence, with “W5” binomial rf pulses.

### **2.3.1 1D NMR experiments**

All 1D  $^1\text{H}$  experiments were recorded with 128 scans, 4 dummy scans, a spectral width of 12 ppm, and an acquisition time of 1.70 s. Prior to Fourier transformation, the measured FIDs were zero-filled and then multiplied with an exponential window function with a 0.3 Hz line broadening.

### **2.3.2 2D total correlation spectroscopy (TOCSY) experiments**

TOCSY experiment employed a DIPSI2 sequence for isotropic mixing, with a mixing time of 75 ms. The spectra were collected with 256 x 1024 data points. The number of transients collected for each FID were 16, and the FIDs were zero-filled to 1024 points in F1 dimension and to 4096 data points in F2 dimension before Fourier transformation.

### **2.3.3 2D Nuclear Overhauser Effect Spectroscopy (NOESY) experiments**

The NOESY experiments were acquired with two different mixing times, 250 and 600 ms. Data were zero filled to 2048 points in both F<sub>1</sub> and F<sub>2</sub> without linear prediction and then Fourier transformed with a sine-bell-squared window function shifted between 90° and 45°. A polynomial baseline correction was applied to both sides of the residual water signal.

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## 2.4 NMR titration of A $\beta$ <sub>1-28</sub> against metal ions

Samples were prepared for NMR measurements by dissolving the freeze dried peptide in 600  $\mu$ L of 90% H<sub>2</sub>O:10%D<sub>2</sub>O phosphate buffer. A concentration of A $\beta$ <sub>1-28</sub> of 1.0 mM was used, the peptide concentrations were confirmed using the extinction coefficient (Gill and Von Hippel, 1989) of 1280 M<sup>-1</sup> cm<sup>-1</sup> (due to the single tyrosine residue). Then transferred to 5 mm Norell<sup>®</sup> NMR tube.

The addition of metal ions to the A $\beta$  peptides was performed using small aliquots from highly concentrated stock Al<sup>3+</sup> and Zn<sup>2+</sup> solutions, as indicated in Table 2.2 so that the resulting solutions had M<sup>n+</sup>/A $\beta$  mole ratio varying from 0:1 to 4:1.

**Table 2.2 sample preparation for NMR titrations of metals to A $\beta$ .**

Al <sup>3+</sup> :A $\beta$	[A $\beta$ ] (mM)	A $\beta$ ( $\mu$ L)	[M <sup>n+</sup> ] Stock (mM)	Volume of M <sup>n+</sup> stock ( $\mu$ L)
0.00	1	600	0	0.0
0.10	1	600	0.1	1.2
0.20	1	600	0.2	2.4
0.30	1	600	0.3	3.6
0.50	1	600	0.5	6.0
1.00	1	600	1.0	9.0
2.00	1	600	2.0	12.0
4.00	1	600	4.0	24.0

The pH of experiments was pH 7.4 to recreate physiological conditions as much as possible. The pH of the samples was determined before and after each of the experiment were carried out. The pH was measured (Accumet excel XL15 pH benchtop meter) and adjusted using small amounts of 10-100 mM NaOH or HCl.

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## 2.5 2D NMR titration of A $\beta$ <sub>1-28</sub> against metal ions

Samples for the NOESY and TOCSY NMR experiments were prepared by dissolving the freeze dried peptide to give a concentration of approximately 1.0 mM. The peptide concentration was then confirmed using the extinction coefficient (Gill and Von Hippel, 1989) of 1280 M<sup>-1</sup> cm<sup>-1</sup> (due to the single tyrosine residue). The pH of the samples was determined before and after. The pH was adjusted using aliquots of 10-100 mM NaOH or HCl. Then transferred to 5 mm Norell<sup>®</sup> NMR tube. The addition of 1.0 molar equivalent metal ions to the A $\beta$  peptides was performed using 9  $\mu$ L from a 50 mM stock solution of Al<sup>3+</sup> and Zn<sup>2+</sup>. NOESY and TOCSY NMR experiments were conducted on the apo A $\beta$  peptide sample followed by the 1.0 molar equivalent metal ions A $\beta$  peptide sample.

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## 2.6 Binding affinity

A varied Hill Equation was used to determine binding affinity ( $K_D$ ). In this technique the changes in chemical shift ( $\Delta\delta$ ) of resonances, caused by the addition of metal ions are measured. Values of  $K_D$  were derived from the relationship between metal concentration and the  $\Delta\delta$ , expressed in a binding curve by fitting the data to the Hill Equation (2.1) below using Origin 2019 analysis software.

$$y = \Delta\delta_{max} \frac{[m]^n}{K_D^n + [m]^n} \quad (2.1)$$

Where:

- $y =$  change in chemical shift corresponding to metal concentration  
 $\Delta\delta_{max} =$  the point at which the peptide is saturated by metal ions  
 $[m] =$  metal concentration corresponding with the change in chemical shift  
 $K_D =$  the binding affinity, computed using  $x$  value at half  $V_{max}$  value.  
 $n =$  The Hill coefficient ( $n = 1$ )

## 2.7 NMR titration of ligands against metal ions

The addition of metal ions to the A $\beta$  peptides was performed using aliquots of the concentrated stock solutions for Al<sup>3+</sup> and Zn<sup>2+</sup>, as indicated in Table 2.3 so the resulting solutions had MI<sup>+</sup>/A $\beta$  mole ratio varying from 0:1 to 3:1. Metal ion and ligand solutions were made in 90% H<sub>2</sub>O:10% D<sub>2</sub>O phosphate buffer.

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**Table 2.3 Sample preparation for NMR titrations of metals to ligands.**

<b>M<sup>n+</sup>/Ligand</b>	<b>[Ligand] mM</b>	<b>Ligand <math>\mu</math>L</b>	<b>[M<sup>n+</sup>] mM</b>	<b>M<sup>n+</sup> <math>\mu</math>L</b>	<b>Total <math>\mu</math>L</b>
<b>0.00</b>	10	500	0	100	600
<b>0.15</b>	10	500	7.5	100	600
<b>0.25</b>	10	500	12.5	100	600
<b>0.50</b>	10	500	25	100	600
<b>0.75</b>	10	500	37.5	100	600
<b>1.00</b>	10	500	50	100	600
<b>2.00</b>	10	500	100	100	600
<b>3.00</b>	10	500	150	100	600

Samples were then transferred into 5 mm NMR tubes. The <sup>1</sup>H NMR spectra of these solutions were recorded using the Bruker Avance 400 MHz spectrometer at 298 K. Spectra were processed using standard Bruker TOPSPIN software (version 2.1).

The stoichiometry of the resulting metal-ligand complexes was determined through mole ratio plots of changes in chemical shifts ( $\Delta\delta$ ) versus [M<sup>n+</sup>]/[Ligand] from the changes in the slope.

## **2.8 General practices for using mammalian neuronal cells**

### **2.8.1 Cell line**

In this project SH-SY5Y mammalian neuronal cell line (CellBank Australia) was used. As shown in Table 2.2 SH-SY5Y cells were isolated from a 4-year female patient with neuroblastoma. The cells are of epithelial morphology and mainly adherent.

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**Table 2.4 Details of the SH-SY5Y mammalian cell line used in this project**

<b>Cell type</b>	<b>SH-SY5Y</b>
<b>Organism</b>	<i>Homo sapiens</i> , Human
<b>Tissue</b>	Bone marrow
<b>Morphology</b>	Epithelial
<b>Growth mode</b>	Adherent
<b>Disease</b>	Neuroblastoma
<b>Age</b>	4 years
<b>Gender</b>	Female

### **2.8.2 Sterilisation**

The class II biological safety cabinet (Gelaire AS-2252.2) was used to aseptically culture cells, passage cells and change media. The safety cabinet underwent UV light exposure for 30 min and wiped down with 70% ethanol immediately prior to and post use.

Before all exterior materials are taken into the sterile biological safety cabinet, materials were sterilised with 70% ethanol. Sterilisation of bottles, tubes, pipette tips, and heat-stable solutions was achieved by autoclaving for 30 min at 121 °C (Tuttnauer 3150EL, Australia). Heat-sensitive reagents were filtered through a 0.2 µm filter.

### **2.8.3 Growth medium**

The growth medium used for neuroblastoma cells SH-SY5Y was complete DMEM/F12 Hams (Sigma-Aldrich, Australia) supplemented with 10% foetal bovine serum (FBS) (Lot:1622598, Life Technologies, Australia) and 1% antibiotics (penicillin/streptomycin) (Life Technologies, Australia).

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For the cryogenic storage, the freezing medium used was made from DMEM/F12 Hams for SH-SY5Y cells, supplemented with 20% FBS and 10% dimethyl sulfoxide (DMSO, Sigma-Aldrich).

#### **2.8.4 Establishment of cell line**

Cells were seeded into 75 cm<sup>2</sup> tissue culture flask (Greiner Bio-One, Germany) at  $2.1 \times 10^6$  cells in 12 mL complete DMEM/F12 Hams and incubated at 37 °C, 5% CO<sub>2</sub> (Thermo Scientific HERA 150). Cultures were examined under an inverted microscope (Olympus CKX41) daily to monitor the health and confluence. Once cultures reached a confluency of 80%, cells were passaged into three 75 cm<sup>2</sup> flask, or from one 75 cm<sup>2</sup> flask to three 25 cm<sup>2</sup> flasks. To carry out passaging, cells were washed with 5 mL of phosphate-buffered saline (PBS) (137 mM NaCl, 2.7 mM KCl, 10 mM Na<sub>2</sub>HPO<sub>4</sub> and 2 mM KH<sub>2</sub>PO<sub>4</sub> at pH 7.4). Then trypsinised with 1 mL trypsin (Life Technologies, Australia) and incubated at 37 °C with 5% CO<sub>2</sub> for 2-5 min. Once detached, the cells are resuspended in complete DMEM/F12 Hams medium. The cell suspension was mixed by gentle pipetting to ensure cell suspensions were homogenous. Then using a hemocytometer (Hawksley BS. 748) cells were counted and aliquots of the cell suspensions were pipetted into new 75 cm<sup>2</sup> flasks and brought to a final volume of 12 mL complete medium, with flasks containing  $2.1 \times 10^6$  cells. The cells were then observed under microscope to ensure consistency cell density and health. The flasks were then returned to the incubator for growth.

Cell cultures for experiments in microtitre plates were produced from the 75 cm<sup>2</sup> flasks with 80% confluency according to culture maintenance procedures stated above. Once cells had reached 80% confluency cells were washed using 5 mL PBS, trypsinised and using a



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hemocytometer (Hawksley BS. 748) cells were counted. The microtitre plates were seeded with the suspended cells at 7000 cells per well and incubated at 37 °C with 5% CO<sub>2</sub> for 36 hr.

### **2.8.5 Cryogenic storage**

Frozen stocks preparation was accomplished by culturing cells in the 75 cm<sup>2</sup> flasks in complete medium until 80% confluency. The cells were then washed using 5 mL PBS, then trypsinized and incubated at 37 °C with 5% CO<sub>2</sub> for 3-5 min. After detachment of cells, 10 mL of complete DMEM/F12 Hams medium was added to the flask and the cells were suspended by multiple aspiration by pipette. Cell suspension was then transferred to 15 mL Falcon tubes (Sigma-Aldrich, Australia) and centrifuged (Allegra X-15R) at 900 g for 5 min. The supernatant was aspirated to resuspend cells in 3 mL of freezing medium. Suspended cell solution was then pipetted 1 mL aliquots into cryovials (Greiner Bio-one, Germany). The cells were slowly brought to -196 °C by being placed in an insulated styrofoam container (Sigma-Aldrich, Australia) at -80 °C (Thermo Scientific -80C ULT) for 12 hr, after which the vials were transferred to liquid nitrogen storage at -196 °C.

## **2.9 Cell viability studies of SH-SH5Y cells under metal-ligand treatment**

### **2.9.1 Determination of IC<sub>50</sub> of metal ions**

To determine the metal treatment concentrations IC<sub>50</sub> values were calculated for SH-SY5Y cells, in microtitre plates consisting of 7000 cells in 190 µL of complete media, in each well. Plates were incubated at 37 °C with 5% CO<sub>2</sub> for 36 hr. Following incubation cells were treated with 10 µL stock solutions at 20× the respective metal salts that had been filter sterilised. The SH-SY5Y cells were treated as detailed in Table 2.5 then incubated.

**Table 2.5. The concentration of metal salts used to determine the IC<sub>50</sub> of the respective metal ion.**

<b>Metal treatment</b>	<b>Concentrations used (μM)</b>
<b>ZnSO<sub>4</sub></b>	0, 25, 50, 100, 150, 175, 200, 225, 250, 275, 300 and 375 μM
<b>CuSO<sub>4</sub></b>	0, 5, 10, 20, 50, 100, 125, 150, 175, 200, 225 and 250 μM
<b>Al(mal)<sub>3</sub></b>	0, 5, 10, 20, 50, 100, 125, 150, 175, 200, 225 and 250 μM
<b>CrO<sub>3</sub></b>	0, 1, 2.5, 5, 7.5, 10, 12.5, 15, 17.5, 20, 30, 40, 50, 60, 70, 80, 90 and 100 μM
<b>NaAsO<sub>2</sub></b>	0, 2.5, 5, 7.5, 10, 12.5, 15, 17.5, 20, 22.5, 25, 30, 40, 50, 60, 70, 80, 90 and 100 μM

To measure cell viability an MTT (3-(4,5-dimethyliazol-2-yl)-2,5-diphenyl-2H-tetrazolium bromide) (Sigma-Aldrich, Australia) colorimetric assay was conducted following a 6 hr incubation period of metal ion treatments. MTT assays were conducted by adding 50 μL of MTT (5 mg/mL in PBS) to each well and incubated for 2 hr at 37 °C with 5% CO<sub>2</sub>. Then medium plus the MTT was aspirated and the formazan crystals formations in each well were dissolved in 100 μL DMSO. The optical absorbance at 600 nm ( $A_{600}$ ) of the microtitre plates was measured using a spectrophotometer (Multiskan EX, Thermo Electron, USA). The IC<sub>50</sub> values were determined based on the titration curve generated using Excel.

### **2.9.2 MTT cell viability Assay**

To determine the effect of ligands on the metal toxicity of SH-SY5Y cells. Microtitre plates were prepared containing 7000 cells in 200 μl complete media, in each well. SH-SY5Y cells were grown for 36 hr at 37 °C, 5 % CO<sub>2</sub> and then prepared for treatment. The specific treatments of Al<sup>3+</sup> is given in Table 2.6 for clarity.

**Table 2.6 Treatments used for each plate in ligand toxicity assay.**

<b>Plates</b>	<b>Treatments</b>
<b>1</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) in each treatment well.
<b>2</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) plus 10 μL Histidine (160 μM) in each treatment well.
<b>3</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) plus 10 μL Glutathione (160 μM) in each treatment well.
<b>4</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) plus 10 μL Maltol (160 μM) in each treatment well.
<b>5</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) plus 10 μL Citric Acid (160 μM) in each treatment well.
<b>6</b>	Al(mal) <sub>3</sub> (0 μM, 175 μM, 500 μM, 800 μM, 1000 μM, 1500 μM, 1750 μM, 2000 μM) plus 10 μL Malic Acid (160 μM) in each treatment well.

The experimental design was repeated with zinc nitrate hexahydrate, copper chloride dihydrate, sodium (meta)arsenite and chromium (VI) oxide. The concentration ranges for metal treatments are: 0-250 μM zinc nitrate hexahydrate, 0-2000 μM copper chloride dehydrate, 0-2000 μM sodium (meta) arsenite, and 0-100 μM chromium (VI) oxide.

Once treated SH-SY5Y cells were incubated for 8 hr, an MTT (3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl-2H-tetrazolium bromide) colorimetric assay was used to quantify cell viability on adherent cells. Cells were treated with 50 μl MTT solution (5 mg/ml in PBS) to each well resulting in formazan crystals solubilized in 100 μl DMSO. Culture plates were gently shaken

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before optical density was measured using a spectrophotometer (Multiskan EX, Thermo Electron, USA) at 600 nm.

## **2.10 Quantification of APP Gene Expression**

### **2.10.1 Harvesting and purification of RNA for quantitative qRT-PCR**

The SH-SY5Y cells were cultured in 75 cm<sup>2</sup> flasks to 80% confluence at which point 1 mL TRIzol™ reagent (ThermoFisher) was added directly into the flask. The solution was aspirated several times to homogenise the lysate, then transferred to 2 mL Eppendorf tubes. To ensure complete dissociation of the nucleoprotein complexes, the resulting lysate was allowed to stand for 5 mins at room temperature before the addition of 200 µL of chloroform, subsequently followed by another incubation at room temperature for a further 3 min. Samples were then centrifuged for 15 min, 12000 g, 4 °C to separate the solution into three distinct phases. The RNA containing upper organic phase was transferred, without touching the white layer in the middle, into a new tube by careful pipetting. The addition of 0.5 mL isopropanol resulted in the precipitation of the RNA. Following the 10 min incubation the sample was centrifuged for 10 min at 12000 g at 4 °C. Consequently, total RNA precipitated forming a white gel-like pellet at the bottom of the Eppendorf tube. The supernatant was discarded and 1 mL of 75% ethanol was added. The sample was vortexed for 5 sec then centrifuged for 5 min, 7500 g, 4 °C. The supernatant was removed and the pellet allowed to air dry. The dried pellet was resuspended in 30 µL RNase-free water by aspirating numerous times. The resulting RNA sample was stored at -80 °C. The purity and recovery were determined by NanoDrop based on the A260/A280 ratio and the peak shapes at 260 and 280 nm. The RNA pellet was dissolved in 50 µL of DEPC water. Nano drop reading with ratio set to 260/280 used to test RNA purity.

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### 2.10.2 cDNA synthesis

cDNA synthesis was carried out with the high-capacity cDNA reverse transcription kits (Thermo Fisher Scientific). The reagents and RNA samples were firstly thawed over ice. The RNA samples were then diluted to 0.1 µg/µL. The master mix for the reverse transcription consisted of 2 µL 10X reverse transcription buffer, 0.8 µL 25X dNTP mix, 2 µL 10X reverse transcription random primers, 1 µL Multiscribe™ reverse transcriptase, and 4.2 µL DEPC-treated water. Each reaction required 10 µL of master mix combined with 10 µL of 0.1 µg/µL RNA sample.

Reaction tubes were mixed and aspirated by pipette and brief vortex to push the solution to the bottom and to eliminate air bubbles. Reaction mixtures were run on a Veriti 96 well thermal cycler (Applied Biosystems) according to the protocol in Table 2.7.

**Table 2.7 Thermal cycler settings for cDNA synthesis**

Step	Temperature (°C)	Time
1	25	10 min
2	37	120 min
3	85	5 min
4	4	Until collection

Once cycling was finished, validation for cDNA samples was achieved by measuring the UV absorbance as optical density at A260/A280 ratio.

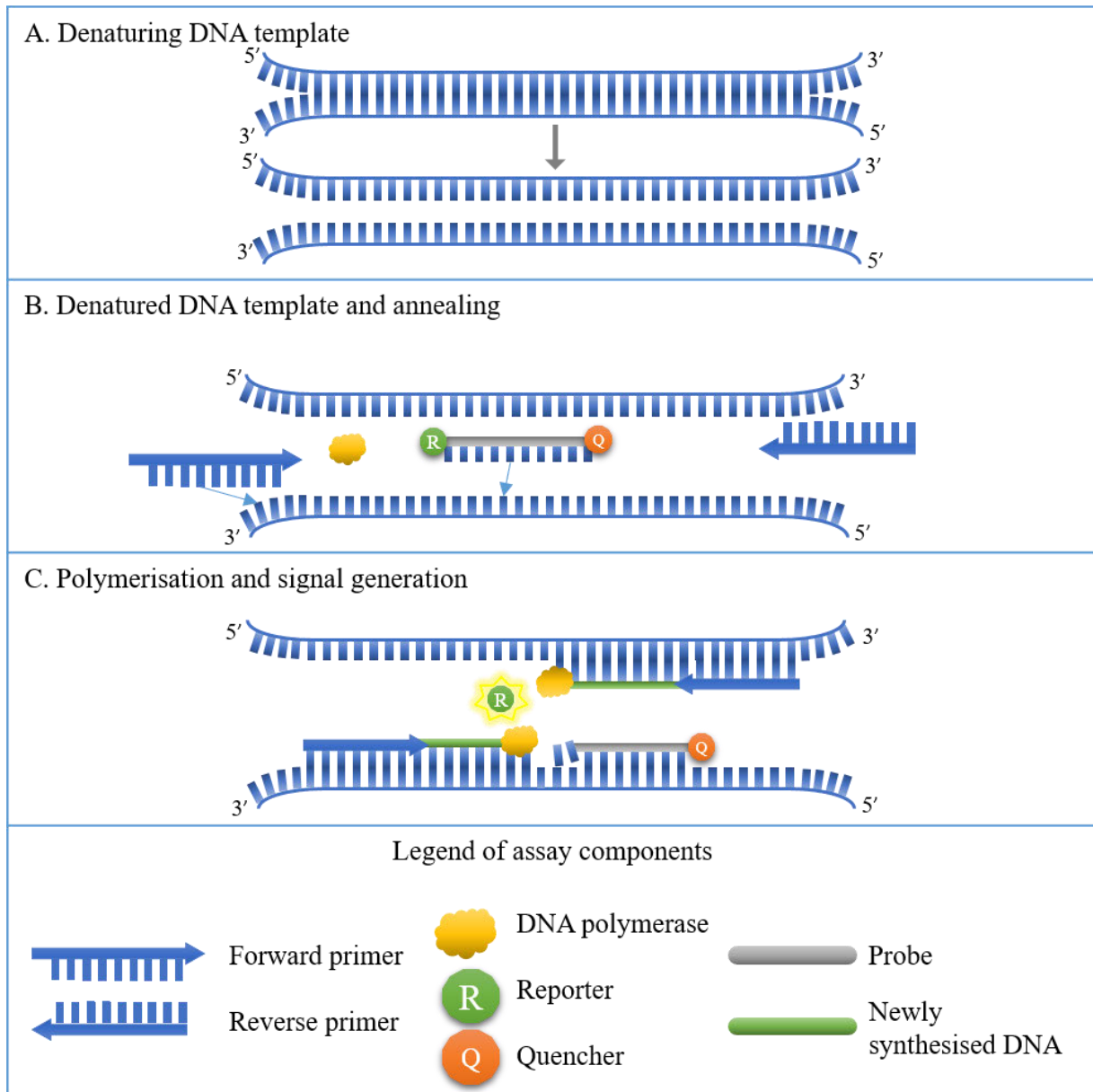
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### 2.10.3 Quantitative qRT-PCR

Real time quantitative reverse transcription polymerase chain reaction (qRT-PCR) was carried out using TaqMan PCR assays (Thermo Fisher Scientific). The samples and reagents were thawed on ice. cDNA samples were diluted to a concentration of 500 ng/ $\mu$ L cDNA. Every 20  $\mu$ L reaction assay comprised of 1  $\mu$ L 20X TaqMan assay (primers and probe), 10  $\mu$ L TaqMan gene expression master mix, 1  $\mu$ L of template and 8  $\mu$ L DEPC treated water or 9  $\mu$ L for the control with no template. Each sample was run in triplicate for every assay. Assays contained specific primer probe combinations targeted to APP and GAPDH (endogenous control) which encodes Glyceraldehyde 3-phosphate dehydrogenase. The thermal cycler settings used are shown in Table 2.8 and Figure 2.1 illustrates how the reaction works.

**Table 2.8. Parameters for the qRT-PCR thermal cycler**

Stage	Temperature ( $^{\circ}$ C)	Duration (mm:ss)
Hold	50	2:00
Hold	95	10:00
Cycle (40 cycles)	95	0:15
	60	1:00



**Figure 2.1. Schematic explanation of the principle underlying TaqMan technology.** A) At the start of qRT-PCR, double stranded DNA melts due to the increased temperature. B) Temperature is lowered to an annealing temperature to allow the primers and probe bind to their specific target sequences. C) *Taq* DNA polymerase catalyses the extension of a new strand with the use of unlabelled primers and the cDNA template strand. When the polymerase reaches the TaqMan probe the reporter is displaced away from the quencher, causing fluorescence. With each cycle more reporter molecules are released, resulting in the increased intensity of fluorescence, in proportion to the amount of amplicon synthesized. Therefore, each cycle represents the doubling of DNA template, this multiplication follows an exponential trajectory.

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The generated data was analysed using the  $2^{-\Delta\Delta CT}$  method (Schmittgen & Livak, 2008) where the cycle threshold of the gene of interest in the control is normalised to the cycle threshold of the endogenous control in control conditions, giving  $\Delta CT_c$ . The cycle threshold of the gene of interest under the experimental conditions is normalised to the cycle threshold of the endogenous control under experimental conditions giving you a value for  $\Delta CT_e$ . Then  $\Delta CT_e$  is normalised to  $\Delta CT_c$  to give  $\Delta\Delta CT$ . The expression fold change is given by raising 2 to negative  $\Delta\Delta CT$  (exponent).

## **2.11 Software packages**

Icon 3.1 was used to process and analyse NMR data. Assignment of proton resonances was done using SPARKY with published assignments for A $\beta$  as a guide. Origin 9 software was used for determination of binding affinity. MNOVA used to format and display 1D NMR data. Molecular mechanics was conducted using HyperChem 8.0 and Chem3D 15.1. Statistical analysis was conducted with one-way ANOVA in SPSS statistical software.



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## 3 THE NMR STRUCTURAL ANALYSIS OF AMYLOID $\beta$ PEPTIDE AND ITS INTERACTION WITH METAL IONS

### 3.1 Introduction

This chapter aims to define the interaction of metal ions with the A $\beta$ <sub>1-28</sub> peptide. As described in Chapter 1.9, the interactions of biometals Cu<sup>2+</sup> and Zn<sup>2+</sup> with A $\beta$  have been studied in the past years. The A $\beta$  fragments, ranging from 12- 42 residues in length can bind metal ions. Danielsson et al. (2007) and Syme et al. (2004) determined the coordination geometry of, Zn<sup>2+</sup> and Cu<sup>2+</sup>. The metal binding of A $\beta$  indicates that the dyshomeostasis of Fe<sup>2+/3+</sup>, Cu<sup>2+</sup> and Zn<sup>2+</sup> could induce senile plaque accumulation (Lovell et al., 1998; Bolognin et al., 2011; Oshiro et al., 2011; Craddock et al., 2012; Singh et al., 2013). In contrast to these biometals which have biological functions in the brain, aluminium (Al<sup>3+</sup>) has no biological role, and is present in human brain tissue in concentrations of 1.02 to 2.01  $\mu$ g/g dry wt across the four main lobes (House et al., 2012).

Aluminium association to AD has been previously documented (Crapper et al., 1973; Perl and Brody, 1980). The exposure to aluminium has been indicated to contribute to the development of AD (Exley and Vickers, 2014). Aggregation of A $\beta$  caused by the presence of aluminium was greater compared to iron, copper and zinc (Kuroda and Kawahara, 1994). However, the details of interaction between aluminium and A $\beta$  has not yet been established.

This chapter will determine, by NMR analysis, the specific coordination geometry and the residues involved in the coordination of Al<sup>3+</sup> to A $\beta$ , and the structural variations of A $\beta$  as a consequence of the metal coordination. The A $\beta$ <sub>1-28</sub> peptide and A $\beta$ <sub>1-28</sub> analogues (H6A, H13A,

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H14A and H6,13,14A) are used to determine whether all histidine residues play an equal role in coordinating to the metal ion.

Chemical shift perturbation (CSP) in a ligand follows changes in its chemical shifts when an interacting metal ion is added. Measuring CSP makes quantitative use of the chemical shift changes to probe the geometry and strength of the interactions. CSP is an extremely reliable method to determine interaction sites, provided that the crystal structure or peptide sequence is known and the spectrum is assigned. It is proposed that the examination of CSP of A $\beta$  when metal ions are added will determine the location of the binding site, the affinity of the metal ion, effect of aluminium on the secondary structure of A $\beta$ , and potential structure of a A $\beta$ -Al $^{3+}$  complex. Chemical shift changes are sensitive to structural changes and can be accurately measured. Therefore, genuine binding interactions will produce CSP. The analysis involves NMR titrations, measuring the chemical shifts at each point following the peak's movement, and measuring how each peak moves throughout the titration. Resonances affected by the metal additions are the possible binding sites for A $\beta$ . The comparison of Al $^{3+}$  and A $\beta$  titrations to the previously published Zn $^{2+}$  and A $\beta$  titrations will indicate if the binding sites for the two metal ions are different or not. The titration of A $\beta$  with Zn $^{2+}$  will also indicate similarities or differences in binding sites and modes of the metal-A $\beta$  complexes. Once saturated by metal ions, the chemical shift perturbation as a function of  $\Delta\delta$  vs  $[Al^{3+}]/[A\beta_{1-28}]$  can reveal the stoichiometric ratio of the Al $^{3+}$ -A $\beta$  complex. Moreover, the shape of the titration curve can often be fitted to obtain a value for the dissociation constant ( $K_D$ ) of the metal-peptide complex, which is a measure of affinity of Al $^{3+}$  to A $\beta$ . Measuring CSP makes quantitative use of the chemical shift changes to probe the binding sites, geometry and interactions. This would in turn provide valuable structural insight into the nature of the binding of metal ion to A $\beta$ .

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## 3.2 Results

### 3.2.1 Structural analysis and determination of binding sites in the metal-A $\beta_{1-28}$ complex

#### 3.2.1.1 Proton NMR assignments for A $\beta_{1-28}$ residues

In order to delineate the key binding sites of Al<sup>3+</sup> in A $\beta_{1-28}$ , the resonance assignments of all protons in apo A $\beta_{1-28}$  peptide alone were performed firstly by examining the TOCSY and NOESY cross-peaks, see Appendix B. TOCSY provided total correlation of proton spin system in each amino acid residue. NOESY provided sequential NOE correlations between the protons of neighbouring spin systems. NOEs occur due to dipolar couplings resulting from interactions of spins via space. Table 3.1 shows the chemical shifts of all protons in A $\beta_{1-28}$  peptide. From the known assignments of HN protons, it is straight forward to assign  $\alpha$ H and other side chain protons of individual amino acid residues.

**Table 3.1 Assignments of the chemical shifts of A $\beta$ <sub>1-28</sub>**

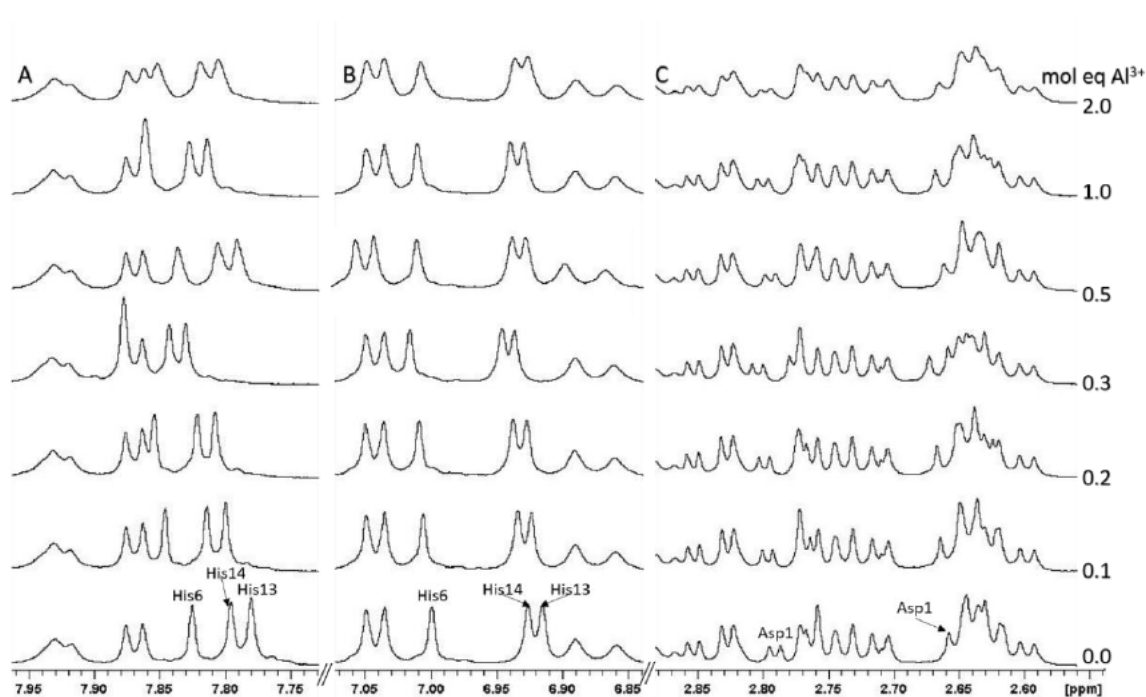
Residues	Experimental chemical shifts ( $\delta$ ppm) of amino acid residues of A $\beta$ <sub>1-28</sub> *			
	NH	$\alpha$ H	$\beta$ H	Others
Asp1	nv	4.09	2.64, 2.77	
Ala2	8.16	4.28	1.37	
Glu3	8.44	4.17	1.87	$\gamma$ H 2.10, 2.19
Phe4	8.23	4.54	2.98	aroH 7.15, 7.25
Arg5	nv	4.27	1.60, 1.72	$\gamma$ H 1.47, $\delta$ H 2.98
His6	nv	4.51	3.03, 3.10	aroH 6.99, 7.80
Asp7	8.31	4.60	2.64	
Ser8	8.13	4.45	3.87	
Gly9	7.94	3.88, 3.94		
Tyr10	7.93	4.52	2.93, 3.04	aroH 7.05, 6.78
Glu11	8.38	4.20	1.84, 1.90	$\gamma$ H 2.18
Val12	8.04	3.94	1.93	$\gamma$ H 0.72, 0.84
His13	nv	4.57	2.99	aroH 6.91, 7.77
His14	nv	4.52	3.01, 3.08	aroH 6.92, 7.78
Gln15	8.31	4.24	2.03, 2.24	$\gamma$ H 2.31; $\epsilon$ NH 6.84, 7.52
Lys16	nv	4.26	1.64, 1.78	$\gamma$ H 1.36, 1.41; $\epsilon$ H 2.95
Leu17	8.19	4.31	1.43, 1.58	$\gamma$ H 1.60; $\delta$ H 0.83, 0.90
Val18	7.93	4.01	1.9	$\gamma$ H 0.73, 0.81
Phe19	8.19	4.56	2.92, 2.98	aroH 7.16, 7.30
Phe20	8.18	4.55	2.94, 3.10	aroH 7.23, 7.32
Ala21	8.19	4.22	1.36	
Glu22	8.33	4.19	1.99, 2.03	$\gamma$ H 2.24
Asp23	8.4	4.64	2.63, 2.72	
Val24	8.09	4.13	2.17	$\gamma$ H 0.93, 0.95
Gly25	8.50	3.88, 3.96		
Ser26	8.34	4.37	3.87	
Asn27	nv	4.74	2.76, 2.84	$\delta$ NH 6.89, 7.59
Lys28	7.88	4.15	1.80	$\gamma$ H 1.64, 1.69; $\delta$ H 1.36; $\epsilon$ H 2.98

*nv*- resonances not observed due to the fast exchange with solvent.

\*obtained from the TOCSY and NOESY experiments in in 90% H<sub>2</sub>O:10% D<sub>2</sub>O ( $\delta$  in ppm) at 298K.

### 3.2.1.2 NMR titration of A $\beta$ <sub>1-28</sub> against Al<sup>3+</sup>

In 2D experiments of A $\beta$ <sub>1-28</sub>, the prolonged run times resulted in the line broadening due to peptide aggregation. Thus, despite the good resolution, not all resonances were visible in the TOCSY and NOESY spectra. In contrast, <sup>1</sup>H NMR has less signal dispersion which could be caused by an exchange process and peptide aggregation. <sup>1</sup>H NMR allows characterisation of residues effected by metal coordination. Therefore, the combination of these two sets of data provides a detailed characterisation of aluminium binding to A $\beta$ <sub>1-28</sub>. To characterise Al<sup>3+</sup> coordination to A $\beta$ <sub>1-28</sub>, <sup>1</sup>H NMR titration was used. Figure 3.1 shows the 1D proton NMR spectrum in the aromatic region of A $\beta$ <sub>1-28</sub> titrated with increasing amounts of Al<sup>3+</sup>.



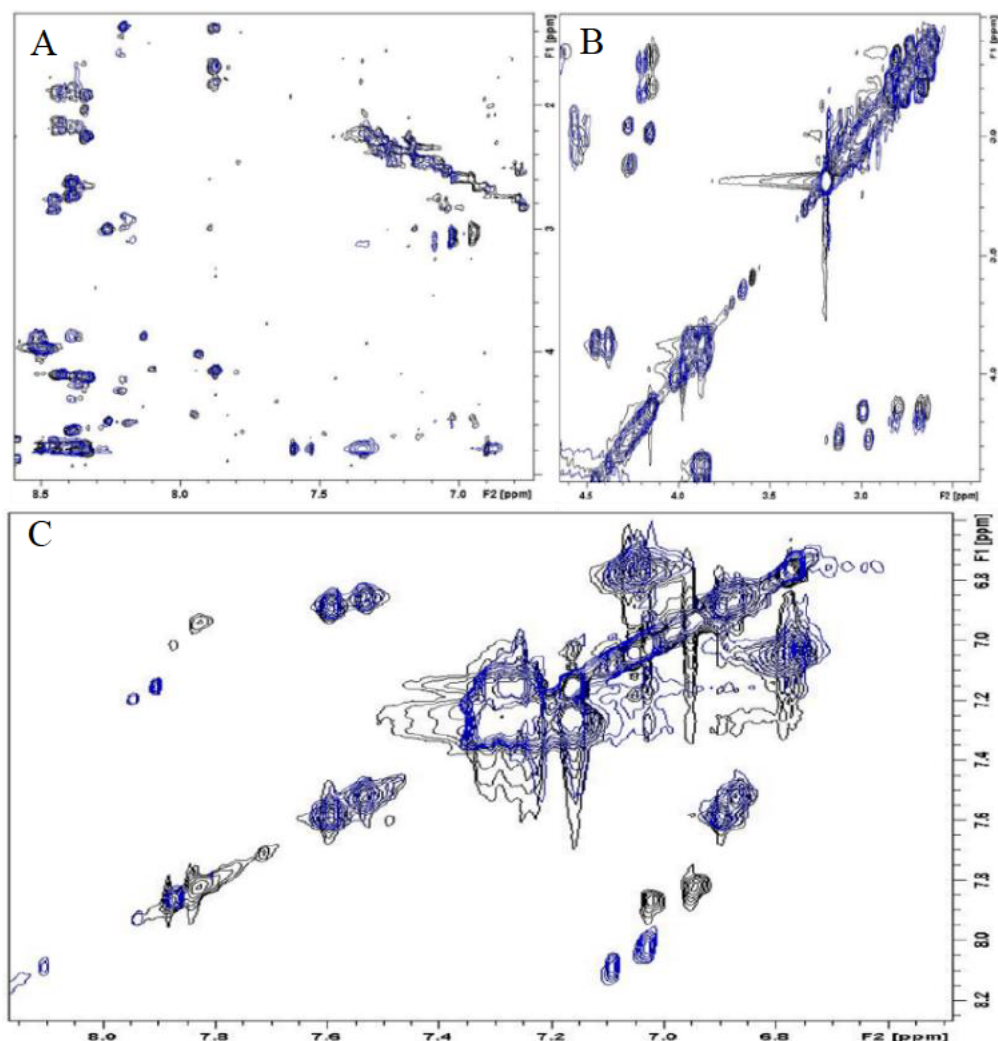
**Figure 3.1** <sup>1</sup>H NMR spectra of Al<sup>3+</sup> titration of A $\beta$ <sub>1-28</sub>. [1 mM] A $\beta$ <sub>1-28</sub> in 10% H<sub>2</sub>O:90% D<sub>2</sub>O, pH 7.4 at 289K. The titration was carried out as described in Section 2.4 of Chapter 2. The three spectra sections (A, B and C) show perturbed resonances of respective protons. A) NH region, B) aromatic region, C)  $\beta$ H region.

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The incremental additions of  $\text{Al}^{3+}$  caused the perturbation of numerous NMR resonances, by means of broadening or chemical shifts variations. 1D  $^1\text{H}$  NMR spectra show that  $\text{Al}^{3+}$  affected signals from all the three histidine residues (His6, 13 and 14) by exhibiting up field shifts of the 2H (Figure 3.1A) and 4H (Figure 3.1B) resonances of the imidazole side chain.

This observation suggests the involvement of the histidine residues as the metal binding sites. The  $\alpha\text{H}$  and two diastereotopic  $\beta\text{H}$  protons of Asp1 at 2.64 and 2.77 ppm in Figure 3.1C are also perturbed with the addition of  $\text{Al}^{3+}$ . Therefore, the coordination of  $\text{Al}^{3+}$  to  $\text{A}\beta_{1-28}$  may also involve the N-terminus. Based on the 1D proton NMR spectrum other residues were unaffected by the addition of  $\text{Al}^{3+}$ . Hence the binding sites of  $\text{A}\beta$  for  $\text{Al}^{3+}$  are limited to the His6, His13, His14 and Asp1.

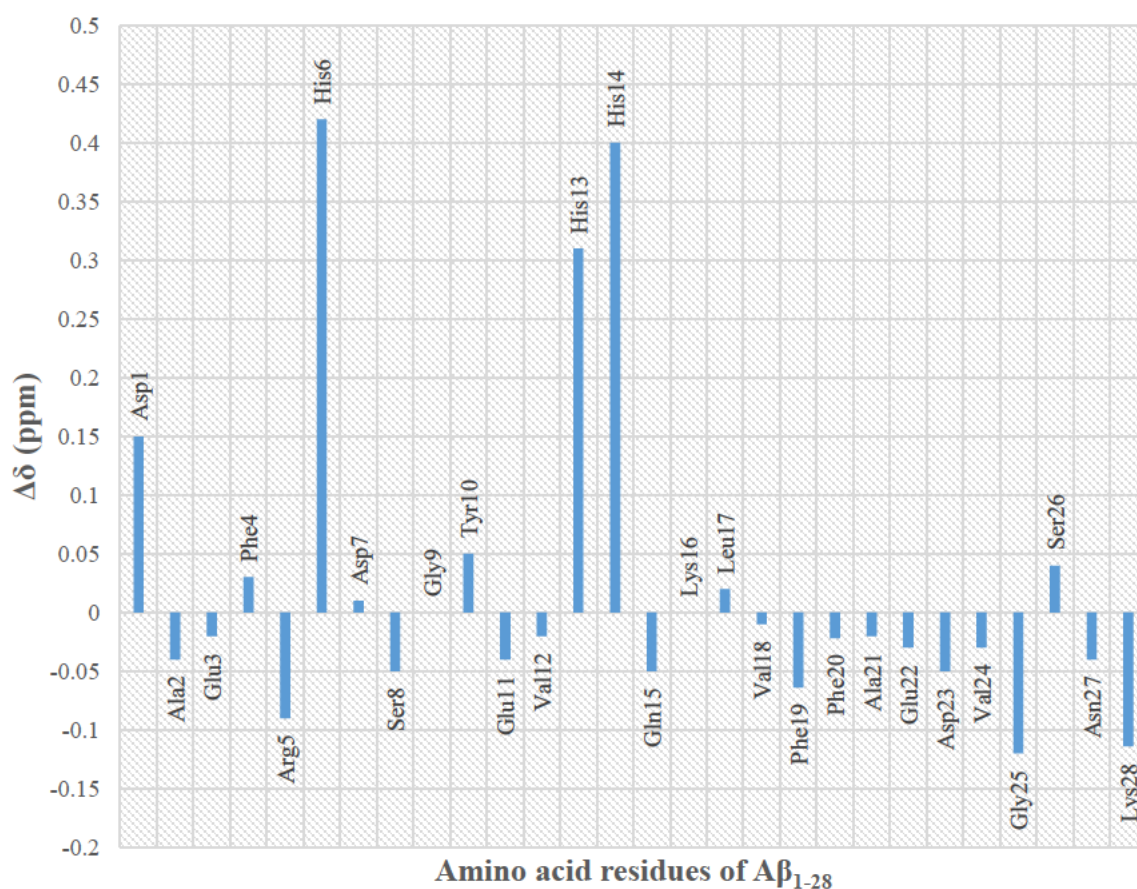
To confirm these binding sites in  $\text{A}\beta$ , 2D TOCSY of  $\text{A}\beta$  containing 1.0 mole equivalent of  $\text{Al}^{3+}$  was conducted (Figure 3.2, full spectra in Appendix C) in order to determine which residue-specific spin systems were affected by the metal ion. The addition of  $\text{Al}^{3+}$  caused perturbation of the cross peaks of  $\text{A}\beta$ .



**Figure 3.2** 2D  $^1\text{H}/^1\text{H}$  TOCSY NMR spectra of  $\text{Al}^{3+}$  titrated wild-type  $\text{A}\beta_{1-28}$ . (A) The HN- $\alpha\text{H}$  region (B) aromatic region (C) The  $\beta\text{H}-\alpha\text{H}$  region. Wild-type  $\text{A}\beta_{1-28}$  in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  pH 7.4 at 298K, apo (black) and one equimolar  $\text{Al}^{3+}$  (blue).

From the addition of equimolar of  $\text{Al}^{3+}$  to  $\text{A}\beta_{1-28}$ , the chemical shift variations experienced by the individual residues are summarised in Figure 3.3.





**Figure 3.3**  $^1\text{H}$  chemical shift variations for  $\text{A}\beta_{1-28}$  induced by 1.0 mole equivalent of  $\text{Al}^{3+}$ .  $\text{A}\beta_{1-28}$  1 mM was in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  pH 7.4 at 298K. The sum of all the chemical shift variations ( $\Delta\delta$ ) experienced by the protons of the residues were calculated by using the formula  $\Delta\delta = \delta_{\text{holo}} - \delta_{\text{apo}}$ .

As seen from these results, the residues His6, His13 and His14 experienced the largest change in chemical shift, followed by Asp1, Gly25 and Lys28. The residues Arg5, Tyr10 and Phe19 did experience chemical shift variations, to a lesser degree. A weaker coordination may occur via these residues.

In summary, the 2D analysis and 1D titration experiments of  $\text{A}\beta$  supports the primary binding site of  $\text{A}\beta_{1-28}$  are His6, His13, His14 and Asp1. This also indicates potential secondary weak



binding sites of Gly25 and Lys28. The residues Arg5, Tyr10 and Phen19 are affected by the addition of Al<sup>3+</sup>, however this variation in chemical shift may be due the change in structural arrangement caused by the involvement of neighbouring residues in the coordination of Al<sup>3+</sup>.

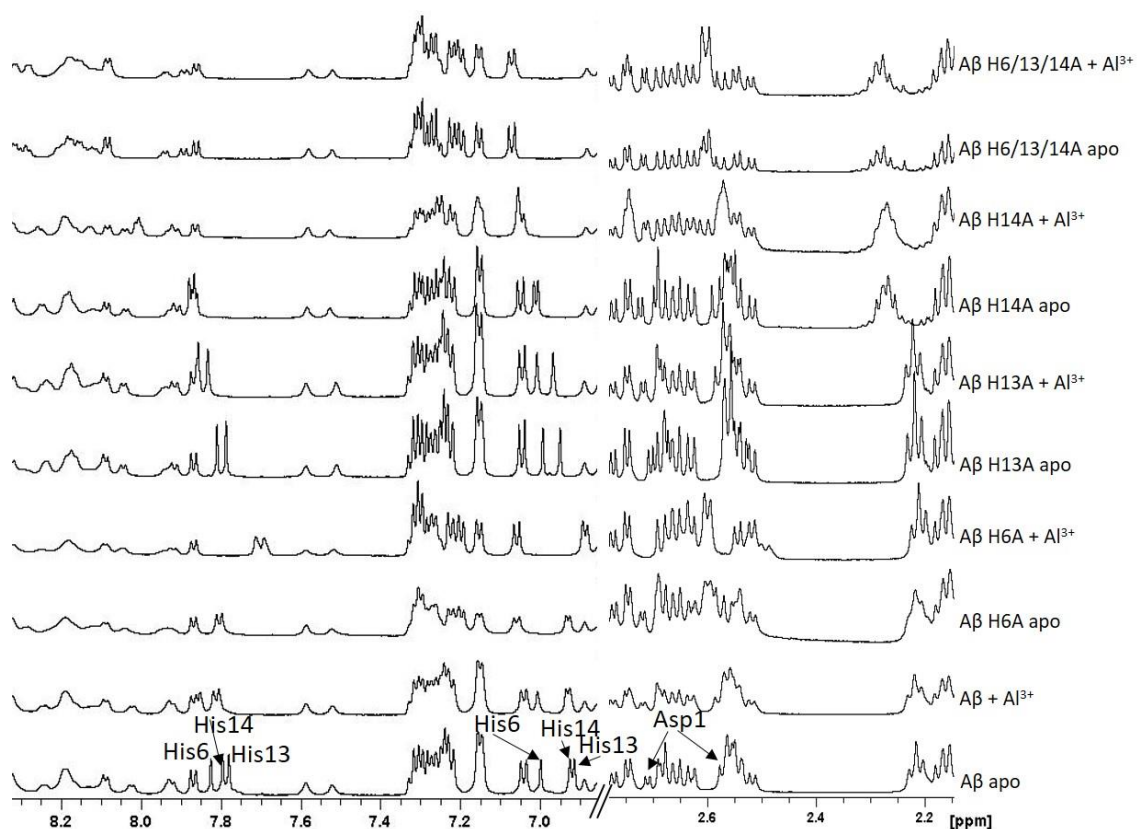
### 3.2.2 Aluminium titration of A $\beta$ <sub>1-28</sub> analogues

In an attempt to further verify key residues involved in Al<sup>3+</sup> binding to A $\beta$ , its analogues (H6A, H13A, H14A) were synthesised, in which each of the three histidine residues were replaced by alanine residues individually, and a fourth analogue (H6,13,14A) was synthesised in which all three histidine residues were replaced by alanine residues. The sequence of these A $\beta$ <sub>1-28</sub> analogues are given in Table 3.2.

**Table 3.2 Sequences of the synthetic amyloid  $\beta$  and its analogues**

Designation	Sequence
A $\beta$ <sub>1-28</sub>	DAEFR <u>H</u> DSGYEV <u>HH</u> QKLVFFAEDVGSNK
A $\beta$ H6A	DAEFR <u>A</u> DSGYEV <u>HH</u> QKLVFFAEDVGSNK
A $\beta$ H13A	DAEFR <u>H</u> DSGYEV <u>A</u> HQKLVFFAEDVGSNK
A $\beta$ H14A	DAEFR <u>H</u> DSGYEV <u>H</u> <u>A</u> QKLVFFAEDVGSNK
A $\beta$ H6,13,14A	DAEFR <u>A</u> DSGYEV <u>AA</u> QKLVFFAEDVGSNK

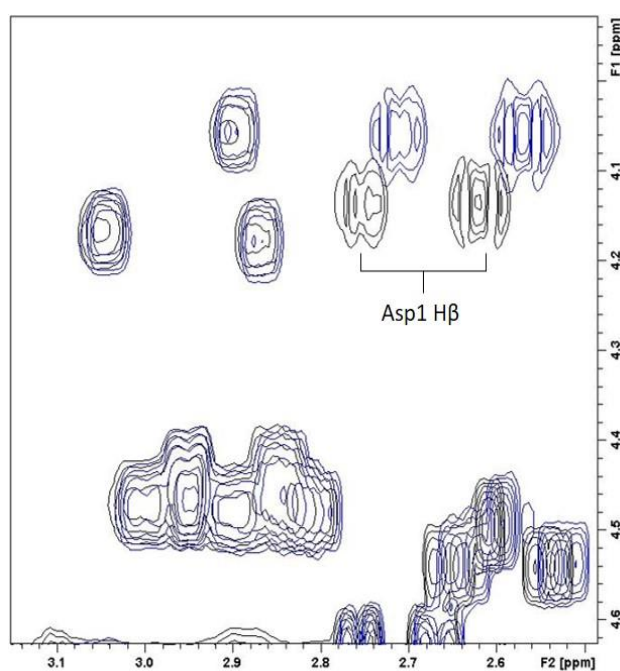
The Al<sup>3+</sup> was titrated against each of the analogues and 1D <sup>1</sup>H NMR spectra were recorded. Figure 3.4 shows the 1D <sup>1</sup>H NMR spectra in the NH, aromatic and  $\beta$ H regions of apo and one mole equivalent Al<sup>3+</sup> in A $\beta$ <sub>1-28</sub> as well as the four analogues including H6A, H13A, H14A and H6,13,14A. The separate resonances for the protons of the three His 2H and 4H (centred at ~7.8 ppm and ~6.9 ppm respectively) and the Asp1  $\beta$ H (at 2.6 ppm and 2.7 ppm) resonances can be distinguished in the spectra.



**Figure 3.4**  $^1\text{H}$  NMR titrated spectra of  $\text{A}\beta_{1-28}$  and analogues. The spectra of  $\text{A}\beta_{1-28}$  apo as well as its analogues respectively (0 mol eq  $\text{Al}^{3+}$ ) and 1.0 mol eq  $\text{Al}^{3+}$  are shown in the amide, aromatic and  $\beta\text{H}$  regions. All peptides are at 1.0 mM concentration in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$ , pH 7.4 at 298K.

The comparison of the spectra (Figure 3.4) of apo  $\text{A}\beta_{1-28}$  (bottom) and the  $\text{Al}^{3+}$  additions, indicate that the metal ion causes a significant decrease of His and Asp1 signals, as compared to the rest of the spectrum. The effects of  $\text{Al}^{3+}$  addition on all four analogues also caused line broadening of His resonances but the observed effects are not the equivalent to the original  $\text{A}\beta_{1-28}$  spectra. The addition of  $\text{Al}^{3+}$  to H6A caused significant line broadening of the Asp1  $\beta\text{H}$  resonances. The 4H signals from His13 and His14 residues were not affected by the  $\text{Al}^{3+}$ . However,  $\text{Al}^{3+}$  caused the 2H of His13 and His14 to experience a downfield chemical shift with a  $\Delta\delta$  greater than the  $\text{A}\beta_{1-28}$  spectra. In the case of H13A, the His 2H and 4H signals from His6

and His14 residues are still clearly visible, and despite a small shifting upfield, are not significantly broadened upon  $\text{Al}^{3+}$  addition. However, from Figure 3.4 the signals of His 2H and Asp1  $\beta\text{H}$  protons experiences the largest CSP of H13A. The H14A spectra show broadening of the His signals but remain unshifted relative to their apo spectra. Slight changes were observed in the H6,13,14A peptide analogue for the  $\beta\text{H}$  region shown in Figure 3.5 (see Appendix C for full spectrum), line broadening was seen overall but resonance did not experience changes in chemical shift, apart from Asp1.



**Figure 3.5 2D  $^1\text{H}$  TOCSY spectrum of  $\text{A}\beta_{1-28}$  H6,13,14A with  $\text{Al}^{3+}$ .** The  $\beta\text{H}$  region of apo  $\text{A}\beta_{1-28}$  H6,13,14A (black) with 1.0 mol eq  $\text{Al}^{3+}$  (blue) is shown. The  $\text{A}\beta_{1-28}$  H6,13,14A peptide is at 1.0 mM concentration in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$ , pH 7.4 at 298K. The slow exchange cross peaks observed for Asp1  $\beta\text{H}$  are highlighted.

TOCSY results also indicated the only residue in H6,13,14A affected by  $\text{Al}^{3+}$  addition was Asp1 as seen in Figure 3.5. As the  $\beta\text{H}$  was more affected than the  $\alpha\text{H}$  and with aluminium's oxygen donor preference, it is suggested  $\text{Al}^{3+}$  coordinates to the carboxylate group of Asp1. Taken

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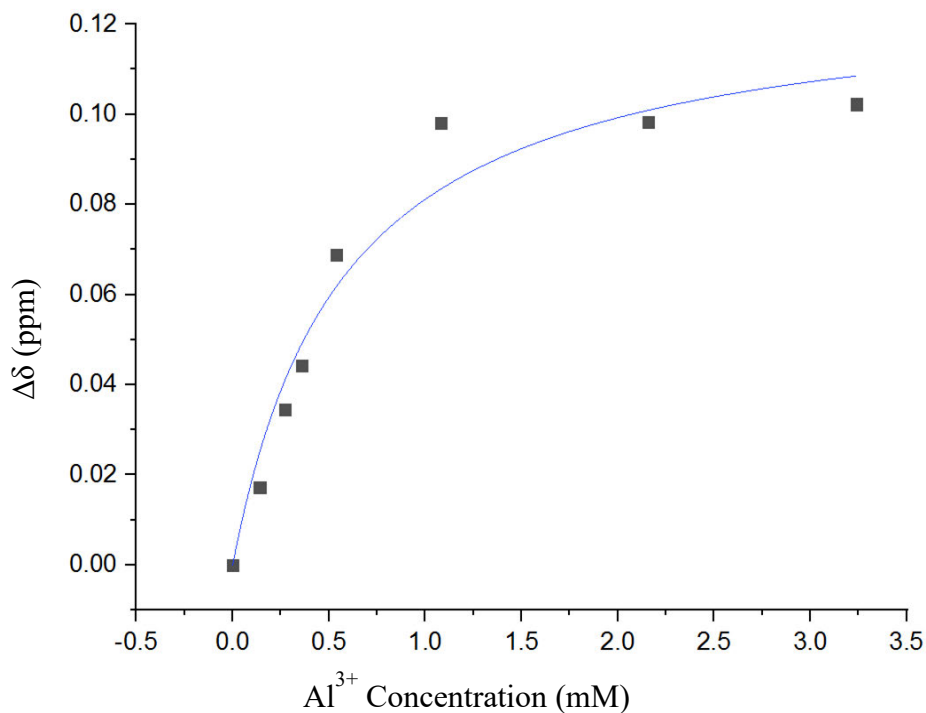
together, the results with A $\beta$ <sub>1-28</sub> analogues confirm that the aluminium binding site in A $\beta$  is in the N-terminus and are consistent with the involvement of the three histidine residues in the coordination.

### **3.2.3 Determination of binding affinity of Al<sup>3+</sup> to A $\beta$ <sub>1-28</sub> monitored by NMR spectroscopy**

The binding affinity experiments used the <sup>1</sup>H NMR titration and a varied Hill Equation to determine binding affinity (K<sub>D</sub>). In this technique the CSP of the residues caused by the addition of metal ions are measured as a change in chemical shift ( $\Delta\delta$ ). Values of K<sub>D</sub> were derived from the relationship between metal concentration and the  $\Delta\delta$ . The binding curves are constructed as outlined in section 2.6, by fitting the data to the Hill Equation (2.1) shown below and by using Origin 9 software.

$$y = \Delta\delta_{max} \frac{[m]^n}{K_D^n + [m]^n} \quad (2.1)$$

This experiment used CSP of the A $\beta$ <sub>1-28</sub> residues effected by the aluminium titration. The binding curves obtained for the A $\beta$ <sub>1-28</sub> are given in Figure 3.6 and for each concentration the respective steady state equilibrium values were plotted and fitted to the Hill equation for a 1:1 binding stoichiometry ratio and n=1 in equation 1, to determine the dissociation constant K<sub>D</sub>.

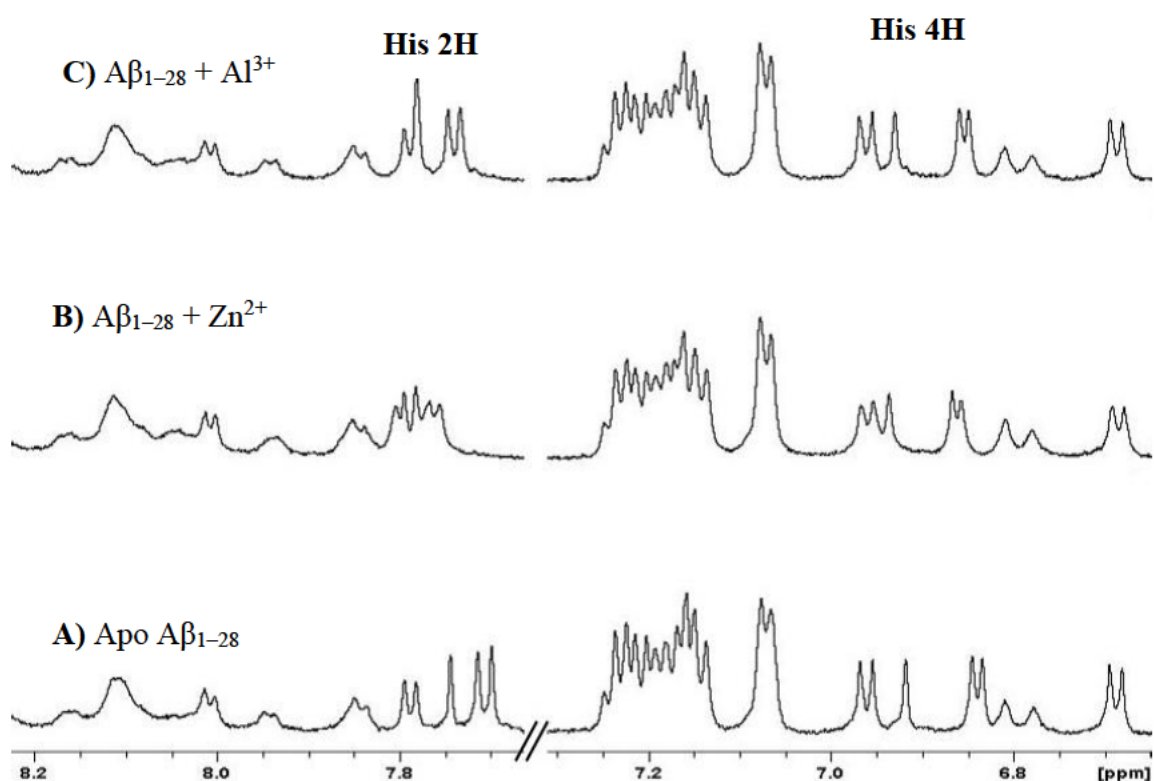


**Figure 3.6 Binding curve of aluminium to A $\beta$ <sub>1-28</sub>.** The sum of the chemical shift changes ( $\Delta\delta$ ) for the A $\beta$  resonances as a function of aluminium concentration. The curve represents the best fit of the quadratic equation that describes 1:1 complex formation. The  $K_D$  of A $\beta$ <sub>1-28</sub> and Al<sup>3+</sup> was  $0.35 \pm 0.03$  mM.

The curve in Figure 3.6 corresponds a binding affinity of ( $K_D$ )  $0.35 \pm 0.03$  mM and  $\Delta\delta_{\max} = 0.13 \pm 0.01$  ppm. This was derived from the average binding affinities of replicated titrations along with the standard error (SEM) for this average.

### 3.2.4 Comparison of $\text{Al}^{3+}$ and $\text{Zn}^{2+}$ binding to $\text{A}\beta_{1-28}$

In order to compare aluminium to an extensively studied metal ion,  $\text{Zn}^{2+}$ , the characterisation of  $\text{Zn}^{2+}$  binding to  $\text{A}\beta$  was also conducted using  $^1\text{H}$  NMR. Figure 3.7 shows the 1D proton NMR spectra in the aromatic region of  $\text{A}\beta_{1-28}$  loaded at one mole equivalent of  $\text{Zn}^{2+}$  at pH 7.4. The presence of the  $\text{Zn}^{2+}$  ions caused a number of NMR resonances to be perturbed. The 2H and 4H resonances of the histidine residues shift to a higher field upon addition of  $\text{Zn}^{2+}$ . Both residues' signals undergo some broadening, in particular the 2H resonance of the  $\text{Zn}^{2+}$  bound complex which is in fast/intermediate exchange. A lower upfield shift and broadening effect is seen in the  $\text{Al}^{3+}$  bound complex. The relative difference between deshielded peaks indicates much stronger binding efficiency of  $\text{Zn}^{2+}$  to  $\text{A}\beta$  in comparison to  $\text{Al}^{3+}$ .



**Figure 3.7**  $^1\text{H}$  NMR spectra of  $\text{Zn}^{2+}$  and  $\text{Al}^{3+}$  titrated  $\text{A}\beta_{1-28}$ . 2H and 4H resonances of His6, 13 and 14 are shown.  $\text{A}\beta_{1-28}$  is in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$ , pH 7.4 at 298K. (A) Apo  $\text{A}\beta_{1-28}$ ; (B)  $\text{A}\beta_{1-28}$  with 1.0 mol eq  $\text{Zn}^{2+}$ ; (C)  $\text{A}\beta_{1-28}$  with 1.0 mol eq  $\text{Al}^{3+}$ .

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The similar changes in chemical shift observed for the resonances of 2H and 4H of His 6, 13 and 14 in Figure 3.7 suggest aluminium and zinc binds to the same binding site of A $\beta$ <sub>1-28</sub>. For zinc, the  $K_D = 0.28 \pm 0.03$  mM, indicating Zn<sup>2+</sup> has only a slightly higher binding affinity compared to Al<sup>3+</sup> ( $0.35 \pm 0.03$  mM) at physiological pH. These results indicate a very similar complexing ability of Zn<sup>2+</sup> and Al<sup>3+</sup>.

### **3.2.5 Structure of Al<sup>3+</sup>-A $\beta$ complex**

#### **3.2.5.1 Chemical shift index (CSI) based secondary structure**

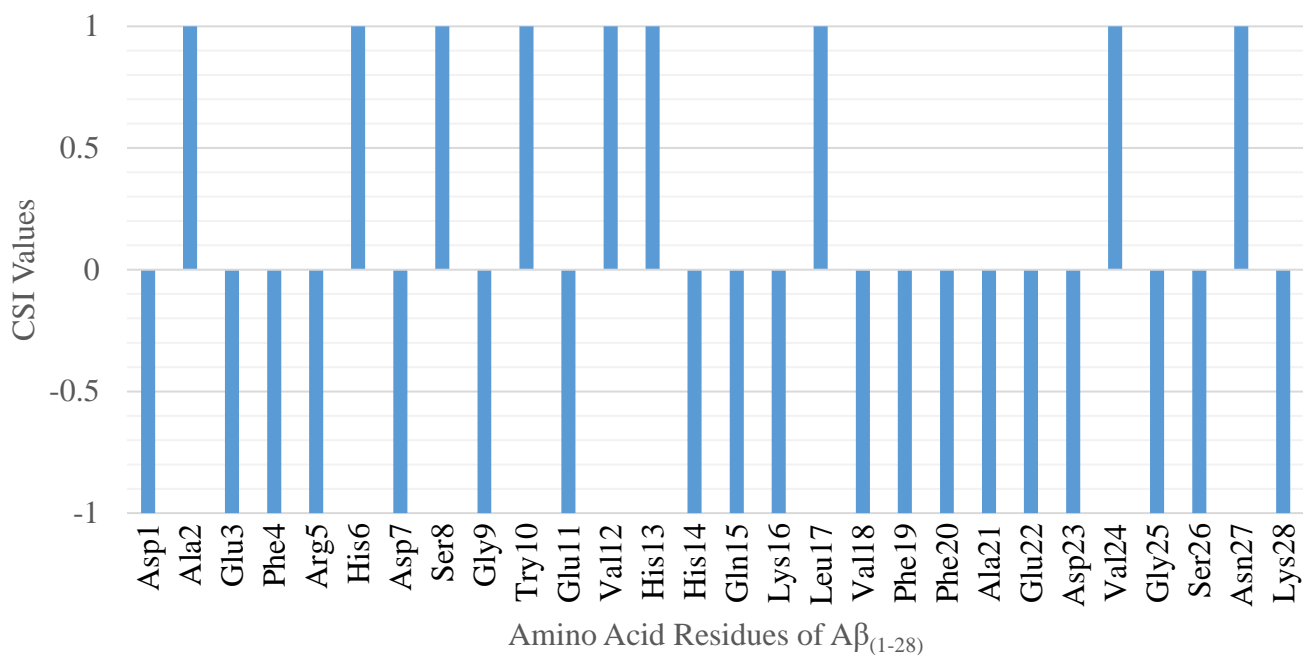
The CSP approach provide a means to study the effects of metal ions on the secondary structure of A $\beta$  peptide, using the chemical shift index (CSI) based method developed by Wishart et al. (1992). The structural analysis based on the CSI method was conducted by comparing the chemical shifts of  $\alpha$ H in an amino acid residue acquired from the NMR assignments to its random coil chemical shifts reference. This comparison assigns a chemical shift value in the form of a simple three-state (-1, 0, 1) index. A CSI value of '1' is assigned to a residue if the measured chemical shift is greater than 0.1 ppm the CSI reference value; a '-1' is given to residues with a  $\alpha$ H smaller than the reference and a '0' value is given if the experimental and reference chemical shifts are within the expected range. The use of these CSI values provides insights of secondary structure. An uninterrupted group of CSI values of three or more '-1' indicates a helix. Any groupings of three or more '1', uninterrupted by a '-1', specify the presence of a  $\beta$  strand. All other regions are designated as random coils. The results of this comparison are provided in Table 3.4 for A $\beta$ <sub>1-28</sub> without the metal ion.

**Table 3.3 Chemical shift index (CSI) values of A $\beta$ <sub>1-28</sub>**

<b>Residues</b>	<b><math>\alpha</math>H from this experiment</b>	<b><math>\alpha</math>H coil<sup>a</sup></b>	<b><math>\alpha</math>H Difference</b>	<b>CSI values</b>
<b>Asp1</b>	4.09	4.76	-0.67	-1
<b>Ala2</b>	4.28	4.35	-0.07	1
<b>Glu3</b>	4.17	4.29	-0.12	-1
<b>Phe4</b>	4.54	4.66	-0.12	-1
<b>Arg5</b>	4.29	4.38	-0.09	1
<b>His6</b>	4.51	4.63	-0.12	-1
<b>Asp7</b>	4.60	4.76	-0.16	-1
<b>Ser8</b>	4.45	4.50	-0.05	1
<b>Gly9</b>	3.87	3.97	-0.10	-1
<b>Tyr10</b>	4.52	4.60	-0.08	1
<b>Glu11</b>	4.19	4.29	-0.10	-1
<b>Val12</b>	3.94	3.95	-0.01	1
<b>His13</b>	4.57	4.63	-0.06	1
<b>His14</b>	4.52	4.63	-0.11	-1
<b>Gln15</b>	4.24	4.37	-0.13	-1
<b>Lys16</b>	4.26	4.36	-0.10	-1
<b>Leu17</b>	4.31	4.17	0.14	1
<b>Val18</b>	4.01	4.60	-0.59	-1
<b>Phe19</b>	4.56	4.66	-0.10	-1
<b>Phe20</b>	4.55	4.66	-0.11	-1
<b>Ala21</b>	4.22	4.35	-0.13	-1
<b>Glu22</b>	4.19	4.29	-0.10	-1
<b>Asp23</b>	4.64	4.76	-0.12	-1
<b>Val24</b>	4.13	3.95	0.18	1
<b>Gly25</b>	3.87	3.97	-0.10	-1
<b>Ser26</b>	4.37	4.50	-0.13	-1
<b>Asn27</b>	4.74	4.75	-0.01	1
<b>Lys28</b>	4.15	4.36	-0.21	-1

<sup>a</sup> CSI Residue-Specific  $\alpha$ H Random Coil Shifts (Wishart et al., 1992; Reid et al., 1997).





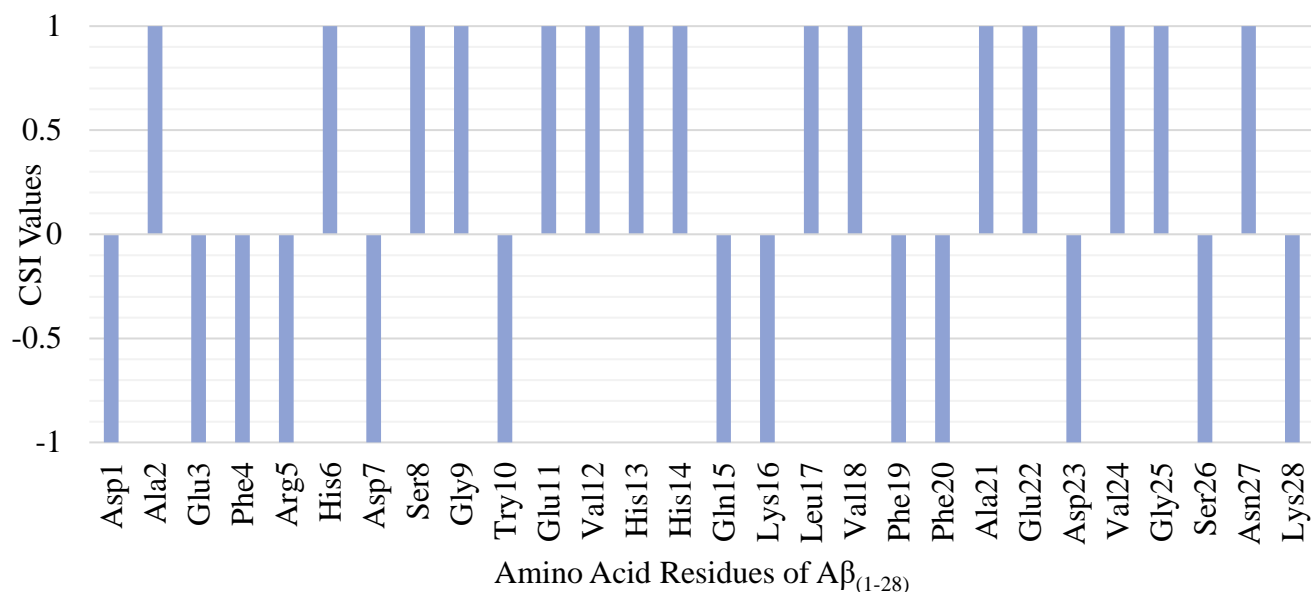
**Figure 3.8** The secondary structure of A $\beta$ <sub>1-28</sub> predictions from CSI values of  $\alpha$ H chemical shifts. ‘+1’ and ‘-1’ mean the positive and negative deviation of chemical shifts from random coil values and refer to  $\beta$  strand and  $\alpha$  helix structure, respectively.

As indicated in Figure 3.8 the secondary structure of A $\beta$ <sub>1-28</sub> contains random coil near the N-terminus and the possible  $\alpha$  helix from Val18 to Asp23. The binding of metal ions would change the secondary structure of A $\beta$ <sub>1-28</sub> to allow the coordination geometry required of the metal, due to the rearrangement of binding sites donor atoms around the metal centre. Hence the effect of Al<sup>3+</sup> on the CSI values and subsequent secondary structure of A $\beta$ <sub>1-28</sub> was measured and predicted. The secondary structural changes induced by Al<sup>3+</sup> coordination can be seen in Table 3.4 and Figure 3.9.

**Table 3.4 Chemical shift index (CSI) values and sequence correction factors of A $\beta$ <sub>1-28</sub> in the presence of Al<sup>3+</sup>**

<b>Residues</b>	<b><math>\alpha</math>H from this experiment</b>	<b><math>\alpha</math>H coil<sup>a</sup></b>	<b><math>\alpha</math>H Difference</b>	<b>titrated CSI values</b>
<b>Asp1</b>	4.18	4.76	-0.58	-1
<b>Ala2</b>	4.28	4.35	-0.07	1
<b>Glu3</b>	4.17	4.29	-0.12	-1
<b>Phe4</b>	4.55	4.66	-0.11	-1
<b>Arg5</b>	4.24	4.38	-0.14	-1
<b>His6</b>	4.55	4.63	-0.08	1
<b>Asp7</b>	4.60	4.76	-0.16	-1
<b>Ser8</b>	4.43	4.50	-0.07	1
<b>Gly9</b>	3.94	3.97	-0.03	1
<b>Try10</b>	4.48	4.60	-0.12	-1
<b>Glu11</b>	4.26	4.29	-0.03	1
<b>Val12</b>	3.92	3.95	-0.03	1
<b>His13</b>	4.59	4.63	-0.04	1
<b>His14</b>	4.56	4.63	-0.07	1
<b>Gln15</b>	4.59	4.37	0.22	-1
<b>Lys16</b>	4.26	4.36	-0.10	-1
<b>Leu17</b>	4.31	4.17	0.14	1
<b>Val18</b>	4.51	4.60	-0.09	1
<b>Phe19</b>	4.56	4.66	-0.10	-1
<b>Phe20</b>	4.56	4.66	-0.10	-1
<b>Ala21</b>	4.26	4.35	-0.09	1
<b>Glu22</b>	4.21	4.29	-0.08	1
<b>Asp23</b>	4.62	4.76	-0.14	-1
<b>Val24</b>	4.12	3.95	0.17	1
<b>Gly25</b>	3.91	3.97	-0.06	1
<b>Ser26</b>	4.37	4.50	-0.13	-1
<b>Asn27</b>	4.71	4.75	-0.04	1
<b>Lys28</b>	4.11	4.36	-0.25	-1

<sup>a</sup> CSI Residue-Specific  $\alpha$ H Random Coil Shifts (Wishart et al., 1992; Reid et al., 1997).

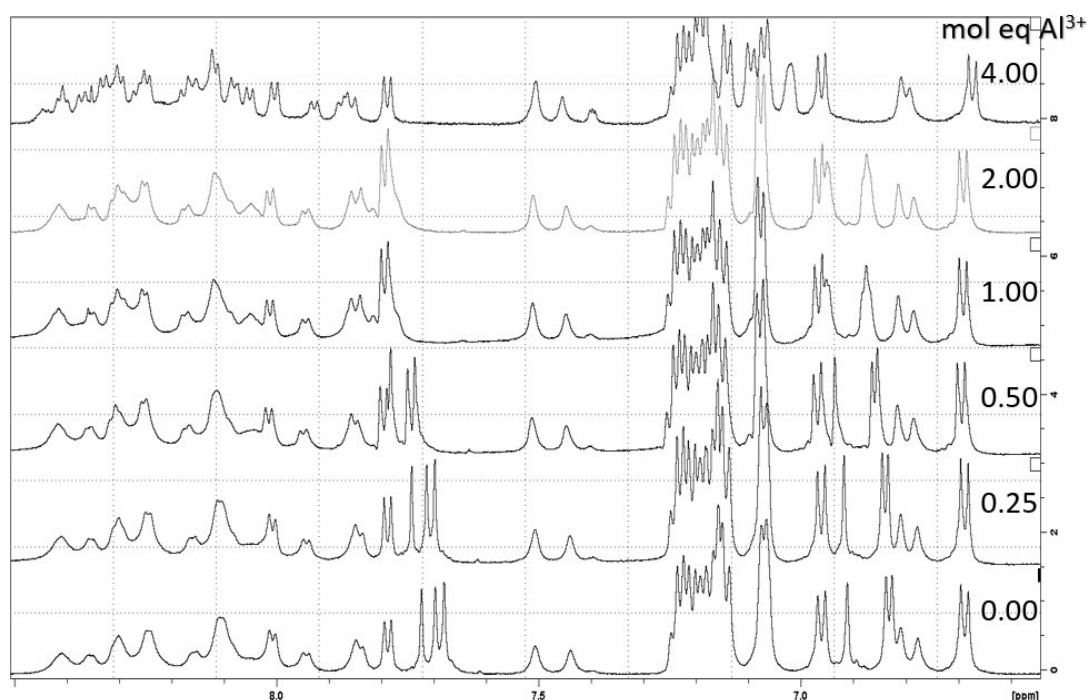


**Figure 3.9** The secondary structure of  $\text{Al}^{3+}$ - $\text{A}\beta_{1-28}$  predicted from CSI values of Ha chemical shifts. '+1' and '-1' mean the positive and negative deviation of chemical shifts from random coil values and refer to  $\beta$  strand and  $\alpha$  helix structure, respectively.

The addition of  $\text{Al}^{3+}$  causes the secondary structure of  $\text{A}\beta_{1-28}$  to convert CSI values (Figure 3.9) from random coil and  $\alpha$  helix to random coil and potential  $\beta$  strand from Glu11 to Gln15. The random coil supports the change of  $\text{A}\beta_{1-28}$  to coordinate to  $\text{Al}^{3+}$  preferred geometrical arrangement. The  $\beta$  strand also demonstrates the effect of the constricted binding of both His13 and His14 has on peptides backbone. Shortening the bond length is expected from the formation of the His-  $\text{Al}^{3+}$ -His bridge.

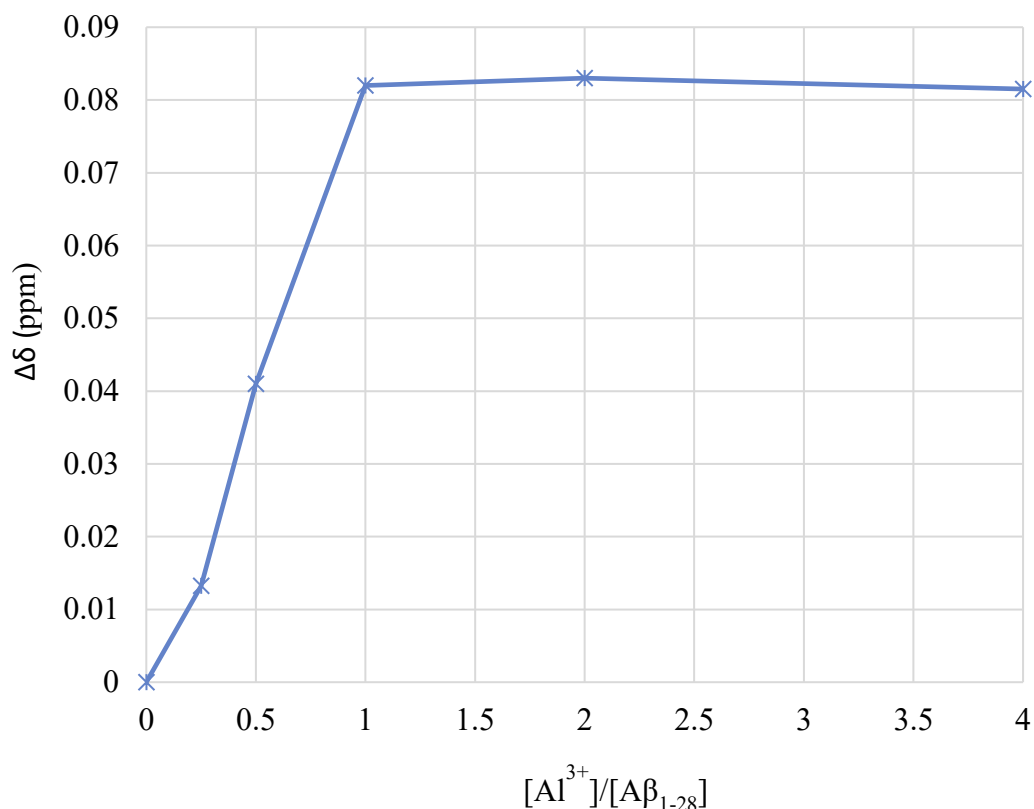
### 3.2.5.2 Stoichiometry of $\text{Al}^{3+}$ - $\text{A}\beta$ complex

A 1.0 mM  $\text{A}\beta_{1-28}$  peptide in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  was used in the titration to determine the stoichiometric ratio of the  $\text{Al}^{3+}$ - $\text{A}\beta$  complex, as shown in Figure 3.10.



**Figure 3.10**  $^1\text{H}$  NMR titration of  $\text{A}\beta_{1-28}$  with  $\text{Al}^{3+}$ . The titration was carried out in  $\text{D}_2\text{O}$  at 298 K and at a pH of  $7.45 \pm 0.01$ . Apo spectrum is for 2.4 mg of  $\text{A}\beta_{1-28}$  dissolved in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$ ; the spectra are for the  $\text{A}\beta$  solution with incremental additions of  $\text{Al}^{3+}$  ranging from 0.0-4.0 molar equivalence (mol eq).

The chemical shift variations seen at 7.60-7.80 ppm and 6.80-6.95 ppm represent the respective protons of 2H and 4H, for the histidine residues (His6, His13 and His14). From Figure 3.10, the complex stoichiometry was observed in the mole ratio plot of Figure 3.11, by using the chemical shifts of perturbed histidine protons whose variations were more significant.



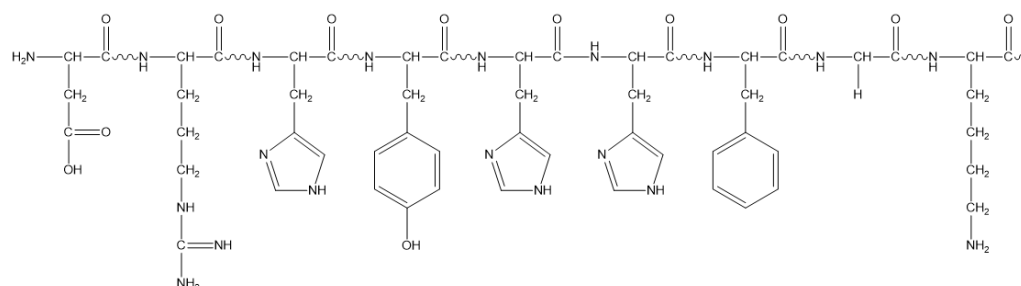
**Figure 3.11 Molar ratio vs  $\Delta\delta$  plot for the incremental addition of  $Al^{3+}$  to  $A\beta_{1-28}$ .** The concentration of  $A\beta_{1-28}$  is kept constant at 1.0 mM. The concentration ratio for  $Al^{3+}$  to  $A\beta_{1-28}$  was varied from 0 to 4 molar equivalents. The stoichiometry  $Al^{3+}$  to  $A\beta_{1-28}$  was found to be 1:1 as indicated by the abrupt changes in the slope of the curve.

The molar ratio plot in Figure 3.11, demonstrates the sum of the change in chemical shift ( $\Delta\delta$ ) of histidine protons, caused by the incremental addition of  $Al^{3+}$  plotted against the molar equivalence to  $Al^{3+}$  ( $[Al^{3+}]/[A\beta_{1-28}]$ ), the stoichiometric ratio of the  $Al^{3+}$ :  $A\beta_{1-28}$  complex was determined through mole ratio plot as shown in Figure 3.11. The abrupt changes in slope of the curve the stoichiometric ratio of the  $Al^{3+}/A\beta_{1-28}$  complex was found to be 1:1.

### 3.2.5.3 Proposed coordination of Al<sup>3+</sup> to Aβ<sub>1-28</sub>

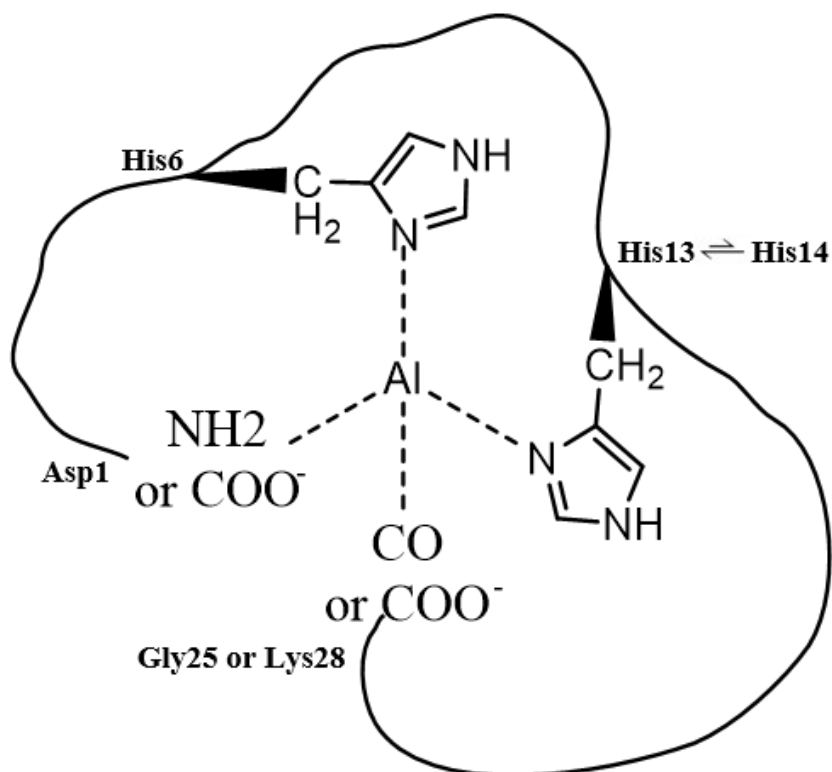
The structural data collected thus far from the broadening and chemical shift variation of <sup>1</sup>H and 2D NMR data reveal the specific residues involved in Al<sup>3+</sup> binding. These amino acid residues are recapitulated in Figure 3.12.

Name of residue	Asp1	Arg5	His6	Tyr10	His13	His14	Phen19	Gly25	Lys28
Δδppm	0.15	0.09	0.42	0.05	0.31	0.40	0.07	0.11	0.12



**Figure 3.12 Schematic representation of the most affected residues in Aβ<sub>1-28</sub> by Al<sup>3+</sup> addition.**

At 298 K and pH 7.4, the residues mainly affected by Al<sup>3+</sup> addition are the Asp1 and the three His. This is followed by Lys28, Gly25, Arg5, Phen19 and Tyr10. These results give rise to the coordination model of Figure 3.13 for the Aβ<sub>1-28</sub> peptide binding to Al<sup>3+</sup>.



**Figure 3.13.** The proposed structure of A $\beta_{1-28}$  complexed with Al<sup>3+</sup>. The Al<sup>3+</sup> ion is coordinated through the imidazole nitrogens of the three histidine residues, His6, His13, and His14, the N-terminal Asp1 and Gly25/Lys28.

The binding involves the three histidines, the N-terminal Asp1 and Gly25/Lys28. The Arg5, Tyr10 and Phen19 were not significantly affected by Al<sup>3+</sup> and thus its direct binding was ruled out. The  $\Delta\delta$  of Arg5, Tyr10 and Phen19, are expected from residues in a turn to accommodate the Al<sup>3+</sup> coordination of neighbouring residues. The  $\Delta\delta$  of the  $\beta$ H of Lys28 and Gly25 suggest the respective C-terminus and carbonyl are involved in binding. Due to the proximity of His13 and His14 it is impracticable the coordination for both is simultaneous. Instead it is suggested the imidazole rings of the residues His13 and His14 are in equilibrium for one binding position. With the His13 residue favoured due to the higher affinity.

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### 3.3 Discussion

The amyloid  $\beta$  peptide is a major component of insoluble amyloid deposits in Alzheimer's disease, and the ability of  $A\beta$  to exist in different conformations is dependent on residues 1-28,  $A\beta_{1-28}$  (Huang et al 2004), which is used in this study.  $Al^{3+}$  is likely to induce irregular aggregation behaviour of the  $A\beta$  peptide the main constituent of the senile plaques that is the hallmark of AD (Bolognin, 2011). In AD patients,  $Al^{3+}$  ions are found in the brain tissue (Mirza et al., 2017). It has been found that  $Al^{3+}$  can mediate the formation of amyloid plaques (Zhang et al., 2011). The previous data collected by using UV, Raman microscopy, CD spectroscopy and atomic force microscopy (AFM) techniques *in vitro* have provided quantitative and qualitative insights into the binding of metal ions ( $Zn^{2+}$ ,  $Cu^{2+}$ ) to  $A\beta$  via the histidine imidazole rings in isolated senile plaque cores of Alzheimer's disease brain (Dong et al., 2003; Syme et al., 2004; Danielsson et al., 2007; Marino et al., 2010; Bousejra-El Garah et al., 2011).

By using  $^1H$  NMR my findings demonstrate that  $Al^{3+}$  ions interact with the  $A\beta$  peptide. The  $^1H$ , NOESY and TOCSY NMR spectra of  $A\beta$  with the bound  $Al^{3+}$  illustrate several exchange phenomena, perturbations in the spectra (Figures 3.1 to 3.3). Using various  $A\beta$  analogues the involvement of histidine residues in  $Al^{3+}$  binding was verified (Figures 3.4 and 3.5).

Resonances perturbed by metal coordination are characteristically from protons within close proximity to the chelating metal ion. Data from  $A\beta_{1-28}$ ,  $A\beta_{1-28}$  H6A,  $A\beta_{1-28}$  H13A,  $A\beta_{1-28}$  H14A and  $A\beta_{1-28}$  H6,13,14A analogues suggest that all three histidine residues and N-terminal amino group are involved in binding  $Al^{3+}$  ions. Addition of  $Al^{3+}$  to H13A and H6A resulted in the greatest difference in spectra relative to  $A\beta_{1-28}$ , supporting the observation by Liu et al. (2008) that His13 and His6 are crucial residues for metal binding. Interestingly, His13 is not present



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in the A $\beta$  of rats, and A $\beta$  does not exhibit amyloid accumulation in aged rats' brains (Huang et al., 2004). This may indirectly highlight the critical role of His13 in human A $\beta$  aggregation. The involvement of the N-terminus and histidine residues in Al<sup>3+</sup> coordination to A $\beta$ <sub>1-28</sub> is supported by Al<sup>3+</sup> 2D NMR analysis in which only significant chemical shift perturbations of these residues are revealed. Previously identified residues in relation to coordination of metal ions including Ser8, Tyr10 and Val12 (Narayan et al., 2013) has no significant observable chemical shift variations. In summary, residues perturbed by the presence of Al<sup>3+</sup> are primarily residues Asp1, His6, His13 and His14 while other resonances are relatively unaffected.

The comparison of Zn<sup>2+</sup> and Al<sup>3+</sup> coordination to A $\beta$  demonstrates that both metal ions have similar potential to compete and strongly bind to the same His 6, 13 and 14 residues, as Zn<sup>2+</sup> and Al<sup>3+</sup> have a strong affinity for histidine imidazole rings.

The pathogenesis of AD involves the structural transition of A $\beta$  from the predominantly random coil structure of soluble A $\beta$  to a conformation of  $\beta$ -sheet in proto-filaments and aggregation of A $\beta$  (L.C. Serpell, 2000). CSI data from NOESY and TOCSY suggest that the apo A $\beta$ <sub>1-28</sub> produced a typical CSI values of the random coil and possible  $\alpha$ -helix conformation. However, in the presence of aluminium the characteristic grouping of '+1' CSI values of titrated A $\beta$  indicates conformational change of the peptide backbone from a random-coil structure to  $\beta$ -sheet. A  $\beta$ -sheet structure appears from Glu11 to Gln15. These observations provide support to the notion that Al<sup>3+</sup> facilitates the transformation of the A $\beta$  peptides from the initial random coil structure to the  $\beta$ -sheet in AD patients. This is consistent with studies by Zhang et al (2011) which was based on monitoring the Thioflavin T fluorescence and CD conformation curves of aluminium titrated A $\beta$ <sub>40</sub>. Hence, the findings in this chapter on the interaction of Al<sup>3+</sup> with A $\beta$  is of biological significance. Considering the abundance of non-biological aluminium in

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drinking water, food sources and medicinal adjuvants, its uptake and potential interaction with A $\beta$  presents an insidious risk for aging humans.

### 3.4 Conclusion

The interactions between metal ions Zn<sup>2+</sup> and Al<sup>3+</sup> with A $\beta$  were analysed using NMR spectroscopy. The molecular data obtained through NOESY and TOCSY demonstrate, for the first time, that Al<sup>3+</sup> can coordinate to A $\beta$  strongly via the Asp1 and the three histidine residues. Secondary bind sites of the C-terminus and carbonyl of Lys28 and Gly25 are also indicated. The presence of Al<sup>3+</sup> also initiates the transformation of A $\beta$  secondary structure from random coil to  $\beta$  sheet, which is characteristic of amyloid oligomerization. The findings provide further evidence for the possible involvement of Al<sup>3+</sup> in the pathogenesis of AD.

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## 4 CHARACTERISATION, AND ASSESSMENT OF METAL COORDINATING ABILITY OF LIGANDS FOR DEVELOPING POTENTIAL THERAPEUTIC AGENTS

### 4.1 Introduction

As described in Chapter 1.3, metal ions ( $M^{n+}$ ) play a wide range of roles such as enzyme cofactors, signalling messengers and redox participants in many physiological and pathological functions (Riordan, 1977; Okafor et al., 2017; Nam et al., 2018). Although biometals are crucial in neurobiological processes, metal ion overload or the presence of toxic metals such as aluminium are insidious to human health. Metal toxicity generally occurs as a result of exposure, dyshomeostasis and chronic accumulation. Molecules that have been designed to sequester excess metals from the brain are being explored as therapeutic agents in many neurodegenerative disorders, such as AD, Parkinson's disease (PD), Creutzfeldt-Jakob disease (CJD), and Amyotrophic Lateral Sclerosis (ALS) (Opazo et al., 2003; Sayre et al., 2005; Slivarichova et al., 2011; Sheykhansari et al., 2018; Portaro et al., 2019). Specifically investigated here are chelating molecules in order to identify lead molecules for the development of potential therapeutic agents.

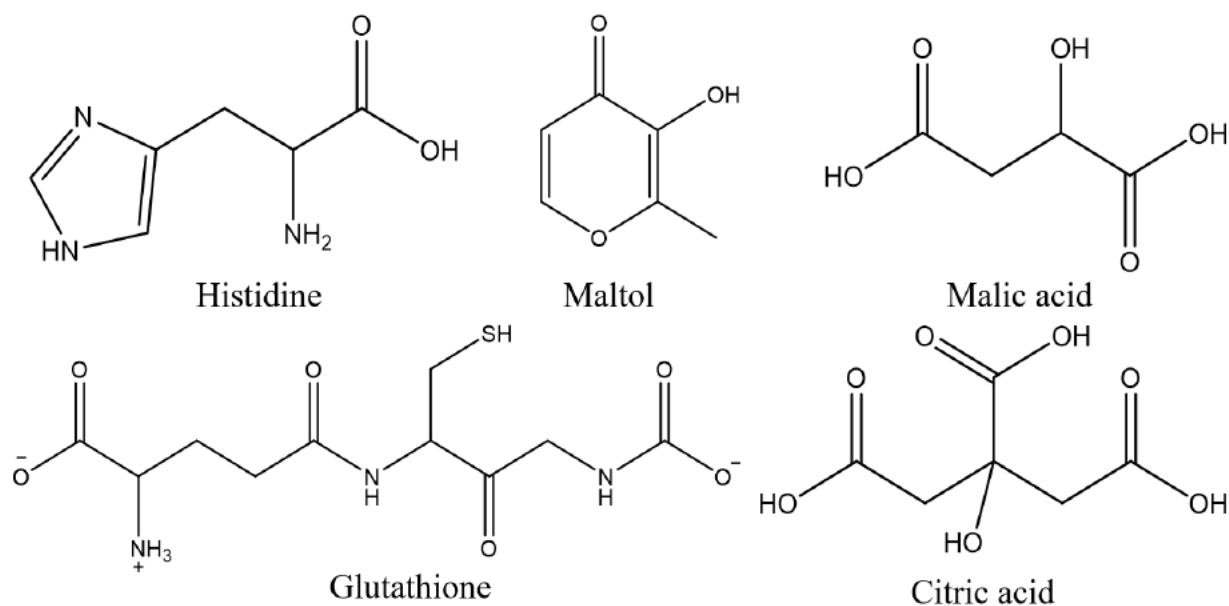
The interaction of  $Al^{3+}$  with the  $A\beta$  peptide, shown in Chapter 3, is relevant to the *in vivo* environment, which in turn may play an important role in the aetiology of AD (D'Haese and De Broe, 2001; Bolognin et al., 2011; Exley and Vickers, 2014; Mirza et al., 2017). Increased concentrations of  $Cu^{2+}$ ,  $Zn^{2+}$  and  $Al^{3+}$  are thought to be responsible for amyloid  $\beta$  ( $A\beta$ ) plaque development, due to the presence of these metal ions in the  $A\beta$  plaque of post-mortem AD patients (Mirza et al., 2017).

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With multitudes of alternative drug developments currently underway, A $\beta$  metal-based therapeutics remains a promising prospect for the treatment of AD. However, the extended use of strong chelators such as CQ and desferrioxamine affects biometals homeostasis, inhibiting physiological processes of essential metal binding to biomolecules including metalloenzymes. Apart from their cytotoxicity, these chelators rarely coordinate more Al<sup>3+</sup>. Therefore, to prevent the adverse effects of synthetic chelators and find effective Al<sup>3+</sup> chelators, natural occurring molecules with chelating abilities would be ideal.

Furthermore, metal-induced redox processes such as Cu<sup>2+</sup>, induces result in the formation of ROS and subsequently oxidative stress is another feature of AD (Multhaup et al., 1996; Bush et al., 2003; Saporito-Magriñá et al., 2018). Although what initiates the biochemical mechanism for AD is still unclear, it is a logical step to prevent the interaction of excess metals with A $\beta$ , and at the same time address the oxidative imbalance. Current therapeutic interventions are aimed at metal ions clearance in the brain, without targeting the oxidative stress. Antioxidant treatments have been studied alone as palliative options for the alleviation of AD symptoms (Prasad et al., 2000). Therefore, this study aims to evaluate natural ligands, their chelating potentials and antioxidant abilities.

I have used a panel of potential ligands (Figure 4.1) in a search for natural metal chelators. These ligands are naturally occurring, easily metabolised and not toxic to humans, including, histidine, glutathione, maltol, citric acid and malic acid. The amino acid, histidine has four potential coordination sites, through the carboxylate group, the amide group, and the two nitrogens of the imidazole. As presented in Chapter 3 the His6, His13 and His14 residues are the metal binding site within A $\beta$ . The tripeptide, glutathione (GSH) is a potent physiological



**Figure 4.1** The panel of ligands used in this study. The molecules in the panel of metal ligands are explored for their chelation capability with metal ions ( $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ ).

chelator responsible for the cellular response, transport, and excretion of metal ions (Forman et al., 2009; Hernandez et al., 2015). It is also used as a biomarker in metal toxicity overload and it prevents cellular oxidative damage from reactive oxygen species formed by heavy metals. Maltol is a natural organic compound, and it is a potential agent for the removal of excess  $\text{Al}^{3+}$  ions within humans (Antipova et al., 2005). The organic acids, citric acid (2-hydroxypropane-1,2,3-tricarboxylic acid) and malic acid (2-hydroxybutanedioic acid) are biological metabolites in the human body and plants. The ability of citrate coordinating to metal ions has long been used by plants and microbes (Silva et al., 2009). Malic acid and citric acid are released from the roots apex by plants as a response to  $\text{Al}^{3+}$  accumulation (Ma, 2000).

The main aim of this chapter is therefore to test natural and synthetic ligands for their metal-chelating ability. Using  $^1\text{H}$  NMR to titrate them with a panel of metal ions to determine their coordination sites and binding modes. The stability and coordination strength of the metal ligand complex were analysed and assessed by molecular modelling. The electronic structure

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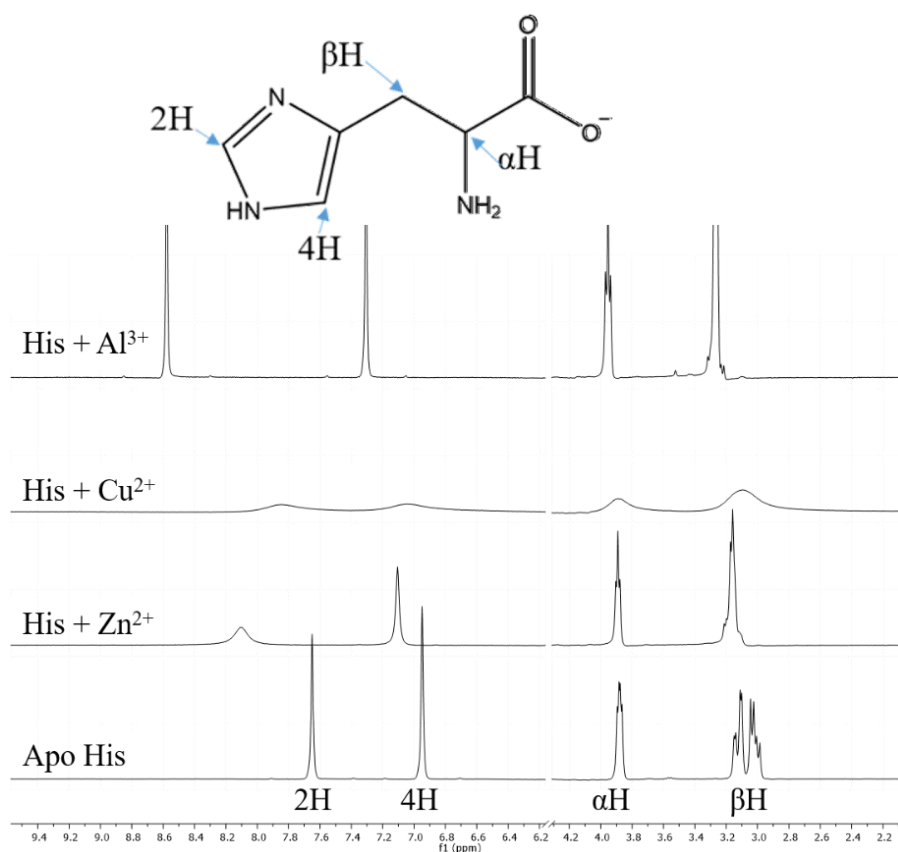
calculations were performed with the aid of the computer programs, HyperChem 8.0 and Chem3D 15.1. These programs were employed for determination of the optimised structures of metal-ligand complexes, minimum binding energies and HOMO energy values.

## **4.2 Results**

### **4.2.1 NMR analysis for the coordination of metal ions by individual ligands**

#### **4.2.2 Histidine**

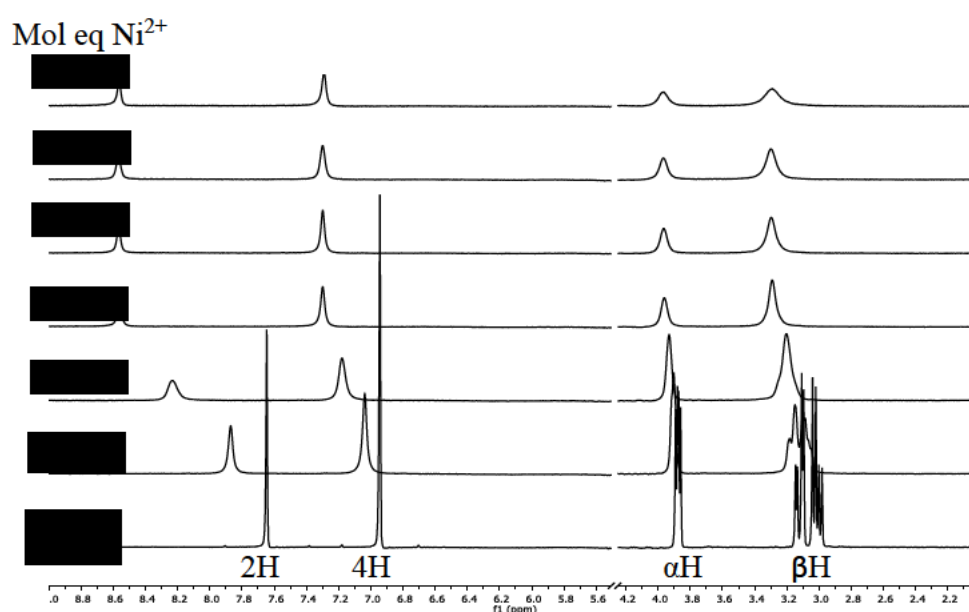
The details of metal ion binding to histidine revealed by  $^1\text{H}$  NMR are shown in Figure 4.2, including  $^1\text{H}$  NMR spectra of histidine only (apo His), and histidine plus 0.5 molar equivalent of metal ion  $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  respectively. The spectrum for apo histidine is labelled with the corresponding resonances  $^1\text{H}$   $\delta$  (ppm) to the structure of histidine (top). The respective protons are,  $\alpha\text{H}$ , 3.88;  $\beta\text{H}$ , 3.07; 4H, 6.95; 2H, 7.63ppm.



**Figure 4.2  $^1\text{H}$  NMR spectra of metal ions with histidine.** Experimental conditions: 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298K. The chemical structure of histidine is shown (top) with assigned protons labelled for the corresponding resonances. Spectra from bottom to top are apo His, His +  $\text{Zn}^{2+}$ , His +  $\text{Cu}^{2+}$  and His +  $\text{Al}^{3+}$ . In each of the metal ion titrations, the  $[\text{M}^{n+}]/[\text{His}]$  ratios are 0.5.

The resonances at 6.95 and 7.95 ppm, corresponding to imidazole 4H and 2H, were relatively most deshielded with the addition of  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$ , indicating strong coordination of histidine through imidazole. The relatively small extent of deshielding and line broadening of the  $\alpha\text{H}$  and diastereotopic  $\beta\text{H}$  at  $\delta$  3.90 and 3.10 ppm suggests the amide and carboxyl groups may also be involved in the coordination with  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$ , albeit to a weaker degree.

Due to the paramagnetic nature of  $\text{Cu}^{2+}$  significant line broadening occurred and minimum CSP can be measured. Minor upfield shifts of resonance of imidazole 2H, indicating coordination of  $\text{Cu}^{2+}$  by histidine. However, detailed binding analysis was not possible due to the line broadening. As  $\text{Ni}^{2+}$  often mimics  $\text{Cu}^{2+}$  in binding to ligands (Klewpatinond and Viles, 2007),  $\text{Ni}^{2+}$  was therefore used as a surrogate of copper in the  $^1\text{H}$  NMR titration with histidine in order to understand binding tendencies of copper without the interference of its paramagnetic property. The NMR titration spectra for  $\text{Ni}^{2+}$  titration is presented in Figure 4.3.



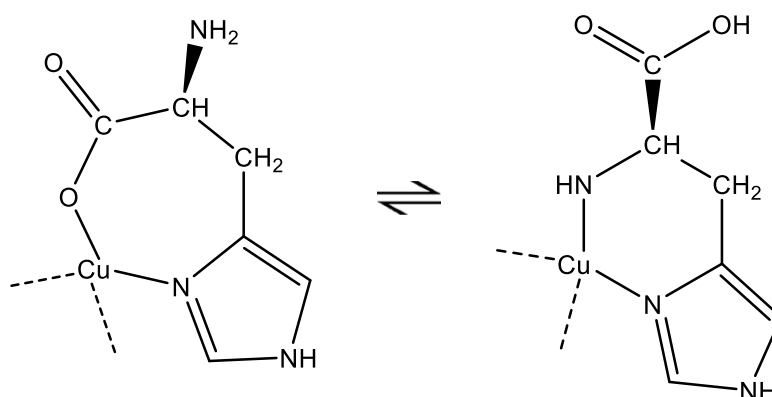
**Figure 4.3**  $^1\text{H}$  NMR titration of histidine with  $\text{Ni}^{2+}$ . Experimental condition: 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298K. 1<sup>st</sup> spectrum is 10 mM histidine apo His; spectra 2 to 7 are for incremental additions of the  $\text{Ni}^{2+}$  solution to the apo histidine. In each of these later solutions the  $[\text{Ni}^{2+}]/[\text{His}]$  ratios (from the bottom) are as follows: 2<sup>nd</sup> 0.1; 3<sup>rd</sup>, 0.25; 4<sup>th</sup>, 0.5; 5<sup>th</sup>, 0.75; 6<sup>th</sup>, 1.00 and 7<sup>th</sup>, 2.00. The spectra show the key resonances affected by the addition of  $\text{Ni}^{2+}$ .

Similar to  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$ , the chemical shift variations from Figure 4.3 indicates  $\text{Ni}^{2+}$ , and by extension  $\text{Cu}^{2+}$ , can bind to histidine. The deshielding of histidine's 4H and 2H resonances upon



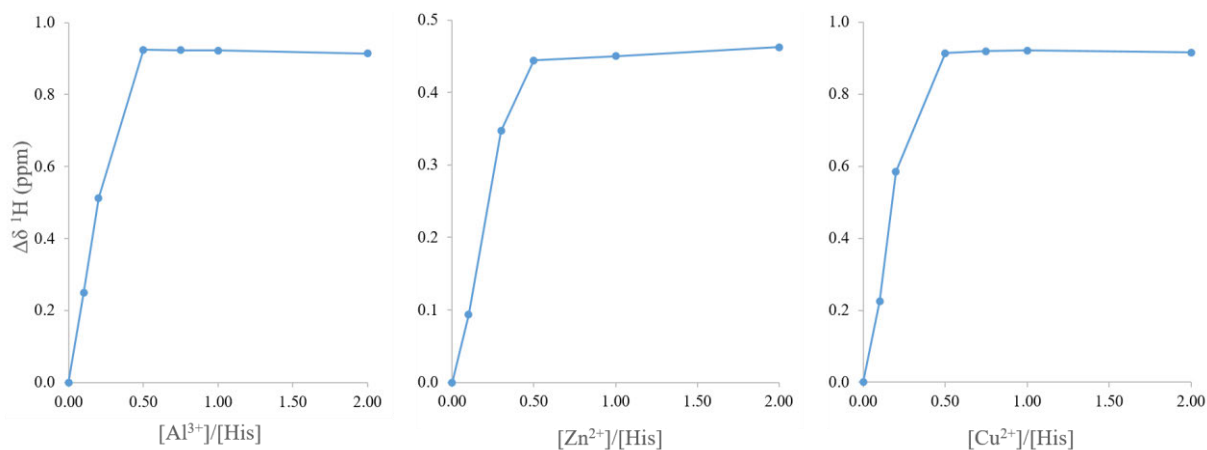
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the addition of  $\text{Ni}^{2+}$ , seen in Figure 4.3, indicates the involvement of the imidazole NH. The relatively small extent of deshielding of  $\alpha\text{H}$  and diastereotopic  $\beta\text{H}$  suggests the amide and carboxyl groups may also be involved in the weak coordination. The overall line broadening indicates slow exchange, consistent with the formation of two complexes for the copper coordination, as seen in Figure 4.4.



**Figure 4.4** Two proposed structures of the copper-histidine complex. Coordination modes of histidine to  $\text{Cu}^{2+}$  are glycine-like (N-Cu-O) and histamine-like (N-Cu-N).

The changes in chemical shift ( $\Delta\delta$ ) caused by the incremental additions of metal ions, in the  $^1\text{H}$  NMR titration of histidine were calculated. The largest deshielding caused by metal titration was observed for 2H of the imidazole group. Figure 4.5 presents the mole ratio plots for the  $[\text{M}^{n+}]/[\text{His}]$  and chemical deshielding of the 2H protons. These plots were used to determine stoichiometry.

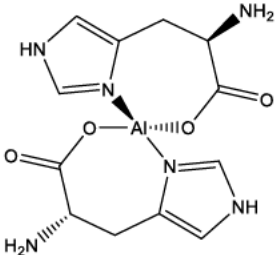
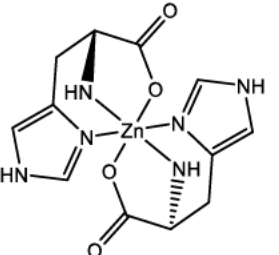
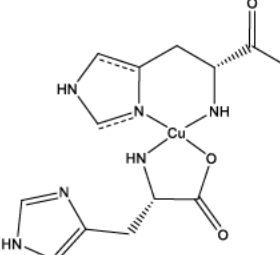
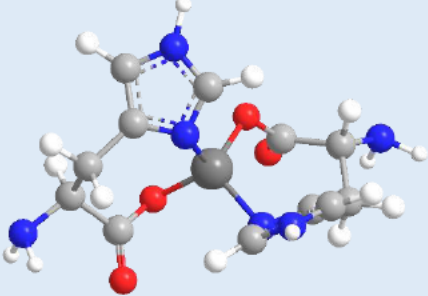
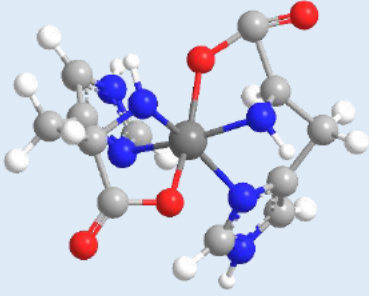
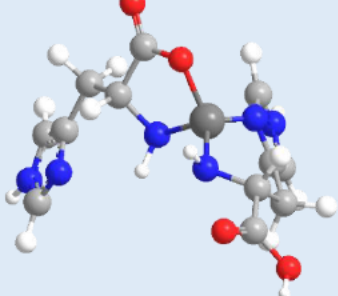


**Figure 4.5 Molar ratio vs  $\Delta\delta$  plots for the metal ions to histidine.** The concentration of histidine is kept constant at 10 mM and the respective metal ion concentration was varied from 0.0 to 2.0 molar equivalents. Each slope represents the respective change in chemical shift ( $\Delta\delta$ ) for the resonances against the  $[M^{n+}]/[His]$  ratio. The stoichiometry of  $Al^{3+}$ ,  $Zn^{2+}$  and  $Cu^{2+}$  to histidine was found to be 1:2 as indicated by the abrupt changes in slope.

From the changes in the slope at lower mole ratios followed by the abrupt plateau after the  $[M^{n+}]/[His]$  of 0.5 indicates the stoichiometry of 1:2 for histidine complexes with  $Al^{3+}$ ,  $Cu^{2+}$  and  $Zn^{2+}$ .

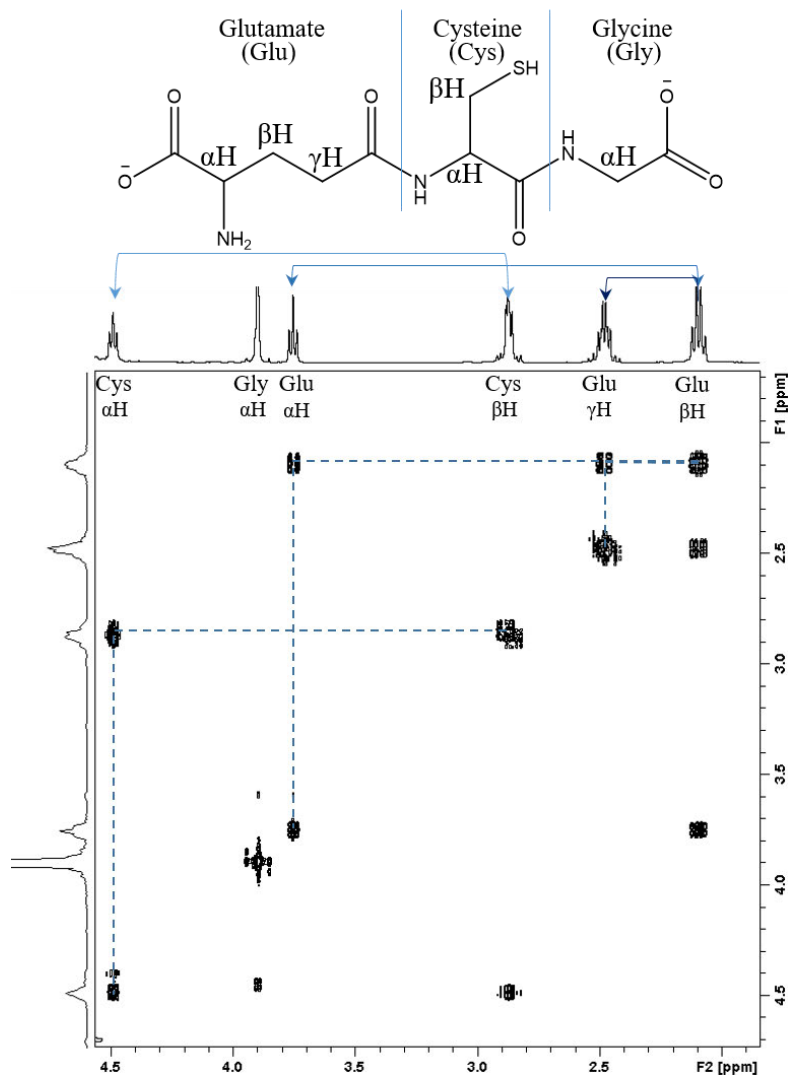
With the determination of binding sites and stoichiometric ratio, molecular modelling was used to obtain structural information on the coordination modes. Molecular mechanic studies provide the energy minimized conformation. While solvent effect is important in the complexes, computational model isolated molecule calculations of the metal-ligand complexes without solvent effect or the coordinated water molecules. The bare system studies allow the analysis of interactions between metal ions and the ligands. The MM2 and Huckel calculations were employed in the determination of the metal-ligand complexes optimized structures, relative minimum binding energies and HOMO energy values (Table 4.1).

**Table 4.1 The optimized binding structures and energies of metal-histidine complexes.** The proposed structures of metal-histidine complexes, relative energies and HOMO energy values of proposed energy-minimized molecular structures of metal-histidine complexes. The total energies for each complex are relative to the complex with the lowest calculated MM2 (Cu-His). Colour codes: C, grey; H, white; O, red; N, blue;  $M^{n+}$ , dark grey.

	<b>Al(His)<sub>2</sub></b>	<b>Zn(His)<sub>2</sub></b>	<b>Cu(His)<sub>2</sub></b>
<b>General scheme of metal-His complex</b>			
<b>Proposed energy minimized structure of metal-His complex</b>			
<b>MM2 (total energy) in kcal/mol</b>	61.33	107.62	0
<b>HOMO values (eV)</b>	-6.010	-8.554	-9.513

### 4.2.3 Glutathione (GSH)

In order to determine the metal ion binding sites in GSH, the  $^1\text{H}$  resonance assignments of all protons in the tripeptide were first performed employing 2D cosy NMR correlations (Figure 4.6). Assignments are summarised in Table 4.2.



**Figure 4.6. 2D  $^1\text{H}$ - $^1\text{H}$  COSY NMR spectrum of GSH.** Experimental condition: 10 mM GSH in  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298K. The labelled GSH structure (top) correspond to the assignment of the protons and cross peaks on the spectra.

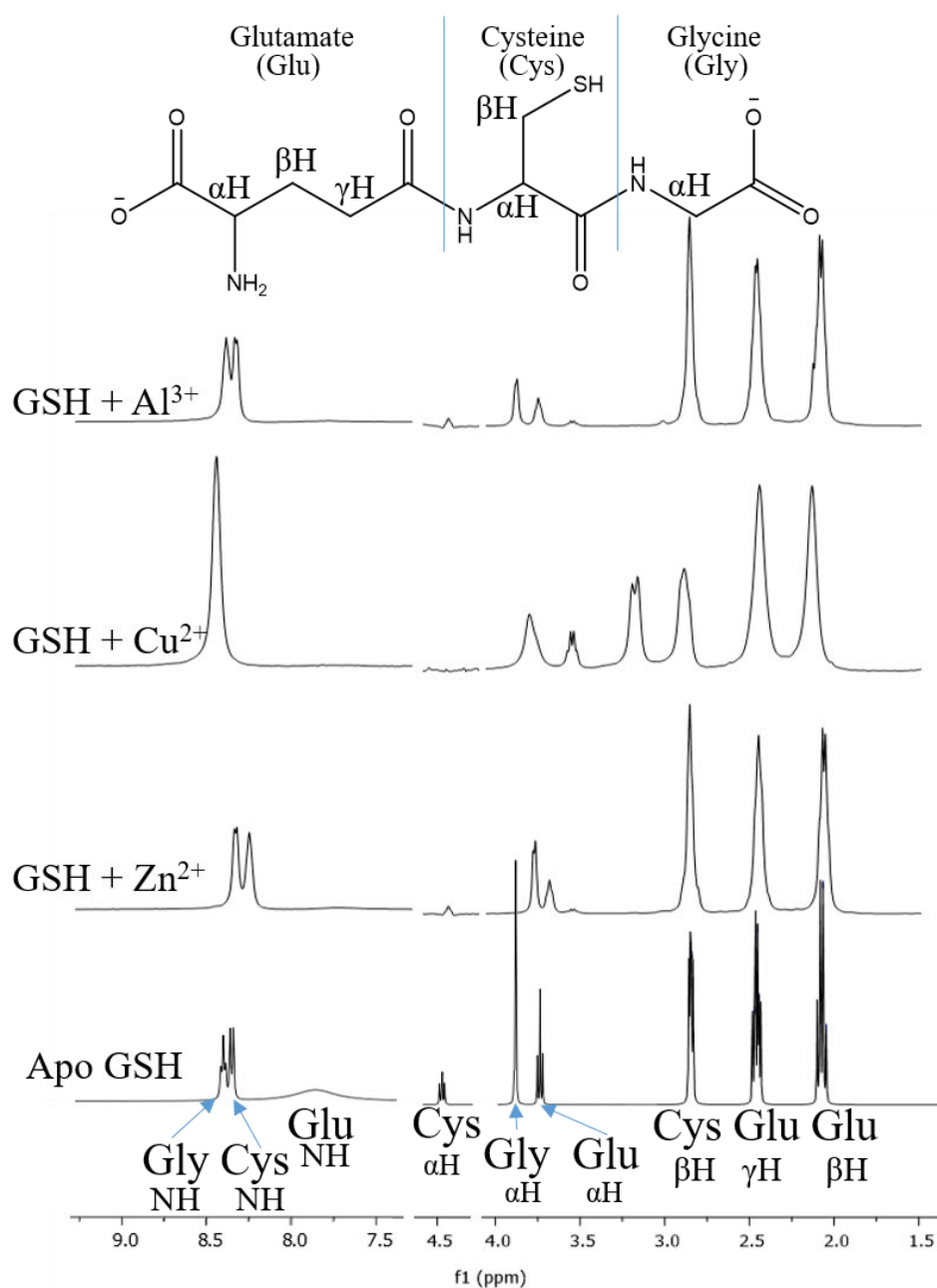
**Table 4.2 <sup>1</sup>H NMR resonance assignments of GSH**

Residues	Experimental chemical shifts (ppm) of amino acid residues of GSH*			
	NH	$\alpha$ H	$\beta$ H	Others
Glutamate (Glu)	7.77	3.71	2.07	$\gamma$ H- 2.47
Cysteine (Cys)	8.28	4.49	2.88	
Glycine (Gly)	8.39	3.78		

\*obtained from the <sup>1</sup>H NMR and COSY experiments

GSH has numerous potential binding sites including two carboxylate groups, an amino group, and a thiol group. Identifying which of these are involved in the coordination to Al<sup>3+</sup>, Cu<sup>2+</sup> and Zn<sup>2+</sup>, involved carrying out <sup>1</sup>H NMR titrations of metal ion in aqueous solutions of GSH at physiological pH and 298 K. Figure 4.7 compares <sup>1</sup>H NMR spectra of apo GSH, to GSH in the presence 0.5 molar equivalents, of metal ions. The addition of Al<sup>3+</sup>, Cu<sup>2+</sup> and Zn<sup>2+</sup> results in various changes to the resonances of GSH, such as CSP and line broadening.

<sup>1</sup>H NMR spectra shown in Figure 4.7 were used to determine the metal binding sites in GSH. The addition of metal ions induced CSP of resonances in GSH. Characteristic CSP patterns of chelated GSH provides indications of a coordination mode.

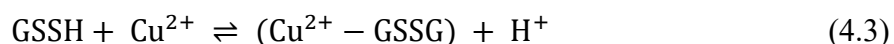
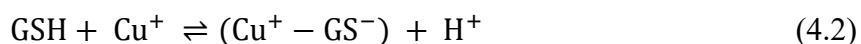
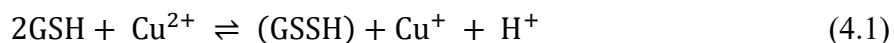


**Figure 4.7  $^1\text{H}$  NMR titration of metal ion with GSH.** Experimental condition: 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298K. The chemical structure of GSH is shown (top) with assigned protons labelled for the corresponding resonances. From bottom to top, apo GSH, GSH +  $\text{Zn}^{2+}$ , GSH +  $\text{Cu}^{2+}$  and GSH +  $\text{Al}^{3+}$ . In each of these later solutions the  $[\text{M}^{n+}]/[\text{GSH}]$  ratios was 0.5. The intensity of the  $\delta$  ppm of CH was significantly reduced due to the proximity to the suppressed water signal at 4.70 ppm.

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The complexation with  $\text{Al}^{3+}$  resulted in the broadening of the resonance peaks in GSH. The presence of signals of the two NH protons of the glycine and cysteine indicated that they are not directly involved in the coordination to  $\text{Al}^{3+}$ . The NH signals are shifted upfield, which suggests that the neighbouring groups may be involved in coordination with the metal. The disappearance of the broad NH of glutamate indicates direct coordination at this site. However, as aluminium prefers oxygen donors, the loss of the  $\text{NH}_2$  signal may also reveal a strong coordination to the glutamic acid carboxyl group. Hence the binding interactions with  $\text{Al}^{3+}$  are mainly via two negatively charged carboxylate groups of glycine and glutamate.

The addition of  $\text{Cu}^{2+}$  results in the  $\beta\text{H}$  resonance of the cysteine's slight downfield shift with a considerable line broadening. Because of the  $\text{Cu}^{2+}$  redox potential, the overall oxidation shown in reaction Equation (4.1) takes place which results in the formation of the oxidised form of GSH, GSSH. The spectral change and lack of line broadening seen in Figure 4.7 can be explained in terms of the formation of  $\text{Cu}^+\text{-GS}^-$  as indicated in reaction Equation (4.2). Since  $\text{Cu}^+$  is a diamagnetic metal ion, excessive line broadening is not observed. However, with the addition of excess  $\text{Cu}^{2+}$  the resonances experienced the line broadening effect of the NH of glycine and cysteine, due to the formation of the paramagnetic GSSG-Cu complex corresponding to Equation (4.3). The significant down field shift of the  $\alpha\text{H}$  of the glutamate residue is consistent with the coordination of  $\text{Cu}^{2+}$  to the carboxylate and amide groups.

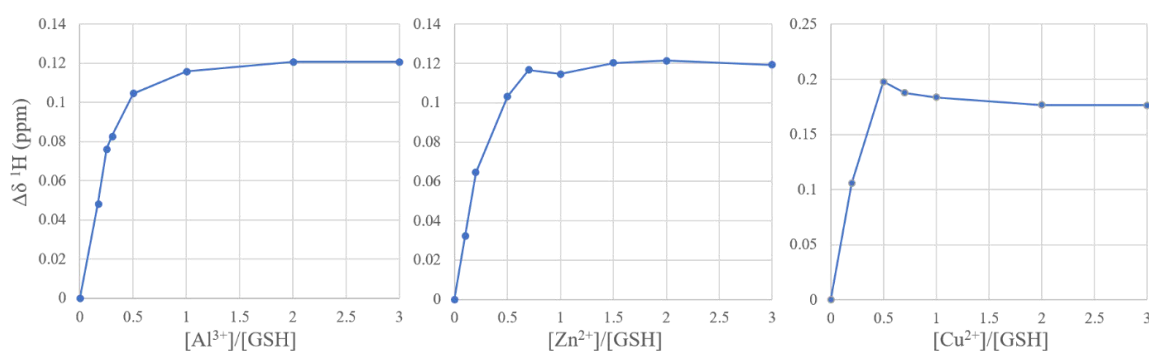


The addition of  $\text{Zn}^{2+}$  resulted in the downfield shift of the NH of glycine and cysteine. This suggests the involvement of thiol group of cysteine in the coordination of GSH to  $\text{Zn}^{2+}$ . The  $\alpha\text{H}$

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of glycine is also significantly deshielded suggesting the formation of the GSH-Zn<sup>2+</sup> complexes, where Zn<sup>2+</sup> is chelated by the NH<sub>2</sub> or CO<sub>2</sub><sup>-</sup> of the end amino group of glycine.

The numerical change in chemical shift ( $\Delta\delta$ ) caused by the incremental additions of metal ions, in the <sup>1</sup>H NMR titration of GSH were calculated. The significantly large deshielding of each metal titration was observed for <sup>1</sup>H resonances of the glutamic acid (E)  $\alpha$ CH group. Figure 4.8 presents the mole ratio plots for the [M<sup>n+</sup>]/[GSH] and chemical deshielding for the  $\Delta\delta$  of glutamic acid (E)  $\alpha$ CH group.



**Figure 4.8 Molar ratio vs  $\Delta\delta$  plots for the incremental addition of metal ions to GSH.** The concentration of GSH is kept constant at 10 mM and the respective metal ion concentration was varied from 0.0 to 3.0 molar equivalents. Each slope represents the respective change in chemical shift ( $\Delta\delta$ ) for the resonances against the [M<sup>n+</sup>]/[GSH] ratio. As indicated by the slope, the stoichiometry of Al<sup>3+</sup>, Zn<sup>2+</sup> and Cu<sup>2+</sup> to GSH was found to be 1:2, with potential 1:1 binding mode for Al<sup>3+</sup> and Zn<sup>2+</sup>.

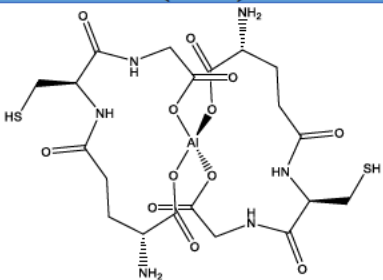
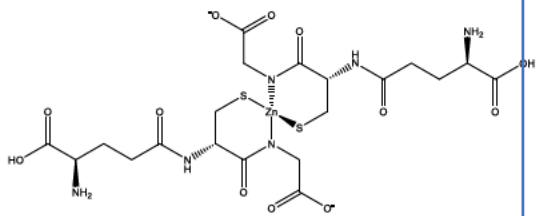
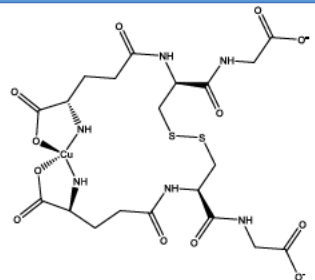
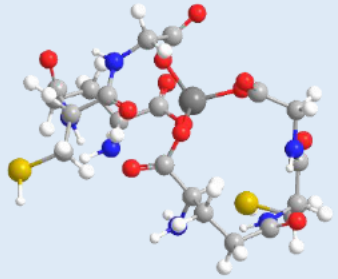
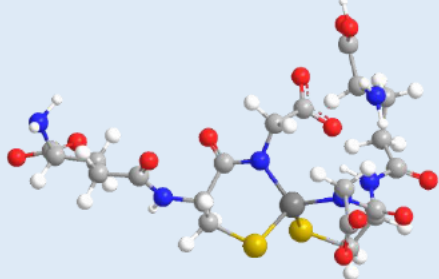
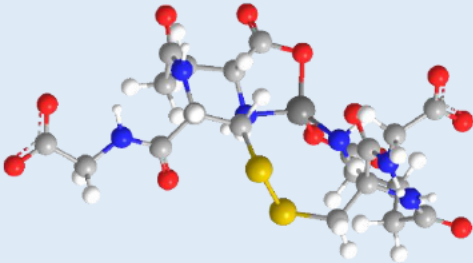


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From the abrupt change at lower mole ratio followed by the plateau in the slope after the  $[M^{n+}]/[GSH]$  of 0.5 indicates a stoichiometry of 1:2 for GSH complexes with  $Cu^{2+}$  and  $Zn^{2+}$ . The mole ratio plot for  $Al^{3+}$  and  $Zn^{2+}$  with GSH indicates the possible formation of a 1:1 and 1:2 complex for  $Al^{3+}$  and  $Zn^{2+}$ .

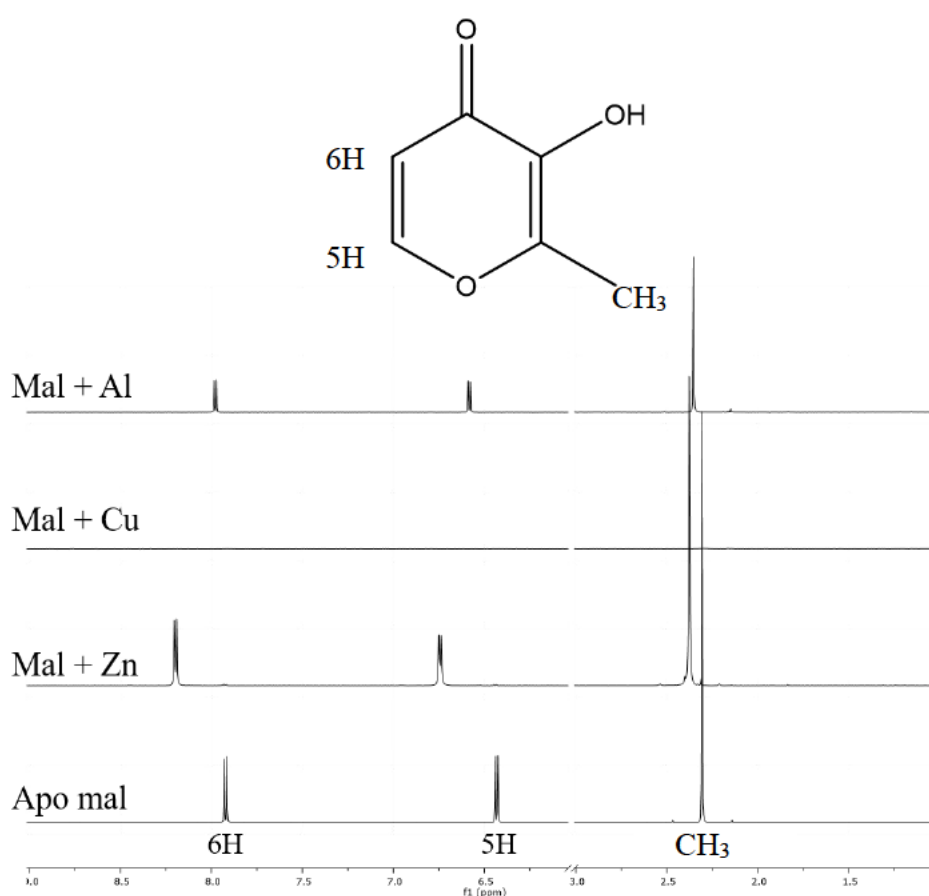
Due to the multiple binding sites detected for GSH, many configurations were tested. Using the data collected thus far, the electronic structure calculations were performed. Table 4.4 illustrates the GSH and metal complexes with the optimized structures, lowest relative binding energies and the corresponding HOMO values.

**Table 4.3 The optimized binding structures and energies of metal-GSH complexes.** The proposed structures of metal-GSH complexes, relative energies and HOMO energy values of proposed energy-minimized molecular structures of metal-GSH complexes. The total energies for each complex are relative to the complex with the lowest calculated MM2 (Zn-GSH). Colour codes: C, grey; H, white; O, red; N, blue; S, yellow;  $M^{n+}$ , dark grey.

	<b>Al(GSH)<sub>2</sub></b>	<b>Zn(GSH)<sub>2</sub></b>	<b>Cu-GSSH</b>
<b>General scheme of metal-GSH complex</b>			
<b>Proposed energy minimized structure of metal-GSH complex</b>			
<b>MM2 (total energy) in kcal/mol</b>	110.0638	0	69.8705
<b>HOMO values (eV)</b>	-9.843	-9.315	-9.728

#### 4.2.4 Maltol

The details of metal ion binding to maltol (Mal) by  $^1\text{H}$  NMR are shown in Figure 4.9, including  $^1\text{H}$  NMR spectra of maltol only (apo Mal), and maltol plus 0.5 molar equivalent of metal ion  $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  respectively. The spectrum for apo maltol is labelled with the corresponding resonances  $\delta^1\text{H}$  (ppm), and the structure of maltol. The assignments for the respective protons are, 6H, 7.92; 5H, 6.43 and  $\text{CH}_3$ , 2.30 ppm.



**Figure 4.9**  $^1\text{H}$  NMR titration of metal ion with maltol (mal). Experimental condition: 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298 K. From bottom to top, apo mal, mal +  $\text{Zn}^{2+}$ , mal +  $\text{Cu}^{2+}$  and mal +  $\text{Al}^{3+}$ . In each of these later solutions the  $[\text{M}^{n+}]/[\text{Mal}]$  ratios (from the bottom) is 0.5. The chemical structure of maltol is shown (top) labelled with the corresponding assigned protons and resonances.

Due to the heavy precipitation of apo maltol at the assay temperature 298 K and the line broadening effect of  $\text{Cu}^{2+}$ , the NMR titration of maltol with metal ions was not used to determine stoichiometry. The metal-maltol complexes were synthesised as per Chapter 2.1.5. The stoichiometric calculations of maltol-metal complexes were based on data of elemental analysis (Table 4.4).

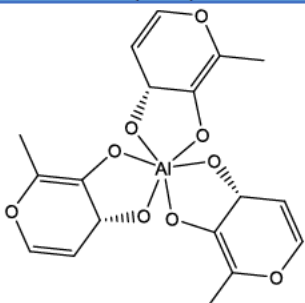
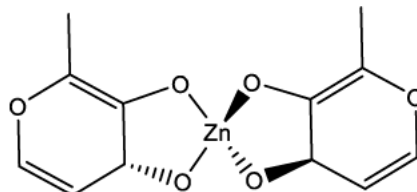
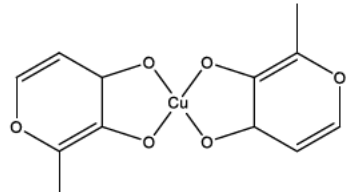
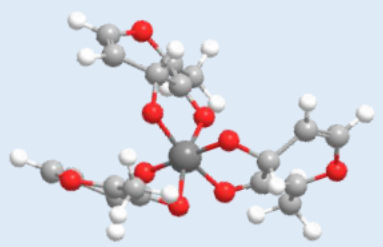
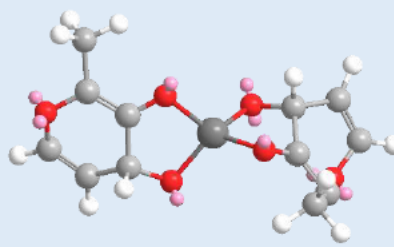
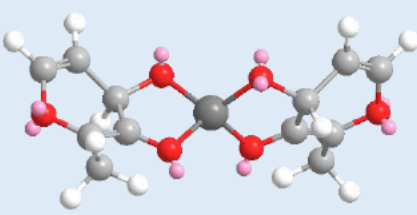
**Table 4.4 Analytical data of metal-maltol complexes**

Compound	Formula	Yield (%)	Analysis (%) found (Calculated)		
			C	H	M <sup>n+</sup>
<b>Al(Mal)<sub>3</sub></b>	$\text{Al}(\text{C}_6\text{H}_5\text{O}_3)_3$	78.3	53.75 (53.74)	4.10 (3.76)	6.78 (6.71)
<b>Zn(Mal)<sub>2</sub></b>	$\text{Zn}(\text{C}_6\text{H}_5\text{O}_3)_2 \cdot 2\text{H}_2\text{O}$	77.6	40.65 (40.99)	3.79 (4.01)	18.51 (18.60)
<b>Cu(Mal)<sub>2</sub></b>	$\text{Cu}(\text{C}_6\text{H}_5\text{O}_3)_2$	73.4	46.15 (45.94)	3.01 (3.21)	20.07 (20.25)

From the data clearly the experimental values shown for each of the complexes are in good agreement with the theoretical values. The calculated ratio of the complexes of  $\text{Al}^{3+}$  was 1:3, while for the complexes of  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  the ratio was 1:2.

With the known stoichiometric ratio and ability of maltol to act as a strong bidentate ligand coordinating through the carbonyl group and the  $\alpha$ -OH group, molecular mechanics were carried out. The relative stability and the coordination strength of the metal-maltol complexes from the relative minimum energies and HOMO energy values for all energy minimized conformation of the metal-maltol complexes are presented in Table 4.5.

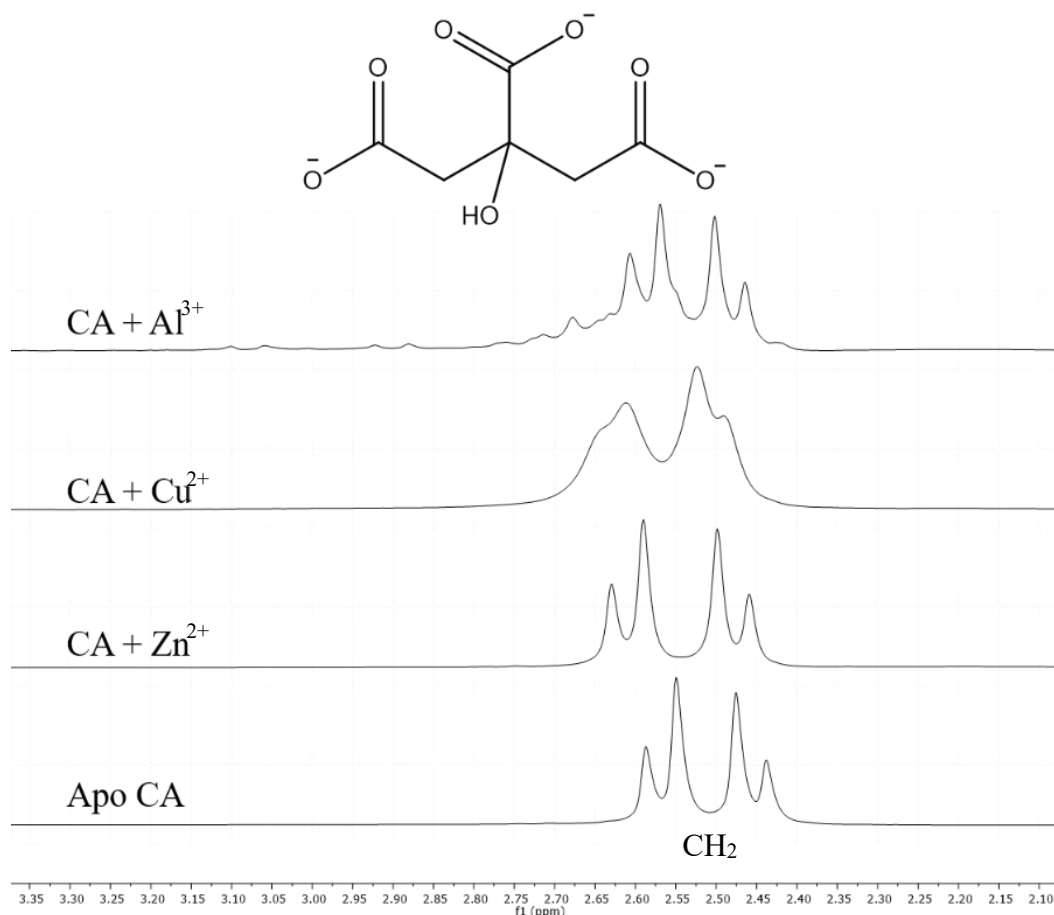
**Table 4.5 The optimized binding structures and energies of metal-maltol complexes.** The proposed structures of metal-maltol complexes, relative energies and HOMO energy values of proposed energy-minimized molecular structures of metal-citric acid complexes. The total energies for each complex are relative to the complex with the lowest calculated MM2 (Cu-mal) Colour codes: C, grey; H, white; O, red; M<sup>nt</sup>, dark grey.

	<b>Al(mal)<sub>3</sub></b>	<b>Zn(mal)<sub>2</sub></b>	<b>Cu(mal)<sub>2</sub></b>
<b>General scheme of metal-GSH complex</b>			
<b>Proposed energy minimized structure of metal-GSH complex</b>			
<b>MM2 (total energy) in kcal/mol</b>	78.309	10.081	0
<b>HOMO values (eV)</b>	-8.757	-8.900	-8.921

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### 4.2.5 Citric acid

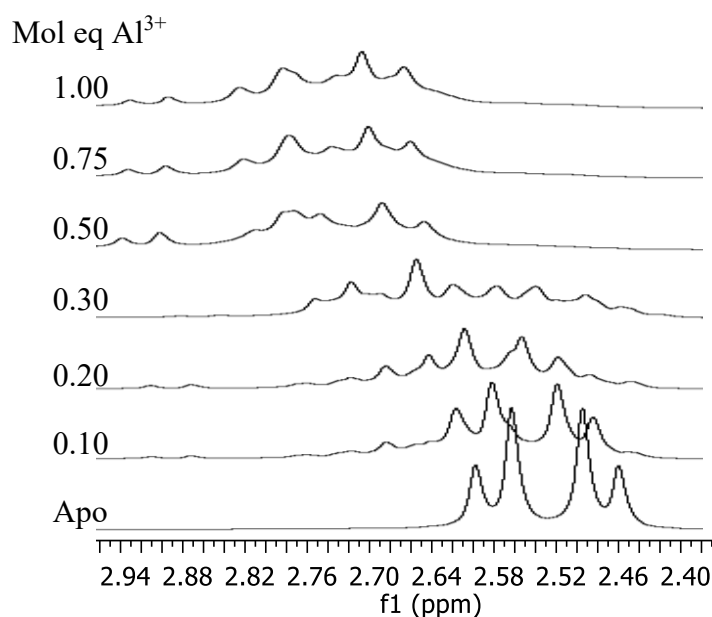
The structure of citric acid has three ionisable protons; at pH 7.4 these acidic hydrogens would be deprotonated to form citrate as shown in Figure 4.10 (top). The symmetric configuration of citrate yields two enantiotropic  $\text{CH}_2\text{COOH}$  groups, thus citric acid/citrate is classified as prochiral. The equivalent enantiotropic hydrogens of  $\text{CH}_2$  in citrate exhibit indistinguishable protons signals in NMR. As such the only proton detected by  $^1\text{H}$  NMR is the enantiotropic hydrogens at 2.51 ppm. The hydrogens resonances overlay each other but display splitting due to the prochirality of citrate. The  $^1\text{H}$  NMR metal titrations of citrate (CA) and metal ions ( $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ ) caused CSP of the enantiotropic hydrogens.



**Figure 4.10**  $^1\text{H}$  NMR spectra for metal ions and citric acid. The chemical structure of citrate (CA) are indicated (top). Experimental condition: 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  at  $\text{pH } 7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298 K. From bottom to top, apo CA, CA +  $\text{Zn}^{2+}$ , CA +  $\text{Cu}^{2+}$  and CA +  $\text{Al}^{3+}$ . In each of these later solutions the  $[\text{M}^{n+}]/[\text{CA}]$  ratios (from the bottom) is 0.5. The chemical structure of citrate (top) are indicated. The respective protons assignments of the doublet of doublets at  $\delta 2.51$  ppm is the enantiotropic hydrogens of the two  $\text{CH}_2\text{COO}^-$  groups.

Figure 4.10 shows the 1D proton NMR spectra of citric acid with 0.5 mole equivalent of metal ions,  $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ . All resonances of citric acid are affected by the addition of metal ions with three ionisable protons. Citric acid is a tridentate ligand, with all three  $\text{COO}^-$  groups acting as potential binding sites.

The addition of  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  results in the upfield shift of the overlapping signal of the enantiotropic hydrogens, suggesting the coordination of the metal ions occurs via both terminal carboxylic acid groups. However, the addition of  $\text{Al}^{3+}$  caused significant splitting (Figure 4.11) as the two sets of  $\text{CH}_2$  protons are now visibly different. This observation is thought to be a result of  $\text{Al}^{3+}$  binding to one terminal carboxylic acid group, causing a loss in the molecule's symmetry.



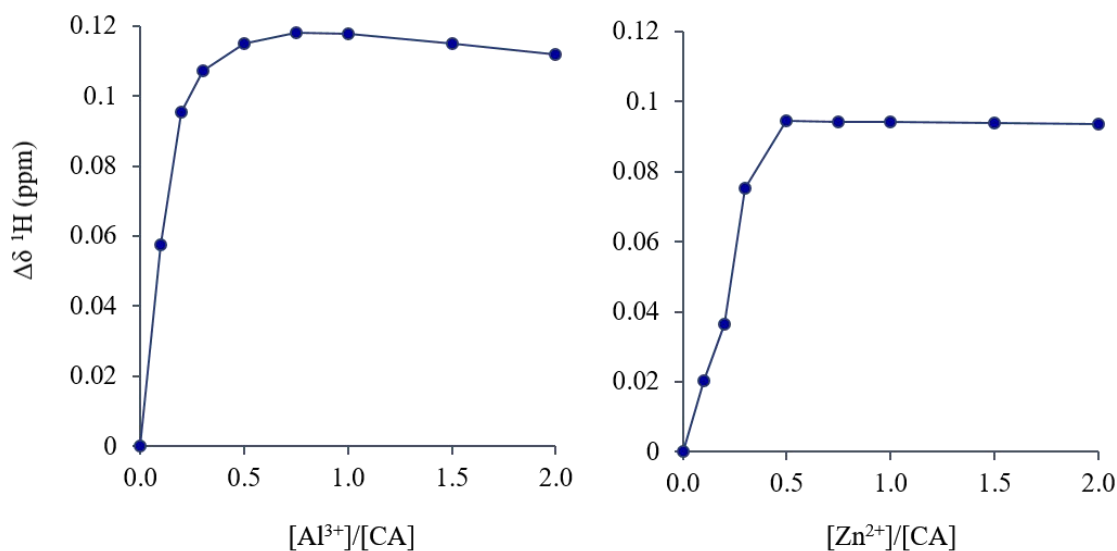
**Figure 4.11**  $^1\text{H}$  NMR  $\text{Al}^{3+}$  titration of citric acid. 1<sup>st</sup> spectrum is for apo 10 mM citrate in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  buffered by 10 mM phosphate at a pH of  $7.4 \pm 0.05$  and at 298K; spectra 2 to 7 are for incremental additions of  $\text{Al}^{3+}$  to the apo CA solution. In each of these later solutions the  $[\text{M}^{n+}]/[\text{CA}]$  ratios (from the bottom) are as follows: 2<sup>nd</sup> 0.10; 3<sup>rd</sup>, 0.20; 4<sup>th</sup>, 0.30; 5<sup>th</sup>, 0.50; 6<sup>th</sup>, 0.75 and 7<sup>th</sup>, 1.00.

The loss of symmetry caused by  $\text{Al}^{3+}$  coordination was demonstrated more clearly by the NMR titration in Figure 4.11 for the same solutions. The loss of symmetry of the enantiotropic hydrogens seen with the addition of  $\text{Al}^{3+}$  to citric acid indicates the coordination through the central and a single terminal carboxylic acid group.



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The CSP of citric acid caused by the addition of  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$  were calculated and plotted in the mole ratio plots of Figure 4.12 to determine stoichiometry of the metal-citric acid complex.



**Figure 4.12 Molar ratio vs  $\Delta\delta$  plots for metal ion and citric acid.** The concentration of citric acid is kept constant at 10 mM and the respective metal ion concentration was varied from 0.0 to 2.0 molar equivalents. Each slope represents the change in chemical shift ( $\Delta\delta$ ) for the resonances of the enantiotropic hydrogens against the  $[\text{M}^{n+}]/[\text{CA}]$  ratio. The stoichiometry ( $\text{M}^{n+}:\text{CA}$ ) of the complex with  $\text{Zn}^{2+}$  was found to be 1:2 as indicated by the changes in slope. The mole ratio plot for the CA complex with  $\text{Al}^{3+}$  indicates multiple binding modes.

The mole-ratio plot for the  $[\text{Al}^{3+}]/[\text{CA}]$  indicated the potential stepwise formation of  $\text{Al}(\text{CA})$ ,  $\text{Al}(\text{CA})_2$  and  $\text{Al}(\text{CA})_3$ . This observation is consistent with the results obtained from molecular modelling (Table 4.7) data for this complex. The plateau of the mole ratio plot after the 0.5 mole equivalence point ( $\text{M}^{n+}/\text{CA}$ ) reveals the coordination of  $\text{Zn}^{2+}$  to citric acid, in a 1:2 complex.

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The titration of  $\text{Cu}^{2+}$  resulted in line broadening of the enantiotropic hydrogens. The mole ratio plots also did not reach a clear saturation point. Hence the stoichiometric calculation of the copper-citrate complex was determined from elemental analysis (Table 4.6).

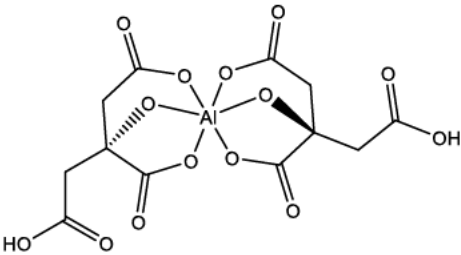
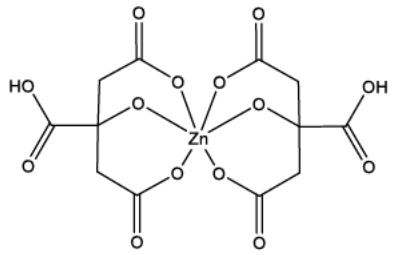
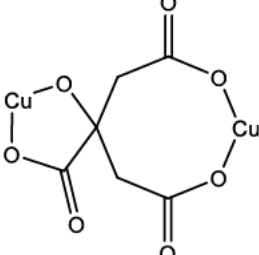
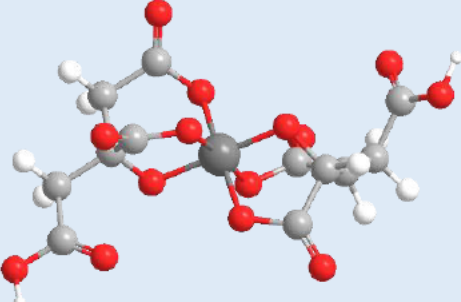
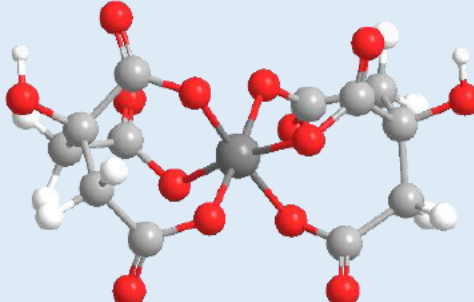
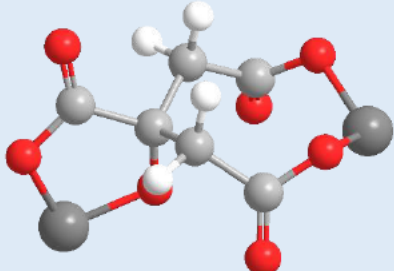
**Table 4.6 Analytical data of copper-citrate complex**

Formula	Yield %	Analysis (%) found (Calculated)		
		C	H	$\text{Cu}^{2+}$
$\text{Cu}_2\text{C}_6\text{H}_4\text{O}_7 \cdot 2\text{H}_2\text{O}$	89.0	22.23 (22.58)	2.33 (2.53)	36.20 (39.81)

The experimental values shown for each of the copper-citrate complex are in good agreement with the theoretical values. The calculated mole ratio of the complex was 2:1.

From these simple observations and the ability of citric acid to act as a tridentate ligand, the coordination modes of the metal ion and citric acid can be deduced. Molecular mechanics were carried out to find the relative minimum energies and HOMO energy values for all energy minimized conformation of the metal-CA complexes and are presented in Table 4.7.

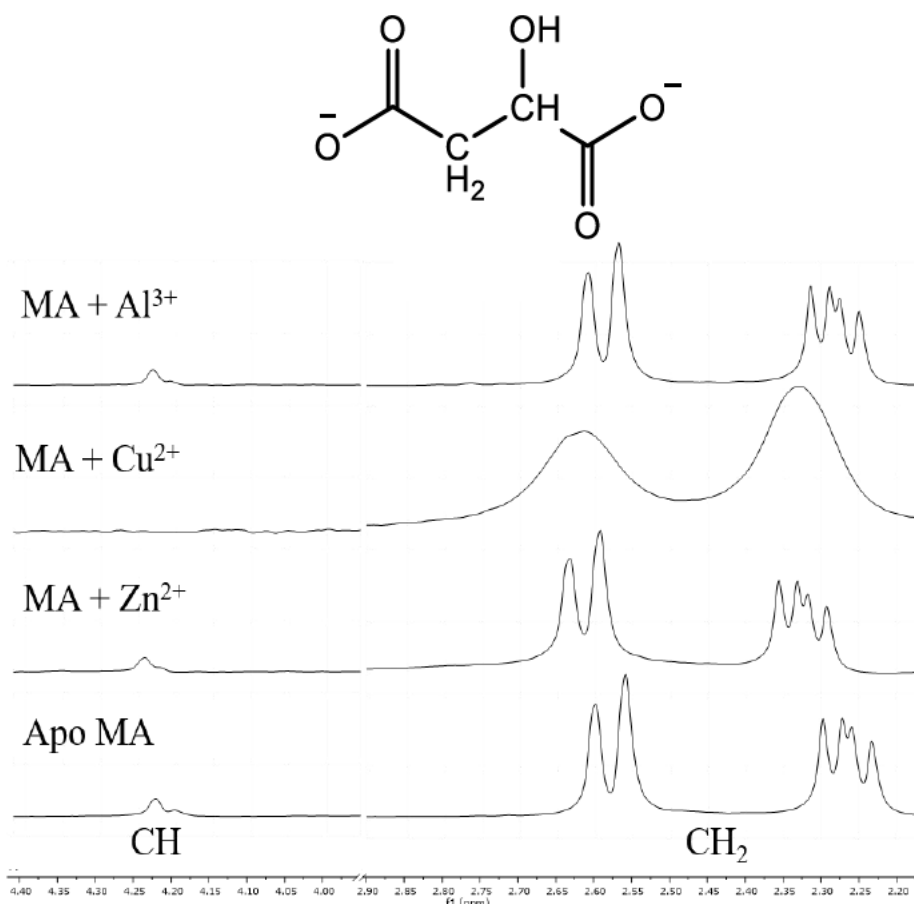
**Table 4.7 Molecular modelling studies of metal-citric acid complexes.** The proposed structures of metal-citric acid complex, relative energies and HOMO energy values of proposed energy-minimized molecular structures of metal-citric acid complexes. The total energies for each complex are relative to the complex with the lowest calculated MM2 (Cu-CA) Colour codes: C, grey; H, white; O, red; respective  $M^{n+}$ , dark grey.

	<b>Al(CA)<sub>2</sub></b>	<b>Zn(CA)<sub>2</sub></b>	<b>Cu<sub>2</sub>(CA)</b>
<b>Proposed structures of metal-CA complex</b>			
<b>Energy minimized structure of metal-CA complex</b>			
<b>MM2 (total energy) in kcal/mol</b>	34.434	42.190	0
<b>HOMO values (eV)</b>	-10.742	-12.153	-12.879

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#### 4.2.6 Malic acid

The details of metal ion ( $M^{n+}$ ) binding to malic acid (MA) by  $^1H$  NMR are shown in Figure 4.13, including  $^1H$  NMR spectra of malic acid only (apo MA), and malic acid plus 0.5 molar equivalent of metal ion  $Al^{3+}$ ,  $Cu^{2+}$  and  $Zn^{2+}$  respectively. At assay pH 7.4, the deprotonation of both carboxy groups of malic acid results in the formation of the conjugate base, malate. The spectrum for apo malate is labelled with the corresponding resonances and the structure of malic acid is at the top. The respective protons are CH, 4.22;  $CH_2$ , 2.59 and 2.24 ppm.



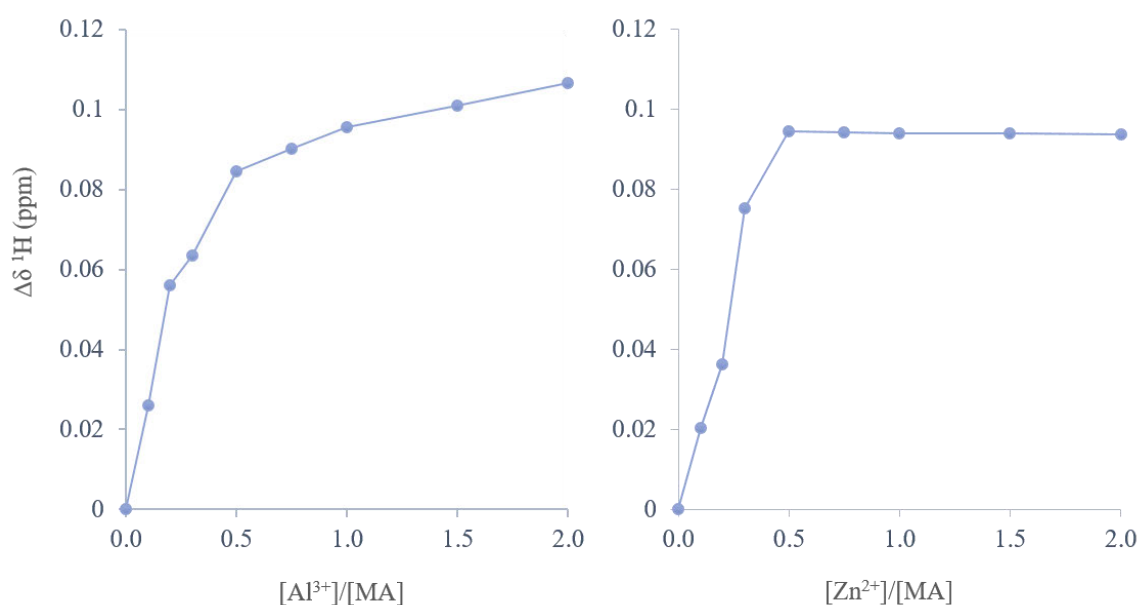
**Figure 4.13**  $^1\text{H}$  NMR metal ion titration of malic acid. Experimental condition: 10% H<sub>2</sub>O:90% D<sub>2</sub>O at pH  $7.4 \pm 0.05$  (adjusted with phosphate buffer, the final phosphate concentration is 10 mM), assay temperature 298 K. From bottom to top, apo MA, MA + Zn<sup>2+</sup>, MA + Cu<sup>2+</sup> and MA + Al<sup>3+</sup>. In each of these later solutions the  $[\text{M}^{n+}]/[\text{MA}]$  ratios (from the bottom) is 0.5. The assignments for the respective protons are indicated on the chemical structure of malate (top) CH, 4.22; CH<sub>2</sub>, 2.59 and 2.24 ppm. The CH resonance intensity was significantly reduced due to the proximity to the suppressed water signal at 4.70 ppm.

The metal induced CSP of malic acid in Figure 4.13 has characteristic NMR patterns of chelated malic acid and provides indications of a coordination mode. Malic acid has the ability to act as a tridentate ligand with two carboxylates and a hydroxyl group. Figure 4.13 demonstrates which of the binding sites are involved in the coordination to Al<sup>3+</sup>, Cu<sup>2+</sup> and Zn<sup>2+</sup>. The downfield shift caused by the addition of metal ions to the resonances of CH and CH<sub>2</sub> indicate the involvement of the carboxylate groups. The significantly large deshielding of CH at 4.22 ppm caused by the

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addition of  $\text{Al}^{3+}$ ,  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  implies that the involvement of malic acid's hydroxyl group in metal binding

The numerical change in chemical shifts ( $\Delta\delta$ ) caused by the incremental additions of metal ions, in the  $^1\text{H}$  NMR titration of malic acid were calculated. For each metal titration, the significantly deshielded  $^1\text{H}$  resonance within malic acid was that of CH group. Figure 4.14 presents the mole ratio plots for the  $[\text{M}^{n+}]/[\text{MA}]$  and  $\Delta\delta$  of CH, to determine stoichiometry of the metal-malic acid complex.



**Figure 4.14 Molar ratio vs  $\Delta\delta$  plots of metal ions to malic acid.** The concentration of malic acid was kept constant at 10 mM and the respective metal ion concentration was varied from 0.0 to 2.0 molar equivalents. Each slope represents the change in chemical shift for the resonances of the enantiotropic hydrogens against the  $[\text{M}^{n+}]/[\text{MA}]$  ratio. The stoichiometry ( $\text{M}^{n+}/\text{MA}$ ) of the complex with  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$  was found to be 1:2 as indicated by the changes in slope.

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In Figure 4.14, the mole ratio plot for  $Zn^{2+}$  plateaus after 0.5 molar equivalents of the metal ion is added. Hence the Zn-malate complex stoichiometry is 1:2. The mole ratio plot for  $Al^{3+}$  is typical of mole-ratio plot for a 1:2 complex in which the ligand is in excess.

The titration of  $Cu^{2+}$  resulted in line broadening of the CH and  $CH_2$  resonances. Hence the stoichiometric calculation of the copper-malic acid complex was determined from elemental analysis (Table 4.8).

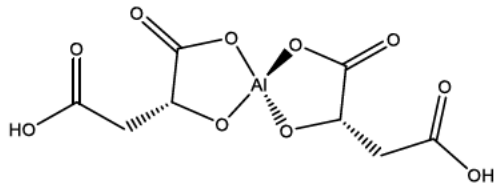
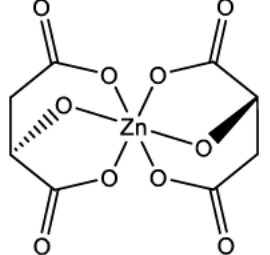
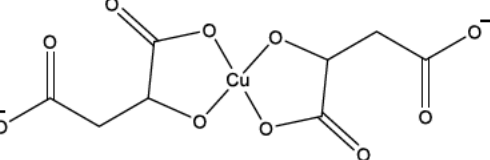
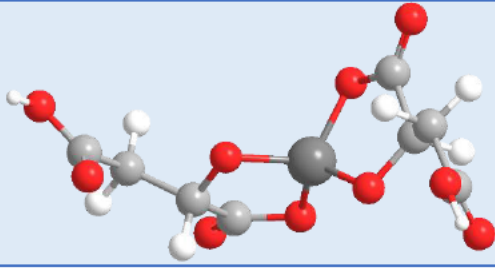
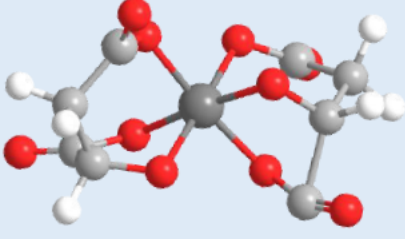
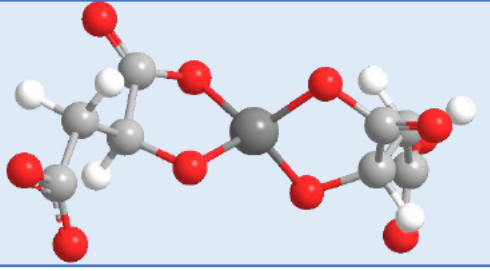
**Table 4.8 Analytical data of copper-malic acid complex.**

Formula	Yield %	Analysis (%) found (Calculated)		
		C	H	$Cu^{2+}$
$Cu(C_4H_4O_5)_2$	89.0	29.20 (29.32)	2.37 (2.46)	18.65 (19.39)

The experimental values shown for each of the copper-malic acid complex are in agreement with the theoretical values calculated ratio of the complex in 1:2.

The information obtained from the stoichiometric calculations and the CSP of resonances affected by the addition of metals ions the structural information was used in the molecular modelling studies. The energy minimized conformation and relative minimum energy and HOMO energy values of all the complexes are presented in Table 4.9.

**Table 4.9 The optimized binding structures of metal-MA complexes.** The proposed structures of metal-malic acid complex, relative energies and HOMO energy values of proposed energy-minimized molecular structures of metal-malic acid complexes. The total energies for each complex are relative to the complex with the lowest calculated MM2 (Cu-MA) Colour codes: C, grey; H, white; O, red; respective  $M^{n+}$ , dark grey.

	Al(MA) <sub>2</sub>	Zn(MA) <sub>2</sub>	Cu(MA) <sub>2</sub>
General scheme of metal-MA complex			
Proposed energy minimized structure of metal-MA complex			
MM2 (total energy) in kcal/mol	10.30	32.003	0
HOMO values (eV)	-11.316	-11.520	-12.618



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### 4.3 Discussion

This chapter is about the ability of individual ligands to bind various metal ions. Naturally occurring metal chelators such as the ones I am reporting here may have therapeutic applications in the treatment and alleviation of age-related health problems like dementia. The panel of ligands in this chapter were selected for their metal-binding proclivity, lack of cytotoxicity, and potential antioxidant activity.

<sup>1</sup>H NMR titration experiments show histidine binds strongly to Al<sup>3+</sup>, Zn<sup>2+</sup> and Cu<sup>2+</sup>, at a biologically relevant pH 7.4, with a stoichiometry of M<sup>n+</sup>: histidine of 1:2 (Figure 4.5). Histidine is the main metal binding site in Aβ (Chapter 3), hence its ability to coordinate as a free amino acid to Al<sup>3+</sup>, Zn<sup>2+</sup> and Cu<sup>2+</sup> is not unusual. The proposed binding schemes in Table 4.1 indicate the coordination to metal ions occurs via the N2-imidazole group, the NH and COOH groups. The energy minimization calculations performed by using molecular mechanics (MM2) demonstrated that the minimized energy structure for a bidentate ligand is lower than that of the tridentate. The observed upfield shift of the resonances 2H and 4H of the imidazole group supports the view that Al<sup>3+</sup> binds to histidine relatively more efficiently compared to Zn<sup>2+</sup> or Cu<sup>2+</sup>. This is further demonstrated by the significantly lower HOMO values for the Al<sup>3+</sup>-His complexes of -0.010 eV, judged by the computational molecular modelling studies. My results conclude that, histidine is a chelating ligand able to coordinate strongly with Al<sup>3+</sup>. The complexes formed with Al<sup>3+</sup>, Zn<sup>2+</sup> and Cu<sup>2+</sup> demonstrate the potential of histidine as a chelating ligand (with therapeutic value to AD). In addition, I have surveyed all 20 amino acids for their interaction with metal ions, see Appendix D. The findings shed light on the individual amino acid interactions with metal ion. While amino acids can act as ligands to Al<sup>3+</sup> and Zn<sup>2+</sup>, histidine

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was a significantly strong metal chelator. This is further supported as indicated by Chapter 3 findings that it is mainly the histidine residues in A $\beta$  that confer its metal binding ability.

The interactions between GSH and Al<sup>3+</sup>, Zn<sup>2+</sup> or Cu<sup>2+</sup> (Figure 4.7) show that each of these metal ions bind to the potential coordination sites of glutathione with a high degree of specificity, with the actual sites involved in metal binding being dependent on the type and concentration of the metal ions. At physiological pH, the coordination of the GSH to Al<sup>3+</sup> occurred via the glycine and glutamate carboxylate groups. The mole ratio plot indicated the stepwise formation of a 1:1 and 1:2 Al-GSH complex consistent with the studies conducted by Wang et al. (2009). The energy minimization of the Al-GSH complex in 1:2 ratio yielded the configuration with the higher stability. GSH showed weaker coordination to Al<sup>3+</sup>, compared to Zn<sup>2+</sup> thiol coordination. The titration of GSH with Cu<sup>2+</sup> supports that the metal ion oxidised GSH in a two-step reaction resulting in the formation of the Cu<sup>+</sup> and GSSH (Kato et al., 1999), when Cu<sup>2+</sup> forms complex with GSH and catalyses the reaction (Singh et al., 2014). The rate of GSH oxidation is decreased with the increased concentration of GSH, and this was found to be the result of the formation of an inactive Cu-GSH complex (Ngamchuea et al., 2016). GSH is a versatile endogenous antioxidant, which is abundant in the body. In this context it is significant that the GSH concentration is found to be significantly lower in hippocampal regions of AD patients (Mandal et al., 2015). The upregulation of endogenous antioxidants is vital in combating oxidative stress, a known characteristic of AD, thus helping to slow the disease advancement (Pocernich and Butterfield, 2012). As demonstrated here, GSH strongly coordinates to AD related metals. Such finding, in combination with its proven antioxidant activity, supports the potential of GSH in combating AD.

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The comparison of the steric energy of various conformations of the metal-maltol complexes gives information on the relative coordination strength and stability of those complexes. It was shown that the complexation of maltol with  $\text{Cu}^{2+}$  yielded the complex with the highest stability. Also, the HOMO values of  $\text{Al}(\text{mal})_3$ , -8.757 eV;  $\text{Zn}(\text{mal})_2$ , -8.900 eV;  $\text{Cu}(\text{mal})_2$ , -8.921 eV indicate great stability of these complexes. Maltol is known for high bioavailability, favourable toxicity profile and significantly high metal ion complex stability (Thompson et al., 2006). The synthetic modifications and extensions of maltol may increase the variety of its potential medicinal applications (Mukha et al., 2007).

Interaction of the metal ions with the citric acid ligand in solution at physiological pH results in the formation of a metal-citrate complex in a 2:1 ratio for  $\text{Cu}^{2+}$  and 1:2 for  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$ . The complexation of citric acid to  $\text{Al}^{3+}$  resulted in an asymmetrical complex, with the binding of one terminal carboxylic acid group to  $\text{Al}^{3+}$ . With four available oxygen donors, citric acid is an ideal chelating ligand for  $\text{Al}^{3+}$ , as indicated by the lower HOMO values of -10.742 eV for the  $\text{Al}(\text{CA})_3$  complex compared to the -12.153 eV for  $\text{Zn}(\text{CA})_2$  and -12.879 eV for  $\text{Cu}_2\text{CA}$  complexes. The presence of  $\text{Al}^{3+}$  in *Arabidopsis*,  $\text{Zn}^{2+}$  in wheat, and  $\text{Cu}^{2+}$  in *Zea mays* cause the exudation of citrate from the root, which actively chelates the metal ions (Gramlich et al., 2013; Dresler et al., 2014; Wang et al., 2018). While the ability to bind and chelate metals from biological systems is established, citric acid has relatively low affinity for metal ions, as evident from the comparison of HOMO values of the other ligands tested. This indicates while the chelating ligand may bind to  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$ , citric acid may not efficiently eliminate the metal ions. Deng et al. (1998) demonstrated that by using rat models, citrate increases the accumulation of  $\text{Al}^{3+}$ .

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A similar low affinity is seen in the complexation of malic acid to  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$ . The relative energies of the metal-malate are indicative of the formation of the stable complexes. The HOMO values of -11.316 eV for  $\text{Al}^{3+}\text{MA}$ , -11.520 eV for  $\text{Zn}^{2+}\text{MA}$  and -12.618 eV for  $\text{Cu}^{2+}\text{MA}$ , demonstrating a similar metal affinity as citric acid.

#### **4.4 Conclusion**

In conclusion, the metal-binding properties for a panel of ligands were characterised by NMR and molecular mechanics. The relatively high affinity of maltol, GSH and histidine to  $\text{Al}^{3+}$ ,  $\text{Zn}^{2+}$  and  $\text{Cu}^{2+}$  suggests their potential in metal chelation, which should be explored in future for drug development against diseases such as AD. The diverse coordinating sites available in the ligands GSH and histidine demonstrate their effectiveness in chelating various metal ions. The fact that they are natural molecules in human cells is a convenient bonus, their usefulness in treatment of metal-related health problems should be definitely considered.

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## 5 THE IMPACT OF METALS AND LIGANDS ON THE GENE EXPRESSION OF APP IN NEURONAL CELLS

### 5.1 Introduction

Thus far, there is a strong association amongst metal ions, A $\beta$  and AD according to numerous publications (Cherny et al., 1999; Lynch et al., 2000; Adlard and Bush, 2006; Duce et al., 2011), the extent of which is still debateable (Pollard et al., 1995; Drew, 2017). My findings demonstrate a strong interaction of metal ions and A $\beta$  (Chapter 3) supports the argument that both metal ions and A $\beta$  play a role in AD. A complex neurodegenerative disease such as AD has no clear causative aetiology. However, in the past few decades most AD therapeutic studies were focused on targeting one factor at a time varying from preventing metal induced apoptosis to A $\beta$  aggregation, metal-A $\beta$  coordination, A $\beta$  accumulation or oxidative stress. This chapter aims to explore the effect of metal ions on the expression of APP gene, and then to gauge the antagonistic action of ligands on metal ions which may up-regulate APP gene expression. Currently there is a deficiency of data on this front, nor is there any work carried out on chelating ligands which may intervene metal ions' effect on APP gene expression. Therefore, it would be worthwhile to investigate the potential effect of metal ions on APP expression and to subsequently find a natural chelating ligand which can stop such metal ions in the process.

I will now focus on the toxicity of Al $^{3+}$ , Cu $^{2+}$  and Zn $^{2+}$ , and additionally arsenic (As $^{3+}$ ) and chromium (Cr $^{6+}$ ) which might not relate to AD but are detrimental to human health (Kim et al., 2011; Lambrou et al., 2012; Mahajan and Sidhu, 2019). To determine if metal ions, Al $^{3+}$  and Zn $^{2+}$ , play a role in APP gene expression, I treated the human neuronal cells with the metal ions

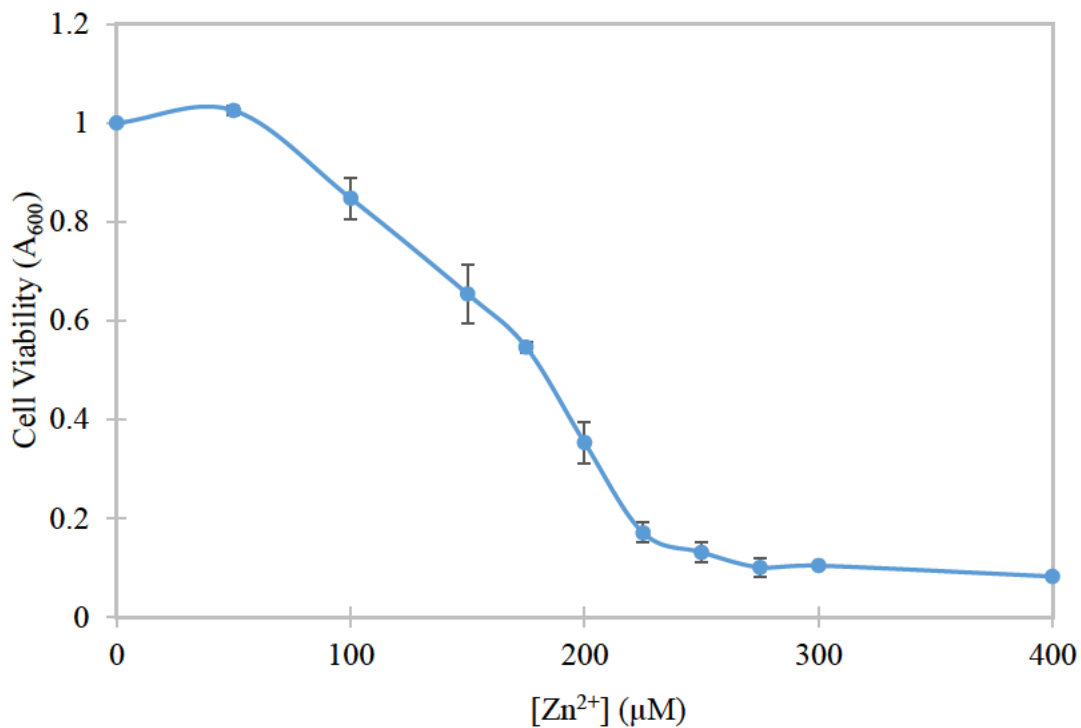
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and then carried out qRT-PCR for analysing the expression of APP gene. In parallel, the effect of ligands on metal-induced APP expression (if any) was also analysed.

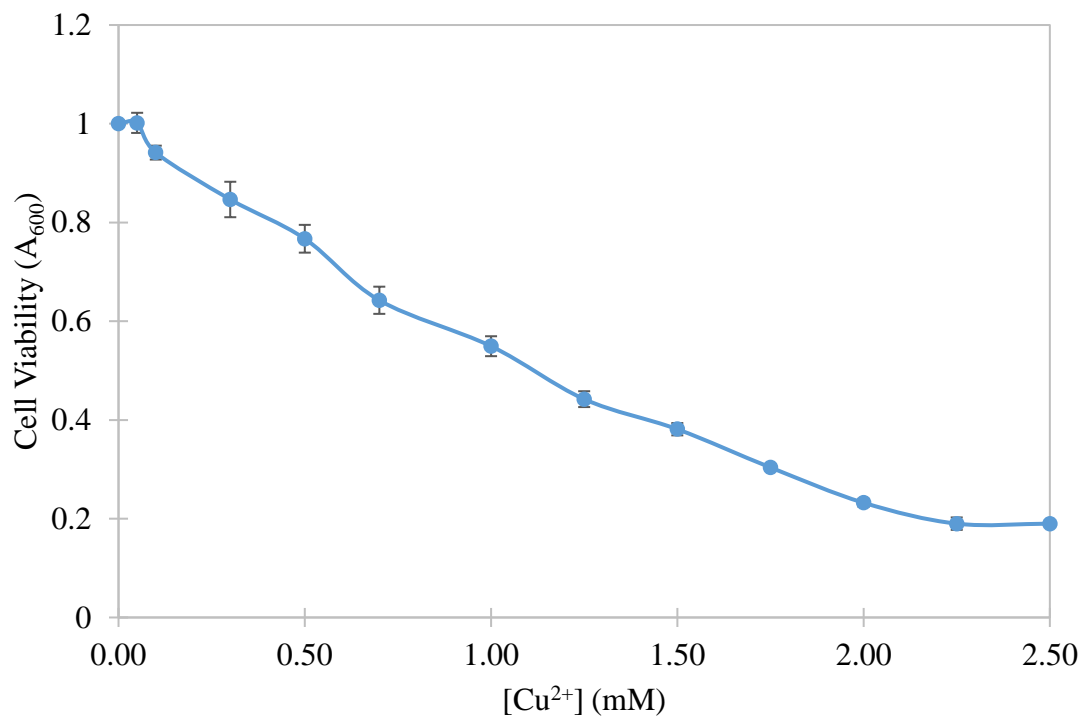
## **5.2 Results**

### **5.2.1 Determination of IC<sub>50</sub> of metal ions on SH-SY5Y cells**

Prior to investigating the effects of the metal ions on APP gene expression, their appropriate dosage was initially established. The experimental details of IC<sub>50</sub> determination is described in Chapter 2.8.1, the dose response curves for each metal ion studied were acquired and the subsequent IC<sub>50</sub> of each metal ion was determined. Figures 5.1 to 5.5 are the dose response curves for ZnSO<sub>4</sub>, CuSO<sub>4</sub>, Al(mal)<sub>3</sub>, CrO<sub>3</sub> and NaAsO<sub>2</sub>, as well as solvent controls in human neuroblastomas cells (SH-SY5Y). The dose-response curves indicated the IC<sub>50</sub> values for the metal ions was 180 μM for Zn<sup>2+</sup> (Figure 5.1); 1000 μM for Cu<sup>2+</sup> (Figure 5.2); 1000 μM for Al<sup>3+</sup> (Figure 5.3); 18 μM for Cr<sup>6+</sup> (Figure 5.4); 16 μM for As<sup>3+</sup> (Figure 5.5). Consequently, the determined IC<sub>50</sub> values for the individual metal ions were used as a dosage for the ensuing experiments.

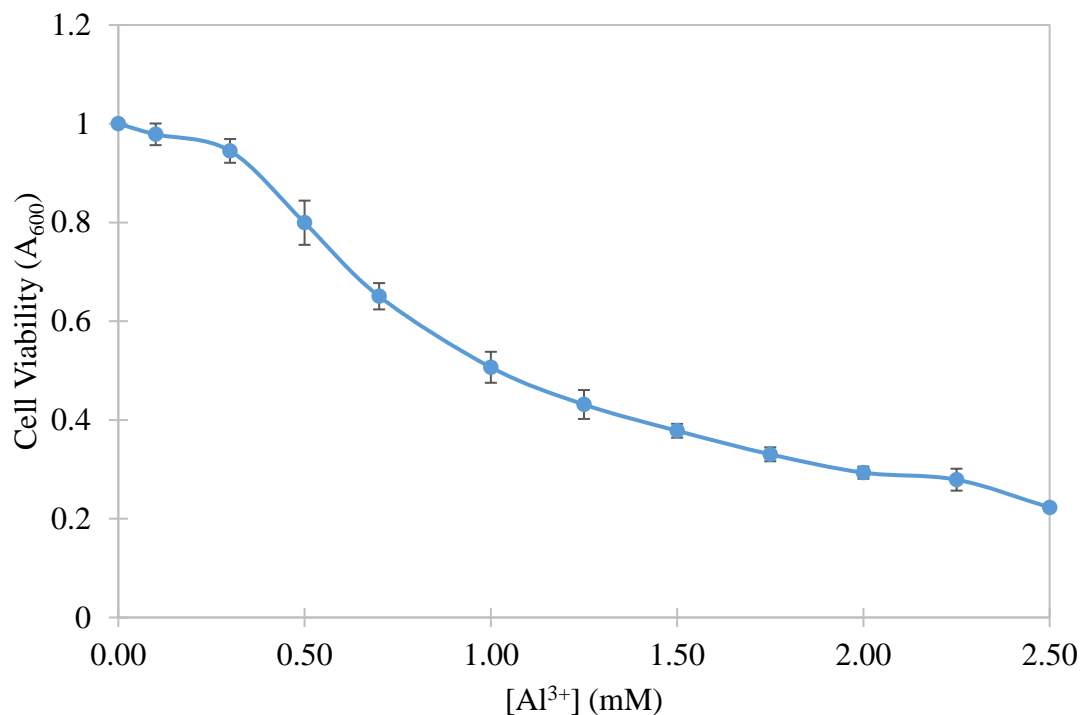


**Figure 5.1 Dose-response curve of zinc sulphate in SH-SY5Y cells.** The cells were treated with a range of ZnSO<sub>4</sub> concentrations 0-400 µM and the MTT assay was carried out to determine the cell viability. The IC<sub>50</sub> of Zn<sup>2+</sup> for SH-SY5Y cells was determined to be 180 µM. Experiment consisted of eight replicates. The mean of triplicate experiments was used to obtain each data point and SD values are represented as error bars.

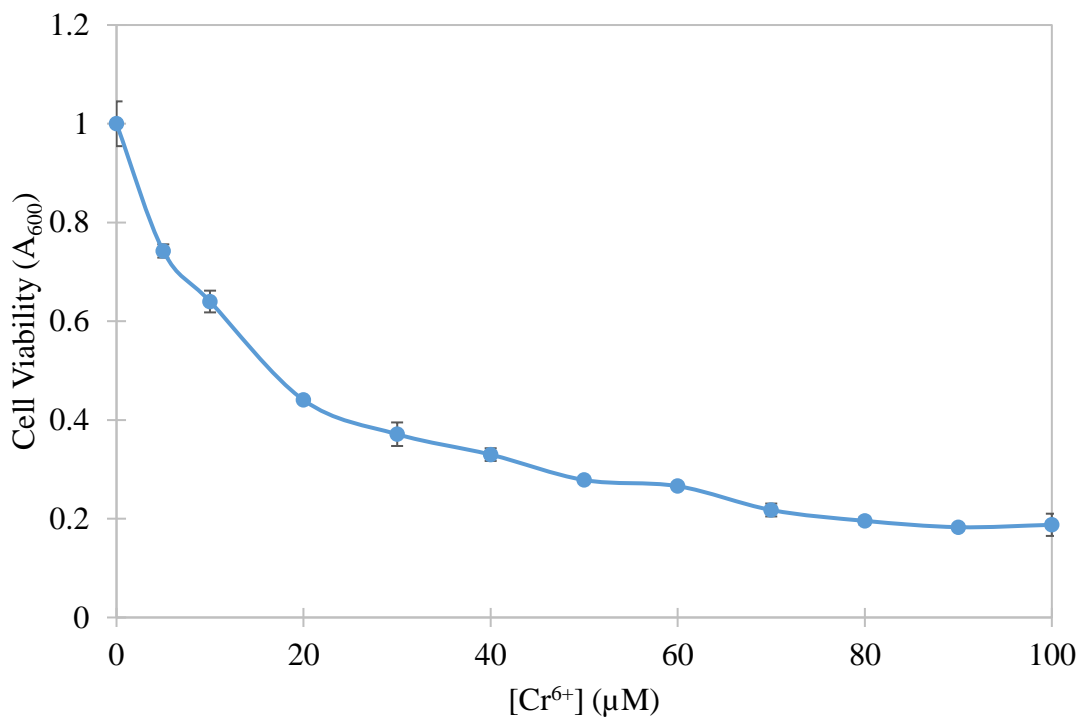


**Figure 5.2 Dose-response curves of copper sulphate in SH-SY5Y cells.** The cells were treated with a range of CuSO<sub>4</sub> concentrations as indicated and the MTT assay was used to determine cell viability. The IC<sub>50</sub> of Cu<sup>2+</sup> for SH-SY5Y cells was determined to be 1000 μM. Experiment consisted of eight replicates. The mean of triplicate experiments was used to obtain each data point and SD values are represented as error bars.

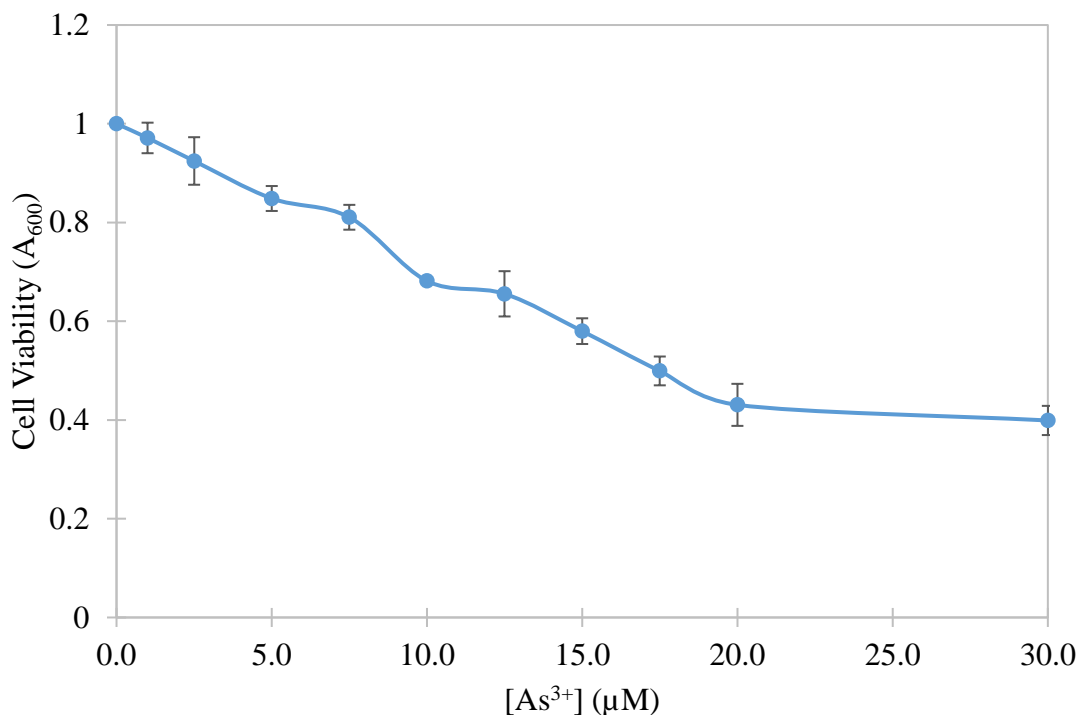




**Figure 5.3 Dose-response curve of aluminium maltol in SH-SY5Y cells.** The cells were treated with a range of  $Al(mal)_3$  concentrations as indicated and the MTT assay was used to determine cell viability. The  $IC_{50}$  of  $Al^{3+}$  for SH-SY5Y cells was determined to be 1000  $\mu$ M. Experiment consisted of eight replicates. The mean of triplicate experiments was used to obtain each data point and SD values are represented as error bars.



**Figure 5.4 Dose-response curves of chromium trioxide in SH-SY5Y cells.** The cells were treated with a range of CrO<sub>3</sub> concentrations as indicated and the MTT assay was used to determine cell viability. The IC<sub>50</sub> of Cr<sup>6+</sup> for SH-SY5Y cells was determined to be 18 µM. Experiment consisted of eight replicates. The mean of triplicate experiments was used to obtain each data point and SD values are represented as error bars.

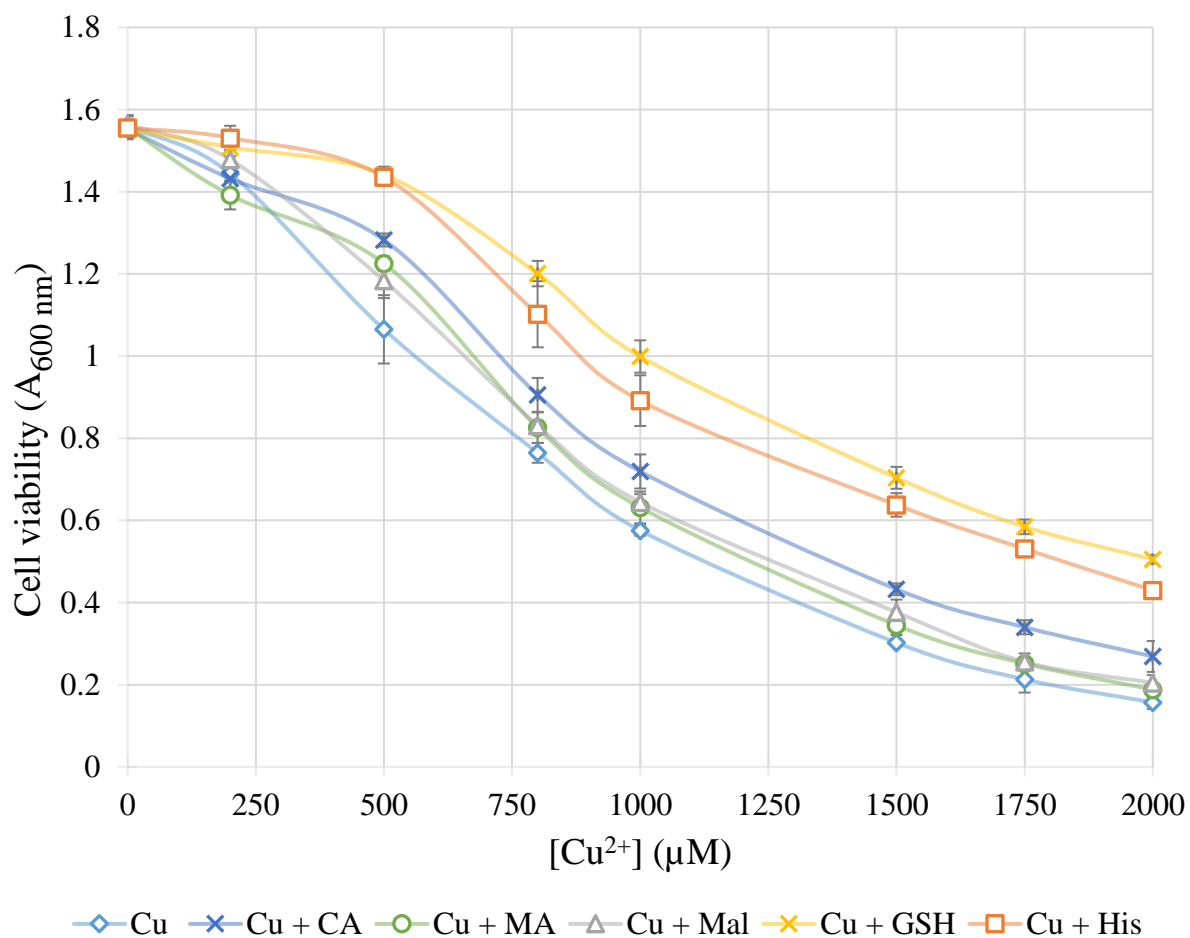


**Figure 5.5 Dose-response curves of sodium arsenite in SH-SY5Y cells.** The cells were treated with a range of NaAsO<sub>2</sub> concentrations as indicated and the MTT assay was used to determine cell viability. The IC<sub>50</sub> of As<sup>3+</sup> for SH-SY5Y cells was determined to be 16 µM. Experiment consisted of eight replicates. The mean of triplicate experiments was used to obtain each data point and SD values are represented as error bars.

### 5.2.2 The effect of metal chelating ligands on the cytotoxicity of metal ion in SH-SY5Y cells

To establish if the ligands can chelate the metals in physiological conditions and reduce metal toxicity, MTT assays were performed. Following the methods as described in Chapter 2.8.2, comparative cytotoxic response curves for each ligand plus each metal ion were obtained (Figures 5.7-5.11). For metal plus ligand, the treatment of the neuronal cells with each metal ion and the ligand resulted in the change of cytotoxicity compared to the metal alone as seen in

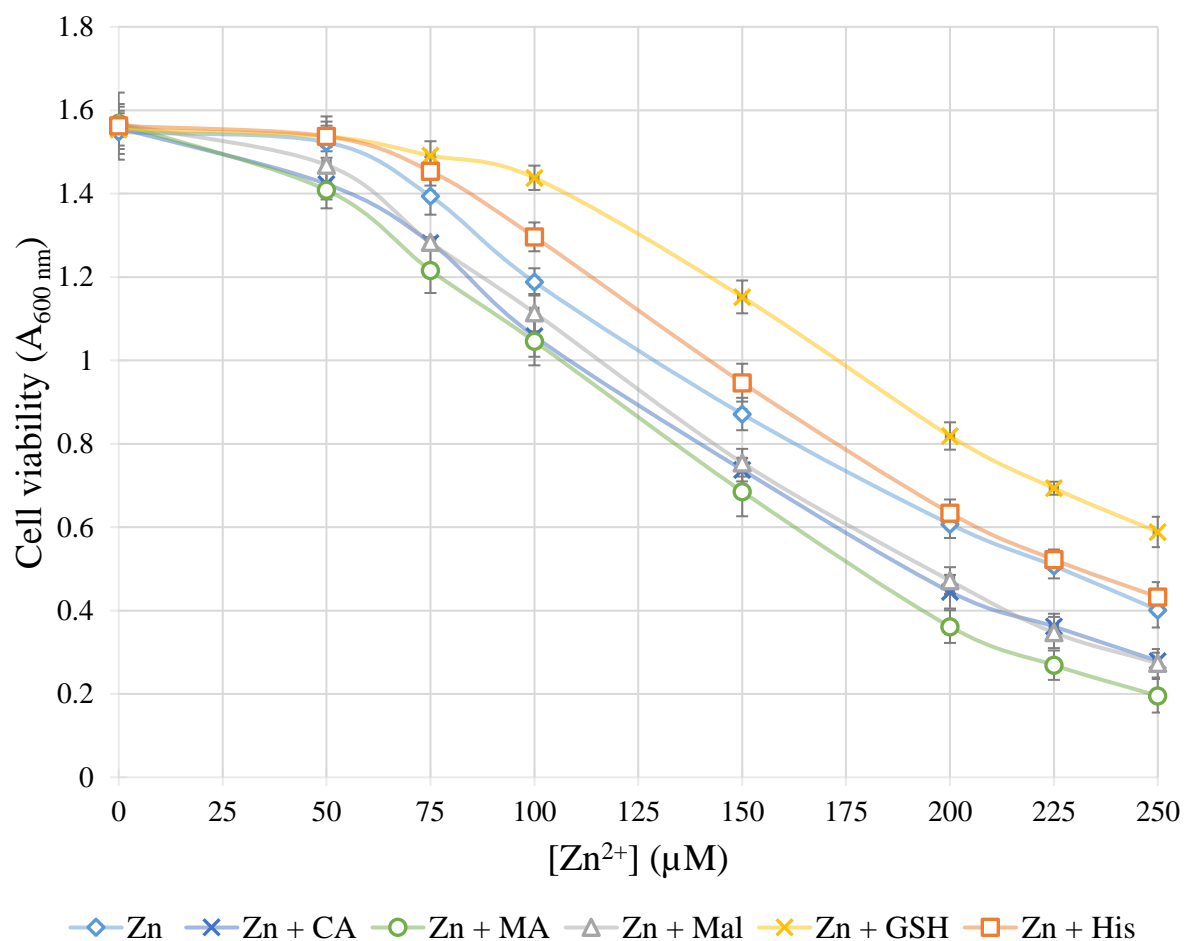
Figures 5.7 to 5.11. Metal alone serves as the control for each metal ion treatment ( $\text{Al}(\text{mal})_3$ ,  $\text{CuSO}_4$ ,  $\text{ZnSO}_4$ ,  $\text{CrO}_3$  and  $\text{NaAsO}_2$  respectively).



**Figure 5.6 Comparative cytotoxic response curves of copper treated with ligands.** The SH-SY5Y cells were exposed to increasing concentrations of  $\text{CuSO}_4$  with each ligand including citric acid (2000  $\mu\text{M}$ ), malic acid (2000  $\mu\text{M}$ ), maltol (3000  $\mu\text{M}$ ), glutathione (2000  $\mu\text{M}$ ) or histidine (2000  $\mu\text{M}$ ), then incubated for 6 hr. The cell viability was determined by MTT assay. The values are the result of triplicate experiments and SD values are represented as error bars.

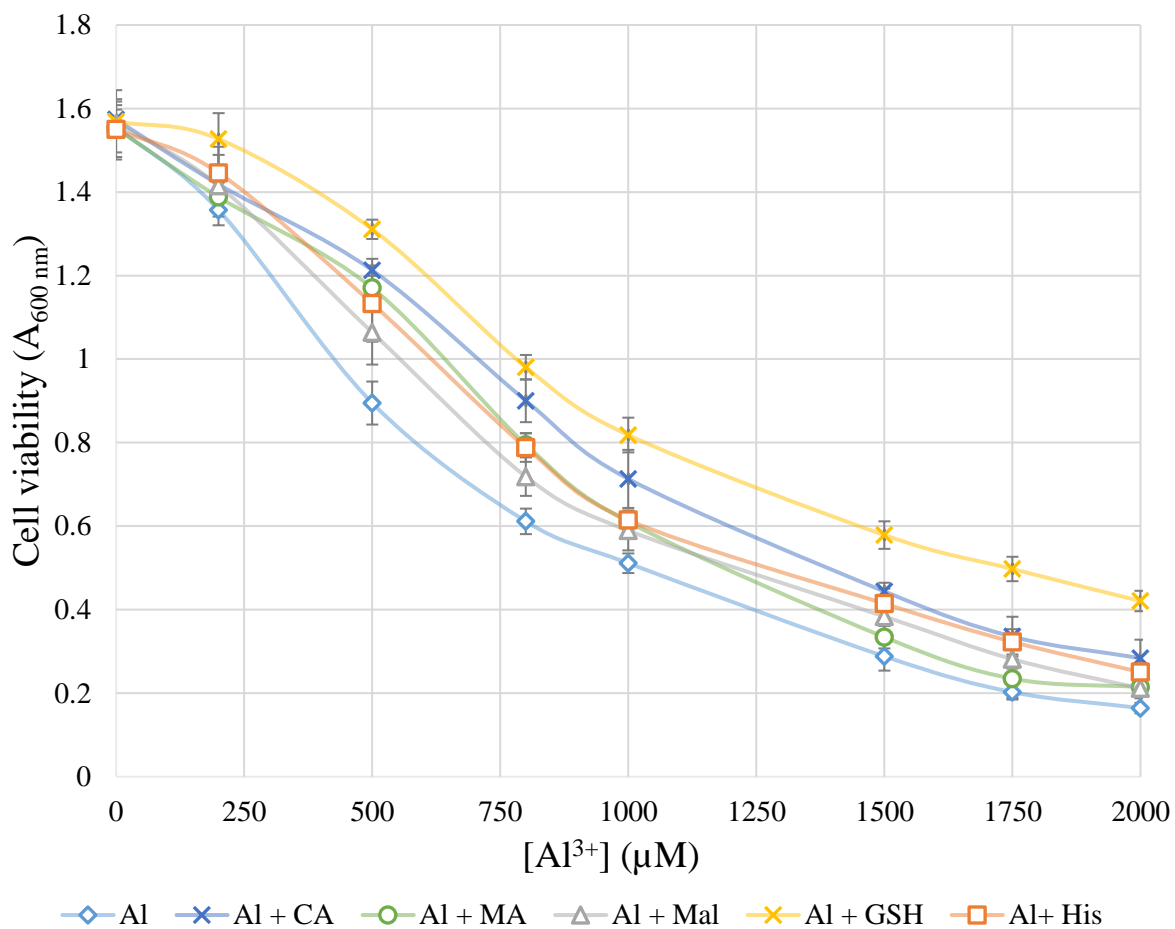
The ligands protected SH-SY5Y cells against the cytotoxic effect of  $\text{Cu}^{2+}$  exposure (Figure 5.6). While the presence of GSH and histidine significantly increased cell viability against  $\text{Cu}^{2+}$ , a slight increase in cell viability against the metal ion is seen with the treatment of citric acid,

maltol and malic acid. The marked increase of cell viability by GSH and histidine against  $\text{Cu}^{2+}$  demonstrates the ligands' ability to coordinate  $\text{Cu}^{2+}$  under the physiological condition for SH-SY5Y cells.



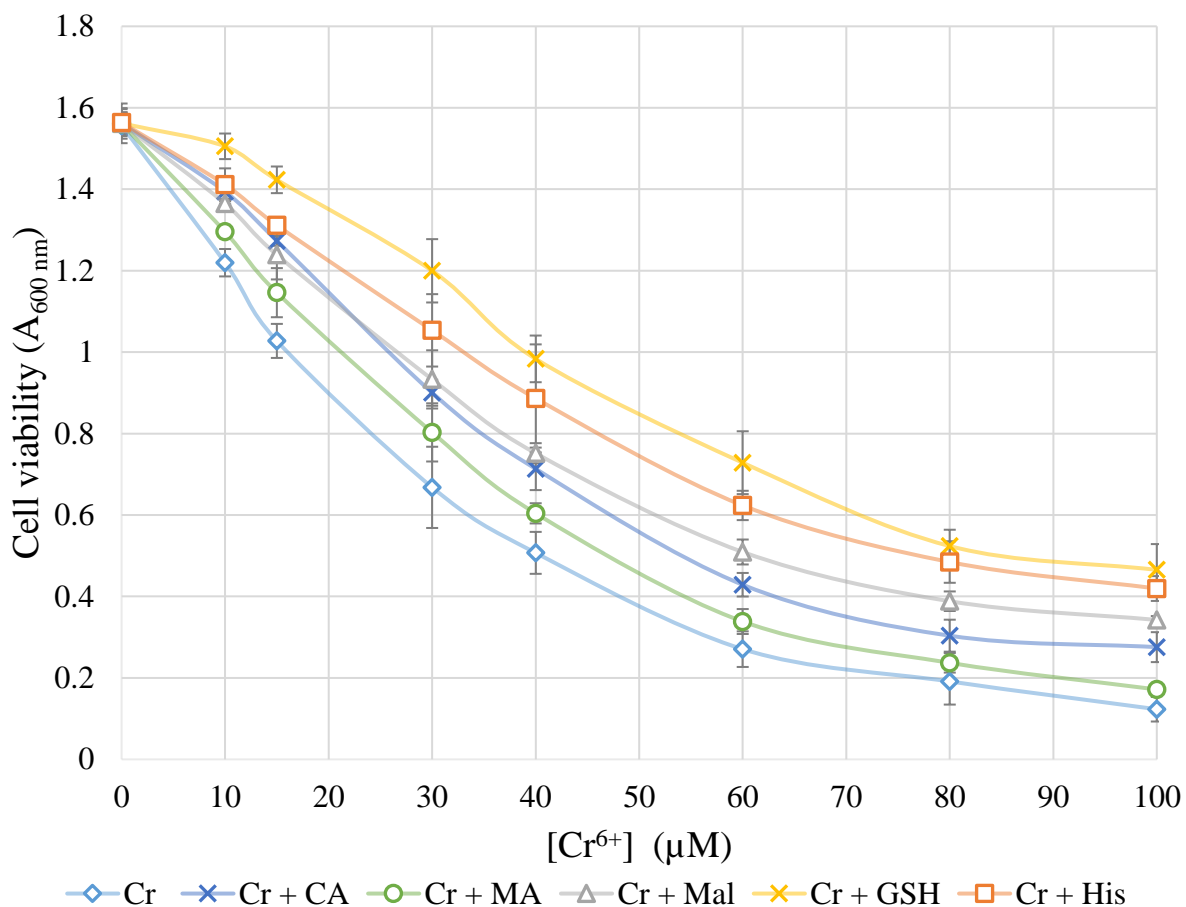
**Figure 5.7 Comparative cytotoxic response curves of zinc treated with ligands.** The SH-SY5Y cells were exposed to increasing concentrations of  $\text{ZnSO}_4$  with each ligand including citric acid (2000  $\mu\text{M}$ ), malic acid (2000  $\mu\text{M}$ ), maltol (3000  $\mu\text{M}$ ), glutathione (2000  $\mu\text{M}$ ) or histidine (2000  $\mu\text{M}$ ), then incubated for 6 hr. The cell viability was determined by MTT assay. The values are the result of triplicate experiments and SD values are represented as error bars.

For zinc, GSH and histidine protected cells from the cytotoxic effects of zinc (Figure 5.7). Citric acid, malic acid and maltol are ineffective on the cytotoxic effect of zinc exposure, and unexpectedly, they increased zinc cytotoxicity.



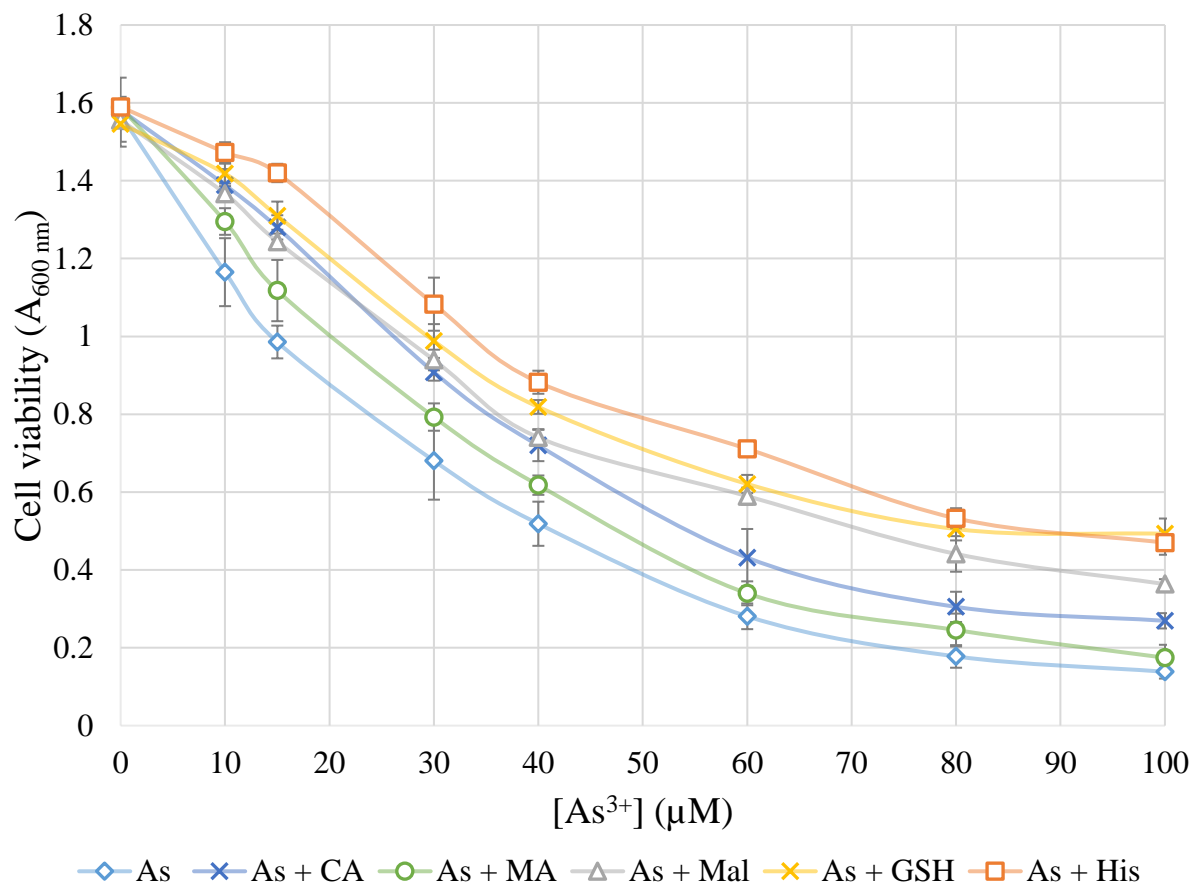
**Figure 5.8 Comparative cytotoxic response curves of aluminium treated with ligands.** The SH-SY5Y cells were exposed to increasing concentrations of Al<sup>3+</sup> with each ligand including citric acid (2000 µM), malic acid (2000 µM), maltol (3000 µM), glutathione (2000 µM) or histidine (2000 µM), then incubated for 6 hr. The cell viability was determined by MTT assay. The values are the result of triplicate experiments and SD values are represented as error bars.

For Al<sup>3+</sup>, the treatment of ligands protected SH-SY5Y cells against the Al<sup>3+</sup> metal toxicity effect. As seen in Figure 5.8, GSH was the most effective in reducing metal toxicity and increasing the viability of the SH-SY5Y cells under physiological conditions. The marked increase of cell viability by GSH against Al<sup>3+</sup>, is followed by citric acid, histidine, malic acid and maltol had the similar effect on Al<sup>3+</sup> toxicity.



**Figure 5.9 Comparative cytotoxic response curves chromium treated with ligands.** The SH-SY5Y cells were exposed to increasing concentrations of  $\text{CrO}_3$  with each ligand including citric acid (2000  $\mu\text{M}$ ), malic acid (2000  $\mu\text{M}$ ), maltol (3000  $\mu\text{M}$ ), glutathione (2000  $\mu\text{M}$ ) or histidine (2000  $\mu\text{M}$ ), then incubated for 6 hr. The cell viability was determined by MTT assay. The values are the result of triplicate experiments and SD values are represented as error bars.

The treatment of ligands significantly protected SH-SY5Y cells against the  $\text{Cr}^{6+}$  metal toxicity effect. As shown in Figure 5.9, GSH was the most effective in reducing metal toxicity and increasing the viability of the SH-SY5Y cells under physiological conditions. The marked increase of cell viability by GSH against  $\text{Cr}^{6+}$ , is followed by histidine, maltol, citric acid and then malic acid.



**Figure 5.10 Comparative cytotoxic response curves of arsenic treated with ligands.** The SH-SY5Y cells were exposed to increasing concentrations of NaAsO<sub>2</sub> with each ligand including citric acid (2000 µM), malic acid (2000 µM), maltol (3000 µM), glutathione (2000 µM) or histidine (2000 µM), then incubated for 6 hr. The cell viability was determined by MTT assay. The values are the result of triplicate experiments and SD values are represented as error bars.

For As<sup>3+</sup>, the addition of ligands drastically improved the cell viability under As<sup>3+</sup> exposure (Figure 5.10). Histidine and GSH are comparatively the most effective in increasing the cell viability. Additionally, the treatment of maltol, citric acid and malic acid also resulted in a marked increase of cell viability. Thus, demonstrating the ligands' ability to lower As<sup>3+</sup> toxicity under the physiological condition for SH-SY5Y cells.



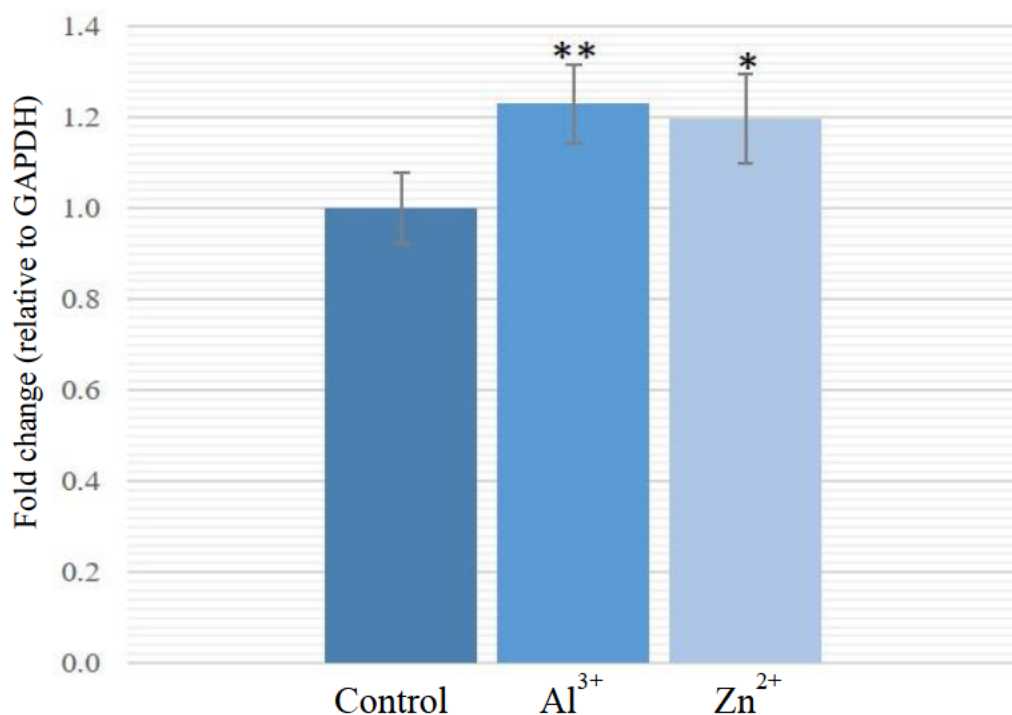
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### 5.2.3 Gene expression of APP under metal ion exposure and the impact of chelating ligands

Accumulation of A $\beta$  is thought to induce neuronal death in AD (Cherny et al., 2001; Walton and Wang, 2009; Pham et al., 2010). The over-production of A $\beta$  is facilitated by the upregulation of APP gene expression (Walton and Wang, 2009). Therefore, I investigated the effect of metal ions on the expression of APP gene. To detect the effect of Al<sup>3+</sup> and Zn<sup>2+</sup> on the mRNA expression of APP using qRT-PCR, I firstly treated SH-SY5Y cells with the IC<sub>50</sub> of Al<sup>3+</sup> and Zn<sup>2+</sup> respectively, then prepared the total RNA from the treated and untreated cells, followed by qRT-PCR quantification.

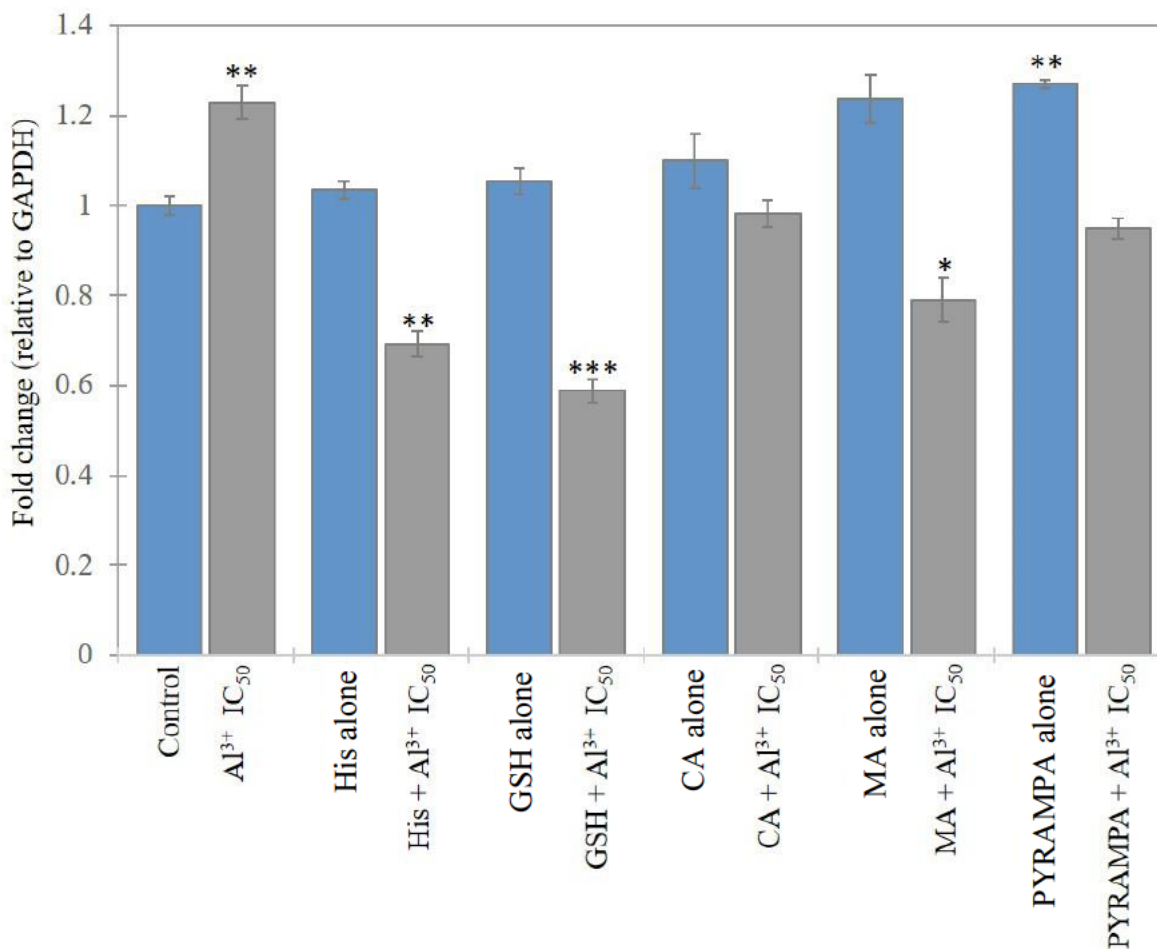
Relative expression of the APP gene was determined with reference to the expression of housekeeping gene GAPDH. A fold change of 1 indicates no change of the gene expression. Fold changes of >1 or <1 signifies the gene expression is increased or decreased, respectively.

Figure 5.11 demonstrates that the exposure of SH-SY5Y cells to Al<sup>3+</sup> or Zn<sup>2+</sup> led to the increase in the expression of APP gene ( $p < 0.01$  for Al<sup>3+</sup>,  $p < 0.05$  for Zn<sup>2+</sup>) by 22.9% and 19.7% respectively. Clearly, the presence of either Al<sup>3+</sup> or Zn<sup>2+</sup> resulted in significant upregulation of APP gene expression, albeit relative expression was slightly greater with Al<sup>3+</sup> compared to Zn<sup>2+</sup>.



**Figure 5.11 Relative expression of APP gene under aluminium or zinc exposure.** SH-SY5Y cells at 80% confluency were treated with IC<sub>50</sub> of Al(mal)<sub>3</sub> [1000 μM] and ZnSO<sub>4</sub> [180 μM]. Total RNA samples were harvested, prepared and reverse transcribed as described earlier in Chapter 2 after 6 hr incubation of the cells. Using TAQMAN<sup>®</sup> assays qRT-PCR was performed on cDNA. Relative expression was quantified against the house-keeping gene, GAPDH, using the 2<sup>-ΔΔCT</sup> method (Schmittgen & Livak, 2008). T-test compares the mean of fold change as relative expression of each treatment to the expression of the reference gene GAPDH. \* *p* < 0.05, \*\* *p* < 0.01. Data represents the average of three independent experiments conducted in triplicate. Error bars represent SEM.

To determine whether chelating ligands could negate the effect of metal ions by decreasing the metal-induced expression of APP gene, qRT-PCR was performed to determine the expression of APP gene in SH-SY5Y cells in the presence and absence of ligands with Al<sup>3+</sup>. The total RNA samples were prepared from the cells exposed to Al<sup>3+</sup> then treated with and without the ligands, according to the procedure outlined in Chapter 2.10. The qRT-PCR analysis was then conducted according to Chapter 2.10.3.



**Figure 5.12 Relative expression of APP gene in cells treated by ligands with and without Al<sup>3+</sup>.** Once SH-SY5Y cells reached 80% confluency, they were pretreated with the respective ligands followed by exposure to the IC<sub>50</sub> of Al(mal)<sub>3</sub> [1000 μM]. Total RNA samples were harvested, prepared and reverse transcribed as described earlier, after 6 hr incubation of the cells. Using TAQMAN® assays qRT-PCR was performed on cDNA. Relative expression was quantified relative to the house-keeping gene, GAPDH, using the 2<sup>-ΔΔCT</sup> method (Schmittgen & Livak, 2008). T-test compares the mean of fold change as relative expression of each treatment to the expression of the reference gene GAPDH. \* *p* < 0.05, \*\* *p* < 0.01, \*\*\* *p* < 0.001. Data represents the average of three biological replicates and each biological replicate has two technical replicates. Error bars represent SEM.

The results demonstrated that SH-SY5Y cells under Al<sup>3+</sup> treatment led to increase in the expression of APP gene (Figure 5.1). The expression of APP gene was significantly reduced by

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the treatment of chelating ligands (Figure 5.12), particularly histidine ( $p < 0.01$ ) and GSH ( $p < 0.001$ ). Under  $\text{Al}^{3+}$  exposure, the treatment of citric acid, malic acid ( $p < 0.05$ ) and PYRAMPA ( $p < 0.05$ ) down regulated the APP gene, to a lesser extent compared to histidine and GSH. The APP gene expression was reduced by 38.9% for histidine, 47.4% for GSH and 10.6% for citric acid against the respective controls. Compared to their controls, malic acid and PYRAMPA down regulated the gene expression of APP by 40.5% and 28.1%, respectively. However, the ligand treatment caused the upregulation of APP gene expression by 17.7% for malic acid and 21.0% for PYRAMPA compared to the medium control.

## 5.3 Discussion

### 5.3.1 Ligands ameliorated the metal toxicity

The titration with  $\text{Al}(\text{mal})_3$ ,  $\text{CuSO}_4$ ,  $\text{ZnSO}_4$ ,  $\text{CrO}_3$  and  $\text{NaAsO}_2$  (Figures 5.1 - 5.5) demonstrated that these metal ions have distinct cellular cytotoxicity. However, the treatment of neuronal cells with chelating ligands protected against the deleterious effects of these metal ions (Figures 5.7 - 5.11).

The ligand, GSH, improved the cell viability by 60.1% for  $\text{Al}^{3+}$ , 73.5% for  $\text{Cu}^{2+}$ , 34.8% for  $\text{Zn}^{2+}$ , 79.6% for  $\text{Cr}^{6+}$  and 57.8% for  $\text{As}^{3+}$ , demonstrating its detoxifying ability. The molecular mechanisms preventing metal toxicity by GSH appear to follow two routes, namely its coordinating structure and its antioxidant activity. GSH is a proven antioxidant which can maintain the redox balance against biometals ( $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ ) and toxic metal/metalloid ( $\text{Cr}^{6+}$ ,  $\text{Al}^{3+}$  and  $\text{As}^{3+}$ ) (Forman et al., 2009; Wegmuller et al., 2014; Hernandez et al., 2015; Saporito-

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Magriñá et al., 2018), and the thiol group in GSH can act as a key metal binding ligand (Hernandez et al., 2015). The effectiveness of GSH in metal detoxification signifies its therapeutic potential for metal dyshomeostasis of biometals as well as over-exposure of nonessential and toxic metals.

Likewise, the presence of histidine increased cell viability by 20.2% for  $\text{Al}^{3+}$ , 54.9% for  $\text{Cu}^{2+}$ , 4.4% for  $\text{Zn}^{2+}$ , 57.7% for  $\text{Cr}^{6+}$  and 70.1 % for  $\text{As}^{3+}$ . The underlying molecular mechanisms by which histidine exerts this protective function against metal ions is likely due to its coordinating property and its antioxidant activity. Histidine was demonstrated as the most effective hydroxyl radical scavenger out of several investigated amino acids (Zs.-Nagy and Floyd, 1984) and it is well established to be able to bind to excess divalent metal ions (e.g.  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$ ) (Van Campen and Gross, 1969; Sandstrom et al., 1985). Therapeutic use of histidine was explored by Matsui (2017), who found that the supplementation of histidine, at concentrations higher than 10 mM, can be effective in maintaining mitochondrial function by suppressing oxidative stress. Treatment of histidine increased both nitric oxide synthases (NOS) and total antioxidant capacity in neural tissue of rats (Milewski et al., 2015).

Maltol improved cell viability by 15.3% for  $\text{Al}^{3+}$ , 11.9% for  $\text{Cu}^{2+}$ , 39.7% for  $\text{Cr}^{6+}$  and 42.7% for  $\text{As}^{3+}$ . Intriguingly, it could not improve the cell viability under zinc treatment, rather exacerbating the cytotoxicity of  $\text{Zn}^{2+}$ , with a decrease of 13.4% cell viability compared to zinc alone control. As a membrane-permeable chelator, maltol was found to facilitate the uptake of  $\text{Zn}^{2+}$  in erythrocytes (Brand and Kleineke, 1996), which explains the above finding in this study. Further evidence demonstrates that maltol increases the accumulation of  $\text{Zn}^{2+}$  in the cells in comparison to zinc in the form of zinc acetate, zinc chloride or zinc sulphate (Hider et al., 1990). The decrease in cell viability caused by maltol under zinc treatment is likely due to the maltol

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facilitating the zinc uptake and in turn increasing zinc toxicity. With maltol exacerbating effect in  $Zn^{2+}$  toxicity as well as its lower improvement in cell viability for  $Al^{3+}$  and  $Cu^{2+}$ , it is reasonable I believe to conclude that maltol might not be an ideal chelating agent for therapeutic purpose against  $Al^{3+}$ ,  $Cu^{2+}$  and  $Zn^{2+}$ . However, the findings demonstrate that maltol is potentially useful against the toxic metal/metalloids,  $Cr^{6+}$  and  $As^{3+}$ . Thompson (2006) investigated maltol as a therapeutic agent for toxic metalloids. Maltol as well as its close analogues hydroxypyrones and hydroxypyridinones have many medicinal applications, from the ability to remove or supplement metal ion absorption (Barrand et al., 1987), to forming complexes in contrast agents used in magnetic resonance imaging (MRI), and chemotherapeutic agents (Santos, 2002). Maltol and the analogues were demonstrated to have high affinity with a range of metal ions (Santos, 2002; Yu et al., 2002; Kaneko et al., 2004).

Citric acid seemed to be effective in protecting cell against metal ion toxicity with an increase in cell viability of 39.5% for  $Al^{3+}$ , 24.9% for  $Cu^{2+}$ , 34.8% for  $Cr^{6+}$  and 38.8% for  $As^{3+}$ . It is known that citric acid can stimulate plant growth, and enhance phytoextraction of metal ions (Brand and Kleineke, 1996), it is a better alternative environmentally to synthetic chelator EDTA (Ethylenediaminetetraacetic acid) in the removal of heavy metals (Chaturvedi et al., 2015). It also acts as a superoxide anion scavenger (Van Den Berg et al., 2003) and in heavy metals contaminated sludge acts as a chemical extracting agent (Zhang et al., 2008). However, citric acid requires a low pH of 3 to be effective in extracting metal ions from the environment, which is not physiologically possible (del Dacera and Babel, 2006). In contrast, citric acid exacerbates  $Zn^{2+}$  toxicity by decreasing the cell viability (Figure 5.8). This might be explained by its ability to facilitate zinc uptake as citric acid is known to increase zinc absorption (Wegmuller et al., 2014). While citric acid was found to prevent  $Al^{3+}$  from binding to the serum transferrin protein in humans, its metal chelation required a substantial excess of citrate (Harris

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et al., 2003). Also CD (circular dichroism) titration studies indicated citric acid could not reverse the  $\beta$ -pleated sheet conformation in A $\beta$  aggregation caused by Al<sup>3+</sup> (Samanta et al., 2018). Therefore, considering my findings together with the previous literature, citric acid may not be an effective agent for AD patient in reducing metal overload. However, as citric acid is a harmless natural compound, it should be beneficial no matter how infinitesimal such benefit is.

Malic acid increased the cell viability by 19.4% for Al<sup>3+</sup>, 24.9% for Cu<sup>2+</sup>, 34.8% for Cr<sup>6+</sup>, 38.8% for As<sup>3+</sup> and decreased the cell viability by 40.5% for Zn<sup>2+</sup>. The increase in the toxicity of zinc by malic acid is due to the ability of malic acid to increase Zn<sup>2+</sup> absorption (Saunders et al., 2013). Malic acid can readily reduce Cr<sup>6+</sup> to Cr<sup>3+</sup> (Zhong and Yang, 2012) and the acid exhibits higher affinity for trivalent metals (Jones, 1998). This notion is also supported by the relative increase of cell viability in As<sup>3+</sup> and Cr<sup>6+</sup> treated cells I have found.

### **5.3.2 The effect of Al<sup>3+</sup> and Zn<sup>2+</sup> on APP gene expression in SH-SY5Y cells**

APP gene encodes amyloid precursor protein (APP) (Ghasemi-Fasaei, 2012). The finding (Figure 5.11) demonstrates the relative expression of APP gene is upregulated in the presence of Al<sup>3+</sup>, which likely results in more amyloid precursor protein in neuronal cells. Walton and Wang (2009) also found similar results in animal models, which shows that Al<sup>3+</sup> consistently derived from chronic oral ingestion resulted in upregulation of APP gene expression, and an increased APP deposition compared to the brains of the cognitively-intact controls. Therefore, the finding in this study adds further support to the role of Al<sup>3+</sup> in the development of AD.

Yet while aluminium is the main metal of interest in this study, its involvement in AD remains controversial. Metals, Al<sup>3+</sup>, Zn<sup>2+</sup>, Cu<sup>2+</sup> and Fe<sup>2+/3+</sup>, have been found in AD brains (Exley et al.,

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2012; House et al., 2012). In the brains of the cognitively-deteriorated rats, aluminium was accumulated in the cores of senile plaque of cortical neurons and astrocytes in the hippocampus (Walton and Wang, 2009). *In vitro* studies by Kawahara et al. (1994) found  $Al^{3+}$  readily promotes the aggregation of  $A\beta$ , which is supported by my findings in Chapter 3. Not only does  $Al^{3+}$  increase the relative expression of the APP gene,  $Zn^{2+}$  also elevates the expression of APP, albeit to a lesser degree than  $Al^{3+}$  (Figure 5.11). The increase of intracellular  $Zn^{2+}$  by genetic or pharmacological methods in different cellular and *in vivo* systems consistently affect the production of  $A\beta$  and APP (House et al., 2004; Lovell, 2009; Bolognin et al., 2011). Although  $Zn^{2+}$  may play a role in multiple pathways relevant to AD. It is significant that APP production is regulated by Zn-containing transcription factors (NF- $\kappa$ B and sp1) and  $Zn^{2+}$  is essential for their activity (Zabel et al., 1991; Zeng et al., 1991). In addition to the effect of  $Zn^{2+}$  on APP expression,  $Zn^{2+}$  also elevates processing of the protein. Normal non-amyloidogenic processing of APP by cleavage in the Golgi complex, leads to formation of sAPP $\alpha$ , a neurotrophic factor (Wilquet and De Strooper, 2004).  $Zn^{2+}$  inhibits the  $\alpha$ -secretase mediated sAPP $\alpha$  formation pathway and increase generation of  $A\beta_{40}$  and  $A\beta_{42}$  (Bush et al., 1994). Altogether, these cellular and physiological observations strongly indicate that  $Al^{3+}$  and  $Zn^{2+}$  play important roles in APP production,  $A\beta$  aggregation and AD pathology.

### **5.3.3 Chelating ligand treatment decreases metal-induced APP gene expression.**

Finally, the effect of chelating ligands on metal-induced APP gene expression as I have reported was consequential, the ligands bring down the expression of the APP under  $Al^{3+}$  (Figure 5.12). GSH exhibited the greater decrease in relative expression of APP gene. This is in agreement with the study by Vinothkumar (2017) who found that the addition of GSH could lower  $A\beta_{42}$



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expression. Yet another important aspect of the potential therapeutic value for AD in addition to its ability to reduce metal toxicity.

Histidine treatment also lowers the expression of APP gene in  $Al^{3+}$  treated cells. Little is known about how histidine lowers APP gene expression. Based on the metal chelating and antioxidant ability of the amino acid previously discussed, it is likely it functions similarly to GSH. Histidine is yet another potential therapeutic ligand.

In regards to the organic acids, citric acid and malic acid, they did down regulate APP in  $Al^{3+}$  treated cells, compared to the respective control. However, they alone, while not statistically significant, upregulated APP expression compared to the control. Similarly, PYRAMPA alone significantly increased the expression of APP, compared to the control. While citric acid, malic acid and PYRAMPA can chelate metals and down-regulate APP gene expression, this study indicates they are not as effective as GSH and histidine. With a complex neurodegenerative disease such as AD, multiple causative factors are involved. We need to develop therapeutic agents which can target multiple causative factors. Based on this study, GSH and histidine demonstrate multiple beneficial effects in metal toxicity and APP gene expression. They should be further explored in future studies for their potential in treatment of AD.

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## 5.4 Conclusion

The findings demonstrate that GSH and histidine significantly increased the cell viability in the presence of  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$ ,  $\text{Al}^{3+}$ ,  $\text{Cr}^{6+}$  and  $\text{As}^{3+}$ . It is shown, for the first time, that  $\text{Al}^{3+}$  upregulates the expression of the APP gene in human neuronal cells, and that GSH and histidine can lower the gene expression of APP induced by  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$ . My results provide more evidence for the involvement of metal ions such as  $\text{Al}^{3+}$  and  $\text{Zn}^{2+}$  in AD development, as they can upregulate APP gene expression in addition to their direct binding to the  $\text{A}\beta$  peptide. Furthermore, the findings demonstrate that the ligands GSH and histidine are effective in detoxifying metal ions and negate the metal-induced APP gene expression, clearly shows their strong potential in combatting AD.

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## 6 CONCLUDING DISCUSSION

Alzheimer's disease (AD) is a devastating neurodegenerative condition that poses major challenges to human health, with a prevalence of around 35 million patients worldwide (Atrián-Blasco et al., 2017). In order to better understand the roles of metal ion in A $\beta$  aggregation and in APP expression, I have focused on the molecular interaction of metal ions with A $\beta$  peptide, the interaction of ligands with metal ions, and the effect of metal ions on the expression of APP gene which encodes amyloid precursor protein. The main discoveries presented in this PhD analysis are:

1. the determination of the solution structure of synthetic A $\beta$ <sub>1-28</sub> complexed with Al<sup>3+</sup> by NMR spectroscopy,
2. the characterisation of the metal binding sites on A $\beta$ <sub>1-28</sub>,
3. the upregulation of the expression of APP gene by Al<sup>3+</sup>, and
4. the presence of metal chelating ligands can lower the metal-induced APP gene expression, which should subsequently reduce the accumulation of A $\beta$ .

### 6.1 Al<sup>3+</sup> coordinates to A $\beta$ triggering structural modifications and aggregation

Exposure to Al<sup>3+</sup> results in A $\beta$  aggregation and neurofibrillary degeneration (Langui et al., 1990; Bush, 2003; Exley, 2006) and increased Al<sup>3+</sup> levels can lead to degenerating neurons in AD (Vasudevaraju et al., 2008; Exley and Vickers, 2014). Nevertheless, the role of Al<sup>3+</sup> in AD continues to be controversial although accumulating evidence demonstrates that Al<sup>3+</sup> is linked

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to AD (Kawahara and Kato-Negishi, 2011). I have identified specific  $\text{Al}^{3+}$  binding sites within  $\text{A}\beta$  peptide, which provides further evidence for the association of  $\text{Al}^{3+}$  with AD.

The binding of  $\text{Al}^{3+}$  to  $\text{A}\beta$  is manifested by the exchange phenomena which are evident in the  $^1\text{H}$  NMR and 2D NMR data in Chapter 3. Comprehensive information for  $\text{A}\beta$  coordination to  $\text{Al}^{3+}$  was presented in Figure 3.13, and further verified by  $\text{A}\beta$  analogues. The amino acid residues involved in the  $\text{Al}^{3+}$  coordination was deduced from the TOCSY NMR. As indicated by Figure 3.3, the  $\text{A}\beta_{1-28}$  can coordinate to  $\text{Al}^{3+}$  via the imidazole NH group of His6, His13, His14 and the N-terminal amino group or Asp1. As demonstrated by the titration of  $\text{Al}^{3+}$  with  $\text{A}\beta_{1-28}$ , the CSP values of the  $\alpha\text{H}$  Asp1 at 4.09ppm; His6 at 4.51ppm; His13 at 4.57ppm and His14 at 4.52 ppm were significant compared to other residues.

The use of the  $\text{A}\beta$  analogue, H6,13,14A (where the His residues at positions 6, 13 and 14 are replaced by alanine residues) demonstrated that only Asp1  $\alpha\text{H}$  in H6,13,14A was significantly affected by  $\text{Al}^{3+}$  addition. Titration of H6,13,14A produced a slow exchange, indicating potential secondary binding sites of  $\text{Al}^{3+}$  within  $\text{A}\beta$ . Previous studies also suggest additional residues may interact specifically with  $\text{Al}^{3+}$  such as Gly9, Tyr10 and Glu11 (Narayan et al., 2013). A computational simulation identified Glu3, Asp7, and Glu11 as likely site for  $\text{Cu}^{2+}$  and  $\text{Zn}^{2+}$  binding (Mujika et al., 2017). So, these negatively charged residues at physiological pH might also be able to bind  $\text{Al}^{3+}$ .

The stoichiometry of  $\text{Al}^{3+}$  to  $\text{A}\beta_{1-28}$  was 1:1, resulting in the proposed structural mode of  $\text{Al}^{3+}$  coordination to  $\text{A}\beta_{1-28}$ , I presented in Figure 3.13. I found that  $\text{Al}^{3+}$  has a similar affinity to  $\text{A}\beta$  compared to  $\text{Zn}^{2+}$ . It is extensively known that  $\text{Zn}^{2+}$  is involved in  $\text{A}\beta$  aggregation (Arispe et al., 1996; Danielsson et al., 2007; Bolognin et al., 2011; Craddock et al., 2012). Zinc was expected to bind more strongly to  $\text{A}\beta$ . Intriguingly, the binding affinity ( $K_D$ ) of  $0.35 \pm 0.03$  mM

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for  $\text{Al}^{3+}$  to  $\text{A}\beta_{1-28}$  is very similar to the  $K_D$  of  $\text{Zn}^{2+}$ - $\text{A}\beta_{1-28}$  of  $0.28 \pm 0.03$  mM (Alies et al., 2016). Dissociation constant value for  $\text{Al}^{3+}$  has been reported for the first time.

By using  $\text{A}\beta_{1-28}$  and its analogues ( $\text{A}\beta_{1-28}$  H6A,  $\text{A}\beta_{1-28}$  H13A,  $\text{A}\beta_{1-28}$  H14A and  $\text{A}\beta_{1-28}$  H6,13,14A), the molecular details for the interaction of  $\text{A}\beta_{1-28}$  and  $\text{Al}^{3+}$  via histidine have been delineated for the first time. As shown in Figure 3.13, the  $\text{Al}^{3+}$  ion is coordinated through the imidazole nitrogens of the three histidines, His6, His13, and His14. The N-terminal Asp1 and Gly25/Lys28. His13 and His14 coordinate in equilibrium for one binding position, His13 may be the preferred ligand in comparison to His14. These findings also suggest that His13 and His14 compete for one binding site due to their steric encumbrance (Faller and Hureau, 2009; Atrián-Blasco et al., 2017). The preference for His13 is supported by the finding that the methylation of His13 lowers the affinity for metal ions and thus depletes aggregation (Tickler et al., 2005; Smith et al., 2006). Interestingly naked mole-rats (*Heterocephalus glaber*) show greater homology to the human sequence, compared to the rat models (*Rattus norvegicus*), with only the arginine substitution for His13 (Andreeva et al., 2017). This subtle one residue variation, resulted in the interspecies difference in  $\text{A}\beta$  aggregation propensity and neurotoxicity (Smith et al., 2015). His13 is involved in the early formation of N-terminal  $\beta$ -sheet, found in amyloid plaques (Duff et al., 1996). With similar  $\text{A}\beta$  concentrations as the triple-transgenic mouse model (3xTg-AD), the naked mole-rats indicate no extracellular amyloid plaque formation or AD-like symptoms (Edrey et al., 2013). Therefore understandably from the importance of His13, this residue has even been targeted in AD therapeutic agents (Diaz et al., 2006).

The metal ion coordination with  $\text{A}\beta$  affects the secondary structure of  $\text{A}\beta$ . As indicated by calculating the CSI (Figure 3.9), the addition of  $\text{Al}^{3+}$  caused the structural transition of soluble

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A $\beta$  from a primarily random coil structure to a proto-filaments and  $\beta$ -sheet conformation which is the key structural feature in aggregated A $\beta$ . Between Glu11 to Gln15 a  $\beta$ -sheet structure appears, supporting the notion that Al<sup>3+</sup> facilitates this structural transition important for A $\beta$  aggregation (Serpell, 2000). These findings together demonstrate that Al<sup>3+</sup> is interacting with A $\beta$  peptide and therefore is likely involved in AD pathogenesis.

Moving on to the effect of metal ions on A $\beta$  at the gene level, I have asked whether metal ions can increase the expression of the APP gene. An upregulation of APP gene would most likely mean an increase of A $\beta$  production in neuronal cells. The findings in Figure 5.11 demonstrate that the relative expression of APP gene is upregulated in the presence of Al<sup>3+</sup> and Zn<sup>2+</sup>, suggesting that these two metal ions likely induce higher level of amyloid precursor protein and consequently more A $\beta$ . The upregulation of APP gene by metal ions is a novel finding for human neuronal cells, although similar result was found in animal models (Lin et al., 2008; Walton and Wang, 2009). Possible modulation of the production and metabolism of A $\beta$  by means of Zn<sup>2+</sup>-containing  $\alpha$ -secretase was speculated in a previous review (Kepp, 2012), but no concrete evidence was provided. The presence of Cu<sup>2+</sup> was also found to increase both the dimerization of APP and the extracellular release of A $\beta$  (Noda et al., 2013). The current finding that Al<sup>3+</sup> and Zn<sup>2+</sup> upregulate the expression of APP gene in SH-SY5Y neuronal cells provides, for the first time, the experimental evidence for their potential role in regulating APP gene expression.

Taken together the findings of Chapter 3 and 5, as summarised above, demonstrate that metal ions are involved in the interaction with A $\beta$  peptide and in the upregulation of APP gene, they therefore could play a role in A $\beta$  accumulation and aggregation. My work thus supports the unification of the amyloid cascade and metal ion hypotheses for AD. The amyloid cascade

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hypothesis suggests that aggregation of the A $\beta$  peptide into soluble oligomers and senile plaques is the main driver of AD (Hardy and Higgins, 1992; Karran et al., 2011; Barage and Sonawane, 2015), while the metal ion hypothesis suggests that disruption of metal ion homeostasis promotes A $\beta$  aggregation and onset of AD (Zatta et al., 2009; Tabner et al., 2011; Kepp, 2012). Here in this study, the collective findings demonstrate for the first time that metal ions are involved in both metal-A $\beta$  interaction and upregulation of the APP gene expression which in turn may lead to higher A $\beta$  production. These findings suggest that metal ions and A $\beta$  are partners in crime for the pathogenesis of AD.

Whether Al<sup>3+</sup> cause A $\beta$  aggregation remains the subject of intense debate. However, I have shown that specific binding sites exist for Al<sup>3+</sup>, and that A $\beta$  undergoes primary and secondary structural changes in the presence of Al<sup>3+</sup>. Finally, I have demonstrated the gene expression of APP is upregulated in the presence of Al<sup>3+</sup> as well as Zn<sup>2+</sup>. These findings support the very strong link between Al<sup>3+</sup> and A $\beta$ .

## **6.2 Metal chelating ligands antagonise the role of metal ions in APP gene expression**

The aetiology of AD is complex. The ability of Al<sup>3+</sup> to bind to A $\beta$  as described in Chapter 3, as well as the previously established binding of Cu<sup>2+</sup>, Zn<sup>2+</sup> and Fe<sup>2+/3+</sup> to A $\beta$  (Syme et al., 2004; Danielsson et al., 2007; Bousejra-El Garah et al., 2011), points to the involvement of metal ions in AD pathogenesis. This study also shows the presence of metal ions can cause conformational changes in the A $\beta$ , which supports the notion that metal ions are linked to AD. Therefore, development of potential metal chelators for AD treatment is a vital approach in current and

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future researches. With AD having complex and multi-causative factors, multiple approaches are likely the effective way forward in combating the disease. Therefore, I have investigated metal chelating ligands to see if they can antagonise metal ions in their cytotoxicity and in their role of upregulating APP gene expression.

The chelating ligands, GSH, histidine, maltol, citric acid and malic acid, did protect against the deleterious effects of metal ions. Histidine and GSH drastically increased cell viability in the metal induced cytotoxicity. GSH improving the cell viability by 60.1% for  $Al^{3+}$ , 73.5% for  $Cu^{2+}$ , 34.8% for  $Zn^{2+}$ , 79.6% for  $Cr^{6+}$  and 57.8% for  $As^{3+}$ . Histidine increased cell viability by 20.2% for  $Al^{3+}$ , 54.9% for  $Cu^{2+}$ , 4.4% for  $Zn^{2+}$ , 57.7% for  $Cr^{6+}$  and 70.1% for  $As^{3+}$ . The potential for histidine as a metal chelator to antagonise metal's binding to  $A\beta$  is ironically an epitome of fighting fire with fire, since it is mainly the histidine residues in  $A\beta$  that confer its metal binding. The protective activity of histidine and GSH are likely due to their coordination structure and antioxidant functionality, which incidentally addresses two causative AD factors, i.e. metal ions and oxidative stress. Histidine can bind to metals in a cellular environment with significantly less steric hindrance compared to  $A\beta$ . Histidine can also reduce oxidative stress and scavenge hydroxyl radicals (Zs.-Nagy and Floyd, 1984; Milewski et al., 2015). The structure of GSH contains donating atoms in the form of two carbonyls, an amide and thiol group. The number and diversity of coordination sites within GSH allow it to act as an efficient metal binding ligand. The antioxidant ability of GSH is well known (Forman et al., 2009; Wegmuller et al., 2014; Hernandez et al., 2015; Saporito-Magriñá et al., 2018). Therefore, these ligands can be used to combat  $A\beta$  aggregation and reduce reactive oxygen species. Of the tested ligands, histidine and GSH were the only molecules that did not increase the toxicity of  $Zn^{2+}$  (Figure 5.7). This suggests both histidine and GSH would not assist the transport of  $Zn^{2+}$  into the cell, in contrast to the other ligands such as maltol (Brand and Kleineke, 1996), citric acid



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(Wegmuller et al., 2014) and malic acid (Saunders et al., 2013), which facilitate the metal uptake. The usefulness of histidine and GSH as the ideal metal ligands is further supported by their ability to reduce the expression of APP gene. Whilst the mechanism of histidine and GSH in reducing APP gene expression is not clear, the finding here demonstrates their potential for AD treatment. Future studies on this front is warranted.

### **6.3 The significance and prospective directions**

Up until the present, the role of A $\beta$  and metal ion in AD pathogenesis has been controversial. The findings I have reported demonstrate that Al<sup>3+</sup> upregulates the expression of APP gene and binds to A $\beta$  peptide. It also promotes the structural transition of soluble A $\beta$  from a primarily random coil structure to the  $\beta$ -sheet conformation, a key feature of the aggregated A $\beta$ . The data provide meaningful evidence for likely involvement of Al<sup>3+</sup> in A $\beta$  aggregation and AD development. Further studies on this front should be continued, at both molecular and clinical levels.

This thesis further characterised a panel of metal chelating ligands (GSH, histidine, maltol, citric acid and malic acid) which may be useful to combating AD and heavy metal toxicity. They mediate the drastic reduction of metal induced cytotoxicity. Specifically, GSH and histidine showed the highest protective ability. GSH and histidine also reduced the increase in APP gene expression caused by the presence of Al<sup>3+</sup> and Zn<sup>2+</sup>. This discovery has significance in the development of AD therapeutics. The underlying molecular mechanism for the role GSH and histidine play in reducing APP gene expression should be investigated in the future.

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In conclusion, I have provided significant evidence for the interaction of metal ions with A $\beta$ . The molecular details of Al<sup>3+</sup> interaction with A $\beta$  and its role in upregulating APP gene expression are novel. The findings related to the metal chelating ligands histidine and GSH are consequential, and their potential in AD treatment should be explored in future studies.

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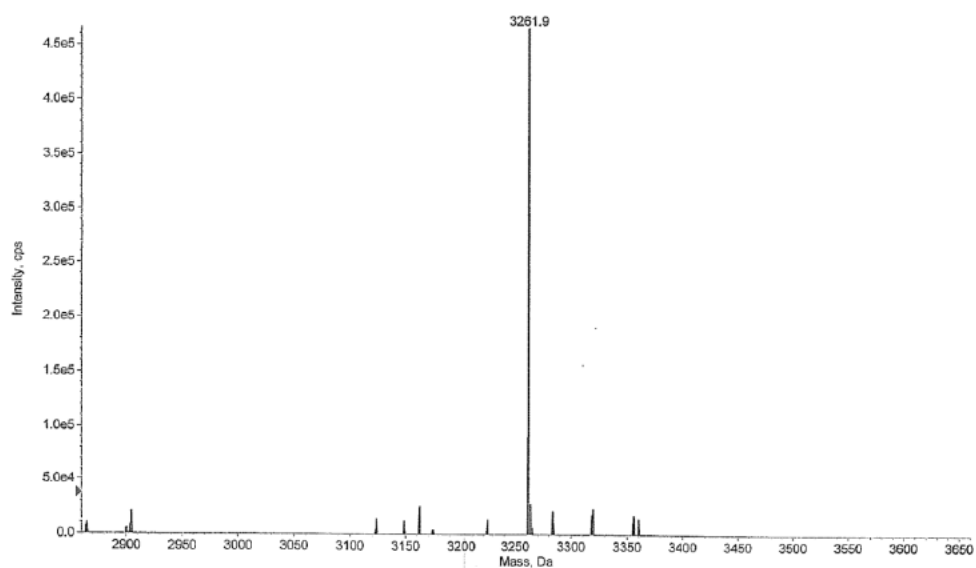
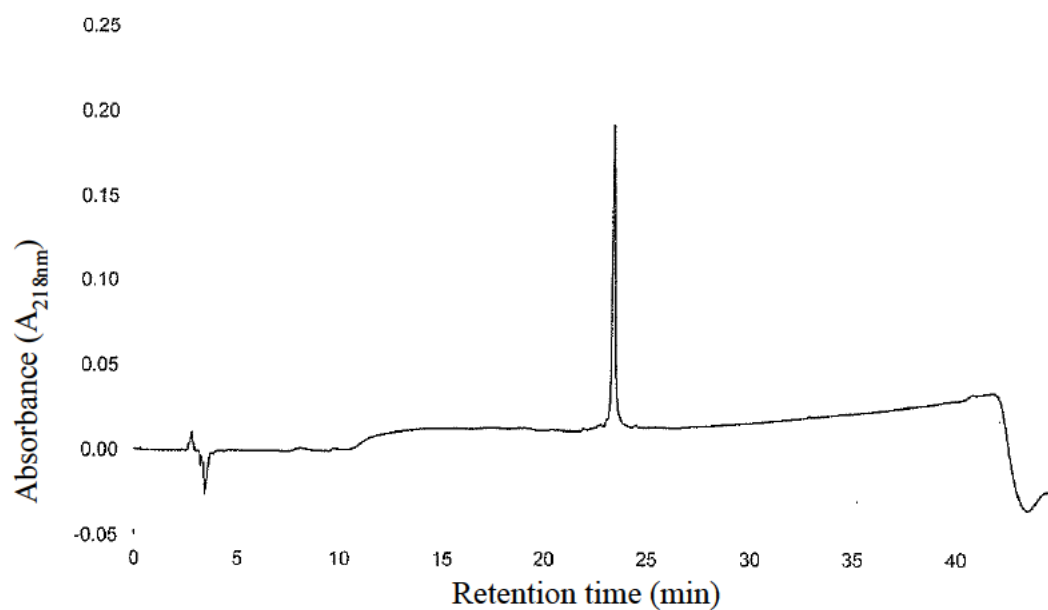
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## Appendices

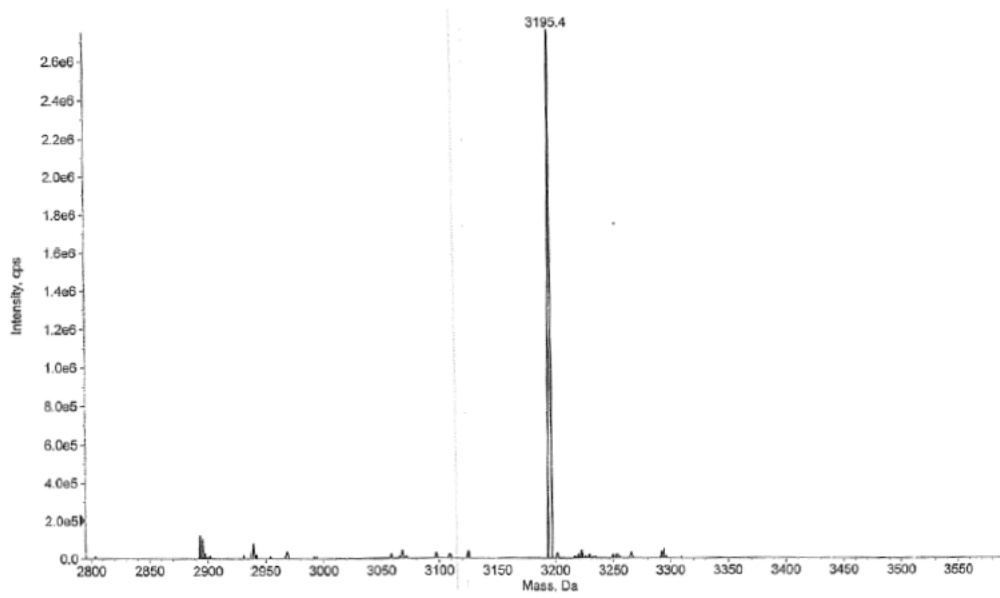
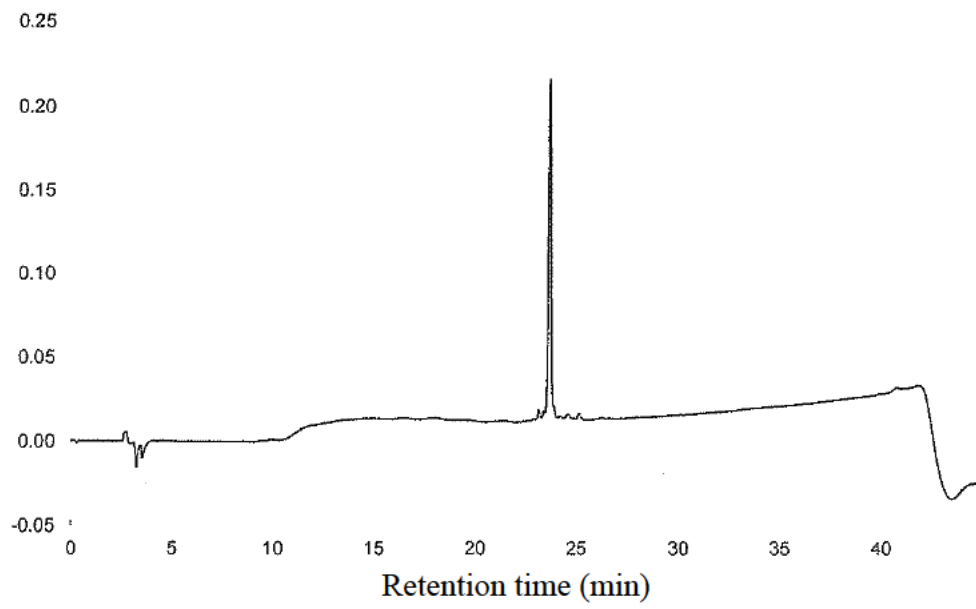
### Appendix A: HPLC chromatogram and MS analysis of A $\beta$ <sub>1-28</sub> peptides and A $\beta$ analogues

A $\beta$ <sub>1-28</sub> peptide



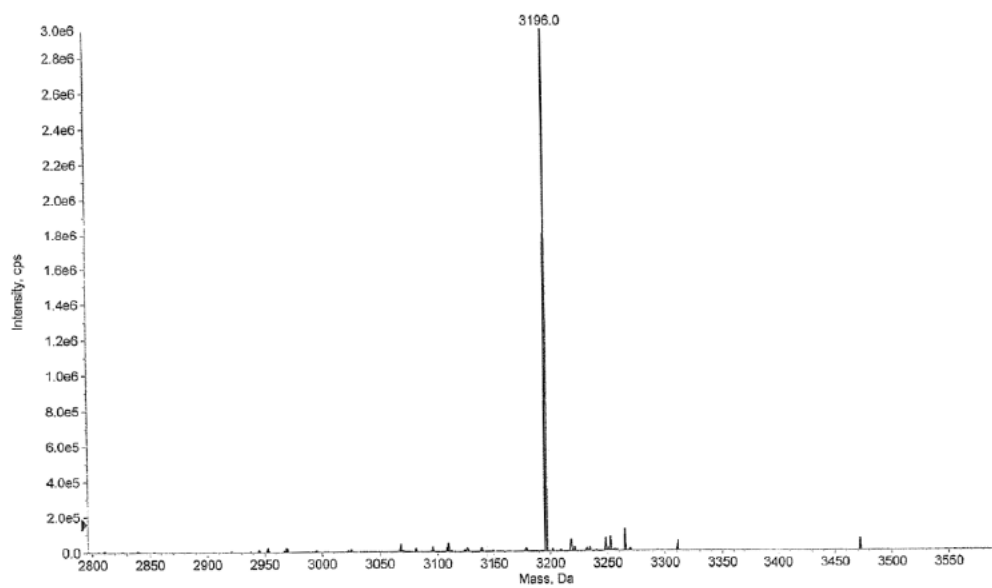
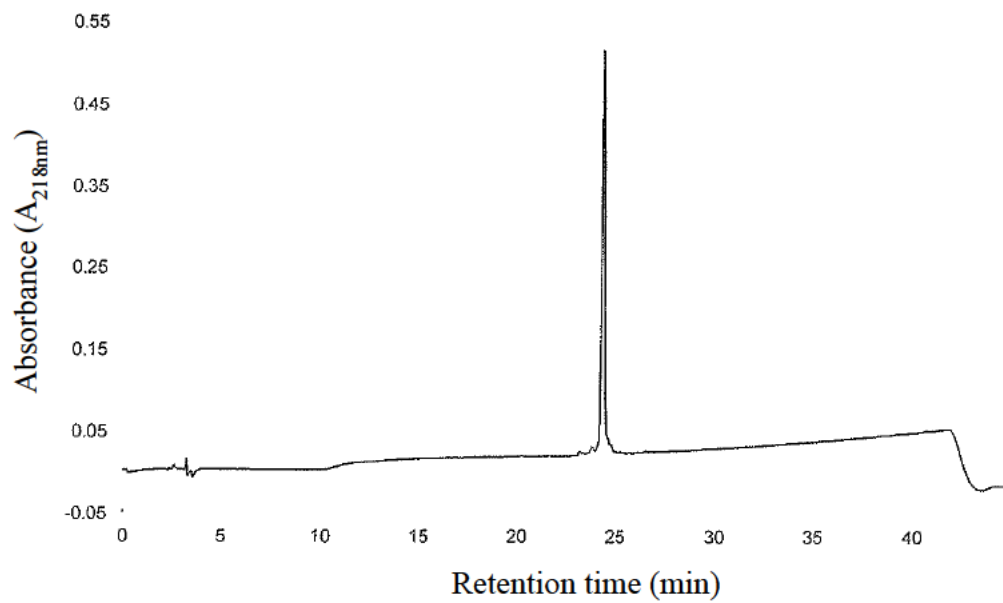
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A $\beta$  H6A analogue



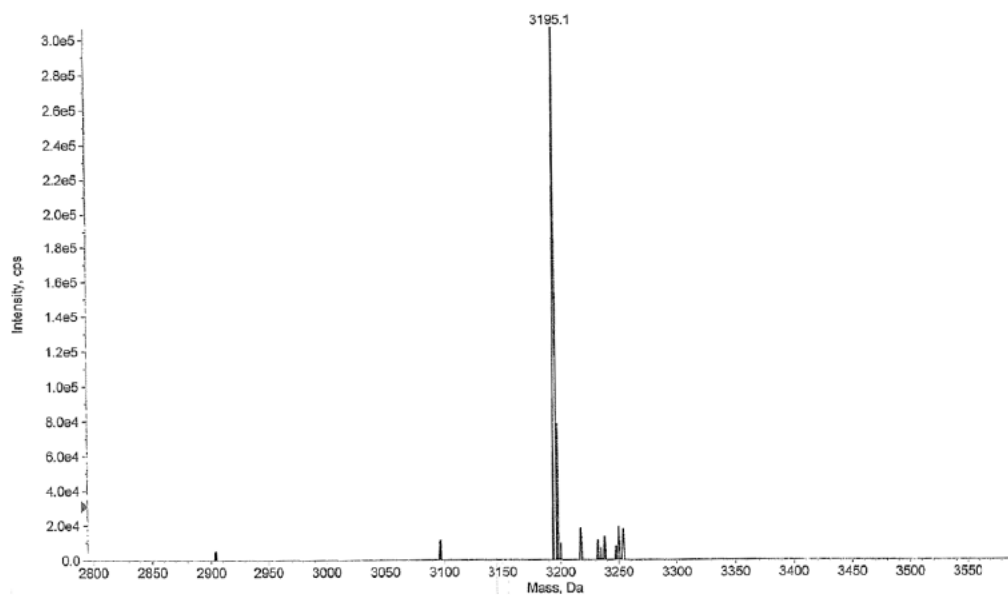
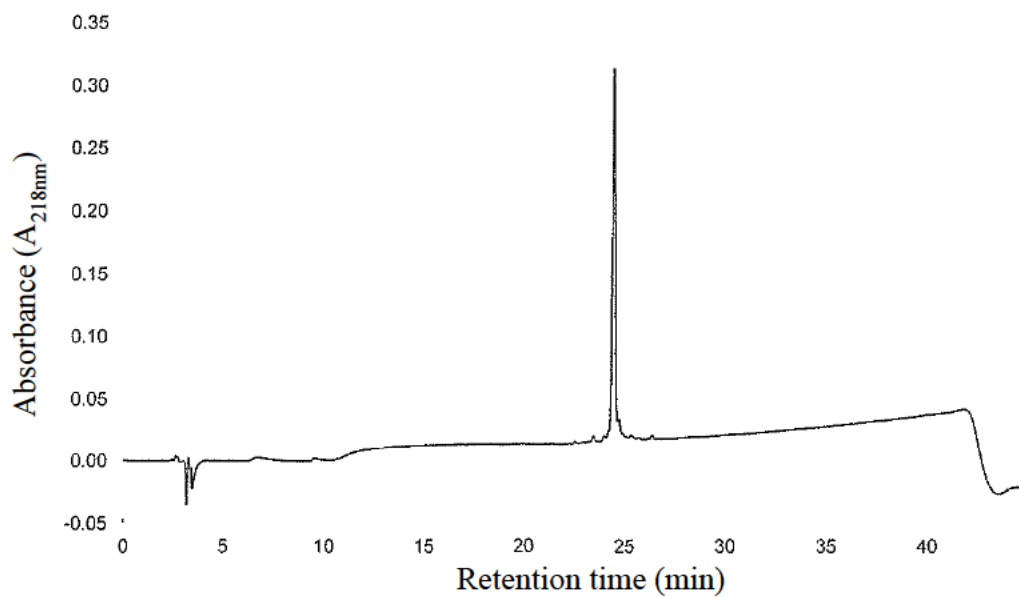
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A $\beta$  H13A analogue



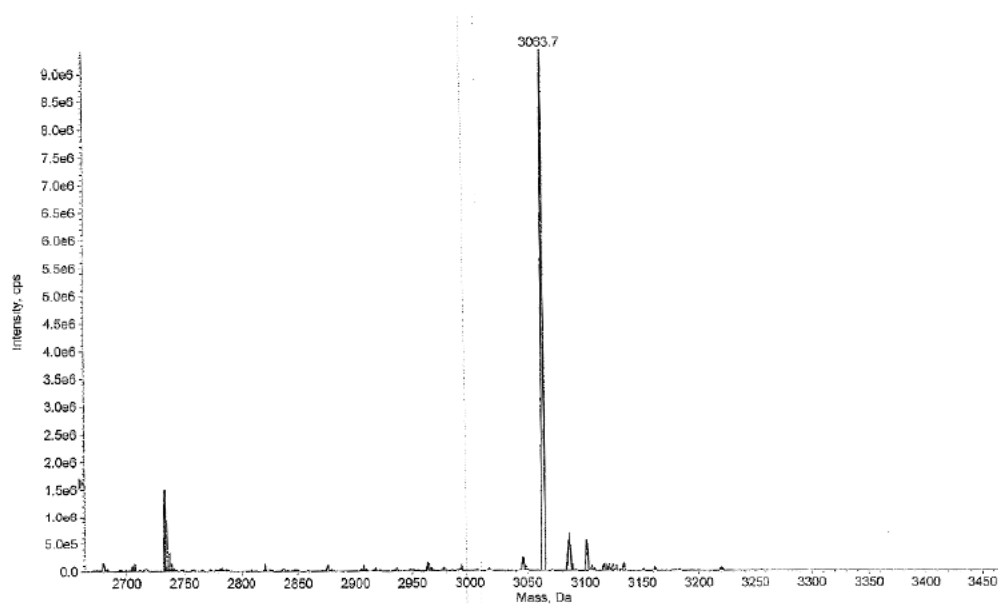
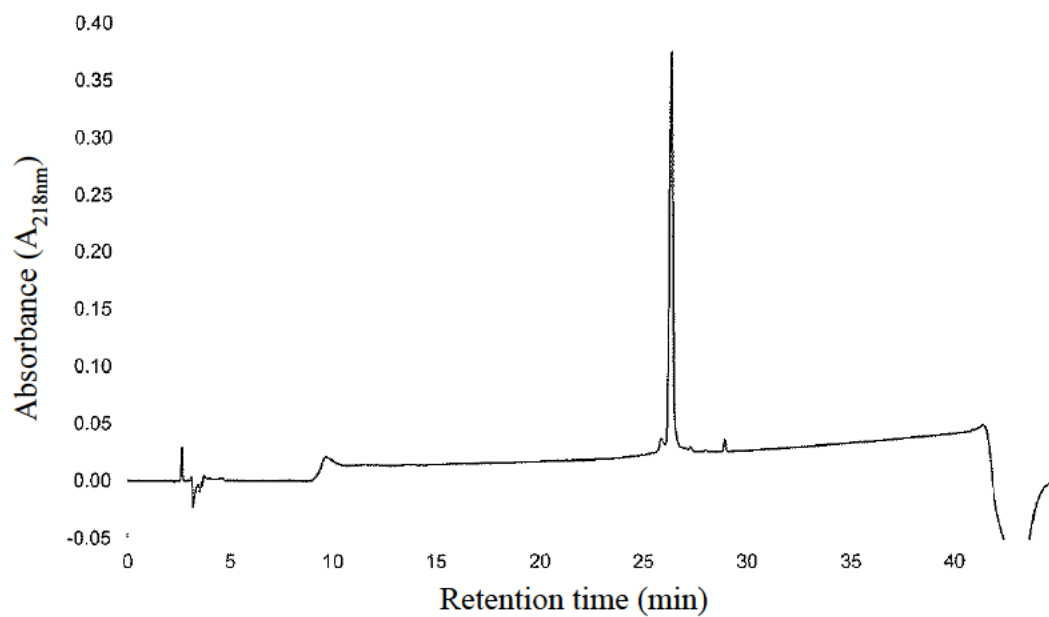
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A $\beta$  H14A analogue



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A $\beta$  H6,13,14A analogue

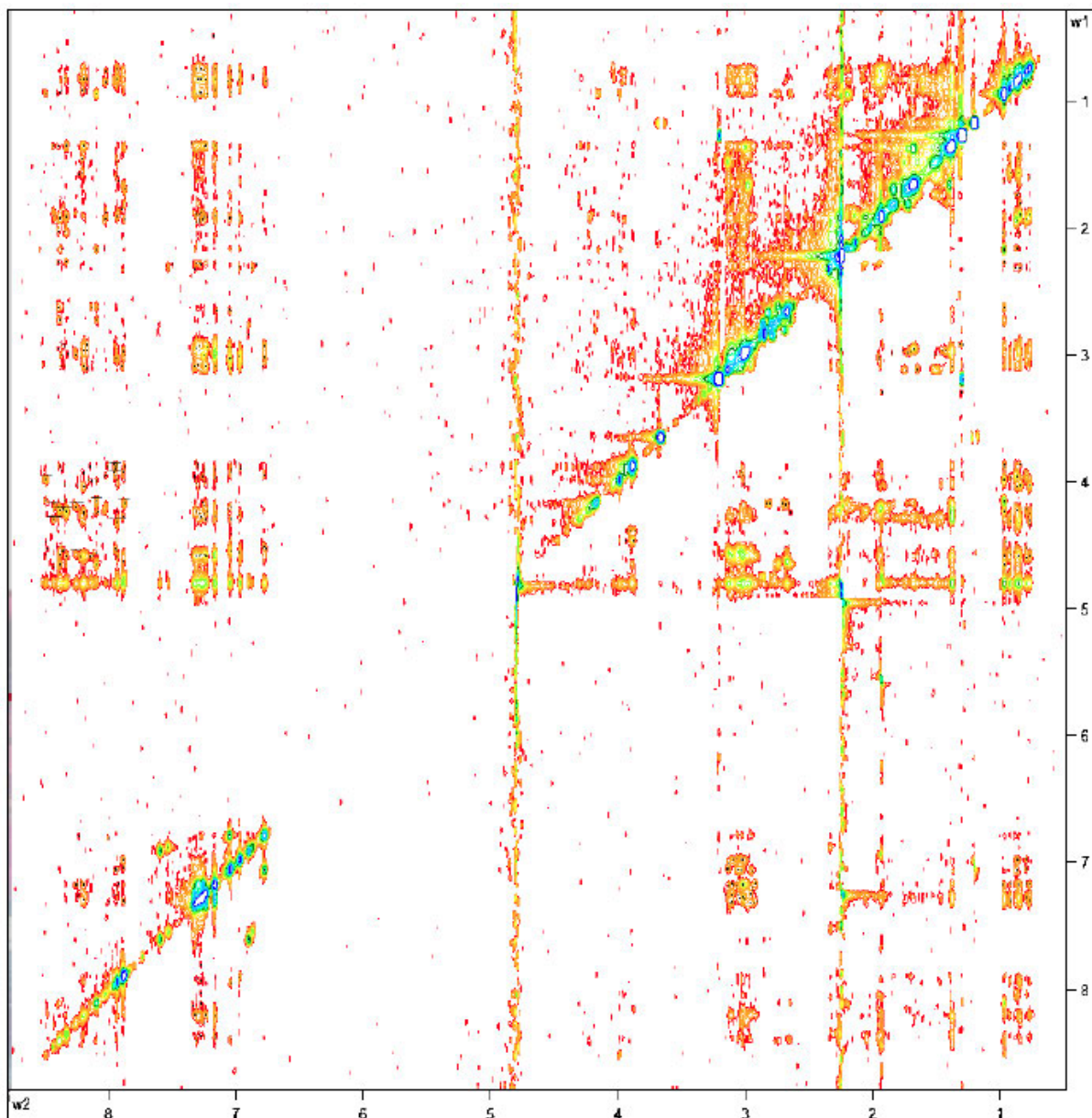




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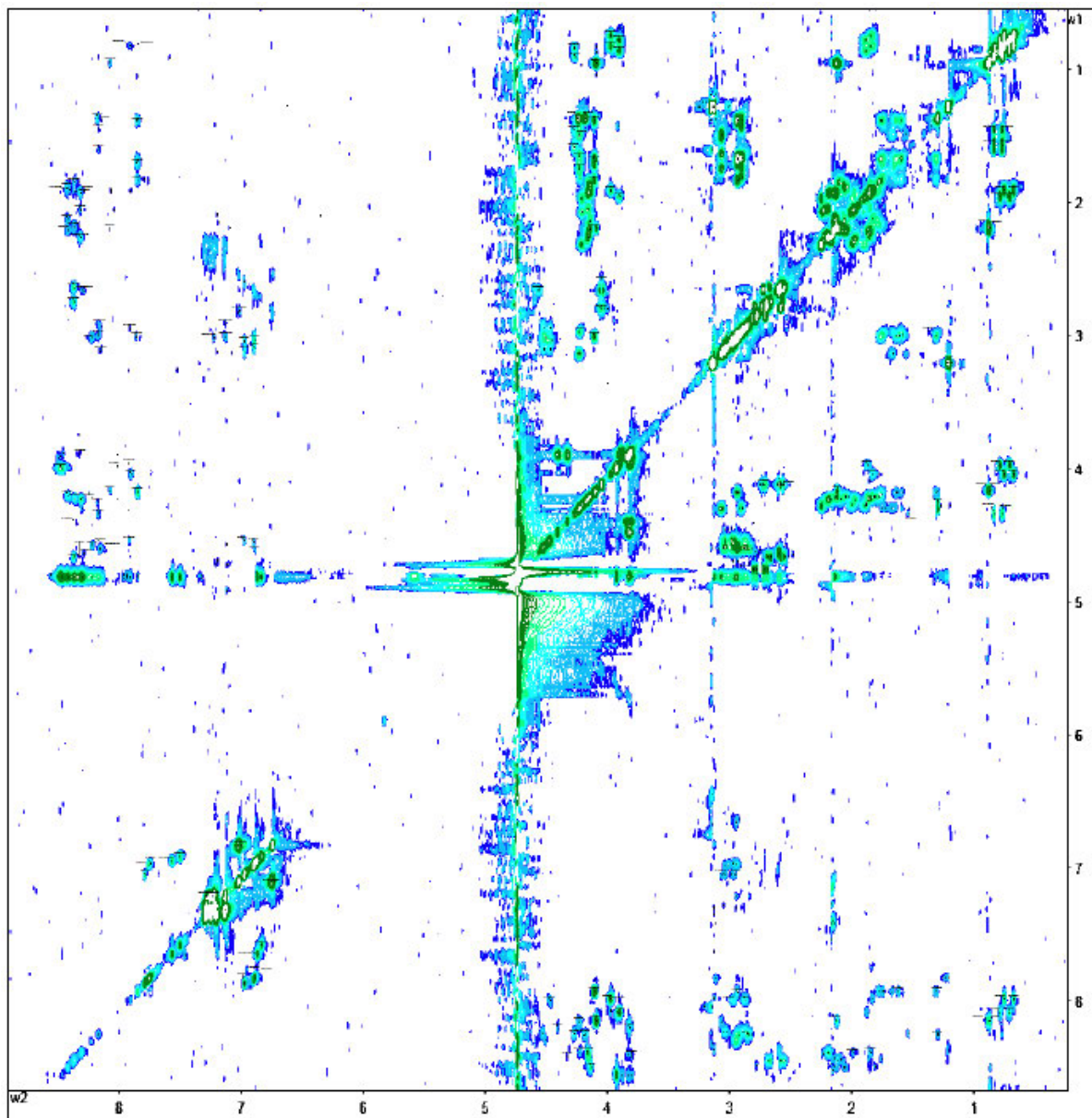
## Appendix B: 2D NMR spectra for proton assignment of A $\beta$ <sub>1-28</sub> peptide

NOESY spectrum (600 MHz) of A $\beta$ <sub>1-28</sub> in 10 mM phosphate buffer in 10% H<sub>2</sub>O:90% D<sub>2</sub>O, pH 7.4 at 289 K.



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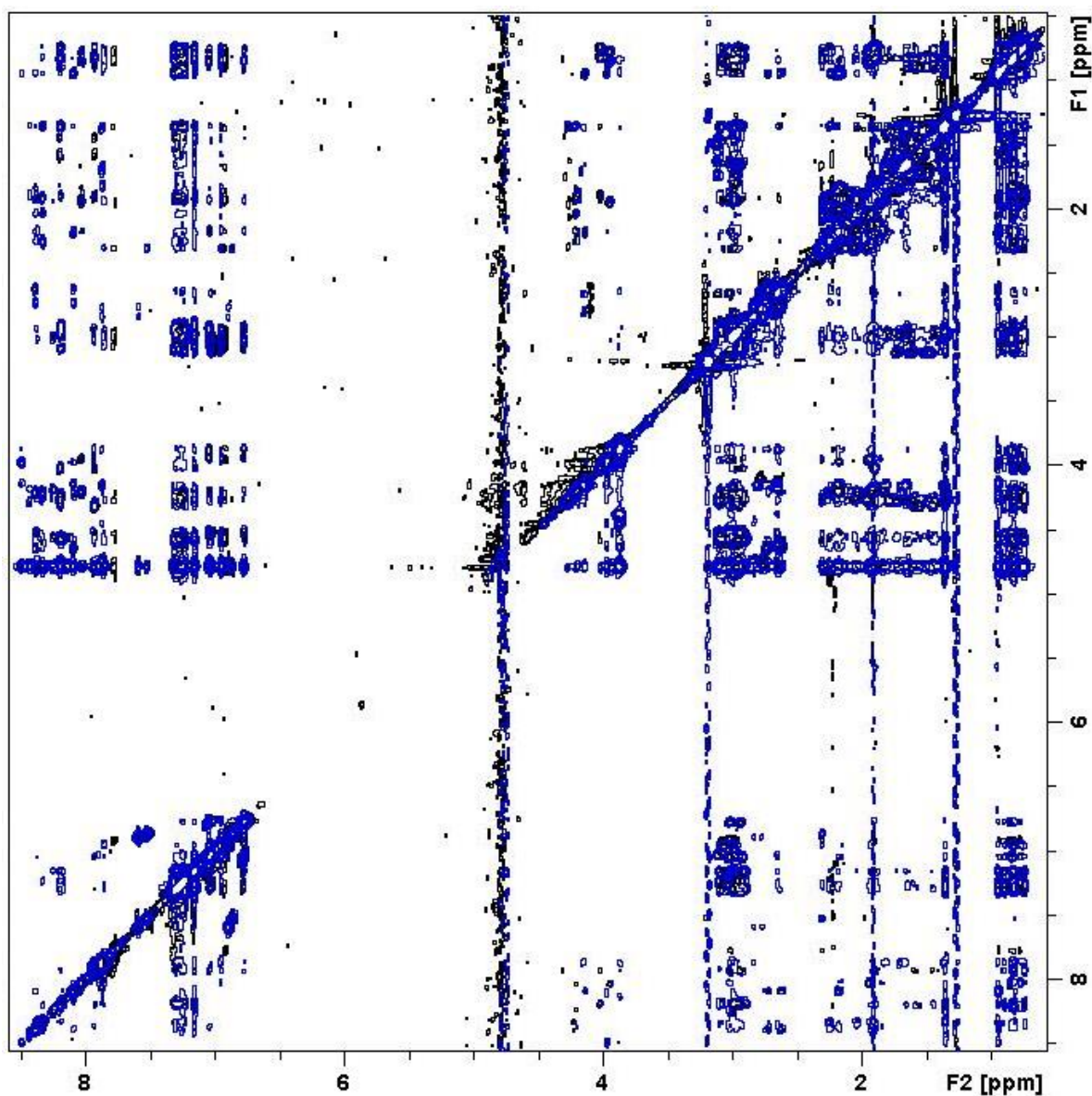
TOCSY spectrum (600 MHz) of A $\beta$ <sub>1-28</sub> in 10 mM phosphate buffer in 10% H<sub>2</sub>O:90% D<sub>2</sub>O, pH 7.4 at 289 K.



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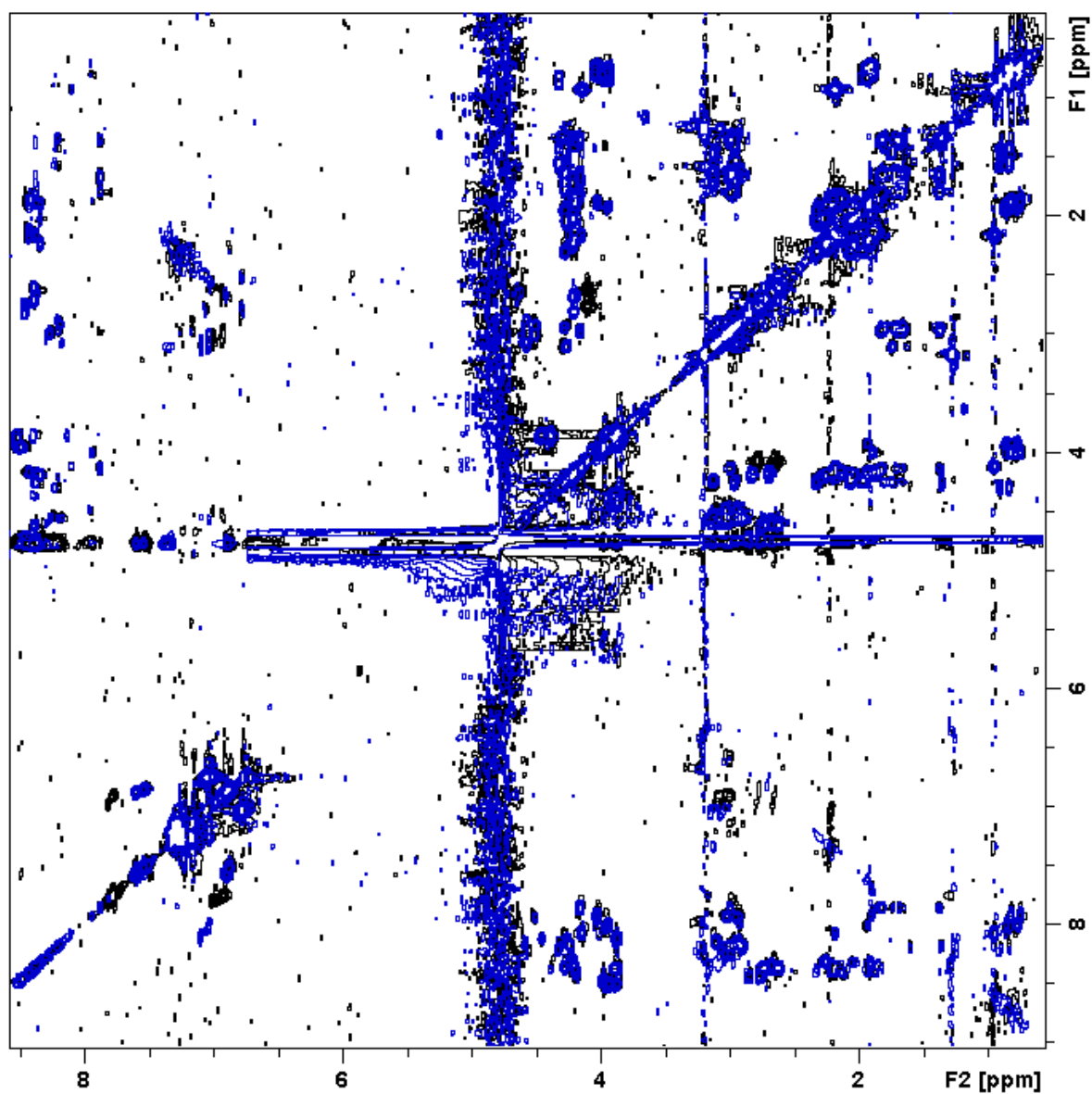
## Appendix C: 2D NMR spectra for NMR titration of A $\beta$ <sub>1-28</sub> against Al<sup>3+</sup>

NOESY NMR spectrum (600 MHz) of Al<sup>3+</sup> titrated wild-type A $\beta$ <sub>1-28</sub>. Wild-type A $\beta$ <sub>1-28</sub> in 10% H<sub>2</sub>O:90% D<sub>2</sub>O pH 7.4 at 298 K, apo (black) and one equimolar Al<sup>3+</sup> (blue).



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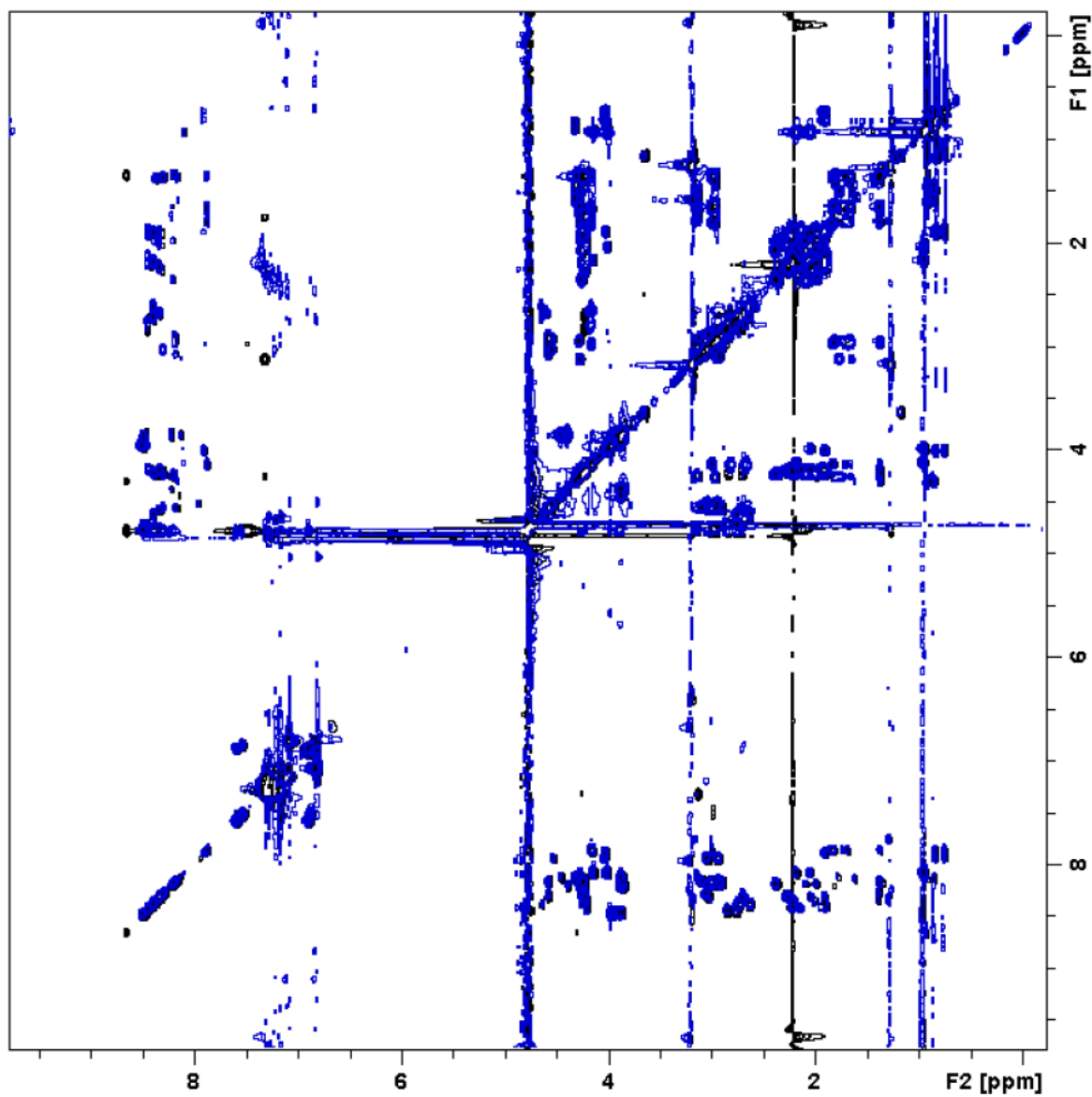
TOCSY NMR spectrum (600 MHz) of  $\text{Al}^{3+}$  titrated wild-type  $\text{A}\beta_{1-28}$ . Wild-type  $\text{A}\beta_{1-28}$  in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  pH 7.4 at 298 K, apo (black) and one equimolar  $\text{Al}^{3+}$  (blue).





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TOCSY NMR spectrum (600 MHz) of  $\text{Al}^{3+}$  titrated  $\text{A}\beta_{1-28}$  H6,13,14A.  $\text{A}\beta_{1-28}$  analogue H6,13,14A [1 mM] in 10%  $\text{H}_2\text{O}$ :90%  $\text{D}_2\text{O}$  pH 7.4 at 298 K, apo (black) and one equimolar  $\text{Al}^{3+}$  (blue).



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## Appendix D: 1D NMR titration curve of $\alpha$ amino acid against $\text{Al}^{3+}$ and $\text{Zn}^{2+}$

The different colour spectra indicate the different binding ratios where blue at the light base of the spectra is the control run (no metal), red, 0.2; dark green, 0.4; pink, 0.6; yellow, 0.8; orange, 1.0; light green, 1.4; black, 1.8; dark blue, 2.0.

