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A Versatile Sensor Data Processing Framework for Resource Technology

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11 Abstract: Novel sensors with the ability to collect qualitatively new information offer the 12 potential to improve experimental infrastructure and methods in the field of research 13 technology. In order to get full access to this information, the entire range from detector 14 readout data transfer over proper data and knowledge models up to complex application functions has to be covered. The extension of existing scientific instruments comprises 15 the integration of diverse sensor information into existing hardware, based on the 16 expansion of pivotal event schemes and data models. Due to its flexible approach, the 17 18 proposed framework has the potential to integrate additional sensor types and offers migration capabilities to high-performance computing platforms. Two different 19 20 implementation setups prove the flexibility of this approach, one extending the material 21 analyzing capabilities of a secondary ion mass spectrometry device, the other implementing a functional prototype setup for the online analysis of recyclate. Both setups 22 can be regarded as two complementary parts of a highly topical and ground-breaking 23 24 unique scientific application field. The requirements and possibilities resulting from 25 different hardware concepts on one hand and diverse application fields on the other hand 26 are the basis for the development of a versatile software framework. In order to support 27 complex and efficient application functions under heterogeneous and flexible technical 28 conditions, a software technology is proposed that offers modular processing pipeline 29 structures with internal and external data interfaces backed by a knowledge base with 30 respective configuration and conclusion mechanisms.

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32 **Keywords:** Secondary ion mass spectrometry, recyclate analysis, resource technology, 33 hardware framework, multi-sensor system, processing pipeline, image processing

- 35 1. Introduction 1 2. 2 36 Hardware Architecture and Application Background 37 3. Software Concept 6 38 4. **Experimental Results** 10 39 Conclusion and Outlook 15 5.
- 40

41 1. Introduction

42 Application fields like the online analysis of minerals or recyclate (OAMR) or the analysis 43 of material composition on an isotope level using secondary ion mass spectrometry 44 (SIMS) apply different analytical methods to understand the structure and composition of 45 material samples. In many cases, the integration of additional sensors into the existing 46 systems offers the potential for significant improvements of these methods. Using the 47 OAMR example, a more comprehensive characterization of the probed material with 48 improved analytical precision could be obtained by the use of a well-directed combination 49 of diverse sensors, especially for highly complex, inhomogeneous samples [1], [2]. In the case of SIMS, additional components permit an increased resolution [3], [4]. While both 50 51 fields use different equipment, they share the need to extract knowledge on high-52 dimensional sensor data. The functionality of processing, feature extraction and visualization of sensor data thus becomes a core element of the analytical method. In 53

order to improve the significance of material analysis, a group of researchers from HZDR (Helmholtz Zentrum Dresden-Rossendorf) and HTWD (Hochschule für Technik und Wirtschaft Dresden) has set up a data processing framework with a structure that can process both slow control and data stream aspects for the diverse scenarios of SIMS and OAMR.

59 Extending the sensor hardware and processing functionality of the aforementioned multisensor systems raises several problems which need to be solved before a widespread 60 use in industrial applications, especially in the field of mining and recycling, is possible. 61 62 Both for SIMS and OAMR, the implementation of specific processing algorithms is poorly prepared by industrial manufacturers. High material throughputs in OAMR result in large 63 64 data streams that request both fast data transfers and high processing capacity. 65 Dedicated sensor setups for visual cameras come with well-known data structures and 66 efficient algorithms; but only advanced co-registration of sensor features is able to 67 improve performance. Accurate detection crucially depends on well-designed algorithms 68 and data structures which are non-trivial in the case of a multi-sensor setup.

As implementation effort is a constant issue, the core idea is to arrange processing 69 70 modules in a flexible component-based approach. Heterogeneity of applications creates 71 the need for a flexible software extension; thus, the description of pivotal interfaces as 72 well as data representations become a crucial part of the overall design. From a software-73 based point of view, this framework has to implement a data base for sensor 74 measurements and a knowledge base comprising reference sensor data respectively the 75 necessary background knowledge to process them. Scientific users have to build them 76 up, step by step, by expert-guided acquisition processes or system-controlled learning 77 processes.

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79 **2.** Hardware Architecture and Application Background

80 The quality of extracted information depends to a large extend on the quality of sensor 81 hardware. Sophisticated algorithms can make best use of new sensor types and may also 82 be able to compensate the imperfections of analogue signals to the noise level, but only a 83 proper selection of sensor hardware and the extraction of high-quality primary sensor information can really improve experimental results. Individual sensors require meticulous 84 85 preparation of their physical environment to increase the signal/noise ratio. Integrating 86 new sensors may lead to a close coupling to existing infrastructure (e.g. when extending 87 a SIMS). Another outcome may be the definition of an entirely new processing platform 88 as in the case of the OAMR [5].

89 In both cases, sensor infrastructures have to be interfaced to different subsystems in 90 experimental hardware with regard to triggering and clocking, slow control and data 91 streaming (for complex sensors) [7], [8], [9], [10]. These subsystems can be considered 92 as being extensively independent. Clocking and trigger schemes belong to the critical 93 components in scientific instruments as they directly define the quality of analogue data 94 sampling. They depend on the physical process to an extent that no generic scheme may 95 be applied. With respect to sensors, slow control includes calibration and setting functions 96 providing all the essential preconditions for data acquisition. The aspect of data streams 97 becomes relevant for sensors with high sampling rate, often in combination with high 98 analogue resolution and channel count. In the following subsections, we will detail these 99 aspects using two experimental facilities from the OAMR and SIMS environment.

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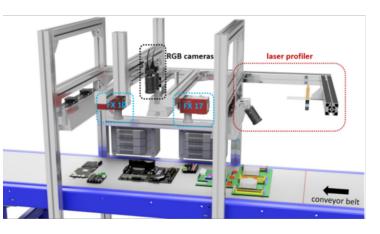
101 Hardware equipment for OAMR by SenSys

Recently, the SenSys system [5] has been set up as a multi-sensor system based on optical spectroscopy for material flow analysis at the Helmholtz Institute Freiberg for Resource Technology at HZDR (fig. 1). The mechanical hardware of SenSys consists of

105 a conveyor belt on which the sample material is placed. Conveyed samples are scanned

by different imaging sensors mounted above the belt and establishing different sensor
sections which have to be merged. Once the material has been scanned, it runs into a
collector box at the end of the conveyor belt. This setup allows for quasi-continuous
operation with the belt running at speeds between 0.05 and 1 m/s.

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112 Figure 1: Schematic view of the SenSys hardware components.

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The employed sensors can be divided into three groups based on their working principle. 114 115 To detect the height of the individual objects, a laser profiler, consisting of a line-116 excitation laser (633 nm) and an RGB camera (Teledyne Dalsa Nano C4030), is used as first sensor unit. The angle between camera and laser line is set to 30°, the camera 117 achieving a height resolution of ca. 0.4 mm. Two similar fast industry color cameras 118 119 (Teledyne Dalsa Nano C4020) provide a high spatial resolution and can be combined 120 together to create a stereoscopic image of the probed scene. Each of both cameras 121 acquires a full frame at once. In addition, two different cameras are employed for spectral imaging of the material reflectance in a wavelength range of 400-1000 nm (Specim FX 122 123 10) and 950-1700 nm (Specim FX 17) working as push-broom scanners acquiring the 124 data line-wise, generating a total data stream of 500 MiB/s in the present setup.

125 Testing geological samples such as drill cores and rock pieces and also waste materials 126 out of metal scrap or printed circuit boards (PCB), the performance of the data integration 127 proved to be a powerful tool for carrying out scientific studies with the SenSys system.

Sensor fusion in this system is equivalent to a coherent geometric mapping of different sensors into a joined data model for further processing. Coherent stationary geometric calibration of all sensors involved is the first indispensable step. For moving objects, isometric triggering offers an efficient scheme for the mapping of both line- and 2Dcameras into a common data model. As most optical sensors provide a trigger interface, the design of a module that delivers isometric triggers was a key requirement.

134 In the case of SenSys, isometric camera triggering has been implemented using a microcontroller-based approach, delivering a belt position sampling rate of 250 kHz which 135 136 leads to a special resolution of 12 micrometres at 3 m/s belt speed. Based on this signal, 137 several trigger groups with fixed dividing frequency to the belt position increments may be 138 defined and forwarded to the respective cameras. This proves sufficient in comparison to 139 camera resolution and exposure time. In both cases, clocking schemes are the basis for 140 high quality data acquisition, being configured (but not directly interfaced) via the control 141 system.

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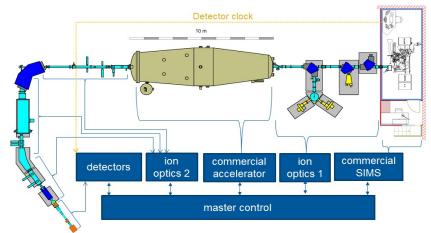
143 Material structure investigation by enhancing a conventional SIMS

The analysis of the chemical composition of solids using a dynamical SIMS system [3] is essentially based on the mass spectrometric analysis of sputtered material. For this

146 purpose, a fine-focused primary ion beam scans a precisely defined area of the sample

147 surface. The ionic fraction of the sputtered material is transferred to the mass 148 spectrometer via an elaborated ion optical system. Mass-separated ions are then measured using Faraday cups or electron multipliers within a commercial CAMECA 149 7fAuto instrument; for sputtered volume determination, a white-light interferometer 150 151 Contour GT-K (Bruker) has been used. The SuperSIMS developed at the HZDR represents a further development of this basic concept [4]. Here, only the negative mass-152 separated secondary ions are injected into a tandem accelerator via a new unit of ion-153 optical modules. Specific processes inside this accelerator lead to the complete 154 destruction of all molecular ions and thus to the reversal of the polarity of negative ions at 155 the terminal. As a result, molecular interferences no longer occur in the subsequent 156 analysis in the high-energy mass spectrometer, and the detection limit can be improved 157 158 by several orders of magnitude compared to a normal SIMS analysis. As a consequence 159 of redirecting secondary ions to detectors that are SIMS-external, the integration of accelerator and detector hardware plus ion-optical sections as described in Fig. 2 prove 160 161 to be critical for such an enhanced instrument. Eventually this has to be enhanced by extensive analytical and image generation software. 162

163 Consistent control can only be provided by a master control interfacing the different 164 complex components which include embedded control units, accessing the data of all relevant sensors (Faraday cup, electron multiplier, image sensor) and actors (SIMS, 165 accelerator, ion optic magnets, etc.). Typically, clocking schemes provide hardware 166 167 synchronisation with low jitter; inside a SIMS, the detector clock thus has to be derived from the primary ion beam sampling hardware on the probe. Slow control and data 168 169 stream interfaces are far more complex and require sophisticated techniques for consistent processing - both on system and component level [8], [9], [11], [12]. 170 171



- Figure 2: Schematic view of SuperSIMS hardware components.
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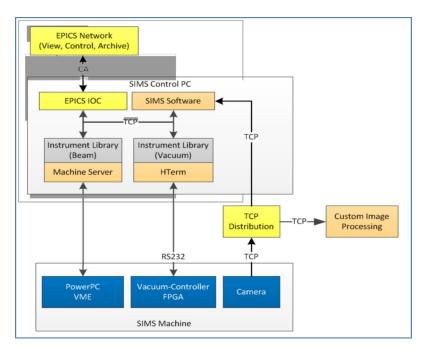
175 Extending commercial SIMS equipment with new evaluation methods touches the core of 176 software architecture inside such an instrument. Under the granting of access to the 177 source code, a minimally invasive approach was the implementation of a service for the distribution of raw sensor data. This allows both the preservation of the original 178 179 functionality and the implementation of additional interfaces with minimum processing 180 load. Among different slow control systems, the experimental physics and industrial 181 control systems (EPICS) was chosen as integration platform as it allows a representation of complex subsystems (commercial SIMS, commercial accelerator and detectors) [8], 182 [9], [12]. EPICS contains a set of software tools and applications to build up a distributed 183 control system using dedicated network protocols called Channel Access (CA) and 184 pvAccess (PV) realising a client/server relationship and publish/subscribe techniques for 185 186 I/O-related communication. Input/output controllers (IOC) represent the device layer that

187 connects directly to physical I/O. EPICS is open source and used in many research facilities world-wide [8] [13]; hence, many software modules and libraries on different 188 189 implementation levels are available; e.g. for graphical user interfaces, storing and 190 accessing historical data plus IOC for particular PLC types and communication protocols 191 like Modbus.

192 In order to integrate the existing SIMS into the EPICS control framework, its control was 193 extended by dedicated IOCs which perform I/O-operations. It is divided between two 194 computers - one performing realtime-tasks and another for processing and visualization. 195 Fig. 3 shows the overview of the SIMS control with the EPICS-IOC-extension. IOCextensions have been implemented on the visualization PC without interfering with the 196 197 manufacturer's software or compromising realtime-performance. EPICS IOS's are suited 198 to interface IO-data from the machine. Their data layout is defined by structures and 199 enumerations that can be used to separate and convert the data into EPICS process 200 variables and parameters. Consequently, this interface may cover the range of slow 201 control data and prepares the setup of a master control.

202 Developing IOCs as I/O-proxies for components along the SuperSIMS beamline creates 203 a flexible approach which consistently links data from different hardware sections into a 204 common data base and will result in a homogeneous control system. This starts with the 205 commercial SIMS, it will include the accelerator and extend to new detector hardware or 206 ion-optics beamline segments. EPICS thus allows to control the SuperSIMS both 207 manually and with the support of automation logic, e.g., it provides a framework which is 208 able to incorporate machine safety and setup functionality.

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Figure 3: Overview of the SIMS interfaces.

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As EPICS has a focus on slow control, data streams which have to undergo elaborate 213 214 processing have been handled separately. Image data streams from the SIMS detectors 215 were interfaced applying a distinct proxy server that has been placed between the 216 realtime-computer and the visualization PC. The proxy-server listens on the original 217 network port, transferring the raw images from the SIMS machine to every connected 218 peer for further processing. This preserves the functionality of the original software and pushes image data into the pipeline for analytical processing. 219

220 Although SuperSIMS and SenSys differ in equipment and application, the general 221 architecture of a hardware layer with inherent trigger schemes, slow control and data 222 stream interface to a processing layer is quite identical, thus motivating a generic 223 software approach for scientific data analysis.

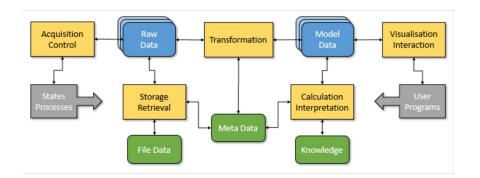
3. Software Concept 224

225 Generic system architecture

226 The basic principles of the software system necessary to support the tasks of the two 227 aimed application categories as well as to integrate the data coming from different 228 sensors or going to different actors were developed in [6]. The corresponding ASARBWG 229 project at HTWD targeted a pilot system for hardware integration and software 230 development especially for the SuperSIMS and SenSys installations. There, a vertical 231 three-layer software architecture was proposed. The central, conceptual layer defines 232 unified global data and program structures. Internal and external layers refer to different 233 efficient implementations and user interfaces, respectively. The conceptual layer with its 234 software components and relations is shown in Fig. 4. It contains horizontally three major 235 subsystems.

236 On the left, the real states and processes of the application equipment with their sensors 237 and actors are directly connected to acquisition components to deliver raw data as input 238 to the system. Vice versa, control components allow setting selected output parameters. 239 Raw data can immediately be used online or may be saved by a storage component as 240 file data for later retrieval and offline use. Depending on the context, it is fused and 241 organised in sensor- or actor-specific formats [14], [15].

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Fig. 4: Conceptual software system structure.

245 On the right, users and other programs get information out of the system by visualisation 246 components and influence it back by interaction components. Both kinds of components 247 do not work on raw data directly, but on model data that abstract from concrete sensor 248 and actor features as well as file formats. Model data is organised along common formats 249 and unified contexts. They are also source and sink of calculation and interpretation 250 components that use domain knowledge to compute additional values or derive new 251 conclusions [16].

252 The connection between raw data and model data in both directions is provided by a set 253 of transformation components guided by metadata. Metadata is generally relevant for the 254 definition of structures and meanings of data used in the system.

255 On the internal layer, the whole system is implemented in C/C++ using OpenCV for 256 processing two- or three-dimensional image and regular data as well as VTK for processing and visualizing multidimensional and structured data. For performance 257 258 reasons, on internal layer, OpenGL and an OpenCL-based approach [17] are involved.

259 The operating system is MS Windows for current development and Linux Ubuntu for later

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process integration. On the external layer, a window- and a web-based user interface provide access to the most important components of the system.

This three-layer architecture, especially the introduction of the unified conceptual layer, has led to an enhanced transparency of the entire system and a substantial reduction of

the development and integration time for new software components which is essential foran experimental system.

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267 Pipeline structure

Because most of the data processing tasks in the developed system are considerable complex, they are subdivided step by step in single operations and organised as pipelines with flexible ordered or half-ordered activation structures. The result is a multiple pipeline system [18], [19].

In such a pipeline, input data taken from a raw or model data container are computed by
pre-processing into prepared data as input for the content-dependent kernel
transformation process. At the end, the resulting transformed data is post-processed and
put back again as output data into a proper raw or model data container.

The transformation itself consists of a sequence of single operations guided by metadata and knowledge to fulfil the intended task. The most important pipeline of image processing consists of the steps feature extraction, region estimation, object detection and scene evaluation. Another typical pipeline is data visualisation with the steps filtering, mapping and rendering. Finally, problem solving pipelines apply different mathematical functions, logical formula sets or rule packages in order to get conclusions by respective inferences.

On the external layer, each pipeline has a graphical representation on the user interface.

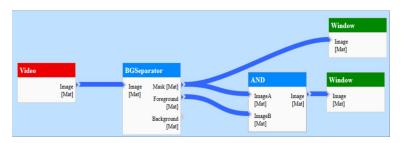
Here, the operations, their parameters and their activation relations can be monitored or

changed interactively [20]. In this way, pipelines may also be defined by non-developers.

Fig. 5 shows an example pipeline on the web-based user interface where video-stream

image matrices are shown to be processed by a background separator; later the mask and the foreground image are conjuncted and displayed in addition to the mask image.

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Figure 5: Visualized example pipeline.

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293 On the internal layer, operations can be realised using the multi-threading capability of 294 several main processors and cores or involving the massive parallelism of the graphics 295 boards. This is done manually by the programmer, but more and more automatically by 296 the image processing and visualisation libraries. The result is higher processing speed 297 and resource utilisation [21].

Using a generic and flexible pipeline structure allows to implement basic forms of quite different - in previous systems separately realized - application components as single pipelines in one system: image acquisition, process supervision, spatial count analysis, profilometer viewing, knowledge-based classification, example-based learning, stereo recognition, laser light section, structured light profiling and hyperspectral viewing [22], [23], [24], [25], [26], [27], [28].

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305 Data structure

16 Raw data is generated by the acquisition and control component and processed further 306 307 on by transformation operations. In parallel, they can be stored in files and retrieved back 308 from there. The structure of the raw data is characterised by their location in the overall 309 system near the sensor input, respectively actor output. So, the data has to conserve the 310 specific authentic set of original measure or control values. At the same time, it is stored in generic containers allowing certain generic operations to handle them. These two 311 312 requirements are realised by organising the data in the form of an ordered vector of 313 measure control frames in the container stream data (see fig. 6). A measure control frame 314 is a data element with channel identifier, time stamp, space position and real data values. 315 The role of the data values is described in the channel-information operating as data 316 header.

Using this raw data structure, image and video data produced by camera sensors in standardised formats can be managed just as vector data produced by any of the intensity or counting sensors in particular formats. Actor data is defined accordingly.

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ChannelInfo (Header) ID : Int SemanticType : Enum Name : String Values[N] : Type : Enum Size : Int Name : String Variance : Enum 	<pre>MeasureControlFrame (Element) ChannelID : Int System Timestamp : Int Sensor Timestamp : Int System Spacepos : Int[m] Sensor Spacepos : Int[m] DataValues[N] : (defined by header)</pre>
<pre>StreamData (Data) • Vector<measurecontrolframe< pre=""></measurecontrolframe<></pre>	>

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322 Figure 6: Sensor data format at input.

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Processing pipelines carry out complex synthesis or analysis operations. For that, they require a set of unified data structures as formatted operands and interfaces for all input, output, processing, storage, retrieval, calculation, interpretation, visualization and interaction tasks. These model data structures are formed by multi-dimensional spatial or linked graph structures organised in temporal sequences and attached by textual attribute vectors. Meta data describe the syntax and the semantics of these data.

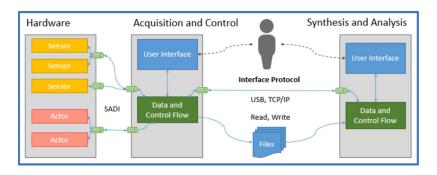
All unified data together provide the essential basis for the required flexibility,changeability and practicality of the proposed multiple pipeline system.

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333 Flow structure

The task of the entire system can only be fulfilled efficiently if they are distributed to several cooperating components. Therefore, it is necessary that involved sensors, actors and computers are integrated with each other by a network. The essential subtask is to organise the data and the control flow among them by proper interfaces. Fig. 7 contains a schematic representation of this flow.

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341 Figure 7: Data and control flow.

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343 All sensors and actors are attached by a sensor-actor-data interface (SADI). It contains externally generated measure data like quantity vectors, image matrices or sensor 344 parameters as well as internally generated control data like event requests, event 345 346 responses or actor parameters together with precise time stamps. The conversion of specific raw sensor and actor data is implemented either on hardware driver level or 347 system software level. The data transfer between processing units is handled by a client-348 server architecture, using either the TCP/IP or the USB protocol. All the cameras are 349 integrated by Gigabit-Ethernet as in [29]. For offline work, the data-exchange is also 350 possible via read and write operations on files. This dataflow may be monitored by user 351 352 interfaces.

353 The performance of the entire system depends on many factors: frame sizes, frame rates 354 and number of the sensors, processing times and number of the computers, data transfer times of the network, runtimes of the evaluation algorithms as well as structures and 355 356 number of data and knowledge elements. In our development environment at HTWD, we observed cycle times for the different pipelines between 0.1 and 10.0 s, whereas the 357 358 lower limit is determined mainly by the network band width and camera frame sizes, the 359 upper boundary results from the combination of frame sizes and transformation 360 algorithms.

361 **4. Experimental Results**

While the pipelined module structure described above is able to handle both SuperSIMS 362 363 and SenSys applications both systems differ in data rate and processing complexity. 364 SuperSIMS provides data at much lower rate while the probed material is sputtered, 365 creating a depth profile of the scanned region with a multitude of different elements inside the sputtered volume. Conversely, the multi-sensor system SenSys at HIF generates 366 367 much higher data rates by scanning the surface of moving samples with different cameras simultaneously; each giving a defined spectral range and preferably planar 368 369 regionalization.

Both systems benefit from the presented data processing pipeline by enabling real-time 370 371 data streaming and full sensor control. Hardware-related issues have been addressed by 372 dedicated interface modules, creating direct access to raw images without modification of 373 proprietary firmware. Thus, after establishing the source for data streaming, we can handle the streamed data according to our needs and develop flexible, customised 374 375 solutions for image transformation such as distortion correction, image stacking and 376 white-balancing. Moreover, the innovative design of the system software is capable to incorporate diverse analysis tools. This is a great advantage compared to current 377 embedded firmware which can be modified to incorporate new sensors or functionalities 378 379 only with large effort.

380 Our hardware integration modules and the package of software routines overcome these 381 obstacles and will be continuously further developed to meet future tasks, e.g. the 382 incorporation of new sensors or image classification methods.

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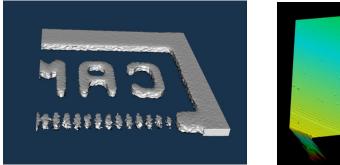
384 Experimental results at SIMS material analysis

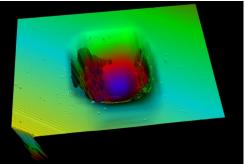
Several pipeline-based programs are designed for the use in the SuperSIMS environment. They are already in practical use and deliver helpful information for operators and users. A dedicated software module receives raw images from a commercial SIMS during the scanning process and stores these data in an open and lossless file format (*.pgm). As raw-data-transformations operate on individual sensor data, their processing time has been improved by multithreaded implementations for multi-core-processors or GPU-mapping. 392 A spatial count analyser system processes the data collected by the image receiver. It 393 interpolates counts in a volume size using a three-dimensional kernel and a marching cube algorithm for iso-surface detection. Alternatively, a volume renderer uses semi-394 transparent voxels and allows viewing the inside of an object. Offline 3D-volume 395 396 processing is performed by reading a series of files collected by the image receiver; each 397 representing a thin layer of material. The resulting volumes can be used to extract a 3Dsurface defined by iso-detection levels of different isotopes. This allows visualizing the 398 399 3D-shape of any contained substructures clearly. To look inside an object, the 400 visualisation can be switched to be semi-transparent (fig. 8 left image).



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Figure 8: Example results for spatial count analyser (left image) and profilometer viewer (right image).

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407 Shape and volume of the real sputtered area can be inspected with the use of a Contour 408 GT-K white-light interferometer. This unit transfers its data into a dedicated viewer system 409 by ASC formatted files. In the viewer system, a three-dimensional surface of a sputtered 410 material object is computed. The result can be examined from a scientific point of view 411 with different camera points, camera perspectives, space resolutions and color mappings. 412 A typical example for the viewer system output is the calered height profile in fig. 9 right

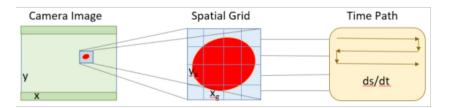
412 A typical example for the viewer system output is the colored height profile in fig. 8 right.

413 Out of various analytical methods in dynamic SIMS instruments, the one which places the 414 highest demands on the internal synchronisation inside the instrument is the 415 measurement of the three-dimensional distribution of different elements in a precisely 416 defined scanned area of the sample. In order to evaluate the processing of large amounts 417 of data representing this three-dimensional distribution, e.g. in a natural mineral, measurement data were taken in the normal SIMS mode, not in the much slower Super-418 419 SIMS mode. As the data structures are identical, this approach enables the validation of the data processing pipeline. First reference tests were made on regular structures which 420 are provided for calibration purposes. The structures are manufactured by deposition of 421 thin tantalum layers on Si wafers. In this case, the distribution of the isotope ¹⁸¹Ta and the 422 423 molecule ²⁹Si³⁰Si were measured. The measurement of the element distribution in natural 424 minerals is much more demanding. In addition to a large number of elements/isotopes to 425 be measured, these are also determined at different measuring times. This corresponds 426 to different sputtering depths in the respective mineral.

427 The spatial and temporal integration of sensor signals is obligatory as the process of 428 sputtering the material sample by ion beams needs a precise spatial calibration and 429 temporal synchronisation of all participating agents. Thus, the time of arrival of material 430 particles must be linked to the time of sputtering on the sample because this gives the 431 information about their former location in the material sample. At the same time, the 432 arrival time contains information about the chemical substance because of the mass, and 433 hence, acceleration and resulting velocity of the particles. Before and after the regular 434 measurement, the particle flow intensity of the primary ion beam is determined as

reference value. The measurement of the sample itself is done with a spatial resolution of
up to 512x512 dots in an 80 ns time grid. The resulting particle intensities vary between 1
million and 1 billion per second. Fig. 9 illustrates the relationships between the sputtering
time path, the sample spatial grid and the global camera image.

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441 Figure 9: Image-space-time synchronisation in SuperSIMS.

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All experimental tests prove the applicability of the developed methods and demonstratefunctionality and flexibility of the approach chosen here.

Compared to other methods of evaluating and using 3D imaging data from dynamic SIMSmeasurements [34-36], there are several advantages.

447 The automatable detection of different matrices in the sputtered volume, combined with 448 the reconstruction of the shape and volume, allows the automatic quantification of 449 element and isotope concentrations based on the availability of matrix-matched 450 standards. This is currently only possible for measurements in exactly one matrix (e.g. 451 [34]) otherwise the element or isotope ratios are presented. The correlative automated analysis of the 3D image information of the SIMS - signal and the signal of the white-light 452 453 interferometer allows the automated determination of the sputter rate and the analyzed 454 volumes of the individual phases. This principle makes use of additional sensors; it leads 455 to improved analytical results and can be transferred to all other methods of correlative 456 microscopy of SIMS measurements [e.g. 37].

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458 Experimental results for material flow analysis

Using the setup of multi-sensor systems at HZDR, different material streams, especially with the focus on drill cores and secondary resources (e.g. printed circuit boards and metal waste) have been monitored. Besides providing classification algorithms, this work resulted in two important, innovative tools for the online material stream characterization: the automated object height detection by laser profiling and the streaming and preprocessing of RGB color and hyperspectral reflectance images.

Laser light section bases on a monochrome camera together with a wavelength filter and 465 466 an active line laser. The software determines the deviation of a straight laser line in the camera image, using triangulation to calculate the height. This approach proved to be 467 468 superior to stereo camera triangulation with respect to computational burden, resolution 469 and ruggedness. Applying it to a scene on a conveyor belt, a complete three-dimensional 470 surface profile (2.5D) of the moving objects in a rectangular area can be derived in real 471 time. Exemplary, in Fig. 10 two images of a reconstructed PCB are shown visualizing 472 both the preliminary height map (left) and the final 2.5D image after incorporation of the greyscale reflectance and a rendering step (right). The detailed object reconstruction will 473 474 be further used as a base map on which the hyperspectral reflectance data projected 475 using the co-registration approach of the synchronously triggered image acquisition for 476 both hyperspectral cameras.

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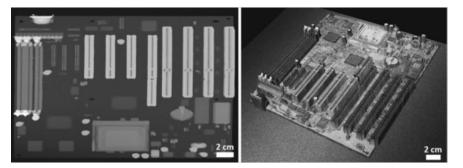


Figure 10: 2.5D-reconstructed images of the laser profiler for a PCB sample. Height map depicted in grey scale with distortions in x-y directions being still uncorrected (left). Rendered 2.5D image of the sample taking the greyscale values of the laser reflectance and the height information into account (right).

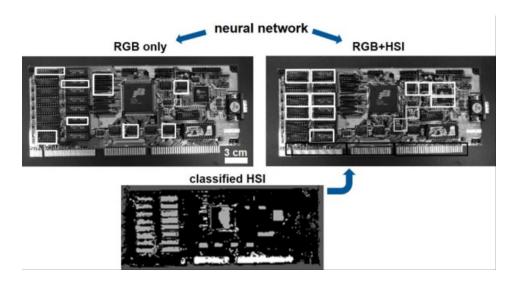
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484 One of the major tasks was the integration of multiple Gigabit-Ethernet cameras with 485 regard to configuration and image transfer. For example, hyperspectral (HS) cameras 486 were interfaced and their data streams have been recorded in the aforementioned pgm-487 format with full resolution. Presently, the image streams of five cameras are processed 488 and stored which results in a data flow of 500 MB/s. The configuration part covers 489 parameters like capture regions, frame rates, trigger modes, exposure and overall 490 capture times plus gain values for image sensors and pixel bit depths. In-built raw data 491 transformations perform the change of image format, geometry and color. Raw color 492 images may be de-bayered to get RGB information or compensated for uneven spectral 493 light distribution and spotlights with a white balance algorithm.

494 Knowledge-based classification is based on camera sequence data and stored explicit 495 knowledge about elements and their relationships located on pieces of electronic circuit 496 boards. It contains image-processing steps like feature extraction, region segmentation 497 and object detection on the basis of typical object parameters like color, saturation, 498 intensity, texture, size or shape, allowing to specify their ranges or limits in dedicated tables for further optimization. Typical detection rates vary between 50 and 80 %. It is 499 500 expected that the importance of this component will grow in future by collecting the 501 amount of real expert knowledge, also to support the other detection components.

502 Example-based classification uses a manually prepared set of reference images, 503 representing typical backgrounds, negative and positive training objects, representing 504 typical scene elements. On the base of color images, a mask extractor generates a large 505 number of assessed cropped image parts, using a multi-step cascade filter for later object 506 matching. Analysing material flows, the comparison of the high-resolution RGB image 507 with other hyperspectral reflectance sensor data has shown good results in feature 508 extraction of co-located extracted areas [5].

509 Another example of the current object detection algorithms being fed by synchronous 510 multi-camera data acquisition is the identification of PCB components with convolutional 511 neural networks (CNN) (Fig. 11). We applied the VGG-16 network architecture [24] used 512 for this task. While the network performs poorly in the detection of objects and in setting 513 the correct bounding boxes using RGB images only, we obtain an increase in accuracy 514 by taking information from the hyperspectral reflectance image into account [30]. This 515 method is inspired by the region-proposal by guided anchoring [31]. The output of the 516 supervised pixel-wise classification of the HS data is used to populate a probability map, 517 which exhibits high values where the given classes should be located. This probability 518 map is used along with the region proposal network of the CNN for the anchor 519 localization, i.e. to set the box where an object from a specific class should be detected. 520 The boxes with different grey scale color represent different object classes recognized by 521 the network in a PCB image.



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Figure 11: Comparison of the object detection of PCB components by a neural network using RGB images only (upper left) and RGB images combined with anchoring points from the classified hyperspectral reflectance image (upper right). White boxes mark the recognition of integrated circuits, grey boxes metal sheets and black boxes gold connectors. Different grey values in the classified HS image represent the different detected classes (lower image).

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530 Our approach improved the mean average precision from 0.45 to 0.61 and reduced the 531 inference time from 0.75 s to 0.32 s. Acquiring more experimental data for learning and 532 improving the network architecture should increase the accuracy of the object detection 533 and its ability to recognize a higher variety of object classes in the images.

534 The findings from the neural network dealing with different sensor data are promising 535 starting points for a further investigation of the fusion of spectral data from different 536 sources. Compared to other studies, where the fusion of RGB and hyperspectral 537 reflectance was examined [32] [33], our network is able to deal with a limited number of 538 training set and is better tailored for the application in PCB recycling. The inference times 539 are shorter due to the compression of the hyperspectral data into a low-dimensional 540 feature map. Moreover, the developed flexible software and hardware architectures 541 enable an easier and more problem-adapted integration of further sensors, which is more 542 challenging for the aforementioned other approaches. In addition, the ability of the here 543 presented pipeline for streaming large data sets provides a unique opportunity for the 544 further step of real-time multi-class object detection in material streams.

545

546 **5. Conclusion and Outlook**

The paper described a sensor data processing framework which has been applied in different hardware setups in the field of resource technology, ranging from secondary-ionmass-spectrometry to optical image processing for recyclate analysis. Interfacing the existing hardware was inevitable groundwork to prepare the definition of new data structures and processing pipelines. The use of additional sensors could thus be implemented and tested on three different research and development facilities at HZDR and HTWD, yielding improved analytical results compared to the original setups.

For a large set of equal or similar features, open source libraries could be used. The present implementation allows the acquisition of raw sensor data in real time, sensor fusion in a common reference system and the flexible extraction of data on different processing levels for developers, experts and users.

28 558 This configurable processing pipeline framework serves as a base for further test and use 559 scenarios, extending the systems application-specific knowledge-base. Future work aims at increasing sensitivity by integrating further sensor hardware. Extending model data and 560 561 knowledge structures will also improve conclusion and learning processes, resulting in 562 better material classification.

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