Three-Dimensional Waveguide Splitters Inscribed in Nd:YAG by Femtosecond Laser Writing: Realization and Laser Emission

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Abstract—In this paper, we report on a three-dimensional beam splitter monolithically integrated in an Nd:YAG laser crystal wafer by applying the direct femtosecond laser writing technique with the photonic-lattice-like cladding approach, capable of 1 to 2 and 1 to 4 beam splitting. Using the pump at 808 nm, 1064-nm splitting lasers with slope efficiencies of 34% and 22% for 1 to 2 and 1 to 4 configurations were realized. This study paves a way to fabricate direct-pump compact laser devices in a single chip for light guiding and beam dividing in Nd:YAG crystals for various photonic applications.

Index Terms—Femtosecond laser writing, integrated optics, waveguide lasers, 3-D waveguide splitters.

I. INTRODUCTION

S one of the essential and significant power division devices in integrated optical circuits and miniature photonic devices, the beam splitter based on the optical waveguide platform has attracted numerous attentions on account of its low propagation loss and discontinuity [1], [2]. Benefiting from this, it has been extensively applied in optical telecommunications, quantum computing, biophotonic sensing, information processing etc. [3]-[6]. Waveguide lasers, as ideal candidates of miniature light sources in compact and integrated circuits, have been developed in many active waveguide systems, ranging from visible to mid-infrared wavelength bands [7]–[18]. A few techniques have been developed to fabricate waveguides in dielectrics. Since 1996, the femtosecond laser writing has been widely applied to produce waveguides and other photonic devices in various transparent materials [19], [20]. One of the challenges of waveguide laser devices is the realization of flexible manipulation of the generated light-beam profiles for actual control of spatial properties of laser radiations. As a 3-D micromachining technique, the femtosecond laser writing enables on-demand construction of waveguides at arbitrary depths in-

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side the substrates. This advantage allows the development of innovative and unique device architectures, such as 3-D photonic lantern and 3-D waveguide splitters [20]-[25]. Nevertheless, the femtosecond-laser fabricated 3-D waveguide splitter structures were mostly realized in amorphous materials, such as glass. This is because the femtosecond laser pulses usually induce positive refractive index changes $(\Delta n > 0)$ in glass, which results in guiding cores that are generally located just in the femtosecondlaser irradiated regions. In such cases, the geometries of the waveguide structures could be easily controlled. Conversely, in dielectric crystals, negative index changes ($\Delta n < 0$) are typically induced through the femtosecond laser modifications, therefore the waveguides are usually situated in the surrounding regions of the femtosecond-laser induced tracks. In addition, the refractive index changes induced by the femtosecond lasers are usually anisotropic, resulting in light guidance with polarization-sensitive features [22]-[26]. These behaviors bring out certain intractability for the 3-D guiding implementation in dielectric crystals [26]. Recently, by employing femtosecond laser micromachining, 2-D waveguide beam splitter lasers (within a plane) based on hexagonal photonic-lattice-like structures [27], surface cladding structures [28], and double-line configurations [29] have been realized in YAG crystals. In this study, we experimentally achieve the implementation of monolithic 3-D waveguide beam splitters based on the photonic-lattice-like structures, which contains linear inscribed tracks packed in a hexagonal lattices with cores of unmodified Nd:YAG, capable of efficient light guiding. The on-demand introduction of axial track defects in the structures enables the light beam manipulation for 3-D beam splitting. Such a designable feature for beam manipulation in 3-D manner, in principle, paves a way to construct compact arbitrary devices for any purpose. Using direct-pump of the monolithic structures, the continuous wave (CW) 3-D waveguide splitting lasing (i.e., 1 to 2 or 1 to 4) at near infrared wavelength of 1064 nm has been obtained.

II. EXPERIMENTS IN DETAILS

The structures are fabricated in Nd:YAG laser crystal, one of the most favorable gain media for solid state lasers owing to its excellent fluorescence, physical and thermal properties. The Nd:YAG (doped by 1 at.% Nd³⁺ ions) crystal wafer is cut with dimension of $10 \times 10 \times 2$ mm³ and optically polished, the waveguide microstructures are inscribed along the length of 10 mm. An amplified Ti:Sapphire femtosecond laser (Spitfire, Spectra Physics) that delivered linearly polarized pulses with a

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Fig. 1. (Color Online) (a) Schematic diagram of the 3-D photonic-latticelike microstructures. S_1 and S_2 represent the input and output facets, respectively. (b)-(e) Optical microscope images of the cross sections of the waveguide structures.

temporal duration of 120 fs, a central wavelength of 795 nm and operating at a repetition rate of 1 kHz, is used as the laser source. The beam is focused by a $40 \times$ microscope objective and the average power is reduced to 1.2 mW (measured after the focusing objective) by using a set of half-wave plate and linearpolarizing cube, and a calibrated neutral density filter. The laser irradiation is controlled with a mechanical shutter. The sample is placed on a XYZ micro-positioning stage that allows scanning the sample at constant velocity (0.5 mm/s) while irradiating from the surface of $10 \times 10 \text{ mm}^2$ with the femtosecond pulses at certain depth beneath the surface ($\sim 150 \ \mu m$): under our experimental conditions, a damage track is then produced with a transverse length of 10 μ m. A number of parallel scans of the sample are performed, with a lateral separation of 10 μ m, at different depths in order to obtain the desired photonic-lattice-like structures. The central core is approximately an elliptical region with area of $25(x) \times 30(z) \ \mu m^2$. Fig. 1(a) shows the schematic diagram of 3-D photonic-lattice-like microstructures (i.e., 1 to 2 (output ports not within same plane) and 1 to 4 waveguide splitters). As we can see, two 3-D photonic-lattice-like microstructures (with guiding cores surrounded by hexagonally and double hexagonally arrayed track lattices) are fabricated in the sample by introducing specially designed defect tracks in the core regions at different parts of the whole prototypes. By combining three designed elements with smoothly changing guiding cores, which are connected in sequence, the 3-D 1 to 2 and 1 to 4 waveguide splitters are produced in the Nd:YAG crystal sample (the lengths of the three elements are 2, 4 and 4 mm, respectively, for both 1 to 2 and 1 to 4 waveguide splitters). It is easy to find that arbitrarily complex structures could be designed by introducing more intermediate elements with on-demand axial track defects at certain positions, which is an intriguing and promising technique for various photonic applications. Fig. 1(b)–(e) depict the optical microscope images of the waveguide end facets, in which A, B (input) and A₁-A₂, B₁₂-B₂₂ (output) indicate the different ports of the structures (B₁ and B₂ are for the second part of the 1 to 4 waveguide splitter, which is not shown in Fig. 1). The transverse deviation displacements of the output channels in the two different configurations are calculated to be 26 (the distances between centers of A₁ and A) and 36 μ m (the distances between centers of B₂₁ and B). For comparison, another 10-mm long photonic-lattice-like structure with homogeneous profile (same as the 2-mm element of 1 to 2 waveguide splitter) is manufactured in this sample as well. Such a straight guiding structure is used as a reference.

The laser operation experiments are performed by utilizing an end pumping system at room temperature. A polarized light beam at a wavelength of 808 nm is generated from a tunable CW Ti:Sapphire laser (Coherent MBR PE). A convex lens with focus length of 25 mm is used to couple the laser beam into the photonic structures. An input mirror (with a transmission of 98% at 808 nm and a reflectivity of \sim 99% at 1064 nm) and an output mirror (with a reflectivity of \sim 99% at 808 nm and 60% at 1064 nm, respectively) are adhered to the input and output end facets of the waveguides respectively, constructing the Fabry-Perot lasing resonant cavity. Here, a set of mechanical bindings are employed to make the mirrors closely attached to the facets of the waveguide sample. The generated lasers are collected by utilizing a $20 \times$ microscope objective lens (N.A. = 0.4) and imaged by using an infrared charge-coupled device. A dichroic beam splitter is used to separate the residual non-absorbed 808-nm pump radiation. We use a spectrometer with resolution of 0.2 nm to analyze the emission spectra of the laser beams.

III. RESULTS AND DISCUSSION

The refractive index change in the femtosecond laser inscribed tracks (the contrast between individual modification and the unprocessed crystal) is determined to be $\Delta n \approx -4 \times 10^{-3}$. Briefly, the refractive index map is constituted by the superposition of the damage induced refractive index modification (reduction) and the stress field induced refractive index map (refractive index increment at compressed volumes). The first is obtained from the fluorescence images based on the fluorescence intensity as the fluorescence intensity reduction in respect to the bulk material is, in a first order approximation, assumed proportional to the laser-induced damage in the Nd:YAG network. The conversion of laser induced damage into refractive index reduction is performed by a reference Nd:YAG sample that contains different damage tracks fabricated under the same conditions of femtosecond laser irradiation. The stress-induced refractive index map has been calculated from the fluorescence map obtained in terms of the induced spectral shift of Nd³⁺ fluorescence lines. From the spectral shift, one calculate the spatial variation of residual stress and from this the relative change in the unit cell volume (i.e., the lattice dilatation/densification). 1330



Fig. 2. (Color Online) Simulated beam modal profiles of 1064-nm light propagating through (a) 1 to 2 and (d) 1 to 4 waveguide splitter and the measured (b,e) TE and (c,f) TM modes at lasing wavelength of \sim 1064 nm, respectively. The input laser is with a Gaussian beam profile.

Finally, the refractive index modification is calculated by applying the Claussius–Mossitti approximation [27]. With this value the beam modal profiles of 1064-nm light propagating through these 3-D photonic-lattice-like microstructures are calculated by Rsoft software based on the finite-difference beam propagation method, shown in Fig. 2(a) (from A to A_1 - A_2) and 2(d) (from B to B_{11} - B_{22}). Fig. 2(b) and (c) ((e) and (f)) depict the 1 to 2 (1 to 4) waveguide splitter laser profiles of the measured TE and TM modes at lasing wavelength of ~ 1064 nm, respectively, which are in good agreement with the simulated mode data. It should be noted that the measured intensity splitting ratios are 0.51:0.49 for the two arms of the 1 to 2 splitter, and 0.255:0.255:0.255:0.235 for the four arms of 1 to 4 splitter, exhibiting excellent 3-D beam splitting performances with a great equalization of the output beams. The non-perfect splitting ratios are mainly due to the deviations induced by femtosecond laser writing during the fabrication process. In addition, the total attenuations of the 3-D waveguide splitters (including splitting losses and guiding propagation losses) at 1064 nm are determined to be 0.5 and 0.8 dB for 1 to 2 and 1 to 4 waveguide splitters, respectively. The measured value for the homogeneous photonic-lattice-like waveguide is also approximately ~ 0.5 dB, showing that, in 1 to 2 splitter regime, the axial defects do not introduce additional losses, and the beam manipulation can be implemented in the photonic-lattice-like structures efficiently.

Fig. 3 shows the output laser powers at 1064 nm as a function of incident pump power for the 3-D 1 to 2 and 1 to 4 waveguide splitters, respectively. For comparison, the lasing performance curve for the reference structure is also depicted in this figure. As the incident pump power is above a threshold (\sim 90 mW), lasing oscillations are observed in all the photonic-lattice-like structure systems. For the 1 to 2 waveguide splitter structure, the laser shows a slope efficiency of 34% and a maximum output power of 333 mW. For the 1 to 4 waveguide splitter, the laser operates with a slope efficiency of 22%, climbing to a maximum output power of 217 mW in case of an incident pump power of 1.06 W. Compared with the lasing performance based on the reference structure, i.e., a straight photonic-lattice-like structure, (with slope efficiency of 52% and maximum output power of



Fig. 3. (Color Online) Output laser powers at 1064 nm as a function of incident pump power for the waveguide splitters and the reference structure.

502 mW), there is a 33% and 56% decrease of the maximum output power for the 3-D 1 to 2 and 1 to 4 beam splitters, respectively. This should be mainly attributed to the mismatching of the pump and laser modes during the waveguide laser excitation and the additional bending losses from the split lasing cavity, i.e., non-perfect Fabry-Perot cavity, since the attenuation from the introduction of axial defects are negligible in passive regime. The bending losses (relevant to the size, shape and geometry of the laser resonate cavity) here, partly induced by the unsmooth bends at the joint positions, have a huge impact on the laser performance (the larger deviation displacements lead to greater additional attenuations), which could be improved by smoothing the curved part of the waveguide. It is worth mentioning that the coupling losses are not excluded from the calculation of the incident power in Fig. 3. Hypothetically, the slope efficiency of the straight reference structure could be increased to as high as 65% if the coupling efficiency of the pump beam and the waveguide mode profile is considered (estimated to be $\sim 80\%$).

In addition, the thorough information of the pumping polarization effects of the waveguide splitter laser powers has been investigated by measuring the all-angle waveguide laser output power along the transverse plane under maximum 808 nmpumping power. It is found that the waveguide laser output power was independent of the polarization of the pump light (i.e., for any transverse polarization of the input light, the waveguide laser output power is almost same), indicating a perfectly isotropic light confinement performance. This feature is advantageous for unpolarized optical pump by diodes for high-power waveguide laser generation. In addition, our investigations have also indicated that, for the optical pumping with linearly polarized light at 808 nm, the lasers at 1064 nm are with the same polarization to the pump beams. This means that the 1064nm output waveguide laser through the guiding structure well preserves the original polarization of the 808-nm pump beam, which is in agreement with the previous experimental results in Nd:YAG bulk materials [30]. In fact, the polarization of the generated waveguide lasers also depends on the waveguide

supporting configurations. For example, the laser-written dualline waveguides in Nd:YAG only support TM polarized modes, in which the waveguide lasers are always with vertical polarization at optical pump [9].

IV. CONCLUSION

In conclusion, we have designed and fabricated 3-D waveguide splitter structures in a Nd:YAG laser crystal wafer by employing the direct femtosecond laser writing. The integration of a few specially designed photonic-lattice-like elements with defect lines has been demonstrated to be an adaptable and simple way to build compact 3-D photonic-lattice-like structures capable of producing efficient beam divisions. In addition, the splitting lasers at 1064 nm has been achieved demonstrating that, based on the proposed monolithic photonic-lattice-like structures arbitrarily complex 3-D beam manipulation devices could be implemented. This study paves a way to produce direct-pump compact integrated laser devices on chip scales for light guiding, laser action and beam splitting in dielectric laser crystals for various photonic applications.

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