

1 Cumulative live birth rates following blastocyst versus cleavage stage embryo  
2 transfer in the first complete cycle of IVF: a population-based retrospective  
3 cohort study

4 **Running title:** Association between day of embryo transfer and live birth

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18 **Abstract**

19 **STUDY QUESTION:** Is there a difference in the odds of a live birth following blastocyst versus  
20 cleavage stage embryo transfer in the first complete cycle of IVF?

21 **SUMMARY ANSWER:** After adjusting for indication bias, there was not enough evidence to  
22 suggest a difference in the odds of live birth following blastocyst versus cleavage stage  
23 embryo transfer in the first complete cycle of IVF.

24 **WHAT IS KNOWN ALREADY:** Replacement of blastocyst stage embryos has become the  
25 dominant practice in IVF but there is uncertainty about whether this technique offers an  
26 improved chance of cumulative live birth over all fresh and frozen-thawed embryo transfer  
27 attempts associated with a single oocyte retrieval.

28 **STUDY DESIGN, SIZE, DURATION:** National population-based retrospective cohort study of  
29 100610 couples who began their first IVF/ICSI treatment at a licenced UK clinic between 1<sup>st</sup>  
30 January 1999 and 30<sup>th</sup> July 2010.

31 **PARTICIPANTS/MATERIALS, SETTING, METHODS:** Data from the Human Fertilisation and  
32 Embryology Authority (HFEA) register on IVF/ICSI treatments using autologous gametes  
33 between 1999 and 2010 were analysed. The primary outcome was the live birth rate over  
34 the first complete cycle of IVF. Cumulative live birth rates (CLBR) were compared for couples  
35 who underwent blastocyst and cleavage transfer, and the adjusted odds of live birth over  
36 the first complete cycle were estimated for each group using binary logistic regression. This  
37 analysis was repeated within groups of female age, oocytes collected and primary versus  
38 secondary infertility. Inverse probability of treatment weighting was used to account for the  
39 imbalance in couple characteristics between treatment groups.

40 **MAIN RESULTS AND THE ROLE OF CHANCE:** In total, 94294 (93.7%) couples had a cleavage  
41 stage embryo transfer while 6316 (6.3%) received blastocysts. Over the first complete cycle  
42 of IVF/ICSI (incorporating all fresh and frozen-thawed embryo transfers associated with the  
43 first oocyte retrieval), the CLBR was increased in those who underwent blastocyst transfer

44 (56.5%) compared to cleavage stage embryo transfer (34.8%). However, after accounting for  
45 the imbalance between exposures, blastocyst transfer did not significantly influence the  
46 odds of live birth over the first complete cycle [adjusted odds ratio: 1.03 (0.96, 1.10)].

47 **LIMITATIONS, REASONS FOR CAUTION:** Limitations of our study include the retrospective  
48 nature of the HFEA dataset and availability of linked data up until 2010. We were unable to  
49 adjust for some confounders, such as smoking status, BMI and embryo quality, as these data  
50 are not collected at national level by the HFEA. Similarly, there may be unknown couple,  
51 treatment or clinic variables that may influence our results. We were unable to assess the  
52 intended stage of embryo transfer for women who did not have an embryo replaced, and  
53 therefore excluded them from our study. Perinatal outcomes were not included in our  
54 analyses and would be a useful basis for future study.

55 **WIDER IMPLICATIONS OF THE FINDINGS:** Our findings show that blastocyst stage embryo  
56 transfer may offer an improved chance of live birth in both the first fresh and the first  
57 complete cycle of IVF/ICSI compared to cleavage stage transfer, even in couples with  
58 typically poorer prognoses. Where possible, offering blastocyst transfer to a wider range of  
59 couples may increase cumulative success rates.

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69 **Key words:** blastocyst, cleavage stage embryo, cumulative live birth rate, IVF, embryo  
70 transfer, indication bias

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## 77 Introduction

78 In the UK, 1 in 6 couples experience infertility (Oakley *et al.*, 2008), defined as the inability  
79 to conceive after 1 year of unprotected intercourse (Zegers-Hochschild *et al.*, 2009). The  
80 National Institute for Health and Care Excellence recommends IVF as the treatment of  
81 choice for prolonged unresolved infertility irrespective of cause (Human Fertilisation and  
82 Embryology Authority, 2018). Over 1 million treatments have been offered between 1991  
83 and 2016 in the UK (Human Fertilisation and Embryology Authority, 2018).

84 In the 40 years since the inception of IVF, there have been continuous advances in ART. The  
85 focus on increasing live birth rates whilst reducing the time taken to achieve pregnancy has  
86 led to the use of techniques such as extended embryo culture until blastocyst stage (day  
87 5/6), which ensures selection of the best quality embryos which are more likely to implant

88 (Human Fertilisation and Embryology Authority, 2016). Meta-analyses of randomised trials  
89 have demonstrated an increased live birth rate after fresh blastocyst transfer in comparison  
90 with fresh cleavage stage transfer (Glujovsky *et al.*, 2016, Wang, S. and Sun, 2014) and  
91 suggested a potential reduction in the risk of first-trimester miscarriage (Wang and Sun,  
92 2014).

93 Simultaneously, growing awareness of the risks of multiple pregnancy and developments in  
94 embryo freeze-thaw methods have led to a move to replace fewer embryos and  
95 cryopreserve any surplus for future use (Human Fertilisation and Embryology Authority,  
96 2018). However, it is unknown whether an embryo transfer strategy that optimises success  
97 rates following a fresh embryo transfer would be equally effective in the context of  
98 subsequent frozen-thawed embryo transfers. Therefore, it has become essential that the  
99 immediate gains associated with fresh blastocyst transfer be assessed against the potential  
100 risk of having fewer blastocysts to transfer after freezing and thawing – especially in women  
101 with fewer embryos. A Cochrane review (Glujovsky *et al.*, 2016) has suggested that  
102 cumulative live birth rates (CLBR) are sustained following blastocyst transfer, but as relevant  
103 follow up data from randomised trials are scarce, we undertook a national population-based

104 study to determine whether blastocyst stage embryo transfer is associated with a higher  
105 chance of cumulative live birth (i.e. fresh followed by frozen embryo transfers arising from a  
106 single oocyte retrieval episode) in comparison with cleavage stage embryo transfer. We also  
107 investigated whether the association varies in different subgroups of women based on age,  
108 number of oocytes collected and history of previous pregnancy.

## 109 **Materials and Methods**

110 The Human Fertilisation and Embryology Authority (HFEA) routinely collects information  
111 submitted by all licenced UK fertility clinics about their patients, treatments and outcomes.  
112 Access to a linked form of the register allows tracking of women through fresh and frozen  
113 treatments and calculation of CLBR (McLernon *et al.*, 2016, McLernon *et al.*, 2016). Approval  
114 to access linked HFEA data was given by the North of Scotland Research Ethics Committee,  
115 the HFEA Register Research Panel and the Confidentiality Advisory Group.



116 *Study population*

117 In this population-based cohort study anonymised linked data were extracted from the  
118 HFEA database for all IVF/ICSI patients who began their first ovarian stimulation treatment  
119 at a licenced UK clinic between 1<sup>st</sup> January 1999 and 30<sup>th</sup> July 2010. Records of any  
120 associated frozen cycles carried out before 30<sup>th</sup> July 2011 were also included to give women  
121 time to complete any frozen transfers that were associated with their first complete cycle of  
122 treatment. As all treatment data were linked to the individual women who received them, it  
123 was possible to code their initial fresh treatment and any associated frozen treatments as  
124 their first complete cycle on a per-woman basis (McLernon *et al.*, 2016). We defined a  
125 complete cycle as all fresh and frozen-thawed embryo transfer attempts associated with a  
126 single oocyte retrieval episode (Moragianni and Penzias, 2010).

127 Consent for IVF patient data to be used in research changed from 'presumed' to 'required'  
128 in October 2009. Therefore, from October 2009, only details relating to those patients who  
129 provided explicit consent for their data to be used in research were available. To determine  
130 whether any bias may arise from the exclusion of these patients, we compared the

131 characteristics of patients who started their first cycle of IVF between 1<sup>st</sup> January 2008 and  
132 30<sup>th</sup> September 2009, and between 1<sup>st</sup> October 2009 and 30<sup>th</sup> June 2010.

### 133 *Exposure groups*

134 After exclusion criteria were applied (Fig. 1), women were divided into two comparison  
135 groups based on the stage of embryo used in their first fresh transfer, i.e., blastocyst (day  
136 5/6) or cleavage (day 2/3).

### 137 *Baseline characteristics*

138 We assessed baseline characteristics for all women at the beginning of their first cycle of  
139 treatment (i.e. their first oocyte retrieval and subsequent fresh embryo transfer). This  
140 included: age; duration of infertility (years); previous history of pregnancy (i.e. primary or  
141 secondary infertility); type of infertility (unexplained, endometriosis, tubal, anovulatory,  
142 male factor or multiple diagnoses). With regards to treatment, we assessed: type of  
143 treatment used (IVF/ICSI); number of oocytes retrieved; number of embryos transferred;  
144 and whether any embryos resulting from the first oocyte retrieval were frozen.

145 *Outcome*

146 The primary outcome in this study was the live birth rate over the first complete cycle of  
147 IVF.

148 *Ethical approval*

149 Ethical approval was granted by the North of Scotland Research Ethics Committee  
150 (12/NS/0119). The study sponsor, Research Governance, University of Aberdeen, granted a  
151 non-substantial ethical amendment on 4th Oct 2017.

152 *Missing data*

153 A total of 27957 (27.8%) women had at least one variable with missing data. Multiple  
154 imputation of missing data was performed to increase the power of the study by allowing us  
155 to include women who would have been excluded otherwise. This procedure assumes that  
156 missing data were missing at random, conditional on the observed covariates and outcome.  
157 Missing values were imputed based on other covariates measured at the first treatment.  
158 Patient characteristics used in the multiple imputation included: female age; year of first

159 treatment; category of infertility (tubal, anovulatory, male factor, endometriosis or  
160 unexplained); and duration of infertility. Treatment related characteristics included: number  
161 of oocytes retrieved; treatment used (ICSI/IVF); number of embryos created; number of  
162 embryos transferred; live birth status following the first fresh embryo transfer; whether any  
163 embryos were cryopreserved; and the stage of any embryos transferred  
164 (blastocyst/cleavage). In order to check that the covariates used for the multiple imputation  
165 were plausible predictors of missingness, a multivariable logistic regression was used to  
166 predict if any missing data was present. Any covariates showing a statistically significant  
167 association with missing data would support our assumption that the data were missing at  
168 random (Curran *et al.*, 1998). Ten imputed datasets were created.

### 169 *Inverse probability of treatment weighting*

170 To address the effect of confounding by indication in our analyses, inverse probability of  
171 treatment weighting (IPTW) was used. After weighting each subject by the inverse of their  
172 propensity score (i.e. the probability of receiving blastocyst embryo transfer over cleavage  
173 embryo transfer), the distribution of baseline characteristics should be approximately equal  
174 between the two embryo transfer groups.

175 For each of the 10 imputed datasets, a generalised linear mixed model was used to generate  
176 the predicted probability of receiving a blastocyst transfer for each patient. Covariates  
177 included factors that could have influenced the decision to opt for a blastocyst transfer,  
178 based on previous research (Marsh *et al.*, 2012), and other observed characteristics of  
179 treatment to improve the fit of the model. These are listed in the baseline characteristics  
180 section described earlier. Additionally, the IVF clinic where the treatment was performed  
181 was included in the model as a random intercept as some clinics may not have performed  
182 blastocyst transfers during the study period. The inverse of the predicted probability of  
183 having a blastocyst stage embryo transfer was used as a weighting variable for each patient.

184 Women who underwent blastocyst transfer had their data weighted by the inverse  
185 probability of having a blastocyst transfer:

$$\frac{\textit{observed probability of blastocyst transfer}}{\textit{predicted probability of blastocyst transfer}}$$

186 Women who underwent cleavage stage transfer had their data weighted by the inverse  
187 probability of having a cleavage stage embryo transfer:

$$\frac{\textit{observed probability of cleavage stage transfer}}{1 - \textit{predicted probability of blastocyst transfer}}$$

188 The decision was taken to truncate the weights of all cases to the 0.1<sup>st</sup> and 99.9<sup>th</sup> percentile,  
189 to prevent very large or very small weights affecting the variance of our estimates (Austin  
190 and Stuart, 2015). Balance diagnostics were performed to test whether IPTW was effective  
191 in balancing baseline characteristics between women who had cleavage stage transfer and  
192 those who had blastocyst transfer (Austin and Stuart, 2015). Further information on the  
193 IPTW process and results can be found in the Supplementary Data.

194 *Association between blastocyst versus cleavage stage transfer and cumulative*  
195 *live birth*

196 A logistic regression model was fitted with IPTW to assess the influence of stage of transfer  
197 (blastocyst versus cleavage) on the odds of live birth over the first complete cycle of IVF.

198 This model was then fitted in each of the 10 imputed datasets with the treatment weights  
199 applied. The 10 sets of odds ratios were pooled to give the final adjusted odds ratio (AOR)  
200 for each covariate. Robust standard errors were used to account for the clustering effect of

201 weighting the model given that women with larger weights contribute more heavily to the  
202 model than those with smaller weights. This allowed assessment of the effect of using  
203 blastocyst compared with cleavage stage transfer on the odds of live birth, adjusted for  
204 confounding by indication.

### 205 *Subgroup analyses*

206 In order to understand if certain subpopulations had increased odds of live birth following  
207 transfer of a blastocyst rather than a cleavage stage embryo, we performed analyses split by  
208 certain characteristics. These included age groups (<31, 31-35, 36-40, >40 years), previous  
209 history of pregnancy (primary or secondary infertility) and number of oocytes collected (1-7,  
210 8-15, >15).

211 For each subgroup, we generated new inverse probability of treatment weights within each  
212 imputed dataset. We then used these to weight a logistic regression model to assess the  
213 odds of live birth in the first complete cycle.

214 *Sensitivity analyses*

215 *Complete case analysis*

216 The logistic regression model for live birth was fitted only to patients with complete data to  
217 determine whether any bias may have been introduced by not imputing the missing data.

218 IBM SPSS Statistics for Windows, Version 25.0 was used for all statistical analyses (IBM Corp.  
219 Armonk, NY).

## 220 **Results**

221 *Baseline characteristics*

222 The baseline characteristics (before multiple imputation) of all couples at the start of their  
223 first complete cycle of IVF or ICSI are shown in Table I. A total of 94294 (93.7%) couples had  
224 a cleavage stage embryo transfer while 6316 (6.3%) received blastocyst embryo transfer  
225 (Fig. 1). The number of blastocyst transfer episodes increased throughout the study, from 71  
226 (1.1%) between 1999 and 2001 to 3524 (55.8%) between 2008 and 2010. The distribution of



227 female age was similar between the two exposure groups, as well as the proportions of the  
228 different causes of infertility. Duration of infertility tended to be 1 year shorter on average  
229 for those in the blastocyst group, with a median of 3 (interquartile range (IQR) 2-5) years of  
230 trying to conceive compared to 4 (IQR 3-6) in the cleavage group. Those in the blastocyst  
231 group also tended to have a higher number of oocytes collected, with a median of 14 (IQR  
232 10-18) compared to 9 (IQR 6-13) in those who had cleavage stage transfer.

233 Couples who had blastocyst stage transfer were more likely to use ICSI, making up 53.6% of  
234 treatments compared to 45.8% in those who had a cleavage stage transfer. Double embryo  
235 transfer was more commonly used than single embryo transfer in both groups, at 87.8% and  
236 70.3% in the cleavage and blastocyst groups, respectively. The proportion of single embryo  
237 transfers was 12.2% in the cleavage group, while for those who had blastocyst stage  
238 transfer this was more than double at 29.7%. Almost half of the couples who underwent  
239 blastocyst stage transfer were able to freeze some embryos (47.4%) compared to only one-  
240 third of couples who had cleavage stage transfers.

241 The only differences observed between the characteristics of women who started treatment  
242 from 1<sup>st</sup> January 2008 to 30<sup>th</sup> September 2009 and from 1<sup>st</sup> October 2009 to 30<sup>th</sup> June 2010

243 (when the opt-in policy for consent to use IVF data for research purposes was introduced)  
244 were related to treatment (Supplementary Table SI). More women had single and blastocyst  
245 stage embryo transfers during the latter period. These differences reflect the change in IVF  
246 practice rather than any difference in the characteristics of the women.

#### 247 *Live birth rates*

248 Blastocyst stage embryo transfer was associated with a higher CLBR compared to cleavage  
249 stage embryo transfer, at 56.48% (55.25, 57.70) and 34.79% (34.49, 35.10) respectively.

250 After accounting for the imbalance in baseline characteristics between the two exposure  
251 groups, women who had blastocyst stage embryo transfer did not have significantly  
252 increased odds of having a baby over the first complete cycle compared to women who had  
253 a cleavage stage embryo transfer (Table II) [AOR: 1.03 (0.96, 1.10)].

#### 254 *Subgroup analyses*

##### 255 **Age**

256 Table II shows the results of the subgroup analyses, including live birth rates and the  
257 weighted odds of live birth for blastocyst versus cleavage stage embryo transfer. The use of  
258 blastocyst stage embryo transfer gave significantly higher odds of live birth compared to  
259 cleavage stage embryo transfer in women under 31 years old, but not in any other age  
260 groups. In these women under 31 years old, women who had blastocyst transfer were  
261 almost 20% more likely to have a live birth than those who had a cleavage stage transfer  
262 [AOR: 1.19 (1.05, 1.35)].

### 263 **Primary versus secondary infertility**

264 For couples with no previous pregnancies, those who underwent blastocyst transfer had  
265 slightly higher odds of live birth than those who had cleavage stage transfer [AOR: 1.10  
266 (1.00, 1.21)] (Table II). However, stage of embryo transfer did not have a significant effect  
267 on the chance of live birth in couples who had a history of secondary infertility [AOR: 0.87  
268 (0.71, 1.06)].

### 269 **Number of oocytes**

270 Blastocyst transfer had a varying effect on the odds of live birth when compared against  
271 cleavage stage transfer across the three categories of number of oocytes retrieved. It made  
272 no significant difference to the odds of live birth for women with 1-7 eggs collected [AOR:  
273 1.14 (0.95, 1.36)]. However, for women with 8-15 eggs collected, the use of blastocyst  
274 transfer over cleavage stage transfer gave them a statistically significant 14% increase in the  
275 odds of live birth [AOR: 1.14 (1.05, 1.24)].

276 In contrast to the effect seen in the observed live birth rate, women with more than 15 eggs  
277 collected at the start of their cycle were significantly more likely to have a live birth with a  
278 cleavage stage transfer. Following the use of treatment weighting, blastocyst transfer  
279 reduced their odds of live birth by over one-fifth [AOR: 0.79 (0.69, 0.91)].

## 280 *Sensitivity analysis*

### 281 **Complete cases only analysis**

282 The odds of having missing data were higher for women whose IVF treatment occurred in  
283 the latter years, and for those who had one embryo transferred (versus two) and those who

284 had a blastocyst (versus cleavage) stage embryo transfer (Supplementary Table SII). When  
285 the weighted logistic regression model was fitted to women who only had complete data,  
286 blastocyst transfer was a significant negative predictor for live birth [OR: 0.86 (0.77, 0.97)].  
287 This reflects the biased results associated with excluding women with missing data.

## 288 Discussion

### 289 *Principal findings*

290 Our results show that blastocyst stage embryo transfer does not significantly influence the  
291 odds of cumulative live birth in the first complete cycle of IVF/ICSI incorporating the transfer  
292 of frozen embryos accruing from a single oocyte retrieval. Certain subgroups may benefit  
293 from the use of blastocyst transfer over cleavage stage transfer, such as younger women  
294 and those with no history of previous pregnancy.

### 295 *Strengths and limitations*

296 We used national data to estimate the chance of live birth following blastocyst versus  
297 cleavage stage embryo transfer. Where previous population-level work has only compared

298 blastocyst and cleavage stage transfer in individual fresh or frozen cycles (Wang, Y. A. *et al.*,  
299 2010), our study was able to link embryo transfer episodes together to give a clear picture  
300 of the chance of success over a complete cycle of IVF/ICSI. The use of national linked data  
301 gives our study increased power and generalisability to expand upon the findings of smaller  
302 single-site studies that have estimated CLBRs for blastocyst and cleavage stage transfers (De  
303 Vos *et al.*, 2016, Goldman *et al.*, 2016, Yin *et al.*, 2017).

304 Many previously published observational studies do not account for the effect of  
305 confounding by indication. Given that blastocyst transfer tends to be more commonly used  
306 in patients with better prognostic profiles (Marsh *et al.*, 2012), this may introduce bias into  
307 results if not adjusted for in analyses. Yin *et al.* used propensity-score matching to ensure  
308 equal distribution of key variables in both groups. However, this necessitates the exclusion  
309 of participants who do not match from the dataset (Yin *et al.*, 2017). This reduces the  
310 sample size, thereby diminishing the power and generalisability of subsequent analyses  
311 (Austin, 2011). To retain the full population for comparison, our study adjusted for  
312 confounding by indication using IPTW (Austin, 2011, Austin and Stuart, 2015). In addition to  
313 creating a population with evenly distributed characteristics available in our dataset, this

314 technique reduced the risk of introducing bias through patient selection and increased the  
315 power of our study compared to the alternative propensity score matching method.

316 The fact that women with single and blastocyst embryo transfers and whose treatment  
317 occurred during the latter years of the study were more likely to have missing data, suggests  
318 that our assumption that the data were missing at random (i.e. difference between missing  
319 and observed values can be explained by differences in observed data) and therefore our  
320 approach of multiple imputation was reasonable.

321 However, limitations of our study include the retrospective nature of the HFEA dataset and  
322 availability of linked data up until 2010. Any information not collected in the dataset could  
323 not be assessed, and therefore useful indicators for success, such as BMI, smoking, embryo  
324 quality, embryo freezing method and surplus embryos, were not included in analyses  
325 (Glujovsky *et al.*, 2016). These indicators would be important to include in future  
326 randomised controlled trials (RCTs) to further elucidate their influence on the outcomes of  
327 blastocyst and cleavage stage transfers. We included cryopreservation of embryos after the  
328 first fresh transfer as a proxy for quality, assuming that if few high-quality embryos were  
329 available after the first oocyte retrieval, it was less likely that any would be cryopreserved.

330 There were no variables included in the HFEA dataset to validate our exposure variable  
331 (blastocyst versus cleavage stage embryo transfer). We constructed this variable using the  
332 time from egg retrieval to embryo transfer, and therefore we cannot rule out the influence  
333 of measurement error due to errors in data recording. On 1<sup>st</sup> October 2009 the HFEA policy  
334 for couples to give consent for their data to be used for research purposes changed from  
335 opt-out to opt-in. This meant that the treatment cycles of couples who did not give explicit  
336 consent after this point were not available for research. Since 2009 blastocyst transfers have  
337 increased in popularity. Therefore, we cannot rule out that improvements in IVF practice  
338 over the past 10 years would lead to a different effect size for blastocyst versus cleavage  
339 transfer. However, a recent systematic review and meta-analysis, which included trials as  
340 recent as 2015, showed a broadly similar effect size to ours (Risk Ratio=1.11 (95% CI 0.92 to  
341 1.35)) (Martins et al, 2017).

342 Additionally, the developmental stage (blastocyst/cleavage) of embryos transferred in  
343 frozen cycles was not available, and so we assumed that the majority of women would  
344 cryopreserve embryos at the same stage as the first fresh transfer. Unfortunately, this does  
345 not account for women who may have frozen some cleavage stage embryos on day 2/3, and



346 kept others in culture until blastocyst stage for their first fresh transfer. Previous work in a  
347 similar national database has shown that only 1.3% of couples opted to do this, so this is  
348 unlikely to majorly impact our findings (Wang *et al.*, 2010). We were unable to assess the  
349 intended stage of embryo transfer for women who did not have an embryo replaced, and  
350 therefore had to exclude them from our study. This introduces bias, as it allows us to  
351 comment only on actual blastocyst transfer as an exposure, rather than the decision to  
352 undertake blastocyst transfer, which is the reality faced by clinicians and patients. It remains  
353 unknown whether a characteristic of each clinic, patient or cycle may have caused  
354 participants to transfer at cleavage stage as opposed to blastocyst stage. For example, by  
355 the end of the study period in 2010, many clinics were simply unable to offer blastocyst  
356 transfer if their embryology labs were not yet prepared for it. Given that it has previously  
357 been shown that failure to transfer is higher in women who use extended culture to  
358 blastocyst stage (Glujovsky *et al.*, 2016), there is still a need for the outcomes of these  
359 women to be addressed in future RCTs using intention-to-treat analysis.

360 *Findings in relation to existing literature*

361 A recent Cochrane review suggested that blastocyst transfer improves clinical pregnancy  
362 rates in fresh cycles but not in complete IVF cycles incorporating fresh and frozen embryo  
363 transfers (Glujovsky *et al.*, 2016). Although our study found a higher CLBR following  
364 blastocyst transfer compared to cleavage stage transfer, after we adjusted for indication  
365 bias using IPTW this association disappeared. This brings our findings into line with those of  
366 previous retrospective cohort studies, which found no difference in CLBRs after comparing  
367 blastocyst with cleavage stage transfer (De Vos *et al.*, 2016, Yin *et al.*, 2017). We have  
368 shown a higher rate of cryopreservation in couples who underwent blastocyst transfer.  
369 Whilst this is in contrast to two previous studies, (De Vos *et al.*, 2016, Glujovsky *et al.*, 2016),  
370 one other study that shared our finding reported that frozen-thawed blastocyst transfer  
371 showed a significantly higher live birth rate compared to frozen-thawed cleavage stage  
372 embryo transfers after matching on propensity score. However, again, significance was not  
373 maintained when cumulative rates were considered (Yin *et al.*, 2017).

374 A major change in UK clinical practice over the time period of this study has been the  
375 introduction of vitrification, which has the potential to improve embryo cryosurvival

376 compared to slow-freezing (Raju *et al.*, 2005, Takahashi *et al.*, 2005). In the 2016 Cochrane  
377 review by Glujovsky *et al.*, a single trial, which used vitrification, was the only one (out of  
378 the five included trials that provided cumulative pregnancy rates) to show that blastocyst  
379 transfer resulted in higher odds of cumulative pregnancy ( $n=120$ , OR: 2.44 [1.17, 5.12])  
380 (Glujovsky *et al.*, 2016).

381 Our study stands out amongst previous retrospective cohort studies in this area due to the  
382 originality of our subgroup findings. To our knowledge, previous research has focussed on  
383 comparisons of overall outcome rates between blastocyst and cleavage stage embryo  
384 transfer and lack the statistical power of national linked data to investigate the association  
385 within subgroups (De Vos *et al.*, 2016, Yin *et al.*, 2017). Among RCTs, the Cochrane review by  
386 Glujovsky *et al.* presented meta-analyses for cumulative pregnancy rates in subgroups such  
387 as poor versus good prognosis. Their results emphasised that couples with “good”  
388 prognostic factors (i.e. couples with characteristics favourable for natural conception) had  
389 an increased chance of pregnancy over the first complete cycle if cleavage stage transfer  
390 was used compared to blastocyst transfer (Glujovsky *et al.*, 2016). Our study, however,  
391 indicates that for certain subgroups of couples in the UK population with characteristics

392 associated with good prognosis (female age <31 years, primary infertility, 8-15 eggs  
393 retrieved) blastocyst transfer resulted in improved odds of live birth over the first complete  
394 cycle of IVF/ICSI. When the influence of indication bias is removed, there is no “one size fits  
395 all” transfer policy. We have identified key subgroups who may benefit from one type of  
396 embryo transfer over the other, and future meta-analyses could seek to elucidate this  
397 further.

### 398 *Implications for clinical practice*

399 Blastocyst transfer has established itself as the favoured option for couples and clinicians  
400 wishing to optimise live birth chances following the first embryo transfer episode. However,  
401 until recently there was very little research to indicate whether this perception holds true  
402 over a complete cycle of IVF. Patients and clinicians choose to opt for extended culture  
403 based on uncertain outcomes, at the risk of few embryos surviving and decreasing the  
404 number of pregnancy opportunities available to them. After accounting for the imbalance  
405 between the exposures, our results show that blastocyst transfer does not significantly  
406 increase the odds of having a baby over the first complete cycle. This knowledge will aid  
407 women and clinicians to make fully-informed decisions about whether blastocyst or

408 cleavage stage embryo transfer offers the best chance of success over a full cycle of IVF,  
409 rather than just the first step.

410 There is a perception that blastocyst transfer is most suitable for couples with a good  
411 prognosis, and in our dataset blastocyst transfers were much more common in high-  
412 responders with a high number of oocytes and a history of previous pregnancy. This profile  
413 has also been observed by Marsh *et al.* in the USA (Marsh *et al.*, 2012). However, our results  
414 indicate this assumption may not be entirely accurate. Couples with primary infertility were  
415 significantly more likely than couples with secondary infertility to have a live birth following  
416 blastocyst transfer compared to cleavage stage transfer. Additionally, while couples with 8-  
417 15 eggs retrieved had significantly increased odds of live birth following blastocyst transfer,  
418 high-responders with more than 15 eggs collected showed the opposite, and were more  
419 likely to succeed with cleavage stage transfer.

420 Our results indicate that while certain subgroups exist who may benefit from blastocyst  
421 transfer, routine use of blastocyst transfer may not increase the odds of cumulative live  
422 birth in the overall UK population. This can be used to help advise couples undergoing  
423 blastocyst replacement about their chances of success.

424 At the same time, before any strong recommendations can be made it is worth keeping in  
425 mind that any potential impact of blastocyst transfer on the future health of the offspring  
426 has yet to be fully elucidated. Previous studies have indicated that blastocyst transfer may  
427 be associated with increased birthweight and sex selection (with increased odds of have a  
428 male baby) (Chang *et al.*, 2009, Kaartinen *et al.*, 2015). A systematic review of observational  
429 data which was unable to adjust for confounders has suggested that babies conceived from  
430 replaced blastocysts may be at a higher risk of very preterm delivery (Maheshwari *et al.*,  
431 2013). There may be unforeseen consequences of extended culture and embryo selection  
432 that should be further investigated ahead of any changes to clinical practice.

### 433 *Implications for research*

434 To further inform patients about the viability of blastocyst transfer, the effect of potentially  
435 important confounders, such as vitrification and embryo quality, on the relationship  
436 between stage of embryo transfer and live birth should be explored in large RCTs to elicit  
437 CLBRs (Fleischer *et al.*, 2018, Glujovsky *et al.*, 2016). Couples and policymakers may be  
438 primarily concerned with the chance of leaving treatment with a live baby, but it is our  
439 responsibility to look beyond this and examine the chances of leaving treatment with a

440 “healthy” baby. Given that concerns have previously been raised regarding the perinatal  
441 outcomes of blastocyst transfer (Alviggi *et al.*, 2017, Chang *et al.*, 2009, Kaartinen *et al.*,  
442 2015, Maheshwari *et al.*, 2013), future population-level studies in linked datasets with more  
443 current data and RCTs should endeavour to report these outcomes alongside pregnancy and  
444 live birth rates.

#### 445 *Conclusions*

446 Blastocyst transfer does not influence the chance of live birth in the first complete cycle of  
447 IVF/ICSI in comparison with cleavage stage transfer, but may show improved odds of live  
448 birth in particular patient subgroups (i.e. couples with no previous pregnancies, those with  
449 8-15 eggs collected, and where the female partner is younger than 31 years). Routine use of  
450 blastocyst transfer may increase cumulative success rates for such couples, but robust data  
451 on offspring outcomes should be considered before any firm recommendations can be  
452 made.

453

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#### 461 *Authors' roles*

462 NJC, SB and DJM designed the study. NC conducted the statistical analysis under the  
463 supervision of DJM. NJC undertook the literature search and wrote the article. All authors  
464 contributed intellectually to the writing or revising of the manuscript and approved the final  
465 version.

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#### 476 *Conflict of interest*

477 All authors have completed the ICMJE uniform disclosure form at  
478 [www.icmje.org/coi\\_disclosure.pdf](http://www.icmje.org/coi_disclosure.pdf) (available on request from the corresponding author)  
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482 have influenced the submitted work.

#### 483 **Figure legends**

484 **Figure 1** Flow chart of exclusion criteria in a study of cumulative live birth rates following  
485 blastocyst versus cleavage stage embryo transfer in the first complete cycle of IVF.

486 **Supplementary figures**

487 **Supplementary Figure S1** Log-transformation of duration of infertility before imputation of  
488 missing data prevented normalisation of the skewed distribution of the variable.

489 (A) Distribution of duration of infertility without log-transformation in complete and  
490 imputed cases (B) Distribution of duration of infertility after log-transformation in complete  
491 and imputed cases.

492 **Supplementary Figure S2** Standardised difference in the mean of continuous variables and  
493 proportion of dichotomous variables between blastocyst and cleavage stage transfer, before  
494 and after inverse probability of treatment weighting.

495 Weighting lowered the standardised difference between the two comparison groups,  
496 creating a population with more evenly distributed baseline characteristics.

497

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**Table I** Baseline characteristics at the start of the first complete cycle of IVF/ICSI.

CHARACTERISTIC	STAGE OF EMBRYO TRANSFERRED AT FIRST FRESH CYCLE, <i>n</i> (%) unless otherwise stated		
	CLEAVAGE ( <i>n</i> = 94294)	BLASTOCYST ( <i>n</i> = 6316)	
Age (year), mean (SD)	33.7 (4.5)	33.7 (4.4)	580
Duration of infertility (years), median (IQR)	4 (3-6)	3 (2-5)	
Missing	22818 (24.2)	3598 (57.0)	
Type of infertility		581	
Primary infertility	55180 (58.5)	1798 (28.5)	
Secondary infertility	20143 (21.4)	994 (15.7)	
Missing	18971 (20.1)	3524 (55.8)	
Cause of infertility		582	
>1 cause	12534 (13.3)	990 (15.7)	
Tubal	15701 (16.7)	823 (13.0)	
583      Anovulatory	6249 (6.6)	484 (7.7)	
Male factor	32222 (34.2)	2129 (33.7)	
Endometriosis	3561 (3.8)	184 (2.9)	
584      Unexplained	24027 (25.5)	1706 (27.0)	
Year of first oocyte retrieval			
1999-2001	17565 (18.6)	71 (1.1)	
585      2002-2004	26929 (28.6)	708 (11.2)	
2005-2007	30829 (32.7)	2013 (31.9)	
2008-2010	18971 (20.1)	3524 (55.8)	
Type of treatment		586	
IVF	51126 (54.2)	2932 (46.4)	
ICSI	43168 (45.8)	3384 (53.6)	
Oocytes retrieved, median (IQR)	9 (6-13)	14 (10-18)	
Embryos transferred		587	
1	11541 (12.2)	1875 (29.7)	
2	82753 (87.8)	4441 (70.3)	
Embryos frozen		588	
Yes	27627 (29.3)	2995 (47.4)	
589      No	66667 (70.7)	3321 (52.6)	

IQR: interquartile range

590

**Table II** The effect of blastocyst versus cleavage stage embryo transfer on the odds of live birth in the first complete cycle, overall and by subgroup.

591

	NUMBER OF LIVE BIRTHS/NUMBER OF BLASTOCYST STAGE EMBRYO TRANSFERS (%)	NUMBER OF LIVE BIRTHS/NUMBER OF CLEAVAGE STAGE EMBRYO TRANSFERS (%)	WEIGHTED ODDS RATIO (95% CI) FOR BLASTOCYST VERSUS CLEAVAGE
<b>All women undergoing IVF/ICSI</b>	3567/6316 (56.5)	32809/94294 (34.8)	1.03 (0.96, 1.10)
<b>Subgroups</b>			
Age groups (years)			
<31	922/1519 (60.7)	9243/22196 (41.6)	1.19 (1.05, 1.35)
31-35	1572/2523 (62.3)	15017/37927 (39.6)	0.97 (0.87, 1.08)
36-40	989/1954 (50.6)	8155/29190 (27.9)	0.95 (0.82, 1.11)
>40	84/320 (26.3)	394/4981 (7.9)	1.52 (0.70, 3.28)
Type of infertility			
<i>Primary infertility</i>	2252/3975 (56.7)	23762/68468 (34.7)	1.10 (1.00, 1.21)
<i>Secondary infertility</i>	1315/2341 (56.2)	9047/25826 (35.0)	0.87 (0.71, 1.06)
Number of oocytes retrieved			
1-7	262/585 (44.8)	9347/36936 (25.3)	1.14 (0.95, 1.36)
8-15	1823/3313 (55.0)	16752/42705 (39.2)	1.14 (1.05, 1.24)
>15	1482/2418 (61.3)	6710/14653 (45.8)	0.79 (0.69, 0.91)

