1	Mechanism for Si Poisoning of Al-Ti-B Grain Refiners in Al-Alloys
2	
3	
4	Yun Wang ^a , Zhongping Que ^a , Teruo Hashimoto ^b , Xiaorong Zhou ^b , Zhongyun Fan ^a *
5	
6	a BCAST, Brunel University London, Uxbridge, Middlesex, UB8 3PH, UK
7	b School of Materials, University of Manchester, Manchester, M13 9PL, UK
8	
9	
10	* Corresponding author:
11	Professor Zhongyun Fan
12	BCAST, Brunel University London,
13	Uxbridge UB8 3PH, UK
14	Tel: +44 1895 266406
15	Email: <u>zhongyun.fan@brunel.ac.uk</u>
16	

- 17
- Mechanism for Si Poisoning of Al-Ti-B Grain Refiners in Al-Alloys
- 18

19 Abstract

20 Al-5Ti-1B is the most widely used grain refiner for Al-alloys. However, it is not effective for grain refining Al-alloys containing more than 3 wt.% Si. This adverse effect of Si is referred to 21 as Si poisoning. In spite of extensive experimental and theoretical investigations in the past 22 decades, the exact mechanism for Si poisoning is still not clear. In this work, the state-of-the-23 art electron microscopy was performed to investigate the mechanism for Si poisoning. Our 24 experimental results suggest that Si segregates preferably to the TiB₂/Al-Si melt interface and 25 the pre-existing Al₃Ti 2-dimensional compound (2DC) layer on TiB₂ surface dissolves into the 26 Al-Si melt. Based on the experimental results, we have postulated a new mechanism for Si 27 28 poisoning: interfacial segregation of Si leads to enrichment of Si at the TiB₂/Al-Si melt interface, and this in turn makes the pre-existing Al₃Ti 2DC on the TiB₂ surface unstable and 29 dissolve gradually in the melt, resulting in a loss of TiB₂ nucleation potency and hence a 30 decreased total number of potent TiB₂ particles available for heterogeneous nucleation and 31 32 grain initiation and consequently an increased grain size. This mechanism for Si poisoning can explain consistently the experimentally observed phenomenon reported in the literature. 33 34

35 Keywords: Solidification; Heterogeneous nucleation; Grain refinement; Si poisoning.

36

1. Introduction 37

A grain refined microstructure is usually desirable for Al-alloy castings, since it not only 38 facilitates the casting processes, but also improves mechanical performance of the alloys 39 through reduced cast defects, such as macro-segregation, hot tearing and porosity. In the Al 40 41 industry, a common foundry practice for grain refinement is addition of grain refiner prior to casting processes, with Al-5Ti-1B (all the alloy compositions are in wt.% unless stated 42 otherwise) being the most widely used commercial grain refiner. Since the introduction of Al-43 Ti-B based grain refiners in early 1950s, [1] extensive experimental investigations and 44 45 theoretical studies have been carried out to understand the underpinning mechanisms for grain refinement. [2-7] It is now generally accepted that promoting heterogeneous nucleation of 46 numerous αAl grains on TiB₂ particles is responsible for grain refinement. Various hypotheses 47 have been proposed to explain the mechanisms of heterogeneous nucleation in the Al-TiB₂ 48 49 system. [3-7] It is only until very recently to realize that the formation of an atomic layer of Al₃Ti 2-dimensional compound (2DC) on the $(0\ 0\ 0\ 1)$ TiB₂ surface is responsible for the high 50 potency of the TiB₂ particles for nucleation of α Al grains. [8] 51

The presence of alloying elements has long been recognized as one of the important factors for 52

grain refinement by providing growth restriction. However, this may not be true for all the 53

- elements. For instance, Al-5Ti-1B becomes ineffective for grain refinement when certain 54
- elements are present in the alloy melt, such as Zr, [9-14] Li, [15-16] Cr, [17-18] and high levels 55 of Si. [19-34] The negative effect of solute elements on grain refinement is referred to as 56
- 57

- interaction between solute elements and TiB_2 particles leads to the decrease in nucleation
- potency of the TiB_2 particles. [35] Our recent research work on Zr poisoning disclosed that the

60 presence of Zr in the melt results in the dissolution of the pre-existing Al₃Ti 2DC layer (formed

- 61 during the grain refiner production process) and the formation of a Ti_2Zr 2DC atomic layer on
- TiB_2 surface, which is responsible for the reduced potency of TiB_2 for heterogeneous
- 63 nucleation of α Al. [36]
- 64 The phenomenon of Si poisoning has been observed in Al-Si alloys containing more than 3%
- 65 Si inoculated with Al-5Ti-1B grain refiner. [19-23, 30-32] Sigworth and Guzowaski [20] and
- Johnsson *et al* [21-22] showed that, with inoculation by Al-5Ti-1B, the grain size of Al-Si
- alloys first decreased slightly and then increased with increasing Si content with a minimum
- value at ~3% Si. A number of studies subsequently confirmed the poisoning effect of Si. [23-
- 69 34] In addition, it was found that at a given processing temperature and a given Si
- 70 concentration (> 3%), the grain size increases with increasing holding time of the melt prior
- casting. [32] Furthermore, Si poisoning has also been reported in Al-Si alloys without the
- 72 addition of Al-5Ti-1B grain refiner. It was found that the grain size of un-inoculated Al-Si
- alloys began to increase after reaching to a minimum value at ~3% Si. [26, 29-31, 34]
- 74 Overcoming Si poisoning has been a significant research topic in the past decades. The major
- 75 approaches include: (i) higher addition level of hyper-stoichiometric (the stoichiometric weight
- ratio of Ti:B = 2.2:1) Al-Ti-B master alloys; [32-33, 37] (ii) modification of grain refiner
- composition, such as Al-2.2Ti-1B, Al-3Ti-3B and Al-1Ti-3B; [37-41] and (iii) development of
- new grain refiners based on the other alloy systems, such as Al-Ti-C, [37, 42-43] Al-Ti-B-C,
- 79 [44] Al-B [37,40,45-47] and Al-Nb-B. [48-50] For instance, addition level of as high as 0.6%
- 80 (typically 0.1% for a standard practice in industry) of Al-5Ti-1B grain refiner was shown to
- result in a fully refined structure for Al-7Si alloys. [32, 33] In addition, some newly developed
- alternatives, such as Al–3Ti–3B and Al–1Ti–3B (sub-stoichiometric), have been reported to
- provide a better grain refinement performance than the conventional Al–5Ti–B refiner. [37-40]
- Furthermore, binary Al-B system without Ti involved, Al-3B for instance, was shown to offer a
- better refining performance than Al-5Ti-1B. [33, 45-47] More recently, Al-2Nb-2B based grain
- 86 refiner were claimed to offer good performance in grain refinement of Al-Si alloys with
- poisoning resistance. [48-50] Effect of trace elements on refining effectiveness of Al-Ti-B
- based refiner has also been investigated. It was noted that some elements, such as Mg and Sr
- etc., were shown to counteract Si poisoning. [32, 41, 51]
- 90 A number of hypotheses were proposed to explain the mechanism for Si poisoning, although
- 91 no consensus has been reached so far. One school of thoughts is the formation of Ti-Si or Ti-
- 92 Si-Al compounds on the TiB_2 surface. It was postulated that formation of the silicides by
- 93 interaction between TiB_2 and solute Si made the nucleant particles less potent, [20, 21, 35, 52-
- 54] although there was little direct experimental evidence for this mechanism. For instance,
- 95 formation of Ti-Si compounds at the $TiB_2/\alpha Al$ interface was reported only in metallic glass
- 96 (Al₈₀Ni₁₀Cu₈Si₂ alloy containing up to 0.09% Ti), [52-54] but not in Al melt containing high Si
- 97 content. Thermodynamic analysis for formation of various silicides was carried out. [35, 55] It
- 98 was reported that, with increasing Si content, the Ti level required for $TiSi_2$ formation is
- reduced. [55] In addition, based on thermodynamics and lattice matching, [35] it was suggested
- that, among all the possible Ti-Si or Ti-Si-Al compounds, Ti_5Si_3 was the likely one to form on
- 101 TiB_2 surface causing poisoning effect. Another school of thoughts involves the increased

- 102 growth velocity of α Al. Due to a reduced growth restriction caused by formation of titanium 103 silicides, [56] the growth velocity of α Al would increase. Thermodynamic calculations also
- showed that, with high level of Si and excess Ti in Al-Si melt, the growth restriction parameter
- 105 Q would be greatly affected by strong exothermic interaction between the solutes Si and Ti.
- 106 [57] "Coincidently" at ~3% Si, a morphological transition from globular (cellular) to dendritic
- for α Al was observed. [29] It was thus suggested that highly branched dendrites, which grew
- 108 more rapidly, had a smaller dendrite tip radius and were thus able to disperse solute sideways
- as opposed to globular tips which pushed solute ahead of the solid/liquid interface. Arguably Si
- poisoning was attributed to the increase in the growth velocity of the dendrite arms due to a
- decreased tip radius with increasing Si content, [22, 23, 58] although the similar morphological
- transition was observed in Al-Cu alloys. [30, 59] Other hypotheses involve the change of
- solid/liquid interfacial energy, [29, 32, 60] solidification range [24, 30, 31] despite limited
- understanding, [61] and reduced peritectic temperature for the formation of a ternary aluminide(Al-Ti-Si) layer. [37]
- 116 Si poisoning has been a well-known phenomenon observed in various Al-Si alloys for decades.
- 117 Although a number of explanations have been proposed, the exact cause remains elusive. In
- this work, based on the confirmation of Si poisoning in Al-Si binary alloys solidified at a
- 119 constant cooling rate, extensive electron microscopy was carried out on the collected TiB_2
- 120 particles from Al-Si melts, focusing on $TiB_2/\alpha Al$ interfaces to reveal any possible structural
- and chemical modification of the TiB_2 particles caused by interactions between solute Si or
- impurity elements and the introduced TiB_2 particles. Composition profiles of Si and relevant
- impurities were established at local areas across the $TiB_2/\alpha Al$ interface by high resolution
- 124 STEM and high resolution Super-X EDS analysis. Based on the experimental findings of Si
- segregation at $TiB_2/\alpha Al$ (liquid) interface and the subsequent influence on the potency of TiB_2 particles for heterogeneous nucleation of αAl , we aim to identify the operating mechanism for
- 127 Si poisoning of Al-Ti-B based grain refiners, providing elucidation of experimental
- 128 observations. Understanding of mechanism for the poisoning effect provides the basis for
- developments of effective methods and alternative grain refiners to alleviate and overcome
- 130 eventually the negative poisoning effect.
- 131

132 **2. Experimental**

133 2.1. Raw Materials

Commercial purity aluminum (CP-AI) and Al-50Si master alloy were used in this work. Al-Si alloys containing up to 10% Si were prepared using the CP-Al and Al-50Si master alloy in a resistance furnace at 750°C with isothermal holding for an hour after melting. TiB₂ particles were introduced by addition of 0.2% commercial Al-5Ti-1B grain refiner rods, supplied by LSM (Rotherham, UK). The chemical compositions and impurity levels of the materials used in this work are given in Table 1.

- 140
- 141 Table 1 Compositions (wt.%) of materials used in this work.

Alloy Si Ti B Fe V	Zn Ni	Cu Cr	Al	Supplier
--------------------	-------	-------	----	----------

CP-Al	0.03	0.006		0.08		0.003	0.005	0.001	0.001	Bal.	Norton
Al- 50Si	49.90	0.02		0.60		0.02	0.01	0.03	0.01	Bal.	Avon Metals
Al- 5Ti-B	0.08	4.8	0.85	0.09	0.04					Bal.	LSM

- 143 2.2. Casting and grain size assessment
- 144 The grain size of the Al-Si alloys was assessed using the standard TP-1 test [62] which
- provides a consistent cooling rate of \sim 3.5 K/s at the central region of the transverse section 38
- 146 mm from the bottom of the TP-1 cast ingot. After the addition of 0.2% Al-5Ti-1B grain refiner,
- the Al-Si melt was holding for further 30 min. at 750 °C and stirred in every 10 minutes, and
- then poured into the pre-heated TP-1 mold (350 °C) which was then cooled by water spray
- 149 with a flow rate of 3.8 l/min. For comparison, TP-1 tests were also conducted for CP-Al with
- and without inoculation with the commercial Al-5Ti-1B grain refiner.
- 151 Examination of grain structure and quantification of grain size of the solidified TP-1 samples
- were carried out at the transverse section 38 mm from the base, and the longitudinal section of
- the lower part of the TP-1 ingots. The specimens for the quantitative metallography were
- 154 prepared following the standard procedures, subjected to a final polishing before anodizing
- using Barker's reagent (5 ml HBF4 + 200 ml distilled water). A Zeiss optical microscope fitted
- 156 with the Axio Vision 4.3 image analysis system was used for the grain size measurement. For
- each of the measurement by the mean linear intercept technique, the average of at least 500
- grains from areas covering the whole transverse section of the TP-1 ingot was taken for the
- 159 quantification of the grain size under the given casting conditions.
- 160
- 161 2.3. SEM and high resolution TEM/STEM
- 162 In order to facilitate examinations of TiB_2 particles and their interfaces with αAl by electron
- microscopy, a pressurized melt filtration technique was used to collect the TiB_2 particles in the
- 164 Al-Si melts inoculated with Al-5Ti-1B grain refiner. In this process, the prepared CP-Al or Al-
- 165 Si alloy melt containing TiB_2 particles was transferred into the crucible in the pressure chamber
- 166 of the melt filtration unit. Argon was introduced to force the melt to flow through a porous
- 167 ceramic filter attached to the bottom of the crucible. TiB_2 particles were thus collected
- immediate above the filter. The solidified material above the filter, which contained the locally
- 169 concentrated TiB_2 particles, was subjected to sampling. A detailed description of melt filtration
- 170 for collection of particles can be found elsewhere. [63, 64]
- 171 The collected TiB₂ particles, their surface and interface with α Al in particular, were examined.
- 172 Scanning electron microscopy (SEM) was performed using a Carl Zeiss Crossbeam 340
- 173 microscope equipped with energy dispersive x-ray spectroscopy (EDS). Thin foil specimens for
- 174 transmission electron microscopy (TEM) and scanning transmission electron microscopy
- 175 (STEM) were prepared from 3 mm diameter discs sliced from the filtered residue material. The
- 176 discs were manually ground to a thickness less than 60 μ m before further thinning by argon ion
- beam milling using a Gatan precision ion polishing system (PIPS) under a voltage of 1.0-5.0

- 178 kV and an incident beam angle of 3-5°. High resolution TEM examination was conducted on a
- 179 JEOL 2100F microscope operated with an accelerating voltage of 200 kV. Atomic resolution
- 180 STEM with Z contrast high-angle annular dark filed (HAADF) imaging was carried out on an
- aberration (Cs)-corrected FEI Titan 80-200 instrument equipped with Super-X energy
- dispersive x-ray spectroscopy (Super-X EDS) system, operated with an accelerating voltage of
- 183 200 kV. High resolution elemental mapping by STEM/Super-X EDS was conducted to obtain
- 184 compositional profiles across $TiB_2/\alpha Al$ interface.
- 185

186 **3. Results**

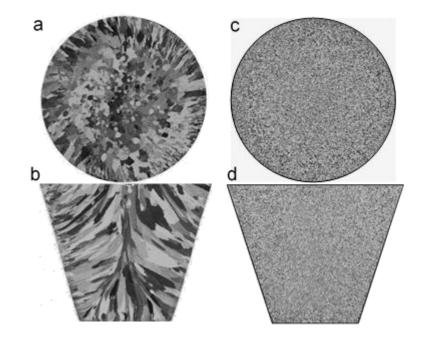
187 3.1. Si poisoning of Al-5Ti-1B grain refiner

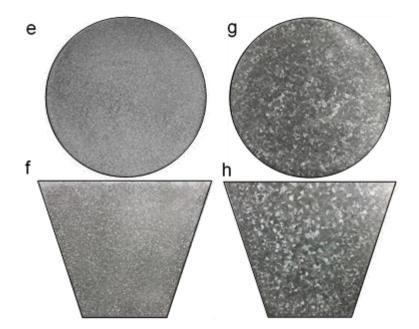
188 Figure 1 shows the typical macrostructures of CP-Al, Al-2.0Si and Al-8.4Si alloys solidified

under the TP-1 test conditions. As expected, the addition of 0.2% Al-5Ti-1B grain refiner

resulted in a significant grain refinement of CP-Al, transforming the coarse columnar grainstructure (Figures 1a and 1b) into a fine and fully equiaxed one (Figures 1c and 1d). Similarly,

- structure (Figures 1a and 1b) into a fine and fully equiaxed one (Figures 1c and 1d). Similarly a considerably refined and fully equiaxed grain structure was obtained for Al-2.0Si alloy
- inoculated with the grain refiner, as shown in Figures 1e and 1f. However, Al-8.4Si alloy
- inoculated with the grain refiner, as shown in Figures re and fit. However, AP-0.451 anoy inoculated with the same amount of the grain refiner showed a coarse and equiaxed grain
- 195 structure (Figures 1g and 1h). The optical micrographs in Figure 2 show the microstructures of
- Al-2.0Si and Al-8.4Si alloys inoculated with the Al-5Ti-1B grain refiner. The average grain
- size of the primary α Al phase for Al-2.0Si alloy is 195±22 µm, being comparable to 202±26
- 198 μ m for the refined CP-Al. However, the grain size is 686±73 μ m for the inoculated Al-8.4Si
- alloy, which is considerably larger than that for the inoculated Al-2.0Si alloy. In addition, there
- is also clear difference in grain morphology between Al-2.0Si and Al-8.4Si alloys. The primary
- αAl has a typical rosette morphology for the inoculated Al-2.0Si alloy (Figure 2c), but a well developed dendritic morphology for the inoculated Al-8.4Si alloy (Figure 2d).





205	Fig. 1 Macrographs showing	g grain structures of TP-1	test samples on (a, c, e, g)	transverse and
				(1) (()) 1

- (b, d, f, h) longitudinal sections of (a, b) CP-Al with no addition of grain refiner, (c, d) CP-Al,
 (e, f) Al-2.0Si and (g, h) Al-8.4Si alloys with addition of 0.2wt% commercial Al-5Ti-1B grain
- 208 refiner.

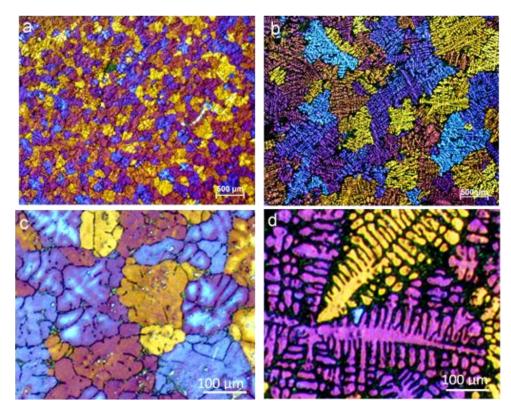


Fig. 2 Optical micrographs showing (a, b) general view of grain structures of TP-1 test samples

- of (a) Al-2.0Si and (b) Al-8.4Si alloy inoculated with 0.2 wt% Al-5Ti-1B, and (c, d)
- $\label{eq:alpha} 212 \qquad \text{morphological transition from cellular to dendritic for the primary αAl with Si concentration}$
- 213 increasing from (c) 2.0 wt% to (d) 8.4 wt%. The average grain size of α Al is measured to be
- 214 195 \pm 22 µm and 686 \pm 73 µm for Al-2.0Si and Al-8.4Si, respectively.

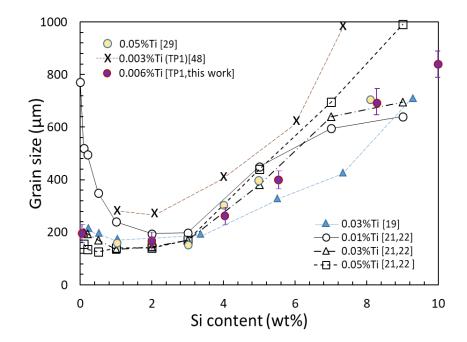


Fig. 3 Experimentally measured grain size of the primary α Al of hypoeutectic Al-Si alloys

217 inoculated with Al-5Ti-1B grain refiner as a function of Si concentration, together with the

218 representative data found in the literature.

219

The experimentally measured grain size is plotted in Figure 3 as a function of Si concentration, 220 being compared with the representative experimental data found in the literature. In agreement 221 with previous studies, the present work confirms the variation in grain size of Al-Si alloys with 222 changing Si content, although the absolute values of grain size vary, probably due to the 223 differences in the addition level of grain refiner, alloy composition, impurity level and 224 solidification conditions in the different studies. All the experimental observations show in 225 226 general that, when inoculated with Al-5Ti-1B grain refiners, grain size of hypoeutectic Al-Si alloys decreases slightly until ~3% Si and then increases with further increase of Si content. 227

228

229 3.2 TiB₂ particles added to Al-Si alloy melts

230 TiB_2 particles collected from the Al-Si alloy melt were subjected to extensive examinations by

various microscopic techniques. The reference point for this study is the original state of the

TiB₂ particles from the commercial grain refiner. Such TiB_2 particles are potent substrates for

heterogeneous nucleation of α Al due to the presence of Al₃Ti 2DC layer on their (0 0 0 1)

surface. [8, 36] There exists a well-defined orientation relationship (OR) between TiB₂ and α Al: [8, 36]

236

$(0\ 0\ 0\ 1)\ [1\ 1\ -2\ 0]\ TiB_2\ //\ (1\ 1\ 1)\ [0\ -1\ 1]\ \alpha Al \tag{OR1}$

which serves as hard evidence for the nucleation of αAl on TiB₂ substrate.

Figure 4a shows the typical hexagonal morphology of the TiB₂ particles collected from the Al-

8.4Si alloy melt inoculated with 0.2% Al-5Ti-1B. Compared with the original TiB₂ particles

from the commercial grain refiner, [65] there was no visible change in morphology and size

- after they were added to the melt and isothermally hold at 750°C. Figure 4b is a TEM bright
- field image showing the sharp TiB_2/Si interfaces at a higher magnification. Extensive high
- resolution TEM examination of multiple TiB_2 particles focusing on both $TiB_2/\alpha Al$ and TiB_2/Si
- interfaces revealed no evidence for the formation of any 3D bulk phase at the interfaces.
- Examples are given in Figures 4c and 4d, where sharp and smooth $TiB_2/\alpha Al$ (Figure 4c) and
- 246 TiB_2/Si (Figure 4d) interfaces are evident at atomic scale.
- 247

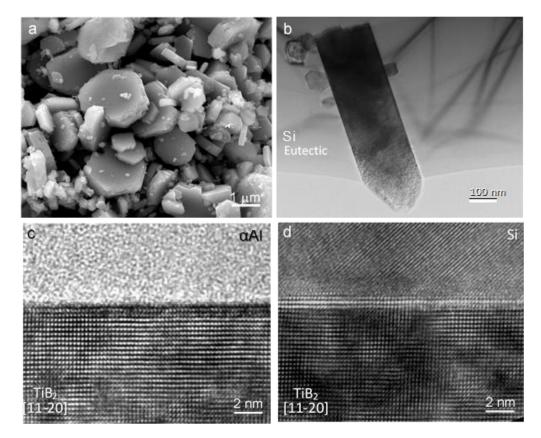


Fig. 4 (a) SEM and (b) TEM bright field image showing the morphology of TiB₂ particles
collected from Al-8.4Si alloy melt inoculated with 0.2 wt% Al-5Ti-1B grain refiner, indicating

- the typical hexagonal shape of TiB_2 and its sharp surface; and (c, d) high resolution TEM
- 252 images showing sharp and smooth (c) $TiB_2/\alpha Al$ and (d) TiB_2/Si (eutectic) interfaces at atomic 253 scale.

- TEM/STEM examinations showed that the majority of TiB₂ particles in Al-2.0Si and Al-8.4Si 255 alloys were not in any defined OR with the adjacent α Al, indicating that the majority of the 256 added TiB₂ particles did not participate in grain initiation of αAl during solidification. This is 257 consistent with the conclusion derived from the free growth model that only less than 1% of the 258 added TiB₂ particles are active for grain initiation. [65] TEM/STEM examination of multiple 259 TiB₂ particles showed that the well-defined OR1 between TiB₂ and α Al was readily observed 260 in the Al-2.0Si sample, as shown in Figures 5a and 5b. Occasionally, OR1 is not followed, e.g., 261 {1 1 1} planes of α Al being a few degrees away from being parallel to {0 0 0 1} planes of TiB₂, 262 although $[1 \ 1 \ -2 \ 0]$ TiB₂ and $[0 \ -1 \ 1]\alpha$ Al zone directions remain to be parallel to each other. As 263
- shown in Figures 5c and 5d, it is found by careful measurement through the fast Fourier

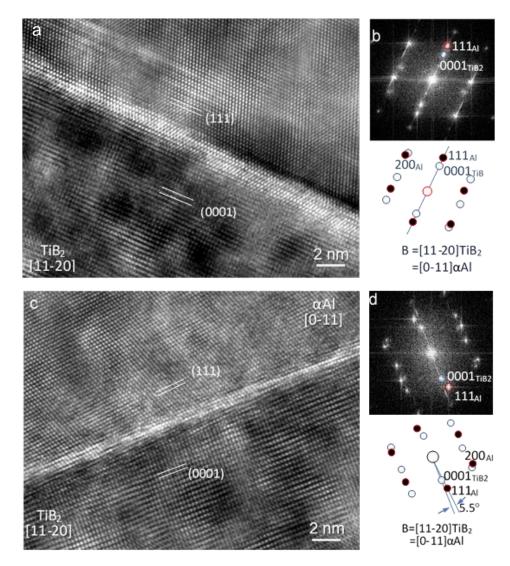


Fig. 5 (a, c) High resolution TEM images showing $TiB_2/\alpha Al$ interfaces in Al-2.0Si alloy sample where the TiB_2 particle and αAl are viewed along $[1\ 1\ -2\ 0]TiB_2$ and $[0\ -1\ 1]\alpha Al$ zone directions respectively; and (b, d) the corresponding fast Fourier transformation (FFT) patterns and their index showing that $(1\ 1\ 1)\alpha Al$ plane has a 5.5 degrees angle from $(0\ 0\ 0\ 1)TiB_2$ plane in (c, d).

- 271
- transformation (FFT) patterns that $(1 \ 1 \ 1)\alpha$ Al plane is ~5.5° away from being parallel to (0 0 0 1) TiB₂ plane. This gives:

274
$$(0\ 0\ 0\ 1)$$
TiB₂ ~5.5° (1 1 1) α Al, and [1 1 -2 0]TiB₂ // [0 -1 1] α Al. (OR2)

275 OR2 is actually equivalent to:

276
$$(0\ 0\ 0\ 1)\ [1\ 1\ -2\ 0]\ TiB_2\ //\ (5\ 5\ 4)\ [0\ -1\ 1]\ \alpha Al$$
 (OR2a)

277 In this case, the actual crystal plane of α Al which is parallel to (0 0 0 1)TiB₂ is (5 5 4), a

- 278 relatively high index crystal plane of α Al.
- However, TEM/STEM examinations of the TiB₂ particles collected from Al-8.4Si alloy melt
 inoculated with 0.2% Al-5Ti-1B grain refiner failed to find any well-defined OR between TiB₂
- and αAl , although as many as 80 TiB₂ particles in total were examined in multiple thin foil

- 282 TEM specimens. TiB_2 and adjacent Al were occasionally found to be in some sort of
- orientations considerably deviated from OR1. For example, Figure 6 shows that, in one
- 284 occasion, $(1 \ 1 \ 1) \alpha Al$ plane is far away from being paralleled to $(0 \ 0 \ 0 \ 1) TiB_2$, and $[1 \ 1 \ 0] \alpha Al$
- zone is not parallel to $[1 \ 1 \ -2 \ 0]$ TiB₂ zone either. Instead, $[1 \ -1 \ -2] \alpha Al$ direction is parallel to
- 286 $[1 \ 1 \ -2 \ 0]$ TiB₂ direction, with a relatively high index (3 1 1) plane of α Al being about 11
- degrees away from being parallel to $(0\ 0\ 0\ 1)$ surface of TiB₂. Obviously this does not give a
- 288 defined OR.
- 289

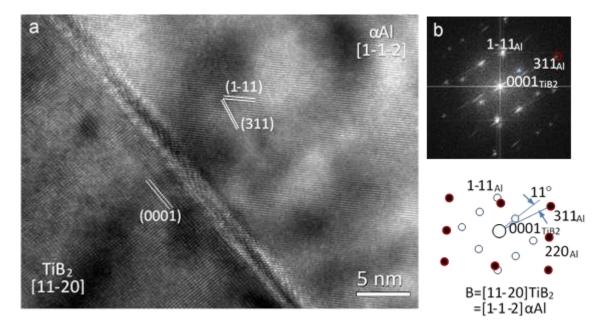


Fig. 6 (a) High resolution TEM image showing $TiB_2/\alpha Al$ interface of a TiB_2 particle in Al-8.4Si

alloy sample where the TiB₂ particle and α Al grain are viewed along [1 1 -2 0]TiB₂ and [1 -1 -

293 2]aAl zone directions, respectively, and (b) the corresponding fast Fourier transformation

294 (FFT) pattern and its index.

295

Figures 7a and 7c are the high resolution STEM Z-contrast HAADF images showing the

297 $TiB_2/\alpha Al$ interface of a TiB_2 particle in Al-8.4Si alloy, which suggest that there exists no bulk

298 phase at the interface, verifying the above result from traditional high resolution TEM in Figure

- 299 4. Again, atomically sharp and smooth $TiB_2/\alpha Al$ interfaces were observed. In comparison with
- the TiB₂ in CP-Al sample where the Al₃Ti 2DC atomic layer is reserved (Figures 7b and 7d), [8]
- it is found that the pre-existing Al_3Ti 2DC layer is missing on the (0 0 0 1) TiB₂ surface for the

302 TiB₂ particle collected from the Al-8.4Si sample (Figures 7a and 7c). High resolution STEM

- examination of multiple TiB_2 particles collected from the Al-8.4Si melt confirmed consistently
- the disappearance of Al_3Ti 2DC layer from TiB_2 surface.
- However, a different situation was revealed for the TiB_2 particles collected from Al-2.0Si melt.

306 Figure 8a is the high resolution STEM HAADF image across $TiB_2/\alpha Al$ interface with the TiB_2

particle being viewed along its [1 1 -2 0] zone direction. It is noted in Figure 8b, which is the

enlargement of the rectangular region marked in Fig 8a, that the Al₃Ti 2DC remains on the left

- hand side of the $(0\ 0\ 0\ 1)$ TiB₂ surface but is absent on the right hand side. As shown at higher
- magnification in Figure 8b, the brightness of the atomic columns of the Al_3Ti 2DC layer in the

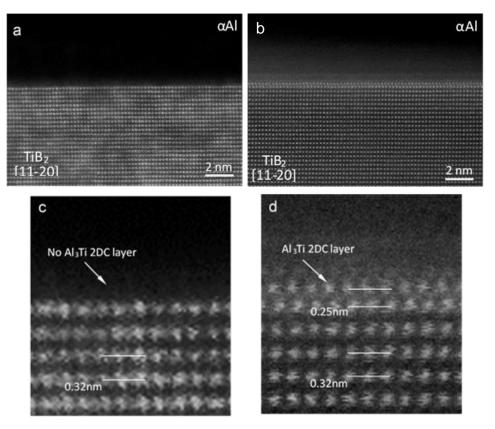


Fig. 7 High resolution STEM Z-contrast HAADF images of $TiB_2/\alpha Al$ interface showing (0 0 0

- 1) surface of TiB₂ viewed along $[1 \ 1 \ -2 \ 0]$ TiB₂ zone direction in (a, c) Al-8.4Si alloy and (b, d) CP-Al. It is clear from (c, d) the HAADF images at higher magnifications that the Al₃Ti 2DC
- layer [8, 36] is missing on the $(0\ 0\ 0\ 1)$ TiB₂ surface in (c) Al-8.4Si sample but present in (d)
- 316 CP-Al sample.

317

STEM HAADF image is seen to start weakening at the position marked by the arrow and the 318 atomic columns disappear completely towards the right hand side of the interface. This 319 suggests that it is of significance that the Al₃Ti 2DC layer is not stable and tends to dissolve in 320 the Al-Si melt. Figure 8 shows the on-going dissolution process of the 2DC layer, which occurs 321 gradually after the TiB₂ particles were added to the Al-Si melt and holding at the processing 322 temperature. In this work, Al₃Ti 2DC, which was formed on the surface of TiB₂ particles 323 324 during production process of the commercial Al-5Ti-1B grain refiner, [8] was readily observed to remain on the surface of TiB₂ particles collected from the Al-2.0Si melt. This is in contrast 325 to the situation in Al-8.4Si sample where the Al₃Ti 2DC layer was not at all observed, although 326 multiple number of TiB₂ particles have been examined by high resolution STEM. That the 327 possibility to observe the remaining Al₃Ti 2DC layer is considerably smaller in Al-8.4Si 328 sample than that in Al-2.0Si indicates a faster dissolution rate for the 2DC layer in Al-Si melt 329

330 331

332 3.3 Si Segregation at TiB₂/ α Al interface

with a higher Si content.

Apparently Si concentration plays an important role in determining the dissolution kinetics of the Al₃Ti 2DC layer. It is therefore essential to study the chemical profiles across $TiB_2/\alpha Al$

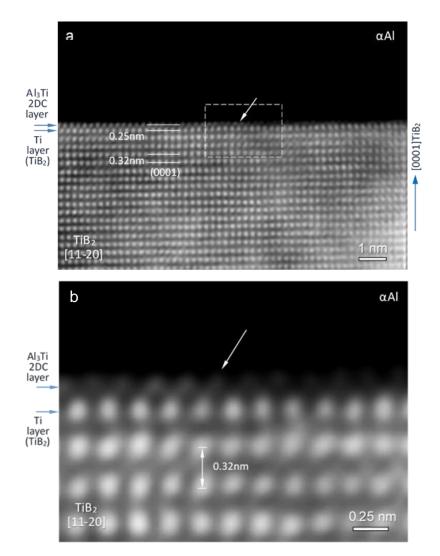
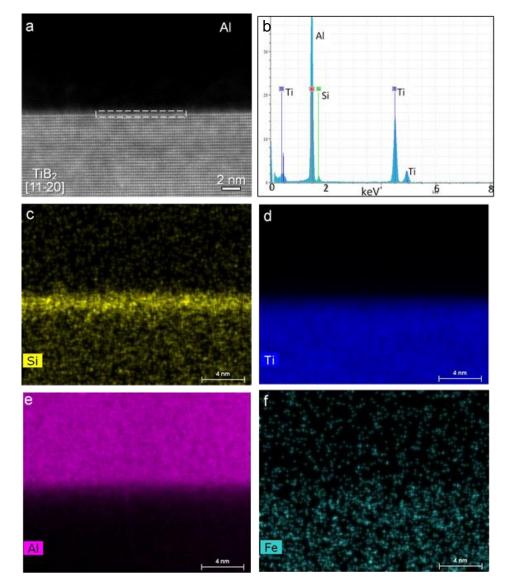


Fig. 8 High resolution STEM HAADF images across $TiB_2/\alpha Al$ interface viewed along [1 1 -2 0] TiB₂ zone direction showing (a) on-going dissolution (starting at the point marked by the arrow) of Al₃Ti 2DC layer on (0 0 0 1) surface of TiB₂ particle collected from Al-2.0Si alloy melt, and

(b) enlargement of the marked rectangular region in (a).

- interface. Figure 9 presents the EDS results obtained from Al-8.4Si sample. The STEM 341 HAADF image in Figure 9a shows the $TiB_2/\alpha Al$ interface with no Al_3Ti 2DC layer covering 342 the TiB₂ surface, and the corresponding Super-X EDS spectrum in Figure 9b taken from the 343 local interface region marked in Figure 9a shows a major peak at energy 1.74 keV, i.e., the 344 characteristic K_{α} peak of Si. More evidence of Si segregation at the TiB₂/ α Al interface is 345 provided by the elemental mapping of Si (Figure 9c), accompanied by those of Ti, Al and Fe, 346 one of the main impurities in CP-Al (Table 1), as shown in Figures 9d-9f, respectively. It 347 should be pointed out that Si segregation at $TiB_2/\alpha Al$ interface is hardly visible in terms of the 348 brightness contrast in HAADF image due to the small difference of atomic number of Si (14) 349 from that of Al (13). The EDS mapping did not suggest segregation of Fe at $(0\ 0\ 0\ 1)$ TiB₂/ α Al 350 interface (Figure 9f). Further SuperX EDS analysis of other Al-Si alloys revealed that Si 351 segregation at the TiB₂/ α Al interface is common to all the Al-Si alloys. The elemental mapping 352
- in Figure 10, where the Al₃Ti 2DC layer was survived partially on the left-hand side of $(0\ 0\ 0\ 1)$

- $TiB_2 \ surface \ found \ in \ a \ TiB_2 \ particle \ collected \ from \ Al-2.0Si \ melt, \ indicates \ a \ similar \ Si$
- 355 segregation (Figure 10b) at the interface with and without the Al₃Ti 2DC.
- Besides at $\{0\ 0\ 0\ 1\}$ TiB₂/ α Al interface, Si was found to segregate also to $\{1\ 0\ -1\ 0\}$ TiB₂/ α Al
- interfaces, as shown by the EDS mapping in Figure 11. As a result, the TiB_2 particles added to
- Al-Si melt are actually coated by a Si-enriched layer of Al-Si melt where the Si concentration
- is higher than that in the bulk melt away from the $TiB_2/\alpha Al$ interface.



- Fig. 9 (a) STEM Z-contrast HAADF image of TiB₂/Al interface in Al-8.4Si alloy sample
- inoculated with 0.2 wt% Al-5Ti-1B grain refiner; (b) Super-X EDS spectrum taken from the
- local region marked in (a) at the interface, and (c-f) Super-X EDS elemental mapping of (c) Si
- (yellow), (d) Ti (blue), (e) Al (purple) and (f) Fe (cyan) showing Si segregation at the interface.
- 365

4. Discussion

- 367 4.1 Facilitating TEM examination of nucleants by melt filtration
- 368 It is well accepted by the community that a well-defined OR observed by TEM is a direct 369 evidence of nucleation of a solid on a nucleant. However, the difficulty comes from the

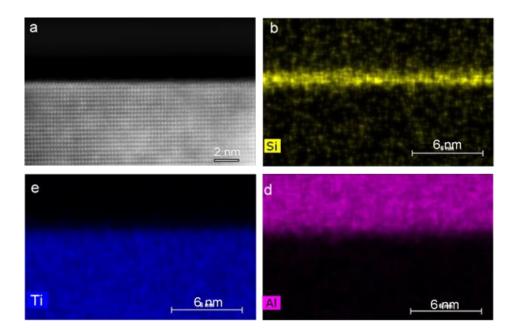


Fig. 10 (a) High resolution STEM HAADF image across $TiB_2/\alpha Al$ interface viewed along [1 1 -2 0] TiB_2 zone direction showing partially dissolved Al_3Ti 2DC layer on the (0 0 0 1) surface

of the TiB₂ particle collected from Al-2.0Si melt, and (b-d) Super-X EDS elemental mapping

of (b) Si (yellow), (c) Ti (blue) and (d) Al (purple) across the $TiB_2/\alpha Al$ interface showing that

375 Si segregation is independent of the Al_3Ti 2DC.

376

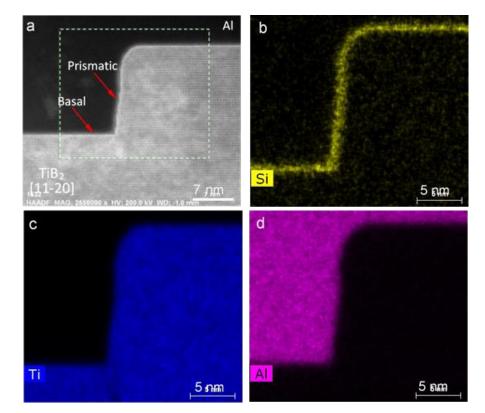


Fig. 11 (a) STEM HAADF image of TiB₂/Al interface in Al-8.4Si alloy inoculated with 0.2 wt% Al-5Ti-1B grain refiner, and (b-d) Super-X EDS mapping of (b) Si (yellow), (c) Ti (blue) and (d) Al (purple) across the TiB₂/ α Al interface, showing Si segregation on both {0 0 0 1} basal and {1 0 -1 0} prismatic surfaces of TiB₂.

- 382 preparation of thin foil specimens for TEM examination. We take TiB_2 particles in Al-alloys as
- an example to demonstrate the challenge. If a TiB_2 particle (say 0.5 µm in size) has nucleated an Al grain (say 200 µm in size), it would sit inside the Al grain. The probability to find this
- TiB_2 particle on a 2D sectioning using the traditional metallography technique would be lower
- than one in a million, even lower probability to find this TiB_2 particle in the limited electron-
- transparent regions around the perforation of a ϕ 3 mm disc for TEM examination. This
- explains why there has been no success in obtaining such an OR by TEM to evidence
- nucleation in the history of Al-Ti-B master alloys until the mid-1990s. Schumacher and Greer
- 390 used devitrification of an Al-based metallic glass containing a high density of TiB₂ particles as
- an analogue to the nucleation of α -Al on TiB₂, and obtained successfully the first HRTEM
- images of TiB_2/Al interface in this analogue alloy. [66, 67] Successful examination of TiB_2/Al interface only became a routine when the melt filtration technique was used for TEM sample
- 394 preparation in 2015. [8]
- Pressurized melt filtration, as described previously, allows a significant increase of TiB_2
- 396 particle number density in a localized region of the melt, but it does not change either the
- 397 particle potency or melt composition. According to the recent understanding of early stages of
- solidification, [68] the heterogeneous nucleation processes of the melt before and after filtering are exactly the same, although the increase in particle number density may leads to an increase grain initiation events, thus a finer grain size. Both increase in TiB_2 particle number density and decrease in grain size favor the promotion of the probability of successful TEM samples containing TiB_2/Al interface. So far, this technique has been successfully deployed to study the mechanisms of grain refinement, [8] Zr-poisoning [36] and Si-poisoning (this work), all related
- 404 to TiB_2 particles in Al-alloys.
- 405

406 4.2 Si interfacial segregation and its effect on nucleation

- Interfacial segregation of Si at the TiB₂/Al-Si melt interface is both theoretically plausible and 407 practically feasible. Experimentally, Si segregation has been observed at TiB₂/melt interfaces 408 in the literature under various conditions. [37, 52-54, 69] Khalifa et al observed Si segregation 409 410 on surfaces of various inclusions in Al melt, including TiB_2 and Al_2O_3 . [60] Even with a very low concentration as an impurity in NiAl/TiB₂ composite, a Si segregation layer about ~0.6 nm 411 thick was detected at (0 0 0 1)TiB₂/matrix interface. [69] McKay et al [52-53] and Schumacher 412 et al [54] also observed Si segregation on the surface of TiB₂ in amorphous Al-Si-Ni and Al-413 Cu-Ni-Si alloys. It is confirmed in this work that Si segregates to both the basal and prismatic 414 surfaces of TiB₂ particles in Al-Si alloy melts (Figures 9, 10 and 11). Theoretically, interfacial 415 segregation is driven by reduction of interfacial energy and can be described by the Gibbs 416 adsorption isotherm. [70] Solute segregation at substrate/liquid interface has been demonstrated 417 to change both interfacial energies and the wetting behavior, and therefore affects 418 419 heterogeneous nucleation behavior. [71-73] Recently, Men and Fan [74] have applied the Gibbs adsorption isotherm to analyze solute segregation at substrate/liquid interfaces and 420 showed that the interfacial solute segregation is governed by i) the difference in interfacial 421 energies between the pure solute/substrate and pure solvent/substrate interfaces, ii) the heat of 422 423 mixing of the solution, and iii) the difference in entropies of fusion between pure solute and
- solvent. Due to the lack of relevant input data in the literature, an accurate prediction of Si

- 425 segregation at the TiB_2 /Al-Si melt interface is not possible. However, a qualitative analysis
- 426 using the above model [74] does suggest that a significant amount of excess Si can segregate at
- 427 the TiB_2/Al -Si melt interface. The higher the Si concentration in the melt, the more enrichment
- 428 of Si at the interface is resulted.
- Si segregation at the TiB₂/Al-Si melt interface leads to the formation of a 2-dimetional solution 429 (2DS). Under the experimental conditions of this work, the high resolution TEM and STEM 430 examination has confirmed that there is no formation of either 2D compound (2DC) or 3D bulk 431 phase at the TiB₂/ α Al interface (Figures 4 to 8), although Si segregation is clearly observed at 432 the interface. According to the Gibbs adsorption isotherm, solute segregation driven by 433 interfacial energy reduction occurs only in one or two atomic layers. It is therefore believed 434 that Si enrichment at the interface is a 2-dimetional solution (2DS). In addition, it seems that 435 the formation of Si-enriched 2DS occurs only in Al-Si melt containing sufficiently high Si 436 concentration, since notable Si segregation at the TiB₂/melt interface was not observed in CP-437 Al which contains about 0.03% Si as one of the main impurities. [8, 36] This is different from 438 the cases of interfacial segregation of Ti or Zr, where ordered Al₃Ti 2DC [8] and Ti₂Zr 2DC 439 [36] were observed at the TiB₂/ α Al interface at low concentrations of Ti or Zr in the melt, 440 respectively. The formation of Si-enriched 2DS at the TiB₂/Al-Si melt interface is expected to 441 play an important role in modifying the surface of TiB₂ particles, and therefore their potency 442
- 443 for heterogeneous nucleation.
- An important phenomenon revealed in this work is the dissolution of Al₃Ti 2DC layer which
- was formed on the TiB₂ surface during the fabrication of the commercial Al-5Ti-1B grain 445 refiner. [8] The Al₃Ti 2DC layer is at least kinetically stable in CP-Al melt, with its dissolution 446 447 rate in CP-Al being so slow that a visible effect on grain refinement was observed only after isothermal holding of the inoculated melt for a period of time up to 78 hours at 800°C. [8] 448 However, it is clear from this work that the stability of Al₃Ti 2DC layer is considerably 449 450 reduced when sufficiently high Si content is present in the Al melt. The Al₃Ti 2DC layer is readily observed to remain on some TiB₂ particles collected from Al-2.0Si melt (Figures 8 and 451 10) but hardly found on the surface of those TiB₂ particles collected from Al-8.4Si melt 452 (Figures 7 and 9). This fact indicates that the Al₃Ti 2DC layer becomes thermodynamically 453 454 and/or kinetically unstable and dissolves faster in a higher Si melt than in a lower Si melt. Furthermore, Figures 5 and 6 show that a well-defined OR1 and a slightly deviated OR2 455 between TiB₂ and α Al are found at low Si content (2.0%), but no defined OR is observed at 456 high Si contents (8.4%) in this study, suggesting that a fewer number of TiB₂ particles had 457 participated in grain initiation of α Al in the high Si melt, in comparison with that in the low Si 458 melt. As the Al₃Ti 2DC layer is on the Ti-terminated (0 0 0 1)TiB₂ surface, the dissolution rate 459 is actually dependent on the local Si content at the interface, which is expected to be much 460 higher than that in the bulk melt due to the Si interfacial segregation. With a given level of Al-461 5Ti-1B addition and thus a fixed total number of the TiB_2 particles in the melt, more TiB_2 462 particles will lose their Al₃Ti 2DC layer when Si concentration is higher due to the 463 correspondingly faster dissolution rate, and then the number fraction of those unaffected TiB₂ 464 particles decreases. In comparison with Zr effect on stability of the Al₃Ti 2DC, dissolution of 465 the Al₃Ti 2DC layer in Al-Si melt requires a much higher Si concentration and the dissolution 466 467 rate is dependent on Si content, whilst 580 ppm (0.058%) Zr in Al melt is sufficient to make the Al₃Ti 2DC layer dissolve completely at a similar temperature. [36] 468

- 469 Dissolution of Al_3Ti 2DC layer results in a reduced potency of TiB_2 particles for heterogeneous 470 nucleation of αAl . Nucleation and subsequent grain initiation events are dependent on the exact 471 physical and chemical characteristics of the nucleant particles introduced to the alloy melt from
- 472 grain refiner, and their subsequent interactions with the melt. After dissolution of the pre-
- existing Al₃Ti 2DC layer, heterogeneous nucleation of α Al takes place directly on the (0 0 0 1)
- 474 surface of TiB₂, and the crystallographic matching is between $\{0\ 0\ 0\ 1\}$ TiB₂ and $\{1\ 1\ 1\}\alpha$ Al
- according to OR1. The original small lattice misfit 0.09% at the interface between $(1 \ 1 \ 1)\alpha$ Al
- 476 and Al₃Ti 2DC now becomes much larger (-4.22%) between $(0\ 0\ 0\ 1)$ TiB₂ and $(1\ 1\ 1)\alpha$ Al [8,
- 477 36]. The lattice misfit is even larger (more negative) between TiB_2 and Al-Si 2DS because the
- 478 lattice parameter of α Al will be reduced when Si is dissolved in it. For instance, the lattice 479 misfit is increased to -4.30% as the lattice parameter 0.4049 nm for pure Al decreases to 0.4047
- nm for Al-0.97Si solid solution. [75] Consequently, the original TiB_2 particles in the grain
- refiner lost their potency for heterogeneous nucleation of αAl in Al-Si melt with high enoughSi contents.
- 483
- 484 4.3 Mechanism of Si poisoning
- Based on the previous analysis, a new mechanism for Si poisoning can be postulated here:
- Preferential interfacial segregation of Si leads to enrichment of Si at the TiB₂/Al-Si melt
 interface. The higher the Si concentration in the melt, the more enrichment of Si at the
 interface is;
- The pre-existing Al₃Ti 2DC layer on the TiB₂ surface dissolves gradually in the melt,
 resulting in a loss of nucleation potency for the TiB₂ particles. The dissolution rate of
 the 2DC layer increases with the increase of Si content at the interface;
- The overall effect is a reduced total number of potent (at original state) TiB₂ particles
 available for heterogeneous nucleation and grain initiation of αAl, and hence an
 increased grain size.
- This mechanism for Si poisoning is consistent with the previous experimental observations 495 reported in the literature. Previous experiments and this work showed that, upon Si poisoning, 496 the minimum grain size of Al-Si alloys appeared at ~3% Si. This is in agreement with the 497 above mechanism. When Si content is less than 3%, the dissolution rate of Al₃Ti 2DC is slow 498 and the majority of the added TiB₂ particles are not affected or only partially affected. 499 Therefore, the number of TiB₂ particles reserving its original state is sufficient for grain 500 refinement. In this case, growth restriction will prevail over Si poisoning, giving rise to a 501 marginal decrease in grain size with increasing Si content until 3% Si. With further increase in 502 Si content, Si interfacial segregation increases and thus the dissolution of Al₃Ti 2DC layer 503
- becomes faster, resulting in a rapid decrease in the number density of the potent TiB_2 particles, and therefore Si poisoning effect prevails over the growth restriction, leading to an increase in
- 506 grain size.
- 507 The experimental observation of defined ORs between TiB_2 and Al (Figures 5 and 6) and
- $dissolution of Al_3Ti 2DC$ (Figures 7-8) in this work suggest that the number density of potent
- nucleant particles decreases with increasing Si content beyond 3%. Khalifa *et al* [60] showed
- that, in Al-Si alloys containing 0.3-0.9% Si, most of TiB₂ particles observed were located

- 511 within Al grains rather than the grain boundaries or the inter-dendritic regions. In contrast,
- more TiB_2 particles in Al-6.4Si alloy were found to locate in inter-dendritic regions,
- 513 confirming that the TiB₂ particles were inactive nucleant particles in the high-Si alloys.
- 514 Recently, *in-situ* observation using synchrotron radiation technology showed directly that
- nucleation events decreased with increasing Si content in TiB_2 -inoculated Al-Si alloys. [34] In
- Al-Si alloys inoculated with 0.33% Al-3Ti-1B, the nucleation frequency was found to be
- reduced by two order of magnitude from 137 s⁻¹ to 1.1 s⁻¹ when Si content increased from 1.0% to 0.0% [24]
- 518 to 9.0%. [34]
- 519 This mechanism also explains the dependence of Si poisoning on holding time and the addition
- 520 level of Al-Ti-B grain refiner. [28, 32] Abdel-Reihim *et al* found that, with 0.1% Al-5Ti-1B
- addition, the grain size of Al-3.5Si alloy increased from about 240 μm to 320 μm when holding
- time increases from 10 min. to 60 min. [28] Kori *et al* [32] showed that, the higher the Si
- content (>7%) and the longer the holding time, the larger the grain size was. For instance, the
 grain size of Al-10Si alloy inoculated with 0.2% Al-5Ti-1B was about 260 µm and 650 µm for
- 524 grain size of Ai-1051 alloy inoculated with 0.2% Ai-511-1B was about 200 µm and 650 µm for 525 holding times of 5 min, and 120 min, respectively, [22] In addition, they found that with a
- holding times of 5 min. and 120 min., respectively. [32] In addition, they found that, with a
 high level of grain refiner addition, for instance 0.6% (6 times of the standard 0.1%), [32] grain
- high level of grain refiner addition, for instance 0.6% (6 times of the standard 0.1%), [32] grai
- refinement was achieved for high Si Al-Si alloys. The reason for stronger Si poisoning at a
 longer holding time is because longer holding time leads to more impotent TiB₂ particles and
- longer holding time is because longer holding time leads to more impotent TiB_2 particles and hence larger grain size. However, this decrease in number density of potent particles can be
- 530 compensated by increased level of grain refiner addition.
- 531 Si poisoning was also reported to occur in un-inoculated Al-Si alloys with the minimum grain
- size occurring at a similar Si content (~3%) to that in the inoculated alloys. [26, 29-31, 34] Si
- poisoning in un-inoculated Al-Si alloys is believed to be related to Si interfacial segregation,
- despite different types of inoculants. As Prasad *et al* showed, [34] un-inoculated Al-Si alloys
- also exhibited a significant number of nucleation events, suggesting that nucleation was
- triggered by random oxide or impurity particles, in spite of their lower and different potencies
- than that of TiB_2 . Si could segregate to the interfaces between these oxide particles and Al-Si
- melt, leading to reduction in their potency for nucleation and therefore poisoning.
- Our previous work [8, 36] has shown that Ti and Zr atoms in Al melts segregate preferentially
- to $TiB_2/\alpha Al$ interface, resulting in the formation of Al_3Ti 2DC or Ti_2Zr 2DC layer, respectively.
- However, the effect of the two types of 2DC layer on heterogeneous nucleation is just opposite,
- with Al_3Ti 2DC enhancing nucleation while Ti_2Zr 2DC impeding nucleation. In this work, Si
- segregation at TiB_2/Al -Si melt interface results in dissolution of Al_3Ti 2DC, with the
- dissolution rate being dependent on Si concentration at the interface. It is demonstrated that
- interfacial segregation of solute elements can significantly alter the behavior of the substrate
- 546 during heterogeneous nucleation process. From nucleation point of view, segregation of solute
- 547 elements leads to either enhancement or impediment of heterogeneous nucleation. This makes
- it possible to manipulate the nucleation process by modification of substrates through
- 549 deliberate segregation of certain elements.
- 550

551 **5. Conclusions**

- 1) Al-5Ti-1B grain refiner is not effective for grain refining Al-Si alloys with Si
- concentration higher than 3%. Under the TP-1 solidification conditions of this work, the

554 555 556 557	grain size of α Al is increased from 195±22 µm to 867±78 µm as Si content is increased from 2% to 10% in the hypoeutectic Al-Si alloys inoculated with 0.2% of Al-5Ti-1B grain refiner. The increase in grain size with Si content was accompanied by a morphological transition from cellular to dendritic for the primary α Al phase.
558 559 560	2) A well-defined orientation relationship (OR) between TiB ₂ and α Al, (0 0 0 1) [1 1 -2 0] TiB ₂ // (1 1 1) [0 -1 1] α Al, is observed for the TiB ₂ particles collected from Al-2.0Si melt, but no defined OR is found for the TiB ₂ particles in Al-8.4Si alloy melt.
561 562	3) Si segregates preferentially to the solid/liquid interface between TiB_2 and Al-Si melt on both the {0 0 0 1} basal and {1 0 -1 0} prismatic surfaces of TiB_2 particles.
563 564 565 566 567	4) The Al ₃ Ti 2DC layer formed on the TiB ₂ surface during the grain refiner production process becomes unstable in Al-Si melt and therefore dissolves into the melt with time. The 2DC layer is readily found to remain on the surface of some of the TiB ₂ particles in low Si (2.0%) samples but not observed on the particles in high Si (8.4%) samples, showing an increased dissolution rate at high Si contents.
568 569 570	5) There is no evidence of formation of 2D or 3D bulk phase at the $TiB_2/\alpha Al$ interface under the experimental conditions of this work. Segregated Si atoms stay in a thin layer of Al- Si melt at the interface as 2 dimensional solution (2DS).
571 572 573 574 575 576	6) A new mechanism for Si poisoning is proposed: Preferential interfacial segregation of Si leads to enrichment of Si at the TiB ₂ /Al-Si melt interface, and this in turn makes the pre- existing Al ₃ Ti 2DC on the TiB ₂ surface unstable and thus dissolve gradually in the melt resulting in a loss of its nucleation potency. The overall effect is a reduced total number of potent TiB ₂ particles available for heterogeneous nucleation and grain initiation of α Al, and hence an increased grain size.
577 578 579	7) This new mechanism is consistent with the experimental findings in the literature, such as grain size minimum at 3% Si, dependence of grain size on holding time and levels of grain refiner addition, Si poisoning of un-inoculated Al-Si melts, and so on.
580 581	Acknowledgements
581 582 583 584	The EPSRC is gratefully acknowledged for providing financial support under grant number EP/N007638 /1.

585 **References**

- 586 1. A. Cibula, J. Inst. Metals, 1951-52, vol. 80, pp 1-16.
- 587 2. D.G. McCartney, Inter. Mater. Rev., 1989, vol. 34, pp. 247-260.
- 588 3. M. Easton and D. StJohn, *Metall. Mater. Trans. A*, 1999, vol. 30A, pp. 1613-1623.
- 4. B.S. Murty, S.A. Kori and M. Chakraborty, 2002, Inter. Mater. Rev., vol. 47, pp. 3-47.
- 590 5. T.E. Quested, Mater. Sci. Tech., 2004, vol. 20, pp. 1357-1369.
- 591 6. L. Greer, J. Chem. Phys., 2016, vol. 145, pp. 211704.1-211704.14.
- 592 7. M.A. Easton, M. Qian, A. Prasad, and D.H. StJohn, *Curr. Opin. Solid State Mater. Sci.*,
 593 2016, vol. 20, pp. 13-24.

- Z. Fan, Y. Wang, Y. Zhang, T. Qin, X.R. Zhou, G.E. Thompson, T. Pennycook and T.
 Hashimoto, *Acta Mater.*, 2015, vol. 84, pp. 292-304.
- 596 9. G.P. Jones and J. Pearson, *Metall. Trans. B*, 1976, vol. 7B, pp. 223-234.
- 597 10. A.A. Abdel-Hamid, Z. Metallkd., 1989, vol. 80, pp. 643-647.
- 598 11. M. Johnsson, Z. Metallkd. 1994, vol. 85, pp. 786-789.
- 599 12. J.A. Spittle and S. Sadli, *Cast Metals*, 1995, vol. 8, pp. 247-253.
- A. Arjuna Rao, B.S. Murty and M. Chakraborty, *Mater. Sci. Technol.*, 1997, vol. 13, pp.
 769-777.
- 602 14. M.A. Kearns, P. Cooper, *Mater. Sci. Technol.*, 1997, vol. 13, pp. 650-654.
- M.E.J. Birch, in: C. Baker, P.J. Gregson, S.J. Harris and C.J. Peers (eds.), *Aluminium-lithium Alloys III*, The Institute of Metals, London, 1986, pp. 152-158.
- 16. C.R. Chakravorty and M. Chakraborty, *Cast Metals*, 1991, vol. 4, pp. 98-100.
- A. Arjuna Rao, B.S. Murty and M. Chakraborty, *Metall. Mater. Trans. A*, vol. 27A, pp.
 791-800.
- 608 18. A. Arjuna Rao, B.S. Murty and M. Chakraborty, *Int. J. Cast Met. Res.*, 1996, vol. 9, pp.
 609 125-132.
- 610 19. J. A. Spittle, Inter. J Cast Metals Res., 2006, vol. 19, pp. 210-222.
- 611 20. G.K. Sigworth and M.M. Guzowaski, *AFS Trans.*, 1985, vol. 93, pp. 907-912.
- 612 21. M. Johnsson, Z. Metallk., 1994, vol. 85, pp. 781-785.
- 613 22. M. Johnsson and L. Backerud, Z. Metallk., 1996, vol. 87, pp. 216-220.
- 614 23. P. Hoefs, W. Reif and W. Schneider, *Giesserei*, 1994, vol. 81, pp. 398-406.
- 615 24. M. Abdel-Reihim, N. Hess, W. Reif and M.E.J. Birch, J. Mater. Sci., vol. 22, pp. 213-218.
- 5. J.A. Spittle, J.M. Keeble and M. Al Meshhedani, in: R. Huglen (ed.), *Light Metals 1997*,
 TMS, Warrendale PA, 1997, pp. 795-800.
- 618 26. J.E.C. Hutt, D.H. StJohn, L. Hogan and A.K. Dahle, *Mater. Sci. Technol.*, 1999, vol. 15,
 619 pp. 495-500.
- 27. L. Backerud and M. Johnsson, in: W. Hale (ed.), *Light Metals 1996*, TMS, Anaheim CA,
 1996, pp. 679-685.
- 622 28. M. Abdel-Reihim, N. Hess, W. Reif and M.E.J. Birch, *J. Mater. Sci.*, 1987, vol. 22, pp.
 623 213-218.
- 624 29. Y.C. Lee, A.K. Dahle, D.H. StJohn and J.E.C. Hutt, *Mater. Sci. Eng. A*, 1999, vol. 259, pp.
 625 43-52.
- 626 30. Y. Birol, Inter. J. Cast Metals Res., 2013, vol. 26, pp. 22-27.
- 627 31. Y. Birol, Mater. Sci. Technol. 2012, vol. 28, pp. 385-389.
- 628 32. S.A. Kori, B.S. Murty and M. Chakraborty, *Mater. Sci. Tech.*, 1999, vol. 15, pp. 986-992.
- 33. S.A. Kori, V. Auradi, B.S. Murty and M. Chakraborty, *Mater. Forum*, 2005, vol. 29, pp.
 387-393.
- 34. A. Prasad, S.D. McDonald, H. Yasuda, K. Nogita and D.H. StJohn, *J. Cryst. Growth*, 2015, vol. 430, pp. 122-137.
- 633 35. D. Qiu, J.A. Taylor, M-X. Zhang and P.M. Kelly, *Acta Mater*. 2007, vol. 55, pp. 1447634 1456.
- 36. Y. Wang, C.M. Fang, L. Zhou, T. Hashimoto, X. Zhou, Q.M. Ramasse and Z. Fan, *Acta Mater.*, 2019, vol. 164, pp. 428-439.
- 637 37. P.S. Mohanty and J.E. Gruzleski, *Acta Mater.*, 1996, vol. 44, pp. 3749-3760.
- 638 38. G.S.V. Kumar, B.S. Murty and M. Chakraborty, J. Alloys Compd., 2009, vol. 472, pp. 112-

- 639 120.
- 39. T. Wang, H. Fu, Z. Chen, J. Xu, J. Zhu, F. Cao and T. Li, *J. Alloys Compd.*, 2012, vol. 511,
 pp. 45-49.
- 642 40. Y. Birol, Mater. Sci. Technol., 2012, vol. 28, pp. 481-486.
- 41. S.A. Kori, B.S. Murty and B.S. Chakraborty., *Mater. Sci. Eng. A*, 2000, vol. 283, pp. 94104.
- 645 42. L. Yu, X. Liu, Z. Wang and X. Bian, J. Mater. Sci., 2005, vol. 40, pp. 3865-3867.
- 646 43. H. Zhao, H. Bai, J. Wang and S. Guan, *Mater. Charact.*, 2009, vol. 60, pp. 377-383.
- 647 44. P. Li, S. Liu, L. Zhang and X. Liu, *Mater. Design*, 2013, vol. 47, pp. 522-528.
- 648 45. Y. Birol, J. Alloys Compd. 2012, vol. 513, pp. 150-153.
- 649 46. Y. Birol, Mater. Sci. Tech., 2012, vol. 28, pp. 363-367.
- 47. Z. Chen, H. Kang, G. Fan, J. Li, Y. Lu, J. Jie, Y. Zhang, T. Li, X. Jian and T. Wang, *Acta Mater.*, 2016, vol. 120, pp. 168-178.
- 48. M. Nowak, L. Bolzoni and N. Hari Babu, *Mater. Design*, 2015, vol. 66, pp. 366-375.
- 49. L. Bolzoni, M. Nowak and N. Hari Babu, *Mater. Design*, 2015, vol. 66, pp. 376-383.
- 654 50. L. Bolzoni and N. Hari Babu, Metall. Mater. Trans. A, 2019, vol. 50A, pp. 746-756.
- 51. M.E.J. Birch, P. Fisher, in: T. Sheppard (ed.), *Aluminium Technology 86*, The Institute of
 Metals, London, 1986, pp. 117-124.
- 52. B.J. McKay, *Heterogeneous nucleation in Al-Si alloys*, PhD Thesis, University of Oxford,
 Oxford, UK, 2001.
- 53. B.J. McKay, P. Cizek, P. Schumacher and K.A.Q. O'Reilly, *Mater. Sci. Eng. A*, 2001, vol.
 304-306, pp. 240-244.
- 661 54. P. Schumacher and B. J. McKay, J. Non-Cryst. Solids, 2003, vol. 317, pp. 123-128.
- 55. T.E. Quested, A.T. Dinsdale and A.L. Greer, *Mater. Sci. Tech.*, 2006, vol. 22, pp. 11261134.
- 56. P.S. Cooper, A. Hardman, D. Boot and E. Burhop, in: P. Crepeau (ed.), *Light Metals 2003*,
 TMS, Warrendale PA, 2003, pp. 923-928.
- 666 57. T.E. Quested, A.T. Dinsdale and A.L. Greer, *Acta Mater.*, 2005, vol. 53, pp. 1323-1334.
- 667 58. M. Johnsson, *Thermochim. Acta*, 1995, vol. 256, pp. 107-121.
- 59. J.E.C. Hutt, A.K. Dahle, Y.C. Lee and D.H. StJohn, in: C.E. Eckert (ed.), *Light Metals 1999*, TMS, Warrendale PA, 1999, pp. 685-692.
- 670 60. W. Khalifa, F. H. Samuel and J. E. Gruzleski, *Metall. Mater. Trans. A*, 2004, vol. 35A, pp.
 671 3233-3250.
- 672 61. M.A. Easton, A. Prasad and D.H. StJohn, *Mater. Sci. Forum*, 2014, vol. 794-796, pp. 161673 166.
- 674 62. Standard Test Procedure for Aluminum Alloy Grain Refiners (TP-1), The Aluminum
 675 Association, Washington, DC, 1990.
- 676 63. Z. Fan, Y. Wang, M. Xia and S. Arumuganathar, *Acta Mater.*, 2009, vol. 57, pp. 4891677 4901.
- 678 64. Y. Wang, Z. Fan, X. Zhou and G.E. Thompson, *Phil. Mag. Lett.*, 2011, vol. 91, pp. 516679 529.
- 65. A.L. Greer, A.M. Bunn, A. Tronche, P.V. Evans and D.J. Bristow, *Acta Mater.*, 2000, vol.
 48, pp. 2823-2835.
- 682 66. P. Schumacher and A.L. Greer, *Mater. Sci. Eng. A*, 1994, vol. A178, pp. 309-313.
- 683 67. P. Schumacher and A.L. Greer, *Mater. Sci. Eng. A*, 1994, vol. A181/A182, pp. 1335-1339.

- 684 68. H. Men and Z. Fan, *Metall. Mater. Trans. A*, 2018, vol. 49, pp. 2766-2777.
- 685 69. L. Wang and R.J. Arsenault, *Metall. Trans. A*, 1991, vol. 22A, pp. 3013-3018.
- F. Hondros, M. Seah, S. Hofmann and P. Lejcek, in: R. Cahn, P. Haasen (eds.), *Physical Metallurgy*, North-Holland, Amsterdam, 1996, pp. 1201-1289.
- 588 71. J.W. Christian, *The theory of transformations in metals and alloys*, 3rd ed., Pergamon,
 589 Oxford, 2002.
- 690 72. B. Cantor, *Philos. Trans. R. Soc. London. A*, 2003, vol. 361, pp. 409-417.
- 691 73. Z. Fan, Metall. Mater. Trans. A, 2013, vol. 44A, pp. 1409-1418.
- 692 74. H. Men and Z. Fan, *Metall. Mater. Trans. A*, 2014, vol. 45A, pp. 5508-5516.
- 693 75. W.B. Pearson, *A Handbook of lattice spacings and structures of metals and alloys*,
 694 Pergamon Press, London 1958, p. 382.