



# Towards improved USLE-based soil erosion modelling in India: A review of prevalent pitfalls and implementation of exemplar methods

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1 **Towards improved USLE-based soil erosion modelling in India: a review of prevalent pitfalls and**  
2 **implementation of exemplar methods**

3

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14

15 **Abstract**

16 One of the most common approaches to modelling soil erosion worldwide has been the implementation of  
17 the original Universal Soil Loss Equation (USLE) and its revised version, the RUSLE. However, despite  
18 its widespread use, often there are discrepancies in the methods used to compute it and in the values  
19 elicited for the five individual factors that comprise this function. Such pitfalls subsequently skew the final  
20 results obtained and often many studies also fail to adequately examine the accuracy of the enumerated soil  
21 loss amounts. We examine these aspects with respect to the raft of USLE-based studies undertaken in India  
22 over the last few decades, reviewing a total of 100 investigations in this regard. Results reveal that almost  
23 all studies had either over- or underestimated at least one of the five factors, thereby possibly  
24 misrepresenting the actual soil loss occurring from their examined areas. Even more worryingly, most  
25 studies had failed to document their methods succinctly or in sufficient detail to ascertain their efficacies or  
26 provide viable templates for replication elsewhere. Our results also show a marked spatiality in the  
27 pursuance of such studies, with these being mostly undertaken in the eastern part of the country, even  
28 though the proportionate land affected by soil erosion is considerably less in this region. Thus regions

29 where the USLE would be most pertinent for implementation towards land management have seen a lower  
30 number of applications. We hope that by avoiding the missteps highlighted in this paper and following the  
31 subsequently detailed exemplar methods of conducting such an investigation along with the relevant model  
32 accuracy and uncertainty checks, the USLE can be best utilised in these regions and in the rest of the  
33 country for soil erosion mitigation. Though focused on India, the methods outlined can also be used to  
34 conduct the most accurate possible USLE-based soil erosion modelling elsewhere.

35

36 **Keywords:** Universal Soil Loss Equation; land degradation; runoff and sediment yield; factor estimation  
37 accuracy; rainfall erosivity; land management

38

### 39 **1. Introduction**

40 Like most countries in (sub)tropical and semi-arid climes, soil erosion by water (overland and channelised)  
41 is a primary agent of land degradation in India too (Lal, 2001; Bhattacharyya et al., 2015, 2016). About  
42 68.4% of the nation's degraded tracts experience accelerated soil erosion at rates greater than  $10 \text{ t ha}^{-1} \text{ yr}^{-1}$   
43 (NAAS, 2010). A recent pan-Indian sediment budget study (Sharda and Ojasvi, 2016) estimated a gross  
44 average annual soil erosion rate of  $15.6 \text{ t ha}^{-1} \text{ yr}^{-1}$  that removes  $5.11 \pm 0.4$  billion tonnes of soil per year.  
45 About  $22.9 \pm 29\%$  of this volume passes into the marine realm,  $34.1 \pm 12\%$  gets deposited in reservoirs while  
46 the remaining  $43.0 \pm 41\%$  are held within inland sinks. About 40% of the country has a soil loss tolerance of  
47 less than  $7.5 \text{ t ha}^{-1} \text{ yr}^{-1}$  while this is below  $10 \text{ t ha}^{-1} \text{ yr}^{-1}$  for 70% of it (Sharda et al., 2013). The  
48 aforementioned pan-Indian average soil erosion rate of  $15.6 \text{ t ha}^{-1} \text{ yr}^{-1}$  thus paints a rather bleak picture of  
49 land degradation country-wide, even taking into account the marked spatial variability in regional rates due  
50 to the ambient climatic and physiographic diversity. The existent soil loss results in considerable on-site  
51 and off-site effects, manifesting, respectively, in production losses valued at ca. \$1 billion in 1989 (Reddy,  
52 2003) and ~\$2.5 billion in 2010 (Sharda and Pradeep, 2013), with the concomitant reservoir sedimentation  
53 decreasing the average capacity by ca. 1% (Sharda and Ojasvi, 2016) annually. Aply therefore, in a recent  
54 global review of soil erosion modelling studies (Borrelli et al., 2021), India ranked third worldwide after  
55 USA (537 studies) and China (450 studies) with 161 studies.

56 Soil erosion models help in identifying erosion-susceptible areas, estimate erosion rates and discern  
57 possible causes behind its occurrence, thereby contributing towards land management. Such models can  
58 have relatively simple empirical approaches, like the Universal Soil Loss Equation or USLE (Wischmeier  
59 and Smith, 1965, 1978), which has also been supported theoretically thereafter (Ferro, 2010) or be  
60 physically-based (e.g. Pandey et al., 2016; Hancock and Wells, 2021). The USLE (Wischmeier and Smith,  
61 1965, 1978) and the Revised USLE or RUSLE (Renard et al., 1991, 1993, 1997) stand out as the most  
62 frequently and widely used soil erosion models by far (Alewell et al., 2019; Borrelli et al., 2021). Their  
63 spatial applications have ranged from individual field parcels (Swerts et al., 2019; Fiener et al., 2019) to  
64 country-wide studies (Almaw Fenta et al., 2019; Koirala et al., 2019) and even across the entire planet's  
65 land surface (Borrelli et al., 2017, 2020).

66 The USLE/RUSLE has been employed to accomplish multifarious objectives related to soil erosion  
67 worldwide, including, but not limited to, modelling of future soil erosion scenarios with respect to  
68 projected land cover and climate conditions (e.g. Borrelli et al., 2017, 2020), ascertaining the most  
69 appropriate soil conservation strategies (e.g. Kabanza et al., 2013; Galdino et al., 2015), land use planning  
70 (e.g. Haregeweyn et al., 2017; Liu et al., 2020), simulation of soil organic carbon flux and sequestration  
71 potential (e.g. Ito, 2007; Mandal et al., 2020) and to assess the global market impacts of soil erosion (e.g.  
72 Sartori et al., 2019). The presence of a huge body of scientific literature and a high degree of flexibility in  
73 terms of data requirements promotes these methods' adaptability to and applicability in data-sparse  
74 conditions (Benavidez et al., 2018; Alewell et al., 2019). It is therefore unsurprising that the seminal works  
75 of Wischmeier and Smith (1965, 1978), who developed the USLE, have been cited a staggering 10989  
76 times while those of Renard *et al.* (1991, 1997) on the RUSLE had 5755 citations at the end of 2020. Not  
77 only do process-based models have far larger data requirements, they are not necessarily better than the  
78 USLE in estimating soil erosion (Kinnell, 2010; Alewell et al., 2019), and for large-scale soil erosion  
79 assessments, no other model is as suitable as the USLE (Borrelli et al., 2017, 2020).

80 India is projected to experience increased annual rainfall as well as intensified localised heavy downpour  
81 spells (Kulkarni et al., 2020), besides potentially undergoing marked land cover changes in the near future  
82 (Bhattacharyya and Sanyal, 2019). As these environmental changes are expected to aggravate soil erosion  
83 problems around the world (Borrelli et al., 2017, 2020), there is a genuine case for undertaking targeted

84 scenario-based soil erosion modelling in India, for which the USLE is most suitable. However, data  
85 unavailability/inaccessibility pose serious challenges in this regard, even though the country stood fifth  
86 worldwide with 67 papers on USLE, after USA (274), China (218), Brazil (88) and Italy (87) in a recent  
87 global meta-analysis on USLE-type soil erosion modelling (Alewell et al., 2019).

88 Therefore, the objectives of this review are to identify existing bottlenecks to using the USLE in India,  
89 highlight the missteps apparent in previous attempts and propose best model parameterisation methods  
90 based on state-of-the-art data, along with relevant model evaluation options to foster effective and accurate  
91 USLE applications herein. Since this review does not attempt to explore or analyse the subtleties of the  
92 USLE-type modelling approach, any interested reader is referred to the Agricultural Handbook No. 537  
93 (Wischmeier and Smith, 1978) for USLE and No. 703 (Renard et al., 1997) for RUSLE, as well as review  
94 articles that have either discussed the model development history (Laflen and Moldenhauer, 2003; Laflen  
95 and Flanagan, 2013), scrutinised the logic and science behind the USLE-modelling approach (Alewell et  
96 al., 2019; Kinnell, 2019), outlined appropriate parameterisation methods for different regions across the  
97 world (Benavidez et al., 2018; Ghosal and Das Bhattacharya, 2020) or proposed other contributions  
98 towards further development of the model concept (Kinnell, 2008, 2010, 2014). While this review only  
99 considers Indian studies, we perceive that some of the methodological missteps apparent in them may also  
100 be present in USLE/RUSLE applications elsewhere. Thus this review can aid anyone employing the USLE  
101 for soil erosion research and also highlight future possibilities for model refinement by pointing out current  
102 data deficiencies.

103

## 104 **2. A brief account of the USLE/RUSLE**

105 Generally speaking, the USLE was developed to be a cornerstone of soil and water conservation in the  
106 United States after measuring and analysing soil losses due to water erosion from thousands of field plots  
107 and small catchments since the 1930's, considering rainfall parameters, topography, soil characteristics,  
108 cropping and management practices (Wischmeier and Smith, 1965, 1978). It was the result of a statistical  
109 analysis involving 10,000 plot-years of runoff and soil loss data from 49 stations across the USA. As the  
110 quintessential example of an empirical model [which has also been theoretically endorsed (Ferro, 2010)],  
111 the USLE does not simulate soil erosion rates using physical equations describing the detachment,

112 transport and deposition of soil particles but instead uses a simple multiplicative equation that was devised  
113 by identifying statistically significant relationships between the assumed important variables and measured  
114 soil loss data. These data were collected from plots that were up to 122 m long with slopes ranging  
115 between 3% and 18%, having different cropping and management practices, and were compared to soil  
116 losses from 22.1 m long and 1.83 m wide ‘unit plots’ having 9% slope and maintained in a continuous  
117 regularly tilled fallow condition with up-and-down hill tillage, which was taken to represent the ‘worst-  
118 case scenario’ for soil erosion. The unit plot was thus used as a baseline condition to which the  
119 topographic attributes and cropping, management and conservation practices of all other plots were  
120 compared in order to establish relationships between the occurring soil erosion and its influencing factors  
121 (Wischmeier and Smith, 1965, 1978; Renard et al., 1997, 2011).

122 In SI units, the USLE calculates the long-term average annual soil erosion rate in  $\text{t ha}^{-1} \text{yr}^{-1}$ , through a  
123 simple multiplication of six model parameters or factors, viz. rainfall-runoff erosivity (R factor), soil  
124 erodibility (K factor), slope length and steepness (LS factor), cover and management (C factor) and  
125 support practice (P factor). Of these six factors, only the R factor has an original unit, i.e.  $\text{MJ mm ha}^{-1} \text{h}^{-1}$   
126  $\text{yr}^{-1}$ , while the unit of the K factor ( $\text{t ha h ha}^{-1} \text{MJ}^{-1} \text{mm}^{-1}$ ) is merely the soil loss rate per unit of the R  
127 factor, and the rest are dimensionless. The LS is the slope length and steepness factor in relation to unit  
128 plot conditions, the C factor is defined as the ratio of soil loss from a field with specific cover and  
129 management to that from a field under clean-tilled continuous fallow unit plot conditions and P, the  
130 support practice factor, is the ratio of soil loss with a specific support practice to that from an up-and-  
131 down-slope tillage culture of unit plots. Notably, the values of the C and P factors range from zero for  
132 completely erosion-resistant conditions, to unity for the worst-case unit plot conditions (Wischmeier and  
133 Smith, 1965, 1978). In sum, the USLE uses four dimensionless factors to modify the soil loss as described  
134 by dimensioned rainfall erosivity and soil erodibility factors (Renard et al., 1997). These dimensionless  
135 LS, C and P factors highlight the model’s utility as a key decision making tool in land and water  
136 management, as they pertain to plausible precursors of erosion that can actually be managed in order to  
137 reduce soil loss to below the permissible tolerance rates.

138 Originally devised to ascertain the best cropping practices to reduce erosion from agricultural fields  
139 (Wischmeier and Smith, 1965), the USLE was updated over the next decade to provide techniques for

140 estimating the respective factor values for additional land uses, climatic conditions, irregular terrain and  
141 management practices (Wischmeier and Smith, 1978). In later years, owing to widespread use of the USLE  
142 within and outside the USA, its limitations became apparent, quite important among which was its  
143 inability to accurately estimate soil erosion in rangelands (Spaeth et al., 2003; Renard et al., 2011).

144 A need for updating the USLE was therefore felt and the RUSLE came into being (Renard et al., 1991,  
145 1997). Its development benefitted from the previously identified limitations as well as from an improved  
146 understanding of the physics of rill and interrill erosion under natural and simulated rainfall (Renard et al.,  
147 1997, 2011). Although the equation remained the same, a comprehensive revision of the factor estimation  
148 methods was undertaken, the most significant of which was the new subfactor-based approach in the C  
149 factor estimation, which promoted RUSLE applications in any land use. The RUSLE also introduced  
150 process-based relationships to improve parameterisation and allowed sub-annual calculation of the R, K  
151 and C factors, in addition to including a new term ‘rill to interrill erosion ratio’ in the LS factor estimation  
152 and provided new P-values applicable to both croplands and rangelands. Above all, the RUSLE was a shift  
153 towards computerised (DOS-based) erosion modelling from the ‘paper-based’ approach of the USLE  
154 (Renard et al., 1997, 2011).

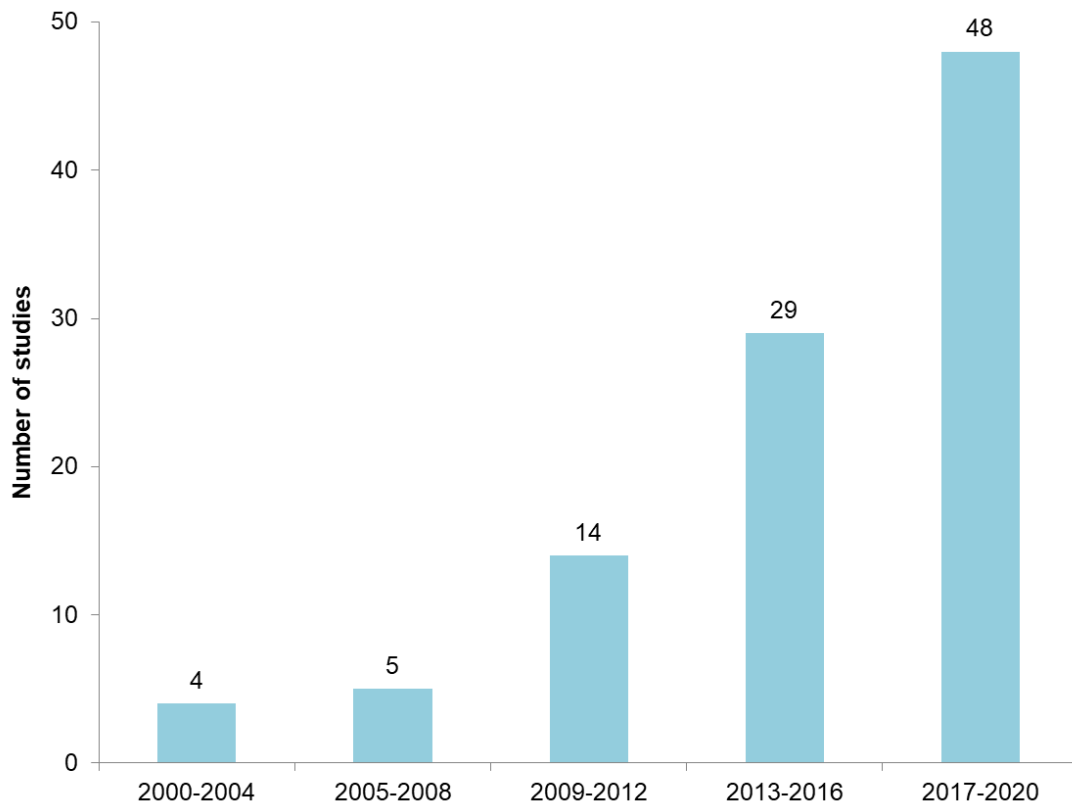
155 The latest version, RUSLE2, is a full-blown Windows-based program, with substantially advanced  
156 modelling capabilities and application possibilities, compared to the original USLE as well as the RUSLE  
157 (Renard et al., 2011). However, having been developed to estimate rill and interrill erosion rates from  
158 relatively small plots or catchments, the RUSLE2, like its predecessors and many other soil erosion  
159 models, is unable to simulate gully erosion.

160

### 161 **3. USLE applications in India: facts and figures**

162 According to the database that we prepared, which consists of research articles published in journals  
163 indexed in the Web of Science, Scopus or Scimago databases, as well as theses and conference papers, the  
164 USLE is by far the most used soil erosion model in India, with 115 applications between 1991 and 2020  
165 (see Tables S1 and S2 in the Supplementary Information file). It has been applied to estimate soil erosion  
166 rates at all spatial scales ranging from an open pit mine (Nigam et al., 2017) and large river basins (Karan  
167 et al., 2019; Bhattacharya et al., 2020a,b) to districts (Srinivas et al., 2002; Thelkar et al., 2019), states

168 (Mandal and Sharda, 2011a; Mahapatra et al., 2018) and the entire country (Singh et al., 1992; Maji et al.,  
169 2008; Sharda et al., 2013). Its temporal applications have been just as diverse, ranging from individual  
170 rainstorms (Kothyari and Jain, 1997; Jain and Kothyari, 2000) to decadal and centennial erosion  
171 projections with respect to climate change scenarios (Mondal et al., 2015, 2016a; Gupta and Kumar, 2017;  
172 Khare et al., 2017; Pal and Chakraborty, 2019; Chakraborty et al., 2020).  
173



174

**Fig. 1:** Trend of published studies using the USLE in India

175

176

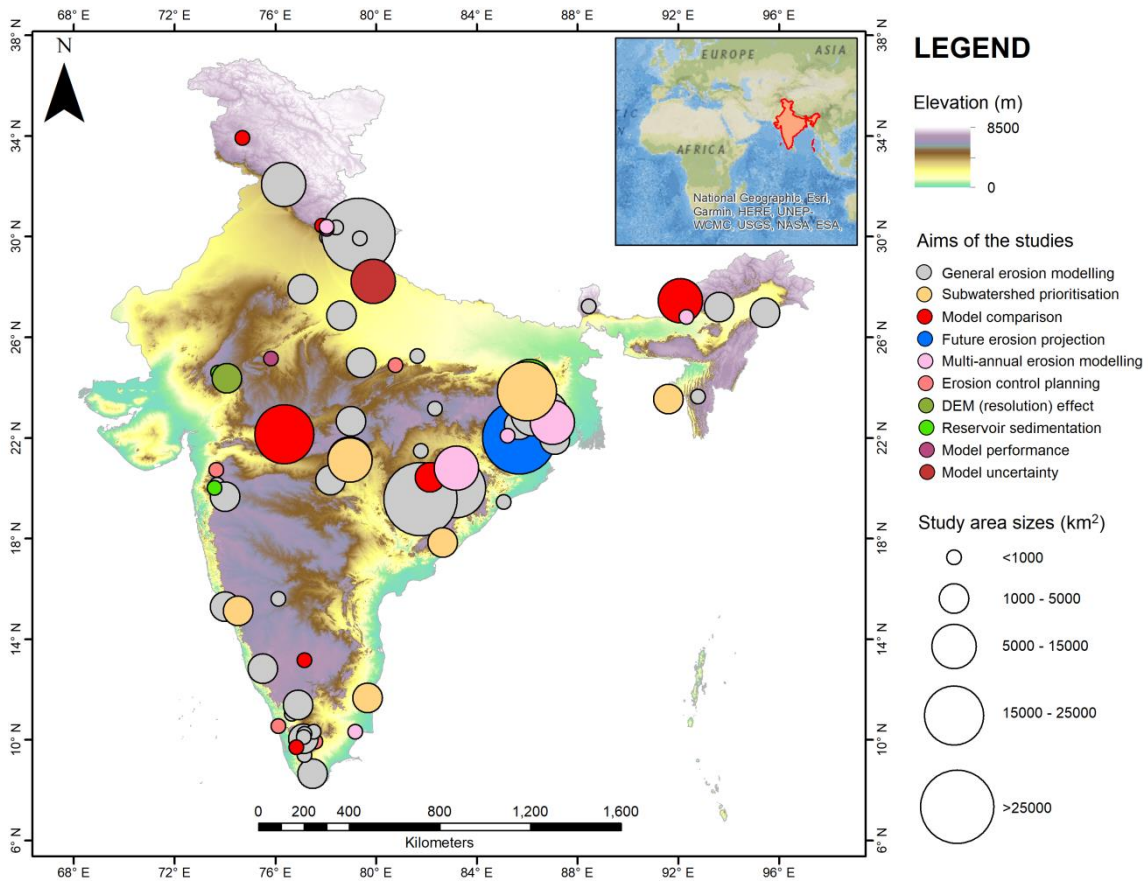
Note: See Table S1 in the Supplementary Information file for details about each study

177 For this review, we have considered 100 of the 115 studies that were collated, excluding those articles (the  
178 details of which are given in Table S2 in the Supplementary Information file) that used the USLE but did  
179 not provide any details on factor estimation, or studies that assessed the erosion risk after keeping one of  
180 the factors as a constant and not estimating the same, or studies that performed event-scale soil erosion  
181 modelling, as the USLE is ill-suited for this (Wischmeier and Smith, 1978; Kinnell, 2010). These 100  
182 studies (see Table S1 in the Supplementary Information file for individual details on each of them) were  
183 carried out in 24 different states across India between 2000 and 2020, with the highest number of



184 publications (16) being in 2018. The number of publications first increased sharply during 2009-2012 and  
185 between 2017 and 2020, a USLE-based paper was published on average, once every month (Fig. 1).

186 The peninsular plateau and its fringe areas are the most erosion susceptible physiographic region of India  
187 (Singh et al., 1991, 1992) and naturally most of the studies we reviewed (n=73) were conducted in various  
188 parts of it. Apart from this, 15 studies were based in the Himalayas, three were situated in the hills of the  
189 Northeast and the remaining ones had modelled soil erosion in the northern plains (n=4), eastern coastal  
190 plains (n=3) and western coastal plains (n=2) (Fig. 2). An overwhelming 84% of the papers had  
191 implemented the USLE at the catchment scale, the smallest and largest of these basins encompassing 7.31  
192 km<sup>2</sup> and 41285 km<sup>2</sup>, respectively (Fig. 2) (mean catchment area = ca. 4200 km<sup>2</sup>, standard deviation = ca.  
193 8000 km<sup>2</sup>). Of the remaining 16 studies, one had used the USLE at the plot-scale, three others had  
194 employed it at the hillslope-scale and 12 studies had modelled soil erosion in administrative units (i.e. sub-  
195 districts, districts or states). Overall, 52 studies clearly stated why it was important or necessary to  
196 undertake soil erosion modelling in their respective study area, while 48 did not provide such a rationale.  
197 Our reviewed studies (Table S1) had used the model to accomplish a variety of objectives— 54  
198 investigations simply aimed to obtain a soil erosion map, 10 studies used the model for subwatershed  
199 prioritisation, in nine cases the USLE-derived soil loss estimates were compared to that predicted by other  
200 modelling approaches, five studies employed it to propose erosion control measures, 14 studies performed  
201 multi-temporal soil erosion modelling (with seven of them comprising future erosion projections), three  
202 studies each had used the model to obtain an approximation of reservoir sedimentation rates and study the  
203 effect of DEM resolution on erosion modelling while two studies had assessed the model uncertainty and  
204 performance at the catchment- and plot-scale respectively (Fig. 2).



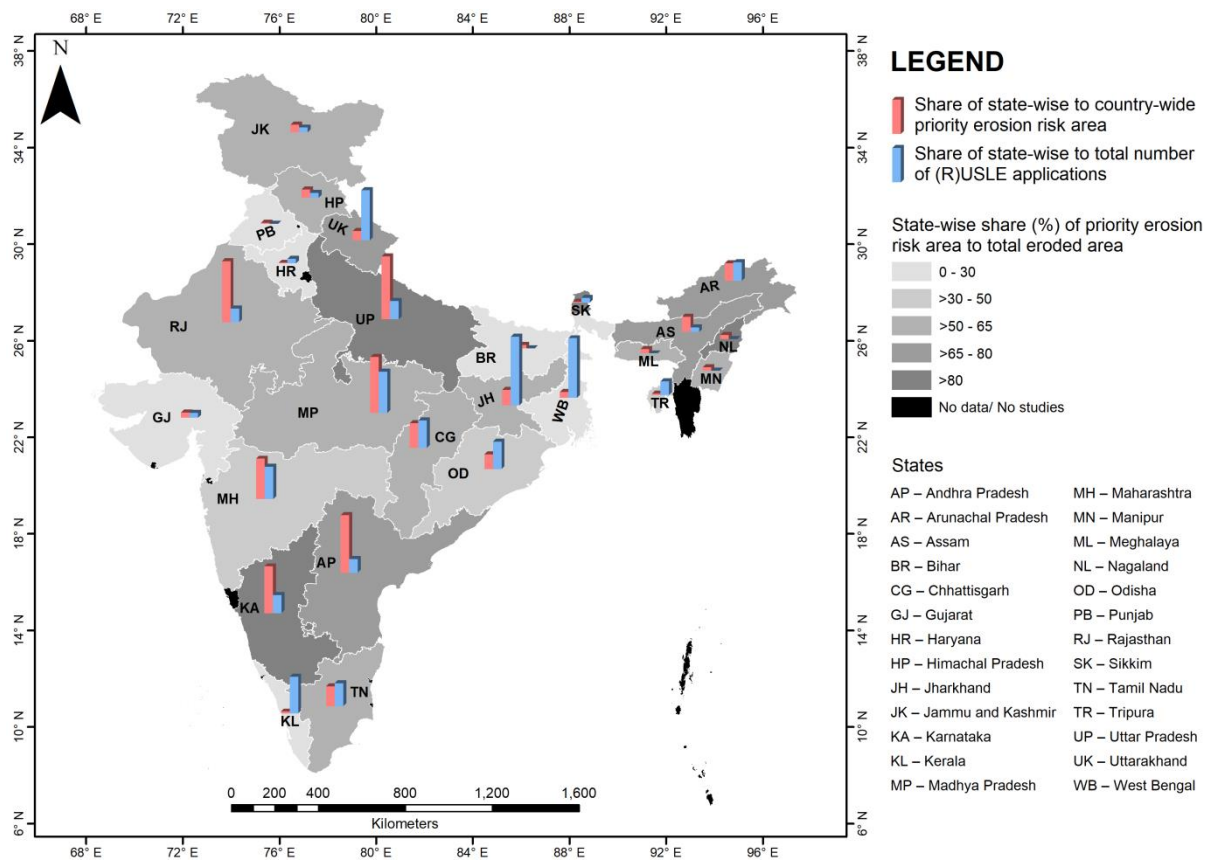
205

206 **Fig. 2:** Aims of the reviewed USLE applications and the location and size of their respective study areas  
 207 Note: For details of the respective study locations and areal coverage, see Table S1 in the Supplementary  
 208 Information file.  
 209

210 Sharda et al. (2013) compared the USLE-modelled erosion rates (Maji et al., 2008) to soil loss tolerances  
 211 (Mandal and Sharda, 2011b) across the entire country, and delineated areas of erosion risk while also  
 212 calculating extents under the various erosion control priority classes for different states of India. As  
 213 opposed to a simple soil erosion map (Singh et al., 1992; Maji et al., 2008), their state-wise comparative  
 214 assessment of erosion rates and tolerances is far more informative and highlights more pertinently the  
 215 regions under various levels of erosion risk. We thus used data from their study (share of state-wise to  
 216 country-wide priority erosion risk area) as well as information gathered by us during this review (location  
 217 of the reviewed USLE applications; Fig. 2) to examine if the spatiality (focus or target areas) of the  
 218 reviewed USLE applications was appropriate (i.e. applied in the most erosion-prone regions) (Fig. 3).

219 What becomes apparent from the above is that despite the raft of soil erosion investigations employing the  
 220 USLE across India and the diverse aspects/viewpoints considered, the method is not being applied where it

221 is probably the most pertinent. There is a clear concentration of studies in eastern India and the largest  
 222 study areas are also found therein (Fig. 2). However, the eastern Indian states of West Bengal, Jharkhand  
 223 and Odisha are not at the highest risk to soil loss nationwide (Sharda et al., 2013; Fig. 3). Jharkhand and  
 224 West Bengal lead the country in terms of USLE applications with 15 and 13 studies, but rank 9<sup>th</sup> and 23<sup>rd</sup>,  
 225 respectively, among 28 states, in terms of area under erosion control priority (Sharda et al., 2013).  
 226 Conversely, Uttar Pradesh and Andhra Pradesh have the largest erosion priority areas but are 10<sup>th</sup> and 13<sup>th</sup>,  
 227 respectively, in terms of studies conducted therein (Fig. 3). In four states (Uttar Pradesh, Karnataka,  
 228 Sikkim and Nagaland) more than 80% of the eroded area is classed under one of the priority categories for  
 229 conservation (Sharda et al., 2013; Fig. 3), despite which, these areas have received little attention (just four  
 230 studies each in Uttar Pradesh and Karnataka, one in Sikkim and none in Nagaland). Less than 30% of the  
 231 studies reviewed were conducted in states where more than 65% of the total eroded area is deemed to be of  
 232 conservation priority (i.e. in Andhra Pradesh, Arunachal Pradesh, Assam, Karnataka, Nagaland, Sikkim,  
 233 Uttar Pradesh and Uttarakhand).

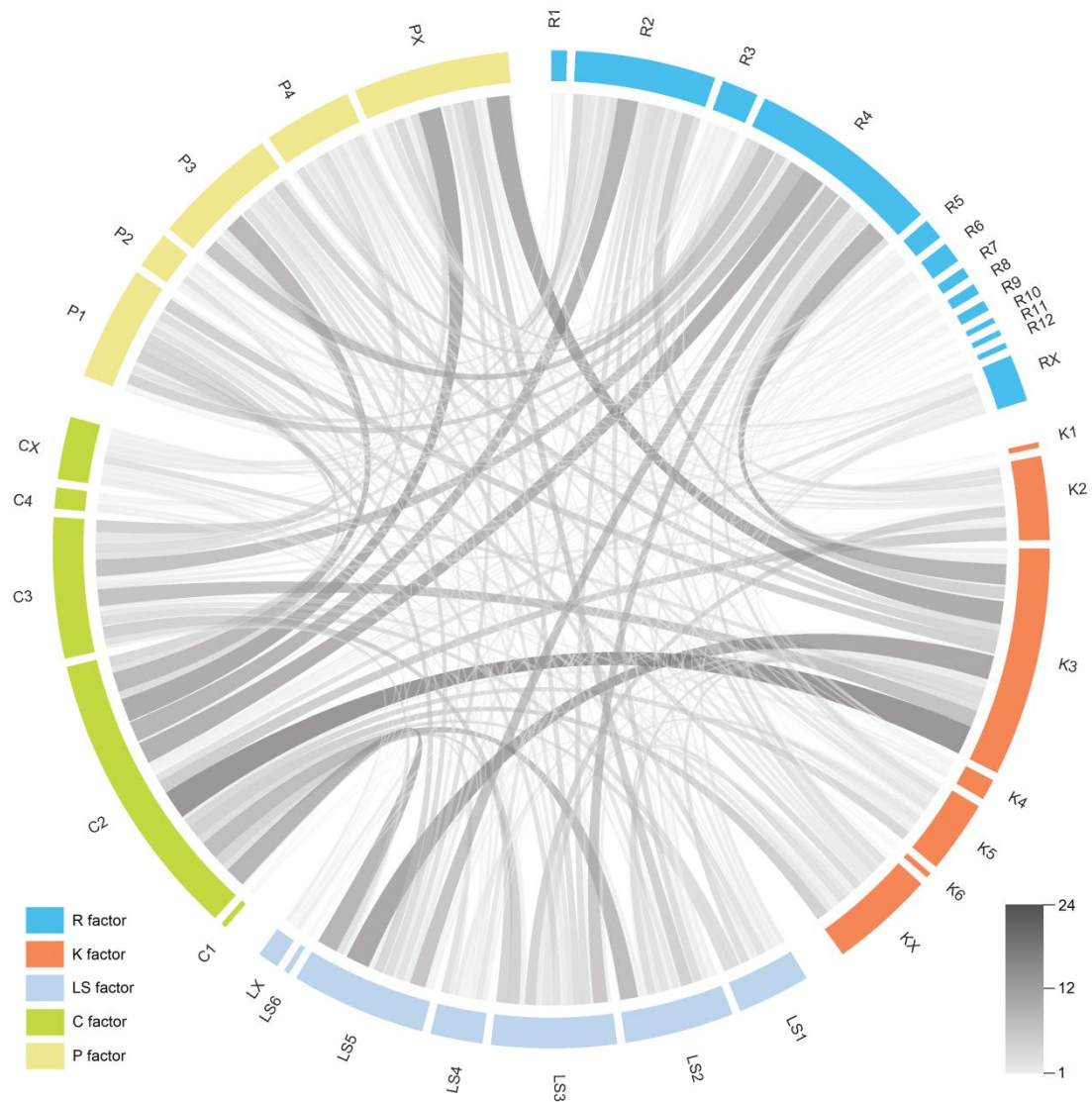


234  
 235 **Fig. 3:** State-wise comparison between share of total priority eroded area and share of total number of  
 236 studies  
 237

238

239 **4. USLE applications in India: factor-wise review**

240 After reviewing all the papers scrupulously it was apparent that very few studies had attempted to evaluate  
241 the elicited results. Thus, we have particularly emphasised on assessing the model parameterisation  
242 methods stated in each paper as a measure of the efficacy of their derived results, with respect to the actual  
243 modelling procedures outlined in Agricultural Handbook Nos. 537 (Wischmeier and Smith, 1978) and 703  
244 (Renard et al., 1997), as well as in seminal review articles on USLE (Renard et al., 2011; Benavidez et al.,  
245 2018; Alewell et al., 2019; Kinnell, 2019). We discerned that across the 100 studies examined (see Table  
246 S1), a total of 32 different methods had been used to estimate the various model parameters– 12 for the R  
247 factor, six each for the K and LS factors and four each for the C and P factors. There were also instances  
248 where one or more of the factor estimation methods was not sufficiently described or their sources  
249 misquoted. We visualised the frequency with which each method was used by means of a chord diagram,  
250 rather than simple bar graphs, as this further allowed assessment of the frequency of co-occurrence of the  
251 various methods. Since in one USLE application, five methods can be combined to form 10 pairs ( ${}^5C_2 =$   
252 10), we could derive 1000 such pair-wise combinations from the reviewed 100 studies. These 1000  
253 combinations were grouped into 263 unique combinations, where the minimum and maximum frequency  
254 of co-occurrences was 1 and 24 respectively (Fig. 4). Among these, 95 pair-wise combinations were found  
255 only once and 200 of the 263 unique combinations occurred less than six times (Fig. 4).



256

257 **Fig. 4:** Grouped chord diagram illustrating the 263 unique pair-wise combinations of USLE factor  
 258 estimation methods. The width of a sector is indicative of the frequency with which that method was used  
 259 in the studies reviewed, and the shades of grey demarcating the chords highlight the frequency of  
 260 occurrence of a particular pair, ranging between 24 and 1. For details on the method-wise codes, refer to  
 261 Table Nos. 1 (for R factor), 2 (for K factor), 3 (for LS factor), 4 (for C factor) and 5 (for P factor), and see  
 262 the ensuing subsections for a detailed analysis.

263

#### 264 **4.1 Computations of the R factor**

265 The R factor captures the potential erosive effect of rainfall and the ensuing runoff on the topsoil. Devised  
 266 by Wischmeier (1959), the annual R factor, also termed as ‘Rainfall Erosion Index’, is a product of two  
 267 rainfall factors, i.e. the total storm kinetic energy ( $E$ ) and the maximum 30-minute rainfall intensity ( $I_{30}$ ),  
 268 summed over a year for all storms of over 12 mm rainfall or for downpours expending more than 6.5 mm

269 rainfall within 15 minutes, and taking the average of those annual values for at least 22 years. Since the R  
270 factor can only be calculated as an average over decadal timescales, the USLE is ill-suited to simulate  
271 event-scale soil erosion (Kinnell, 2010). If successive storms have an interval of at least 6 hours, they are  
272 considered to be separate events and storms debouching rainfall amounts less than 12 mm are not  
273 considered (unless 6.5 mm fell within 15 minutes), as sufficient runoff capable of causing erosion is  
274 unlikely to be generated in such a scenario. However, this can also depend on the ambient antecedent  
275 moisture conditions in the area and so may need to be evaluated separately, if required.

276 The kinetic energy of a rainstorm is calculated using equations that link  $E$  to  $I$ , which in USLE were of  
277 logarithmic nature for rainfall intensities less than  $76 \text{ mm h}^{-1}$  (for  $I > 76 \text{ mm h}^{-1}$ , a constant value was  
278 proposed) (Wischmeier and Smith, 1978) while in the RUSLE, an exponential relationship replaced the  
279 logarithmic equation, this being valid for all rainfall intensities (Brown and Foster, 1987). The  $E$  is  
280 indicative of the volume of rainfall and runoff, while the  $I_{30}$  indicates peak detachment and runoff rates.  
281 The  $EI_{30}$  term therefore captures both particle detachment and transport capacity (Wischmeier and Smith,  
282 1978; Renard et al., 1997). The R factor estimation method is almost identical in both the USLE and  
283 RUSLE, apart from the change in the kinetic energy equation and correction for ponding on flat slopes in  
284 the RUSLE. The R factor takes the unit of  $\text{MJ mm ha}^{-1} \text{ hr}^{-1} \text{ yr}^{-1}$  in SI units (Foster et al., 1981).

285 Although the USLE/RUSLE can only predict on-site soil erosion and not off-site catchment sediment yield  
286 as runoff is not categorically considered in the R factor (Alewell et al., 2019), the  $EI_{30}$  term was found to  
287 be the most strongly correlated of the considered rainfall parameters that measures soil loss at the plot-  
288 scale, and can explain between 72–97% of the variations in soil loss caused by individual rainfall events  
289 (Wischmeier and Smith, 1978; Renard et al., 2011). However, the lack of individual storm records and  
290 sub-hourly data for the recommended long periods in many parts of the world, especially in the Global  
291 South, has often precluded the use of the prescribed USLE/RUSLE methods for R factor estimation and  
292 triggered the development of simple regression equations or other empirical methods [such as the Modified  
293 Fournier Index (Arnoldus, 1977, 1980)] that enable R factor estimation using annual/monthly rainfall data  
294 (Benavidez et al., 2018; Alewell et al., 2019). Apart from the apparent constraint of massive data  
295 requirements to compute the R factor, there also exists the matter of its universal relevance, especially in  
296 the tropics. The larger median drop size of tropical rainstorms as well as their higher rainfall intensities and

297 kinetic energies might lead to underestimation of the R factor in the tropics when calculated as per the  $EI_{30}$   
 298 method (Lal et al., 1980; Nyssen et al., 2005).

299 Of the 100 papers we reviewed, 73 studies clearly mentioned the temporal extent of the rainfall data used,  
 300 which ranged between 1 and 113 years, with an average data record of 20.67 years. In all, 92 papers  
 301 provided information about their rainfall data source, 78 of which used point-scale rainfall data obtained  
 302 from weather stations in and around their study area, nine studies used any one of the various open-source  
 303 gridded rainfall datasets available (e.g. India Meteorological Department (IMD), Tropical Rainfall  
 304 Measuring Mission (TRMM), Climate Hazards Group InfraRed Precipitation with Station data (CHIRPS),  
 305 WorldClim or others), three studies measured rainfall erosivity as per the stipulated USLE method while in  
 306 the remaining two cases, approximate rainfall erosivity values were obtained from the iso-erodent map of  
 307 India (Babu et al., 1978, 2004). Exactly half (39) of the 78 papers that used weather station data, detailed  
 308 the interpolation method used to generate an R factor map from the point-scale data. The deterministic  
 309 interpolation methods of Inverse Distance Weighting and Thiessen's polygons were used in 21 and nine  
 310 studies, respectively, and eight studies performed kriging (however no information on the kriging variant  
 311 or variogram modelling was shared by any of these studies), while in one study a trend surface map was  
 312 created.

313

314 **Table 1:** Summary of the various methods employed to quantify rainfall erosivity for USLE-based soil  
 315 erosion modelling in India

Code in Fig. 4	Source	Method	Location of development	No. of studies used
R1	Wischmeier and Smith (1978)	$R = \frac{\sum_{i=1}^j (EI_{30})_i}{N}$ ( $EI_{30})_i$ : Product of rainfall kinetic energy ( $E$ ) and 30-minute maximum rainfall intensity ( $I_{30}$ ) for storm $i$ . $j$ : number of storms in an $N$ -year period (suggested minimum period is 22 years) Original unit: 100 foot-tonf inch acre <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup> , but depends on units of measurement of $E$ and $I_{30}$ .	USA	3
R2	Babu et al. (1978)	$R = 79 + 0.363P$ $P$ : annual precipitation (mm) Original unit: t-m cm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	27
R3	Babu et al. (2004)	$R = 81.5 + 0.38P$ $P$ : annual precipitation (mm) Original unit: t-m cm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	7

R4	Arnoldus (1977, 1980)	<b>R based on Modified Fournier Index</b> $\sum_{i=1}^{12} \frac{P_i^2}{P}$ <i>P</i> : annual precipitation (mm) <i>P<sub>i</sub></i> : monthly precipitation (mm) Original unit of the MFI-based equations: t-m cm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	Western Africa and Western USA	6
R4	Unclear	<b><math>R = 1.735 \times 10^{(1.5 \log_{10}(MFI) - 0.8188)}</math></b> <i>MFI</i> : Modified Fournier Index Unit: unclear	Unclear	31
R5	El-Swaify et al. (1987) as cited in Benavidez et al. (2018)	<b><math>R = 38.5 + 0.35P</math></b> <i>P</i> : annual precipitation (mm) Units: t ha <sup>-1</sup> year <sup>-1</sup> (all the other factors must have been developed to be dimensionless so that the final soil loss is in t ha <sup>-1</sup> year <sup>-1</sup> )	Possibly Thailand	4
R6	Roose (1977) as cited in Renard and Freimund (1994)	<b><math>R = (0.5 \pm 0.05)P</math></b> <i>P</i> : annual precipitation (mm) Original unit: 100 foot-tonf inch acre <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	Western Africa	4
R7	Renard and Freimund (1994)	<b><math>R = 0.0483P^{1.610}</math> (if <i>P</i>&lt;850 mm)  <b><math>R = 587.8 - 1.219P + 0.004105P^2</math> (if <i>P</i>&gt;850 mm)</b>  Unit: MJ mm ha<sup>-1</sup> h<sup>-1</sup> yr<sup>-1</sup></b>	Western USA	2
R8	Panigrahi et al. (1996) as cited in Shinde et al. (2011) and Sundara Kumar et al. (2018)	<b><math>R = P^2(0.00364 \log_{10}P - 0.000062)</math></b> <i>P</i> : annual precipitation (mm) Unit: MJ mm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	2
R9	Babu et al. (1978, 2004)	<b>R values from Iso-erodent map of India</b> Unit: t-m cm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	2
R10	Nakil (2014) as cited in Nakil and Khire (2015)	<b><math>R = 839.15 \exp(0.0008P)</math></b> <i>P</i> : annual precipitation (mm) Unit: MJ mm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	1
R11	SARH (1991) as cited in Ghoshal and Das Bhattacharya (2020)	<b><math>R = -0.0334P + 0.006661P^2</math></b> <i>P</i> : annual precipitation (mm) Unit: MJ mm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	Mexico	1
R12	Sudhishri and Patnaik (2004) as cited in Dash et al. (2019)	<b><math>R = 0.82P - 6.61</math></b> <i>P</i> : annual precipitation (mm) Unit: t-m cm ha <sup>-1</sup> h <sup>-1</sup> yr <sup>-1</sup>	India	1
RX	Unclear	N/A	N/A	9

316

317 A total of 12 different methods were used in the reviewed works to estimate the R factor (Table 1). We  
318 obtained R factor values from the tables and maps of those studies that explicitly mentioned the same, and  
319 compared these values to the rainfall erosivity values for the respective study areas as estimated in the  
320 Global Rainfall Erosivity Database (GloREDa) (Panagos et al., 2017). The GloREDa R factor map  
321 (resolution ~1 km; unit: MJ mm ha<sup>-1</sup> h<sup>-1</sup> yr<sup>-1</sup>) for the Indian subcontinent was prepared through  
322 geostatistical interpolation of high resolution rainfall kinetic energy and intensity data of 247 stations that  
323 are well-distributed throughout India, with an average temporal coverage of 7 years. It is till date the best  
324 available rainfall erosivity map for India and we have used it to examine the relative accuracy of the  
325 various methods employed in the reviewed studies to estimate rainfall erosivity at the catchment- and



326 regional-scale in India (Fig. 5), with particular emphasis on the regional specificity and units of the same.  
327 We finally compared 88 studies in this manner, leaving out plot- and hillslope-scale USLE applications (as  
328 evaluating the R factor value of such small area studies would not be feasible using the GloREDA map that  
329 is of far coarser resolution) as well as studies that did not report their R factor values.

330 A non-parametric Wilcoxon rank-sum test was conducted for the entire sample of 88 studies to assess the  
331 presence of any statistically significant difference between the rainfall erosivity values as obtained from  
332 the sampled studies and that derived from GloREDA. The results of this test express a highly significant  
333 difference ( $p < 10^{-15}$ ) between the two, highlighting the overall underestimation of rainfall erosivity in these  
334 studies. We did not perform similar tests to compare the rainfall erosivity values summarised for each of  
335 the methods used as not all of the methods were used sufficiently or equitably to guarantee a minimum or  
336 roughly equal sample size for group-wise comparison of means. A graphical comparison appeared to be  
337 more meaningful instead (Fig. 5).

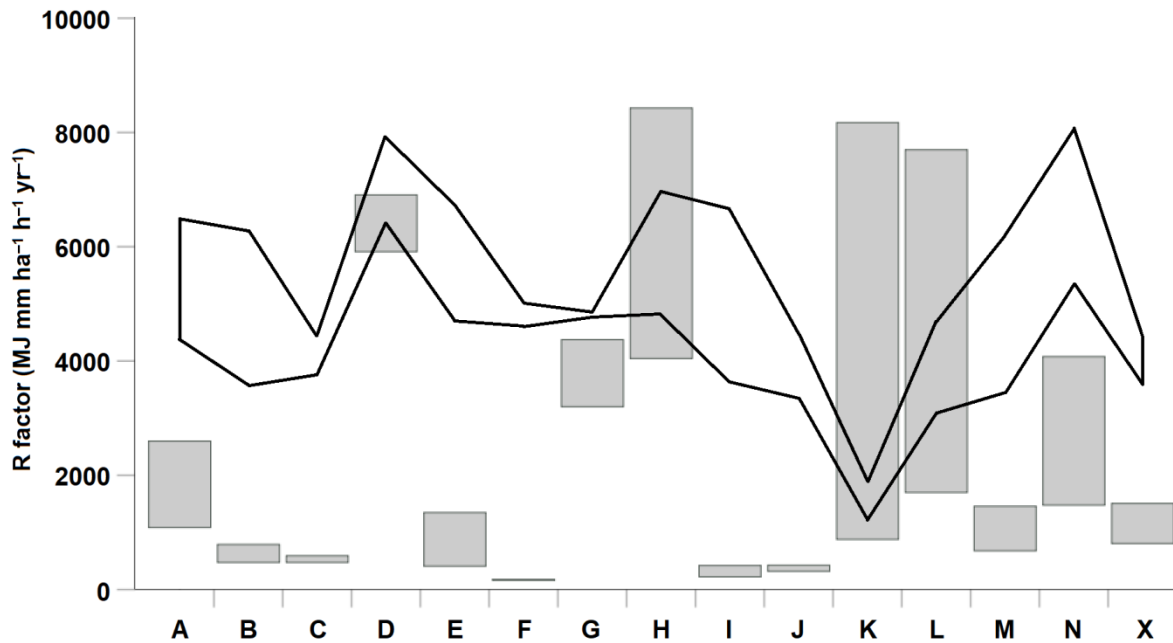
338 With 31 applications, the equation cited by Tiwari et al. (2015), based on the Modified Fournier Index  
339 (Arnoldus, 1977, 1980) is the most frequently used R factor derivation method. Arnoldus (1977) had  
340 developed the equation as–

$$341 \quad R = 1.735 \times 10^{(1.5 \log_{10}(MFI) - 0.8188)} \quad (\text{Eq. 1})$$

342 to compute approximate rainfall erosivity for Morocco, in units of  $\text{t-m cm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ , and this equation  
343 has apparently been confused as–

$$344 \quad R = 1.735 \times 10^{(1.5 \log_{10}(MFI) - 0.8188)} \quad (\text{Eq. 2})$$

345 and subsequently been widely misused in India. The Eq. 2 has even been used to create a rainfall erosivity  
346 map for the entire country (Tiwari et al., 2015). Since such a fundamental error was committed by these  
347 studies, further assessment of the units or values derived in them was deemed immaterial. For  
348 completeness' sake, this has still been shown in Fig. 5 (Code A), and is observed to undervalue the R  
349 factor.



350

351 **Fig. 5:** Comparison of rainfall erosivity as estimated by various methods (high-low bars) in the reviewed  
 352 studies and the GloREDa rainfall erosivity estimates (area graph). A: MFI-based equation used by Tiwari  
 353 et al. (2015); B: Babu et al. (1978); C: Babu et al. (2004); D: Babu et al. (1978) with units corrected; E:  
 354 Arnoldus (1980); F: El-Swaify et al. (1987); G: Wischmeier and Smith (1978); H: Renard and Freimund  
 355 (1994); I: Panigrahi et al. (1996); J: Roose (1977); K: Roose (1977) with units corrected; L: Nakil (2014);  
 356 M: Sudhishri and Patnaik (2004); N: SARH (1991); X: Method unclear.

357

358 Babu et al. (1978) devised a simple regression equation linking rainfall erosivity and annual rainfall using  
 359 data from 42 stations across India. In all, 27 studies have used this method to compute the R factor,  
 360 although only five of them have expressed their R values in the correct units of  $t\text{-m cm ha}^{-1} h^{-1} yr^{-1}$ . We  
 361 could not succinctly assess if the other 22 studies had specifically converted their values from the metric  
 362 units to the reported SI units of  $MJ mm ha^{-1} h^{-1} yr^{-1}$ , and therefore compared them separately. These 22  
 363 studies had underestimated the R factor by about nine times on average (Code B in Fig. 5). Since the  
 364 multiplication factor to convert from metric to SI units is 10.2, we are quite certain that this  
 365 underestimation stems from the misreporting of units. Contrarily, the other five papers that expressed their  
 366 R factor in the correct units of  $t\text{-m cm ha}^{-1} h^{-1} yr^{-1}$  (that were converted to  $MJ mm ha^{-1} h^{-1} yr^{-1}$  for the  
 367 comparison) differ on average from the GloREDa-extracted values by about  $500 MJ mm ha^{-1} h^{-1} yr^{-1}$   
 368 (which could be due to differences in data resolution and measurement/computation aspects), and actually  
 369 perform the best of all the methods sampled (Code D in Fig. 5) in quantifying the R factor. Just seven  
 370 studies used the revised regression equation of Babu et al. (2004), and all of them reported their R factor

371 values in SI units. These studies also underestimate (by about seven times) the rainfall erosivity, which  
372 again suggests that they possibly did not convert the derived values from metric to SI units (Code C in Fig.  
373 5).

374 Six papers mention that they used the basic MFI, rather than one of the MFI-based equations that Arnoldus  
375 (1977, 1980) had proposed. This approach is inherently flawed, as the MFI is simply a ratio and not a  
376 method in itself, nor does it estimate the R factor in units of  $\text{MJ mm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ . These six studies  
377 unsurprisingly underestimate the rainfall erosivity (Code E in Fig. 5). The method of El-Swaify et al.  
378 (1987: as cited in Benavidez et al., 2018) is rather odd, as it seemingly estimates the R factor in units of  $\text{t}$   
379  $\text{ha}^{-1} \text{ yr}^{-1}$ , meaning that all other factors must be dimensionless. The implication for users looking to use  
380 such a method is that all six factors must be estimated as per the guidelines of the same source, rather than  
381 employing different methods to estimate different factors (which would then have varying and  
382 incompatible units). In our survey, four studies were found to use this equation, although none of them  
383 correctly quoted the source or reported the correct units corresponding to this method. Consequently, there  
384 is an average underestimation of the R Factor by a staggering 29 times across them (Code F in Fig. 5).

385 Following Roose (1977: as cited in Renard and Freimund, 1994), four studies actually estimated the  
386 rainfall erosivity as being equal to half of the annual precipitation. However, only one denoted the units  
387 correctly, i.e.  $100 \text{ foot-tonf inch acre}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$  and clearly converted the elicited values from the imperial to  
388 SI units using a multiplication factor of 17.3. However, it is apparent that this study grossly overestimated  
389 the R factor (Code K in Fig. 5). The other three studies did not convert the units and ended up  
390 underestimating the rainfall erosivity by more than 10 times (Code J in Fig. 5). The equations of Renard  
391 and Freimund (1994) (Code H in Fig. 5) and Nakil (2014) (Code L in Fig. 5) also overestimate the R  
392 factor, while that of SARH (1991) (Code N in Fig. 5), Panigrahi et al., (1996) (Code I in Fig. 5) and  
393 Sudhishri and Patnaik (2004) (Code M in Fig. 5) underestimate the same to various degrees. Biswal (2015)  
394 employed the regional R factor equation of Sudhishri and Patnaik (2004) but incorrectly reported the units  
395 to be in the SI system (instead of  $\text{t-m cm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ ), which could have led to its underestimation (Code  
396 M in Fig. 5). The eight studies for which the R factor estimation methods could not be understood,  
397 identified or otherwise verified also underestimated the erosivity on average (Code X in Fig. 5).

398 Three studies estimated the R factor as per the  $EI_{30}$  method of Wischmeier and Smith (1978), of which one  
399 was the plot-scale study of Ali and Sharda (2005) that we did not include in this comparison (due to its  
400 small areal extent that precludes sound judgement on the estimated R value from the GloREDA map which  
401 is of far coarser resolution). The other two studies, i.e., Pandey et al. (2007) and Singh and Panda (2017),  
402 respectively, underestimated and overestimated the R factor, and both taken together underestimated the  
403 rainfall erosivity (Code G in Fig. 5). This is surprising, as both these studies have reported R-values in the  
404 correct units and the GloREDA map, to which the values are being compared, was also developed in the  
405 same way. The discrepancy therefore, could arise from the short-term recording of pluviographic data in  
406 the respective studied locales, possibly at a low temporal resolution. However, some discrepancies may  
407 have also arisen due to data error ranges or uncertainty in both the GloREDA datasets and the actual  
408 measured rainfall erosivity values used in these studies.

409 Apart from the extensive confusion or lack of attention regarding assigning correct units to the various  
410 methods, the main issue with most of the studies' R factor estimation methods is their applicability in  
411 India. Considering that only 40 studies have estimated the rainfall erosivity using a method developed in  
412 India and just three more had attempted to measure the rainfall erosivity as per the USLE method, 57  
413 studies had used methods that were developed elsewhere and hence calibrated for totally different climatic  
414 regimes. Of these 57 studies, only four reported the elicited values in the correct units. One of them  
415 estimated the R factor as per Roose (1977) and corrected the units, while the other three used the methods  
416 of SARH (1991) and Renard and Freimund (1994). However, none of them could estimate the R factor  
417 with any degree of accuracy (Codes H, K and N in Fig. 5), possibly because these methods are simply not  
418 suitable to quantify the rainfall erosivity in the Indian climatic scenario. Awareness of the regional  
419 specificity of the R factor estimation methods is especially important for Indian USLE users as there is a  
420 strong seasonality in the rainfall received (and hence, soil erosion) in the country and the rainfall regime is  
421 very dissimilar to that of the west coast of USA or western Africa for example, which is where Roose  
422 (1977), Arnoldus (1980) and Renard and Freimund (1994) had developed their respective methods.

423

424

## 425            *4.2 Computations of the K factor*

426    The K factor is the rate of soil loss per rainfall erosivity index for a specific soil, as measured in unit-plot  
427    conditions (Wischmeier and Smith, 1965, 1978) by keeping the LS, C and P factors constant at 1.0. It is a  
428    measure of the soil's capability to resist erosion, with higher values indicating higher erosion susceptibility  
429    and vice versa. Thus, the K factor is in effect a lumped parameter that captures the integrated effect of the  
430    soil properties (especially physical properties like texture, structure, porosity) that influence its erosional  
431    response. These are in effect, the soil hydraulic conductivity, permeability and total water capacity, as well  
432    as any other attributes that might influence soil particle detachment and transportation due to rainfall and  
433    the ensuing runoff (Wischmeier and Smith, 1965; Wischmeier and Mannering, 1969).

434    The best estimations of the K factor are obtained from long-term soil loss measurement on natural runoff  
435    plots, which is how it was originally determined (Wischmeier and Smith, 1965). However, as establishing,  
436    maintaining and monitoring runoff plots is an expensive affair, even for the minimal required period of  
437    two years (Renard et al., 2011; Alewell et al., 2019), the soil erodibility nomograph or its approximation  
438    equation is used in most cases to estimate the K factor. This requires data on the soil texture and organic  
439    matter content, along with information on soil structure and permeability (Wischmeier and Smith, 1978).  
440    The nomograph equation was reported to be quite accurate when used within its limits, i.e. for soils  
441    containing less than 70% silt and very fine sand and below 4% organic matter (OM) (Declercq and Poesen,  
442    1992). Auerswald et al. (2014) have recently developed a set of equations that emulates and effectively  
443    replaces the nomograph or its approximation equation and can thus be used for the full range of soil  
444    properties. The earlier equation developed by Sharpley and Williams (1990) within the EPIC (Erosion  
445    Productivity Impact Calculator) model can also be used for the full range of soil properties. However, the  
446    universal applicability of the aforesaid K factor estimation methods can be questioned as both of them  
447    were developed by making use of plot-scale soil loss data from the US and thus perform best in medium  
448    textured, poorly aggregated soils of temperate regions. Irrespective of this, these methods have been the  
449    most frequently used worldwide (Benavidez et al., 2018), and understandably so, as efforts to come up  
450    with regionally or conditionally applicable K factor estimation methods or values have been largely  
451    unsuccessful or inconclusive, primarily due to the lack of long-term measured plot-level data (Alewell et  
452    al., 2019).

453 With a motive of increasing its global applicability, K factor estimation procedures were considerably  
 454 revamped in the RUSLE (Renard et al., 1997). A new globally applicable soil erodibility index was  
 455 included, which estimates this as a function of the geometric mean diameter of particles and specific  
 456 equations were proposed for smectite-rich soils, soils with a clay-rich subsurface horizon and Hawaiian  
 457 volcanic soils. Moreover, provisions were made to allow for interactions of the K factor with other factors  
 458 (including the computation of a seasonal K factor) and the effect of surface stoniness (particles with >2  
 459 mm diameter) was explicitly included within this revamped K factor, rather than in the C factor, as was the  
 460 case in the USLE. Further estimates of the K factor have also been devised subsequently (e.g. Bagarello et  
 461 al., 2012). The unit of the USLE/RUSLE K factor in SI is  $t\ ha\ h\ ha^{-1}\ MJ^{-1}\ mm^{-1}$  (Foster et al., 1981).

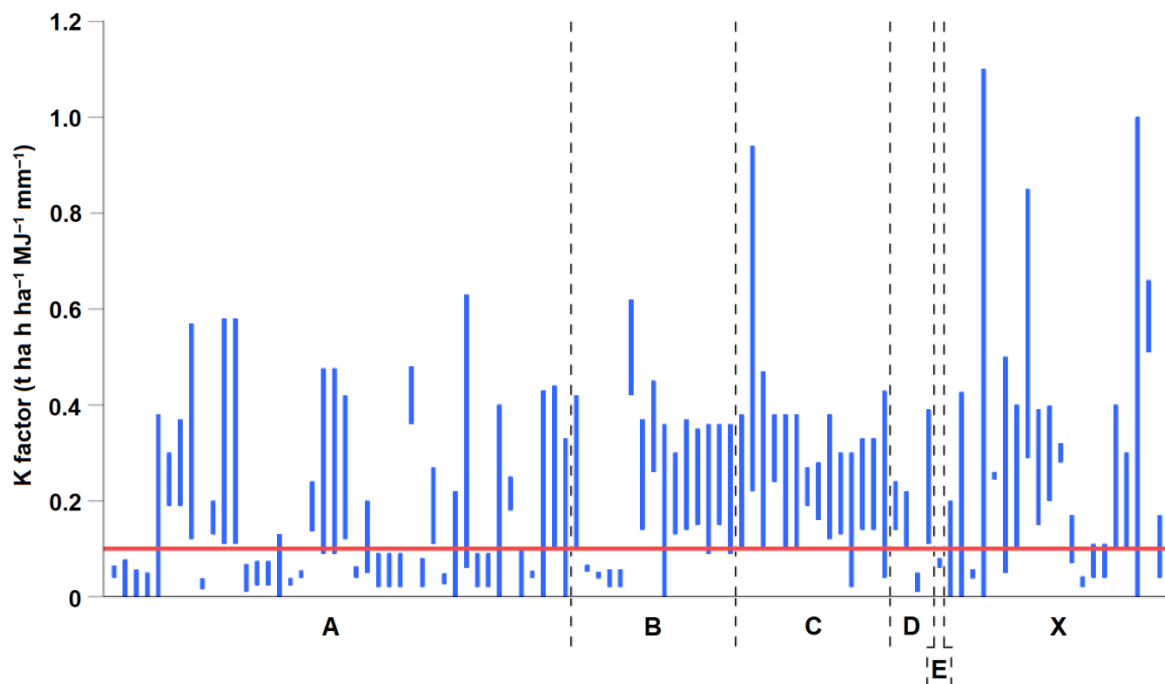
462

463 **Table 2:** Summary of the various methods employed to quantify soil erodibility for USLE-based soil  
 464 erosion modelling in India. All the equations in this table estimate the K factor in units of  $t\ acre\ h\ 100\ acre^{-1}$   
 465  $^1\ ft^{-1}\ tonf^{-1}\ inch^{-1}$

Code in Fig. 4	Source	Method	No. of studies used
K1	Wischmeier and Smith (1978)	$K = \frac{A}{R}$ A: soil loss rate; R: rainfall erosivity	1
K2	Wischmeier and Smith (1978), Renard et al. (1997)	<b>Standard soil erodibility nomograph</b>	16
K3	Wischmeier and Smith (1978), Renard et al. (1997)	$K = [2.1 \times 10^{-6} \times M^{1.14}(12 - a) + 0.0325(b - 2) + 0.025(c - 3)]$ $M = (SIL + VFS) \times (100 - CLA)$ SIL + VFS: Mass fraction (%) of silt and very fine sand, i.e. particles with sizes between 2 and 100 $\mu m$ . CLA: Mass fraction (%) of clay particles (<2 $\mu m$ ) a: soil organic matter mass fraction (%) b: soil structure code, viz. 1 (very fine granular), 2 (fine granular), 3 (medium or coarse granular), 4 (blocky, platy or massive) c: profile permeability class, viz. 1 (rapid), 2 (moderate to rapid), 3 (moderate), 4 (slow to moderate), 5 (slow), 6 (very slow)	44
K4	Sharpley and Williams (1990)	$K = \left[ 0.2 + 0.3 \exp \left( -0.0256 SAN \left( 1 - \frac{SIL}{100} \right) \right) \right]$ $\times \left[ \frac{SIL}{CLA + SIL} \right]^{0.3}$ $\times \left[ 1.0 - \frac{0.25 C}{C + \exp(3.72 - 2.95C)} \right] \times [1.0 - \frac{0.7 SN1}{SN1 + \exp(-5.51 + 22.9 SN1)}]$ SAN, SIL, CLA and C are percentages of sand, silt, clay and organic carbon contents respectively, and SN1 is SAN divided by 100 and subtracted from 1.	4

K5	Stewart et al. (1975), Stone and Hilborn (2000), Das (2012)	<b>As a function of texture class and OM content</b>	14
K6	Singh et al. (1981) as cited in Vemu and Pinnamaneni (2011)	<b>K factor values corresponding to soil type</b>	1
KX	Unclear	N/A	20

466



467

468 **Fig. 6:** K factor values as estimated by the reviewed studies. The red line indicates the maximum possible  
469 value of the K factor in SI units ( $0.1 \text{ t ha h ha}^{-1} \text{ MJ}^{-1} \text{ mm}^{-1}$ ). A: Wischmeier and Smith (1978) nomograph  
470 equation; B: Wischmeier and Smith (1978) standard nomograph; C: K factor tables of Stewart et al.  
471 (1975), Stone and Hilborn (2000) and Das (2012); D: Sharpley and Williams (1990) equation; E: From  
472 Singh et al. (1981) as per soil type; X: Method unclear

473

474 The methods employed to estimate the K factor in the reviewed studies are tabulated (Table 2). A total of  
475 60 studies used the USLE standard nomograph or its associated equation, which is recommended for  
476 estimating the K factor in India (Singh et al., 1985). However, Ali and Sharda (2005) did not estimate the  
477 K factor by any set method but chose to actually measure it, which gives the most reliable account of the  
478 soil erodibility (Wischmeier and Smith, 1978; Renard et al., 1997, 2011). The accuracy of table-based  
479 (Stewart et al., 1975; Stone and Hilborn, 2000; Das, 2012) or equation-based (Sharpley and Williams,  
480 1990) approaches to estimate the soil erodibility using soil textural and organic matter content data can

481 however be questioned, given that these methods have no consideration of the soil structure or  
482 permeability, unlike the USLE standard nomograph.

483 Of the studies considered in this review, 74% had used soil maps to generate a K factor map, a quarter of  
484 the studies had estimated the soil erodibility from soil samples collected in the field, and one study had  
485 measured the K values from plot-scale soil losses. In all, 17 of the 25 studies that had estimated the soil  
486 erodibility from collected soil samples had adopted a stratified random sampling strategy. Except for three  
487 hillslope-scale applications in these 17 investigations, the soil samples for the other 14 studies had been  
488 collected from various soil types or geomorphic units (as ascertained from the respective soil/geomorphic  
489 maps) and the estimated K factor value was assigned to the entire corresponding soil or geomorphic unit.  
490 The remaining eight out of 25 studies had generated spatially-continuous K factor maps through  
491 interpolation but only two papers (Prasannakumar et al., 2011a, b) had clearly mentioned the interpolation  
492 technique used. In total, 91 studies had generated spatially-discrete K factor maps. Of these, 77 had either  
493 tabulated all the K factor values in their respective study area or the same could be noted from the  
494 provided maps. With a motive of assessing the accuracy with which these studies had been able to capture  
495 the spatial variability in the K factor, we calculated the average area under each K factor value, by dividing  
496 the areal extent of each study area by its denoted number of soil erodibility values, and compared the same  
497 with the known spatial variability in K factor in India (cf. Adhikary et al., 2014). In these 77 studies, the  
498 area under a singular K factor value ranged from as low as 0.695 km<sup>2</sup> (Singh and Panda, 2017) to a  
499 considerably larger extent of 20642.5 km<sup>2</sup> (Vemu and Pinnamaneni, 2011), with a mean of 1057 km<sup>2</sup> and  
500 standard deviation of 2711 km<sup>2</sup>. However, in an isotropic scenario (variogram range and sill same in all  
501 directions), the soil erodibility is constant only up to ca. 50 km<sup>2</sup> in India (Adhikary et al., 2014). Judging  
502 by this, 60 of the 77 studies had failed to adequately capture the spatial variability in soil erodibility and  
503 had generalised the same to various extents, ranging from a minor 1.11 times (Pradeep et al., 2014) to a  
504 staggering 421 times (Vemu and Pinnamaneni, 2011), with the average being 27 times.

505 The other issue concerning the K factor estimation in India is overestimation. We found that 70 studies had  
506 partially or wholly transgressed the physical limit of the K factor in SI units, i.e. 0.1 t ha h ha<sup>-1</sup> MJ<sup>-1</sup> mm<sup>-1</sup>  
507 (Foster et al., 1981) (Fig.6). The results of a non-parametric Wilcoxon signed-rank test confirmed that on  
508 average, the estimated soil erodibility was significantly greater than 0.1 t ha h ha<sup>-1</sup> MJ<sup>-1</sup> mm<sup>-1</sup> ( $p=8\times 10^{-10}$ ).



509 The degree of overestimation is on average 0.3 (at least 3x overestimation) with a standard deviation of  
510 0.186. In all, 11 (of the 16) studies that used the standard nomograph, 24 (out of 44) papers that used the  
511 nomograph approximation equation, three (out of four) that used the Sharpley and Williams (1990)  
512 equation, all 14 studies that had read their K factor values from tables with respect to texture class and OM  
513 content and 18 (of the 20) studies that did not clearly specify the methods used, had overestimated the soil  
514 erodibility to varying degrees (Fig. 6). The commonness of this error possibly suggests some lack of  
515 attention on the part of most users towards the original unit ( $\text{t acre h } 100\text{-acre}^{-1} \text{ ft}^{-1} \text{ tonf}^{-1} \text{ inch}^{-1}$ ) of the K  
516 factor estimators and/or possible overlooking of the fact that these values must be multiplied by 0.1317 to  
517 convert from the imperial to SI units, in which measurement system these studies have reported their K  
518 factor values.

519 While it is not intrinsically wrong to estimate and report soil erodibility in the US customary units of t acre  
520 h  $100\text{-acre}^{-1} \text{ ft}^{-1} \text{ tonf}^{-1} \text{ inch}^{-1}$ , users must be mindful that the R factor is also expressed in the same system  
521 of units, so that the eventually modelled soil losses would be assigned the unit of  $\text{t acre}^{-1} \text{ yr}^{-1}$ . However, as  
522 most of the studies have reported their rainfall erosivity in the popular SI units of  $\text{MJ mm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ , the  
523 K factor must also be given in  $\text{t ha h ha}^{-1} \text{ MJ}^{-1} \text{ mm}^{-1}$ . Similarly, the appropriate K factor unit when the  
524 rainfall erosivity is in metric units ( $\text{t-m cm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ ) is  $\text{t ha h ha}^{-1} \text{ t-m}^{-1} \text{ cm}^{-1}$ .

525

### 526 **4.3 Computations of the LS factor**

527 The dimensionless topographic factor LS comprises of the slope length (L) and slope steepness (S) factors.  
528 Wischmeier and Smith (1978: page no. 14) defined L as “the distance from the point of origin of overland  
529 flow to the point where either the slope gradient (S) decreases enough that deposition begins, or the runoff  
530 water enters a well-defined channel that may be part of a drainage network or a constructed channel”. The  
531 L factor is basically the ratio of soil loss occurring from any slope relative to that from the USLE unit plot,  
532 raised to an exponent, the value of which was denoted as a function of the slope gradient in the USLE and  
533 as the ratio of the rill to interrill erosion in the RUSLE. While soil loss increases with increasing slope  
534 length (Wischmeier and Smith, 1978), the influence of slope steepness (whether constant or increasing) is  
535 far more pronounced (McCool et al., 1989). When the USLE was first proposed (Wischmeier and Smith,  
536 1965), the S factor was devised as a quadratic function of the slope gradient taken as percent slope (Smith

537 and Wischmeier, 1957), which upon upgradation, was replaced by another quadratic equation that models  
538 it as a function of the sine of the slope (Wischmeier and Smith, 1978). In the RUSLE, two different linear  
539 equations were proposed to estimate the S for slope gradients higher and lower than 9%, along with  
540 another equation that should be used to evaluate the S for slope lengths shorter than 4.5 m. The RUSLE  
541 also provides two similar equations (differently again as per the slope gradient being more or less than 9%)  
542 to estimate the S for thawing, weakened soils (McCool et al., 1989; Renard et al., 1997). A further  
543 equation based on a linear function relationship between the slope steepness factor and the sine of the  
544 slope angle was also devised by Nearing (1997) for slope gradients higher than 22%, which closely fits the  
545 RUSLE provided equations for slope gradients up to 22% and was also seen to be pertinently applicable  
546 for gradients higher than this value.

547 The LS factor could originally only be computed for uniform slopes (Wischmeier and Smith, 1965), but  
548 was soon extended to irregular slopes as well. However, irregular slopes must first be sub-divided into  
549 individual segments of uniform slope gradients that can then be considered uniform, with the LS factor  
550 values being calculated for each segment (Foster and Wischmeier, 1974; Wischmeier and Smith, 1978;  
551 Renard et al., 1997) or it can be computed by introducing a power equation describing the slope profile and  
552 modifying the RUSLE LS factor equations following the methodology of Di Stefano et al. (2000).  
553 Building on the development of a physically-based equivalent of the LS factor (Moore and Burch, 1986;  
554 Moore and Wilson, 1992), Desmet and Govers (1996) really facilitated LS factor computation for irregular  
555 slopes and complex topographies by proposing a novel method that applied flow accumulation algorithms  
556 on Digital Elevation Models (DEMs) in a GIS environment. Their solution was that the unit contributing  
557 area of each cell, calculated from the upslope drainage area, could substitute the slope length. As it is  
558 natural for surface runoff to converge and diverge over the landscape before ending in a ‘well-defined  
559 channel’, the LS factor calculated in this manner readily paved the way for large-scale USLE-based soil  
560 loss modelling that was hitherto impossible. Although the method of Desmet and Govers (1996) has been  
561 globally accepted (Benavidez et al., 2018), further advances have been made in the last few decades to  
562 further improve LS factor computations by applying different flow accumulation algorithms on DEMs  
563 (e.g. Winchell et al., 2008; Zhang et al., 2013, 2017). However, a further consideration should be the most

564 apt flow routing algorithm (single or multi-directional) to be employed in a certain terrain, based upon  
 565 which the flow accumulation surface is derived (Alewell et al., 2019).

566 Presently, the computation of the topographic factor is rather easy with multiple freely available DEMs to  
 567 choose from, with a range of resolutions appropriate for catchment-scale to continent-scale applications.  
 568 The problem with LS factor estimation is therefore not data unavailability but rather the improper usage of  
 569 the LS factor equations while using DEMs. For example, most open-source global DEMs (i.e. datasets  
 570 other than LiDAR generated elevation grids) are unable to capture the minute and concentrated flow  
 571 paths/channels that mark the end of a USLE/RUSLE slope segment due to their relatively coarse spatial  
 572 resolution and consequently the computed slope lengths are too long in most cases. Therefore, in order to  
 573 prevent such an overestimation, the slope lengths are simply cut off at some arbitrary value– a decision  
 574 that rests with the researcher and hence subjectivity cannot be ruled out (Renard et al., 2011). It is  
 575 therefore more prudent to threshold slope lengths at 122 m, which not only corresponds to the maximum  
 576 length of the USLE soil loss plots but also equals the most frequently observed slope lengths in the field  
 577 (McCool et al., 1989; Renard et al., 1997).

578

579 **Table 3:** Summary of the various methods employed to quantify LS factor for USLE-based soil erosion  
 580 modelling in India

Code in Fig. 4	Source	Equation	No. of studies used	Details of usage in reviewed applications
LS1	Smith and Wischmeier (1957)	$LS = \left(\frac{\lambda}{22.13}\right)^m \times (0.065 + 0.045s + 0.0065s^2)$ <p><math>\lambda</math>: slope length (m); <math>s</math>: slope gradient (%)  <math>m</math>: varies between 0.2 and 0.5 depending on the slope gradient</p>	14	$\lambda$ estimated using: flow accumulation (n=8); set constant (n=2) equation $\lambda = 158 - 2.92s$ (n=1); no details (n=3) $s$ obtained from: topographical maps (n=7); DEM (n=5); field measurements (n=1); no details (n=1)
LS2	Wischmeier and Smith (1978)	$LS = \left(\frac{\lambda}{22.13}\right)^m \times (65.41 \sin^2 \theta + 4.56 \sin \theta + 0.065)$ <p><math>\lambda</math>: slope length (m); <math>\theta</math>: slope angle  <math>m</math>: dependent on the slope gradient            – 0.5 if slope <math>\geq 5\%</math>            – 0.4 if slope is between 3.5% and 4.5%            – 0.3 if slope is between 1% and 3%            – 0.2 if slope <math>\leq 1\%</math></p>	21	$\lambda$ estimated using: flow accumulation (n=4); field measurements (n=1) equation $\lambda = 40 + 0.4s$ (n=4); set constant (n=2); no details (n=10) $\theta$ obtained from: topographical maps (n=5), field measurements (n=1), DEM (n= 10), no details (n=5)

LS3	Moore and Burch (1986), Desmet and Govers (1996)	$LS = \left(\frac{As}{22.13}\right)^{0.4} \times \left(\frac{\sin \theta}{0.0896}\right)^{1.3}$ <i>As</i> : unit contributing area; $\theta$ : slope angle <i>As</i> = flow accumulation $\times$ DEM cell size	24	$\theta$ obtained from DEM (n=18) and topographical maps (n=6)
LS4	Moore and Wilson (1992), Desmet and Govers (1996)	$LS = \left(\frac{As}{22.13}\right)^m \times \left(\frac{\sin \theta}{0.0896}\right)^{1.3}$ <i>As</i> : unit contributing area; $\theta$ : slope angle $m = 0.4 - 0.6$ <i>As</i> = flow accumulation $\times$ DEM cell size	10	$\theta$ obtained from DEM (n=8) and topographical maps (n=2)
LS5	McCool et al. (1989)	$L = \left(\frac{\lambda}{22.13}\right)^m$ $m = \frac{\beta}{1 + \beta}$ $\beta = \frac{\left(\frac{\sin \theta}{0.0896}\right)}{3 (\sin \theta)^{0.8} + 0.56}$ $S = 10.8 \sin \theta + 0.03 \text{ (For slopes } < 9\%)$ $S = 16.8 \sin \theta - 0.5 \text{ (For slopes } \geq 9\%)$ $S = 3 (\sin \theta)^{0.8} + 0.56 \text{ (For } \lambda < 4.5 \text{ m)}$ $\lambda$ : slope length (m); $\theta$ : slope angle	26	$\lambda$ estimated using: flow accumulation (n=15), set constant (n=4) no details (n=7) $\theta$ obtained from: topographical maps (n=6), DEM (n=20)
LS6	Smith and Wischmeier (1957), Wischmeier and Smith (1978), McCool et al. (1989)	<b>Comparison of various LS factor methods</b>	1	
LX	Method unclear		4	N/A

581

582 In all, 64 of the 100 studies examined here had used a DEM to compute the LS factor. The ASTER GDDEM  
583 and SRTM DEM were the most popularly used DEM datasets, even though this choice of DEM or DEM  
584 resolution appears to be arbitrary in all cases despite the fact that the ascertained topographic parameters  
585 from each DEM can vary markedly (Das et al., 2016). Of the remaining examples, 28 studies had  
586 estimated the LS factor for their study area from topographical maps (either manually or from contour-  
587 generated DEMs), one plot-scale study had actually measured the LS factor in the field while the rest did  
588 not mention any data source. The LS factor estimation methods are detailed in Table 3.

589 In total, 34 studies had applied the equations developed by Moore and Burch (1986) and Moore and  
590 Wilson (1992), which are based on the concept of unit stream power. All of these 34 studies estimated the  
591 L factor from a flow accumulation surface as suggested by Desmet and Govers (1996). Although  
592 Wischmeier and Smith (1978) had replaced the earlier LS factor equation of Smith and Wischmeier (1957)  
593 with a more pertinent equation that models S values as a quadratic function of the sine of the slope angle,

594 the latter (i.e. older equation) was still used in 14 of the studies reviewed. Just 22 studies employed the  
595 updated equation of Wischmeier and Smith (1978), four of which specified an unrealistic value of 0.7  
596 (which exceeds the maximum possible value of 0.5) for the exponent  $m$ . In all, 26 studies had calculated  
597 the LS factor as per the RUSLE method (Renard et al., 1997), though only four had actually obtained the  
598  $m$  exponent of the L factor through estimation of the rill to interrill erosion ratio as should be done for  
599 RUSLE. We observed that in nine cases, the slope length ( $\lambda$ ) was taken to be the same as the DEM  
600 resolution, which varies between 23.5 m and 200 m. The justification of such an assumption was unclear in  
601 all cases, but according to our understanding, a constant slope length could result in considerable under- or  
602 overestimation of the LS factor and consequently a similar aberration in the estimated soil loss rates.

603 Another issue that leads to overestimation of soil loss rates stems from users considering abnormally long  
604 slope lengths (Renard et al., 2011). Thus it is especially important for modellers using a DEM and flow  
605 accumulation algorithms to calculate the L factor to apply an appropriate channel initiation threshold to  
606 truncate the overland flow paths as they terminate in a 'well-defined channel' (Haregeweyn et al., 2017;  
607 Almaw Fenta et al., 2019). Of the 61 studies that used a flow accumulation surface to calculate slope  
608 lengths, only three explicitly mentioned how the flow accumulation raster was thresholded. There was no  
609 objective means to assess if and how the remaining 58 studies obtained the slope lengths from their  
610 respective flow accumulation rasters. Five studies even calculated slope lengths using regression equations  
611 ( $\lambda = 40 + 0.4s$ ;  $\lambda = 158 - 2.92s$ ) that were functions of the slope steepness, though no sources were  
612 cited for these equations and 24 other studies supplied no information on the LS factor estimation apart  
613 from the equation used. In sum, many questions remain concerning the LS factor estimation (both in terms  
614 of ascertained values and clarity of method) in the bulk of USLE applications in India.

615

#### 616 ***4.4 Computations of the C factor***

617 The cover and management factor is defined as the ratio of soil loss from a field with specific cover and  
618 management to that of a field under 'clean-tilled continuous fallow' (Wischmeier and Smith, 1965, 1978).  
619 Being a ratio, it normally varies between 0 and 1.0, unless an area is more erosion-prone than the unit-plot  
620 (Karpilo and Toy, 2003; Renard et al., 2011) It is one of the most important USLE factors because it

621 represents the most readily manageable condition for reducing erosion (Wischmeier and Smith, 1978;  
622 Renard et al., 1997).

623 The USLE C factor estimation procedure differs between land cover/use classes. For croplands, it is  
624 estimated annually by considering soil loss ratios and relative rainfall erosivities for different crop growth  
625 stages. Therefore the C factor represents how the crop calendar and agricultural practices influence soil  
626 erosion in a region. For various non-agricultural land uses such as pastures, rangelands and undisturbed  
627 forests or woodlands, the estimation scheme is somewhat different in that it varies as a function of the  
628 vegetation height, canopy and ground cover (undergrowth, litter and other such aspects). The USLE also  
629 allows C factor estimation for construction sites (Wischmeier and Smith, 1965, 1978).

630 The RUSLE C factor probably underwent the most significant change among all the factors compared to  
631 that of the USLE, as a subfactor-based approach was devised to evaluate C values for all types of land  
632 cover/use classes. Soil loss ratios were not to be estimated anymore from tables but to be calculated as a  
633 product of the prior-land-use (PLU), canopy-cover (CC), surface-cover (SC), surface-roughness (SR) and  
634 soil-moisture (SM), for each time period over which these sub-factors can be assumed to be constant.  
635 Subsequently, each of the soil loss ratio values are weighted by the fraction of (relative) rainfall erosivity  
636 of the corresponding time period and then combined into an overall C factor value (Renard et al., 1997).

637 The problem with estimating the C factor either according the textbook USLE or RUSLE approach is that  
638 they require voluminous data on the spatio-temporal dynamics of land cover/use of the examined area, in  
639 addition to knowledge of local agricultural practices (Gabriels et al., 2003), which is often impracticable to  
640 monitor directly or impossible to gain otherwise, especially at the catchment- or regional-scale.  
641 Consequently, the process of C factor estimation has undergone considerable simplification and cover-  
642 specific values are simply obtained from existing literature and applied to land cover/use maps (Benavidez  
643 et al., 2018; Alewell et al., 2019). As an alternative to the original USLE/RUSLE methods or the look up  
644 table based approach of estimating the C factor, the use of remotely sensed imagery and various image  
645 derived band ratios or indices have gained traction (De Jong, 1994; Van der Knijff et al., 2000; Schönbrodt  
646 et al., 2010; Zhang et al., 2011; Panagos et al., 2015a; Teng et al., 2016; Schmidt et al., 2018). However,  
647 though remote sensing helps to estimate time-varying C factors and facilitates sub-annual or seasonal soil

648 erosion prediction, it fails to adequately capture or properly represent the inherent management aspect of  
649 this component (Alewell et al., 2019).

650 Proper assessment of the C factor estimation methods as adopted in the reviewed studies was the most  
651 difficult to accomplish. This is because catchment-scale USLE applications do not normally follow the  
652 methodology suggested by Wischmeier and Smith (1978) or Renard et al. (1997), due to the obvious  
653 reasons outlined above. Of the reviewed studies, only the plot-scale study of Ali and Sharda (2005)  
654 obtained the C factor values as per the USLE methodology. Alewell et al. (2019) noticed that in most  
655 USLE applications, C factor values are simply obtained from the literature. This certainly holds true for  
656 India, with 56 studies clearly having done the same (Table 4). However, some studies did not explicitly  
657 state a source and in most cases the denoted/used C factor values corresponding to different land cover/use  
658 types were obtained from different sources, without consideration of the fact that the land use as well as  
659 definitions of land cover may vary in the examined area compared to the region from where these values  
660 were originally estimated.

661 None of the reviewed applications considered crop rotation while estimating the C factor values for  
662 croplands. In 16 studies, croplands were assigned higher C values than degraded barren areas or  
663 wastelands, implying a higher soil loss susceptibility of croplands, which is rather counterintuitive and  
664 unlikely to find ratification in the available literature. A Normalised Difference Vegetation Index (NDVI)-  
665 based approach to C factor estimation was adopted in 31 studies, 27 of which employed the equation  
666 coined by Van der Knijff et al. (2000) and four used a simple regression equation that estimates the C  
667 factor as a function of the NDVI (Patil and Sharma, 2013). Although one advantage of using the NDVI  
668 parameter is its potentiality of determining C factors sub-annually, upon availability of cloud-free imagery,  
669 the equation proposed by Van der Knijff et al. (2000) has been observed to produce unrealistically high C  
670 factor values in non-agricultural areas (Benavidez et al., 2018). It was not clear how the studies that  
671 employed this method tackled the problem of C factor overestimation in non-agricultural areas but 10 of  
672 them had C factor values greater than 1, which is quite unrealistic. Three of the four studies that used the  
673 regression equation of Patil and Sharma (2013) also overestimated the C factor. Generally speaking, it  
674 seems as if the C factor estimation in most cases has been based on the user's arbitrary decisions, rather  
675 than scientific objectivity, which is not unheard of when it comes to estimation of both the C and P factors

676 (Karpilo and Toy, 2003). However, with the C factor being so important in predicting soil loss rates and  
 677 also in demonstrating the possible efficacies of any implementable ameliorative measures, any such  
 678 miscalculation directly deteriorates the accuracy of modelled erosion rates.

679

680 **Table 4:** Summary of the various methods employed to estimate the C factor for USLE-based soil erosion  
 681 modelling in India

<b>Code in Fig. 4</b>	<b>Method</b>	<b>No. of studies</b>
C1	As per USLE (Wischmeier and Smith, 1978)	1
C2	Values from literature corresponding to land cover/use classes	56
C3	$C = \exp \left[ \alpha \left( \frac{NDVI}{\beta - NDVI} \right) \right]$ where $\alpha = 2$ ; $\beta = 1$ (Van der Knijff et al., 2000)	27
C4	$C = 1.02 - 1.21 NDVI$ (Patil and Sharma, 2013)	4
CX	Unclear	12

682

#### 683 **4.5 Computations of the P factor**

684 The support practice (P) factor is “the ratio of soil loss with a specific support practice to the  
 685 corresponding loss with up-and-down-slope culture” (Wischmeier and Smith, 1978: page no. 14). It is  
 686 representative of the efficacy of erosion control measures, with values close to zero suggestive of the  
 687 success of a particular erosion control practice. Contouring, contour stripcropping, terracing and stabilised  
 688 waterways are some of the conservation practices recommended to reduce the P factor value of a cropland  
 689 (Wischmeier and Smith, 1965, 1978). The USLE guidebook of Wischmeier and Smith (1978) contains  
 690 detailed tables to estimate the P factor value for each of the mentioned practices as a function of the slope  
 691 gradient and length, which can be reliably used to evaluate the P factor for croplands.

692 As for the other factors, P factor estimation methods were upgraded in the RUSLE and a larger range of  
 693 support practices incorporated, owing to the CREAMS (Chemicals, Runoff, and Erosion from Agricultural  
 694 Management Systems) model based analytical experiments and availability of more experimental data  
 695 (Renard et al., 1997, 2011). Akin to the C factor, the RUSLE P factor is calculated as a product of sub-  
 696 factors for individual support practices that are normally combined to achieve the best possible erosion  
 697 control. However, the original USLE or RUSLE method is rarely followed to obtain P factor values while



698 modelling soil erosion at the catchment- or regional-scale (Alewell et al., 2019), and for non-agricultural  
 699 land uses, the P factor definition is confusing and also somewhat misleading. Karpilo and Toy (2003) have  
 700 discussed this problem further and mentioned that the majority of non-agricultural RUSLE applications  
 701 assume the absence of any conservation practice and specify the P value to be 1.0. For the majority of non-  
 702 agricultural land uses, such as forests, woodlands, grasslands or urban areas, this seems to be appropriate if  
 703 no special operation or activity is undertaken to arrest or divert runoff and promote deposition.

704 Evaluation of the P factor estimation methods used in the reviewed papers proved to be just as difficult as  
 705 that for the C factor. 24 studies had considered the P factor to be constant at 1.0 (Table 5), which is  
 706 appropriate if no erosion control measures exist (Karpilo and Toy, 2003; Benavidez et al., 2018). 17  
 707 studies had assigned two P factor values, i.e. 0.28 for croplands and 1.0 for the rest of their study area  
 708 following Rao (1981). Due to the inaccessibility of this paper, it is unclear on which basis (i.e. kind of  
 709 existing support practice) the P value for croplands was assigned as 0.28 and further if this study had  
 710 considered a range of agricultural practices or not. 22 studies had obtained P values specific to certain land  
 711 cover/use classes but 18 of these did not provide any source. Finally, seven studies had used one of the  
 712 tables provided by Wischmeier and Smith (1978) on P factor values for croplands under contouring, but  
 713 none of them had also provided any information on how values were estimated for non-agricultural areas  
 714 and whether the agricultural lands in their respective study areas were indeed all under contouring-based  
 715 management. 30% of the reviewed studies did not clearly state or provide any details on their method of P  
 716 factor estimation.

717

718 **Table 5:** Summary of the various methods employed to estimate the P factor for USLE-based soil erosion  
 719 modelling in India

<b>Code in Fig. 4</b>	<b>Method</b>	<b>No. of studies</b>
P1	Values from literature corresponding to land cover/use classes	22
P2	P factor for contouring (Wischmeier and Smith, 1978)	7
P3	P=1 assigned due to absence of any support practice	24
P4	Assigned P=0.28 for croplands and P=1 for non-croplands as per Rao (1981)	17
PX	Unclear	30

720

721 ***4.6 In a nutshell***

722 Over half (55%) of the studies we reviewed claimed that they had used the RUSLE framework to estimate  
723 soil erosion, which was strictly speaking, not the case as per their adopted methodology. This confusion  
724 over the correct nomenclature is mainly caused by the identical equations of the USLE and the RUSLE.  
725 The RUSLE is difficult, if not impossible to use, for large-scale soil erosion modelling in general and even  
726 more so in data-sparse conditions of India, as it necessitates parameterisation in sub-annual timescales. All  
727 the studies had directly or indirectly followed the USLE method for R and K factor estimation and such  
728 misnomers in terms of the model use possibly further highlight a deficient understanding/adoption of the  
729 correct model parameters, units and computation methods.

730 Viable estimations of the C and P factors are the main impediment in the way of large-scale USLE  
731 applications. Even though the C factor is rarely, if at all, computed following the USLE methodology in  
732 contemporary studies, the common practice of obtaining C factor values corresponding to land cover/use  
733 classes from previous studies is inherently a USLE-based approach, since these C factor values were  
734 originally estimated following the USLE methodology (see Morgan (2005) for an example). The use of  
735 arbitrary C and P factor values (i.e. eliciting them from studies conducted in areas quite different to the  
736 location being examined) thus presents a real challenge. Possibly, this can be surmounted to an extent  
737 using the high resolution satellite images (that are also multi-temporal and multi-spectral) and terrain  
738 datasets that are progressively becoming more available.

739 Nonetheless, all factors considered together, the vast majority of papers sampled here misapplied the  
740 USLE while modelling soil erosion in various parts of India. We observed that lack of attention towards  
741 factor estimation methods, their units or their applicability in India was surprisingly ubiquitous. Most of  
742 the studies estimated rainfall erosivity using an erroneous or ill-suited equation and/or reported their values  
743 in the wrong units, causing a gross underestimation of the same. Use of short-term rainfall data of only a  
744 few years was also observed to result in considerable inaccuracies even when the rainfall erosivity was  
745 measured as per the guidelines of the Agricultural Handbook No. 537 (Wischmeier and Smith, 1978). The  
746 clear overvaluation of the K factor, on the other hand, is probably solely due to the various users' lack of

747 attention towards denoting the appropriate units (with respect to the R factor) and its maximum possible  
748 value. Furthermore, it is worth noting that most studies have homogenised the K factor values over regions  
749 larger than what is deemed suitable as per its spatial variability limits and thus have feasibly missed out on  
750 adequately capturing the inherent variability in soil erodibility within the examined areas (i.e. thereby  
751 possibly over- or underestimating this parameter). While substantial doubts also remain about the  
752 undertaken LS factor computations in general, the estimation of the C and P factors in an overwhelming  
753 majority of publications from India are quite inaccurate, inconsistent and possibly bereft of sound  
754 foundations. Since both these factors are given as ratios, assigning arbitrary values could certainly  
755 culminate in a severe miscalculation of soil loss rates or abet impaired/skewed judgements about erosion  
756 mitigation measures.

757 The fact that a large number of studies did not supply enough (if any) information on one or more of their  
758 factor estimation procedures stymied our evaluations as well. Of the 100 papers examined, the field-scale  
759 study of Ali and Sharda (2005) that sought to assess the applicability of the USLE in India stood out in  
760 terms of technical clarity and viability of findings. They used the USLE to simulate soil erosion at the most  
761 appropriate scale (i.e. plot- to field-scale) and found that the coefficient of determination between the  
762 measured and simulated soil loss values was between 0.88 and 0.91, with no statistically significant  
763 difference existing between the observed and simulated values at the 1% alpha-level. Among the studies  
764 that aimed to simulate soil erosion at larger spatial scales, Nagaraju et al. (2011), Nakil and Khire (2015)  
765 and Swarnkar et al. (2018) stood out by virtue of their consistent and accurate factor estimation. Even  
766 though none of these three studies attempted to validate their model output, Swarnkar et al. (2018)  
767 proposed a framework to assess the model uncertainty, which is relevant when the USLE is used in large,  
768 ungauged river basins. Since only a paltry four of the 100 studies we reviewed were observed to have  
769 applied the USLE correctly, there is definitely room for and an urgent need to markedly improve future  
770 USLE applications in India.

771

## 772 **5. A roadmap for future USLE applications in India**

773 Presently, with the considerable amount of open-source data available to apply the USLE at the sub-  
774 continental, continental or global scales, many studies have been undertaken in this regard (e.g. Borrelli et

775 al., 2017; Panagos et al., 2018; Almaw Fenta et al., 2019; Koirala et al., 2019; Borrelli et al., 2020;  
776 Panagos et al., 2020). However, USLE applications in field-settings or catchments cannot benefit much  
777 from these data due to their coarse resolution and the associated uncertainty at these scales. Careful  
778 curation of the input data at an appropriately high resolution is thus necessary (cf. Borrelli et al. (2014) and  
779 Swerts et al. (2019) for examples). In countries such as India where all the requisite data are not available  
780 or readily accessible, using the USLE for estimating soil erosion in catchments and river basins remains a  
781 challenge. Furthermore, the previous sections have highlighted the multiple erroneous estimations of the  
782 different USLE components in studies conducted herein and the concomitant skewed soil loss predictions.  
783 Addressing this, in the following sections we suggest the most appropriate combination of model  
784 parameterisation methods for Indian conditions, giving due consideration to data availability as well as  
785 demonstrate the best possible evaluation methods for each of the USLE's parameters, so as to contribute  
786 towards improving future USLE applications in India.

787

### 788 ***5.1 Correctly computing the R factor***

789 To date, the most appropriate and accurate estimator of the rainfall erosivity factor in India is the method  
790 adopted by Babu et al. (2004). They analysed long-term data of monthly, seasonal and annual rainfall  
791 erosivity for 123 stations across India and framed two linear regression equations to estimate the annual  
792 and seasonal (June–September, i.e. the summer monsoon period) erosivity separately, by using annual and  
793 monsoonal rainfall, respectively. Both equations had high correlation ( $r \geq 0.9$ ) between erosivity and  
794 rainfall amounts. As mentioned before, these equations estimate the R factor in metric units of  $t\text{-m cm ha}^{-1}$   
795  $h^{-1} yr^{-1}$ , which is converted to  $MJ mm ha^{-1} h^{-1} yr^{-1}$  by a multiplication factor of 10.2 as shown below.

$$796 \quad 1 \left[ \frac{t - m \text{ cm}}{ha \text{ h yr}} \right] = \left[ \frac{9806.65 \text{ Nm cm}}{ha \text{ h yr}} \right] = \left[ \frac{98066.5 \text{ Nm mm}}{ha \text{ h yr}} \right] = \left[ \frac{98066.5 \text{ J mm}}{ha \text{ h yr}} \right] = 0.098 \left[ \frac{MJ \text{ mm}}{ha \text{ h yr}} \right]$$

$$797 \quad \text{Therefore, } 1 \left[ \frac{MJ \text{ mm}}{ha \text{ h yr}} \right] = 10.204 \left[ \frac{t-m \text{ cm}}{ha \text{ h yr}} \right]$$

798 Therefore the equations of Babu et al. (2004) can be rewritten as –

$$799 \quad R_a = 831.626 + 3.877P_a \quad (\text{Eq. 3})$$

$$800 \quad R_s = 733.668 + 3.684P_s \quad (\text{Eq. 4})$$

801 where,  $R_a$  and  $R_s$  are the annual and seasonal rainfall erosivity, in units of  $\text{MJ mm ha}^{-1} \text{ h}^{-1} \text{ yr}^{-1}$ , calculated  
802 from annual rainfall ( $P_a$ ) and seasonal rainfall ( $P_s$ ), respectively. As hitherto mentioned, annual/monthly/  
803 seasonal precipitation must always be averaged over decadal timescales before computing the R factor  
804 using any suitable regression equation.

805 The requisite rainfall data (both spatial and non-spatial) can be obtained from the India Meteorological  
806 Department (IMD) website (<https://imd pune.gov.in/index.html>). IMD data are based on long-term gauge  
807 records and can be used reliably. However, the interpolation method used must be clearly specified if  
808 gauge data is used. The WorldClim data repository (<https://www.worldclim.org/>) also provides gauge-  
809 based data in a gridded format, which can be used as well. Since Babu et al. (2004) developed their  
810 equations using measured rainfall data, the use of satellite-based rainfall products such as the Tropical  
811 Rainfall Measurement Mission (TRMM) or model-derived data like CFSR (Climate Forecast System  
812 Reanalysis) is not recommended, unless these have been extensively evaluated against the measured  
813 rainfall records in the intended study area.

814

## 815 ***5.2 Correctly computing the K factor***

816 The soil erodibility calculated from runoff plots per unit of rainfall erosivity index in various parts of the  
817 country corresponded best with the nomograph-derived K factor values and hence use of the nomograph is  
818 recommended (Singh et al., 1985). However, data availability/accessibility issues do arise during soil  
819 erodibility estimation in India. Although soil maps of some states can be obtained from the data portal of  
820 the European Soil Data Centre (<https://esdac.jrc.ec.europa.eu/>), these are not accompanied by  
821 corresponding soil survey reports or analytical data, even though the scale (1:500000) of state-level soil  
822 maps is adequate for soil erodibility mapping for USLE applications at the catchment scale and beyond.  
823 The National Bureau of Soil Survey and Land Use Planning (NBSS&LUP), the nodal soil survey  
824 organisation in India, has not yet made their maps and survey reports available openly either. Since for  
825 basin-scale soil erosion modelling, estimating the soil erodibility from collected soil samples is not feasible  
826 owing to the expenses and logistics involved, gridded soil databases perforce have to be used. The most  
827 popular gridded soil database is the International Soil Reference and Information Centre (ISRIC) SoilGrids

828 (<https://soilgrids.org/>), which provides depth-wise rasterised data on a number of soil physico-chemical  
 829 properties as well as the most probable soil classifications at a resolution of 250 m (Hengl et al., 2017).

830 However, a few points are noteworthy while using the ISRIC SoilGrids data to estimate soil erodibility.  
 831 Primarily, all the requisite data (sand, silt, clay and organic carbon content) must be converted to percent  
 832 contents. The very fine sand (0.05–0.1 mm) content is usually not measured in standard soil textural  
 833 analysis and data on this fraction is not available from ISRIC. It can however be taken as 20% of the sand  
 834 content (0.05–2.0 mm) for soil erodibility estimation (Panagos et al., 2014). ISRIC only provides data on  
 835 organic carbon content, which must be converted to organic matter content by multiplying the obtained  
 836 figures with the Van Bemmelen factor of 1.724 (Heaton et al., 2016). Since soil structure or permeability  
 837 data is not available from the ISRIC, these have to be indirectly estimated with respect to the major texture  
 838 classes (Table 6, Table 7).

839

840 **Table 6:** Soil structure types inferred from major soil textural classes as per Bagarello et al. (2009)

Soil texture	Structure types
Sand, loamy sand, sandy loam	1 (very fine granular)
Sandy clay, sandy clay loam, loam, silty loam, silt	2 (fine granular)
Clay loam, silty clay loam	3 (medium or coarse granular)
Silty clay, clay	4 (blocky, platy or massive)

841

842 **Table 7:** Soil permeability classes estimated from major soil textural classes as per Rawls et al. (1982)

Soil texture	Permeability class
Sand	1 (fast and very fast)
Loamy sand, sandy loam	2 (moderately fast)
Loam, silty loam, silt	3 (moderate)
Sandy clay loam, clay loam	4 (moderately slow)
Silty clay loam, sandy clay	5 (slow)
Silty clay, clay	6 (very slow)

843

844 Graphical estimation of the soil erodibility using the nomograph is not possible when gridded soil datasets  
 845 are used and the approximation equation (Code K3 in Table 2) cannot be used for the available range of  
 846 soil properties. Consequently, soils with silt and very fine sand content exceeding 70% or having OM  
 847 content greater than 4% are often excluded from large-scale soil erodibility mapping or scaled down to  
 848 70% silt and very fine sand and 4% OM (Panagos et al., 2014; Borrelli et al., 2017; Almaw Fenta et al.,

849 2019). However, it is for such situations that the K factor estimation method developed by Auerswald et al.  
 850 (2014) is most useful. This method enables K factor estimation in four steps, though in situations where  
 851 data on surface coarse fragments cover is not available (such as when using the ISRIC SoilGrids data) or  
 852 when a correction for coarse fragments need not be applied, the computation terminates after the third step.

853

854 Step 1:

$$855 \quad K_1 = 2.77 \times 10^{-5} \times (f_{Si+vfSa} \times (100 - f_{Cl}))^{1.14} \quad (\text{for } f_{Si+vfSa} < 70\%) \quad (\text{Eq. 5})$$

$$856 \quad K_1 = 1.75 \times 10^{-5} \times (f_{Si+vfSa} \times (100 - f_{Cl}))^{1.14} + (0.0024 \times f_{Si+vfSa}) + 0.16$$

$$857 \quad (\text{for } f_{Si+vfSa} > 70\%) \quad (\text{Eq. 6})$$

858

859 Step 2:

$$860 \quad K_2 = \frac{(12 - f_{OM})}{10} \quad (\text{for } f_{OM} < 4\%); \quad (\text{Eq. 7})$$

$$861 \quad K_2 = 0.8 \quad (\text{for } f_{OM} > 4\%) \quad (\text{Eq. 8})$$

862 Step 3:

$$863 \quad K_3 = K_1 \times K_2 + 0.043 \times (A - 2) + 0.033 \times (P - 3) \quad (\text{for } (K_1 \times K_2) > 0.2) \quad (\text{Eq. 9})$$

$$864 \quad K_3 = 0.091 - (0.34 \times K_1 \times K_2) + 1.79 \times (K_1 \times K_2)^2 + 0.24 \times K_1 \times K_2 \times A + 0.033 \times (P - 3)$$

$$865 \quad (\text{for } (K_1 \times K_2) < 0.2) \quad (\text{Eq. 10})$$

866

867 Step 4:

$$868 \quad K = K_3 \quad (\text{for } f_{rf} < 1.5\%) \quad (\text{Eq. 11})$$

$$869 \quad K = K_3 \times (1.1 \times \exp(-0.024 \times f_{rf}) - 0.06) \quad (\text{for } f_{rf} > 1.5\%) \quad (\text{Eq. 12})$$

870 where, K is the soil erodibility expressed in  $\text{t ha}^{-1} \text{ h N}^{-1}$ ,  $f_{Si+vfSa}$  is silt and very fine sand (2–100  $\mu\text{m}$ )  
 871 mass fraction (%),  $f_{Cl}$  is mass fraction (%) of clay (<2  $\mu\text{m}$ ),  $f_{OM}$  is organic matter mass fraction (%) in the  
 872 fine earth (<2 mm) fraction, and  $f_{rf}$  is the fraction of the soil surface covered with rock fragments. A is the  
 873 soil structure index: very fine granular = 1; fine granular = 2; medium or coarse granular = 3; and blocky,  
 874 platy, or massive = 4; and P is soil permeability index: very fast = 1, moderate fast = 2, moderate = 3,

875 moderate slow = 4, slow = 5, and very slow = 6. The conversion from  $t\ ha^{-1}\ h\ N^{-1}$  to  $t\ ha\ h\ ha^{-1}\ MJ^{-1}\ mm^{-1}$   
876 is done by dividing the ascertained K factor values by 10.

877

### 878 ***5.3 Correctly computing the LS factor***

879 In India, the SRTM DEM of 30 m resolution has so far been found to be the most accurate of all freely  
880 available gridded elevation datasets for soil erosion modelling (Mondal et al., 2016b, 2017; Saxena et al.,  
881 2020). The RUSLE method of LS factor estimation (McCool et al., 1989; Renard et al., 1997) represents  
882 an improvement over the equations of Smith and Wischmeier (1957) or Wischmeier and Smith (1978) in  
883 all directions and its use is recommended (Renard et al., 1997, 2011). A further equation based on a linear  
884 function relationship between the slope steepness factor and the sine of the slope angle was also devised by  
885 Nearing (1997) for slope gradients higher than 22%, which closely fits the RUSLE provided equations for  
886 slope gradients up to 22% and was also seen to be pertinently applicable for gradients higher than this  
887 value and can feasibly be used. However, while using one of the popular open-source DEMs available so  
888 far (ASTER GDEM, SRTM DEM, JAXA AW3D, Copernicus DEM, CartoDEM, ALOS PALSAR DEM),  
889 the RUSLE LS factor for short slopes ( $\lambda < 4.5\ m$ ) cannot be calculated, since their respective pixel size  
890 exceeds 4.5 m (as high resolution LiDAR data is still freely not available for almost the entirety of India).

891 For the most accurate calculation of the LS factor, it is necessary to truncate the slope lengths as they reach  
892 a channel and not consider slope gradients above 60% (Wischmeier and Smith, 1978; Renard et al., 1997).  
893 In order to estimate a suitable channel initiation threshold for the purpose of truncating slope lengths, one  
894 can make use of the high-resolution imagery available in Google Earth or OpenStreetMap, to identify  
895 channel initiating points. The same can also be done with the aid of topographical maps (e.g. Jain and Das,  
896 2010), or by using information from other studies in the same region (e.g. Haregeweyn et al., 2017). Once  
897 channel initiation points are identified, the flow accumulation value up to these points (to be taken from  
898 the flow accumulation grid pixel within which the point is situated) needs to be considered while excluding  
899 any other grids of higher flow accumulation values. Finally, slope lengths must be shorter than or equal to  
900 122 m, as this corresponds to the maximum length of USLE soil loss plots, as well as the most frequently  
901 observed field slope lengths (McCool et al., 1989; Renard et al., 1997).

902



## 903 *5.4 Correctly computing the C factor*

904 For large-scale C factor mapping, a land cover and land use (LULC) map is indispensable. We recommend  
905 using the LULC datasets available from the Bhuvan Geo-portal (<https://bhuvan.nrsc.gov.in/>), which can be  
906 loaded as a WMS layer in QGIS and subsequently saved as GeoTIFF files. It must be noted that layers  
907 saved in this manner cannot readily be analysed as they are simply georeferenced images. A convenient  
908 and fast intermediate step to get them ready for processing is to perform unsupervised classification. Since  
909 the various LULC types are already assigned different colours in the source image, an unsupervised  
910 classification perfectly discriminates between the various LULC classes and yields an analysis-ready  
911 chorochromatic layer. The LULC maps made available in the Bhuvan Geo-portal by the National Remote  
912 Sensing Centre (NRSC), India are products of supervised image classification and on-screen digitisation of  
913 Resourcesat-2 LISS-III 23.5 m resolution imagery. Three sets of maps are available at a scale of 1:50000,  
914 corresponding to LULC conditions of 2005-06, 2011-12 and 2015-16 respectively. The data are classified  
915 into 24 end-classes that are grouped into eight first-order LULC categories, viz. built-up, agriculture,  
916 forest, grassland, barren, rann (marsh), water and snow. The overall accuracy of these different LULC  
917 classes varies from 79% (agricultural plantation) to 97% (water) (NRSC, 2019a).

918 The best approach for C factor estimation is to follow separate procedures for croplands and non-croplands  
919 (Panagos et al., 2015a; Borrelli et al., 2017; Almag Fenta et al., 2019), even though all C factor values are  
920 obtained from the literature. This is because C factor values for croplands vary between regions according  
921 to cropping characteristics (crop types, rotation, tillage and management) and are calculated as a weighted  
922 average, while the procedure is different for non-arable land cover classes.

923

### 924 *5.4.1 Computing the C factor for croplands*

925 In the Indian LULC classification system (NRSC, 2019a), the agriculture class is subdivided into  
926 croplands, agricultural plantation, current shifting cultivation and fallow. This subsection elaborates the  
927 method of C factor computation for croplands only.

928 Panagos et al. (2015a) calculated the C factor for croplands ( $C_{croplands}$ ) in the European Union as:

$$929 \quad C_{croplands} = C_{crop} \times C_{management} \quad (\text{Eq. 13})$$

930 where,  $C_{crop}$  is a weighted average value calculated as a summed product of the respective C factor of  
931 different crops and their acreage share in a region and  $C_{management}$  adjusts the C factor value as a function  
932 of recognised management practices (e.g. tillage, cover crop and crop residues) that contribute towards  
933 reducing soil erosion.

934 Adapting this scheme to India, we propose computing the  $C_{croplands}$  for each district as follows:

$$935 \quad C_{croplands} = \sum_{i=1}^{15} (C_{cropi} \times \%DGCA_{cropi}) \times C_{tillage} \quad (\text{Eq. 14})$$

936 where,  $C_{cropi}$  is the C factor value of the  $i^{\text{th}}$  crop (Table 8),  $\%DGCA_{cropi}$  is the share of this crop in the  
937 district gross cropped area and the term  $C_{tillage}$  corrects the C factor according to the tillage practice.

938 The gross cropped area represents the total area sown once and/or more than once in a particular year, i.e.  
939 the area is counted as many times as there are sowings in a year. Therefore the C factor value weighted  
940 against the share of a particular crop acreage in the district gross cropped area ( $\%DGCA_{cropi}$ ) implicitly  
941 considers crop rotation within a year and thereby yields an annual C factor value. The requisite spatial and  
942 non-spatial data on the district crop acreage can be freely downloaded from the ICRISAT data portal  
943 (<http://data.icrisat.org/>) or obtained from state statistical handbooks. In India, conventional tillage is  
944 practised over most of the country, while reduced/zero tillage is done in the Indo-Gangetic plain (Gupta  
945 and Abrol, 1992; Bhan and Behera, 2014), for which the C factor corrections of 1 and 0.3 can be applied as  
946 per the scheme of Stone and Hilborn (2000). Since intensive agriculture is practised in India with multiple  
947 crop rotations in a year, cover crops are not usually planted and most of the crop residue is used as fodder  
948 or fuel or for preparing bio-fertilisers while the remnant stubble is often burnt in the field (DAC, 2014).  
949 Therefore, corrections for cover crops or crop residues need not be applied to the crop-specific C factor  
950 values. Table 8 contains the C factor values per crop type as estimated from experimental studies  
951 conducted solely in the tropics (Roose, 1977; Singh et al., 1981; El-Swaify et al., 1982; Hurni, 1985; Singh  
952 et al., 1985; David, 1988; Clay and Lewis, 1990; Singh et al., 1991; Nill et al., 1996), although data  
953 generated from India (Singh et al., 1981, 1985, 1991) has been preferred wherever applicable.

954

955

956

957 **Table 8:** C factor values from the literature for major crops (excluding plantation crops) grown in India

i	Crop type	Share (%) of country's gross cropped area (DES, 2017)	C factor
1	Rice	22.3	0.28
2	Wheat	16.2	0.3
3	Sorghum	3.1	0.63
4	Millets	4.9	0.61
5	Maize	4.4	0.42
6	Barley	0.4	0.3
7	Pulses	10.9	0.41
8	Oilseeds	14.3	0.4
10	Sugarcane	2.8	0.2
11	Cotton	6.4	0.55
12	Potatoes	1.05	0.4
13	Onion	0.65	0.4
14	Vegetables	3.3	0.3
15	Fodder	4.6	0.13

958 Note: Crop type Millets includes both pearl and finger millets, Pulses includes chickpeas, pigeonpeas and  
 959 other pulses, and Oilseeds includes groundnut, sesame, soya, rapeseed, mustard, safflower, castor, linseed  
 960 and sunflower.

961

#### 962 5.4.2 Computing the C factor for non-croplands

963 While a literature review yielded singular C factor values for most of the non-cropland LULC classes  
 964 (Table 10) that can directly be assigned, classes characterised by varying degrees of vegetal cover (Table  
 965 9) naturally have C factor values that differ according to the cover/use type (Panagos et al., 2015a; Borrelli  
 966 et al., 2016). The assignment of unique C factor values is thus inappropriate for the latter category, as the  
 967 combined effect of cover type and vegetation density must be captured. Enabling this, the following  
 968 equation (Panagos et al., 2015a) calculates the C factor ( $C_{noncropli}$ ) as a product of the range of class-  
 969 specific C factor values and fractional vegetation cover:

$$970 \quad C_{noncropli} = Min_C + (Max_C - Min_C) \times (1 - F_{cover}) \quad (Eq. 15)$$

971 where,  $C_{noncropli}$  is the calculated C factor value of the  $i^{th}$  non-arable LULC class (Table 9),  $Min_C$  and  
 972  $Max_C$  are the minimum and maximum C-factor values corresponding to the LULC class (Table 9), and  
 973  $F_{cover}$  is the fractional vegetation cover (ranging from 0 to 1).

974 Based on this approach, the C factor is highest when  $F_{cover}$  equals 0 (i.e. no vegetation cover or bare soil)  
 975 and lowest when  $F_{cover}$  equals 1 (i.e. the soil surface is fully covered by vegetation). Annual fractional

976 vegetation cover data ( $F_{cover}$ ) for various land cover types, derived from PROBA-V imagery, are available  
 977 at 100 m resolution from the Copernicus Global Land Cover viewer (<https://lcviewer.vito.be/>) and can be  
 978 used to quantify the effects of vegetal cover on C factor estimation for non-arable areas.

979

980 **Table 9:** C factor values from the literature for non-cropland LULC classes (as per NRSC classification  
 981 2015-16) with varying vegetal cover

i	LULC class	Description	C factor values	Source
1	Agricultural Plantation	It includes agricultural plantation (e.g. tea, coffee, rubber etc.) horticultural plantation (e.g. coconut, arecanut, citrus fruits, orchards, fruits, ornamental shrubs and trees, vegetable gardens etc.) and agro-horticultural plantation.	0.1–0.3	David (1988), Antronico et al. (2005), Bakker et al. (2008), Borselli and Torri (2008); De Vente et al. (2009); Diodato et al. (2011)
2	Forest Plantation	Areas under tree species of forestry importance raised and managed especially in notified forest areas.	0.0001–0.003	Wischmeier and Smith (1978)
3	Evergreen/Semi-evergreen	Area under perennial plants that are never entirely without green foliage	0.0001–0.003	Wischmeier and Smith (1978)
4	Deciduous	Area under perennial plants that are leafless during the dry season	0.0001–0.003	Wischmeier and Smith (1978)
5	Scrub forest	Open forest areas generally seen at the fringes of dense forest cover and settlements	0.0001–0.003	Wischmeier and Smith (1978)
6	Swamp/Mangroves	Tropical and subtropical vegetation species that are densely colonised on coastal tidal flats, estuaries, salt marshes etc.	0.0001–0.003	Wischmeier and Smith (1978)
7	Grass	It includes natural/semi-natural grass/ grazing lands of Alpine/Sub-Alpine or temperate or sub-tropical or tropical zones, desertic areas and manmade grasslands.	0.003–0.45	Wischmeier and Smith (1978)
8	Salt-affected land	Land characterised by saline soils and sparse grass cover	0.003–0.45	Wischmeier and Smith (1978)
9	Scrubland	These areas possess shallow and skeletal soils, at times chemically degraded extremes of slopes, severely eroded or subjected to excessive aridity with scrubs dominating the landscape.	0.45–1.0	Wischmeier and Smith (1978), David (1988), Borselli and Torri (2008), Capolongo et al. (2008)

982

983

984

985

986 **Table 10:** C factor values from literature for the other non-cropland LULC classes (as per NRSC  
 987 classification 2015-16) that can be assigned directly

LULC class	Description	C factor values	Source
Fallow	Lands adjacent to croplands with an alternation between a cropping period of several years and a fallow period.	0.45	Shi et al. (2004), Nyakatawa et al. (2007)
Current shifting cultivation	Lands adjacent to forests with an alternation between a cropping period of several years and a fallow period.	0.45	Shi et al. (2004), Nyakatawa et al. (2007)
Mining	Area under surface mining operations	1.00	Wischmeier and Smith (1978)
Gullies/ Ravines	Entrenched erosional feature formed by concentrated surface runoff	0.00	Wischmeier and Smith (1978)
Sandy area	Swathes of sand in coastal or inland areas	0.00	Panagos et al. (2015a)
Barren rocky	Rock exposures devoid of soil and vegetal cover	0.00	Panagos et al. (2015a)
Rann	An extensive salt marsh of western India between the Gulf of Kutch and the Indus River delta.	0.00	Panagos et al. (2015a)
Urban	Built up areas covered by impervious structures adjacent to or connected by streets.	0.00	Märker et al. (2008), Diodato et al. (2011)
Rural	Built-up areas, smaller in size than urban, mainly associated with agriculture and allied sectors and non-commercial activities.	0.00	Märker et al. (2008), Diodato et al. (2011)
Wetlands/ Water bodies	Includes inland and coastal wetlands, rivers, streams, canals, reservoir, lakes and ponds	0.00	Panagos et al. (2015a)
Snow and Glacier	Areas under perpetual snow/ice cover in the Himalayas	0.00	Panagos et al. (2015a)

988

### 989 **5.5 Correctly computing the P factor**

990 Panagos et al. (2015b) have devised a P factor map for the European Union by using field-surveyed  
 991 information. However, such geo-referenced information on support practices is scarce or not available in  
 992 countries of the Global South. Remote sensing-aided mapping of soil conservation structures and  
 993 associated P factor quantification have till date not yielded fruitful results either (Mekuriaw, 2014, as cited  
 994 in Haregeweyn et al., 2017), making the P factor the hardest parameter to estimate in large-scale USLE  
 995 applications, and often compelling researchers to ignore it altogether (Jain and Das, 2010; Mondal et al.,  
 996 2015; Borrelli et al., 2017; Bhattacharya et al., 2020a,b). In India, however, two main support practices are  
 997 observed in croplands— contouring and field bunding is widespread in the plains and plateau fringes, while

998 terraces (both graded and levelled) are observed in the Himalayas and other hilly regions (Das, 1977;  
 999 Dhruva Narayana and Sastry, 1985). Wischmeier and Smith (1978) have provided a table to estimate the P  
 1000 factor values for contoured croplands based on slope classes (Table 11), and Renard et al. (1997) proposed  
 1001 a similar scheme of P factor estimation for terraced fields (Table 12) that can be combined with the P  
 1002 factor derived for contouring and stripcropping tracts wherever necessary. In the absence of requisite  
 1003 information to objectively estimate the P factor for non-croplands, we, following the suggestion of Karpilo  
 1004 and Toy (2003), recommend considering it constant at 1.0, which is rather common in contemporary  
 1005 USLE-based soil erosion modelling (Koirala et al., 2018; Almaw Fenta et al., 2019).

1006

1007 **Table 11:** P factor values for contouring and contour bunding (Wischmeier and Smith, 1978)

Slope (%)	1–2	3–5	6–8	9–12	13–16	17–20	21–25	>25
P factor	0.6	0.5	0.5	0.6	0.7	0.8	0.9	1.0

1008

1009 **Table 12:** P factor values for terracing (Renard et al., 1997)

Terrace width (m)	<33.53	33.53–42.67	42.67–54.86	54.86–68.58	68.58–91.44	>91.44
P factor	0.5	0.6	0.7	0.8	0.9	1.0

1010

1011

## 1012 **5.6 Evaluation of modelled erosion rates**

1013 Like the outputs from other environmental models, modelled soil erosion rates/amounts must be evaluated  
 1014 against empirical evidence (Batista et al., 2019). However, the ‘validation’ of soil erosion models is rather  
 1015 difficult, if not impossible, since observed soil losses are themselves frequently as uncertain as the  
 1016 modelled outputs (Alewell et al., 2019; Batista et al., 2019, 2021). A recent global review of soil erosion  
 1017 modelling studies (Borrelli et al., 2021) revealed that the overwhelming majority of model validation  
 1018 attempts were based on comparing the sediment yield observed at a catchment's outlet to the predicted soil  
 1019 erosion from it, even though it can be justifiably questioned whether the measured and modelled data  
 1020 represent the same fluxes or not (Alewell et al., 2019; Borrelli et al., 2021). This especially holds true for  
 1021 USLE-type models that are only capable of predicting on-site rill and interrill erosion at the plot/field-scale  
 1022 and not off-site catchment sediment yield (Trimble and Crosson, 2000). The results of a recent USLE-  
 1023 based modelling study in the East Africa region (Almaw Fenta et al., 2019), when compared with observed

1024 catchment-level sediment yield data of 100 catchments, elicited a coefficient of determination of only 0.39.  
1025 However, the USLE-modelled soil loss rates compared much better against the observed soil losses from a  
1026 small agricultural watershed of 973 ha in India, with a coefficient of determination of 0.71 (Singh and  
1027 Panda, 2017) and when applied and compared at the field-scale, the coefficient of determination was  
1028 considerably higher at 0.88–0.91 (Ali and Sharda, 2005).

1029 Sediment yield rates are always lower than soil erosion rates, as most of the eroded soil is deposited within  
1030 the catchment during its transfer, along gentler declivities or within areas of poor hydrological and  
1031 sediment connectivity (Boardman et al., 2018; Baartman et al., 2020). Furthermore, the sediment yield  
1032 measured at the catchment outlet is a combined output of all erosion/transport processes acting therein  
1033 (Morgan, 2005), and not just the rill and interrill erosion that the USLE simulates. Therefore, using  
1034 catchment sediment yield records to evaluate on-site USLE-modelled soil losses is not always appropriate.  
1035 Measured soil loss or sediment yield records are scant anyway in Global South nations (Garcia-Ruiz et al.,  
1036 2015; Borrelli et al., 2021; Batista et al., 2021), which is why most USLE-based modelling studies are  
1037 unsurprisingly deterministic in nature, with little attempt made to evaluate their results through comparison  
1038 with other soil erosion modelling studies. This is certainly valid in the Indian context, as three quarters of  
1039 the studies reviewed here did not attempt any kind of evaluation and only 12 studies compared the USLE  
1040 derived output to that obtained from other modelling approaches. Of the remaining 13 papers, nine  
1041 attempted a quantitative evaluation using observed catchment/basin sediment yield, two studies validated  
1042 their results against measured hillslope or plot-scale soil losses, just one paper assessed the uncertainty of  
1043 the modelled soil erosion rates while another compared the soil erosion map generated from the USLE to  
1044 that area's microwatershed erosion and runoff potential map as prepared by the Soil and Land Use Survey  
1045 of India (SLUSI).

1046 Interestingly, SLUSI has produced a potential erosion priority map at a scale of 1:50000 by computing the  
1047 Sediment Yield Index (SYI) and Runoff Potential Index (RPI) through a multicriteria-based decision  
1048 making and weightage assignment approach for 321324 micro-watersheds across the country, covering  
1049 2.61 million square kilometres, which is ca. 80% of India's entire territory. This exercise, conceived  
1050 principally for the purpose of watershed management in the catchment areas of major river valley projects  
1051 and other flood-prone rivers, was initiated in the 1970s and completed in 2012. Each micro-watershed was

1052 classed under one of the priority categories, namely very high, high, medium, low and very low, according  
1053 to the calculated SYI and RPI values. This approach was purely subjective and was only devised to obtain  
1054 a relative ranking of the respective runoff volumes and erosion vulnerability of these sub- and micro-  
1055 watersheds. As such, the SYI and RPI values do not correspond in any way to actual sediment yield and  
1056 runoff volumes (SLUSI, 2021a). Moreover, the most erosion-prone regions of the country were surveyed  
1057 before 2000 (SLUSI, 2021b), making this database somewhat dated as well. Most importantly, as there is  
1058 no objective means to classify a USLE soil loss map in a manner congruent to the SLUSI micro-watershed  
1059 prioritisation strategy, its use is not recommended to assess the accuracy of soil erosion rates modelled  
1060 through the USLE.

1061 With a view to improving the verifiability of future USLE applications in India, we hereby propose a novel  
1062 procedure for evaluating the accuracy of modelled soil erosion maps in India using a remote sensing-based  
1063 product and also include some general comments on the model uncertainty analysis.

1064

#### 1065 *5.6.1 Evaluation of the derived USLE soil loss map*

1066 The NRSC has produced comprehensive land degradation maps of India corresponding to the years 2005-  
1067 06 and 2015-16, at a scale of 1:50000, through visual inspection and image classification of Resourcesat-2  
1068 LISS-III 23.5 m imagery, subsequently verified by ground truthing. These datasets, available from the  
1069 Bhuvan Geo-portal (<https://bhuvan.nrsc.gov.in/>), highlight eight land degradation types, viz. water erosion,  
1070 wind erosion, water-logging, salinisation/alkalisation, acidification, glacial weathering, anthropogenic and  
1071 other processes, that are further classified into 36 categories (NRSC, 2019b). However, for the purpose of  
1072 assessing the USLE model output, only three severity classes of the water erosion type are needed, i.e.  
1073 Sheet erosion – Slight, Sheet erosion – Moderate and Sheet erosion – Severe, which correspond, on  
1074 average, to soil erosion rates of 10-20, 20-40 and >40 t ha<sup>-1</sup> yr<sup>-1</sup>, respectively. Logically, areas that are not  
1075 characterised by soil loss rates of greater than 10 t ha<sup>-1</sup> yr<sup>-1</sup> can be considered to have erosion rates <10 t  
1076 ha<sup>-1</sup> yr<sup>-1</sup>. However, being a remote sensing-based product, the sheet erosion severity classes were mapped  
1077 by visual interpretation of the surface manifestations of soil erosion. Though these interpretations were  
1078 field verified, the exact severity of the problem is often difficult to estimate with naked eyes (NRSC,  
1079 2019b). Therefore, the stated corresponding soil erosion rates are only indicative, rather than being strictly



1080 prescriptive. They nevertheless provide a suitable means for assessing both the catchment and regional  
1081 scale soil erosion risk in a spatially-explicit manner.

1082 Just like the Bhuvan LULC datasets, these land degradation maps can be loaded as a WMS layer in a GIS  
1083 and subsequently saved as GeoTIFF files. Performing an unsupervised classification renders them ready  
1084 for further analysis and manipulation. A USLE-produced soil erosion map is best evaluated against the  
1085 NRSC devised sheet and rill erosion map by creating an accuracy map. To generate this, the USLE output  
1086 must first be reclassified akin to the classification of the NRSC map, i.e. into soil erosion classes of <10,  
1087 10-20, 20-40 and >40 t ha<sup>-1</sup> yr<sup>-1</sup>. If these four classes are numbered respectively as 1, 2, 3 and 4 in both sets  
1088 of reclassified maps and subsequently multiplied, the areas (pixels) of correct prediction will bear the  
1089 numbers 1, 4, 9 and 16, i.e. squares of 1 through 4. It would mean that for these areas, the USLE-based  
1090 estimate of the soil erosion severity was the same as that denoted in the NRSC dataset. Of course, this  
1091 method elicits a comparison of the accuracy of value ranges rather than specific/individual cell-wise  
1092 discrete values. However, in the current data sparse scenario, we feel that this is perhaps the most objective  
1093 and simple way of evaluating USLE derived outputs in India.

1094

#### 1095 *5.6.2 Uncertainty analysis of USLE soil loss map*

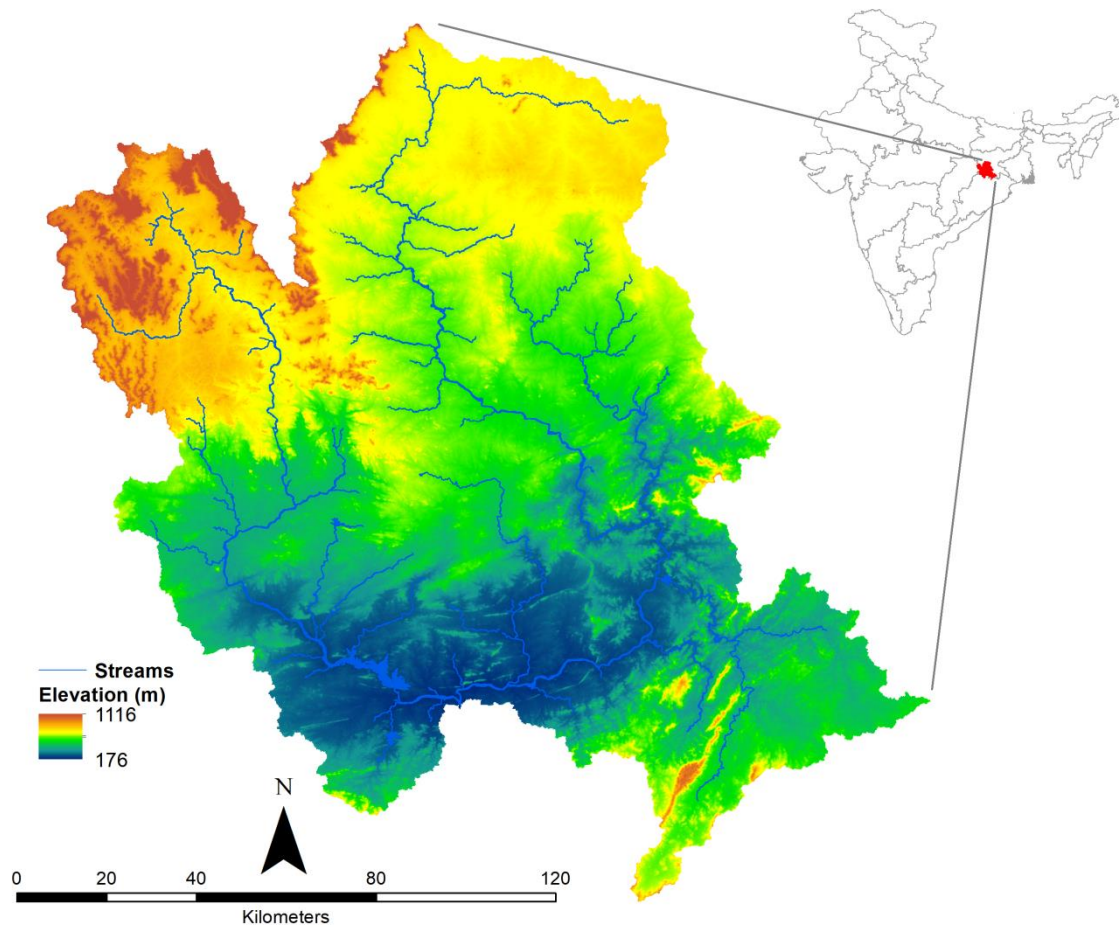
1096 Soil loss rates predicted by the USLE are known to be highly uncertain (Schurz et al., 2019; Batista et al.,  
1097 2021), in no small part due to input data unavailability or quality and associated problems regarding model  
1098 parameterisation, rather than any inherent failure of the model itself (Fischer et al., 2018). This is  
1099 especially true for studies conducted in developing countries, where adequate datasets are not usually  
1100 available for robust model parameterisation. Therefore, uncertainty analysis of the modelled output  
1101 becomes vital (Swarnkar et al., 2018; Batista et al., 2021). The most common uncertainty analysis methods  
1102 are Markov Chain Monte Carlo (MCMC) (Gasparini, 1995) and Generalised Likelihood Uncertainty  
1103 Estimation (GLUE) (Beven and Binley, 1992). Biesemans et al. (2000) applied the MCMC error  
1104 propagation technique to RUSLE, while Batista et al. (2021) and Rosas and Gutierrez (2020) showed how  
1105 to implement the GLUE methodology in a USLE-based soil erosion modelling study at the catchment and  
1106 regional scales, respectively. Swarnkar et al. (2018) proposed a rather simple first-order error analysis  
1107 method for modelling soil erosion using USLE in large river basins in India, by separately accounting for

1108 uncertainties in the different factors. As the above cited studies present appropriate uncertainty analysis  
1109 methodologies for USLE-type soil loss modelling with adequate clarity and details, we refer to them,  
1110 instead of proposing or demonstrating a similar method ourselves. Such uncertainty analysis combined  
1111 with model evaluation according to the procedure explained in section 5.6.1 and demonstrated below  
1112 would surely further the verifiability of modelled outputs and improve manifold the overall quality of  
1113 future USLE applications in India.

1114

### 1115 ***5.7 Modelling a correctly parameterized USLE - a test application***

1116 We demonstrate the applicability and accuracy of the afore-suggested USLE factor estimation methods to  
1117 model soil erosion in the Upper Brahmani river basin in eastern India (Fig. 7), and thereafter evaluate the  
1118 extracted soil erosion map using the NRSC land degradation dataset of 2015-16. This basin is formed by  
1119 the tributaries of the River Brahmani, viz. the South Koel and the Sankh. The latter originates from the  
1120 Netarhat region of the Chhotanagpur plateau while the source of the former is at Lohardaga, on the other  
1121 side of the water divide from where the River Damodar arises (Behera et al., 2020). The basin area is  
1122 19330 km<sup>2</sup>., of which 15280 km<sup>2</sup> is in the state of Jharkhand, 2625 km<sup>2</sup> lies in Odisha and the remaining  
1123 1425 km<sup>2</sup> is in Chhattisgarh. The basin elevation ranges between 155 m and 1116 m a.m.s.l. Deciduous  
1124 forest is the largest land cover class of the basin, occupying 34% of its area, followed by croplands and  
1125 fallow that cover 31% and 18% of the basin area respectively. The climate is of Aw (Tropical hot and dry)  
1126 type, with annual temperatures and rainfall ranging between 4–47° C and 1022–1618 mm, respectively.  
1127 The Chhotanagpur plateau is naturally erosion-prone due to the undulating physiography of the region and  
1128 rapid deforestation in some parts causes especially severe soil erosion (Roy Mukherjee, 1995), among  
1129 which the Upper Brahmani basin area stands out due to the rather large differences between its soil loss  
1130 tolerance and soil erosion rates (Sharda et al., 2013).



**Fig. 7:** Location map of the Upper Brahmani basin

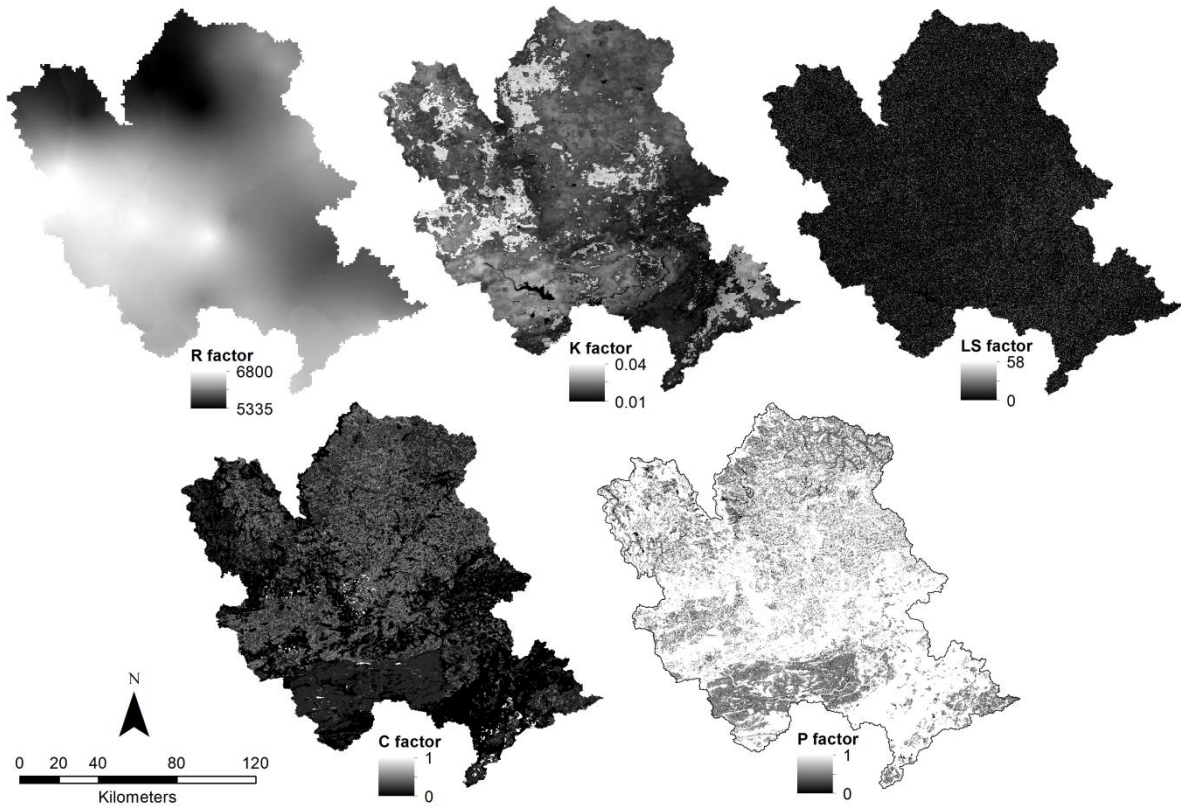
1131

1132

1133

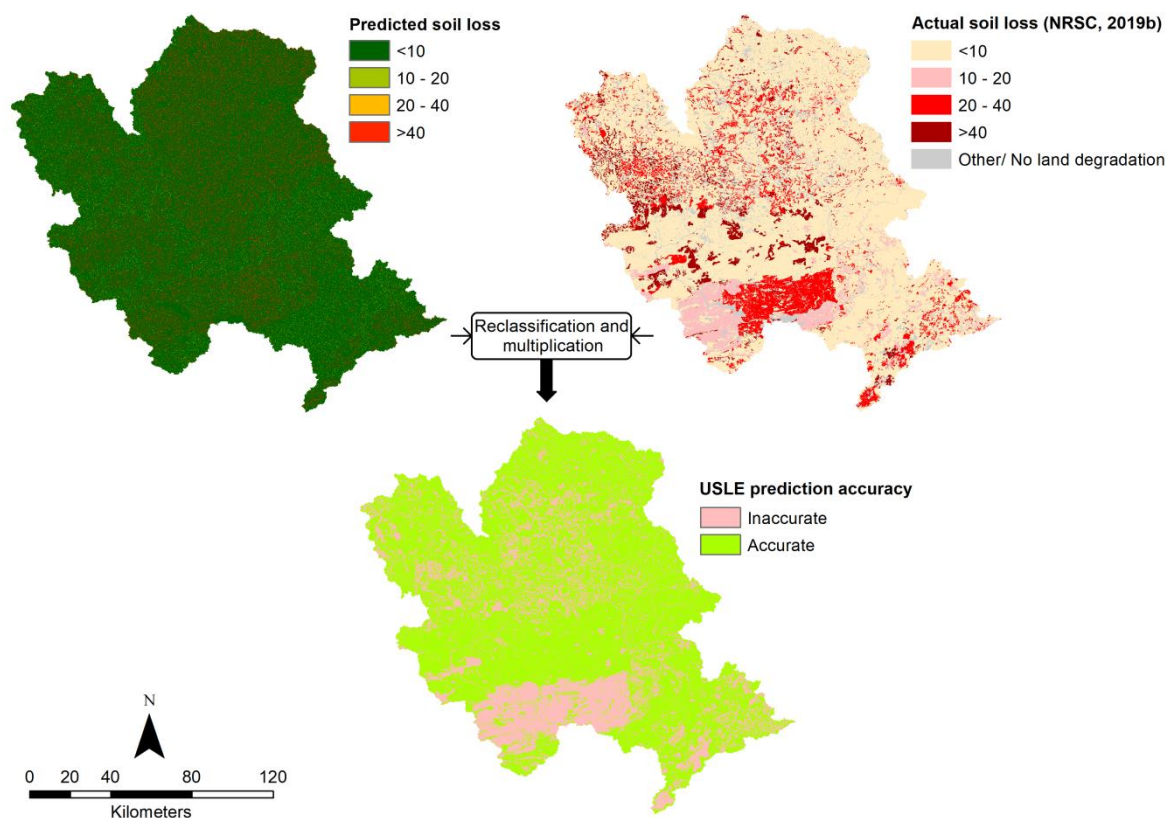
1134 All the USLE factor maps (Fig. 8) were prepared according to the procedures elucidated in sections 5.1  
 1135 through 5.5. For making the R factor map, a mean annual precipitation surface (1 km horizontal resolution)  
 1136 was prepared through ordinary kriging with spherical variogram using mean annual precipitation data (25–  
 1137 40 years records) of 111 weather stations located in and around the Upper Brahmani basin. We obtained  
 1138 topsoil (0–30 cm) texture and organic carbon content layers from ISRIC SoilGrids (<https://soilgrids.org/>)  
 1139 to estimate a spatially continuous depth-averaged soil erodibility (as per Auerwald et al., 2014) map for  
 1140 the basin at a resolution of 250 m. In order to identify the appropriate channel initiation threshold in this  
 1141 area, high-resolution imagery from the OpenStreetMap platform (<https://www.openstreetmap.org/>) and a  
 1142 flow accumulation surface derived from the 30 m resolution SRTM DEM was used and the threshold was  
 1143 found to be ca. 25 pixels or 2.25 ha on average. Therefore, all pixels with a flow accumulation value  
 1144 greater than 25 were left out and we finally only considered slope lengths shorter than or equal to 122 m,

1145 as is the convention. The C and P factors (at 30 m resolution) were derived from the LULC map of NRSC  
1146 (2019a) corresponding to 2015-16 through the respective procedures outlined before.  
1147



1148  
1149 **Fig. 8:** USLE factor maps (with R and K factors in SI units) for the Upper Brahmani basin  
1150

1151



1152

1153 **Fig. 9:** Spatial distribution of predicted and actual soil loss rates in  $t\ ha^{-1}\ yr^{-1}$  and a spatially-explicit  
 1154 evaluation of USLE prediction accuracy in the Upper Brahmani basin.

1155

1156 Compared according to the procedure outlined in section 5.6.1, the modelled soil loss rates predicted by  
 1157 the USLE corresponded quite well to the actual soil loss rates in this region estimated by NRSC (2019b),  
 1158 with an overall accuracy of 79.6% (Fig. 9). This relative accuracy analysis reveals that only near the  
 1159 catchment mouth a substantial zone of mismatch exists between these two outputs.

1160

### 1161 ***5.8 Using this review's findings beyond India***

1162 Across the Global South (and indeed in many other places too), USLE applications may be more  
 1163 vulnerable to inappropriate/ incorrect parameterisation, due to want of requisite data in general and the  
 1164 lack of local/regional research on individual USLE factors. Through our review, we have sought to outline  
 1165 some best practices, such as being mindful of the regional specificity and applicability of the R factor  
 1166 computation methods prevalent in the literature, the viability of the USLE K factor nomograph equation  
 1167 set developed by Auerswald et al. (2014) when used in combination with ISRIC SoilGrids data, general  
 1168 considerations regarding the LS factor's estimation from open-source elevation datasets and nuances of the

1169 C and P factors' estimation when using readily available land cover/use maps. These  
1170 principles/considerations are applicable worldwide.

1171 Furthermore, with India being located in the monsoonal tropics where precipitation and hence soil erosion  
1172 has a characteristic strong seasonality, our suggested best methods and parameters are especially  
1173 applicable in the rest of Monsoon Asia or South Asia, South-East Asia and other regions of the world that  
1174 have similar climatic regimes and intensive land use practices. The R factor methods of Babu et al. (2004)  
1175 as discussed in Section 5.1 can be feasibly used in areas receiving monsoonal rain of up to 3500 mm on an  
1176 annual basis and thus by default is able to estimate the rainfall erosivity factor from gauged precipitation  
1177 data anywhere in monsoon Asia, if the country in question does not have a local R factor estimation  
1178 method, viz. Nepal, Bhutan, Bangladesh, Sri Lanka and Myanmar.

1179 Although the NRSC land cover datasets are only available for Indian territories, the C and P factor  
1180 computation schemes as outlined in Section 5.4 and 5.5 will be relevant even when applied outside of  
1181 India using similar databases. The C factor values for the various crop types and non-arable land cover  
1182 classes collated in Section 5.4 represent, in most cases, the soil loss sensitivity of the respective land cover  
1183 types in subtropical and monsoonal climates. The Copernicus Global Land Service  
1184 (<https://land.copernicus.eu/global/products/lc>) has made available data on a number of bio-geophysical  
1185 properties of the land surface, including land cover/use maps that can be utilised in absence of national  
1186 land cover classification and as hinted by Borrelli et al. (2017, 2020), requisite data on crop acreage can be  
1187 conveniently procured from the FAOSTAT database (<http://www.fao.org/faostat/en/#data>) of the Food and  
1188 Agriculture Organization (FAO), if the same is not available from the concerned national data repositories.  
1189 Just like the NRSC land cover datasets, the land degradation maps prepared by NRSC only pertain to  
1190 Indian territories. However, besides model uncertainty analysis, spatial assessment of the produced soil  
1191 erosion maps (as demonstrated in Section 5.6.1) can be undertaken through comparison with global land  
1192 degradation datasets such as the Global Assessment of Human-induced Soil Degradation (GLASOD)  
1193 (Oldeman et al., 1991) and Global Assessment of Land Degradation and Improvement (GLADA) (Bai et  
1194 al., 2008). The GLASOD database, which has already been used to evaluate global soil erosion  
1195 assessments (Borrelli et al., 2017, 2020), comprises of the type, extent, degree, rate and causes of  
1196 degradation within physiographic units at a scale of 1:10 million, based on expert judgement. It was the

1197 result of an international data compilation initiative wherein more than 300 soil scientists across the world  
1198 contributed data collected using uniform guidelines and international correlations based on extensive field  
1199 observations (Oldeman et al., 1991). However, the qualitative GLASOD maps lack recency, having been  
1200 compiled during the 1980s. The GLADA followed up on the GLASOD through a more detailed and  
1201 accurate assessment of the land degradation status and trends by means of integration of time series  
1202 analyses of the NDVI parameter for the years 1981–2003 with climatic, land cover and terrain data (Bai et  
1203 al., 2008). Although this dataset highlights land degradation and not directly soil erosion, it has also been  
1204 successfully used to examine USLE-based soil erosion estimates (Borrelli et al., 2017, 2020).

1205

## 1206 **6. Concluding remarks**

1207 This review has sought to highlight the fallacies apparent in past studies that have used the USLE to  
1208 estimate soil erosion in India at varied spatial scales. We have succinctly highlighted the nature of each of  
1209 the parameters that constitute the USLE and the RUSLE models together with the range of methods and  
1210 equations that have been proposed to compute them. At the same time, through a detailed review, we have  
1211 highlighted the potential shortcomings of a substantial number of studies that have either misinterpreted  
1212 these parameters, computed them based on misassumptions or misrepresented the units of the values  
1213 derived. This has caused over- and under estimation of modelled soil erosion values in a large number of  
1214 cases. The stark disparity between the derived values and those to be expected from correctly  
1215 parameterised, computed and represented studies is not only statistically significant but also quite  
1216 troubling, given the apparent dearth of accurate information in many of the studies and their possible  
1217 duplication in ensuing analyses, thereby likely compounding mistakes even further. We also find the  
1218 failure of many studies to properly document their methods in detail for each parameter and forgo the  
1219 subsequent model accuracy and uncertainty analysis to be a cause for further concern. This has urged us to  
1220 try and identify the best possible methods and ways to devise and conduct a test-case of the USLE in India,  
1221 based on available open-source datasets and also present its accuracy estimates. We hope that the detailed  
1222 discussions of the different factors presented here and the highlighting of possible missteps in their  
1223 implementation can better inform future USLE based soil loss modelling studies in India, through more  
1224 accurate, considered and context and area-specific model parameterisation.

1225 Our review also highlights a concentration of USLE studies in only some parts of India with scant  
1226 attention accorded to regions where the model's application may be most desirable to gauge the ongoing  
1227 soil loss. Correct applications of this model in these regions can further soil loss management plans for the  
1228 most affected portions of the country and increase their spatial ambit. Furthermore, we have outlined the  
1229 general principles/considerations that govern any USLE-based soil erosion modelling exercise and these  
1230 are applicable not only in the Indian context but in any such study worldwide, particularly in regions that  
1231 have similar climatic and cropping regimes to India, wherein the best methods and equations we have  
1232 highlighted can be feasibly employed for quite accurate estimations of the soil loss, either using local  
1233 datasets or suggested global repositories.

1234 Another big step towards improving the USLE's applicability in India would be the generation and regular  
1235 updation of higher resolution hydrological, climate, soil and topographic datasets. The product of the  
1236 official soil erosion modelling endeavour of India was an isopleth map at a rather coarse resolution of 10  
1237 km (Maji et al., 2008; Sharda et al., 2013), and more research is certainly warranted, using state-of-the-art  
1238 data, to develop refined, high resolution datasets at a pan-Indian scale to model soil erosion in general and  
1239 facilitate USLE applications in particular. For instance, Babu et al. (2004) deduced the rainfall erosivity-  
1240 precipitation relationships by analysing the relevant data up to 1995. Their devised equations thus lack  
1241 recency, especially given the recent climate change effects on the precipitation regime of India (Kulkarni  
1242 et al., 2020). Moreover, even though rainfall erosivity estimation methods based on the Modified Fournier  
1243 Index (Arnoldus, 1980) are used all over the world (Benavidez et al., 2018), no such method yet exists to  
1244 specifically predict the R factor in India. A re-analysis of precipitation-erosivity relationships in the  
1245 country is thus pertinent to assess the performance of existing techniques and to develop revised R factor  
1246 estimation methods, as and where necessary. Similarly, there is a pertinent need for a nationwide high-  
1247 resolution digital soil erodibility map together with comprehensive country-wide mapping and decadal  
1248 change analysis of the cover and management factors, in order to identify potential erosion hotspots so that  
1249 the commensurate soil erosion control works may be undertaken more fruitfully.

1250

1251

1252



1253 **References**

1254

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