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6

7 Title:

8 **Effects of voltage strength during electroporation on the development and quality of**
9 ***in vitro*-produced porcine embryos**

10

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14

15 *Running title:*

16 Electroporation conditions and embryonic development

17

18

19 **Contents**

20

21 This study was conducted to determine suitable conditions for an experimental
22 method in which the CRISPR/Cas9 system is introduced into *in vitro*-produced porcine
23 zygotes by electroporation. In the first experiment, when putative zygotes derived from
24 *in vitro* fertilization (IVF) were electroporated by either unipolar or bipolar pulses,
25 keeping the voltage, pulse duration, and pulse number fixed at 30 V/mm, 1 msec, and five
26 repeats, respectively, the rate of blastocyst formation from zygotes electroporated by
27 bipolar pulses decreased compared to zygotes electroporated by unipolar pulses. In the
28 second experiment, the putative zygotes were electroporated by electroporation voltages
29 ranging from 20 V/mm – 40 V/mm with five 1-msec unipolar pulses. The rate of cleavage
30 and blastocyst formation of zygotes electroporated at 40 V/mm was significantly lower
31 ($p < 0.05$) than that of zygotes electroporated at less than 30 V/mm. Moreover, the
32 apoptotic nuclei indices of blastocysts derived from zygotes electroporated by voltages
33 greater than 30 V/mm significantly increased compared with those from zygotes
34 electroporated by voltages less than 25 V/mm ($p < 0.05$). When zygotes were
35 electroporated with Cas9 mRNA and single-guide RNA (sgRNA) targeting site in the
36 *FGF10* exon 3, the proportions of blastocysts with targeted genomic sequences were
37 7.7% (2/26) and 3.6% (1/28) in the embryos derived from zygotes electroporated at 25
38 V/mm and 30 V/mm, respectively. Our results indicate that electroporation at 25 V/mm
39 may be an acceptable condition for introducing *Cas9* mRNA and sgRNA into pig IVF
40 zygotes under which the viability of the embryos is not significantly affected.

41

42 **Keywords:** CRISPR/Cas9, electroporation, genome editing, *in vitro* fertilization, pig

43

44

45 1 INTRODUCTION

46

47 The recently developed clustered regularly interspaced short palindromic repeats
48 (CRISPR)/CRISPR-associated (Cas) 9 system has enabled high-efficiency genome
49 modification in animal cells/embryos including site-specific modifications and gene
50 knock-ins and knockouts (Cong et al., 2013; Jinek et al., 2013; Mali et al., 2013). Using
51 CRISPR/Cas9, efficient gene targeting has been achieved in mice, rats, and monkeys via
52 the co-injection of zygotes with Cas9 mRNA and single-guide RNA (Ma et al., 2014; Niu
53 et al., 2014; Yasue et al., 2014), and this strategy has been applied to gene targeting in
54 pigs (Wang et al., 2015). However, the microinjection of CRISPR/Cas9 system into
55 zygotes requires a high level of skill, is time-consuming and may cause damage to
56 embryos. Thus, the widespread production of gene-modified pigs may remain limited due
57 to the use of micromanipulator systems for the microinjection of endonucleases into the
58 cytoplasm of zygotes (Fan & Lai, 2013). Recently, we established the GEEP (gene editing
59 by electroporation of Cas9 protein) method (Tanihara et al., 2016), a method in which the
60 CRISPR/Cas9 system is introduced into porcine zygotes by electroporation, which leads
61 to high-efficiency disruption of the targeted gene. Previous studies have also reported the
62 generation of knockout animals (mice and rats) by introducing the CRISPR/Cas9 system
63 into intact zygotes using a similar electroporation method (Hashimoto & Takemoto, 2015;
64 Kaneko & Mashimo, 2015; Kaneko et al., 2014). Kaneko and Mashimo (2015) have
65 suggested that the pulse polarity affects the success rate of transferring mRNA into intact
66 zygotes. In a previous study, we demonstrated that when the presumptive zygotes were
67 electroporated with Cas9 mRNA and single-guide RNA (sgRNA) targeting the *FGF10*
68 gene, the frequency of base insertions or deletions (indels) in the targeted gene and
69 blastocyst formation rates were influenced by electroporation conditions such as duration
70 and number of pulses (Tanihara et al., 2016). However, information on the conditions

71 suitable for the introduction of the CRISPR/Cas9 system into intact embryos of pigs and
72 other species by electroporation is limited.

73 To clarify suitable conditions for electroporation, we investigated the effects of pulse
74 polarity and voltage on the development and quality of *in vitro*-produced porcine embryos.
75 We then confirmed whether the selected conditions could be used to edit the *FGF10* gene
76 in porcine embryos.

77

78 **2 MATERIALS AND METHODS**

79

80 There were no live animals used in this study, so no ethical approval was required.

81

82 **2.1 Oocyte collection, *in vitro* maturation and fertilization**

83 Pig ovaries were obtained from prepubertal crossbred gilts (Landrace × Large White
84 × Duroc breeds) at a local slaughterhouse. Cumulus-oocyte complexes (COCs) with a
85 uniform ooplasm and compact cumulus cell mass were collected from follicles 2–6 mm
86 in diameter; the COCs were cultured in maturation medium at 39°C in a humidified
87 incubator containing 5% CO₂ as described previously, with minor modifications (Do et
88 al., 2015). The maturation medium consisted of 25 mM HEPES tissue culture medium
89 199 with Earle's salts (TCM 199; Invitrogen Co., Carlsbad, CA, USA) supplemented with
90 10% (v/v) porcine follicular fluid, 0.6 mM cysteine (Sigma-Aldrich, St. Louis, MO, USA),
91 50 µM sodium pyruvate (Sigma-Aldrich), 2 mg/ml D-sorbitol (Wako Pure Chemical
92 Industries Ltd., Osaka, Japan), 1 µg/ml 17 β-estradiol (Sigma-Aldrich), 10 IU/ml equine
93 chorionic gonadotropin (Kyoritu Seiyaku, Tokyo, Japan), 10 IU/ml human chorionic
94 gonadotropin (Kyoritu Seiyaku), and 50 µg/ml gentamicin (Sigma-Aldrich). After
95 maturation for 20–22 h, the COCs were cultured for 24 h in maturation medium without
96 hormones.

97 The matured oocytes were subjected to *in vitro* fertilization (IVF), as described
98 previously (Do et al., 2015). Briefly, frozen-thawed spermatozoa were transferred into 6
99 ml of porcine fertilization medium (PFM; Research Institute for the Functional Peptides
100 Co., Yamagata, Japan) and washed by centrifuging at $500 \times g$ for 5 min. The pelleted
101 spermatozoa were resuspended in PFM and adjusted to 5×10^6 cells/ml. Next, COCs were
102 transferred to the sperm-containing PFM and co-incubated for 12 h at 39°C under 5%
103 CO₂ and 5% O₂. After co-incubation, the putative zygotes were denuded from the
104 cumulus cells and the attached spermatozoa by mechanical pipetting.

105

106 **2.2 Preparation of sgRNA targeting *FGF10*, and *Cas9* mRNA**

107 We introduced Cas9 mRNA and sgRNA targeting *Fgf10*, which was previously
108 transferred into eggs by the electroporation method (Tanihara et al., 2016) and elicited the
109 limbless phenotype (Hashimoto & Takemoto 2015). pDR274 plasmids carrying target
110 sequences were constructed by inserting annealed oligos into the BsaI site. The oligos (Fwd:
111 5'-TAGGAAAAGGAGCTCCCAGGAG-3'; and Rev: 5'-
112 AAACCTCCTGGGAGCTCCTTTT -3') were purchased from Sigma-Aldrich. After DraI
113 digestion, sgRNAs were synthesized using the MEGAshortscript T7 Transcription Kit
114 (Ambion, Austin, TX, USA) and then purified by phenol-chloroform-isoamylalcohol
115 extraction and isopropanol precipitation. The precipitated RNA was dissolved in Opti-
116 MEM I (Life Technologies, Gaithersburg, MD, USA). The RNAs were quantified by
117 absorption spectroscopy and agarose gel electrophoresis and were stored at -30°C until
118 use. *Cas9* mRNA was prepared as described previously (Hashimoto & Takemoto 2015).

119

120 **2.3 Electroporation and embryo culture**

121 Electroporation was performed 13 h after the initiation of IVF as described
122 previously (Tanihara et al., 2016). Briefly, an electrode (LF501PT1-20; BEX, Tokyo,

123 Japan) was connected to a CUY21EDIT II electroporator (BEX) and placed under a
124 stereoscopic microscope. The putative zygotes (approximately 30 – 40 zygotes) were
125 washed with Opti-MEM I solution and placed in a line in the electrode gap, in a chamber
126 slide filled with 10 μ L of Opti-MEM I solution with or without sgRNA and Cas9 mRNA.
127 After electroporation, the zygotes were washed with pig zygote medium (PZM-5;
128 Research Institute for the Functional Peptides Co.) and cultured for 3 days. Embryos
129 cultured for 3 days were subsequently incubated in porcine blastocyst medium (PBM;
130 Research Institute for the Functional Peptides Co.) for 4 days. As a control, some zygotes
131 were cultured with PZM-5 and PBM for 7 days without performing electroporation.

132

133 **2.4 Assessment of blastocyst quality**

134 To evaluate the total cell number and existence of apoptosis in the blastocysts, the
135 blastocysts were fixed on day 7 (day 0; insemination) and were analysed using a
136 combined technique for simultaneous nuclear staining and terminal deoxynucleotidyl
137 transferase nick-end labelling (TUNEL) modified from previously described procedures
138 (Otoi et al., 1999). Briefly, blastocysts were fixed overnight at 4°C in 3.7% (w/v)
139 paraformaldehyde diluted in PBS. After fixation, the blastocysts were permeabilized in
140 PBS containing 0.1% (v/v) Triton-X100 for 40 min. The blastocysts were subsequently
141 incubated overnight at 4°C in PBS containing 10 mg/ml bovine serum albumin (blocking
142 solution) and then incubated in fluorescein-conjugated 2-deoxyuridine 5-triphosphate and
143 terminal deoxynucleotidyl transferase (TUNEL reagent; Roche Diagnostics Co., Tokyo,
144 Japan) for 1 h at 38.5°C. After TUNEL staining, the embryos were counterstained with 1
145 μ g/ml DAPI (Invitrogen Co., Carlsbad, CA, USA) for 10 min and then treated with an
146 anti-bleaching solution (Slow-Fade; Molecular Probes Inc., Eugene, OR, USA), mounted
147 on glass slides and sealed with clear nail polish. Labelled blastocysts were examined
148 using an epifluorescence microscope (Eclipse 80i, Nikon, Tokyo, Japan). Apoptotic

149 nuclei exhibited condensed and fragmented morphology (Brison & Schultz, 1997). The
150 apoptotic index was calculated by dividing the number of cells containing apoptotic
151 nuclei (labelled by TUNEL) by the total number of cells.

152

153 **2.5 Analysis of targeted genes after electroporation**

154 Genomic DNA was isolated by boiling individual blastocysts in 50 mM NaOH
155 solution. After neutralization, the genomic regions flanking the sgRNA target sequences
156 were PCR-amplified using specific primers (Fwd: 5'-CCATCCCATTGATCTGCTT-3';
157 and Rev: 5'-CTTCAACTGGCAGCACAATG-3'). The PCR products were extracted
158 using agarose-gel electrophoresis and the targeted genomic regions were sequenced.
159 Sequencing was performed using a BigDye Terminator Cycle Sequencing Kit ver. 3.1
160 (Thermo Fisher Scientific, Waltham, MA, USA) and an ABI 3500 Genetic Analyser
161 (Applied Biosystems, Foster City, CA, USA).

162

163 **2.6 Experimental design**

164 In the first experiment, we examined the effect of pulse polarity with unipolar and
165 bipolar pulses on the development of porcine embryos. Putative zygotes were placed in
166 Opti-MEM I solution without sgRNA and Cas9 mRNA and were electroporated by either
167 unipolar or bipolar pulses, keeping the voltage, pulse duration and pulse number fixed at
168 30 V/mm, 1 msec and five repeats, respectively.

169 In the second experiment, we tested the effect of electroporation voltages on the
170 development and quality of porcine embryos. In the first experiment, the unipolar pulse
171 was better than the bipolar pulse for the development of embryos. Thus, putative zygotes
172 were electroporated in Opti-MEM I solution without sgRNA and Cas9 mRNA by
173 electroporation voltages ranging from 20 V/mm – 40 V/mm with five 1-msec unipolar
174 pulses.

175 The electroporation voltage found to be most suitable for the development and
176 quality of embryos in the second experiment was 25 V/mm, but the frequency of base
177 insertions or deletions (indels) in the target gene after introducing the CRISPR-Cas9
178 system into zygotes remained unclear. In the third experiment, we used two
179 electroporation voltages (25 V/mm and 30 V/mm) to compare the efficiency of genome
180 editing in porcine zygotes. The putative zygotes were electroporated with 400 ng/μl of
181 *Cas9* mRNA and 200 ng/μl of sgRNA targeting the *FGF10* gene (Sekine et al., 1999) by
182 electroporation at 25 V/mm and 30 V/mm with five 1-msec unipolar pulses. The
183 electroporated zygotes were cultured for 7 days until blastocyst formation. The
184 frequencies of base insertions or deletions (indels) in the *FGF10* gene of individual
185 blastocysts derived from zygotes electroporated at 25 V/mm (26 embryos) and 30 V/mm
186 (28 embryos) were analysed.

187

188 **2.7 Statistical analysis**

189 Statistical significance was inferred from analysis of variance (ANOVA) tests
190 followed by Fisher's protected least significant difference (PLSD) tests using STATVIEW
191 (Abacus Concepts, Inc., Berkeley, CA, USA). All percentage data were subjected to
192 arcsin transformation before statistical analysis. Differences with a probability value (p)
193 of 0.05 or less were regarded as significant.

194

195 **3 RESULTS**

196

197 As shown in Fig. 1, when putative zygotes were electroporated by either unipolar or
198 bipolar pulses, cleavage rates did not differ among the groups. However, the rate of
199 blastocyst formation from zygotes electroporated by bipolar pulses was significantly
200 lower ($p < 0.05$) than from zygotes electroporated by unipolar pulses. The rates of

201 blastocyst formation from electroporated zygotes decreased compared with control
202 zygotes cultured without electroporation, irrespective of pulse polarity.

203 As shown in Table 1, when putative zygotes were electroporated by electroporation
204 voltages ranging from 20 V/mm – 40 V/mm, the rate of cleavage and blastocyst formation
205 of zygotes electroporated at 40 V/mm was significantly lower ($p < 0.05$) than that of
206 zygotes electroporated at less than 30 V/mm. Moreover, the apoptotic nuclei indices of
207 embryos derived from zygotes electroporated at voltages greater than 30 V/mm
208 significantly increased compared with those from zygotes electroporated at voltages less
209 than 25 V/mm ($p < 0.05$). The apoptotic nuclei indices of embryos from electroporated
210 zygotes increased compared with embryos from control zygotes cultured without
211 electroporation, irrespective of the voltage used.

212 When putative zygotes were electroporated with Cas9 mRNA and sgRNA targeting
213 site in *FGF10* exon 3, the proportions of blastocysts with targeted genomic sequences
214 were 7.7% (2/26) and 3.6% (1/28) in embryos derived from zygotes electroporated at 25
215 V/mm and 30 V/mm, respectively. All mutated blastocysts (3 embryos) carried wild-type
216 sequences at variable ratios (Fig. 2).

217

218 **4 DISCUSSION**

219

220 Electroporation, which permeabilizes the plasma membrane with an electric pulse,
221 can deliver exogenous molecules into cells (Mir, 2001). However, the method has been
222 limited by low gene transfer efficiency compared with viruses and their transient gene
223 expression (Nishikawa & Huang, 2001). As a function of the field strength and duration,
224 the permeabilization of the cell membrane can be reversible or irreversible. Irreversible
225 electroporation occurs if the cell cannot recover from the membrane disruption (Davalos
226 et al., 2005). Therefore, the high levels of cell damage incurred by electroporation must

227 be considered to obtain successful transfection efficiency. Changing pulse polarity may
228 increase permeabilized membrane area and, consequently, increase gene expression
229 (Faurie et al., 2004). Tekle et al. (1991) reported that the efficiency of DNA transfection
230 *in vitro* was significantly higher when using bipolar pulses than when using unipolar
231 pulses. A bipolar pulse is a sequence of two consecutive, oppositely polarized unipolar
232 pulses. If the survival rate of embryos electroporated by bipolar pulses is similar to the
233 survival rate of embryos electroporated by unipolar pulses, permeabilization could be
234 expected to achieve increased molecular uptake. In the present study, however, we found
235 that the development of zygotes electroporated by bipolar pulses decreased compared
236 with those electroporated by unipolar pulses. Kotnik et al. (2001) reported that pulse
237 strengths were lower when using bipolar pulses. Under our conditions, the same voltage,
238 pulse duration and pulse number were used for electroporation. Therefore, the decreased
239 development of zygotes electroporated by bipolar pulses might result from damage
240 induced by higher pulse strengths.

241 While high levels of gene expression are required following transfection by
242 electroporation, it is also desirable to minimize damage to embryos. Therefore,
243 optimizing electroporation conditions have become a key factor affecting the
244 development and quality of embryos. In a previous study, we reported that the frequency
245 of indels increased with increasing pulse duration and number, whereas blastocyst
246 formation rates markedly decreased (Tanihara et al., 2016). Moreover, the optimal
247 duration and number of pulses were 1-ms and five, respectively, for introducing *Cas9*
248 mRNA and sgRNA into pig IVF zygotes. However, the optimal voltage to use for
249 electroporation has remained unclear. In the present study, zygotes were electroporated
250 using electroporation voltages ranging from 20 V/mm – 40 V/mm with five 1-msec
251 unipolar pulses. We found that 25 V/mm was most suitable for embryo development and
252 quality, although the apoptotic nuclei indices of electroporated embryos were higher than

253 those of control embryos. Moreover, when zygotes were electroporated with Cas9 mRNA
254 and sgRNA targeting site in *FGF10* exon 3 at 25 V/mm and 30 V/mm, 25 V/mm resulted
255 in a high genome editing efficiency in the resulting blastocysts. Although we succeeded
256 in introducing indels into the porcine embryos, the mutation frequency was lower than
257 that observed in mice (Hashimoto & Takemoto 2015). Our results showed that all mutated
258 blastocysts carried wild-type sequences. These results indicate that electroporation at 25
259 V/mm may be an acceptable condition for introducing *Cas9* mRNA and sgRNA into pig
260 IVF zygotes, but further studies are necessary to achieve higher genome editing in porcine
261 embryos using the electroporation.

262 In conclusion, our results demonstrate that bipolar pulses have a detrimental effect
263 on the development of zygotes electroporated under our study conditions. Moreover,
264 when using five 1-msec unipolar pulses, electroporation at 25 V/mm is suitable for
265 introducing the CRISPR/Cas9 system into pig IVF zygotes.

266

267 **ACKNOWLEDGMENTS**

268 The authors would like to thank Nippon Food Packer, K. K. Shikoku (Tokushima,
269 Japan) for supplying pig ovaries. This work was supported in part by the Japan Science
270 and Technology Agency/Japan International Cooperation Agency, Science and
271 Technology Research Partnership for Sustainable Development (JST/JICA, SATREPS)
272 and by the Ministry of Education, Culture, Sports, Science and Technology
273 (No.17H03938).

274

275 **CONFLICT OF INTEREST STATEMENT**

276 The authors have no conflicts of interest to declare.

277

278 **AUTHOR CONTRIBUTIONS**

279 All authors contributed to the work described in the paper and all take responsibility
280 for it. Drs. K. Nishio, F. Tanihara, T-V. Nguyen, T. Kuniyama, M. Nii, T. Takemoto, and
281 M. Hirata, as co-authors, made significant contributions to the conception and design of
282 experiments, and the analysis and interpretation of data. Dr. T. Otoi, as a corresponding
283 author, participated in drafting the article or reviewing and/or revising its contents.

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364 FIGURE LEGENDS

365

366 **Fig. 1.** Efficiency of unipolar and bipolar rectangular electroporation pulses on the
367 development of porcine embryos. Zygotes were electroporated using unipolar (549
368 zygotes) and bipolar (520 zygotes) pulses, using five 1-msec pulses at 30 V/mm. As a
369 control, a set of zygotes (531 zygotes) was cultured without electroporation. Eleven
370 replicates were analysed per treatment group. ^{a-c}Bars with different letters differ
371 significantly ($p < 0.05$).

372

373 **Fig. 2.** Representative genomic sequences of porcine blastocysts formed after zygote
374 electroporation with Cas9 mRNA and *FGF10* sgRNA at 25 V/mm and 30 V/mm showing
375 wild type (WT) and mutated type (25V and 30V). There were more than two peaks in the
376 mutated embryos, indicating that *FGF10* mutation (deletion or/and insertion) had
377 occurred. The arrowhead indicates the Cas9 cleavage site.

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Table 1. Effects of voltage strength on the development and quality of embryos electroporated after in vitro fertilization*

Voltage strength (V/mm) **	No. of oocytes examined	No. (%) of embryos		Total cell number in blastocyst	Apoptotic nucleus index***
		cleaved	developed to blastocysts		
Control	125	108 (86.4 ± 1.6) ^{a,b}	33 (26.4 ± 2.8) ^a	51.1 ± 2.7 ^a	3.0 ± 0.4 ^a
20	115	105 (91.4 ± 2.1) ^a	24 (21.0 ± 5.4) ^{a,b}	47.5 ± 4.6 ^a	6.6 ± 0.6 ^b
25	121	108 (89.4 ± 2.3) ^a	31 (25.8 ± 6.3) ^{a,b}	49.4 ± 4.5 ^a	6.4 ± 0.6 ^b
30	127	103 (82.4 ± 7.2) ^{a,b}	21 (17.0 ± 3.8) ^{a,b}	46.7 ± 3.7 ^a	10.6 ± 1.1 ^c
35	118	83 (70.2 ± 6.0) ^{b,c}	17 (14.3 ± 2.2) ^{b,c}	33.4 ± 2.5 ^b	16.1 ± 1.8 ^d
40	121	74 (61.1 ± 9.3) ^c	5 (4.1 ± 1.6) ^c	36.4 ± 3.4 ^{a,b}	16.9 ± 1.3 ^d

*Four replicate trials were carried out. Data are expressed as mean ± SEM.

**Electroporation was performed by five 1-ms pulses at various voltages. As control, the zygotes were cultured without performing electroporation.

***The apoptotic index was defined as the ratio of the number of cells containing apoptotic nucleus and the total number of cells in a blastocyst.

^{a-d} Values with different superscripts in the same column are significantly different ($P < 0.01$).

Figure 1



