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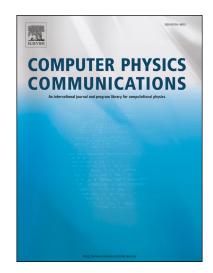
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# Coupling the Time-Warp algorithm with the Graph-Theoretical Kinetic Monte Carlo framework for distributed simulations of heterogeneous catalysts

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#### Abstract

Despite the successful and ever widening adoption of kinetic Monte Carlo (KMC) simulations in the area of surface science and heterogeneous catalysis, the accessible length scales are still limited by the inherently sequential nature of the KMC framework. Simulating long-range surface phenomena, such as catalytic reconstruction and pattern formation, requires consideration of large surfaces/lattices, at the  $\mu$ m scale and beyond. However, handling such lattices with the sequential KMC framework is extremely challenging due to the heavy memory footprint and computational demand. The Time-Warp algorithm proposed by Jefferson [ACM. Trans. Program. Lang. Syst., 1985. 7: 404-425 offers a way to enable distributed parallelization of discrete event simulations. Thus, to enable high-fidelity simulations of challenging systems in heterogeneous catalysis, we have coupled the Time-Warp algorithm with the Graph-Theoretical KMC framework [J. Chem. Phys., 134(21): 214115; J. Chem. Phys., 139(22): 224706 and implemented the approach in the general-purpose KMC code Zacros. We have further developed a "parallelemulation" serial algorithm, which produces identical results to those obtained from the distributed runs (with the Time-Warp algorithm) thereby validating the correctness of our implementation. These advancements make Zacros the first-of-its-kind general-purpose KMC code with distributed com-

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puting capabilities, thereby opening up opportunities for detailed meso-scale studies of heterogeneous catalysts and closer-than-ever comparisons of theory with experiments.

Keywords: Kinetic Monte Carlo, Lattice, Time-Warp algorithm, Catalysis, Materials Science, Distributed simulation

#### 1. Introduction

Kinetic Monte Carlo (KMC) simulations have been successfully used in a variety of research fields [1, 2, 3, 4, 5, 6, 7, 8, 9] to predict the dynamic properties of materials and gain fundamental insights into the underlying microscopic phenomena. Heterogeneous catalysis is one such field that has seen a wide adoption of on-lattice KMC simulations, in which the catalyst surface is represented as a lattice [10, 5, 11, 12, 13, 14, 15, 16] (from here onwards, KMC refers to on-lattice KMC). Adsorption, desorption, diffusion, and reactions are considered as the elementary events of interest, whose rates can be obtained from first-principles. To this end, density functional theory (DFT) calculations, which strike a practical balance between accuracy and computational expense, are used in tandem with transition state theory approaches. Properties of interest, such as activity, selectivity and stability, which serve as metrics in the identification of promising catalysts, can be calculated by sampling the realizations (stochastic trajectories) obtained by KMC simulations. In addition, such simulations can yield a fundamental understanding of the link between the underlying microscopic mechanism and the resultant macroscopic observables. Such a multi-scale understanding aids in the development of predictive models, which are exceptionally promising in designing better catalysts, in line with the "rational catalyst design" vision. In turn, the accurate prediction of catalytic performance metrics (activity, selectivity, stability) is critical to the design of reactors and the improvement of the overall performance of a chemical process. Indeed, the increasing interest in KMC simulations for catalysis and chemical reaction engineering applications has motivated the development of general-purpose software, such as Zacros [17, 18] (https://zacros.org/), a versatile, high-fidelity Graph-Theoretical (GT)-KMC code. SPPARKS [3], CARLOS [19], kmos [20], and KMCLib [21] are also examples of popular KMC codes available.

The complexity of a KMC simulation varies depending on the specific features of the diverse elements involved in the model: lattice structure,

binding configurations of adsorbates, reaction mechanism, and adsorbate-adsorbate lateral interactions. Thus, systems with e.g. multidentate adsorbates, long-range lateral interactions and complicated reaction pathways tend to be computationally expensive. Besides, the KMC framework is inherently sequential, in the sense that lattice events are executed one after another based on their priority (an event with lower KMC time has a higher priority). For these reasons, KMC simulations of heterogeneous catalysts are limited to small lattices, typically on the order of  $10 \text{ nm} \times 10 \text{ nm}$  [22, 23].

There have been numerous efforts in improving the performance of KMC simulations, and this is still a topical research area. Approximate methods, such as scaling down rate-constants [24, 25, 26, 27, 28], lumping states together and applying theory of absorbing Markov chains [29, 30, 31], and implementing fast species redistribution [32] have been used to alleviate the time-scale separation challenge, whereby certain "uninteresting" frequent events, such as diffusional hops, dominate the simulation effort, at the expense of "significant" events such as reactions. On the other hand, exact efficient algorithms/implementations [33, 34, 17, 35, 18, 36, 37, 38] have improved the performance of KMC simulations without the loss of accuracy.

Although KMC simulations of small to moderately sized lattices can yield acceptable-quality estimates of the aforementioned observables, understanding phenomena involving long-range spatial variations, such as catalytic surface reconstruction and pattern formation, necessitate simulations of large systems. In such scenarios, the required size of the simulated lattice would be dictated by the characteristic wavelength of the pattern, which could be in the order of  $\mu$ m to mm. For instance, in the context of reconstruction, oscillations and pattern formation have indeed been observed at the  $\mu$ m scale [39], and lead to instabilities that must be controlled at the reactor scale [40]. Thus, in addition to the previously noted complexities involved in a KMC simulation, the need to consider large lattices poses extra challenges in terms of memory footprint and computational time. At present, it is infeasible to simulate realistic chemistries on catalytic surfaces that span  $\mu$ m in size within the sequential KMC framework, and distributed parallelization is a way to address this challenge.

Different approaches, such as the synchronous [41], the synchronous relaxation [42], the optimistic Time-Warp [43], the optimistic synchronous relaxation [44, 45], and the semi-rigorous synchronous algorithms [46, 47] are available for the distributed parallelization of discrete event simulations. At a high level, these approaches entail appropriate domain decomposition and

event execution protocols that either avoid boundary conflicts via synchronization, or employ rollbacks and re-simulations to correct for any causality violations arising from such conflicts. In the latter approach, provisional KMC trajectories are progressively amended and, once validated to be mutually consistent, are finally incorporated into the global simulation history. This approach is reminiscent of the more general concept of "software transactional memory" [48], by which a processor performs modifications to shared memory, at first independently of other processors. Then, these transactions are either validated and committed to memory, or aborted, in which case any changes are rolled-back and retried.

In terms of general software implementations in the computational catalysis and materials science fields, an approximate approach similar to the semirigorous synchronous sub-lattice algorithm [46, 47] has been implemented in SPPARKS, and used mainly for materials science applications [49, 50, 51, 52]. Additionally, the 'Catalysis' module of SPPARKS [53], can be used to perform distributed KMC simulations of heterogeneous catalysts. Moreover, SPOCK [54] is an exact KMC implementation based on the ROSS [55] discrete event simulator which incorporates Time-Warp features. SPOCK has been shown to scale well for model problems in 3D, capturing lattice gas dynamics and grain growth [54]. However, building custom models in SPOCK is somewhat complicated and requires the user to supply code for forward and reverse execution of events (the latter to be used in rollbacks). In addition, neither code appears to provide a rigorous mechanism for validating the correctness (or accuracy) of simulated runs, thereby safeguarding against coding errors or undesirable approximation errors. It thus emerges that, even though scalable simulation algorithms at the electronic and atomistic levels are already at a mature stage (see e.g. Ref. [56]), distributed mesoscopic scale simulation approaches are still at their infancy. To our knowledge, a validated scalable implementation of an exact general-purpose KMC approach for simulations of heterogeneous catalysts, is still lacking.

To fill this gap, we have coupled the graph-theoretical KMC framework with the optimistic Time-Warp algorithm and have implemented the approach in *Zacros*. In this approach, the lattice is decomposed into domains that are assigned to different processing elements (PEs) (each of which may be handled by a single core or involve several threads). Each PE executes the KMC algorithm for the assigned domain and communicates with the neighbors, if necessary. Thus, elementary events that happen at the interior of the lattice (far from domain boundaries), are handled privately and asyn-

chronously, while events close to the boundaries have to be communicated as appropriate. Communication among processes is handled using the message passing interface (MPI) framework (https://www.mpi-forum.org/). Due to the asynchronous execution of "internal" events, each PE follows its own simulation time; thus, when "boundary events" happen, causality violations may arise. Such violations are resolved using the state-saving, rollback, and anti-message protocols of the Time-Warp algorithm. Additionally, the necessary protocols for the computation of the global virtual time (the collective KMC time of all PEs) and the termination of the distributed run are implemented.

Crucially, a fundamental constraint underpinning the Time-Warp algorithm, enables us to develop a simple variant of the serial KMC algorithm that enables the validation of our implementation. For our case of temporal point processes, involving events that happen instantaneously after some random inter-arrival time (quiescence time), the constraint can be stated as follows: if an event A causes an event B, then event A must be scheduled and executed *before* (in real-time terms) event B. On this basis, we develop a "parallel-emulation" scheme, i.e. a serial KMC simulation protocol that utilizes the deviates generated by the random number generator in the same order as in the distributed run. Thus, the results of the parallel-emulation runs must be identical to those of distributed runs (down to the stochastic fluctuations), enabling meaningful comparisons to be made.

The rest of the paper is organized as follows. The implementation of the different Time-Warp simulation components and protocols is discussed in detail in section 2: Methodology. The parallel-emulation method is presented in section 2.9: Parallel-Emulation. Subsequently, the validation of our implementation and the performance benchmarks (in terms of both weak- and strong-scaling) are presented and discussed in section 3: Results and Discussion, followed by section 4: Summary and Conclusions.

# 2. Methodology

In this section, starting with a brief description of the sequential KMC framework, we discuss the coupling of GT-KMC and Time-Warp. We examine in detail the necessary protocols for domain decomposition, communication of boundary-events, resolution of causality violations, computation of global virtual time, and termination of the distributed run.

# 2.1. Kinetic Monte Carlo framework and parallelization strategy

A KMC simulation of a surface chemistry requires three inputs: a lattice representation of the surface, a set of energetic patterns (also referred to as clusters/figures) describing lateral interactions among adsorbates, and a reaction mechanism consisting of elementary events (also referred to as reaction patterns). In the GT-KMC framework, the lattice structure, energetic patterns, and reaction patterns are represented as graphs, thereby making it possible to capture complex entities in a versatile way. Before the start of the KMC run, all input is parsed and some preparatory operations take place, e.g. the lattice state is initialized with all sites empty (or with a given initial state if desired), and a random number generator (used for the calculation of the random times of event occurrence) is provided with an initial seed.

Upon commencement of the KMC run, all possible instances of the elementary events on the lattice are detected and added to the process queue (procQueue). The latter is essentially a complete "catalogue" of all adsorption, desorption, diffusion and reaction events that are possible given a lattice state and a reaction mechanism, and is kept up to date throughout the KMC run. Finding instances of an elementary event on the lattice involves mapping sites of the corresponding reaction pattern to lattice sites, so that the connectivity and site occupancy on the lattice are as defined in the initial state of the reaction (this is done by solving subgraph isomorphism problems as explained in Stamatakis and Vlachos [17]). This procedure is repeated for all the reaction patterns prescribed in the reaction mechanism, and the detected lattice event instances are added to procQueue.

In addition, procQueue also stores the "absolute" KMC time for the occurrence of the each event, which is calculated as the sum of the current KMC time and the inter-arrival time of the KMC event (also known as quiescence or waiting time). For time-independent reaction rates (for instance, when the temperature of the system is constant), the pertinent inter-arrival times are generated as exponential deviates, with rate parameters equal to the events' rate constants. The latter may be influenced by the presence of spectator species in the neighborhood of the event, which exert lateral interactions to the reactants. Within the GT-KMC, such effects are captured by considering environment-dependent activation energies, parameterized by Bronsted-Evans-Polanyi equations [57, 58, 18]. Computing the effect of interactions requires the detection of energetic patterns, which uses approaches similar to the detection of reaction patterns (subgraph isomorphism). The reaction rate constant of the event is then obtained using the Eyring equa-

tion [59] following standard transition state theory approximations. Detailed discussions on the computation of rates and occurrence times of events are available in our previous publications [17, 5, 11, 37].

In a sequential KMC run, the algorithm first finds the most imminent event listed in procQueue (i.e. the one that results in the smallest advancement of KMC time). A sequence of operations (outlined in Algorithm 1) handle the update of the lattice state, the pertinent data-structures and the KMC time. Thus, the removal of energetic patterns and reaction events whereby the reactants participate, happens first, followed by the removal of the reactants themselves from the lattice. Subsequently, the new energetic interactions are added to the pertinent data-structure. The algorithm proceeds with the detection of the new events that can happen, which are added to procQueue (note that for the correct calculation of the kinetic constants the energetic patterns must have already been detected and stored). In the presence of lateral interactions, the rate constants of events in the neighborhood of the just-executed reaction event must be updated, since changes in the site occupancy will result in different interaction terms pre-versus postreaction. Finally, the KMC time and the step counter are advanced. The execution of events results in the generation of a sequence of several lattice states. Observables of interest, such as species coverages or catalytic activity, are obtained as averages over these states.

#### **Algorithm 1:** Sequential kinetic Monte Carlo algorithm Data: Lattice, energetic clusters/figures, reaction mechanism, temperature, gas phase pressure and composition. **Result:** A trajectory of lattice states. 1 begin Initialize the lattice state *LattState* with all sites empty; 2 Initialize globClusterEnerList with all lattice instances of 3 energetic patterns; Initialize procQueue with all possible lattice events, including 4 occurrence times; while $t_{\rm KMC} \leq t_{\rm KMC}^{\rm final} \wedge {\rm curr\_step} \leq n_{\rm steps} \; {\bf do}$ 5 Find the most imminent event $E_{\text{next}}$ among those in 6 procQueue;Find the lattice adsorbates which participate in $E_{\text{next}}$ as 7 reactants and store them in $LattReacE_{next}$ ; Find the energetic patterns in which the adsorbates of 8 $LattReacE_{next}$ participate and remove them from globClusterEnerList; Find the elementary events in which the adsorbates of 9 $LattReacE_{next}$ participate and remove them from procQueue; for A in $LattReacE_{next}$ do 10 **if** A is referenced in any existing energetic or reaction 11 pattern then Raise a fatal error and stop; 12 13 Remove A from the lattice; 14 end **15** end 16 Add the products of $E_{\text{next}}$ to the lattice; **17** Detect the new energetic patterns in which the products of 18 $E_{\text{next}}$ can participate and add them to GlobClusterEnerList; Detect the new lattice events in which the products of $E_{\text{next}}$ 19 participate and add them to procQueue; Update the rates and the occurrence times of affected events **20** that are in the neighborhood (region of influence) of $E_{\text{next}}$ ; Set $t_{\text{KMC}}$ to the occurrence time of $E_{\text{next}}$ ; increment curr\_step 21 by 1; end **22** 8

23 end

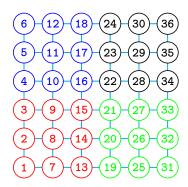


Figure 1: Decomposition of a  $6 \times 6$  square lattice (36 sites in total) into four  $3 \times 3$  domains (each with 9 sites). The sites of a domain are highlighted in the same color. Different colors represent different domains assigned to different PEs.

In a distributed run, the whole lattice is decomposed into subdomains, each of which is assigned to a PE (a processing element (PE) may be a CPU core, or, more generally, an MPI process). A random number stream is instantiated by each PE, either with the use of a distinct initial seed per PE for development/testing purposes, or, more rigorously, with appropriate methods that yield multiple disjoint sub-streams from a long random sequence, e.g. via the "jump-ahead" approach [60]. In addition to the operations just noted for sequential KMC simulations, a distributed KMC run includes additional protocols to handle the communication of boundary-events (sending and receiving messages), appropriate actions in response to a received message, the resolution of causality violations, the computation of global virtual time, and the collective termination of the run. The pertinent operations rely on two additional priority-sorted containers, a message queue (messgQueue) and a state queue (stateQueue). The former stores all incoming and outgoing messages, while the latter stores "KMC states" which are obtained at regular intervals and support the process of resolving causality violations through roll-backs. In the following, we discuss the pertinent datastructures and algorithmic components in detail.

#### 2.2. Domain decomposition

In our implementation, we are dealing with periodic 2D lattices, which can be generated by tiling a unit cell  $N_{\alpha}^{C}$  and  $N_{\beta}^{C}$  times along the two unit cell vectors  $\alpha$  and  $\beta$ , respectively. We choose to work with subdomains encompassing an equal number of unit cells along the  $\alpha$  and  $\beta$  directions,

since we would like to spread the load of communication due to boundary events as equally as possible along the neighbors. As will become clear later, the average frequency of message exchange between PEs depends on the number of sites within their common boundary; the constraint just noted, ensures the same number of sites for boundaries on different sides. Thus, in the example of fig. 1 each unit cell is a square containing one site (for simplicity unit cells are not drawn in the figure), and the lattice contains  $6 \times 6 = 36$  sites in total. In this example, the lattice was partitioned into four  $3 \times 3$  domains, but it could also be partitioned into nine  $2 \times 2$  subdomains, in line with the aforementioned constraint (on the other hand, note that a partitioning into six  $2 \times 3$  subdomains would violate this constraint).

In general, if we need to partition the  $N_{\alpha}^{C} \times N_{\beta}^{C}$  simulation cell into a given number of PEs  $(M_{tot}^{P})$ , we need to find the optimal "PE configuration"  $M_{\alpha}^{P} \times M_{\beta}^{P}$ , which makes use of as many PEs as possible. To this end, we can define the number of unit cells in the "side" of the subdomain, SZ, such that the subdomain will contain  $SZ \times SZ$  unit cells in total, and then try to solve:

minimize 
$$SZ \in \mathbb{N}$$
 subject to:  $N_{\alpha}^{C} = M_{\alpha}^{P} \cdot SZ$  
$$N_{\beta}^{C} = M_{\beta}^{P} \cdot SZ \qquad (1)$$
 
$$M_{\alpha}^{P} \cdot M_{\beta}^{P} \leq M_{tot}^{P}$$

The problem amounts to finding the smallest common divisor of  $N_{\alpha}^{C}$  and  $N_{\beta}^{C}$  which is larger than  $\sqrt{\frac{N_{\alpha}^{C} \cdot N_{\beta}^{C}}{M_{tot}^{P}}}$ .

The domain decomposition just discussed creates non-overlapping subdomains. In the ideal scenario whereby all adsorbate species are mono-dentate, and both the energetic interactions and the reaction events are limited to single-site patterns only, the execution of an event in one domain does not affect any events in other domains. In this case, the KMC simulation is trivially parallel and each PE can proceed independently.

However, most surface phenomena in practical applications include elementary events that involve more than one site, for example diffusion of an adsorbate from one site to another. Such events lead to coupling (causal relations) between the simulated histories of neighboring domains. Coupling may also arise from adsorbate-adsorbate lateral interactions and/or multidentate species, whereby energetic patterns or adsorbate binding patterns spread over multiple domains. To handle such cases, each PE must store and

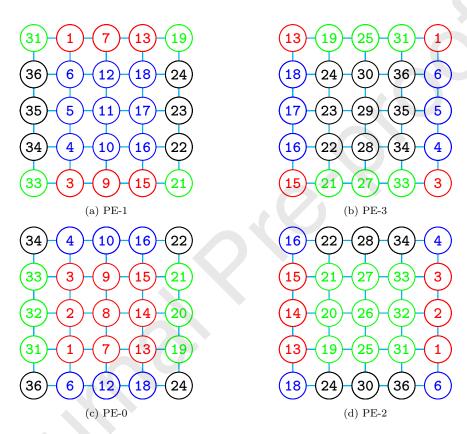


Figure 2: Domain-sites and halo-sites of processes. For example, in fig. 2c, sites colored in red are the domain-sites and sites in other colors are halo-sites of PE-0.

process information about sites that are close to the boundaries but belong to neighboring domains. The region that contains these additional sites is referred to as halo, and the sites themselves are referred to as halo-sites. The width  $\omega$  of the halo (in number of sites) is given by

$$\omega = (d_{max} - 1) + (e_{max} - 1) + (r_{max} - 1) = d_{max} + e_{max} + r_{max} - 3$$
 (2)

where  $d_{max}$  is the maximum denticity among all adsorbate species (the denticity is the number of sites occupied by a species),  $e_{max}$  is the maximum depth among all energetic patterns, and  $r_{max}$  is the maximum depth among all reaction patterns.

As a simple example, let us consider again the  $6 \times 6$  periodic square lattice of fig. 1 and a single mono-dentate adsorbate species. In terms of energetic patterns, let us consider only a single body pattern (with energy contribution equal to the adsorption energy of that species); the maximum depth among energetic patterns is one. If single-site adsorption and desorption are the only elementary events considered in the reaction mechanism, the maximum depth among reaction patterns is also one. The width of the halo (eq. (2)) is zero, and the corresponding domain decomposition of the lattice among four PEs is shown in fig. 1. If, however, the diffusion of an adsorbate from its bound-site to a neighboring site is considered as another elementary event, the maximum depth among reaction patterns is two, and the width of the halo becomes one. The corresponding domain decomposition including halosites is shown in fig. 2.

As in a sequential KMC run, each PE in the distributed run manages a procQueue datastructure that holds all possible KMC events associated with its domain. To avoid duplication of events in the procQueue datastructures of different PEs, we impose the following rule: a PE is permitted to add a detected lattice event to its procQueue only if the lattice site that is mapped to the first site of the reaction pattern is a domain-site (i.e. it is located in the interior of the domain and not in the halo of that PE).

As an example, let us consider the sites that are handled by PE-1 (fig. 2a), for a system with adsorption, desorption and diffusion events in the mechanism. Considering a state whereby all the sites are empty, the possible events are adsorptions on each and every site of the lattice. However, PE-1 adds the adsorption events only associated with its domain-sites (i.e. the sites that are highlighted in blue in fig. 2a). Adsorption events on halo-sites (represented by a color other than blue in fig. 2a) are not the responsibility of PE-1, as

the lattice site that is mapped to the first (and only, in this case) site in the pattern (adsorption) is a halo-site.

Let us now consider the adsorption on site (11) as the most imminent event and execute it. As site (11) is a domain-site of PE-1 and not a halosite of any of the neighboring PEs, adsorption on site (11) is an internal-event. In general, we will use the term "internal-event" to refer to a lattice event that involves only domain-sites of one PE and no halo-sites of any PE. Once the adsorption on site (11) is executed, PE-1 deletes this event from its procQueue, and adds therein five new events which have now become feasible: diffusion of the newly added adsorbate from site (11) to any of its neighboring sites (5), (10), (12), (17) as well as desorption from site (11).

Continuing with our example, let us assume that diffusion from site (11) to site (5) is currently the most imminent event and execute it. Both sites are domain-sites of PE-1, but site (5) is also a halo-site of PE-3; such events that involve at least one halo-site will henceforth be referred to as boundary-events. Whenever such a boundary-event occurs, it has to be communicated, as a message, from the PE that executes it to the neighboring PEs that share any of the lattice sites involved in the boundary-event. Here, in our case PE-1 communicates the boundary-event to PE-3, as site (5) is in the halo of the latter PE. The message contains all the information about the boundary-event (the KMC time thereof, the sites on which it took place, etc.), so that the message receiver can execute that boundary-event at exactly the same KMC time as the message sender. In our example, when PE-3 receives the message about the diffusion of adsorbate onto site (5), it schedules the event and updates the occupancy of site (5) at the appropriate KMC time.

For the seamless operation of a distributed KMC simulation, one thus requires a mechanism for sending and receiving messages "encoding" lattice events, as well as a protocol for acting on a message that was received. The latter is non-trivial; as noted in the introduction, the asynchronous nature of the distributed KMC simulation may result in cases whereby a messaged event should be scheduled *in the past* of the receiving PE. This leads to a causality violation, since the "history" of the receiving PE has no record of that event, or any subsequent events caused by it. Clearly, corrective actions must be taken to resolve the causality violation and re-simulate a KMC trajectory that takes into account the messaged event. The Time-Warp

algorithm addresses such cases and orchestrates the distributed simulation in a self-consistent way. We will thus continue our discussion with an overview of the Time-Warp concept, followed by our implementation of the different core protocols of the algorithm.

# 2.3. Outline of the Time-Warp algorithm

The main challenge that the Time-Warp algorithm addresses, is that a causality violation (due to a messaged event that should be scheduled in the past) may have far-reaching effects. This issue will be discussed in more detail later, but as a motivating example consider the diffusion from site 11 to site 5 of fig. 2, which, as discussed in the previous section, has to be communicated from PE-1 to PE-3. Suppose the timestamp of the diffusion event was 1.3 ns while the KMC time of PE-3 was already 2.1 ns when the message about that diffusion was received. Clearly, PE-3 will have to roll-back to a time just before 1.3 ns, discard the history simulated from that time-point onward, schedule the aforementioned diffusion event and simulate any ensuing events.

The problem is that the discarded history may contain events that were messaged to other PEs e.g. an adsorption event on site (28) at time 1.5 ns, communicated to PE-2. Suppose that the latter PE is at KMC time 2.0 ns and we have an effective way of communicating an "undo" action for this adsorption. In turn, while PE-2 is correcting its history from 1.5 to 2.0 ns, it may have to communicate similar "undo" actions to other PEs. In the worst-case scenario, the "original" causality violation (due to the first message encoding a past event) leads to cascade of such violations that require corrective actions at a global level, i.e. involving all PEs. In practice, however, the effects of a causality violation usually do not extend beyond a certain limited range (dependent on the system simulated).

Thus, the objective of the Time-Warp algorithm is to resolve causality violations by using as much as possible "local" operations, i.e. computing or data-storing operations carried out in private by a PE, or messaging operations that involve only "neighboring" PEs. With these key concepts in mind, we can now discuss the main elements of the Time-Warp algorithm, and the implementation thereof to GT-KMC simulations. Thus, the main data-structures of Time-Warp KMC simulations are:

• Messages and anti-messages for the communication of boundary-events:

- A message is an instruction for the receiver to schedule and execute the corresponding event at the appropriate time.
- An anti-message is an instruction for the receiver to undo the event of a previously received message. A message always precedes the corresponding anti-message.
- Two queues storing information about events, maintained by each PE:
  - A process queue (*procQueue*) that stores information about events that are associated with the domain-sites of the PE.
    - \* As mentioned before, only events in which the lattice site that is mapped to the first site of the reaction pattern is a domain-site are added to the PE's *procQueue*.
    - \* Events in which none of the sites involved belongs to the halo of any PE are referred to as internal-events and do not need to be communicated.
    - \* Events in which at least one halo-site is involved are referred to as boundary-events. A boundary-event needs to be communicated to the neighboring PEs to which the involved halo-sites belong.
  - A message queue (messgQueue) that stores information about boundary-events communicated among neighboring PEs as messages. The boundary-events that are received by a PE are referred to as "foreign-events", to distinguish them from the boundaryevents that are sent by that PE to others.
- A time-sorted queue of KMC states (*stateQueue*), stored by each PE to support the re-instating of an old KMC state in case of a roll-back due to a causality violation.

The relevant procedures of Time-Warp KMC simulations are summarized as follows:

- In each iteration of the main KMC loop, a PE checks for incoming messages or anti-messages.
  - If a *message* is received with time-stamp greater than the KMC time of the receiver PE (i.e. "encodes" a future event), it is added to the receiver's *messqQueue*.

- If an anti-message with a future action is received, the corresponding message is deleted from the receiver's messgQueue.
- On the other hand, received messages or anti-messages that encode actions in the past are referred to as "stragglers" and cause causality violations. The straggler with the lowest time-stamp is called "priority-straggler". Handling stragglers is done in a similar way to what was discussed above, with the additional corrective actions necessary to restore causality in the KMC history.
- When causality violations occur they have to be handled appropriately:
  - A PE performs a roll-back in time, thereby reinstating a KMC state that has time-stamp less than that of the priority-straggler.
  - During this roll-back, the PE issues anti-messages for all the no longer valid messages (i.e. the previously sent messages that have time-stamp greater than the priority-straggler's time-stamp).
     Once the anti-messages are sent, the PE deletes the corresponding messages from its messageueue.
  - The PE also deletes any KMC states that have time-stamp greater than or equal to the time-stamp of the priority-straggler, from its stateQueue.
  - Finally, the PE re-simulates the KMC history accounting for the newly received message(s) or anti-message(s).
- In each iteration of the main KMC loop, a process executes the first among: (1) the imminent event from its *procQueue* and (2) the imminent foreign-event from its *messgQueue*.
- If the most imminent event is a boundary-event, the PE communicates it as a message, to the neighboring PEs that share any of the sites involved therein. The occurrence time of the boundary-event is communicated as the message's time-stamp.
  - The message being sent is stored in the sender's *messgQueue*, so that if/when needed, in the case of a causality violation, the corresponding anti-message can be sent.

In the next few sections we will discuss these procedures in more detail, starting with the implementation of message handling.

Field name	type
sender	integer
receiver	integer
time-stamp	double
$\operatorname{sign}$	bool
eventtype	integer
nsites	integer
sites(:)	integers
propensity	double
$\Delta E_{ m rxn}$	double

Table 1: Message data-structure

# 2.4. Messages and message queue data-structures

Messages in the Time-Warp GT-KMC encode adsorption, desorption, reaction or diffusion events that occur on the lattice, around the boundaries of domains (more precisely, involve at least one halo site). A message is implemented as a data-structure (table 1) with the following fields: sender and receiver (integers giving the ranks of the corresponding PEs), timestamp (double: the KMC time when the lattice event occurred), sign (boolean variable, true for a message, false for an anti-message), eventtype (an integer giving the type of elementary event, e.g.  $O_2$  adsorption, CO oxidation etc.), nsites and sites (integers: the count of sites involved in the event and the lattice site numbers thereof), and finally propensity and  $\Delta E_{rxn}$  (doubles: the rate constant and the reaction energy of the lattice event).

Every PE maintains a time-sorted, or more generally priority-sorted, message queue (messgQueue) to handle sent and received messages. The message queue is implemented as a doubly linked list [61] in which messages are stored in the nodes. Each node in messgQueue also contains a forward and a backward pointer, which point to the queue's next message and previous message, respectively. Insertion of a node/message, deletion of a node/message, and traversal of the queue are the procedures associated with messgQueue. The queue also contains a pointer to the first received message that has not yet been executed, which represents the most imminent foreign-event.

#### 2.5. Sending and receiving messages

The preparation of the message to be sent, makes use of a sequence of calls to the MPI\_Pack subroutine, in order to "pack" the message datatype (table 1) to contiguous memory. To ensure the robustness and efficiency of our implementation, sending and receiving of messages happens in synchronous mode, but with the use of non-blocking MPI subroutines. This way, we have complete control of message buffering as per Algorithms 2 and 3, and at the same time we prevent deadlocks. Thus, the sending of a message starts with the MPI\_Issend subroutine (line 15 of Algorithm 3), implementing a non-blocking (I) synchronous (s) send. For each initiated communication request, MPI\_Issend provides a request-handle that can subsequently be used by subroutine MPI\_Test (line 7 of Algorithm 2) to assert whether the corresponding message has been received. The sending of a message is complete only when MPI\_Test verifies the receipt thereof. In the meantime (i.e. from the start of the sending with MPI\_Issend till successful receipt verified by MPI\_Test), the message has to be retained in a buffer, along with the corresponding MPI communication request-handle.

**Algorithm 2:** Procedure for verifying receipt of buffered messages and finding first empty slot in message buffer

```
Data: n_{\text{buffered}}: number of buffered messages to test.
            MPI_SendRegs(:): 1-d array storing the MPI communication
            request-handles.
            t_{\text{buffered}}(:)(\text{double}): 1-d array of time-stamps of buffered
            messages
   Result: lavail_free_slot(bool): true if there is an available slot in the
              message buffer, false otherwise
              idx_first_free_slot(integer): the first free slot in the buffer (0)
              if no free slot exists).
              updated t_{\text{buffered}}(:)
 1 begin
       Initialize lavail\_free\_slot = false;
        Initialize idx_first_free_slot = 0;
 3
       for i_{\text{message}} = 1, n_{\text{buffered}} do
 4
           Set message\_sent = false;
 5
           // If t_{
m buffered}\left(i_{
m message}
ight)=\infty , either the slot i_{
m message} in
                the buffer has not yet been filled with a
                message or the receipt of a previously buffered
                message in this slot was verified, and the
                time-stamp was reset to \infty.
                                                        Otherwise:
           if t_{\text{buffered}}(i_{\text{message}}) < \infty then
 6
                call MPI_Test(MPI\_SendRegs(i_{message}), message\_sent);
 7
                if message_sent then
 8
                    t_{\text{buffered}} (i_{\text{message}}) = \infty;
 9
                    if \neg lavail\_free\_slot then
10
                        lavail\_free\_slot = true;
11
                        idx_{first_free_slot} = i_{message};
12
                    end
13
                end
14
           end
15
       end
16
17 end
```

```
Algorithm 3: Procedure for buffering a message and initiating a send request
```

```
Data: M (message data-structure of table 1): the message to be sent
           n_{\text{buffered}} (integer): the number of send-message requests stored in
           the buffer (up to n_{\text{buffered}}^{max}, which is the buffer size).
           MPI\_SendBuffer(:,:): the buffer (2-d array) of messages
           MPI_SendRegs(:): 1-d array storing MPI communication
           request-handles
           t<sub>buffered</sub>(:)(double): 1-d array containing time-stamps of buffered
           messages
           messqQueue (doubly linked list): priority-sorted queue of messages
   Result: updated n_{\text{buffered}}, n_{\text{buffered}}^{max}, MPI\_SendBuffer, MPI\_SendReqs,
             t_{\text{buffered}}, messgQueue
 1 begin
       // Expression "M.sign" is true for message, false for
           anti-message
 2
       if M.sign then
           Insert the message in sender PE messaQueue; // in case a
 3
               corresponding anti-message needs to be sent later
               upon a roll-back.
       end
 4
       Execute Algorithm 2; // returns lavail_free_slot = true if
 \mathbf{5}
           the buffer has free slots and idx_first_free_slot as the
           index of the first free slot
       if \neg lavail\_free\_slot then
 6
           if n_{\text{buffered}} == n_{\text{buffered}}^{max} then
 7
               Increase the size of buffer arrays by 100 elements;
 8
                   update n_{\text{buffered}}^{max}; // memory amortization
           end
 9
           n_{\text{buffered}} = n_{\text{buffered}} + 1;
10
           idx_first_free_slot = n_{buffered};
11
12
13
        Update array element t_{\text{buffered}}(idx\_first\_free\_slot) with the time-stamp
        of the message;
        Copy message to buffer slot MPI_SendBuffer(:,idx_first_free_slot)
14
         using a sequence of calls to MPI_Pack;
       Call MPI_Issend with buffer-slot
15
         MPI\_SendBuffer(:,idx\_first\_free\_slot); store the returned
         request-handle into MPI_SendRegs(idx_first_free_slot);
16 end
```

To this end, buffered messages are stored in a two-dimensional array,  $MPI\_SendBuffer$ , of size  $MessageSize \times n_{\mathrm{buffered}}^{max}$ , which is complemented by two additional one-dimensional arrays,  $MPI\_SendReqs$  and  $t_{\mathrm{buffered}}$  with size  $n_{\mathrm{buffered}}^{max}$ . The latter variable denotes the maximum number of messages that can be buffered at a given time, while  $MPI\_SendBuffer$  corresponds to the maximum memory that any message would need, which scales with the maximum length of the reaction patterns. Array  $MPI\_SendReqs$  contains the MPI communication request-handles, while  $t_{\mathrm{buffered}}$  contains the time-stamps of the buffered messages.

The latter array is useful for checking quickly if a buffer slot is empty. Thus, the elements of  $t_{\text{buffered}}$ , are initialized to  $\infty$ , and whenever a message is buffered to  $MPI\_SendBuffer(:,i_{\text{message}})$ , the corresponding element  $t_{\text{buffered}}(i_{\text{message}})$  is updated with the message's time-stamp (line 13 of Algorithm 3). At any later point, if  $\mathbf{MPI\_Test}$  returns true for the request-handle  $MPI\_SendReqs(i_{\text{message}})$ , i.e. successful receipt, the corresponding timestamp  $t_{\text{buffered}}(i_{\text{message}})$  is reset to  $\infty$  (lines 6-15 of Algorithm 2). Consequently, at any point in the KMC simulation,  $t_{\text{buffered}}(i_{\text{message}}) = \infty$  means that the corresponding elements  $MPI\_SendBuffer(:,i_{\text{message}})$  and  $MPI\_SendReqs(i_{\text{message}})$  are free to be used for a new communication request. The aforementioned arrays ( $MPI\_SendBuffer$ ,  $MPI\_SendReqs$  and  $t_{\text{buffered}}$ ) are memory-amortized, i.e. their sizes can be increased on the fly, as necessary. In our implementation we increase the size of the buffer arrays by 100 elements when they get filled-up (lines 7-9 of Algorithm 3); other strategies are also possible, e.g. increasing the size by a multiplicative factor.

Hence, whenever a PE needs to send a message (or anti-message) to any of its neighboring PEs, it invokes Algorithm 3. First the sign of the message is checked and if this is positive, i.e. the PE is sending a message and not an anti-message, the message is stored in the messgQueue (section 2.4) based on its priority (anti-messages on the other hand, annihilate existing messages but are not stored; this is discussed in subsequent sections). Then, Algorithm 2 is invoked, which loops over the first  $n_{\text{buffered}}$  messages and checks which, if any, have been received, thereby opening a buffer slot. If available, the first of these slots is passed onto Algorithm 3, otherwise slot  $n_{\text{buffered}} + 1$  is used (of course Algorithm 3 checks if the buffers are full on line 7, and allocates more space if needed). In the end, the message is copied in the buffer arrays and the send request is initiated with **MPI\_Issend** (line 15).

Having discussed the procedures invoked by a sender PE, we now continue to describe what happens on the receiving end. Thus, in every itera-

tion of the KMC loop, Algorithm 4 is invoked, by which PEs first probe for new messages using **MPI\_Iprobe** and, if there are any, they receive them with **MPI\_Recv** (lines 4 and 6 in Algorithm 4). Note that **MPI\_Recv** is a blocking subroutine, which, however, is called *only* if there are new messages, thereby avoiding deadlocks. The received messages are unpacked using a sequence of **MPI\_Unpack** calls invoked in line 7 of Algorithm 4 and handled as necessary: messages are stored in messgQueue, while anti-messages annihilate existing messages therein. The minimum value among the time-stamps of all received messages is stored in  $t_{received}^{min}$  (line 13 in Algorithm 4; note that  $t_{received}^{min}$  is reset to  $\infty$  at the beginning of Algorithm 4 in line 2).

The value of the latter variable is critical in detecting potential causality violations. Therefore, in an iteration of the KMC loop, there are two possibilities for the relation between KMC time of a process  $(t_{\rm KMC})$  and  $t_{\rm received}^{min}$ . The first possibility is  $t_{\rm received}^{min} > t_{\rm KMC}$ , whereby all received messages in the current iteration are in the PE's future. In this case, there is nothing further to be done by the receiver PE at this point. All the received messages are already inserted in messgQueue based on their priority. The second possibility is  $t_{\rm received}^{min} \leq t_{\rm KMC}$ , whereby at least one received message in the current iteration is in the PE's past. This constitutes a causality violation. Received messages that are in the past are referred to as stragglers [62], and the straggler with the highest priority (lowest time-stamp,  $t_{\rm received}^{min}$ ) is referred to as the priority-straggler.

Resolving a causality violation entails discarding the "wrong" history and restoring an earlier KMC state whose KMC time is before the time-stamp of the priority-straggler. Thus, the simulation effectively "goes back in time". Then, re-simulating the KMC trajectory considering the newly received straggler messages would be relatively straightforward, since these messages are now in the "future". Of course, one has to be careful to send anti-messages as appropriate to other PEs, in order to "undo" messaged events that fall within the discarded history. The pertinent procedures are discussed in detail in the next section.

**Algorithm 4:** Procedure for probing for and receiving incoming messages

```
Data: messgQueue (doubly linked list): queue containing messages
   Result: t_{\text{received}}^{min} (double)
              updated messqQueue
 1 begin
       Initialize t_{\text{received}}^{min} = \infty; lreceive = \text{true};
 \mathbf{2}
       while lreceive do
 3
           call MPI_Iprobe(..., lreceive); // check for incoming
 4
               message
           if \neg lreceive then exit while loop;
 \mathbf{5}
           call MPI_Recv(...) to receive incoming message (or
 6
            anti-message) M;
           Retrieve message data with a sequence of calls to
 7
            MPI_{-}Unpack(...);
           if M.sign then
 8
               Insert the received message M in the messgQueue;
           else
10
               Find and delete the message corresponding to the received
11
                anti-message M;
           end
12
           // t_{
m message} is the time-stamp of the received message
                (or anti-message)
           if t_{\text{message}} < t_{\text{received}}^{min} then t_{\text{received}}^{min} = t_{\text{message}};
13
       end
14
15 end
```

**Algorithm 5:** Procedure to check if there is a causality violation, and, if so, perform roll-back and prepare/update for rollback-propagation mode.

```
Data: t_{\rm KMC} (double): current KMC time
                t_{\mathrm{KMC}}^{\mathrm{final}} (double): user-specified final KMC time
                t_{\text{received}}^{min} (double): minimum value among time-stamps of all
                the received messages in the current iteration of the KMC
                loop
                rollback-propagation (bool): true if the PE is in
                rollback-propagation mode, false otherwise
                t_{
m rollback-propagation}^{
m target} (double): the KMC time just before which
                the rollback-propagation should terminate
                stateQueue (doubly linked list): queue containing stored
                KMC states
    Result: updated t_{\text{KMC}}, rollback-propagation, t_{\text{rollback-propagation}}^{\text{target}}, and
                   stateQueue
 1 begin
          \mathbf{if} \,\, t_{\mathrm{received}}^{min} < t_{\mathrm{KMC}}^{\mathrm{final}} \,\, \mathbf{then} \ \mathbf{if} \,\, t_{\mathrm{received}}^{min} \leq t_{\mathrm{KMC}} \,\, \mathbf{then} \ \mathbf{if} \,\, t_{\mathrm{received}}^{min} \leq t_{\mathrm{KMC}} \,\, \mathbf{then}
 \mathbf{2}
  3
                     Set rollback-propagation = true;
  4
                     Set t_{\text{rollback-propagation}}^{\text{target}} = t_{\text{received}}^{min};
  \mathbf{5}
                     Delete KMC states that have time-stamp greater than or
  6
                       equal to t_{\text{received}}^{min};
                     Reinstate the KMC state from stateQueue that has
  7
                       time-stamp less than and closest to t_{\text{received}}^{min};
               else
 8
                     if rollback-propagation \land t_{
m received}^{min} < t_{
m rollback-propagation}^{
m target} then
  9
                       t_{\text{rollback-propagation}}^{\text{target}} = t_{\text{received}}^{min};
               end
10
          end
11
12 end
```

#### 2.6. Resolving causality violations

Whenever a causality violation occurs, the KMC timeline of the PE from  $t_{\rm received}^{min}$  (the time-stamp of the priority-straggler) onwards is invalid, as the PE had been unable to take into account the events of stragglers. To resolve the causality violation, the timeline of events has to be re-winded (via a roll-back procedure), to a time before  $t_{\rm received}^{min}$ , and re-simulated while accounting for the newly received messages. This is achieved by reinstating an old KMC state from stateQueue that has time-stamp  $t_{\rm state}^{\rm received}$  (we will refer to this as a "safe" KMC state), and then performing rollback-propagation from this new  $t_{\rm KMC}$  (=  $t_{\rm state}^{\rm recinstate}$ ) to just before  $t_{\rm received}^{min}$ . Of course, the PE performing the roll-back has to also send out anti-messages for each and every previously sent message that has time-stamp greater than or equal to  $t_{\rm received}^{min}$ . These anti-messages ensure that other PEs make the necessary corrections to their simulated histories, so that a globally consistent simulation is eventually achieved.

# 2.6.1. State queue and the associated roll-back procedure

To be able to reinstate a safe KMC state when resolving causality violations, each PE maintains a time-sorted, or more generally priority-sorted, state queue (stateQueue), into which KMC states are stored at regular intervals, e.g. at every 1000 KMC steps. These intervals are adaptive and not necessarily identical among PEs. Similar to the messgQueue, stateQueue is also implemented as a doubly linked list. A node in the stateQueue holds all the necessary information that is needed to repeat/resume the KMC simulation from that earlier time point. This information includes data-structures such as the lattice state, the process queue, the lists of on-lattice events and lateral interaction patterns, but also the current positions (offsets) of output files (since KMC output will also have to be rewound and re-written.

To treat a causality violation that resulted from a priority-straggler with time-stamp  $t_{\rm received}^{min}$ , the PE reinstates the KMC state with time-stamp  $t_{\rm state}^{\rm reinstate}$  less than but also as close as possible to  $t_{\rm received}^{min}$ . After this KMC state has been reinstated, the KMC time is  $t_{\rm KMC} = t_{\rm state}^{\rm reinstate}$ . The PE subsequently deletes the KMC states that have time-stamps greater than or equal to  $t_{\rm received}^{min}$  in order to free up the associated memory, as these states are no longer valid in view of the causality violation in discussion. During the simulation, it is very likely that the allocated memory for stateQueue gets filled up with the saved KMC states, before any invalid states are deleted. In such a scenario, every other element in stateQueue is deleted to free up memory for new KMC

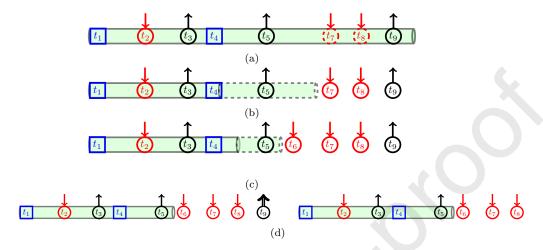


Figure 3: Procedures involved in resolving a causality violation. Pipelines represent KMC time lines. Squares represent KMC state snapshots saved in *stateQueue*. A circle with an inward arrow represents a received message (foreign-event), whereas a circle with an outward arrow represents a sent message (boundary-event). Moreover, a dashed circle with an inward arrow represents a straggler (message with timestamp in the past), while a circle with a double-arrow represents an antimessage. All the messages are stored in the PE's messagueue. Finally, a dashed pipeline represents rollback-propagation. (a) The PE receives two stragglers that have time-stamps  $t_7$  and  $t_8$ , and the straggler with the lowest time-stamp  $(t_7)$ is the priority-straggler. (b) The PE reinstates the KMC state that has timestamp  $t_4 (< t_7)$ , and initiates the rollback-propagation which is supposed to terminate just before executing the priority-straggler (just before  $t_7$ ). (c) During the rollback-propagation, the PE receives a new message that has time-stamp  $t_6$ . As  $t_{\rm KMC} < t_6 < t_7$ , the condition for the termination of ongoing rollback-propagation is updated to just before  $t_6$ . (d) During the rollback-propagation, the PE does not send messages for the boundary-event with time-stamp  $t_5$ , as it was already sent out in the earlier normal-propagation (a). Once the rollback-propagation is finished, just before  $t_6$ , the PE sends out anti-messages for each and every previously sent messages that have time-stamp greater than  $t_6$ . Here, the PE does this for the message with time-stamp  $t_9$  (left panel) and then deletes this message from its messqQueue (right panel).

states (we thus "sparsify" the linked list). This way, there is always a safe KMC state to roll-back to for any possible causality violation.

## 2.6.2. Rollback-propagation

After reinstating the safe KMC state, the PE enables rollback-propagation mode, aiming at re-simulating the timeline between  $t_{\rm KMC}$  and  $t_{\rm rollback-propagation}^{\rm target}$ (see fig. 3b);  $t_{\text{rollback-propagation}}^{\text{target}}$  is the time-stamp of the priority-straggler. During rollback-propagation, the PE executes lattice events (thereby "propagating the KMC state") in almost exactly the same way as under "normal propagation" mode. The only difference is that during rollback-propagation, the PE does not send out messages, even if it executes boundary-events, since the corresponding messages have already been sent out in the firstever "normal propagation" of the timeline. Rollback-propagation terminates when the PE is about to execute the priority-straggler event, i.e. when  $t_{\rm KMC}^+ \geq t_{\rm rollback-propagation}^{\rm target}$ , where  $t_{\rm KMC}^+$  is the KMC time of the next lattice event. It is important to note that during rollback-propagation, the PE continues to probe for and receive messages in every iteration of the KMC loop. In any iteration of the KMC loop in rollback-propagation, if  $t_{\rm KMC}$  <  $t_{\text{received}}^{\text{min}} < t_{\text{rollback-propagation}}^{\text{target}}$ , the time before which rollback-propagation will terminate is updated,  $t_{\text{rollback-propagation}}^{\text{target}} = t_{\text{received}}^{min}$  (line 9 in Algorithm 5; see also fig. 3c).

#### 2.6.3. Roll-back of Message queue and anti-messages

As discussed in the introductory paragraph of this section, already-sent messages that have time-stamp greater than or equal to  $t_{\rm rollback-propagation}^{\rm target}$ , are no longer valid and the corresponding events need to be undone. To this end, the PE traverses messgQueue, sends anti-messages for each and every previously sent message that has become invalid, and deletes these messages from messgQueue (fig. 3d). Communication of anti-messages is similar to the communication of messages; after all, the same data-structure (table 1) is used for both, with the only difference being the value of the "sign" field thereof (true for message, false for anti-message). However, handling these two entities differs: upon receipt of a message, a PE inserts it in its messgQueue. On the contrary, upon receipt of an anti-message, a PE finds and deletes the corresponding message from its messgQueue. Note that anti-messages can also be stragglers and result in causality violations.

Schematics of the procedures involved in resolving causality violation from the point of view of a single PE and multiple PEs are presented in fig. 3 and fig. 4, respectively. The pertinent algorithmic elements will be discussed later in the context of the overall distributed KMC algorithm.

# 2.6.4. Roll-back of KMC output

In addition to rewinding the stateQueue and messgQueue, one also needs to overwrite the content of output files that is no longer valid, i.e. any KMC output that corresponds to time greater than equal to  $t_{\rm rollback-propagation}^{\rm target}$ . To achieve this, the output file positions are rewound/rolled-back to the positions stored as part of the KMC state snapshot corresponding to  $t_{\rm state}^{\rm reinstate}$ . Thus, when restoring the earlier "safe" KMC state, the output files are repositioned, so that any new output overrides the invalid old output.

#### 2.7. Global virtual time

As discussed in subsection 2.3, a message encoding a past event may result in a cascade of causality violations, which, in the worst-case scenario, would necessitate corrective actions by all PEs in the distributed simulation. At any given moment during the simulation, the smallest possible time-stamp for such a message is equal to the minimum KMC time among all PEs, assuming of course that no other/previous messages are pending (the more general case will be discussed shortly). Hence, any KMC states generated by the simulation before that time are mutually consistent (thereby following the statistics of the master equation) and will never be discarded during a rollback. This observation leads naturally to the concept of the global virtual time (GVT), which serves as a measure of the progress of distributed KMC runs, similar to the KMC time of a serial run. The GVT is useful as a criterion for terminating the KMC simulation, but also for discarding information that is no longer needed (i.e. KMC state snapshots or messages pertinent to times earlier than the GVT value). Below we will discuss in more detail these two points and their implementation in Time-Warp GT-KMC.

#### 2.7.1. GVT computation and distributed run termination

The computation of the GVT accounts for the KMC times of all PEs but also the timestamps of messages/anti-messages that are in transit i.e. messages that are buffered but not (yet) validated as received (see Algorithm 2 and pertinent discussion in section 2.5). Considering the latter is necessary, since it is not known whether such messages have been acted upon yet, thereby potentially setting the KMC time of a PE to an earlier time. Thus, focusing on a single PE, the minimum among the time-stamps of buffered

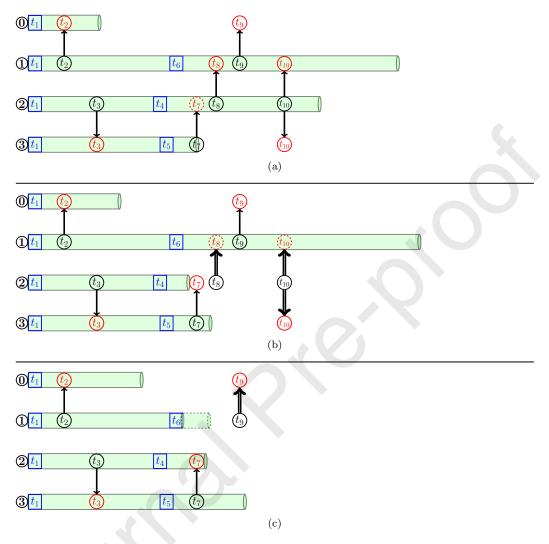


Figure 4: Schematic for different elements in resolving causality violations among multiple PEs. Pipelines represent KMC timelines, and rectangles represent KMC states saved in stateQueue. Circles with inward and outward arrows represent received and sent messages, respectively. Circles with double-arrows represent anti-messages. Dashed-circles represent stragglers. (a) PE-2 receives a (priority-)straggler with time-stamp  $t_7$ , sent by PE-3, and commits a causality violation, as the message is in its past. (b) PE-2 reinstates the first state  $(t_4)$  before the time of the priority-straggler  $(t_7)$ , and performs rollback propagation that terminates just before  $t_7$ . The PE(-2) also issues anti-messages to the previously sent messages that have lower priority than the priority-straggler; messages with time-stamps  $t_8$  and  $t_{10}$ . (c) As PE-1 received anti-messages (that have time-stamps  $t_8$  and  $t_{10}$ ) as stragglers in (b), it reinstates the KMC state that has time-stamp  $t_6$  and initiates rollback-propagation that terminates just before  $t_8$  (time-stamp of the priority-straggler). Note that PE-1 issues the necessary anti-messages (here for the message that has time-stamp  $t_9$ ) after completing the rollback-propagation.

messages  $t_{\rm buffered}(:)$  is evaluated and stored in  $t_{\rm buffered}^{min}$ . The two variables just noted are local to a PE. The minimum among  $t_{\rm KMC}$  and  $t_{\rm buffered}^{min}$  is defined as the local virtual time (LVT) (line 7 in Algorithm 6). In turn, the GVT is defined as the minimum among the LVTs of each and every PE in the distributed run. Computing the GVT is thus a global operation and is done at regular, pre-chosen, time intervals by invoking the  $MPI\_AllReduce$  function (line 8 in Algorithm 6).

```
Algorithm 6: GVT (Global virtual time), "fossil collection" and termination status of the distributed run
```

**Data:**  $\tau_{\text{prev}}$  (double): clock time when the last GVT computation was performed. At the beginning of the simulation,  $\tau_{\text{prev}}$  is initialized to zero.

 $\Delta \tau_{\text{GVT}}$  (double): clock time interval for GVT computation, as prescribed in the input.

**Result:** GVT (double), updated  $\tau_{\text{prev}}$  (double) and  $t_{\text{buffered}}(:)$  (1-d array of doubles)

```
1 begin
        Call system clock function to obtain \tau_{\text{curr}}, the current clock time;
 2
        Set \Delta \tau = \tau_{\rm curr} - \tau_{\rm prev};
 3
        if \Delta \tau > \Delta \tau_{\rm GVT} then
 4
            Invoke Algorithm 2 to update the status of buffered messages;
 5
            Set t_{\text{buffered}}^{min} = min(t_{\text{buffered}}(:));
 6
            Set LVT = min(t_{KMC}, t_{buffered}^{min});
 7
            Perform a global communication by invoking
 8
              MPI\_AllReduce(LVT) to obtain GVT = min(LVT);
            Set \tau_{\text{prev}} = \tau_{\text{curr}};
 9
            if GVT \ge t_{\mathrm{KMC}}^{\mathrm{final}} then exit main KMC loop and terminate
10
              distributed run;
            Delete obsolete KMC states from stateQueue;
11
            Delete obsolete messages from messqQueue;
12
13
        end
14 end
```

As mentioned earlier, calculating the GVT is useful for terminating the distributed KMC run. In general, terminating distributed simulations can be complicated [63], contrary to sequential runs. Thus, in a sequential KMC run, the termination criterion is straightforward: reaching the specified maximum KMC time marks the end of the simulation. In a distributed run, termination detection must be a global process similarly to how the GVT is computed. Thus, given the desired final KMC time,  $t_{\text{KMC}}^{\text{final}}$ , the distributed run is terminated when GVT is greater than  $t_{\text{KMC}}^{\text{final}}$  (line 10 in Algorithm 6). In a distributed run, it may happen that one or more PEs reach the specified maximum KMC time and appear to have terminated locally. However, other PEs may have not finished yet, and therefore the run should not be terminated globally. In this case, the PEs that have terminated locally continue iterating within the main KMC loop but only for the purposes of probing for and receiving any messages, and also making sure all the buffered messages are sent (line 9 in Algorithm 7). It is critical that these PEs do not exit the main KMC loop, because it is certainly possible that they will receive a message with time-stamp less than  $t_{\rm KMC}^{\rm final}$ , thereby committing a causality violation and having to roll-back.

# 2.7.2. Recovery of memory by deleting obsolete KMC states and messages

Since the GVT is the minimum of the LVTs of all PEs, it is guaranteed that a priority-straggler (message or anti-message) will not have time-stamp strictly less than GVT (note that it is still possible for the time-stamp to be equal to GVT and lead to a causality violation). Consequently, in state-Queue one needs to retain only the last KMC state before the GVT, whose timestamp will be denoted with  $t_{\rm state}^{\rm GVT-}$ , and of course all subsequent states. On the other hand, any state with time-stamp less than  $t_{\rm state}^{\rm GVT-}$  can be safely discarded to free up the associated memory (line 11 in Algorithm 6).

Similarly, no longer needed messages can be deleted as well, to free up memory (line 12 in Algorithm 6). Such obsolete messages have time-stamp less than  $t_{\rm state}^{\rm GVT-}$ , since, if the last KMC state just before GVT is restored, one will need all messages received from  $t_{\rm state}^{\rm GVT-}$  onward, to correctly resimulate the timeline upon rollback-propagation. The process of recovering memory by deleting the obsolete KMC states and messages is referred to as "fossil collection" [62].

#### **Algorithm 7:** Distributed KMC algorithm (executed by each PE)

**Data:** Lattice, energetic clusters/figures, reaction mechanism, and simulation conditions, such as temperature, pressure and gas phase mole-fractions.

**Result:** A trajectory of lattice states.

```
begin
 1
       Initialize GlobClusterEnerList and add all domain instances of energetic
 2
         patterns to the list;
       Initialize procQueue with all possible domain processes along with their
 3
         random occurrence times;
       Initialize rollback-propagation = false;
 4
       while True do
 5
            Invoke Algorithm 4 to probe for and receive incoming messages;
 6
            Invoke Algorithm 5 to check for causality violation and act accordingly,
             or update the information for rollback-propagation, if necessary;
            Invoke Algorithm 6 to compute GVT and decide on run termination;
            if t_{\rm KMC} \geq t_{\rm KMC}^{\rm final} then cycle ;
            if rollback-propagation \land t_{\mathrm{KMC}}^+ \ge t_{\mathrm{rollback-propagation}}^{\mathrm{target}} then
10
                Set rollback-propagation = false;
11
                Issue anti-messages for each and every previously sent message that
12
                  has time-stamp greater than or equal to t_{\text{rollback-propagation}}^{\text{target}} ;
            \mathbf{end}
13
            Obtain the most imminent event E_{\mathrm{next}} among procQueue and
14
              messqQueue;
            if \neg rollback-propagation then
15
                if E_{next} is foreign-event and is not feasible then cycle;
16
                Set boundary-event = false;
17
                if E_{next} is from procQueue and involves at least one halo-site then
18
                  set boundary-event = true;
                Store a KMC state snapshot in stateQueue, if timely;
19
            end
20
            Execute the most imminent event;
21
            if ¬ rollback-propagation ∧ boundary-event then invoke Algorithm 3
22
             for every PE that has a halo-site affected;
            Execute steps 7-21 of Algorithm 1; // update operations for the
23
                assigned domain as per the sequential KMC algorithm
       end
24
25 end
```

Due the requirement to save simulation states, the Time-Warp algorithm can be quite memory intensive. Thus, freeing up memory after every GVT computation block is critical. Note, however, that, in our implementation, GVT computation is achieved through global communication, which can limit the performance if invoked very frequently, especially if the number of PEs is large (for alternative approaches see e.g. Mattern [64]). The choice of the frequency of GVT computation, evidently, is an optimization problem and further investigations on this topic will be part of our future work. In the current implementation, a clock time interval is prescribed as part of the simulation input and GVT computation is invoked at regular such intervals.

# 2.8. Distributed KMC algorithm

The distributed KMC driver is summarized in Algorithm 7, which has been implemented in Zacros and makes use of the Time-Warp algorithm protocols previously discussed. An additional important technical point pertains to the feasibility check for the most imminent event (line 16). In a sequential run, the PE never encounters a situation where an imminent event is infeasible. However, in a distributed run, it is possible that the most imminent event is a foreign-event  $E_2$  that could depend on another foreign-event  $E_1$ , but the corresponding message of  $E_1$  may have not yet been received by the PE. In this case, the most imminent event  $(E_2)$  is infeasible and prevents the PE from progressing forward. It is important to note that this is only a temporary setback (the Time-Warp algorithm by conception prevents deadlocks [43]). To address it, the PE cycles the KMC loop keeping the KMC state "as is" until the infeasibility is resolved (line 16 in Algorithm 7). The situation gets resolved in two possible ways, either by receiving a message for the foreign-event  $E_1$  which has higher priority than the infeasible foreign-event, or by receiving an anti-message for the infeasible foreign-event  $(E_2)$ .

As an example, let us consider the following scenario to demonstrate how event infeasibility may arise. The scenario involves events on sites 15 and 21, which are shared by all four PEs (see fig. 2). Initially, site 21 is occupied with a mono-dentate adsorbate and all other sites, including 15, are empty. PE-2 executes a diffusional hopping of the adsorbate from site 21 to site 15, and, as this is a boundary-event, communicates the event as a message to each of the other PEs. The order by which the other PEs receive these messages is unknown. It is thus possible that PE-0 receives the

corresponding message first and executes a consequent event, desorption of the adsorbate from site (15). Clearly, PE-0 has to communicate the desorption event just executed to all other PEs since site (15) is in their halos. It is now possible that PE-1 receives the message about the desorption from PE-0 before receiving the message about the diffusion from PE-2. In this case, the foreign-event that PE-1 would try to execute next (the desorption) is infeasible, as there is no adsorbate on site (15) yet. PE-1 will have to continue iterating the KMC loop until it receives the message about the diffusion from PE-2, so as to resolve the infeasibility problem.

# 2.9. Parallel-emulation

Implementing the Time-Warp algorithm to enable distributed parallelization of GT-KM is not trivial and, thus, validation is essential to ensure correctness. Such a validation approach can be based on the following constraint inherent to the Time-Warp algorithm: "if event A has higher priority¹ relative to event B, event A should be scheduled and executed before event B" ("before" in this context is meant in real/clock time terms). This constraint can be used to devise a "parallel-emulation" scheme, by which sequential (serial) KMC runs generate output identical to that of distributed runs, without the use any of the Time-Warp algorithm protocols. Validating the correctness of distributed runs can then be done in a straightforward way by comparing their results with those obtained from the corresponding parallel-emulation runs.

Thus, a "parallel-emulation" run is a serial KMC run which uses exactly the same random numbers as the distributed run, and of course, in the same order. To achieve this, the following algorithmic elements are needed: (1) a set of  $N_P$  random stream instances (objects) that are identical to those used by the  $N_P$  PEs in the distributed run (as one stream is used for each domain); (2) a function that returns the domain to which a lattice event

<sup>&</sup>lt;sup>1</sup>In our discussion, priority is determined on the basis of the timestamp of an event. It is possible (though quite infrequent in practice) that two events have equal timestamps, in which case, additional priority rules have to be imposed in order to ensure the seamless operation of the Time-Warp implementation. These rules are discussed in detail in the Supplementary Information and are adopted in our Time-Warp implementation. It is possible to also implement them in the parallel-emulation scheme; however, given that equal-timestamp events are rare, we chose to keep the latter as simple as possible.

"belongs". This "domain of ownership" is unambiguously determined, simply by inspecting the lattice site onto which the first site of the reaction pattern has been mapped. Recall that in a parallel run, an MPI process adds a detected lattice event to its ProcQueue only if the site 1 of the pattern is mapped to a domain-site. This rule can be easily embedded into the function in discussion, without the need for the serial run to actually perform the domain decomposition.

Within this framework of the parallel-emulation run, whenever a new event is identified, the algorithm first determines the domain to which the event belongs and then uses the corresponding random number stream when generating the KMC time of occurrence for that event. Additionally, for simulations with lateral interactions between adsorbates, the correct random number has to be chosen whenever a time of occurrence is updated (recall that in GT-KMC such updates are needed for any event affected by changes in the neighboring spectators due to the lattice event just executed [37]). Thus, a parallel-emulation run is essentially a serial run, with a slightly modified procedure for random number generation. In such a run, there are no communications, causality violations, or roll-backs, and none of the Time-Warp specific data-structures (e.g. messgQueue, stateQueue), or procedures (e.g. GVT computation) are used.

Of course, such runs are expected to be quite intensive for large lattices, since data and workload are no longer distributed among several PEs. In addition, parallel-emulation runs are slightly less efficient than "traditional" serial runs, due to small memory overheads in maintaining several random streams and computational overheads in selecting the appropriate random number out of these. Hence, the parallel-emulation scheme is only used for validating distributed runs by eliminating the underlying complexity of Time-Warp.

## 3. Results and Discussion

We proceed to discuss the validation and performance benchmarks of our implementation of the Time-Warp GT-KMC approach. The latter has been incorporated into Zacros [18, 37, 38], our FORTRAN 2003 code for KMC simulations in catalysis and surface science. For validation and benchmarking we have employed two simple models (systems 1, 2), both simulated on square lattices with one site per unit cell (similar to those of fig. 1 and fig. 2), while for demonstrating our implementation on a more realistic system, we have

$k_{\text{ads/des}}$ $(s^{-1})$	$k_{\text{diff}}$ (s <sup>-1</sup> )	$\varepsilon_{\rm site} \ ({ m eV})$	$\varepsilon_{\mathrm{CO}^*}$ (eV)
1.0	10.0	0.0	0.1

Table 2: Rate constants of elementary events, CO\* adsorption, CO\* desorption and CO\* diffusion. Interaction of CO\* with the bound-site ( $\varepsilon_{\rm site}$ ) and lateral interaction between adsorbed CO\* molecules ( $\varepsilon_{\text{CO}^*}$ ) are also given. Note that CO\* diffusion is exclusive to system 1 and lateral CO\*-CO\* interactions are exclusive to system 2. Simulations are run at a temperature of 500 K and pressure of 1 bar.

chosen a detailed model of CO oxidation on Pd(111) (system 3, taken from [65]). A brief description of the main features of these systems is provided below.

- System 1 entails CO adsorption, CO\* desorption, and CO\* diffusion, without lateral interactions.
- System 2 entails CO adsorption and CO\* desorption, with nearestneighbor lateral interactions among CO\*.

All events in these two systems are taken as reversible and can be summarized by the following "reactions", in which \* refers to a vacant site, subscript (g) denotes a gas species, and superscript \* denotes a surface species:

$$CO_{(g)} + * \frac{k_{ads}}{k_{des}} CO^*$$
 (3)

$$CO_{(g)} + * \frac{k_{\text{ads}}}{k_{\text{des}}} CO^*$$

$$CO^* + * \frac{k_{\text{diff}}}{k_{\text{diff}}} * + CO^*$$
(3)

The aforementioned two systems were chosen such that inter-domain coupling arises from different factors. In system 1, adsorption/desorption and diffusion are considered in an ideal adlayer (no lateral interactions), and domain dynamics are coupled via diffusion, which results in particles crossing domain-boundaries. On the other hand, system 2 involves only single site events (adsorption/desorption), but entails coupling due to pairwise lateral interactions, which may result in a particle of one domain affecting the desorption rate of a particle in another domain. The values of the parameters used in the benchmark simulations are given in table 2.

• System 3 entails 22 elementary events capturing CO oxidation dynamics on a Pd(111) lattice with two site types (fcc and hcp). The lattice size was kept fixed to  $2,592 \times 2,592$  unit cells with two sites each (13,436,928 sites in total).

More details on this system can be found in our previous publications [65, 66, 67]. Briefly, the elementary events include the adsorption, desorption and diffusion of CO and O<sub>2</sub>, the dissociation of O<sub>2</sub> and the diffusion of the resulting O\* adatoms, as well as the reaction of CO\* with O\* towards CO<sub>2</sub>. All events include variants as appropriate, as they can occur on the two different site types. Lateral interactions are captured by a cluster expansion with 88 patterns, including single-, two- and three-body patterns. The maximum reaction pattern "depth" is 3 sites (for O<sub>2</sub> dissociation on an fcc site, which gives rise to two O\* on hcp sites; a similar event exists for O<sub>2</sub> dissociation on an hcp site). Moreover, the maximum energetic interaction pattern "depth" is also 3 sites, due to the inclusion of 3-body patterns. These depths and the connectivity of the sites result in a halo width of 4 unit cells.

The parameters of the cluster expansion are as of model 2 of fig. 8 in Ref. [65], for a temperature of 440 K and a pressure of  $10^{-6}$  bar (fourth point from the left in the blue curve of the figure just noted). This model was one of the variants developed as part of a sensitivity analysis study in Ref. [65] and incorporates: adsorption stabilization for CO of 0.2 eV as suggested by experiments and RPA calculations (i.e.  $E_{ads} = -1.5276$  eV on the fcc site and -1.5047 eV on the hcp), adsorption stabilization for O<sub>2</sub> of 0.3 eV (i.e.  $E_{ads} = -0.9712$  eV on the fcc site and -0.8256 on the hcp site), and an activation energy for O<sub>2</sub> dissociation lowered by 0.2 eV (i.e. 0.59 eV and 0.45 eV, for dissociation on fcc and hcp sites, respectively).

#### 3.1. Validation of the Time-Warp algorithm implementation

Our Time-Warp GT-KMC implementation is validated against parallel-emulation runs, as described in section 2.9. Thus, a correct implementation of the Time-Warp algorithm is expected to deliver KMC trajectories that are in *exact agreement* (down to the stochastic fluctuations) with the results from the corresponding parallel-emulation runs. The results of the validation runs are shown in fig. 5(a) for system 1 and fig. 5(b) for system 2.

The corresponding Time-Warp and parallel-emulation runs take place on  $100 \times 100$  lattices, initialized with all sites vacant. For each such run, we plot the coverage of CO\* over time for  $t_{\rm KMC}$  ranging from 6 to 7 units of KMC time (simulated seconds), so that we focus on the fluctuations. Clearly, for both systems the distributed simulations produce identical results to those obtained from the parallel-emulation scheme, thereby validating our implementation.

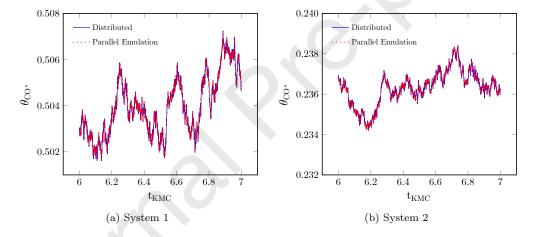


Figure 5: CO\* coverage profiles over time obtained from distributed runs that use the Time-Warp algorithm versus the corresponding parallel-emulation runs. The coverage is shown between KMC time of 6 and 7 simulated seconds to highlight the fluctuations. Panels (a) and (b) show the results for systems 1 and 2, respectively. The identical profiles obtained for each system provide evidence for the correctness of the Time-Warp GT-KMC implementation.

#### 3.2. Performance benchmarks

Having validated our implementation, we now investigate the performance thereof, in terms of both weak- and strong-scaling. All performance benchmarks of systems 1 and 2 were carried out on the high performance computing cluster Kathleen at UCL (https://www.rc.ucl.ac.uk/docs/ Clusters/Kathleen/), while for system 3, we made use of cluster Thomas hosted by the Materials and Molecular Modelling (MMM) Hub (https: //www.rc.ucl.ac.uk/docs/Clusters/Thomas/). As a raw metric of performance we use the KMC-time advancement for a fixed real/clock-time interval. It is therefore important to perform the simulations under stationary conditions, under which the average number of KMC events per unit of KMC-time per lattice area remains constant. In this case, KMC-time advances (on average) linearly with respect to clock time, and, in the ideal case of a trivially parallel KMC simulation (without halos), the pertinent "speed" is inversely proportional to the number of sites in the lattice. These statements will be expressed in quantitative terms later, when we define efficiency factors; at this point our intention is to highlight the procedures adopted to eliminate any bias in our investigations. Hence, in order to initialize our simulations from lattice configurations representative of the system's behavior in stationary conditions, a long KMC simulation is first run on a small size lattice until stationary state is reached. The final state of the lattice is then tessellated (tiled) as needed to generate initial state input for a larger lattice, which is subsequently provided as the input state for the scaling benchmark simulations.

There are three input parameters that allow us to tune the performance of the distributed runs: the memory (per PE) available for saving KMC states in *stateQueue*, the frequency of saving KMC states, and the clock-time interval for GVT computation. Their values were chosen as shown in table 3, in order to optimise performance while respecting the constraints of the different systems and PE configurations. For example, for system 3 only strong scaling benchmarks were possible due to the large lattice size and long simulation times.

Thus, in both weak and strong scaling benchmarks, the memory allocated exclusively for stateQueue is set to 4 GB for system 1, and 3.5 GB for system 2. For system 3, we performed only strong scaling benchmarks. Due to the size of the lattice used in system 3  $(2,592 \times 2,592 \text{ unit cells})$ , each with two sites), the per-PE memory dedicated to stateQueue was more on configurations with a small number of PEs. More specifically, we used 15 GB for the

System	Scaling benchmark	MPI configuration <sup>(i)</sup>	stateQueue memory (GB)	State-saving interval <sup>(ii)</sup> (#events)	GVT comp. interval (s)
1	Weak	$\begin{array}{c} 1 \times 1 \\ 2 \times 2 - 30 \times 30 \end{array}$	4.0 4.0	10 <sup>9 (iii)</sup> 100	5 5
1	Strong	$2 \times 2 - 20 \times 20$	4.0	100	5
2	Weak	$\begin{array}{c} 1 \times 1 \\ 2 \times 2 - 30 \times 30 \end{array}$	3.5 3.5	10 <sup>9 (iii)</sup> 50	3 3
2	Strong	$2 \times 2 - 20 \times 20$	3.5	50	3
3	Strong	$\begin{array}{c} 2 \times 2 \\ 3 \times 3 \\ 4 \times 4 \\ 6 \times 6 - 27 \times 27 \end{array}$	15.0 8.0 5.5 4.5	5 5 5 5	10 10 10 10

Table 3: Settings of the Time-Warp based runs. Notes: (i) for strong scaling benchmarks, the single-PE runs were serial (hence the absence of  $1 \times 1$  MPI configurations). (ii) the *initial* state-saving interval is reported. When the state queue gets completely filled, the queue is sparsified and the state-saving interval is doubled (see section 2.6.1). (iii) this setting effectively switches off snapshot saving, which is unnecessary for single-PE runs.

 $2 \times 2$  PE configuration, 8 GB for the  $3 \times 3$ , 5.5 GB for the  $4 \times 4$  and 4.5 GB for the rest of the PE configurations. Moreover, the GVT computation interval is set to 5 seconds for system 1, 3 seconds for system 2, and 10 seconds for system 3. Regarding the frequency of saving KMC states, we start with saving a state every 100 KMC steps in system 1, 50 steps in system 2, and 5 steps in system 3. If stateQueue gets filled up before purging no-longer-needed or invalid states, the queue is sparsified (see section 2.6.1) and the frequency is halved, i.e. KMC states are saved every 200 KMC steps in system 1. This adaptive procedure happens whenever needed in the distributed runs.

Note that these settings were chosen after a small number of (manual) trials towards optimising the performance. A detailed assessment of the effect of these parameters on performance is ongoing work, but out of scope for this paper. Here, we aim at conducting proof-of-concept simulations, to investigate the feasibility of Time-Warp GT-KMC for catalytic kinetics studies.

In our discussion, we use the following definitions and notation. The

scaled time  $(t^*)$  is defined as:

$$t^* = \frac{t_{\text{KMC}}}{t_{\text{Clock}}} \tag{5}$$

where  $t_{\rm KMC}$  is the elapsed KMC time in a simulation that is run for  $t_{\rm Clock}$  clock time (this is what we referred to as the "speed" of the simulation in the previous paragraph).  $t^*$  ( $n_{\rm sites}:n_{\rm pe}$ ) is the scaled time from a distributed run in which a lattice containing  $n_{\rm sites}$  sites is distributed over  $n_{\rm pe}$  PEs. The scaled time obtained from serial runs is represented as  $t^*$  ( $n_{\rm sites}$ ). Note that  $t^*$  ( $n_{\rm sites}:1$ ) represents the scaled time from a distributed run that uses only one PE; this may include overheads compared to  $t^*$  ( $n_{\rm sites}$ ). The timing data obtained from our benchmark simulations (discussed below) is provided in the supplementary information.

#### 3.2.1. Scaling behavior of serial runs

Before discussing the performance/efficiency of distributed runs that use the Time-Warp algorithm, it is instructive to assess the performance of the serial implementation, which is used as a basis for comparison. To this end, serial runs are carried out for progressively larger lattices, and the measured performance is compared against the ideal scaling law, whereby, in the absence of any overheads, the scaled time  $t^*$  would be inversely proportional to the number of sites in the lattice.

The pertinent results are shown in fig. 6d and fig. 6e. The red circles show the efficiency of each serial run, defined as

$$\eta = \frac{t^* \left(n_{\text{sites}}\right)}{t^* \left(n_{\text{sites}}^{min}\right)} \tag{6}$$

with  $n_{\rm sites}^{min}$  the number of sites in serial run with the smallest lattice (10,000 sites). The red lines highlight the ideal scaling, whereby  $\eta = n_{\rm sites}^{min}/n_{\rm sites}$ . For system 1 (fig. 6(d)), the performance follows the ideal scaling law for low to moderately sized lattices containing up to  $\sim 2 \times 10^5$  sites; for lattices larger than that, the performance starts to deteriorate. For system 2, the serial run performance deviates from the ideal scaling already with lattices that contain  $\sim 4 \times 10^4$  sites. The worse performance of the serial algorithm for system 2, compared to system 1, can be attributed to additional operations necessary to compute the rate constants of events in the presence of lateral interactions. More specifically, computing rate constants for desorption events necessitate

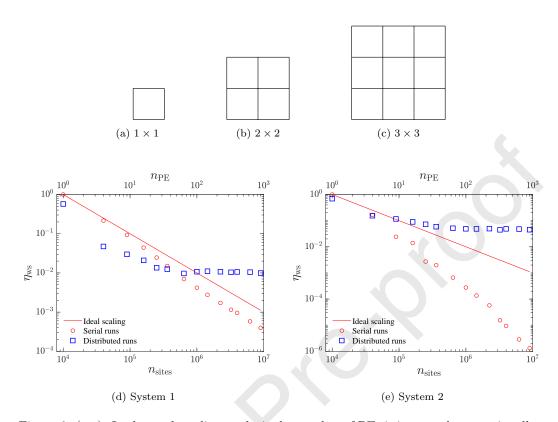


Figure 6: (a-c): In the weak-scaling analysis the number of PEs is increased proportionally to the lattice size, so that the workload per PE remains constant. (d, e): Weak-scaling performance of the Time-Warp algorithm in Zacros for the two benchmark systems. For the weak-scaling analysis, efficiency is calculated using eq. (7). Each subdomain assigned to a PE contains 10,000 sites.

the detection of lateral interaction patterns in the neighborhood of the event. These detection operations entail solving the subgraph isomorphism problem [18, 37] which introduces noticeable overheads for large systems (especially since GT-KMC simulations need to frequently update the rate constants of existing events in the presence lateral interactions).

#### 3.2.2. Weak-scaling behavior

We continue our discussion with the weak-scaling benchmarks, in which  $n_{\text{sites}}$  and  $n_{\text{PE}}$  are both increased proportionately, thereby maintaining a constant workload per MPI-process (recall that the workload scales linearly with the number of domain-sites, in the absence of computational overheads). For

these benchmarks we define the efficiency  $(\eta_{ws})$  as follows:

$$\eta_{\rm ws} = \frac{t^* \left(n_{\rm sites} : n_{\rm PE}\right)}{t^* \left(n_{\rm sites}^{min}\right)} \tag{7}$$

with the total number of lattice sites being  $n_{\rm sites} = n_{\rm sites}^{min} \times n_{\rm PE}$  as portrayed in fig. 6a - 6c, and as before,  $n_{\rm sites}^{min}$  denotes the number of lattice sites in the serial run with the smallest lattice. In the ideal case (trivially parallel problem), the efficiency would be equal to one, since  $t^*(n_{\rm sites}:n_{\rm PE})=t^*(n_{\rm sites}^{min}\times n_{\rm PE}:n_{\rm PE})=t^*(n_{\rm sites}^{min}:1)$ .

The results of our weak scaling benchmarks are shown in fig. 6d and fig. 6e. Let us first compare the single-PE distributed run with serial run (leftmost points for 10<sup>4</sup> sites in the plots just noted). In the distributed runs with just a single PE, none of the following occurs: point-to-point communications via messages, causality violations, rollback propagation. We have therefore suppressed the handling of state Queue by choosing a very large interval for state saving (every 1 billion events). However, the algorithm still checks at every KMC iteration whether an event involves halo sites (even though there are none in this case). In addition, MPI subroutines that e.g. probe for messages (even though there wouldn't be any), or broadcast the LVT (to just one MPI process) are still called as usual. The pertinent overheads can be assessed by calculating the quantity:  $t^* (n_{\text{sites}}^{min}: 1)/t^* (n_{\text{sites}}^{min})$ , and our results reveal that such overheads result in a 30-40% slowdown when comparing truly-serial runs with single-PE distributed ones (see fig. 6). Of course, simulations of the latter type are not useful in practice and adopting them for production runs would be ill-advised. It is relatively straightforward to improve our implementation by skipping the aforementioned operations when only one PE is used; still though, single-PE runs like the ones we just discussed, are quite useful for benchmarking purposes, as they can reveal time-consuming operations.

The smallest actually distributed simulations are performed for 4 PEs (40,000 sites), as shown in fig. 6d and fig. 6e, for systems 1 and 2, respectively. The observed drop in efficiency for these relative to the respective serial runs for 40,000 sites can be attributed mainly to the emergence of communications, the handling of messgQueue and stateQueue, and the treatment of causality violations via rollbacks. The latter are indeed quite costly, as they entail discarding "chunks" of KMC trajectories, which were obtained by consuming computational resources. It is however encouraging to see that the performance stabilizes for larger lattices (fig. 6), for which the serial runs are

becoming progressively more inefficient. For the largest lattices investigated, we see that the distributed runs are more than 10 times faster for system 1 compared to serial runs. For system 2, the difference is much higher, with the distributed runs being more than four orders of magnitude faster than serial ones. The better performance observed for this system could be attributed to the fact that updates to reaction rates due to lateral interactions are done as part of the execution of any event, and are decoupled from any Time-Warp related operations. Thus, they are parallelized quite effectively via the domain decomposition scheme of our implementation. These results highlight the potential of the Time-Warp GT-KMC implementation to be used in studies of large lattices, which exceed current capabilities.

#### 3.3. Strong-scaling behavior

Contrary to the weak-scaling benchmarks, in which the size of the problem increases in proportion to the computational resources, when analysing strong-scaling, the size of the problem remains fixed. Thus, to assess the strong-scaling performance of our Time-Warp GT-KMC implementation we increase  $n_{\rm PE}$  for a fixed value of  $n_{\rm sites}$  (see fig. 7a-7c), thereby, decreasing the workload per PE. For the strong-scaling benchmarks, the efficiency  $(\eta_{\rm ss})$  is defined with respect to a serial run, as follows:

$$\eta_{\rm ss} = \frac{t^* \left( n_{\rm sites} : n_{\rm PE} \right)}{t^* \left( n_{\rm sites} \right)} \tag{8}$$

The results of these benchmarks for systems 1 and 2, are displayed in fig. 7. For actually distributed runs (i.e. with more than just one PE), we generally observe an improvement in performance as more PEs ( $n_{\rm PE}$ ) are employed in our simulations. The number of PEs for which a distributed run starts to outperform the single-PE run depends on the system and the lattice size (having fixed the allocated memory for the stateQueue, the frequency of state-saving, and the specified time interval for GVT computation). For example, distributed runs with more than 100 PEs outperform single-PE run for all lattices of system 1, while for system 2, runs with 9 PEs already outperform the single-PE runs quite significantly.

In our strong scaling benchmarks, we also observe that the efficiency plateaus for the smallest lattice considered ( $360 \times 360$ ). This plateau is due to the increasing portion of halo sites. Indeed, as the number of PEs increases, the overall length of boundaries also increases, and so does the

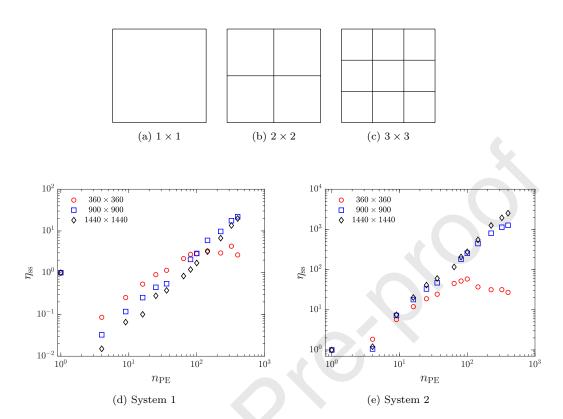


Figure 7: (a-c): In the strong-scaling analysis the number of PEs is increased while keeping the lattice size fixed, so that the workload per PE is progressively reduced. (d, e): Strong-scaling performance of the Time-Warp algorithm in *Zacros* for systems 1 and 2. Plotted is the efficiency calculated using eq. (8). Note that the left-most three points of panels (d) and (e) overlap, since they correspond to serial runs for which the efficiency is one by definition.

ratio between the number of sites that belong in halos over the total number of sites. In turn, the probability that an event will involve at least one halo site becomes higher, leading to inefficiencies due to causality violations and the ensuing roll-backs. This issue is not observed for the two larger lattices under consideration and the PE configurations investigated. However, it is expected that the strong-scaling efficiency will go through a maximum in any case, as the number of PEs is increased.

The scaling behavior is expected to be strongly affected by the amount of memory available for stateQueue. For instance, this amount of memory is fixed to 3.5 GB per PE for the  $360 \times 360$  lattice (129,600 sites), for the  $2 \times 2$  and the  $4 \times 4$  PE configurations (4 versus 16 PEs). However, for

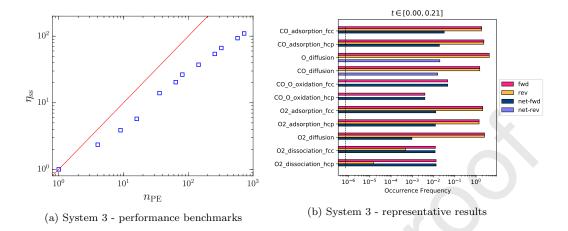


Figure 8: (a): Results of the strong-scaling benchmarks for system 3, the detailed CO oxidation model of Ref. [65], on a 2,592 × 2,592 lattice (more than 13.4 million sites). The efficiencies (blue squares) are calculated from eq. (8) and compared with the ideal scaling (red line). The left-most point is a serial run for which the efficiency is 1 by definition. (b): Representative results, in terms of the frequency of occurrence of the simulated events. Plotted are the forward, reverse, net-forward and net-reverse frequencies per fcc site. The net-forward frequency is the difference between forward and reverse frequency; if that difference is negative, its absolute value is plotted as the net-reverse frequency. The vertical dashed line corresponds to the (normalized) frequency of a single event.

smaller numbers of PEs, the larger domains handled would result in larger memory requirements for saving each KMC state. For this reason, it is possible that our benchmarks "favor" larger number of PE configurations, for which stateQueue is able to store a larger number of KMC state snapshots. A higher capacity for stateQueue enables "state-saving" at a higher frequency, which, in turn, minimizes the time spent in performing rollback-propagation. In fact, the best case scenario would be if one was able to store a KMC state at every single KMC step, though this is clearly infeasible due to the exceedingly high number of such steps for typical simulations. On the other hand, it is important to point out that handling large memory allocations for stateQueue, may also entail non-negligible overheads associated with reading and writing KMC states.

Our last set of benchmarks, on the more realistic system 3 for CO oxidation on Pd(111), are shown in fig. 8(a). We observe a steady rise in efficiency with an increase in the number of PEs, though the speedup is sublinear. Thus, if the efficiency is given as a power function of the number of PEs,

 $\eta_{SS} = c \cdot n_{PE}^{\alpha}$ , the exponent  $\alpha$  calculated from a least-squares fit is equal to about 0.76 if the left-most point of the graph is neglected (for  $n_{PE} = 1$ , which is actually a serial run), while, if all points are included in the fit  $\alpha \approx 0.74$ . Indicatively, for the largest simulation performed, which employed  $27 \times 27 = 729$  PEs, we obtained a speedup by a factor of about 110. This behavior is attributed to the large halo width of this system, which results in frequent causality violations that have to be resolved with rollbacks.

Finally, in fig. 8(b) we show representative results on the normalized (per cell, or per fcc site) frequency of occurrence of each elementary event included in these CO oxidation simulations. These frequencies were calculated from the aggregated number of events executed in all subdomains. We see that adsorption, desorption and diffusion events appear quasi-equilibrated. A netforward frequency of about  $4.6 \times 10^{-2}$  molecules  $\cdot$  site<sup>-1</sup>· s<sup>-1</sup> is observed for CO oxidation on fcc sites, which is the dominant among the two CO oxidation events. This value is in agreement with the previously reported frequency for this model under the conditions chosen (see Ref. [65], fig. 8, model 2,  $p = 10^{-6}$  bar, T = 440 K).

#### 4. Summary and Conclusions

On-lattice KMC methodologies have attracted significant focus in the computational catalysis field and have proven instrumental in unravelling the complex dynamic behavior of heterogeneous catalysts [15, 16]. Still though, elucidating challenging phenomena, such as catalyst reconstruction and long-range pattern formation, necessitates accurate simulations at unprecendented scales. Thus, motivated, we have successfully coupled the optimistic Time-Warp algorithm with the graph-theoretical KMC framework and implemented the approach in *Zacros*, a general-purpose on-lattice KMC code, in order to enable exact distributed KMC simulations.

The main challenge in such simulations relates to the sequential nature of the KMC algorithm, whereby lattice events exhibit causal relations. Hence, distributing the computational load among different processing elements (CPU cores, MPI processes etc.) by decomposing the lattice into subdomains is non-trivial. One is faced with the choice of either keeping PEs synchronized, which makes it easy maintain causality but can be highly inefficient computationally, or perform the subdomain simulations asynchronously but devise a way to correct any causality violations. The latter may arise during the simulation if a processor receives, via a message from a neighbor,

an instruction to perform an event in the past. Amending the simulation trajectory entails rolling back to a time before the timestamp of this past event, thereby discarding a recently simulated portion of the KMC trajectory, executing the event and simulating anew from that point onward. Of course, this rollback may itself lead to additional violations of causality, since the discarded KMC trajectory may include events that were messaged to other processors. The purpose of the Time-Warp algorithm is to handle such cascades of rollbacks via local operations, i.e. without the need for an "overseer" or global controller.

Compared to the traditional sequential GT-KMC algorithm, [17, 18] the distributed approach entails several additional operations, as part of the Time-Warp algorithm embedded in our implementation: communication of boundary-events (as messages or anti-messages, the latter encoding "undo-actions"), saving of simulation states (to be restored later if/as needed), saving of messages (in case anti-messages need to be sent later), re-winding of the simulation to an earlier time (rollback), discarding of obsolete states and messages ("fossil collection"), computation of the global virtual time and collective termination of the distributed run. We have further devised a computational scheme that can be applied to sequential GT-KMC runs, enabling them to produce results identical to those of distributed runs. This "parallel-emulation" scheme is based on a causality-related constraint inherent in the Time-Warp algorithm and allowed us to validate the correctness of our implementation.

To benchmark the performance of Time-Warp GT-KMC we have investigated both the weak- and strong-scaling, of our implementation for two systems, for which inter-domain coupling arises due to different factors. The scaling benchmarks on both systems reveal that the overhead associated with Time-Warp-related procedures can be significant; however, the distributed approach scales well with lattice size, and ends up outperforming appreciably the sequential KMC for sufficiently large lattices. For demonstration purposes, we have also performed runs for the more realistic model of Ref. [65], which captures CO oxidation dynamics on Pt(111). Our ongoing work focuses on improving memory management, especially for handling the KMC state queue, which exhibits the largest memory footprint among all datastructures in our implementation. Another direction of interest pertains to the computation of the global virtual time, and the decision variable for collective termination. Both necessitate global communication, which may adversely affect the performance of distributed runs with very large number

of processors; thus, asynchronous approaches are being considered, such as those by Mattern [64].

Overall, our Time-Warp GT-KMC implementation is quite promising and will allow the catalysis and surface-science communities to study heterogeneous catalysts at spatial and temporal scales of unprecedented magnitude. Crucially, the exact nature of the algorithm enables meaningful comparisons with experiments to be performed, making it possible to test theoretical predictions and explain complex phenomena, such as long-range pattern formation due to catalyst reconstruction. Finally, our implementation is expected to facilitate the benchmarking and further development of approximate algorithms for distributed KMC simulation, in the context of practical catalysis and surface-science problems.

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C	Declaration of interests
р	The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in his paper.
	The authors declare the following financial interests/personal relationships which nay be considered as potential competing interests:
	We are in the process of commercializing the Time-Warp Graph-Theoretical Kinetic Monte Carlo (GT-KMC) implementation in software <i>Zacros</i> with UCL Business, the technology transfer office of University College London.

#### **Author Contributions:**

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**Giannis D. Savva:** Methodology, Software, Investigation, Formal analysis, Validation, Visualization, Writing – review & editing.

**Ilektra-Athanasia Christidi:** Funding acquisition, Project administration, Methodology, Software, Writing – review & editing.

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**Jens Nielsen:** Methodology, Software, Writing – review & editing.

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**Michail Stamatakis:** Conceptualization, Funding acquisition, Project administration, Supervision, Resources, Methodology, Software, Investigation, Validation, Writing – review & editing.