

1 **Title page**

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3 Safety and efficacy of bexarotene in people with relapsing-remitting multiple sclerosis  
4 (CCMR One): a randomised phase 2a two-centre placebo-controlled trial

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75

76 **Abstract**

77 **Background:** Progressive disability in multiple sclerosis (MS) occurs because central nervous  
78 system axons degenerate as a late consequence of demyelination. In animals, retinoid X  
79 receptor (RXR- $\gamma$ ) agonists promote remyelination. We assessed the safety and efficacy of a  
80 licensed non-selective RXR agonist as a remyelinating MS treatment.

81 **Methods:** In this completed double-blind phase 2a trial (CCMR One, ISRCTN14265371)  
82 people with relapsing remitting MS from two UK centres, aged 18-50 years, who had been on  
83 dimethyl fumarate for  $\geq 6$  months, were randomly assigned (1:1) bexarotene 300 mg/m<sup>2</sup> or  
84 placebo for 6 months, by independent staff. All trial participants and personnel were masked  
85 to treatment assignment. The primary endpoint was safety; the primary efficacy outcome was  
86 change in mean lesional magnetization transfer ratio (MTR) in submedian lesions (lesions  
87 below the baseline within-patient median MTR), analysed by intention to treat, with  
88 prespecified MRI and visual evoked potential exploratory outcomes.

89 **Findings:** Between Jan 17th, 2017, and May 17th, 2019, 52 participants were randomised. All  
90 those on bexarotene experienced adverse events: central hypothyroidism (n=26, 100% v none  
91 on placebo), hypertriglyceridaemia (n=24, 92% v none on placebo), rash (n=13, 50% v 1, 4%  
92 on placebo) and neutropenia (n=10, 38% v none on placebo). Five participants on bexarotene  
93 and two on placebo discontinued study drug due to adverse effects. One episode of cholecystitis  
94 in a placebo-treated participant was the only serious adverse event. The primary efficacy  
95 outcome was not met. The unadjusted change in MTR was 0.25 (0.98) pu for submedian  
96 lesions in bexarotene-treated participants versus 0.09 (0.84) pu for those on placebo. The  
97 bexarotene-placebo difference in adjusted mean submedian lesional MTR change was 0.16  
98 (0.25 vs 0.09 [95% CI -0.39, 0.71]) pu, p=0.554.

99 **Interpretation:** We do not recommend bexarotene as a treatment of multiple sclerosis because  
100 of its poor tolerability and negative primary efficacy outcome. However, statistically  
101 significant effects were seen in some exploratory imaging and electrophysiological analyses,  
102 suggesting that other RXR agonists might have a small biological effect that could be  
103 investigated in further studies.

104 **Funding:** MS Society of the United Kingdom

106 **Research in context**

107 **Evidence before this study**

108 We searched PubMed for articles published in English, between Jan 01, 2000, and Mar 01,  
109 2021, reporting on phase 1, 2 or 3 MS remyelination clinical trials, using the terms “multiple  
110 sclerosis” OR “MS” AND “remyelination”. We also searched the clinical trials databases  
111 clinicaltrials.gov and ISRCTN using the search term “remyelination”. A number of clinical  
112 trials using a remyelinating drug to treat chronic and acute demyelinating injuries have been  
113 reported, but only one was published prior to commencement of CCMR One: the phase 2 study  
114 of GSK239512, a H<sub>3</sub> receptor antagonist, had shown a borderline significant improvement in  
115 the magnetisation transfer ratio (MTR) characteristics of acute lesions. Evidence emerging  
116 since then has included the phase 2 ReBUILD study of clemastine, which demonstrated a  
117 statistically significant improvement in the latency of the full-field visual evoked potential in  
118 people with relapsing MS and chronic stable optic neuropathy. Additionally, a phase 2 clinical  
119 trial (RENEW) of opicinumab (anti-Lingo1), showed an improvement in visual evoked  
120 potential latency using a per protocol analysis of participants with acute optic neuritis; though  
121 it did not reach its primary endpoint when deployed in a further phase 2 study (SYNERGY)  
122 using a multicomponent measure of disability.

123 Serial MTR has provided semi-quantitative *in vivo* measures of myelin content within white  
124 matter, grey matter, chronic and acute lesions. Meanwhile, analyses of visual evoked potentials  
125 have either centred on serial changes in those with stable, but prolonged, P100 latencies, or on  
126 those recovering from a recent bout of optic neuritis (in which case latencies for the unaffected  
127 contralateral eye have been used as a control). There is no consensus on the optimum endpoint  
128 to deploy in phase 2 remyelination trials.

129 **Added value of this study**

130 CCMR One is the first clinical trial to test the remyelinating potential of RXR- $\gamma$  agonism,  
131 established in the laboratory, by investigating the safety and efficacy of bexarotene (an RXR  
132 agonist with activity against the  $\alpha$ ,  $\beta$ , and  $\gamma$  isoforms) in people with relapsing remitting MS. It  
133 is also the first clinical trial that has shown a remyelinating effect of a drug with converging  
134 evidence from both MRI and electrophysiological assessments. While this trial did not meet its  
135 primary efficacy endpoint – there was no statistically significant difference in adjusted

136 submedian lesional MTR change between bexarotene and placebo – in prespecified exploratory  
137 analyses it showed statistically significant treatment effects on lesional MTR in cortical grey  
138 matter, deep grey matter and the brainstem lesions. This trial also found electrophysiological  
139 evidence of remyelination in a prespecified exploratory analysis of bexarotene treated  
140 participants who had established demyelination in the visual pathway at baseline. Bexarotene  
141 was poorly tolerated, though some side effects (hypertriglyceridaemia and neutropenia)  
142 probably reflect agonism via other (RXR- $\alpha$  and  $\beta$ ) pathways.

### 143 **Implications of all the available evidence**

144 We do not recommend bexarotene as a treatment of multiple sclerosis because of its poor  
145 tolerability and negative primary efficacy outcome. However, our results support the strategy  
146 of therapeutically enhancing remyelination by targeting the retinoid X receptor- $\gamma$  pathway.  
147 They reinforce findings from the pathology literature that lesion remyelination is influenced  
148 by location and baseline tissue integrity, and this has important ramifications for other trials of  
149 putative remyelinating drugs. These data also support the use of visual pathway  
150 electrophysiological outcomes in future trials of remyelination. Further studies are needed to  
151 determine whether more selective RXR- $\gamma$  agonists can replicate the beneficial effects without  
152 the tolerability and safety concerns that preclude the widespread use of bexarotene in MS.

153 **Introduction**

154 In multiple sclerosis (MS), which affects 2·8 million people worldwide and is among the  
155 commonest causes of disability in young adults, central nervous system inflammation leads to  
156 acute demyelination.<sup>1</sup> Although many licensed drugs reduce inflammation effectively,<sup>2</sup> they  
157 leave persistently demyelinated axons, which slowly degenerate through loss of trophic  
158 support, causing progressive worsening of disability.<sup>3</sup> An important unmet clinical need is a  
159 regenerative treatment to delay or prevent disability progression.<sup>4</sup>

160 The most effective strategy to preserve demyelinated axons is to enhance endogenous  
161 remyelination (reviewed<sup>5</sup>). This process – requiring the migration, proliferation and  
162 differentiation of oligodendrocyte progenitor cells (OPCs) – ultimately fails in most people  
163 with MS.<sup>6,7</sup> As OPCs are often found in chronically demyelinated MS lesions,<sup>8</sup> remyelination  
164 failure can be attributed in part to impaired OPC differentiation. Studies to identify therapies  
165 capable of enhancing this rate-limiting stage<sup>9,10</sup> have led to clinical trials.<sup>11-13</sup> Clemastine, for  
166 example, was first shown to stimulate *in vitro* OPC differentiation and ensheathment of conical  
167 micropillars,<sup>10</sup> and then improved the conduction of visual evoked potentials in people with  
168 MS and chronic stable optic neuropathy.<sup>11</sup>

169 Another positive regulator of OPC differentiation is the retinoid X receptor (RXR) $\gamma$ ,<sup>14</sup> which  
170 is expressed in remyelinated MS lesions in oligodendrocyte lineage cells. Inhibition of RXR- $\gamma$   
171 signalling inhibits differentiation of rodent and human OPCs; and the RXR agonist, 9-cis-  
172 retinoic acid, remyelinated both demyelinated cerebellar slice cultures, and focal toxin-induced  
173 demyelination in aged rats.<sup>14</sup> There are no licensed selective RXR- $\gamma$  agonists;<sup>15</sup> however  
174 bexarotene, a non-selective agonist of the  $\alpha$ ,  $\beta$ , and  $\gamma$  isoforms, is licenced to treat cutaneous  
175 T-cell lymphoma.

176 There is no consensus on optimal endpoints or realistic treatment effects in trials of  
177 remyelinating drugs.<sup>4</sup> Magnetic resonance imaging sequences such as magnetisation transfer  
178 ratio (MTR) correlate with myelin content and to lesser degrees with axonal and glial  
179 density,<sup>16,17</sup> and allow feasible sample sizes in remyelination trials with estimated treatment  
180 effects.<sup>18</sup> Alternatively, the functional consequences of remyelination in the visual pathway  
181 can be assessed by visual evoked potentials.<sup>5</sup>

182 We undertook a phase 2 clinical trial to determine the safety, tolerability and efficacy of  
183 bexarotene to promote remyelination of demyelinated lesions in people with relapsing  
184 remitting MS, using an innovative lesional MRI MTR outcome as well as visual evoked  
185 potentials.

## 186 **Methods**

### 187 **Study design and participants**

188 The Cambridge Centre for Myelin Repair Trial Number One (CCMR One) was a randomised,  
189 double-blind, placebo-controlled, parallel-group, phase 2 study conducted at the Cambridge  
190 University Hospitals NHS Foundation Trust and the University of Edinburgh Anne Rowling  
191 Regenerative Neurology Clinic. Initial eligibility criteria were that participants had relapsing  
192 remitting MS, were aged 30-50 years, had an Expanded Disability Status Scale (EDSS) score  
193 between 3·0 and 6·0, and had at least one relapse in the two years prior to screening, as well  
194 as  $\geq 5$  T2 hyperintense MS lesions on MRI. Four months into the trial, the eligibility criteria  
195 were changed following advice from the Trial Management Group, to drop the requirement for  
196 active relapsing disease and include younger and less disabled patients, to those aged 18-50  
197 years, and with an EDSS from 0·0-6·0. Full selection criteria are given in the Appendix [page  
198 1]. In order to minimise any confounding effect on the MRI endpoints of heterogenous disease-  
199 modifying therapies, only participants who had been receiving dimethyl fumarate – which has  
200 been shown to have no statistically significant effect on MTR<sup>19</sup> – for at least 6 months were  
201 selected, and this was continued on trial. Participants were ineligible if they had ever received  
202 a high-efficacy disease modifying treatment, had a history of pancreatitis, fasting triglycerides  
203  $>2\cdot3$  mmol/L, uncontrolled thyroid disease, or excessive alcohol consumption. Amendments  
204 to eligibility criteria were recommended by the trial steering committee during the trial (details  
205 available in the study protocol), additionally excluding those with significant cardiovascular  
206 disease or lymphopaenia ( $<0\cdot7 \times 10^9/L$  within 6 months of screening) in view of adverse events  
207 observed in early trial participants.

208 The study was undertaken in accordance with the International Conference on Harmonisation  
209 Good Clinical Practice (GCP) guidelines and the Declaration of Helsinki, registered with the  
210 ISRCTN (14265371) and was approved by London Westminster National Research Ethics  
211 Service Committee (15/LO/0108). All participants gave written informed consent at  
212 enrolment.



## 213 **Randomisation and masking**

214 A web-based system [Tenelea, <https://www.aleaclinical.eu/>], run by an independent  
215 statistician, was used to randomise participants (1:1) by probability-weighted minimisation  
216 using four binary factors, (age ( $\leq 40$ ,  $> 40$  years), gender, EDSS ( $\leq 4.0$ ,  $> 4.0$ ) and treatment  
217 centre), to a pack of indistinguishable over-encapsulated capsules of the investigational  
218 medicinal product (IMP). Participants and investigators were masked to treatment allocation.  
219 MRI scans and visual evoked potentials were labelled with secondary codes that did not  
220 identify the trial participant, and were analysed at the end of the study. All data was stored in  
221 a commercial data entry system (Elsevier MACRO) hosted by the Cambridge Clinical Trials  
222 unit and cleaned, then locked before the treatment allocation code was broken by the trial  
223 statistician.

## 224 **Procedures**

225 The IMP was unmarked capsules of 75 mg bexarotene (Targretin®; Eisai Ltd) or placebo, by  
226 the Royal Free Hospital Pharmacy Manufacturing Unit, dosed at 300 mg/m<sup>2</sup> body surface area,  
227 per day rounded down to the nearest available number of whole (75 mg) capsules, not  
228 exceeding 750 mg per day. Participants were seen weekly for one month then monthly for five  
229 months and finally at month 9. At each visit, safety blood tests included full blood count,  
230 creatinine, transaminases, fasting triglycerides, cholesterol and thyroid profile. In the event of  
231 hypertriglyceridaemia  $\geq 10$  mmol/L, fenofibrate 200 mg per day was commenced. If serum free  
232 thyroxine (FT4) fell below the lower reference limit, patients were prescribed levothyroxine  
233 50 to 100 mcg and the dose increased until FT4 normalised. Fenofibrate and thyroxine were  
234 stopped, per protocol, at month 6 with the IMP. If a participant developed neutropenia  
235 ( $< 1.0 \times 10^9/L$ ), IMP doses were reduced to 200 mg/m<sup>2</sup> and, if persistent, to 100 mg/m<sup>2</sup>.

236 MRI scans were performed at baseline and 6 months using one Siemens 3T Prismafit scanner  
237 (Siemens, Erlangen, Germany) per site with 20-channel head-neck coils at each site (see  
238 Appendix, Table 1, p.4). Each scan included interleaved 3D magnetisation transfer imaging  
239 (for calculation of MTR maps), 3DT1 (for volumetric measures and segmentation), pre- and  
240 post-gadolinium T1 (for identification of enhancing lesions), interleaved proton-density/T2-  
241 weighted scans (for identification and contouring of T2 hyperintense lesions) and fluid-  
242 attenuated-inversion recovery (FLAIR, for lesion identification). Lesion identification,  
243 contouring and checking were performed by blinded observers. These baseline lesion masks

244 were overlaid on the follow-up scans to ensure that the same tissue was examined at both  
245 timepoints (though did not accommodate dynamic effects from shrinking or expanding  
246 lesions). Lesions were automatically classified by location using the brain parcellation from  
247 the volumetric T1 scan (see Appendices, p.3). Monocular full-field pattern-reversal visual  
248 potentials (VEPs) were performed at baseline and 6 months with check size 60-min of arc using  
249 a Nicolet Viking Select System (Natus Neurology Inc, USA) in Edinburgh and a Synergy  
250 System (Optima Medical Ltd, UK) in Cambridge. At least 100 stimuli were averaged per  
251 recording, and at least 2 recordings were taken from each eye at each visit. VEP latency was  
252 defined by the P100 and values greater than 118 ms. The Expanded Disability Status Scale  
253 (EDSS) was assessed by a single clinician at each centre, blinded to all other assessments.  
254 Visual acuity was measured as the logarithm of the minimum angle of resolution (logMAR)  
255 for each corrected eye at a 100% contrast level.

## 256 **Outcomes**

257 The safety outcomes were adverse events and withdrawals attributable to bexarotene. The  
258 primary efficacy outcome was the patient-level change in mean lesional MTR between baseline  
259 and month 6 for those lesions whose MTR was below the within-patient median at baseline.  
260 Prespecified exploratory lesion-level MRI analyses examined whether subgroups of lesions  
261 might better detect a treatment effect and included comparing treatment differences in mean  
262 lesional MTR (i) for lesions whose MTR was above versus below the within-cohort median  
263 and (ii) in different brain regions. Prespecified exploratory electrophysiological outcomes were  
264 changes in P100 latency using full-field, pattern-reversal, VEPs, with separate analyses for all  
265 eyes and for those eyes with a baseline latency >118 ms, and those with a past history of optic  
266 neuritis, with a per-protocol analysis pre-specified if treatment non-adherence was greater than  
267 10%. Other pre-specified endpoints were [1] the proportion of Gd-enhancing lesions present at  
268 month zero that progress to black T1 holes at month six; [2] the proportion of acute MRI lesions  
269 appearing on-trial that show an increase in MTR by month six; [3] the number of Gd-enhancing  
270 MRI lesions that appear on trial; [4] the change at month 6 in the MTR of all individual T2 and  
271 T1-hypointense lesions seen at baseline; [5] the change in MRI T1 brain volume; [6] the change  
272 in MTR of white and grey matter; [7] the change in MRI T2 lesion load; [8] peripheral immune  
273 cell populations before and after treatment; and [9] the change in EDSS over 6 months. A sub-  
274 group analysis of the primary efficacy outcome in those patients who developed grade 3 or 4  
275 serum triglyceride increase was pre-specified.

## 276 **Power calculation**

277 We used a novel primary efficacy endpoint, so could not draw on previous trial data for  
278 estimates of treatment effect. The rationale for our power calculations and sensitivity analyses  
279 is described elsewhere.<sup>18</sup> In brief, we previously observed a difference between mean MTR of  
280 normal-appearing white matter (NAWM) and MS lesions of 5.92 pu. We assumed that only  
281 half of lesions would be amenable to remyelination and so estimated that a 100% treatment  
282 effect would be  $0.5 \times 5.92 = 2.96$  pu. We chose a sample size for a 1:1 allocation ratio  
283 sufficient to detect a 40% treatment effect, corresponding to a difference of 1.18 pu, with a  
284 standard deviation of 1.91 pu, giving a standardised effect size of 0.618. The power of the  
285 baseline adjusted (ANCOVA) comparison method is dependent also on the correlation  
286 coefficient between MTR values at baseline and follow-up. A correlation of 0.73 was observed  
287 over a 12 month interval in the pilot data;<sup>18</sup> using a conservative correlation of 0.7 (since a  
288 higher correlation would be expected over six months), a sample size of 21 in each group is  
289 sufficient to detect the 40% treatment effect with 80% power at 5% significance. We chose 25  
290 per group to allow up to 15% dropout.

## 291 **Statistical analysis**

292 The primary efficacy outcome, mean within-patient submedian lesion MTR, was chosen to  
293 guarantee that each patient would contribute lesions: those below the patient-specific lesion  
294 median MTR; using an all-lesion threshold instead might have resulted in some patients not  
295 contributing to the primary outcome. Treatment effect was estimated using multiple regression  
296 of the outcome measure on a group indicator with the following prespecified trial covariates:  
297 the baseline value of the outcome measure and the four binary minimisation factors: age ( $\leq 40$   
298  $> 40$  years), gender, trial centre/scanner (London/Edinburgh) and EDSS ( $\leq 4.0$ / $> 4.0$  score).  
299 The lesion-level MTR analyses used linear mixed models for lesions nested within patients,  
300 with patient random intercepts; these models regressed lesion MTR on the same prespecified  
301 covariates but with lesion-subgroup interaction terms to estimate lesion-subgroup specific  
302 treatment differences and test for variation between these differences. These models were  
303 estimated using restricted maximum likelihood (REML), but without the Kenward-Roger  
304 adjustment for degrees of freedom since applying the latter did not affect the results at a small  
305 enough decimal place to impact on reporting. For individually randomised observations we  
306 would not expect to have both non-significant treatment effects yet a significant difference  
307 between treatment effects (interaction), since in such contexts the standard error for the

308 interaction term will be higher than for the individual treatment effects. However, in this  
309 context, where patients and not lesions are randomised, the lesion-level treatment effects are  
310 necessarily between patient: active and placebo lesions can never occur within the same patient.  
311 However, sub- and supramedian lesions can both occur within the same patient, and since the  
312 interaction term is equivalently interpreted as the difference between sub- and supramedian  
313 lesions in active compared to placebo, it can be estimated with a strong within-patient  
314 component: this greatly reduces the interaction term standard error, permitting a smaller p-  
315 value than for the between-patient main treatment effects. Although lesion-level analyses are  
316 more flexible and powerful, they are vulnerable to selection bias since patients not lesions are  
317 randomised, so the patient-level comparison was designated primary. Similar mixed models  
318 were also used for the VEP analyses, but with eyes nested within patients. For EDSS, a  
319 corresponding multiple regression was checked using a non-parametric bias-corrected and  
320 accelerated bootstrap with 1000 replicates. For both regression and mixed models, residuals  
321 were examined for departures from Normality and homoscedasticity, and satisfied  
322 assumptions. Statistical methods to analyse the exploratory endpoints are described in the  
323 Statistical Analysis Plan. Analyses were carried out in Stata 16.1 (Stata Corporation, College  
324 Station, Texas, USA). Statistical significance refers to two-sided  $p < 0.05$ .

### 325 **Role of the funding source**

326 The funders of the study had no role in the study design, collection, analysis or interpretation  
327 of data, of writing the report, or in the decision to submit for publication. All authors had full  
328 access to all the data in the study. The corresponding author and AJC, had final responsibility  
329 for the decision to submit for publication.

### 330 **Results**

331 Between Jan 17<sup>th</sup>, 2017 and May 17<sup>th</sup>, 2019, we randomly assigned 52 patients to receive 6  
332 months of bexarotene (n=26) or placebo (n=26; Figure 1). Two participants randomised to  
333 placebo were withdrawn before receiving the IMP: one was unable to tolerate the baseline  
334 MRI, while another had a new lesion on their baseline scan requiring treatment escalation from  
335 dimethyl fumarate. One participant withdrew consent for personal reasons at month 2. The  
336 remaining patients (31 at Cambridge and 18 at Edinburgh) attended all trial visits and  
337 completed the trial (Figure 1) and their baseline characteristics are included in Table 1.

338 Participants receiving bexarotene experienced a mean of 6·1 adverse events (compared to 1·6  
339 on placebo). The study drug was discontinued in 5 (19%) and 2 (8%) participants in the  
340 bexarotene and placebo groups respectively due to adverse events (Table 2).

341 All 26 (100%) bexarotene-treated participants developed central hypothyroidism (see p.7 of  
342 Appendix). 24 of these required thyroxine; two chose to withdraw from bexarotene because of  
343 a skin rash before levothyroxine could be started. 24 bexarotene participants (92%) developed  
344 raised triglyceride levels; six of these reached  $\geq 10$  mmol/L and were commenced on  
345 fenofibrate. The median highest triglyceride level, per participant, was 4·85 (IQR 4·10, 10·02)  
346 mmol/L on bexarotene compared to 1·25 (IQR 0·98, 1·83) mmol/L on placebo. Neutropenia  
347 ( $< 1 \cdot 0 \times 10^9/L$ ) occurred in 10 (38%) patients in the bexarotene group, requiring dose reductions  
348 in all, and treatment withdrawal in one. Skin reactions and headaches occurred more commonly  
349 in the bexarotene group (18 (69%) vs 2 (8%) and 14 (54%) vs 8 (33%) respectively). One  
350 participant on bexarotene, without vascular risk factors and a peak triglyceride level of 4·2  
351 mmol/L, had an asymptomatic cerebellar infarct noted on the month 6 scan. By month 9, at  
352 least three months after discontinuing bexarotene, all participants' thyroid, lipid and neutrophil  
353 counts were normal. There were no pancreatitis or cardiovascular events.

354 All MRI scans were of sufficient quality to be included in the efficacy analyses, and 3170 T2  
355 hyperintense lesions were identified (1613 white matter (WM) lesions, 106 grey matter (GM)  
356 lesions and 1451 mixed GM and WM lesions). There were too few enhancing lesions at  
357 baseline (single lesions in 3 patients, Table 1) or new T2 hyperintense lesions at follow-up (7  
358 lesions in 5 patients, see Appendix, Table 2, p.6) to allow analysis of the endpoints 1-3 listed  
359 above. We replaced endpoint 5, MRI T1 volume, with the more reliable Brain Parenchymal  
360 Fraction (see Table 3). The lesion masking prevented analysis of endpoint 5 [MRI T2 lesion  
361 load] and endpoint 8 will be reported in a later publication.

362 The primary efficacy endpoint of the intention-to-treat [ITT] population showed no evidence  
363 of treatment effect: the bexarotene – placebo adjusted difference in mean within-patient  
364 submedian lesion MTR change was 0·16 (95% CI -0·39, 0·71) pu,  $p=0\cdot554$ ; Table 3, Figure  
365 2A. The upper limit of the confidence interval is well below the target 1·18 pu which the trial  
366 was powered to detect. In exploratory analyses, when the median MTR was defined for all  
367 lesions in the ITT population, bexarotene had no effect on supramedian lesions (-0·04 (95% CI  
368 -0·52, 0·43) pu,  $p=0\cdot854$ ) and a non-statistically significant increase in MTR for submedian  
369 lesions (0·30 (95% CI -0·18, 0·78) pu,  $p=0\cdot223$ , Table 3, Figure 2B). However, an interaction

370 term comparing the treatment group differences between submedian and supramedian lesions  
371 was highly statistically significant ( $p=0.007$ ), suggesting a variation in treatment effect  
372 depending on the baseline lesional MTR.

373 When lesions were subdivided by location (Table 3), statistically significant treatment effects  
374 were seen in the ITT population within cortical GM lesions (bexarotene-placebo adjusted mean  
375 difference 1.00 (95% CI 0.17, 1.83) pu,  $p=0.023$ ), deep GM lesions (1.93 (95% CI 0.28, 3.59)  
376 pu,  $p=0.027$ ) and brainstem lesions (1.75 (95% CI 0.86, 2.63) pu,  $p=0.0004$ ), and the  
377 interaction test of variation in treatment effects gave  $p<0.0001$ , Figure 2C. A statistically  
378 significant treatment effect was seen in pure GM lesions (1.08 (95% CI 0.32, 1.83) pu,  
379  $p=0.008$ ) but not in pure WM lesions (0.10 (95% CI -0.38, 0.68) pu,  $p=0.568$ ) (interaction test  
380  $p=0.002$ ). There was no significant treatment effect of bexarotene on all T2 lesions combined,  
381 brain parenchymal fraction or normal-appearing whole tissue MTR (Table 3).

382 86 out of 98 (88%) VEP recordings were of sufficient quality to be analysed. 27 of these eyes  
383 had previously been affected by an episode of clinical acute optic neuritis; six having occurred  
384 within 2 years of baseline, a further nine between 2 and 5 years of baseline and twelve 5 years  
385 or more from baseline. In a prespecified analysis of eyes with baseline latency of  $>118$  ms (29  
386 bexarotene, 22 placebo), the adjusted bexarotene-placebo difference was -4.06 ms (95% CI -  
387 7.68, -0.44)  $p=0.028$ ; Table 3, Figure 3. This difference remained statistically significant after  
388 excluding eyes affected by clinical optic neuritis within 5 years (adjusted latency difference  
389 was -4.75 (95% CI -8.80, -0.71) ms,  $p=0.032$  in an ITT analysis, and -6.54 ms (95% CI, -  
390 10.62, -2.47),  $p=0.006$  in the per protocol (PP) group). When all eyes were included (42  
391 bexarotene and 44 placebo) there was a borderline statistically significant treatment effect in  
392 the ITT analysis (adjusted difference -2.85 (95% CI -5.75, 0.05) ms,  $p=0.054$ ), but in the PP  
393 analysis a larger statistically significant adjusted difference (-4.02 (95% CI -7.27, -0.76) ms,  
394  $p=0.015$ ) was seen; Figure 3.

395 This trial was not powered to detect a treatment effect on disability and none was seen on  
396 change in EDSS from baseline to 6 months (adjusted bexarotene-placebo difference 0.33 (-  
397 0.10, 0.76),  $p=0.134$ ). Similarly, there was no treatment effect on change in logMAR 100%-  
398 contrast visual acuity between baseline and 6 months (adjusted bexarotene-placebo difference  
399 0.03 (-0.03, 0.07),  $p=0.339$ ).

## 400 **Discussion**

401 We do not recommend the use of bexarotene in people with MS. Bexarotene was poorly  
402 tolerated and the primary efficacy objective, using a MRI endpoint untested in previous trials,  
403 was not met. Nonetheless converging evidence from several other MRI and  
404 electrophysiological outcomes, in a trial not powered to detect a treatment difference with these  
405 outcomes, suggests that bexarotene has a small biological effect to promote remyelination in  
406 some demyelinated lesions in the brains of people with MS. This aligns with the preclinical  
407 finding that RXR- $\gamma$  agonists enhance remyelination.<sup>14</sup>

408 Bexarotene caused central hypothyroidism in all patients, raised triglycerides in 92%, headache  
409 in 54%, rash in 50% and neutropenia in 38%. The rates of hypothyroidism and raised  
410 triglycerides exceed those when bexarotene is used in cutaneous T cell lymphoma (30% and  
411 74% respectively),<sup>20</sup> perhaps because of an interaction with dimethyl fumarate, whose effects  
412 on nrf2 transcription may additionally have been suppressed by bexarotene.<sup>21</sup> More selective  
413 RXR- $\gamma$  agonists, which are not currently available, would reduce the adverse effects mediated  
414 by agonism of the RXR- $\alpha$  and RXR- $\beta$  pathways,<sup>15</sup> although thyroid dysfunction would remain  
415 a potential adverse effect of RXR- $\gamma$  agonists.<sup>22</sup>

416 No previous trial has shown remyelination on both MRI and electrophysiological measures  
417 (reviewed by Lubetzki<sup>4</sup> and Cunniffe<sup>5</sup>). Mesenchymal stem cells led to improvements in VEP  
418 latency and visual acuity but not MTR.<sup>23</sup> Clemastine reduced VEP latency in eyes with chronic  
419 stable optic neuropathy but had no impact on MRI outcomes.<sup>11</sup> Anti-Lingo1 reduced VEP  
420 latency in acute optic neuritis in a per protocol analysis, but had no effect on MRI measures.<sup>12</sup>  
421 Small MTR increases were reported with an H3 receptor antagonist (in lesions).<sup>13</sup>

422 Importantly for the design of future trials examining remyelination in MS, this study  
423 demonstrates that MS lesions are heterogeneous in their capacity for remyelination in response  
424 to RXR agonists. There was greater remyelination in lesions that were more demyelinated at  
425 baseline. Also, grey matter plaques showed greater remyelination than those in white matter,  
426 which is consistent with the pathology literature.<sup>24-26</sup> The higher grey matter content of the  
427 brainstem may explain the greater treatment effect seen in lesions there, but segmentation of  
428 the brainstem into grey and white matter to confirm this was not possible technically. Enhanced  
429 remyelination of cortical grey matter neurons may also have contributed to the improved visual  
430 evoked potential, since less than half the variance of VEP latency can be attributed to MRI  
431 lesions within the visual tract.<sup>27</sup> At 3T, FLAIR detects less than 7% of pure CGM lesions at  
432 post-mortem; it identifies no intracortical or purely subpial lesions.<sup>28</sup> The cortical GM lesion

433 results may therefore not be generalisable to all cortical lesions. We therefore recommend  
434 future phase 2 remyelination trials use both VEP and MRI outcome measures sensitive to grey  
435 matter lesions. The advantage of MRI lesion-level analyses, enabling relatively powerful  
436 formal treatment effect comparisons in different lesion types, is offset by the fact that patients,  
437 not lesions, are randomised, the latter being potentially vulnerable to selection bias. The  
438 exploratory lesion level results here should therefore be considered hypothesis-generating. But  
439 this study does suggest that focusing patient-level analyses on certain lesion types may be  
440 promising. We believe the most useful patient population for phase 2 trials of remyelinating  
441 therapies of chronic lesions is inactive non-disabled relapsing-remitting multiple sclerosis, on  
442 immunotherapy, in whom there are most likely to be established demyelinating lesions with  
443 intact axons.

444 Limitations of our study are that it was not powered to detect a treatment difference with the  
445 exploratory outcomes. Also, although our trial was based on preclinical work showing RXR- $\gamma$   
446 agonists' direct effect on OPCs,<sup>14</sup> other mechanisms may be at play. Bexarotene may also have  
447 enhanced remyelination indirectly by increasing phagocytosis of myelin debris,<sup>29</sup> which  
448 inhibits OPC differentiation,<sup>8</sup> through the RXR- $\alpha$  pathway. We cannot exclude the possibility  
449 that thyroxine, used to treat 24 patients' hypothyroidism in the bexarotene arm, promoted  
450 remyelination,<sup>30</sup> although patients' T3 and T4 levels never rose above pre-treatment levels (see  
451 Appendix, p.7). Nevertheless, our data, together with other studies using therapies that target  
452 OPC differentiation,<sup>11,12</sup> suggest this is a viable approach to promote remyelination in MS.

453 Trials of remyelinating treatments mark the beginning of a new phase in the treatment of MS,  
454 following success in suppressing the inflammatory component of MS. Although bexarotene is  
455 unlikely to become a future treatment of MS because of its serious adverse effects, this trial  
456 identifies a potential new strategy, RXR- $\gamma$  agonism, and informs future designs, for  
457 remyelinating trials.

458

## 459 **Figure legends**

460 **Figure 1. Trial design.** EDSS: expanded disability status scale.

461 **Figure 2. MRI outcomes.** A: The change between month 6 and baseline in patient mean  
462 submedian lesional MTR by trial group. Bars are standard errors around the unadjusted group



463 mean changes. B: The active-placebo adjusted differences in lesional MTR change, subdivided  
464 by lesion baseline MTR relative to the lesion sample median. Bars are 95% confidence  
465 intervals. C: The active-placebo adjusted differences in lesional MTR change, subdivided by  
466 lesion location. Bars are 95% confidence intervals. Dotted line represents the target difference  
467 in the power calculation. Pu: percentage unit; GM: grey matter; DGM: deep grey matter; WM:  
468 white matter. All are pre-specified endpoints: A is the eprimary efficacy endpoint, B-C are  
469 exploratory.

470 **Figure 3. Electrophysiological outcomes.** A: the change in P100 latency between month 6  
471 and baseline for all eyes subdivided by trial group. B: the change in P100 latency between  
472 month 6 and baseline for those eyes with a delayed (>118 ms) latency at baseline subdivided  
473 by trial group. Bars are standard errors around the unadjusted group mean changes. Both are  
474 pre-specified exploratory endpoints.

475

476

477 **Contributors**

478 JWLB designed and wrote the trial protocol, recruited participants, was an evaluating  
479 physician, oversaw MRI data acquisition, analysed the data, and wrote the manuscript. NGC  
480 recruited participants, was an evaluating physician, oversaw VEP data acquisition, analysed  
481 the data, and wrote the manuscript. FP, BK, DM, RSS, JS, and CGWK analysed MRI data, and  
482 critically revised the manuscript. JJ, EN, and ZG were evaluating physicians, and critically  
483 revised the manuscript. DR, ORP, and JO were members of the trial steering committee,  
484 approved the protocol, oversaw trial safety, and critically revised the manuscript. CFFC and  
485 RJMF developed the preclinical scientific rationale for the study, advised on the protocol and  
486 critically revised the manuscript. CM handled the thyroid protocol and advised on cases. PDF  
487 handled the lipid protocol and advised on cases. AWM was responsible for VEP data  
488 acquisition and critically revised the manuscript. SC and PC were primary investigators,  
489 advised on the protocol, acted as evaluating physicians, acquired data, and critically revised  
490 the manuscript. DRA advised on the protocol, led the power calculations, wrote the statistical  
491 plan, did the primary analysis of the data, and critically revised the manuscript. DTC advised  
492 on the protocol, oversaw all aspects of the MRI data acquisition and analysis, and critically  
493 revised the manuscript. AJC designed and wrote the trial protocol, secured funding, evaluated  
494 and recruited participants, oversaw data collection and analysis, and wrote the manuscript. All  
495 authors had access to all the raw data, after the outcomes had been evaluated. AJC, NGC and  
496 JWLB verified the data.

497 **Declaration of interests**

498 JWLB reports personal fees from Biogen Idec for Real-World Evidence consultation, outside  
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#### 541 **Data sharing**

542 We are committed to open access of trial data. The data for the primary efficacy endpoint is  
543 available from the EUDRACT website.

544 From our website: [https://www-neurosciences.medschl.cam.ac.uk/jones-coles-group/ccmr-  
545 one-bexarotene-trial-datasets/](https://www-neurosciences.medschl.cam.ac.uk/jones-coles-group/ccmr-one-bexarotene-trial-datasets/) the following trial datasets (including data dictionaries) are  
546 available for researchers: deidentified participant data, primary efficacy endpoint dataset, VEP  
547 dataset and lesional MTR dataset. In addition, we will make these trial documents available:  
548 study protocol, statistical analysis plan, informed consent form. Access requests should be  
549 made to the CI (Alasdair Coles, [ajc1020@medschl.cam.ac.uk](mailto:ajc1020@medschl.cam.ac.uk)). A signed data access agreement  
550 will be required and investigator support may be provided if part of an academic collaboration.  
551 All data will be available with publication, with no end date.

552

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