

Optimization of multi-point phase retrieval in edge illumination X-ray imaging

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Abstract

Purpose: In this work, an analytical model describing the noise in the retrieved three contrast channels, transmission, refraction and ultra-small angle scattering, obtained with edge illumination X-ray phase-based imaging system is presented and compared to experimental data.

Methods: In edge illumination, images acquired at different displacements of the pre-sample mask (i.e. different illumination levels referred to as points on the “illumination curve”), followed by pixel-wise curve fitting, are exploited to quantitatively retrieve the three contrast channels. Therefore, the noise in the final image will depend on the error associated with the fitting process. We use a model based on the derivation of the standard error on fitted parameters, which relies on the calculation of the covariance matrix, to estimate the noise and the cross-channel correlation as a function of the position of the sampling points. In particular, we investigated the most common cases of three and five sampling points. In addition, simulations have been used to better understand the role of the integration time for each sampling point. Finally, the model is validated by comparison with the experimental data acquired with an edge illumination setup based on a tungsten rotating anode X-ray source and a photon counting detector.

Results: We found a good match between the predictions of the model and the experimental data. In particular, for the investigated cases, an arrangement of the sampling points leading to minimum noise and cross-channel correlation can be found. Simulations revealed that, given a fixed overall scanning time, its distribution into the smallest

33 possible number of sampling points needed for phase retrieval leads to minimum noise
34 thanks to higher statistics per point.

35 **Conclusions:** This work presents an analytical model describing the noise in the edge
36 illumination contrast channels as function of the illumination curve sampling. In par-
37 ticular, an optimal sampling scheme leading to minimum noise has been determined
38 when three or five sampling points are used, which represents the most common ac-
39 quisition scheme. In addition, the correlation between noise in the different channels
40 and the role of the number of points and exposure time have been also investigated.
41 In general, our results suggest a series of procedures that should be followed in order
42 to optimize the experimental acquisitions.

43 Introduction

44 In conventional X-ray imaging, contrast arises from differences in the absorption coefficients,
45 which can be very low when imaging soft tissue specimens, leading to poor signal-to-noise
46 ratio (SNR) and excessive dose. Furthermore, staining protocols are often required. Phase
47 contrast imaging may provide a viable alternative, through the exploitation of phase vari-
48 ations encountered by the X-ray beam when traversing a specimen^{1,2}. In particular, since
49 the real part of the complex refractive index ($n = 1 - \delta + i\beta$) is up to three orders of mag-
50 nitude larger than the absorption one at x-ray energies relevant for medical imaging (above
51 10 keV), phase imaging can provide greater contrast and better SNR at the same or even
52 reduced dose , **especially for high resolution applications**^{3,4,5}. Currently, the combination
53 of phase contrast and tomography at synchrotron radiation facilities delivers high-contrast
54 images of soft tissues with micron and sub-micron resolution, which allows volumetric quan-
55 titative analyses; the ability to do this non-destructively makes the same specimen available
56 for further investigations such as conventional histology^{6,7}. Therefore, X-ray phase contrast
57 imaging is becoming increasingly important in the pre-clinical investigation of pathological
58 conditions^{8,9,10}. The limited access to synchrotrons currently represents the main limit to
59 the widespread application of this technique. For this reason, phase imaging techniques
60 based on conventional X-ray sources have been developed, which are typically based on the
61 use of optical elements such as absorption and phase gratings^{11,12}. In addition to transmis-
62 sion and phase imaging, these techniques provide access to the ultra-small angle scattering
63 (or dark-field) signal, which has proven to be useful both for material and medical imaging
64 applications^{13,14}. Edge illumination is one of these techniques. It is based on the use of two
65 absorption masks to shape the beam into a series of beamlets, and detect a change in their
66 propagation direction as a consequence of refraction^{12,15}. Changes in the beamlets' width
67 and intensity are a consequence of ultra-small angle scattering and transmission, respectively.
68 The relatively simple implementation and versatility in terms of scanning modes and acces-
69 sible spatial resolution levels make edge illumination a promising phase detection scheme
70 for clinical applications allowing also single-image retrieval approaches^{16,17,18}. The phase sen-
71 sitivity is fully described by the illumination curve (IC), which expresses the quantitative
72 relationship between the change in beamlet direction and recorded change of intensity on
73 the detector¹⁹. It is usually Gaussian shaped, and represents the basis for the phase retrieval

74 which is performed by quantifying the perturbation that the IC undergoes when a sample
75 is placed into the beam path²⁰. Quantification is usually achieved by a pixel-wise Gaussian
76 fit of the intensity values obtained by displacing one of the masks in a series of positions,
77 with and without the sample. Since phase retrieval relies on curve fitting, the noise in the
78 final image will depend on the error associated with the fitting process. In this work, we
79 present an analysis of the noise in the retrieved transmission, refraction and dark field con-
80 trast channels obtained with an edge illumination setup when using multi-point retrieval. A
81 theoretical model based on the derivation of the standard error on fitted parameters is de-
82 veloped and compared to the experimental data, showing a very good agreement. Different
83 experimental conditions, involving the acquisition of three or five input images, have been
84 considered, and in each case the positions of the IC sampling points leading to the minimum
85 noise and cross-channel correlation have been determined for each contrast channel. We
86 also used simulations to investigate whether it is preferable to distribute the same overall
87 statistics in more or fewer sampling points, a typical question when the overall acquisition
88 time is limited. The cross-channel correlation has also been investigated. This work will help
89 determine the acquisition scheme in a multi-point scan that optimises the subsequent phase
90 retrieval. In addition, it presents a noise model that can be adapted to different experimental
91 techniques based on curve fitting, as well as to different IC shapes.

92 **Materials and Methods**

93 **Edge illumination**

94 Edge illumination is a phase gradient method particularly well suited to laboratory applica-
95 tions since it is achromatic and does not require a coherent X-ray beam^{15,21,22}. A schematic
96 view of an edge illumination system is shown in Fig.1(a). This method is based on the use
97 of two absorption gratings, usually referred to as masks. The first (sample) mask is placed
98 before the sample, and splits the main X-ray beam into a series of beamlets. The second
99 (detector) mask is positioned in front of the detector so as to intercept a portion of each
100 beamlet. When an object is inserted into the beam path, refraction causes a shift of the
101 beamlets away from or towards the corresponding aperture in the detector mask, leading to a
102 change in the recorded intensity. A quantitative relationship exists between the recorded in-

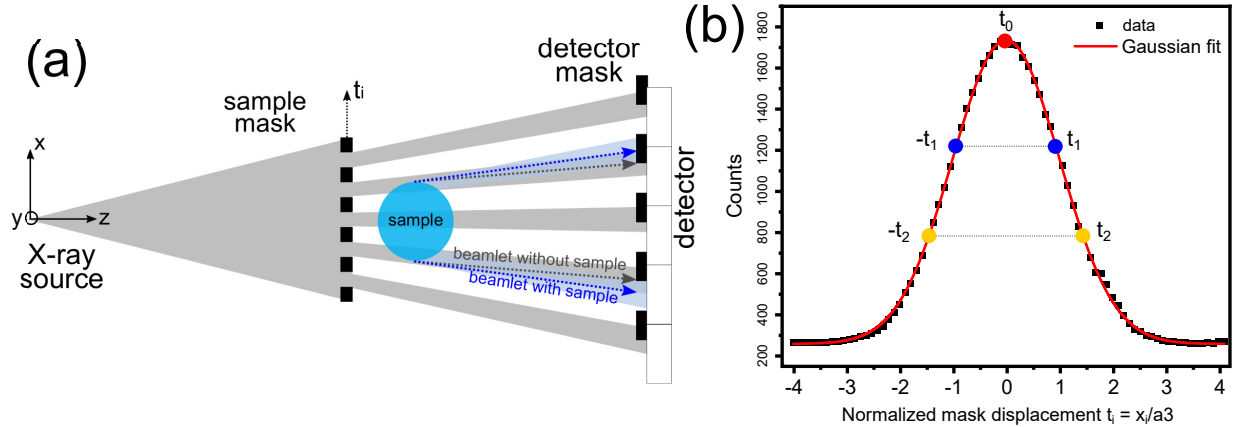


Figure 1: Panel (a) shows a schematic view of a typical edge illumination system. Panel (b) reports the illumination curve obtained with the specific edge illumination system used and a fit using a Gaussian model. The investigated arrangement of the sampling points is also indicated.

103 tensity change and the refraction angle; this is expressed through the IC, which characterizes
 104 the phase sensitivity of an edge illumination system. It can be measured experimentally by
 105 moving the masks relative to each other each other and recording the transmitted intensity;
 106 usually the sample mask is scanned, while the detector mask is kept still, see Fig.1(a). It
 107 can be expressed mathematically as:

$$108 \quad IC(x) = (A_1 * S * A_2)(x) \quad (1)$$

109 where A_1 and A_2 are the sample and detector mask transmission functions, and S is the
 110 source shape projected onto the detector plane. The $*$ symbol denotes the convolution
 111 operator. Since the focal spot is usually Gaussian shaped, the IC is well described by a
 112 Gaussian function as shown by the fitting of a real dataset in Fig.1(b). The IC is also the
 113 starting point for a quantitative phase retrieval algorithm since transmission, refraction and
 114 ultra small-angle scattering have different effects on the curve. Specifically, transmission
 115 reduces the intensity of each beamlet depending on the imaginary part of the refractive
 116 index β , and refraction shifts each beamlet according to the first derivative of the phase
 117 shift with respect to the transverse coordinate x ²⁰. In addition, ultra small-angle scattering
 118 is responsible for a change in the width of the IC²³. Combining the effects of these three
 119 processes on a beamlet, the intensity recorded by each pixel at position x of detector column
 120 y for a relative mask position t can be expressed as:

$$121 \quad I(x, y, t) = T(x, y)[O(x, y) * IC(x, y, t - \Delta t)] + d \quad (2)$$

122 where $T(x, y)$ is the sample transmission function and $IC(x, y, t - \Delta t)$ is the illumination
 123 curve, shifted because of refraction and convolved with the object scattering function O . An
 124 offset d has been introduced to take into account that usually the IC does not go to zero
 125 because of residual beam transmission through the masks. The quantities $T(x, y)$ and Δx are
 126 quantitatively related to the imaginary and unit decrement of the real part of the refractive
 127 index ($n=1-\delta+i\beta$), respectively. In particular, $T(x, y) = e^{-\int \mu(x, y, z) dz}$, where $\mu = (4\pi/\lambda)\beta$
 128 and λ is the wavelength of the incident radiation and $\Delta t \sim z_{od} \nabla_x \int \delta(x, y, z) dz$, where z_{od}
 129 is the sample to detector distance and ∇_x is the gradient in the sample mask plane and
 130 perpendicular to the direction of the apertures. Assuming a Gaussian approximation for
 131 both the IC and the scattering function, Eq.2 can be written as:

$$132 \quad I(t) = T A_{IC} e^{-\frac{(t-\Delta t)^2}{(2\sigma^2)}} + d \quad (3)$$

133 where the x, y dependency has been neglected for simplicity and A_{IC} corresponds to the
 134 maximum value of the IC without the offset, and the scattering function $O(x, y)$ has been
 135 described by a Gaussian with unit amplitude and same centre as the IC, and width σ_O ^{20,23}.
 136 Therefore, $\sigma = \sqrt{\sigma_{IC}^2 + \sigma_O^2}$, where σ_{IC} is the width parameter of the IC without the object.
 137 The acquisition of at least three images at different IC positions allows to solve eq.3 for
 138 each detector pixel and retrieve $T(x, y)$, Δx and σ (and therefore σ_O) which are related
 139 to physical properties of the investigated sample. A straightforward way to proceed is to
 140 perform pixel-wise Gaussian curve fitting of the form:

$$141 \quad G(t, \mathbf{a}_{f,s}) = a_{1 f,s} e^{-\frac{(t-a_{2 f,s})^2}{(2a_{3 f,s}^2)}} + d_{f,s} \quad (4)$$

142 where the subscripts f, s refer to the fit parameters obtained without and with the sample,
 143 respectively. **If the offset d exists, it can be assumed a-priori or determined by on an iterative**
 144 **basis**²⁰. The extracted fit parameters are related to the physical quantities in eq.3 by:

$$145 \quad T = a_{1 s}/a_{1 f} \quad \Delta x = a_{2 s} - a_{2 f} \quad \sigma_O^2 = a_{3 s}^2 - a_{3 f}^2 \quad (5)$$

146 where all relations apply on a pixel-wise basis. If the offset d is assumed to be the same
 147 with and without the object, it is sufficient to sample the IC at three positions to fit the
 148 $G(x, \mathbf{a}_{f,s})$ model to the experimental data. If this assumption is violated, as in the case of
 149 a sample causing non-negligible beam hardening, the offset must be taken into account as a
 150 parameter in the fit model and at least four sampling point are needed. The Gaussian model

151 of eq.4 is referred to as "non-normalized". Similarly, a normalized model can be defined by
 152 dividing the Gaussian by its area:

$$153 \quad G(t, \mathbf{a}_{f,s}) = \frac{a_{1f,s}}{\sqrt{2\pi}a_{3f,s}} e^{-\frac{(t-a_{2f,s})^2}{(2a_{3f,s}^2)}} + d_{f,s} \quad (6)$$

154 **While there is no physical reason to prefer one of these formulations**, as we will show in the
 155 Results section, the normalization factor has an impact in terms of correlation between the
 156 extracted parameters.

157 Noise model

158 As indicated by eq.5, the retrieved physical sample parameters are obtained from the pixel-
 159 wise estimated Gaussian fit parameters. The conventional way to find the optimal set of fit
 160 parameters is by minimization of the normalized residuals, which means finding the set of
 161 parameters \mathbf{a}^0 that solve the system of equations:

$$162 \quad \frac{\partial R}{\partial a_j} = \frac{\partial}{\partial a_j} \sum_i^n \frac{1}{\sigma_i^2} [y_i - f(x_i, a_j^0)]^2 = 0 \quad (7)$$

163 where f is the fitted model and j and i run from 1 to the number of model parameters m
 164 and to the number n of experimental data points y_i , respectively. σ_i is the uncertainty on
 165 each of the measured y_i points. **To solve this equation**, a set of parameters \mathbf{a}^k is chosen at
 166 the beginning as initial guess. In the most used fitting algorithms, assuming the chosen \mathbf{a}^k
 167 is reasonably close to \mathbf{a}^0 , the function f can be linearized by Taylor expansion²⁴:

$$168 \quad f(x_i, \mathbf{a}^0) \sim f(x_i, \mathbf{a}^k) + \sum_j^m \frac{\partial f(x_i, a_j)}{\partial a_j} (a_j^0 - a_j^k) = f(x_i, \mathbf{a}^k) + \sum_j^m J_{ij} \Delta a_j \quad (8)$$

169 where the index k is indicating the iteration number, \mathbf{J}_{ij} are the elements of the Jacobian
 170 matrix \mathbf{J} of f , and Δa_j are the distances between the set of parameters at iteration k and
 171 the target one minimizing the residuals. Substituting the eq.8 into eq.5 and rearranging the
 172 terms^{24,25}, we obtain the matrix equation:

$$173 \quad (\mathbf{J}^T \mathbf{W} \mathbf{J}) \Delta \mathbf{a} = \mathbf{J}^T \mathbf{W} \Delta \mathbf{y} \quad (9)$$

174 where $\Delta y_i = y_i - f(x_i, a_j^k)$ and \mathbf{W} is an $n \times n$ matrix with entries $1/\sigma_i^2$ for each of the n
 175 experimental points along the diagonal. From eq.9 the distance of the parameters set at

176 iteration k from the target one can be obtained as:

$$177 \quad \Delta \mathbf{a} = (\mathbf{J}^T \mathbf{W} \mathbf{J})^{-1} \mathbf{J}^T \mathbf{W} \Delta \mathbf{y} = \mathbf{C} \mathbf{J}^T \mathbf{W} \Delta \mathbf{y} \quad (10)$$

178 where \mathbf{C} is a symmetric $m \times m$ matrix defined as $\mathbf{C} = \mathbf{H}^{-1} = (\mathbf{J}^T \mathbf{W} \mathbf{J})^{-1}$, which is referred
 179 to as the covariance matrix. It is worth noting that, in the linear least square case, eq.10
 180 represents the exact solution, while in the non-linear case discussed here it represents the
 181 distance of the current parameters set from the target one. Therefore, the parameters set can
 182 now be updated as $\mathbf{a}^{k+1} = \mathbf{a}^k + \Delta \mathbf{a}$ and the entire process is repeated until some convergence
 183 criteria are met. Eq.10 provides also the basis to calculate the error on the fitted parameters,
 184 which is indicated by δa_j and can be written as:

$$185 \quad \delta a_j = \sum_i^n \frac{\partial a_j}{\partial y_i} \delta y_i \quad (11)$$

186 The calculation of the derivatives by means of eq.10 leads to the matrix equation:

$$187 \quad \delta \mathbf{a} = \mathbf{C} \mathbf{J}^T \mathbf{W} \delta \mathbf{y} \quad (12)$$

188 It is now possible to calculate the variance and the covariance for the variables \mathbf{a} as:

$$189 \quad \sigma_{\mathbf{a}}^2 = \langle \delta \mathbf{a} \delta \mathbf{a}^T \rangle = \langle \mathbf{C} \mathbf{J}^T \mathbf{W} \delta \mathbf{y} \delta \mathbf{y}^T \mathbf{W} \mathbf{J} \mathbf{C}^T \rangle = \mathbf{C} \mathbf{J}^T \mathbf{W} \langle \delta \mathbf{y} \delta \mathbf{y}^T \rangle \mathbf{W} \mathbf{J} \mathbf{C}^T \quad (13)$$

190 where $\langle . \rangle$ denotes the average over the errors on the experimental data points. Since these
 191 can be assumed to be uncorrelated, the covariance $\langle \delta y_i \delta y_j \rangle$ is always zero except when $i = j$,
 192 which represents the variance σ_i^2 . Therefore, $\mathbf{W} \langle \delta \mathbf{y} \delta \mathbf{y}^T \rangle$ is the identity matrix, and eq.13
 193 becomes:

$$194 \quad \sigma_{\mathbf{a}}^2 = \mathbf{C} (\mathbf{J}^T \mathbf{W} \mathbf{J}) \mathbf{C}^T = \mathbf{C} \quad (14)$$

195 which shows that the diagonal elements of \mathbf{C} represent the variance on the fitted parameters,
 196 while the off-diagonal terms are their covariances, i.e.:

$$197 \quad \sigma_{a_j}^2 = C_{jj} \quad \sigma_{a_j - a_k}^2 = C_{jk} \quad (15)$$

198 This result shows that, in general, the errors on the coefficients are correlated, which means
 199 that the off-diagonal terms in the covariance matrix do not vanish. Eq.15 is the starting point
 200 for the noise analysis performed in this work. Following the definition of \mathbf{C} , the elements of
 201 the covariance matrix can be obtained from the inversion of \mathbf{H} , whose elements are of the
 202 form:

$$203 \quad h_{jk} = \sum_{i=1}^n \frac{1}{\sigma_i^2} \frac{\partial f(x_i, \mathbf{a})}{\partial a_j} \frac{\partial f(x_i, \mathbf{a})}{\partial a_k} \quad (16)$$

204 **Three-point retrieval**

205 In order to proceed further, we assume that f is well represented by a Gaussian model,
 206 which justifies the use of eq.5 to fit the experimental intensity distribution described by
 207 eq.3. The offset is assumed not to vary following the introduction of the sample, so that
 208 only three IC sampling points are needed. We also assume that the uncertainty σ_i on the
 209 measured value y_i is a function of the value of the point itself and of the set of parameters \mathbf{a} ,
 210 i.e. $\sigma_i = \sigma(x_i, \mathbf{a})$, that from an experimental point of view corresponds to use the standard
 211 deviation of a series of measurements obtained from a Poissonian distribution as a noisy
 212 estimate of the true noise value. Under these assumptions \mathbf{H} becomes a 3×3 matrix the
 213 independent elements of which are:

$$\begin{aligned}
 h_{11} &= \sum_{i=1}^n \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})} & h_{12} &= \frac{a_1}{a_3} \sum_{i=1}^n t_i \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})} & h_{13} &= \frac{a_1}{a_3} \sum_{i=1}^n t_i^2 \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})} \\
 h_{22} &= \sum_{i=1}^n t_i^2 \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})} & h_{23} &= \frac{a_1^2}{a_3^2} \sum_{i=1}^n t_i^3 \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})} & h_{33} &= \frac{a_1^2}{a_3^2} \sum_{i=1}^n t_i^4 \frac{e^{-t_i^2}}{\sigma(x_i, \mathbf{a})}
 \end{aligned} \tag{17}$$

216 where $t_i = (x_i - a_2)/a_3$. In general, all the h_{ij} elements are different from zero. However,
 217 without loss of generality, the origin of the x-axis can be set in a_2 (i.e. $a_2 = 0$), and the n
 218 data points can be assumed to be symmetrically arranged on either side of the IC peak (see
 219 plot in Fig.1(b)) which represents the most typical acquisition scheme for edge illumination.
 220 With this choice, all the elements with an odd power of t vanish, namely $h_{12} = h_{23} = 0$.
 221 Moreover, \mathbf{H} is a symmetric-defined matrix, therefore:

$$\mathbf{H} = \begin{pmatrix} h_{11} & 0 & h_{13} \\ 0 & h_{22} & 0 \\ h_{13} & 0 & h_{33} \end{pmatrix} \tag{18}$$

224 which can be analytically inverted, leading to the following expressions for the variances of
 225 the fitted Gaussian amplitude, centre and width:

$$\sigma_{a_1}^2 = C_{11} = \frac{h_{33}}{h_{11}h_{33} - h_{13}^2} \quad \sigma_{a_2}^2 = C_{22} = \frac{1}{h_{22}} \quad \sigma_{a_3}^2 = C_{33} = \frac{h_{11}}{h_{11}h_{33} - h_{13}^2} \tag{19}$$

227 while only the off-diagonal element $C_{13} = C_{31}$ are different from zero and equal to:

$$\sigma_{a_1 - a_3}^2 = C_{13} = -\frac{h_{13}}{h_{11}h_{33} - h_{13}^2} \tag{20}$$

229 indicating that a degree of correlation exists between the fitted amplitude and width. In
 230 order to obtain a theoretical model which can be directly compared to the experimental

231 data regardless of the sample, we further restrict the analysis to noise in the background,
 232 the reduction of which will boost the SNR of the image⁵. This allows to assume the same
 233 expected value for the fitted parameters with and without the sample and constant offset.
 234 Therefore, the error on the transmission, refraction and dark-field contrast channels can be
 235 obtained from the variance on fitted parameters simply by applying error propagation to
 236 eq.5:

$$237 \quad \sigma_{Transmission}^2 = 2 \frac{\sigma_{a_1}^2}{a_1^2} \quad \sigma_{Refraction}^2 = 2 \sigma_{a_2}^2 \quad \sigma_{Dark-field}^2 = 2 \sigma_{a_3}^2 \quad (21)$$

238 Assuming that the three data points are of the form $(-x_1, 0, x_1)$ where as said 0 corresponds
 239 to the peak of the IC, and a Poisson-like noise of the form $\sigma(x_i, \mathbf{a}) = \sqrt{A G(x_i, \mathbf{a}) + B}$, which
 240 agrees with the behaviour of the normalized data in use (see supplementary materials), the
 241 h_{ij} elements in eq.17 can be calculated analytically leading to the following expressions for
 242 the noise in the background of each contrast channel:

$$243 \quad \begin{aligned} \sigma_{Transmission}^2 &= \frac{2}{a_1^2} [A(a_1 + d) + B] & \sigma_{Refraction}^2 &= \frac{a_3^4}{x_1^2 a_1^2} e^{t_i^2} \left(A a_1 e^{-t_i^2/2} + A d + B \right) \\ \sigma_{Darkfield}^2 &= \frac{a_3^6}{x_1^4 a_1^2} \left[\left(2 + e^{t_i^2} \right) (B + 2dA) + A a_1 \left(2 + e^{t_i^2/2} \right) \right] \end{aligned} \quad (22)$$

244 As well as on the system parameters (through the shape of the IC, which is a function of the
 245 source and mask parameters), the noise in the refraction and dark-field channels depends on
 246 the sampling points, suggesting that the noise in the retrieved images can be optimized by a
 247 careful choice of their position. Remarkably, the noise in the transmission channel is found
 248 not to depend on the IC width nor to the sampling points position. It mainly depends on the
 249 term $a_1 + d$ which represents the top value of IC, confirming the empirical observation that
 250 the only way to improve the conventional transmission contrast is to increase the photon
 251 statistic¹⁶ It is worth noting that the noise variances can be obtained in the case of a perfect
 252 Poissonian response setting $A = 1$ and $B = 0$. On the other hands, if the noise of the system
 253 is characterized by a Gaussian distributed noise which is independent from the position of the
 254 sampling points, which can be the case of the dark current for an integrating detector, the
 255 same analysis can be repeated simply this new component to the definition of σ , obtaining
 256 new equations for the noise in each channel.

257 **Five-point retrievals**

258 In the previous section, restricting the analysis to the background noise allowed to assume
259 that the offset in the fitting model did not vary with the introduction of the sample, and
260 therefore that only three sampling points were required for the retrieval. However, four or
261 more sampling points are needed when imaging specimens for which the offset cannot be
262 assumed constant, such as those causing a significant degree of beam hardening. In this case,
263 we will use five sampling points, which is compatible with our approach where a point on the
264 top of the IC is accompanied by an equal number of additional points placed symmetrically
265 on each side, and leads to a more robust retrieval of the four sample parameters. Assuming
266 again sampling points symmetric with respect to the IC top, i.e. $(-x_2, -x_1, 0, x_1, x_2)$ and
267 $a_2 = 0$, the matrix \mathbf{H} becomes a 4×4 matrix of the form:

$$268 \quad \mathbf{H} = \begin{pmatrix} h_{11} & 0 & h_{13} & h_{14} \\ 0 & h_{22} & 0 & 0 \\ h_{13} & 0 & h_{33} & h_{34} \\ h_{14} & 0 & h_{34} & h_{44} \end{pmatrix} \quad (23)$$

269

270 which can be inverted to obtain \mathbf{C} . From \mathbf{C} the same considerations leading to eq.22 can be
271 followed to obtain explicit expressions for the background noise of the three contrast channels
272 and their correlations, which are again a function of the fitted IC parameters \mathbf{a} and of the
273 positions of the sampling points. **Explicit expressions are reported in the supplementary**
274 **materials due** to their increased length and complexity.

275 **Data acquisition**

276 The imaging system uses a tungsten anode COMET MXR-160HP/11 x-ray source (Comet,
277 Wünnewil-Flamatt, Switzerland), which, for collecting these data, was operated at 90 kVp
278 and 7.7 mA with a nominal focal spot of 0.4 mm. To increase the intensity of the phase signal,
279 this was reduced to 70 μm along the x direction with a Huber slit (Huber Diffractionstechnik
280 GmbH & Co. KG, Rimsting, Germany) placed against the output window. The detector
281 is a single photon counting Cd-Te CMOS (XCounter XC-FLITE FX2, Direct Conversion,
282 Danderyd, Sweden) with 2048×128 square pixels 100 μm in side, placed at approximately
283 2.1 m from the x-ray source. Pre-sample and detector masks were placed at 1.60 m and
284 2.06 m from the source, respectively. The detector mask was 20 cm tall and featured 28 μm

285 wide apertures (one per detector pixel), with a regular period of $98 \mu\text{m}$. The pre-sample
 286 mask was 15 cm tall and featured $21 \mu\text{m}$ wide apertures, with a regular $75 \mu\text{m}$ period, offset
 287 along the z-axis, by -22 mm to create a Moire fringe pattern at the detector. The masks
 288 were fabricated by electroplating approximately $300 \mu\text{m}$ of gold on a 1 mm thick graphite
 289 substrate by Microworks GmbH (Karlsruhe, Germany). They were mounted on pairs of
 290 linear translators for movement along and across the optical axis (Newport, Irvine, CA),
 291 and on a cradle for rotation around the optical axis (Kohzu, Kawasaki, Japan). This system
 292 allows producing an IC spanning over many pixels, and tuning the number of sampling points
 293 by changing the pre-sample mask offset along the z-axis, and therefore the size of the Moire
 294 fringe. Since the noise analysis was restricted to the background, 50 flat-field images of 1
 295 second exposure each have been used for the data analysis. The image size was 712×78
 296 pixels, where the number of rows and columns correspond to independent IC profiles and
 297 to the number of IC sampling points, respectively. In this case, the latter is high enough to
 298 allow for an efficient sub-sampling (see supplementary materials).

299 Data analysis

300 As a preliminary step, all the 712×50 IC profiles were fitted with the Gaussian model
 301 reported in eq.5 to obtain the average amplitude, centre and offset required for theoretical
 302 model. The results are reported in table 1 in terms of mean value and standard deviation,
 where $a_{1,2,3,4}$ are the IC's amplitude, centre, width and offset, respectively. The x-axis for

| Parameter | units | mean value | standard deviation |
|-----------|-------------------|-------------------|--------------------|
| a_1 | number of photons | 1700 | 19 |
| a_2 | mm | $6 \cdot 10^{-5}$ | $1 \cdot 10^{-3}$ |
| a_3 | mm | 0.1 | $1 \cdot 10^{-3}$ |
| a_4 | number of photons | 300 | 6 |

Table 1: Average values of the experimental Gaussian profiles

303
 304 the fit has been chosen symmetrically with respect to the top of the IC, in accordance to
 305 the $a_2 = 0$ assumption used to develop the theoretical model. To eliminate the effects of
 306 masks and beam inhomogeneities, the average of 35 images has been used as a flat-field
 307 to normalize the remaining 15 images, which have been used for the actual data analysis.

308 The noise of the so obtained data are described by a Poisson-like distribution of the form
 309 $\sqrt{A N + B}$, where N is the number of photons and $A = 1.15$, $B = 20$ (see supplementary
 310 materials). In order to preserve the average value of each column after normalization, these
 311 have been multiplied by the average counts of the corresponding columns in the flat-field;
 312 as a reminder, because of the moiré-style acquisition, columns correspond to different points
 313 on the IC (see above). For this reason, images have been rearranged in a set where the
 314 n -th image corresponds to the n -th column of all the 15 original images (see supplementary
 315 material for details). Therefore, the new dataset consists of a number of images equal to
 316 the number of columns in the original images, each corresponding to a subsequent point
 317 on the IC as would be acquired in a standard multi-point EI acquisition. This rich dataset
 318 with many available IC points allowed selecting multiple combinations corresponding to both
 319 three and five sampling point acquisitions. In the first case they are of the form $(-x_1, 0,$
 320 $x_1)$ where x_1 is the distance from the sampling point located at 0 (the top of the IC). In
 321 the latter, they are of the form $(-x_2, -x_1, 0, x_1, x_2)$, where both x_1 and x_2 are varied (with
 322 $x_2 \geq x_1$). According to the number and arrangement of the investigated sampling points,
 323 the corresponding “re-arranged” images are selected, and a pixel-wise weighted non-linear
 324 least square fit applied, with weights equal to the inverse of the value of the fitting function
 325 in agreement to eq.7. **The fit was performed by means of Matlab[®] *lsqcurvefit* using default**
 326 **parameters.** The result is a series of about 712×15 values for amplitude, centre and width.
 327 Two subsets of size 50×50 have been extracted at random for each parameter, and the
 328 corresponding transmission, refraction and dark-field signals have been calculated according
 329 to eq.5. This random extraction of 50×50 subsets for each parameter was repeated 100
 330 times, and the standard deviation for the three contrast channels recorded each time. The
 331 final noise value was obtained as the average of all these standard deviation values, and
 332 its uncertainty as their standard deviation. A similar approach is used to calculate the
 333 covariance according to its definition:

$$334 \quad cov(X, Y) = E[(X - E[X])(Y - E[Y])] \quad (24)$$

335 where E denotes the expected value, and X and Y are two random distributed variables.
 336 By definition the covariance is not bounded and its units change according to the meaning
 337 of X and Y . Therefore, to enable comparing different contrast channels, the correlation has

338 been used instead, which is defined as:

$$339 \quad \text{corr}(X, Y) = \frac{\text{cov}(X, Y)}{\sqrt{\text{var}(X)\text{var}(Y)}} \quad (25)$$

340 where var indicates the variance. Unlike the covariance, the correlation has no dimension
 341 and is limited to the interval $[-1, 1]$ where 1 and -1 indicate total positive or negative
 342 correlations.

343 Finally, to investigate also the relationship between background noise and exposure time
 344 per point, Monte Carlo simulations have been used. In order to reduce complexity when
 345 increasing the number of points they have been evenly distributed in the $\pm 3a_3$ range. For
 346 each investigated number of points $\mathbf{X} = (x_1 \dots x_n)$ with $n = (3, 5, 7, 9, 11)$, the intensity values
 347 $G(\mathbf{X}, \mathbf{a})$ were extracted, where \mathbf{a} is the set of parameters reported in table 1 describing the
 348 experimental IC parameters. A Poisson-like noise like the one observed in the normalized
 349 data (see supplementary materials) was added. The set of intensity values obtained in this
 350 way was fitted as previously described, considering a constant offset. This procedure has
 351 been repeated 10^6 times, resulting in a large set of values for Gaussian amplitude, centre and
 352 width. In order to simulate the retrieval of the individual contrast channels, a subset of 800
 353 values was randomly chosen. Half of the values were used as a sample images and half as
 354 flat-field images, and the different contrast channels calculated by pixel-wise application of
 355 eq.5, assuming the standard deviation over the 400 values as the noise. Finally, the average
 356 value of the standard deviation over 10^3 repetitions was considered as the final noise value.

357 Results

358 In Fig.2 the noise values expected for the three retrieved contrast channels are compared
 359 to the corresponding experimental values for the three points retrieval case. The sampling
 360 points are of the form $t_0 = 0$ and $t_1 = \pm x_1/a_3$, so that the entire triplet is determined by
 361 varying a single parameter. Examples of the experimental retrieved images are also shown,
 362 with the choice of the three sampling points shown as red dots in the inset. A very good
 363 agreement between predicted and experimental values is observed for all three channels. In
 364 particular, the error on the retrieved transmission, see Fig.2(a) and(d), is confirmed to be
 365 independent from the choice of the sampling points, in agreement with eq.22 which shows
 366 that it depends only on the top value of the IC. Therefore, the SNR in the transmission

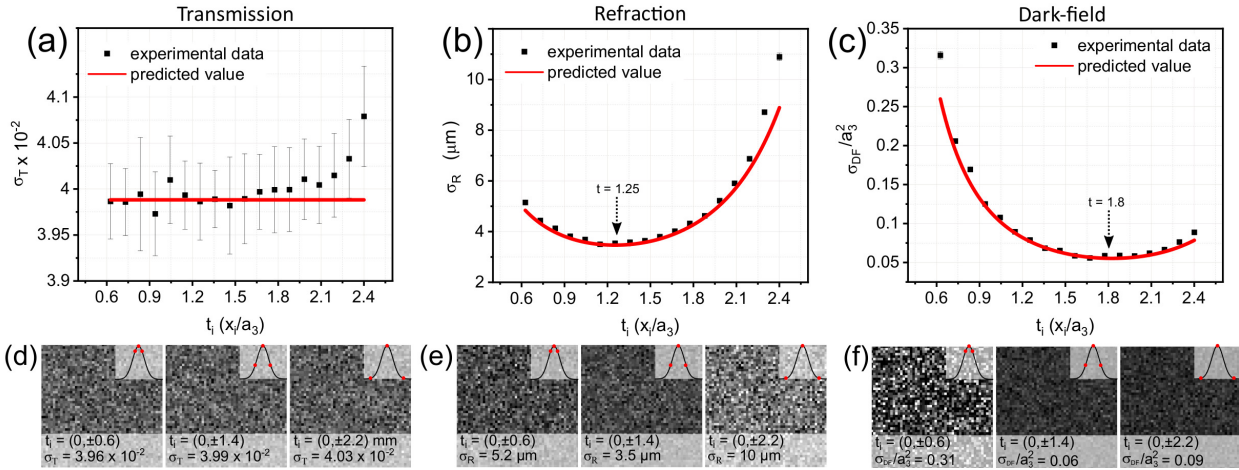


Figure 2: Panel (a) to (c) show the comparison between the experimental values for the standard deviation in the background (black dots) and the values predicted by the model (red lines) for the transmission, refraction and dark-field contrast channels, respectively. Panels (d) to (f) show example images corresponding to the retrieved contrast channels at different positions of the sampling points. Images are shown on the same gray level scale for each contrast channels. Arrows in panels (b) and (c) point at the minimum of the curve for the refraction and dark-field channels.

367 contrast can be improved only by increasing the X-ray flux. On the other hand, noise
368 in the refraction and dark-field channels has a more complex behaviour. In both cases
369 a minimum can be found, located at $t = 1.25$ and $t = 1.8$ for refraction and dark-field,
370 respectively, as shown in Fig.2(b) and (c). In refraction, noise is minimised by choosing
371 the off-centre frames further away from the IC's maximum slope position ($t = 1$), for which
372 phase sensitivity is highest when acquiring two points only (see Fig.2(e))²⁶. Moving down
373 the IC beyond the $t = 1.25$ point, noise starts to rise fast due to the increased uncertainty
374 on the IC centre estimation. This can be explained by an overall reduced phase sensitivity,
375 since only sampling points for which the IC derivative is approaching zero (top and tails)
376 are now being considered²⁷. For the same reason, an increase in the noise is found when the
377 two additional sampling points approach the top of the IC. In the dark-field channel, the
378 minimum is located further away from the IC's maximum slope position, at approximately
379 twice the standard deviation, approaching the tails of the curve as show in Fig.2(c) and (f).
380 In this case the noise rises rapidly when the sampling points approach the top, since the fit
381 becomes less sensitive to changes in width. **Finally, a deviation from the model starts to be**
382 **visible when t_i approaches the tails of the curve, which can be explained by a non perfect**

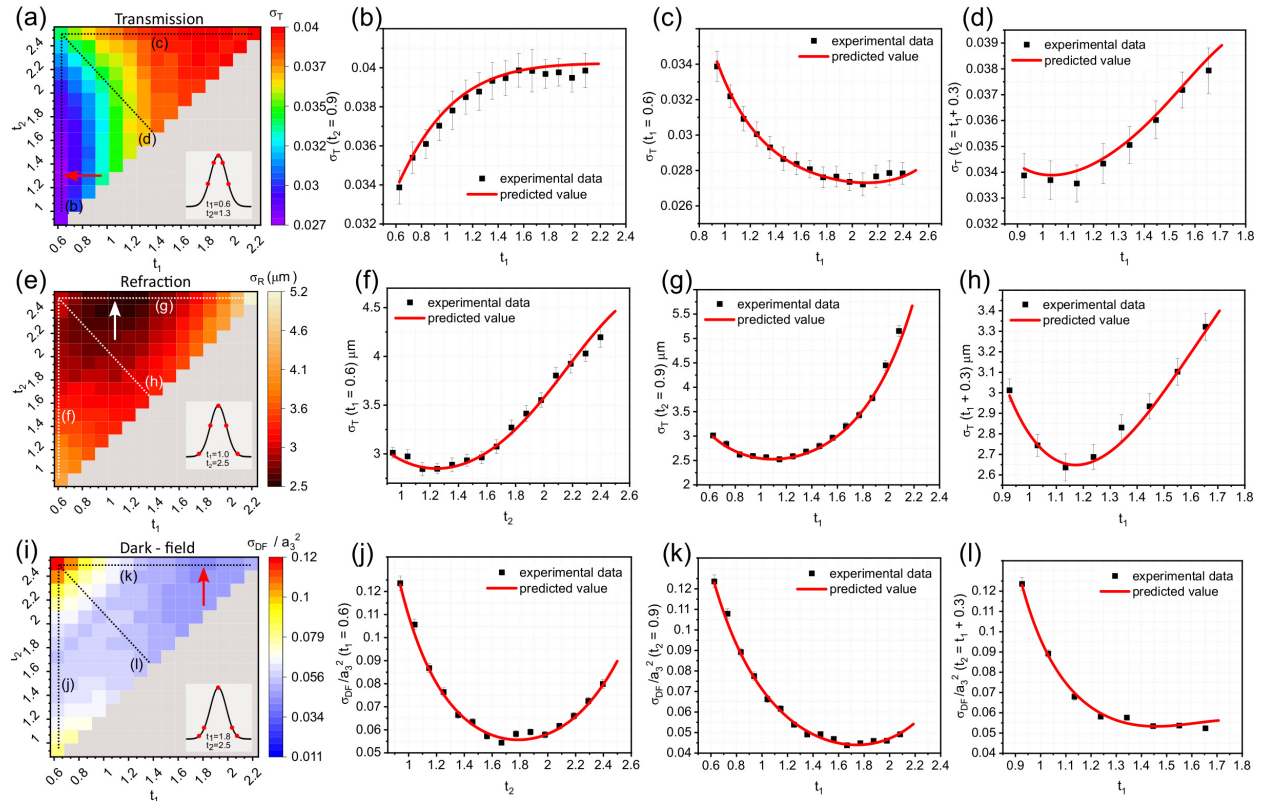


Figure 3: Panels (a), (e) and (i) show the experimental standard deviation values for transmission, refraction and dark-field, respectively, as a function of the IC sampling points t_1 and t_2 . For each contrast channel, line profiles along the dotted lines shown in the 2D plots are extracted and compared to the model predictions, as shown in the panels to the right of each 2D plot. Coloured arrows and the ICs in the insets indicate the combination of sampling points leading to the minimum standard deviation.

383 convergence of the fitting algorithm since all the points in the fitting model have now a null
 384 derivative.

385 A similar analysis is shown in Fig.3 for the five-point retrieval case. In this case, a 2D
 386 plot is needed to show the noise as a function of both sampling point pairs $\pm t_1$ and $\pm t_2$,
 387 while t_0 is again kept constant at the top of the IC. Results for transmission, refraction
 388 and dark-field are shown in Fig.3(a),(e) and (i), respectively. While the acquisition of at
 389 least four sampling points is necessary to fit also the offset, the fact that we are restricting
 390 our analysis to the background justifies the assumption of constant offset, since no beam
 391 hardening is expected. When comparing the results for the three contrast channels, some
 392 differences can be observed. For transmission, the arrangement leading to minimum noise is

393 obtained for $t_1 = 0.6$, $t_2 = 1, 3$, as shown in Fig.3(a). This corresponds to placing two points
 394 very close to the top of the IC, and the other two close to the maximum slope position. For
 395 refraction, the minimum noise arrangement is obtained two points at the maximum slope
 396 and the other two close to the tails, namely for $t_1 = 1$ and $t_2 = 2.5$, as shown in Fig.3(e).
 397 For dark-field, also the first two points are shifted towards the tails, with minimum noise
 398 achieved for $t_1 = 1.8$ and $t_2 = 2.5$, as shown in Fig.3(i). For all contrast channels, line
 399 profiles extracted from the 2D plots along three different directions are compared to the
 400 model's predictions, revealing a very good match, as shown in Fig.3(b) to (d), Fig.3(f) to
 401 (h) and Fig.3(j) to (l) for transmission, refraction and dark-field, respectively.

402 Another interesting aspect to be analysed is the correlation between the **noise of the**
 403 fitted parameters which may translate into a correlation between the contrast channels.
 404 According to eq.15 this can be addressed by analysing the off-diagonal elements of the
 405 covariance matrix or, equivalently, the correlation coefficient described in eq.25. The results
 406 are shown in Fig.4 for both three and five sampling points. Panels (a) to (c) show the
 407 correlation between fitted parameters when three sampling points are acquired (and therefore
 408 a constant offset assumed). A negative correlation between amplitude and width is observed
 409 when a non-normalized Gaussian function is used for fitting (see eq.4). As the distance t_1
 410 of the sampling points from the top increases, the correlation decreases, approaching 0.2
 411 when the extreme position $t = 2.4$ is considered. While this position leads to the lowest
 412 correlation, it is not convenient in terms of noise for both the refraction and dark-field
 413 channels, as shown in Fig.3. However, when a normalized Gaussian profile is used for the
 414 fitting (see eq.6), a positive correlation is found (blue line in Fig.4(a)), which reaches a
 415 minimum correlation value of 0.2 at a more convenient location, $t = 1.6$. In both cases,
 416 the match between model predictions and experimental data is very good. Conversely,
 417 no correlation is expected between amplitude and centre and centre and width, as shown
 418 in Fig.4(b) and (c), respectively. The expected zero correlation is also confirmed by the
 419 experimental data, which fluctuate around zero with a slight increase for the a_1 - a_2 correlation
 420 at high t values. A similar analysis was performed using five sampling points. The correlation
 421 is investigated as a function of the position of both pairs of sampling points $\pm t_1$ and $\pm t_2$,
 422 while $t_0 = 0$ is kept constant. A normalized Gaussian model was used which the analysis of
 423 the three-point case revealed to be preferable. Offset is included in the fitting parameters,
 424 since qualitative experimental observations indicated it introduces a non-negligible degree

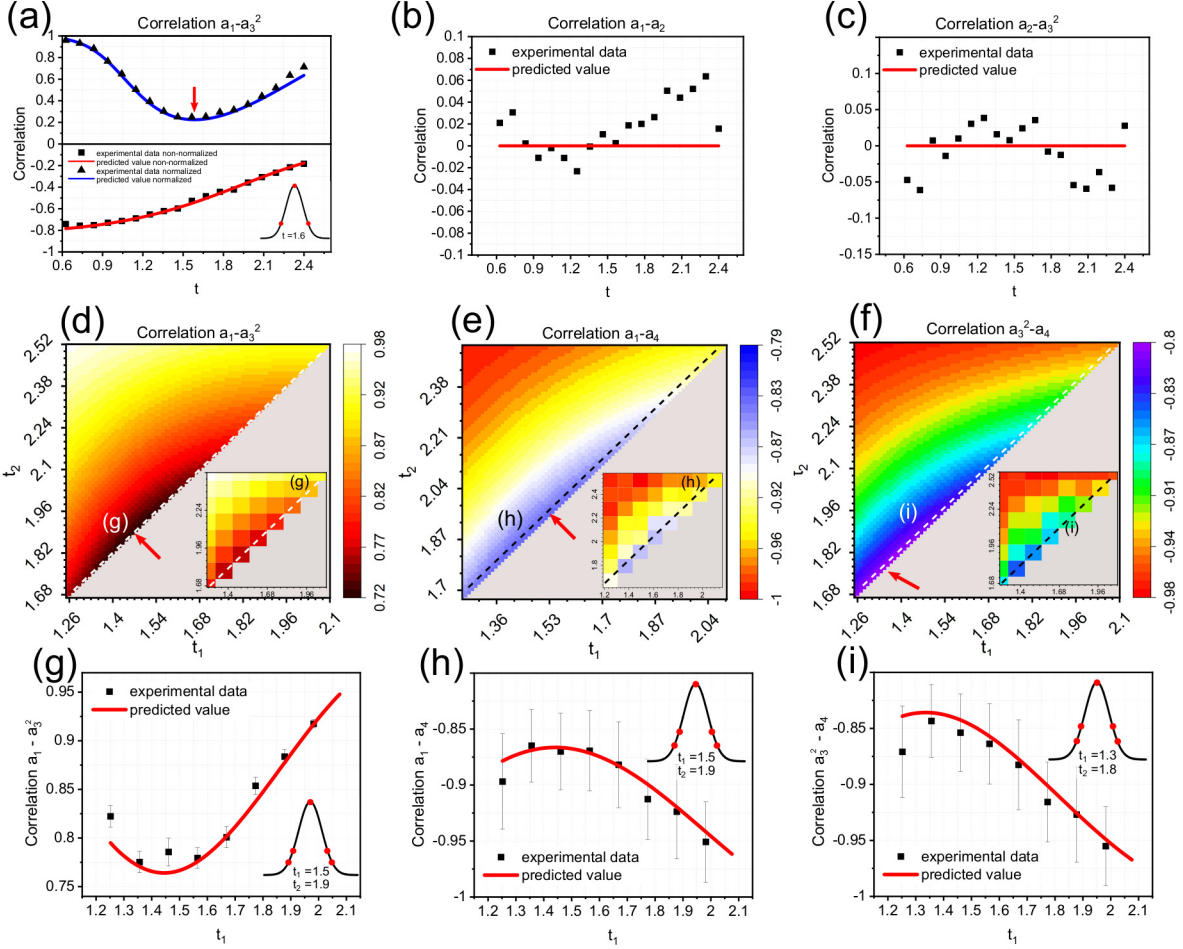


Figure 4: Panels (a) to (c) show the comparison between expected and experimentally measured correlation between the fitting parameters when three sampling points are used. For the correlation between amplitude and width (panel (a)), the different results obtained by using normalized (blue line) and non-normalized (red line) Gaussians are shown. Panels (d) to (f) show the same comparison between theoretical 2D plots obtained when using 5 sampling points, with the corresponding experimental plots shown in the insets. In this case, the offset has been considered as a free parameter, and the correlations between transmission, dark field and offset (taken in pairs) are reported. Panels (g) to (i) show line profile extracted from the 2D plots across the dashed lines indicated. In all panels (d) to (f), the position leading to minimum correlation is indicated by red arrows, and the corresponding positions of the sampling points is shown as red dots in the ICs in the insets of panels (g) to (i).

425 of correlation. The model predictions are presented as a three 2D plot in Fig.4(d) to (f),
 426 with the corresponding experimental plots shown as insets. Line profiles extracted along
 427 the indicated dashed lines for both model and experiment are reported in panels (g) to (i),
 428 showing a good match in all cases. A minimum can be found in all three cases. This is

429 located at $t_1 = 1.5$ and $t_2 = 1.9$ for amplitude-width and amplitude-offset correlations, and
430 at slightly higher IC positions $t_1 = 1.3$ and $t_2 = 1.8$ for the width-offset correlation. The
431 use of a normalized amplitude coefficient (see eq.6) leads to a positive correlation between
432 amplitude and width, which can be expected since an increase in amplitude is compensated
433 for by an increase in width to keep their ratio constant. Conversely, amplitude-offset and
434 width-offset exhibit an anti-correlated behaviour. This can be explained by considering that
435 an increase in the offset 'cuts out' the bottom part of the IC, leading to a decrease in its
436 width as well as in its amplitude. The introduction of the offset leads, in general, to a higher
437 degree of correlation between parameters, which is in the $|0.75|$ to $|0.9|$ range for all the three
438 contrast channels.

439 To understand the impact of increasing the sampling points above five as well as of
440 the reduction in the exposure time per point, a Monte Carlo simulation has been performed
441 with three to eleven sampling points and a fixed imaging time, ranging from 1 to 20 seconds.
442 To keep the overall imaging time constant, the exposure time per point was adjusted as a
443 function of the number of sampling points, which corresponds to the common experimental
444 situation when a constraint exists on scanning time. As the number of points increase, the
445 equations are still valid, however, the level of complexity makes unfeasible to explore the
446 entire parameter space. Therefore, the points have been chosen to be evenly spaced in the
447 $\pm 3a_3$ range, even though such configuration may not be the one resulting in minimum noise.
448 The results are shown in Fig.5(a) to (c), with the arrangement of the corresponding sampling
449 points schematised in Fig.5(d). For a given overall scanning duration, noise increases with
450 the number of points for both transmission and dark-field as the time per point decreases.
451 In particular, a sudden increase in the noise is found when going from three to five points,
452 while for higher numbers of points the rate at which noise increases slows down. Conversely,
453 refraction seems to be less affected, with noise exhibiting a slight increase with the number
454 of points only when the total scanning time is low (1-2 s), and a flat behaviour at higher
455 scanning times. This is due to the higher stability of the fit in determining the curve's centre,
456 which can be reliably estimated even if the points are significantly affected by noise.

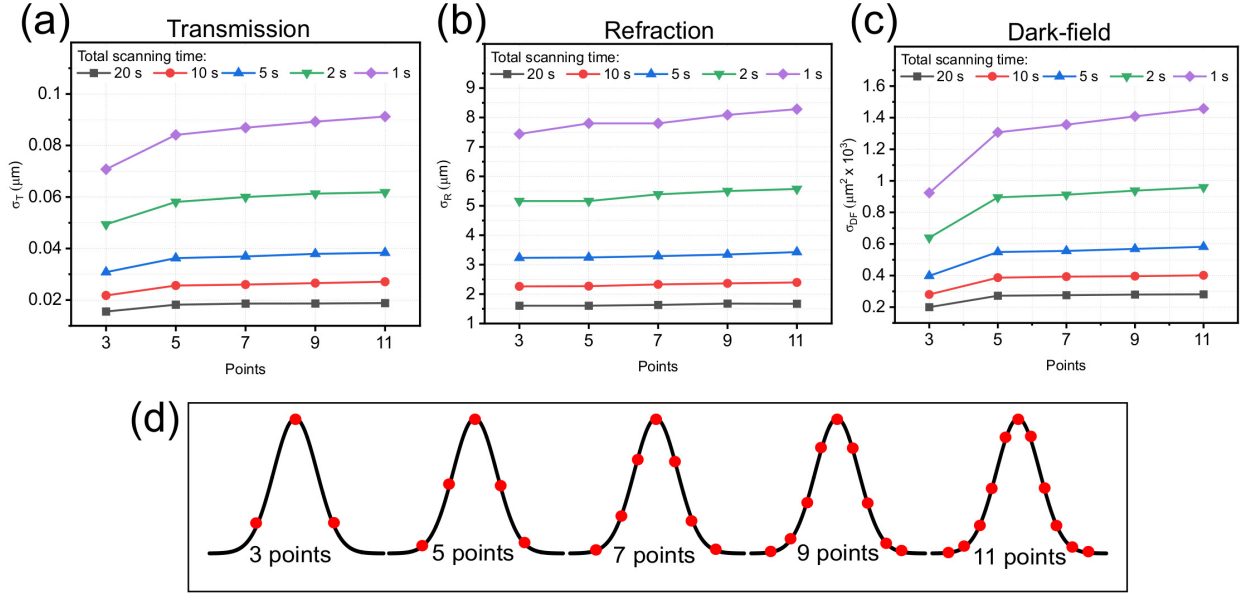


Figure 5: Panels (a) to (c) show the results of Monte Carlo simulations of the noise in retrieved transmission, refraction and dark-field images for a varying number of sampling points equally distributed within a pre-determined total scanning time. The points have been chosen to be evenly spaced in the $\pm 3a/3$ range, as per the schematic in panel (d).

457 Discussion

458 Phase sensitivity in edge illumination is expressed by the IC, which is well described by
 459 a Gaussian function when using laboratory sources²⁸. The IC is also the basis of phase
 460 retrieval, which is performed by means of pixel-wise fitting of images captured at different
 461 illumination levels²⁰. Therefore, the noise in the retrieved contrast channels depends on
 462 the error on the fit, which can be calculated by means of the covariance matrix. Good
 463 agreement between the noise calculated according to this model and directly extracted from
 464 experimental data was found, which allowed to select optimal IC positions when acquiring
 465 data for multi-point phase retrieval (see supplementary materials for an analysis of the
 466 validity limits of the presented model).

467 In common experimental conditions, the total scanning time is usually fixed. Therefore,
 468 the exposure time per point must be adjusted according to the acquired number of points.
 469 The best performance in terms of noise is achieved by using the lowest number of points
 470 needed to fit the IC, namely three points if the offset can be considered constant, and four
 471 otherwise even if we investigated the five-point case which is suitable for the developed model

472 requiring an odd number of points. This is due to the increased relative error on each single
473 measurements, which increases when the scanning time per point is decreased to keep the
474 overall scanning time constant.

475 It was also found that, both when using three and five sampling points, a configura-
476 tion leading to minimum noise exists, which is a function of the parameters of the edge
477 illumination setup in use.

478 The analysis of the correlation between channels showed that, when using three sampling
479 points and a constant offset, the correlation between amplitude and width can be minimised
480 but not eliminated, suggesting that a certain degree of correlation between transmission and
481 dark-field can not be avoided when using curve fitting. Interestingly, a different behaviour
482 is observed when using non-normalized vs normalized Gaussian profiles. In both cases, the
483 same minimum correlation value can be achieved, but in very different ways. In the non-
484 normalized case, the minimum is obtained with a set of sampling points far from the ideal
485 (i.e. noise-minimising) positions for both refraction and dark-field . Conversely, when a
486 normalized Gaussian profile is used, the position of minimum correlation is found at a more
487 convenient location, close to minimum noise configuration for both refraction and dark field.
488 We also investigated the role of the offset in terms of its correlation with other channels when
489 five sampling points are acquired, and indeed observed a very high correlation of the offset
490 with both transmission and dark-field. While this correlation may not be a concern when
491 low absorbing or highly scattering samples are imaged, it can become a problem when using
492 high energy X-rays for which both signals are reduced. Therefore, the use of normalized
493 Gaussian is always recommended when performing quantitative analysis.

494 It is worth noting that this analysis is limited to the background noise, since samples
495 introduce a level of complexity which is difficult to model. The error propagation equations
496 (see eq.21) which relate the variance of the contrast channels to the one of the fitted Gaussian
497 parameters, do not hold anymore within the sample; moreover, offset cannot usually be
498 assumed to be constant. The change in offset is strictly dependent on the specific sample,
499 and can vary on a pixel-by-pixel basis, which makes it extremely difficult to predict. In
500 addition, the use of the covariance to estimate errors requires knowledge of the noise on
501 the experimentally measured intensity. If a photon counter detector is used, a Poisson
502 noise behaviour can be assumed *a priori*; however, if this is not the case, an experimental

503 measurement of the intensity variance at each sampling point is required. Finally, the noise
504 analysis reported here has been performed on normalized images. Therefore, this noise values
505 must be considered as a lower limit, since mask inhomogeneities may introduce an additional
506 random noise component which is not accounted for in our analytical model. However, the
507 good agreement with experimental data obtained with real, imperfect masks would suggest
508 this is a lesser concern, well addressed by the flat field correction procedure. **Finally, it is**
509 **worth noting that changes in the illumination curve position across the field of view due**
510 **to misalignment of the system and mask unevenness, have a reduced impact on the noise**
511 **optimization since these are in the range of a few microns even for large masks while the**
512 **mask period is usual dozens of microns (see supplemental material).**

513 **Conclusions**

514 In this work, an analytical model describing the noise background in retrieved multimodal
515 edge illumination images has been developed and compared to experimental data. Since
516 phase retrieval in edge illumination is related to curve fitting, the model was based on
517 the analysis of the standard error on fitted parameters. The good match observed with
518 experimental data demonstrates the validity of the proposed model, which was then used
519 to tackle some common questions occurring when acquiring multi-points edge illumination
520 images. In particular, the arrangement of IC points leading to the best noise performance for
521 each contrast channel, and to the minimum correlation between parameters, was determined.
522 Finally, it was found that, given a fixed overall scanning time, its distribution into a smaller
523 number of sampling points with higher statistics leads to minimum noise, suggesting the
524 use of the smallest possible number of sampling points for phase retrieval. Overall, these
525 results indicate a series of optimised procedures which should be followed in order to optimize
526 experimental acquisitions in edge illumination.

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