

1     **The need for high-quality oocyte mitochondria at extreme ploidy dictates**  
2                                   **mammalian germline development**

3

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17

18    One sentence summary (30 words max):

19    Selective transfer of mitochondria in the Balbiani body ensures high-quality oocyte

20    mitochondria at extreme ploidy, explaining many enigmatic features of female germline

21    architecture including germ cell loss.

22

23

24 **ABSTRACT**

25 Selection against deleterious mitochondrial mutations is facilitated by germline processes,  
26 lowering the risk of genetic diseases. How selection works is disputed: experimental data  
27 are conflicting and previous modelling work has not clarified the issues. Here we develop  
28 computational and evolutionary models that compare the outcome of selection at the level  
29 of individuals, cells and mitochondria. Using realistic *de novo* mutation rates and germline  
30 development parameters from mouse and humans, the evolutionary model predicts the  
31 observed prevalence of mitochondrial mutations and diseases in human populations. We  
32 show the importance of organelle-level selection, seen in the selective pooling of  
33 mitochondria into the Balbiani body, in achieving high-quality mitochondria at extreme  
34 ploidy in mature oocytes. Alternative mechanisms debated in the literature, bottlenecks and  
35 follicular atresia, are unlikely to account for the clinical data, because neither process  
36 effectively eliminates mitochondrial mutations under realistic conditions. Our findings  
37 explain the major features of female germline architecture, notably the longstanding  
38 paradox of over-proliferation of primordial germ cells followed by massive loss. The near-  
39 universality of these processes across animal taxa makes sense in light of the need to  
40 maintain mitochondrial quality at extreme ploidy in mature oocytes, in the absence of sex  
41 and recombination.

42

43 **Keywords:** Balbiani body, bottleneck, germline, mitochondria, mitochondrial mutation,  
44 mtDNA, oogenesis

45

## 46 INTRODUCTION

47

48 In mammals, mitochondrial gene sequences diverge at 10-30 times the mean rate of nuclear  
49 genes [1, 2]. This difference is typically ascribed to a faster underlying mutation rate and  
50 limited scope for purifying selection on mitochondrial genes, given uniparental inheritance,  
51 negligible recombination and high ploidy [3]. At face value, weak selection against  
52 mitochondrial mutations might seem to be consistent with the high prevalence of  
53 mitochondrial mutations (~1 in 200) [4] and diseases (~1 in 5000 births) [5] in human  
54 populations. But it is not consistent with the strong signal of purifying selection [6],  
55 evidence of adaptive change [7] and codon bias [8] in mitochondrial genes, nor with the low  
56 transmission rate of severe mitochondrial mutations between generations [9-11]. Despite  
57 the high rate of sequence divergence, female germline processes apparently facilitate  
58 selection against mitochondrial mutations, but the mechanisms are disputed and poorly  
59 understood [12].

60

61 Here we develop computational and evolutionary models that compare three hypotheses of  
62 germline mitochondrial inheritance and selection: (i) selection at the individual level,  
63 facilitated by mitochondrial bottlenecks; (ii) selection at the cell level through follicular  
64 atresia, which weeds out primordial germ cells with high mutation load; and (iii) selection at  
65 the organelle level through selective transfer of mitochondria into oogonia during  
66 development. These modes of selection are not mutually exclusive nor the only ones that  
67 operate (a full examination is provided in the Discussion). The objective here is to clearly  
68 delineate the major forces involved and the effectiveness of each in controlling mutation  
69 accumulation. Our approach incorporates important factors neglected in earlier work, in

70 particular the input of *de novo* mitochondrial mutations and their segregation over multiple  
71 rounds of germ-cell division. This provides a realistic model of mutation, segregation and  
72 selection allowing the three hypotheses to be tested against the observed levels of  
73 mitochondrial mutation and fitness across a variety of species with an emphasis on the  
74 detailed clinical reports in human populations [4, 5].

75

76 The idea that mitochondrial mutations are winnowed through a tight germline bottleneck is  
77 pervasive in the literature and has long been held to explain sharp changes in mutation load  
78 between generations [13-15]. The exact size of the bottleneck is unclear, with estimates  
79 from mouse [16-18] and human studies [14, 19-21] spanning two orders of magnitude.

80 Bottlenecks generate variance in mutation loads among the resulting germ cells, and tighter  
81 bottlenecks produce greater variance, offering scope for selection against mitochondrial  
82 mutations at the level of the individual [22-24]. The problem with this line of thinking is that  
83 it ignores two other forces. First, gametes are produced through multiple rounds of cell  
84 division, leading to repeated rounds of mitochondrial segregation, which in itself generates  
85 considerable variance [25]. Second, bottlenecks induce greater input of *de novo* mutations  
86 as more rounds of mitochondrial replication are required to regenerate the extreme ploidy  
87 of mitochondrial DNA in mature oocytes. By applying realistic segregation dynamics and  
88 mutational input, we evaluate the impact of these forces on the value of bottleneck size on  
89 individual fitness.

90

91 Follicular atresia is another force widely considered to be critical in maintaining oocyte  
92 quality [26-28] . In humans [29, 30], the number of germ cells declines dramatically in the  
93 foetus between mid-gestation (~20 weeks in humans) when there are 7-8 million oocytes,



94 to late gestation when at least two thirds of these are lost, leaving a reserve of 1-2 million at  
95 birth [31]. Oocyte loss continues throughout the life of an individual, eventually leading to  
96 the depletion of the ovarian pool and loss of reproductive function at menopause [32-34].  
97 Similar loss of female germ cells before sexual maturity is evident in mice and several other  
98 animal species [35-38]. This attrition has historically been ascribed to cell death during  
99 oocyte maturation [39, 40], but more recent findings implicate the apoptotic loss of ‘nurse  
100 cells’ during the genesis of primary oocytes [41]. In either case, differential oocyte loss  
101 offers scope for between-cell selection. However, the basis for between-cell selection has  
102 long been questioned, on the grounds that it seems unlikely that 70–80% of oocytes have  
103 low fitness as a result of mitochondrial mutations [40]. We therefore test whether selection  
104 against oocytes with higher loads of mitochondrial mutations during follicular atresia is  
105 capable of giving rise to the distribution of mutations observed.

106

107 A more recent interpretation of germ-cell loss links it to the formation of the Balbiani body,  
108 a prominent feature of the humans [42, 43] and mouse [41, 44-46] female germline, as well  
109 as a range of other vertebrates and invertebrates, with a range of terminology (e.g. fusome,  
110 mitochondrial cloud) [41, 44-48]. In the mouse, proliferating germ cells typically form  
111 clusters of 5-8 cells that establish cytoplasmic bridges [41, 49]. It is thought that around half  
112 the mitochondria from each nurse cell are streamed into the Balbiani body of the primary  
113 oocyte, through an active cytoskeletal process that depends in part on the membrane  
114 potential of discrete mitochondria [50, 51]. This offers scope for purifying selection through  
115 the preferential exclusion of dysfunctional mitochondria. The remaining nurse cells, now  
116 denuded of half their mitochondria, undergo apoptosis [41]. Selective transfer and pooling  
117 of mitochondria from interconnected cells may occur in other vertebrate and invertebrate

118 systems. We consider the consequence of different strengths of selection at the level of  
119 mitochondrial function in the production of the Balbiani body.

120

121 To systematically distinguish between the predictions of these three different hypotheses,  
122 under a range of reasonable parameter values, we use a computational model to evaluate  
123 the patterns of mutation load generated over a single generation in each case. We then use  
124 an evolutionary model to generate equilibrium levels and compare the predictions to the  
125 prevalence of mutations and disease from human studies. Our results show that selection at  
126 the organelle level through the pooling of high-quality mitochondria into the Balbiani body  
127 is a more potent force than germline bottlenecks and follicular atresia and must play a key  
128 role in the maintenance of mitochondrial function in the face of pervasive mutational  
129 pressure. This analysis also pleasingly clarifies the longstanding paradox of germ-cell over-  
130 proliferation followed by massive loss which is a widely conserved feature of the female  
131 germline in animal taxa.

132

## 133 **RESULTS**

### 134 **Computational model**

135 The computational model follows the distribution of mitochondrial mutations across a  
136 single generation, using model parameters derived from human data [29] (**Figure 1**). The  
137 zygote is assumed to have around half a million copies of mitochondrial DNA (exact number  
138  $2^{19}$ ), which are randomly partitioned to the daughter cells at each cell division. The pattern  
139 of segregation is in agreement with recent evidence for actin-mediated mixing of  
140 mitochondria within cells during mitosis leading to random segregation [52]. We assume  
141 independent segregation of mitochondria with one mtDNA per mitochondrion, and do not

142 consider complications that might arise from the packaging of multiple mtDNA copies per  
143 mitochondrion [14]. This assumption is supported by evidence that mitochondrial networks  
144 fragment into multiple smaller structures at cell division [53, 54] that probably contain one  
145 or a few mtDNAs.

146

147 Mitochondrial replication is not active during early embryo development [55], so the mean  
148 mitochondrial number per cell approximately halves with each division (**Figure 1B**). In  
149 humans, after 12 cell divisions a random group of 32 cells form the primordial germ cells  
150 (PGC) [56], which in the model corresponds to a mean of 128 mitochondria per PGC.

151 Mitochondrial replication resumes at this point [29, 55]. Each mtDNA doubles prior to cell  
152 division. With probability  $\mu$ , one of the daughter mitochondria acquires a new deleterious  
153 mutation through a copying error. We consider  $\mu$  in the range  $10^{-9}$  to  $10^{-8}$  to  $10^{-7}$  per base  
154 pair per cell division (designated low, standard and high respectively), consistent with the  
155 range of estimates for the female germline, and assume no back mutations (see Methods).

156 Point mutations during replication are the dominant form of mutation in mtDNA, so we do  
157 not consider damage from other sources such as oxidative damage [57]. Mitotic

158 proliferation of PGCs gives rise to ~8 million oogonia, which are reduced to ~1 million

159 primary oocytes during late gestation (**Figure 1B**) [29, 55]. Proliferation is followed by a

160 quiescent phase during which the mitochondria in primary oocytes are not actively

161 replicated. Mutations accumulate far more slowly during this phase, which persists over

162 decades in humans [55, 58]. For simplicity, we assume no mutational input during this

163 period (not marked in **Figure 1B**). At puberty, the primary oocytes mature through clonal

164 amplification of mitochondria back to the extreme ploidy in mature oocytes (~500,000

165 copies; **Figure 1B**) [59]. The same copying error mutation rate  $\mu$  is applied during this  
166 process.

167

168 We consider three different forms of selection on mitochondria: selection at the level of  
169 individuals, cells, or mitochondria. We apply selection at the level of individuals on the  
170 zygotic mutation load. Selection at the level of cells or mitochondria is applied during culling  
171 at late gestation when primary oocytes are produced. Each of these processes can be  
172 captured by modifications of the computational model, allowing easy comparison between  
173 them. In order to distinguish between different levels of selection, the model extends earlier  
174 work that considered segregational variation of a fixed burden of existing mutations [13, 22-  
175 24] but neglected the input of new mutations during PGC proliferation and oocyte  
176 maturation, as well as the loss of germ cells during late gestation. The analysis here shows  
177 the importance of considering these additional processes governing the population of  
178 mitochondria in germline development.

179

### 180 **Germline bottleneck increases variance but introduces more *de novo* mitochondrial** 181 **mutations**

182

183 The effect of a bottleneck was assessed in the model by allowing  $b$  extra rounds of cell  
184 division without mitochondrial replication during early embryonic development (e.g., two  
185 extra rounds shown in **Figure 2A**). Each additional cell division leads to an average reduction  
186 of  $(0.5)^b$  mitochondria in PGCs compared to the base model. For simplicity we then hold  
187 mitochondrial numbers at this lower value through the period of PGC proliferation. This  
188 assumption gives greater impact to the bottleneck and is consistent with some views [18].

189 Tighter bottlenecks at this early developmental stage generate greater segregational  
190 variance in mutation load between cells (**Figure 2B**). This increase in variance persists and is  
191 enhanced through PGC proliferation to the production of primary oocytes and ultimately in  
192 mature oocytes (**Figure 2B**). The bottleneck not only creates a wider spread of mutation  
193 number per cell, but also the possibility that cells can be mutation free even when initiated  
194 from a zygote that contains significant numbers of mutations (**Figure 2B**). Bottlenecks in  
195 themselves do not change the mean mutation load, as they occur before the start of  
196 mitochondrial replication (i.e. at PGC specification; **Figure 2B**) [55]. But oocyte maturation  
197 requires the expansion of mitochondrial number back to half a million. Cells starting with  
198 lower numbers must therefore undergo more rounds of mitochondrial replication, and  
199 hence will accumulate more *de novo* mutations. So, the mean mitochondrial mutation load  
200 in mature oocytes increases with tighter bottleneck size, albeit this effect is small with  
201 standard mutation rates ( $\mu = 10^{-8}$ ; **Figure 2B**). Nonetheless, the tension between variance  
202 and mean determines the overall selective consequence of the bottleneck.

203

204 The advantage that the bottleneck brings depends on how selection acts against the  
205 mutation load carried by an individual. Based on the observed dependence of mitochondrial  
206 diseases on mutation load [60-62], in which more serious phenotypes typically manifest  
207 only at high mutation loads of >60 % [60-62], it is thought that individual fitness is defined  
208 by a concave fitness function, indicative of negative epistasis (**Figure 2C**). This assumes that  
209 each additional mitochondrial mutation causes a greater reduction in fitness beyond that  
210 expected from independent effects. In other words, low mutation loads have a relatively  
211 trivial fitness effect, whereas higher mutation loads produce a steeper decline in fitness.

212

213 The change in mutation load ( $\Delta m$ ) over a single generation after individual selection was  
214 measured against 5 mean bottleneck sizes ( $\bar{B} = 128, 64, 32, 16, 8$ ), for three initial mutation  
215 loads ( $m_0$ ) and three mutation rates ( $\mu$ ). The bottleneck shows an ambiguous relationship  
216 with fitness, dependent on the inherited mutation load ( $m_0$ ). For the estimated mutation  
217 rate ( $\mu = 10^{-8}$ ) there is always an increase in mutation load in individuals who inherit low  
218 or medium mutation loads ( $m_0 = 0.001, 0.01$ ; **Figure 2D**). This increase in load ( $\Delta m > 0$ )  
219 becomes more deleterious with a tighter bottleneck (**Figure 2D**). The bottleneck only  
220 confers a benefit ( $\Delta m < 0$ ) among individuals who inherit a high mutation load ( $m_0 = 0.1$ ;  
221 **Figure 2D**), where the advantage of greater variance outweighs the increase in *de novo*  
222 mutation load. If the mutation rate is lower ( $\mu = 10^{-9}$ ), bottlenecks have little effect except  
223 when severe, where they again cause an increase in mutation number in individuals with  
224 low or medium mutation loads ( $m_0 = 0.001, 0.01$ ; **Figure 2 – figure supplement 1A**). In  
225 individuals with high mutation load ( $m_0 = 0.1$ ) only tighter bottlenecks ( $\bar{B} = 16, 8$ ) are  
226 beneficial (**Figure 2 – figure supplement 1A**). If the mutation rate is higher ( $\mu = 10^{-7}$ ) the  
227 pattern is more extreme, with the accumulation of *de novo* mutations except in individuals  
228 with high inherited mutation loads ( $m_0 = 0.1$ ) at the tightest bottleneck size ( $\bar{B} = 8$ )  
229 (**Figure 2 – figure supplement 1B**). In sum: even though bottlenecks generate greater  
230 variance, they impose the need for additional rounds of mitochondrial replication during  
231 oocyte maturation, resulting in greater *de novo* mutational input. This makes tight  
232 bottlenecks advantageous only for rare individuals who inherit high mutation loads, but not  
233 for the great majority of the population where the prevalence of mitochondrial mutations is  
234 below the limits of detectability, between 0.001 and 0.01 [4, 14].

235

236 **Follicular atresia cannot be explained by realistic selection against cells with high**  
237 **mitochondrial mutation loads**

238

239 In the analysis of bottlenecks above, the culling of ~8 million oogonia to 1 million primary  
240 oocytes at the end of PGC proliferation was assumed to be a random process (**Figure 2A**).

241 This loss has a minimal effect on the mean and variance of mitochondrial mutations in germ  
242 cells, given the large numbers involved (and no effect at all when averaged over a  
243 population). However, the loss of ~80% of oocytes via follicular atresia during late gestation  
244 has long been puzzling and could arguably reflect selection against cells with higher  
245 mutation loads.

246

247 To analyse follicular atresia, cell-level selection was applied to oogonia at the end of PGC  
248 proliferation (**Figure 3A**). PGCs vary in mutation frequency due to both the random  
249 segregation of mutants during the multiple cell divisions of proliferation and the chance  
250 input of new mutations during mtDNA replication. In principle, we assume that between-cell  
251 selection is governed by a negative epistatic fitness function (**Figure 3B**) similar to that  
252 thought to apply at the individual level, and vary selection from linear ( $\xi = 1$ ), weak ( $\xi = 2$ )  
253 to strong epistasis ( $\xi = 5$ ). Positive epistasis ( $\xi < 1$ ), whereby a single point mutation  
254 produces a steep loss of fitness, but additional mutations have less impact (i.e. mutations  
255 are less deleterious in combination), seems biologically improbable, so we do not consider it  
256 here.

257

258 The effect of cell selection during follicular atresia was calculated as the change in mutation  
259 frequency for individuals carrying different mutation loads ( $m_0$ ) over a single generation,

260 given standard values for *de novo* mutations ( $\mu = 10^{-8}$ ) and bottleneck size ( $\bar{B} = 128$ ).  
261 Under strong negative epistasis ( $\xi = 5$ ), only the few cells with very high mutation loads  
262 (generated by segregation) are eliminated. Cell-level selection does not reduce mutation  
263 load, even for individuals with a high initial frequency of mutations ( $m_0 = 0.1$ ; **Figure 3C**).  
264 Cell-level selection is more effective with weak epistasis ( $\xi = 2$ ) or linear selection ( $\xi = 1$ )  
265 as this makes cells with lower mutation loads more visible to selection, and has a greater  
266 benefit in individuals carrying higher initial mutation loads (**Figure 3C**). However, in  
267 individuals who inherit low or medium mutation load ( $m_0 = 0.001, 0.01$ ) cell selection  
268 offers a minimal constraint against mutation input. The only case in which cell selection  
269 produces a benefit is with high mutation load ( $m_0 = 0.1$ ) under linear selection ( $\xi = 1$ )  
270 (**Figure 3C**). This pattern holds for a lower mutation rate ( $\mu = 10^{-9}$ ; **Figure 3 – figure**  
271 **supplement 1A**), while there is no benefit at all at a higher mutation rate ( $\mu = 10^{-7}$ ; **Figure**  
272 **3 – figure supplement 1B**).

273

#### 274 **The Balbiani body pools high-quality mitochondria and restricts *de novo* mutation input**

275 An alternative interpretation of atresia in late gestation lies in the formation of the Balbiani  
276 Body. We model the developmental process giving rise to the Balbiani body by assuming  
277 that cysts of 8 oogonia form at the end of PGC proliferation (**Figure 4A**). Cells within a cyst  
278 are derived from a common ancestor (i.e. via 3 consecutive cell divisions). At the 8-cell  
279 stage, intercellular bridges form between the oogonia. These allow cytoplasmic transfer of a  
280 proportion of mitochondria ( $f$ ) from each cell to join the Balbiani body of the single cell  
281 destined to become the primary oocyte (**Figure 4A**). The mitochondria that undergo  
282 cytoplasmic transfer are sampled at random (without replacement), with different weights  
283 for wildtype ( $p_{wt}$ ) and mutant ( $p_{mut}$ ) mitochondria, until  $f$  have moved to the Balbiani



284 body. The oogonia that donate their cytoplasm to the primary oocyte are now defined as  
285 nurse cells, and undergo programmed cell death – atresia (**Figure 4A**).

286

287 The model shows that two benefits accrue from cytoplasmic transfer. The first benefit of  
288 mitochondrial transfer into the Balbiani body is that pooling increases the number of  
289 mitochondria in primary oocytes. As the proportion of mitochondria transferred increases  
290 towards the estimated rate of  $f = 50%$  [41], the number of mitochondria in primary oocytes  
291 increases 4-fold. Pooling therefore cuts the number of rounds of replication needed to  
292 reach the extreme ploidy required by mature oocytes, which decreases the input of new  
293 mutations from replication errors during oocyte maturation. This benefit accrues whatever  
294 the initial mutation load, and more dramatically with a higher mutation rate (**Figure 4 –**  
295 **figure supplement 1**).

296

297 The second benefit arises from selective transfer of mitochondria. Preferential exclusion of  
298 mutant mitochondria ( $p_{wt} > p_{mut}$ ), as suggested by experimental evidence [41, 51, 63],  
299 lowers the mutation load in primordial oocytes (**Figure 4B**). The difference between  $p_{wt}$  and  
300  $p_{mut}$  determines the extent to which the mutation load is reduced, with stronger exclusion  
301 of mutant mitochondria (lower  $p_{mut}$ ) reducing the number of mutations when the inherited  
302 load is medium or high ( $m_0 = 0.01, 0.1$ ), albeit with a negligible effect at low initial  
303 mutation load ( $m_0 = 0.001$ ; **Figure 4C**). The same effect is seen with lower and higher  
304 mutation rates (**Figure 4 – figure supplement 2**). Nurse cells retain a higher fraction of  
305 mutant mitochondria but undergo apoptosis, removing mutants from the pool of germ cells,  
306 and explaining the need for an extreme loss of germ cells during late gestation. This effect  
307 acts in concert with pooling leading to a reduction in both the mean and variance of

308 mitochondria mutation load in the cells destined to develop into mature oocytes. (**Figure**  
309 **4B**).

310

### 311 **Evolutionary model**

312 The computational model discussed above gives an indication of the effectiveness of  
313 selection at the level of individuals, cells or mitochondria in eliminating mitochondrial  
314 mutations across a single generation. To address the long-term balance of mutation  
315 accumulation versus selection over many generations, we developed an evolutionary  
316 model. This assesses the effectiveness of the three representations of germline  
317 development in explaining the observed prevalence of mitochondrial mutation load and  
318 disease in human populations (see **Materials and Methods**). This evolutionary model  
319 evaluates long-term evolutionary change in an infinite population with non-overlapping  
320 generations and is implemented using a number of approximations, which greatly reduce  
321 the model complexity (see **Materials and Methods**).

322

323 By iterating the patterns of germline inheritance and selection, the equilibrium mutation  
324 distribution was calculated across a range of mutation rates and bottleneck sizes. The  
325 accuracy of the three models was then assessed as the likelihood of reproducing the  
326 observed levels of mitochondrial mutations in the human population (**Figure 5**). Specifically,  
327 we used estimated values of 1/5000 for mitochondrial disease (>60% mutant), 1/200 for  
328 carriers of mitochondrial mutants (2-60% mutant) and hence 99.5% of individuals are  
329 'mutation free' (i.e. carry <2% mutants, the threshold for detection in these estimates of  
330 mutation frequency [4, 5]). Recent deep-sequencing estimates using a mutation detection  
331 threshold of >1% [14], show that a minor allele frequency of 1-2% is relatively common in

332 selected human PGCs, but this does not alter earlier population-level estimates of the  
333 proportion of carriers not suffering from overt mitochondrial disease, defined as a 2-60%  
334 mutation load used here.

335

336 Likelihood heatmaps confirm that selection at the level of individuals or cells alone do not  
337 readily approximate the clinical data whatever the bottleneck size (**Figure 5A-B**). Only at a  
338 mutation rate  $\mu < 0.5 \times 10^{-8}$  do these forms of selection offer explain the observed  
339 mutation load and disease frequency in humans at high likelihood, especially when using  
340 tighter bottlenecks (**Figure 5A**). These limitations do not apply to the preferential transfer of  
341 wildtype mitochondria into the Balbiani body (**Figure 5C-D**). Even intermediate levels of  
342 selection against the transfer of mutant mitochondria into the Balbiani body ( $p_{mut} = 0.33$ ,  
343  $p_{wt} = 0.67$ ) generates a high log-likelihood of reproducing the clinical data at the standard  
344 mutation rates ( $\mu = 10^{-8}$ ) and bottleneck sizes ( $> 100$  mitochondria per cell) (**Figure 3C**).  
345 Stronger selection on transfer probabilities ( $p_{mut} = 0.25$ ,  $p_{wt} = 0.75$ ) can account for the  
346 clinical pattern under a wide range of bottleneck sizes and mutation rates (**Figure 5D**).

347

## 348 **DISCUSSION**

349

350 How selection operates on mitochondria has long been controversial. At the heart of this  
351 problem is the paradox that mtDNA accumulates mutations faster than nuclear genes, yet  
352 there is evidence that mtDNA is under strong purifying selection. Mitochondrial mutations  
353 accumulate through Muller's ratchet, as mtDNA is exclusively maternally inherited, and  
354 does not undergo recombination through meiosis [3]. In addition, mitochondrial genes are  
355 highly polyploid, which obscures the relationship between genotype and phenotype,

356 hindering the effectiveness of selection on individuals. Despite these constraints,  
357 deleterious mitochondrial mutations seem to be eliminated effectively [6-11], facilitated by  
358 female germline processes that have long been mysterious. These include: the excess  
359 proliferation of primordial germ cells (PGCs) [64]; the germline mitochondrial bottleneck  
360 (when mitochondrial numbers are reduced to a disputed minimum in PGCs) [13-15]; the  
361 formation of the Balbiani body in primary oocytes [41, 51]; the atretic loss of 70-80% of  
362 germ cells during late gestation [31, 39]; the extended oocyte quiescence until puberty or  
363 later (during which time mitochondrial activity and replication is suppressed) [58, 65]; and  
364 the generation of around half a million copies of mtDNA in mature oocytes [59]. The key  
365 question is how do these processes facilitate the maintenance of mitochondrial quality over  
366 generations?

367

368 In this study, we introduced a computational model that considers these germline processes  
369 from the perspective of mitochondrial proliferation, segregation and selection, using  
370 realistic estimates of parameter values, drawn from the human literature [29, 55]. Most  
371 work to date [13, 15-18] has focused on the mitochondrial bottleneck as a means of  
372 generating variation in mitochondrial content between oocytes and by extension zygotes  
373 (**Figure 2B**), furnishing the opportunity for selection to act on individuals in the following  
374 generation. These studies have been unable to reconcile serious differences in experimental  
375 estimates of mitochondrial numbers during PGC proliferation, inciting inconclusive debates  
376 over the tightness of the bottleneck [13, 15-18]. More significantly, this earlier work  
377 neglects an important germline feature, the introduction of *de novo* mitochondrial  
378 mutations produced by copying errors [66] rather than damage by reactive oxygen species  
379 [57, 67]. These accumulate during PGC proliferation and, equally importantly, during the

380 mass-production of mtDNAs in the mature oocyte. Tighter bottlenecks are disadvantageous  
381 as they impose the need for more rounds of mitochondrial replication which means a  
382 greater input of *de novo* mutations. Our modelling shows that for most individuals the mean  
383 mutation load shows little meaningful change (**Figure 2D**), regardless of whether the  
384 mutation rate is set low or high (**Figure 2 – figure supplement 1**), and in fact increases with  
385 tighter bottleneck size (**Figure 2D**). Most individuals have low mutation loads (~99.5% in  
386 human populations [4, 5]), and for them, the normal process of repeated segregation during  
387 cell division generates sufficient variance in itself. Any marginal increase in variance caused  
388 by bottlenecks is more than offset by increased mutational input. Tighter bottlenecks only  
389 benefit individuals who already carry high mutation loads (i.e.  $m_0 \geq 0.1$ , **Figure 2D**). For  
390 them, there is benefit in further reductions in bottleneck size as this increases the fraction  
391 of mature oocytes with significantly reduced mutation load (**Figure 2D**). In the modelling,  
392 we assumed that the bottleneck size was maintained across the period of PGC proliferation.  
393 Some studies have found that from a low number in early development, copy number  
394 increases 5-10 fold to production of the oogonia [16, 17]. This would lessen the effect of the  
395 bottleneck in general as it would have less effect on segregation.

396

397 These results show that the popular idea that a germline mitochondrial bottleneck  
398 facilitates selection against mitochondrial mutations is misconstrued. The value of a  
399 bottleneck depends on the unforeseen trade-off between increasing genetic variance and  
400 mutation input. In fact, the reduction in mitochondrial copy numbers from zygote to  
401 primordial germ cells should be thought of as the reestablishment of a typical copy number  
402 at the start of cellular differentiation, which commences after multiple cell divisions *without*  
403 mtDNA replication. What counts as a bottleneck are the 'extra' rounds of cell division

404 reducing mitochondrial number below the 'normal' number, and the incremental increase  
405 in variance this induces. Most critically, the bottleneck needs to be understood in relation to  
406 oogamy, the massively exaggerated mitochondrial content of the female gamete. This is a  
407 characteristic of metazoan gametogenesis [59]. Previous work has shown it is beneficial in  
408 animals with mutually interdependent organ systems [59]. The extreme ploidy in the zygote  
409 allows early rounds of cell division to occur without mitochondrial replication, and hence  
410 without *de novo* mutational input. These initial cell divisions generate little between-cell  
411 differences, as segregational variance is weak when numbers are high and mitochondria  
412 segregate randomly during mitosis [52] (e.g. **Fig 1B** before PGC specification). So at the point  
413 of cellular differentiation (~12 cell divisions) there is homogeneity in the mutation load  
414 among the different organ systems and no one system is likely to fail, which would  
415 massively lower the fitness of the whole organism [59]. This contrasts with organisms that  
416 have modular growth, such as plants and morphologically simple metazoa (sponges, corals,  
417 placozoa), which neither sequester a recognizable germline distinct from the stem-cell  
418 lineage early in development (although recent work challenges this view), nor have oocytes  
419 with massively expanded mitochondrial numbers [56, 59, 68-70].

420

421 Follicular atresia is another female germline feature examined in our modelling, in which  
422 there is over-proliferation of PGCs followed by ~80% loss early in development, before  
423 oocyte maturation [31, 39]. This massive reduction in germ cell number has long been  
424 enigmatic. It is unlikely to be random, yet does not obviously serve a selective function, as it  
425 seems unlikely that such a high proportion of germ cells could have low fitness [26-28]. The  
426 model confirms this intuition. Selection among PGCs at the end of the period of  
427 proliferation has little effect in significantly reducing mutation load (**Figure 3C**). Assuming a

428 concave fitness function (**Figure 3B**), which seems reasonable by extension from the  
429 severity of mitochondrial diseases [60, 62], between-cell selection is ineffective, as it only  
430 eliminates PGCs with very high mutational numbers. This has little effect in constraining the  
431 burgeoning of lower mutation loads. Linear selection does better, even if it seems  
432 unrealistic, as it will act against a broader range of mutational states. But as with  
433 bottlenecks, it is only beneficial in individuals already carrying significant mutation loads (i.e.  
434  $m_0 \geq 0.1$ , **Figure 3C**). We conclude that cell-level selection produces little measurable  
435 reduction in mutation load and so is unlikely to be responsible for follicular atresia.

436

437 A more recent explanation of PGC loss relates to the formation of the Balbiani body in  
438 primary oocytes [41, 44]. In many metazoa, including clams [46], insects [45, 71], mice [49]  
439 and probably humans [44], the over-proliferation of PGCs culminates in their organization  
440 into germline cysts of multiple oogonia connected by cytoplasmic bridges [41, 49, 71]. These  
441 connections are thought to allow the transfer of mitochondria and other cytoplasmic  
442 constituents by active attachment to microtubules, into what becomes the primary oocyte  
443 [41]. The surrounding oogonia that transferred their mitochondria, now termed nurse cells,  
444 die by apoptosis [41]. The plethora of terms should not mask the key point that nurse cell  
445 death accounts for a considerable fraction of the germ cell loss usually ascribed to follicular  
446 atresia. We modelled selective mitochondrial transfer into the Balbiani body, perhaps in  
447 part reflecting membrane potential [45, 51]. This achieves two complementary benefits: it  
448 purges mutations and pools high-quality mitochondria in a single cell. If the germline cyst is  
449 composed of eight cells that contribute half of their mitochondria to the Balbiani body, then  
450 the primary oocyte gains four times as many mitochondria which have passed through  
451 quality control. This also cuts the need for additional rounds of mtDNA copying, and so

452 reducing the input of *de novo* mutations. Selective transfer and pooling lowers the mutation  
453 load across a wide range of mutation rates and inherited loads (**Figure 4C, Figure 4 – figure**  
454 **supplement 1-2**). This process differs from mitophagy, the main route used in somatic cells  
455 for maintaining mitochondrial quality [72, 73], as it not only removes mutant mitochondria,  
456 but crucially also increases mitochondrial numbers, a key requirement for prospective  
457 gametes. The requirement for pooling of mitochondria to lower the mutation load from  
458 copying errors also aligns with experimental observations of active spindle-associated  
459 mitochondrial migration to the generative oocyte in the formation of polar bodies during  
460 meiosis I of oogenesis [74]. We predict that selection for mitochondrial quality occurs during  
461 this process (i.e. polar bodies retain mutant mitochondria) but have not dealt with that  
462 explicitly in the model.

463

464 These insights depend in part on the parameter values used in the modelling, many of which  
465 are uncertain. We have examined variation around the most representative values drawn  
466 from the literature [2, 14, 75, 76], and aimed to be conservative wherever possible. We  
467 considered mutation rates across two orders of magnitude, around  $10^{-8}$  per bp as the  
468 standard [75] and a similar range of bottleneck sizes ( $\bar{B} = 8 - 128$ ). Strong selective pooling  
469 of mitochondria into the Balbiani body predicts the observed prevalence of mitochondrial  
470 mutations and diseases in human populations [4, 5] under a wide range of mutation rates  
471 and bottleneck sizes (**Figure 5**). Selection at the level of individuals or cells are much more  
472 constrained explanations, although we do not rule out some role for these processes (**Figure**  
473 **5**). In general, higher mutation rates ( $10^{-7}$  per base pair) strengthen the conclusions  
474 discussed here (**Figures 2-4 – figure supplement 1-2**) whereas the lowest mutation rates are  
475 more commensurate with weaker forms of evolutionary constraint generated by selection



476 on individuals or cells. Plainly, weaker selection approximates best to clinical data when the  
477 mutation input tends towards zero (**Figure 5**). However, such low mutation rates are not  
478 consistent with the 10-30-fold faster evolution rates of mtDNA compared with nuclear  
479 genes [1, 2], or with the strong signatures of purifying [6] and adaptive [7] selection on  
480 mitochondrial genes. In the modelling, we ignored the contribution of oxidative damage  
481 caused by reactive oxygen species. While this source of mutation is likely low compared  
482 with copying errors [57, 66], oxidative mutations may accumulate over female reproductive  
483 lifespans [67], perhaps contributing to the timing of the menopause [77]. As primary  
484 oocytes contain ~6000 mitochondria [77], expansion up to ~500,000 copies in the mature  
485 oocyte will amplify any mutations acquired during oocyte arrest at prophase I, potentially  
486 over decades [66]. The metabolic quiescence of oocytes can best be understood in light of  
487 the need to repress mitochondrial mutation accumulation during the extended period  
488 before reproduction [58, 65].

489

490 We have addressed here a simple paradox at the heart of mitochondrial inheritance. Like  
491 Gibbon's *Decline and Fall of the Roman Empire*, mitochondrial DNA is often portrayed as  
492 being in continuous and implacable decline through Muller's ratchet [3]; yet like the Empire,  
493 which endured for another millennium, mitochondrial DNA has persisted and has been at  
494 the heart of eukaryotic cell function for over a billion years [78]. Strong evidence for  
495 purifying and adaptive selection implies that the female germline facilitates selection for  
496 mitochondrial quality, but the mechanisms have remained elusive. We have modelled  
497 segregation and selection of mitochondrial DNA at each stage of germline development, and  
498 shown that direct selection for mitochondrial function during transfer into the Balbiani body  
499 is the most likely explanation of the observed prevalence of mitochondrial mutations and

500 diseases in human populations. More remarkably, this mitochondria-centric model  
501 elucidates the complexities of the female germline. It explains why mature oocytes are  
502 crammed with mitochondria [59], whereas sperm mitochondria are typically destroyed,  
503 giving rise to two sexes [25]; why germ cells over-proliferate during early germline  
504 development; why oogonia organize themselves into germline cysts, forming the Balbiani  
505 body; why the majority of germ cells then perish by apoptosis as nurse cells; why primary  
506 oocytes enter metabolic quiescence, sometimes for decades; and even why polar bodies  
507 channel most of their mitochondria into a single mature oocyte. The need for mitochondrial  
508 quality extends to somatic cells, as mitochondria activity is crucial to cellular, tissue and  
509 organ functioning in the adult organism [79-81]. Some of the approaches we have adopted  
510 here need to be applied to development and whether specific processes have evolved to  
511 maintain mitochondria where their function is more critically related to somatic fitness [59,  
512 82]. Most fundamentally, this perspective challenges the claim that complex multicellularity  
513 requires passage through a single-celled, haploid stage to constrain the emergence of lower-  
514 level, selfish genetic elements [82, 83]. This is true for nuclear genes in oocytes, whose  
515 quality is maintained by sexual exchange and recombination [83], but is not the case for  
516 mitochondria, which are generally transmitted uniparentally, without sexual exchange or  
517 recombination. In animals, the oocyte cytoplasm is not derived from a single cell, but  
518 instead requires the selective pooling of mitochondrial DNA from clusters of progenitor  
519 cells, which together generate high-quality mitochondria at extreme ploidy in mature  
520 gametes.

521

522

523 **MATERIALS AND METHODS**

524 **Computational Model**

525 1. Initial conditions

526 We use a computational model implemented in MATLAB (**RRID:SCR\_001622**) to follow the  
 527 distribution of mitochondrial mutations in the female germline over a single generation  
 528 from zygote to a new set of mature oocytes, as set out in the developmental history given in  
 529 the main text (**Figure 1A**). The initial state of the system is a zygote containing  $M_0 = 2^{19} =$   
 530 524,288 copies of mtDNA [59, 84], of which  $m_0$  carry a deleterious mutation. Three specific  
 531 models are considered: bottleneck, follicular atresia and cytoplasmic transfer. A list of terms  
 532 and parameter values is given in **Table 1**, which also apply in the evolutionary model  
 533 considered below.

534 **Table 1**

Parameters and variables	Symbols and values
Maximum number of germ cells	$N_{max} = 8,388,608$
mtDNA number in mature oocytes	$M_0 = 2^{19} = 524,288$
Minimum mtDNA ploidy	$B$
Final number of germ cells	$N_{max}/8 = 1,048,576$
Initial mutation load	$m_0$
Mutation rate per bp per cell division	$\mu$
Strength of epistatic interactions	$\xi$
Transfer probability of mutant mtDNA	$p_{mut}$
Transfer probability of wildtype mtDNA	$p_{wt}$
Human mitochondrial genome size	$g = 16,569\text{bp}$ [85]

535

536 2. Early embryonic development

537 During early embryonic development, there is no mtDNA replication. The number of cells  
538 doubles at each time step. The existing population of mutant and wildtype mtDNA  
539 undergoes random segregation into daughter cells according to a binomial distribution –  
540 each mtDNA copy has a 50% probability of being assigned to either daughter cell. During  
541 this process, the average number of mtDNA copies per cell halves at each time step. There is  
542 no mutational input, as we only consider mutations that arise due to replication errors.

543

544 3. PGC proliferation, oogonia cell death and oocyte maturation

545 The early embryonic period lasts for the first 12 cell divisions. A group of 32 cells is selected  
546 at random to form the primordial germ cells (PGC). The PGCs then undergo proliferation for  
547 a further 18 rounds of cell division, until the maximum number of germ cells is  
548 reached,  $N_{max} = 32 \times 2^{18} = 8,388,608$ . This value is close to the average reported in the  
549 literature [29].

550

551 mtDNA replication resumes after cell division 12, at the point of PGC determination. At this  
552 point, cells have an average of 128 mtDNA copies. At each following time step, the number  
553 of mtDNA copies doubles prior to random segregation into daughter cells. This means that  
554 the average number of mtDNA copies per cell is kept constant. New mutations are  
555 introduced as errors in mitochondrial replication. During the replication process, the new  
556 replica of each wildtype mtDNA copy has a probability of mutation  $\mu$ /bp. The genome wide  
557 mutation rate  $U = g \times \mu$  is calculated as genome size ( $g = 16,569$  bp [85]) multiplied by  $\mu$ .  
558 This estimate assumes each site contributes equally to selective effects and ignores many  
559 subtleties relating to mutation probability and within-cell maintenance processes, but

560 should give a reasonable order of magnitude gauge of the target size of mutational input  
561 per cell division. Given  $n$  wildtype and  $m$  mutant mtDNAs, the number of new mutants  
562  $\Delta m$  resulting from replication errors is obtained by sampling at random from a binomial  
563 distribution with  $n$  trials with probability  $U$ . After replication and mutation and prior to  
564 segregation the total number of wildtype and mutant mtDNAs is  $2n - \Delta m$  and  $2m + \Delta m$   
565 respectively. Back mutation to wildtype is not permitted.

566

567 At the end of PGC proliferation, the  $N_{max}$  oogonia undergo random cell death, leaving  
568  $N_{max}/8 = 1,048,576$  primary oocytes. This is achieved by sampling the surviving cells at  
569 random with uniform weights (i.e., every cell has an equal probability of survival). The  
570 primary oocytes do not undergo further cell division or mitochondrial replication during the  
571 quiescent period (this is not explicitly modelled). At puberty, oocyte maturation  
572 commences. The number of mitochondria per cell is brought back to the original value  
573  $M_0 = 2^{19}$  through 12 rounds of replication without cell division. We assume that the  
574 number of mtDNA copies doubles at each time step. This introduces new deleterious  
575 mutations, which again are randomly drawn from a binomial distribution (as described  
576 above).

577

#### 578 4. Specific models of selection

579 We consider three specific models in the main text with modifications to the base model  
580 described above.

581

582 The first model adds a bottleneck stage at the time of PGC determination (**Figure 2**). As  
583 before, 32 cells are selected at cell division 12 to form the PGCs. These go through  $b$  extra

584 rounds of cell division without mtDNA replication. This reduces the mean number of mtDNA  
 585 copies per cell to  $\bar{B} = 128 * (0.5)^b$ . The mtDNA replication commences at cell division 14.  
 586 The PGCs then proliferate as before to produce oogonia that undergo random cell death to  
 587 produce primary oocytes. The primary oocytes have a reduced number of mtDNA copies,  
 588 and so must undergo  $12 + b$  extra rounds of mtDNA replication in order to regain the  
 589 original value  $M_0$  mitochondria in mature oocytes. Note that this is an extreme model of the  
 590 bottleneck, where mtDNA copy number is kept low throughout the period of PGC  
 591 proliferation, and so maximises the benefit derived from the increase in segregational  
 592 variation caused by the bottleneck.

593

594 For the model of the bottleneck, we allow selection dependent on individual fitness in  
 595 relation to their mutation load  $m$  among mature oocytes, according to the fitness function  
 596  $f(m) = 1 - \left(\frac{m}{M}\right)^5$  (**Figure 2C**). The concave shape of this function accounts for the fact that  
 597 mitochondrial mutations typically have a detrimental effect on individual fitness only for  
 598 loads >60%. Changes to the power exponent make little qualitative difference to the  
 599 outcome of this model (data not shown).

600

601 A second model considers non-random death during the cull of oogonia as these cells  
 602 transition to being primary follicles (**Figure 3**). Selection in this case is applied at the cell  
 603 level. Cell fitness is expressed as  $f(m) = 1 - \left(\frac{m}{M}\right)^\xi$ , where  $m$  is the number of mutant  
 604 mitochondria. The parameter  $\xi$  determines the strength of epistatic interactions (**Figure**  
 605 **3B**). As in other models, the number of cells is reduced from  $N_{max} = 8,388,608$  to  
 606  $N_{max}/8 = 1,048,576$ . This is achieved by sampling without replacement the surviving cells

607 at random, with weights proportional to cell fitness (i.e., every cell has a probability of  
 608 survival proportional to its fitness).

609

610 The third model considers that the oogonia are organised in cysts of 8 cells each. These are  
 611 the descendants of a single cell (*i.e.* three cell divisions prior). One cell is randomly  
 612 designated as the primary oocyte using the MATLAB function `randsample`. The Balbiani body  
 613 of the primary oocyte contains a proportion  $f$  of the mtDNA copies of all cells in the cyst.  
 614 The mitochondria that join the Balbiani body are sampled at random without replacement  
 615 from each cell with different weights for wildtype ( $p_{wt}$ ) and mutant ( $p_{mut}$ ). After  
 616 mitochondrial transfer to the Balbiani body, nurse cells undergo apoptosis (*i.e.* all cells  
 617 except the one designated as the primary oocyte), reducing the total number of oocytes to  
 618  $N_{max}/8 = 1,048,576$ .

619

## 620 **Evolutionary Model**

621 In order to calculate the equilibrium distribution of a population undergoing the  
 622 developmental dynamics mentioned in the previous section, we develop an analytical  
 623 model for the distribution of mitochondrial mutations in an infinite population, with non-  
 624 overlapping generations. As it was not possible to find an analytical solution, we solved the  
 625 equations through numerical iterations. The system converges to a unique equilibrium  
 626 state, independent of the initial conditions.

627

628 The state of the system is described by the vector  $p(t) = \{p_0(t), \dots, p_{M(t)}(t)\}$ , where  
 629  $M(t)$  is the number of mtDNA copies per cell at time  $t$ . The elements  $p_m(t)$  are the  
 630 frequency of mutation load  $m(t)/M(t)$  at time  $t$ . The evolution of the system is determined

631 by a set of transition matrices whose elements are the transition probabilities between  
 632 states. To avoid unnecessary complexity in the evolutionary model, we assume that  
 633 fluctuations in mitochondrial number per cell due to segregation are negligible (*i.e.* in  
 634 contrast to the computational model which allows binomial segregation at each division).  
 635 Therefore, the mtDNA number per cell is constant across the whole population of cells at  
 636 every time step. That is, during early embryonic development (when there is no mtDNA  
 637 replication), after  $t$  cell divisions, the total number of mitochondria per cell is  $M^{(t)} =$   
 638  $2^{-t}M_0$ . Then, during PGC proliferation, the total number of mitochondria per cell is  
 639 constant. Finally, during oocyte maturation, the number of mitochondria per cell exactly  
 640 doubles with each mtDNA replication cycle. To aid in calculations, we also set the initial  
 641 number of mtDNA copies to be proportional to the bottleneck size, *i.e.*  $M_0 = 2^{12} \times B$ . As  
 642 the mtDNA number per cell halves at each cell division during early embryonic  
 643 development, setting  $M_0$  this way allows the mtDNA number to remain an integer. This is  
 644 important for the modelling procedure, because the dimension of the transition matrixes  
 645 (which is determined by the mtDNA number) must be an integer.

646

#### 647 1. Early embryonic development

648 During early embryonic development, when mitochondrial replication is not active, changes  
 649 in frequency arise purely from the process of segregation. Let  $W^{(t)}$  be a  $M^{(t)} + 1 \times M^{(t)} +$   
 650 1 square matrix, whose elements  $W_{mn}$  represent the transition probabilities from a state  
 651 with  $m$  to a state with  $n$  mutants:

$$W_{mn}^{(t)} = \binom{m}{n} \binom{M^{(t-1)} - m}{M^{(t)} - n} / \binom{M^{(t-1)}}{M^{(t)}} \quad (1)$$

652



653 These matrix elements model the probability of transitioning from a state with  $m$  mutants  
 654 and  $M - m$  wildtype to a state with  $n$  mutants and  $M - n$  wild type via the segregation of  
 655  $2M$  mitochondria into two daughter cells with  $M$  mitochondria each.

656

657 After  $t$  cell divisions, the average number of mutants per cell is  $\bar{m} = 2^{-t}m_0$ , and the  
 658 variance is  $Var(t) = \frac{1}{4}[Var(t-1) + 2^{-t}m_0]$ . The state of the system is updated as

659  $\vec{p}^{(1)} = (\prod_t W^{(t)}) \times \vec{p}^{(0)}$ .

660

661 2. PGC proliferation, oogonia cell death and oocyte maturation

662 During PGC proliferation, new mutations are introduced at a rate  $U = \mu \times g$ . The transition  
 663 coefficient  $Q_{mn}$  from a state with  $m$  to a state with  $n$  mutants results from the combined  
 664 effects of replication, mutation and segregation:

665

$$\begin{aligned}
 Q_{mn} &= \sum_k \binom{M-n}{k-n} U^{k-n} (1-U)^{M-k} \binom{k}{m} \binom{M-k}{M-m} / \binom{2M}{M} \\
 &= \sum_k \binom{M-n}{k-n} U^{k-n} (1-U)^{M-k} a_{k,m}
 \end{aligned} \tag{2}$$

666 The coefficient  $a_{k,m} = \binom{k}{m} \binom{M-k}{M-m} / \binom{2M}{M}$  models the probability of transitioning from a state  
 667 with  $k$  mutants and  $M - k$  wildtype to a state with  $n$  mutants and  $M - n$  wild type via the  
 668 segregation of  $2M$  mitochondria into two daughter cells with  $M$  mitochondria each; the  
 669 remaining part of the equation models the probability of reaching a state with  $k$  mutant  
 670 mitochondria through replication and mutation of  $M$  mitochondria, of which  $m$  are mutant  
 671 (this corresponds to the probability of introducing  $k - m$  new mutations). The system is

672 updated  $\vec{p}^{(2)} = Q^q \times \vec{p}^{(1)}$ , across  $q$  rounds of PGC cell division. We then apply particular  
 673 processes to capture the effects of the bottleneck, follicular atresia and cytoplasmic  
 674 transfer.

675

676 As before, we model the bottleneck as  $b$  extra rounds of segregation before the onset of  
 677 mtDNA replication, following **Eq(1)** with  $q + b$  cell divisions. This has no effect on the mean  
 678 mutational number but increases mutational variance between the resulting PGCs. The  
 679 transition between oogonia and primary oocytes occurs at random, and so does not alter  
 680 the frequency distribution of mutants. Finally, during oocyte maturation, the mtDNA  
 681 content of each cell doubles at every time step until the initial ploidy  $M_0$  is restored. The  
 682 transition matrix  $G_{mn}$  is analogous to the first term of **Eq(2)**, incorporating replication and  
 683 mutation, but without segregation (last term of **Eq(2)**):

684

$$G_{mn}^{(t)} = \binom{M^{(t)} - m}{n - m} U^{n-m} (1 - U)^{M-k} \quad (3)$$

685 **Eq(3)** models the probability of transitioning from a state with  $m$  to a state with  $n$  mutants,  
 686 which is equivalent to the probability that exactly  $n - m$  out of  $M^{(t)} - m$  wildtype acquire a  
 687 deleterious mutation. As the bottleneck reduces mtDNA copy number per cell, there is the  
 688 need for  $b$  extra rounds of replication of mtDNA during oocyte maturation. Hence, the  
 689 transition coefficient  $G$  is applied  $b + 12$  times in the bottleneck model, to restore the  
 690 number of mtDNA copies per oocytes to the original ploidy level  $M_0$ :  $\vec{p}^{(3)} = (\prod_t G^{(t)}) \times$   
 691  $\vec{p}^{(2)}$ .

692

693 At the end of the maturation phase, for the bottleneck model, selection is applied on  
 694 individual fitness using a vector  $w$  whose elements  $w_m$  are equal to the corresponding  
 695 fitness:  $w_m = f(m) = 1 - \left(\frac{m}{M}\right)^5$ . This causes a change in the population mutation load as  
 696 the system is updated to:

$$\vec{p}^{(3)} = (I\vec{w}) \vec{p}^{(2)} / \vec{w}^T \vec{p}^{(2)} \quad (4)$$

697 where  $I$  is the identity matrix.

698

699 In the model of follicular atresia, an extra step is included to reflect selection that operates  
 700 when the population of oogonia are culled to produce the primary oocytes. This causes a  
 701 change in the population mutation load analogous to that described in **Eq(4)**, but using the  
 702 cell fitness function  $w_m = f(m) = 1 - \left(\frac{m}{M}\right)^\xi$  instead. This determines the shift in mutation  
 703 loads that arises from fitness-dependent culling of oogonia. The transition coefficient **Eq(3)**  
 704 for oocyte maturation is then applied 12 times in the follicular atresia model, to restore the  
 705 original level of ploidy.

706

707 Finally, in order to model cytoplasmic transfer, a different process is used in the production  
 708 of primary oocytes. A set of 8 clonally derived cells is selected. The mutation levels of each  
 709 cell in the cyst is obtained by applying **Eq(3)** three times. Then, 50% of the mitochondria in  
 710 each cell are pooled into the Balbiani body of the primary oocyte. The probability for a cell  
 711 with  $m$  mutants to contribute  $n$  mutants to the Balbiani body is given by:

$$C_{nm} = \binom{m}{n} p_{mut}^n \binom{M-m}{M/2-n} p_{wt}^{M/2-n} / N \quad (5)$$

712

713 Which gives the number of permutations of  $n$  mutant and  $M/2 - n$  wildtype mtDNA  
714 copies, weighted by the probability of transfer  $p_{mut}$  and  $p_t$  respectively, and divided by a  
715 normalisation constant  $N$ . As the primary oocyte contains half of mitochondria from 8 cells,  
716 it needs to undergo 2 fewer rounds of replication during oocyte maturation. Hence only 10  
717 rounds of replication following **Eq(3)** are carried out in this case to restore the original level  
718 of ploidy.

719

720 For all three models (bottleneck, follicular atresia and cytoplasmic transfer), the frequency  
721 distribution of mutation loads after these steps is used as the starting point for the next  
722 generation.

723

### 724 3. Evolutionary dynamics and model accuracy

725 The processes described above are iterated until the Kullback-Leibler divergence (a  
726 theoretical measure of how two probability distributions differ from each other [86])  
727 between the new and the old distribution is smaller than a threshold  $\eta = 10^{-9}$ . We then  
728 assume that the system has reached a stationary state, *e.g.* without significant changes in  
729 the overall distribution of mutation loads between generations (mutation-selection  
730 balance).

731

732 In order to compare the prediction of the model with the clinical data, we use the  
733 equilibrium distribution to calculate the fraction of the population which carries a  
734 detectable load of mitochondrial mutations but does not manifest any detrimental  
735 phenotype ( $\alpha_1$ ) and the fraction of individuals affected by mitochondrial disease ( $\alpha_2$ ) using a

736 threshold of 60% mutation load to discriminate between carrier and disease status.  
 737 Individuals are assumed to be mutation free beyond the detection threshold of 2% [4].  
 738  
 739 The accuracy of the model is evaluated as the logarithm of the probability of reproducing  
 740 clinical data by sampling the theoretical distribution at random. This is calculated as follows:  
 741 let  $X_1$  be the number of healthy individuals with detectable mutation load, and  $X_2$  be the  
 742 number of individuals affected by mitochondrial diseases;  $N_1$  and  $N_2$  the total number of  
 743 individuals in the two trials;  $\alpha_1$  and  $\alpha_2$  the probability of observing, respectively, a healthy  
 744 individual with detectable mutation load and an individual affected by mitochondrial  
 745 disease, according to the prediction of the model. The log-likelihood of observing  $X_1$  and  
 746  $X_2$  by random sampling the theoretical distribution is given by

$$\begin{aligned}
 \log(\lambda_{tot}(\mu, M, \dots)) &= \log \left[ \prod_{i=1}^2 p(X_i | \alpha_i(\mu, M, \dots)) \right] \\
 &= \sum_{i=1}^2 \log \left[ \binom{N_i}{X_i} \alpha_i^{X_i} (1 - \alpha_i)^{N_i - X_i} \right] \\
 &= \sum_{i=1}^2 \log \binom{N_i}{X_i} + N_i \alpha_i + (N_i - X_i)(1 - \alpha_i)
 \end{aligned} \tag{6}$$

747

#### 748 **Estimation of the deleterious mutation rate**

749 The parameter values for the deleterious mutation rate we investigate reflect data collected  
 750 from a number of species. Estimates of mtDNA point mutation rates in the crustacean  
 751 *Daphnia pulex* range between  $1.37 \times 10^{-7}$  and  $2.28 \times 10^{-7}$  per site per generation [87].  
 752 Assuming this rate applies to humans and there are ~20 cell divisions before oocyte

753 maturation, leads to a range between  $0.68 \times 10^{-8}$  and  $1.14 \times 10^{-8}$  per site, per cell  
754 division. Analysis of *Caenorhabditis elegans* mtDNA leads to a similar estimate of  
755  $\sim 1.6 \times 10^{-7}$  per site, per generation [88], which corresponds to a rate of  $0.8 \times 10^{-8}$  per  
756 site, per cell division. For *Drosophila melanogaster*, the mtDNA mutation rate yields an  
757 estimate of  $6.2 \times 10^{-8}$  per site, per generation, and hence  $\sim 0.31 \times 10^{-8}$  per site, per cell  
758 division [89]. Finally, analysis of human mtDNA point mutation rates give a mutation rate of  
759 0.0043 per genome per generation [75], corresponding to  $\sim 1.3 \times 10^{-8}$  mutations per site,  
760 per cell division.

761

762 These values do not take into account the presence of a number of processes likely to  
763 remove mutants and is therefore a conservative estimate. The loss of mutations would  
764 mean that the actual mutation rate is higher than the estimates above. But unlike nuclear  
765 rates, the compact structure of mtDNA where intergenic sequences are absent or limited to  
766 a few bases, means that the rate of point mutations is probably not much higher than the  
767 rate of deleterious mutations. Therefore, for this study we consider a broad interval of  
768 possible deleterious mutation rates, labelled as low ( $10^{-9}$ ), standard ( $10^{-8}$ ) and high  
769 ( $10^{-7}$ ).

770

771 **FIGURE LEGENDS**

772

773 **Figure 1**774 **Stages in female germline development.**

775 (A) Timeline of human oocyte development showing the main stages modelled, with  
776 wildtype (blue) and mutant mitochondria (orange). (B) Numerical simulation of the base  
777 model. Top panel: number of germ cells from specification of the 32 primordial germ cells  
778 (PGCs) after 12 cell divisions; proliferation to form 8 million oogonia; random cell death  
779 reducing to 1 million primary oocytes; quiescent period (not shown) and finally oocyte  
780 maturation at puberty. Middle panel: copy number of mitochondria (i.e. mtDNA); from  
781 zygote with ~500,000 copies, which are partitioned at cell division during early embryo  
782 development until replication begins (first vertical line) during PGC proliferation; copy  
783 number is amplified during oocyte maturation back to ~500,000 copies; dotted line shows  
784 the mean mitochondria copy number, with the distribution across oocytes shown in yellow.  
785 Note, skew reflects the log-scale. Bottom panel: mean (dotted line) and distribution of  
786 mutation load through development. The yellow shaded area shows the 90% quantile.  
787 Other parameter values  $\mu = 10^{-8}$ ,  $m_0 = 0.1$ .

788

789 **Figure 2 with 1 supplement**790 **Model of germline bottleneck and individual selection.**

791 (A) A bottleneck with two extra rounds of cell division without replication (cell division 13  
792 and 14; after the first vertical line), reducing mitochondria copy number per PGC (by a  
793 quarter on average). Two extra rounds of mitochondrial replication are required to  
794 regenerate the copy number in mature oocytes. Compared to the base model (**Figure 1**),

795 mean mutation load (dotted line, bottom panel) is slightly higher and variation in load is  
 796 substantially greater (yellow shaded area, 90% quantile). Parameter values  $\mu = 10^{-8}$ ,  
 797  $m_0 = 0.1$ . **(B)** Violin plots of the distribution of mutations (mean  $\pm$  SD shown in red) at two  
 798 developmental stages, PGC specification and mature oocytes, given 5 mean bottleneck sizes  
 799 ( $\bar{B}$ ) when  $m_0 = 0.1$ . **(C)** Strength of selection on individual fitness, with a concave fitness  
 800 function based on clinical data from mitochondrial diseases<sup>27,28</sup>. **(D)** Change in mutation load  
 801 ( $\Delta m$ ) across a single generation for three initial mutation loads ( $m_0$ ), given 5 mean  
 802 bottleneck sizes ( $\bar{B}$ ), showing the median (red line) and distribution (box plot IQR with  
 803 min/max whiskers and outliers).

804

805 **Figure 2 – figure supplement 1**806 **Bottleneck change in mutation load with different mutation rates.**

807 Change in mutation load ( $\Delta m$ ) across a single generation after individual selection with  
 808 variable mean bottleneck size ( $\bar{B}$ ). This is shown with **(A)** low ( $\mu = 10^{-9}$ ) and **(B)** high  
 809 ( $\mu = 10^{-7}$ ) mutation rate, for individuals with low ( $m_0 = 0.001$ ), medium ( $m_0 = 0.01$ ) and  
 810 high ( $m_0 = 0.1$ ) initial mutation loads. Box plots show the median (red line) and distribution  
 811 (box plot IQR with min/max whiskers and outliers).

812

813 **Figure 3 with 1 supplement**814 **Model of follicular atresia and cell selection.**

815 **(A)** After PGC proliferation, follicular atresia occurs through selective apoptosis of oogonia.  
 816 **(B)** Cell fitness is assumed to be linear ( $\xi = 1$ ) or follow negative epistasis ( $\xi = 2, 5$ ) in  
 817 which mutations are more deleterious in combination. **(C)** Change in mutation load,  $\Delta m$ ,  
 818 across a single generation after cell selection, at an intermediate mutation rate ( $\mu = 10^{-8}$ ),



819 for individuals with low ( $m_0 = 0.001$ ), medium ( $m_0 = 0.01$ ) and high ( $m_0 = 0.1$ ) initial  
820 mutation loads, for variable levels of epistasis (median (red line) and distribution (box plot  
821 IQR with min/max whiskers and outliers)).

822

823 **Figure 3 – figure supplement 1**

824 **Follicular atresia and cell selection change in mutation load with different mutation rates.**

825 Change in mutation load ( $\Delta m$ ) across a single generation after cell selection with variable  
826 levels of epistasis ( $\xi$ ). This is shown with (A) low ( $\mu = 10^{-9}$ ) and (B) high ( $\mu = 10^{-7}$ )  
827 mutation rate, for individuals with low ( $m_0 = 0.001$ ), medium ( $m_0 = 0.01$ ) and high  
828 ( $m_0 = 0.1$ ) initial mutation loads. Box plots show the median (red line) and distribution (box  
829 plot IQR with min/max whiskers and outliers).

830

831 **Figure 4 with 2 supplements**

832 **Model of cytoplasmic transfer and mitochondria selection.**

833 (A) Cytoplasmic bridges form among oogonia in the germline cyst, leading to selective  
834 transfer of wild-type mitochondria (blue) to the primary oocyte, leaving mutant  
835 mitochondria (red) in nurse cells that then undergo apoptosis. (B) Cytoplasmic transfer  
836 which selectively pools  $f = 50\%$  of mtDNA from 8 germline cyst cells into a single primary  
837 oocyte causes a large increase in the number of mitochondria (middle panel) and a large  
838 reduction in the mean (dotted line, bottom panel) and distribution of mutation load (yellow  
839 shaded area shows the 90% quantile, bottom panel), which persists during oocyte  
840 maturation. Pooling of mtDNA requires two fewer rounds of mtDNA replication to  
841 regenerate copy number in mature oocytes. Parameter values  $\mu = 10^{-8}$ ,  $m_0 = 0.1$ . (C)  
842 Change in mutation load ( $\Delta m$ ) across a single generation (median (red line) and distribution

843 (box plot IQR with min/max whiskers and outliers)), for individuals with low ( $m_0 = 0.001$ ),  
844 medium ( $m_0 = 0.01$ ) and high ( $m_0 = 0.1$ ) initial mutation loads, with variable strengths of  
845 selective transfer ( $p_{mut}$ ). Parameter value  $\mu = 10^{-8}$ .

846

847 **Figure 4 – figure supplement 1**

848 **Cytoplasmic transfer and mitochondria selection change in mutation load with different**  
849 **mutation rates and proportion of transferred mitochondria ( $f$ ).**

850 Change in mutation load ( $\Delta m$ ) across a single generation, given a variable proportion of  
851 transferred mitochondria ( $f$ ) to the Balbiani body when transfer is non-selective ( $p_{mut} =$   
852  $p_{wt} = 0.5$ ). This is shown with (A) low ( $\mu = 10^{-9}$ ), (B), standard ( $\mu = 10^{-8}$ ) and (C) high  
853 ( $\mu = 10^{-7}$ ) mutation rate, for individuals with low ( $m_0 = 0.001$ ), medium ( $m_0 = 0.01$ ) and  
854 high ( $m_0 = 0.1$ ) initial mutation loads. Box plots show the median (red line) and distribution  
855 (box plot IQR with min/max whiskers and outliers).

856

857 **Figure 4 – figure supplement 2**

858 **Cytoplasmic transfer and mitochondria selection change in mutation load with different**  
859 **mutation rates and probability of mutant transfer ( $p_{mut}$ ).**

860 Change in mutation load ( $\Delta m$ ) across a single generation, for individuals undergoing  
861 cytoplasmic transfer the Balbiani body with variable strength of selection, given a fixed  
862 probability of transfer of wildtype mitochondria ( $p_{wt} = 0.5$ ) and a decreasing probability of  
863 transfer of mutant mitochondria ( $p_{mut}$ ). Note the null case is when ( $p_{mut} = p_{wt} = 0.5$ ).  
864 This is shown with (A) low ( $\mu = 10^{-9}$ ) and (B) high ( $\mu = 10^{-7}$ ) mutation rate, for individuals  
865 with low ( $m_0 = 0.001$ ), medium ( $m_0 = 0.01$ ) and high ( $m_0 = 0.1$ ) initial mutation loads,

866 and a fixed proportion of transferred mitochondria ( $f = 0.5$ ). Box plots show the median  
867 (red line) and distribution (box plot IQR with min/max whiskers and outliers).

868

869 **Figure 5**

870 **Log-likelihood of the models reproducing clinical data of mitochondria mutation load and**  
871 **disease frequency.**

872 Heatmaps showing log-likelihood of reproducing the observed mutation load and disease  
873 frequency in humans, for equilibrium conditions under the evolutionary model with (A)  
874 bottleneck and selection on individuals, (B) follicular atresia and selection on cells ( $\xi = 5$ ),  
875 (C) cytoplasmic transfer with intermediate ( $p_{mut} = 0.33, p_{wt} = 0.67, f = 0.5$ ) or (D) strong  
876 ( $p_{mut} = 0.25, p_{wt} = 0.75, f = 0.5$ ) selective transfer of wildtype mitochondria. Yellow  
877 depicts high likelihood; blue, low likelihood. All models are shown for variable bottleneck  
878 size (the minimum mitochondria population size at which replication commences) and  
879 variable mutation rates.

880



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891

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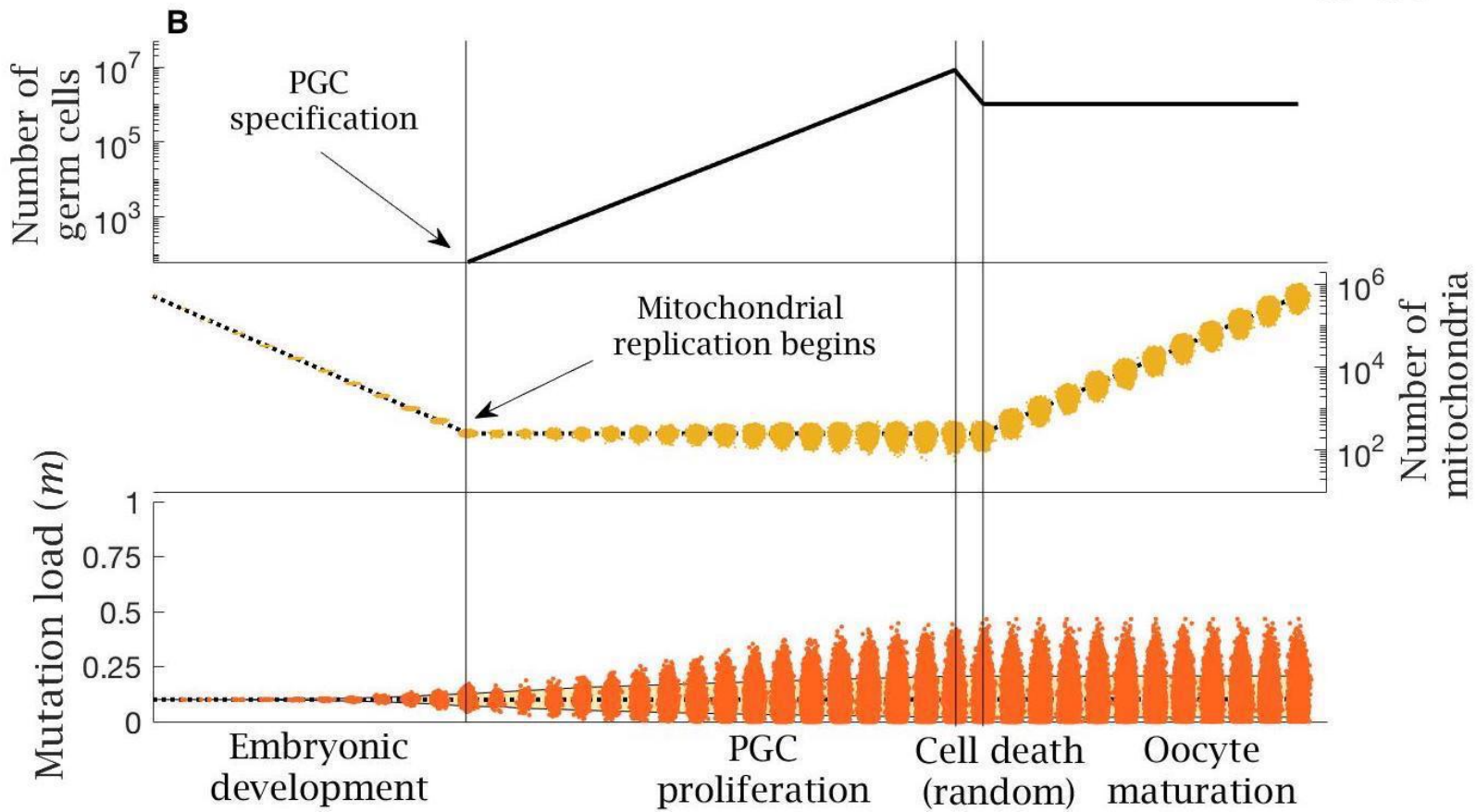
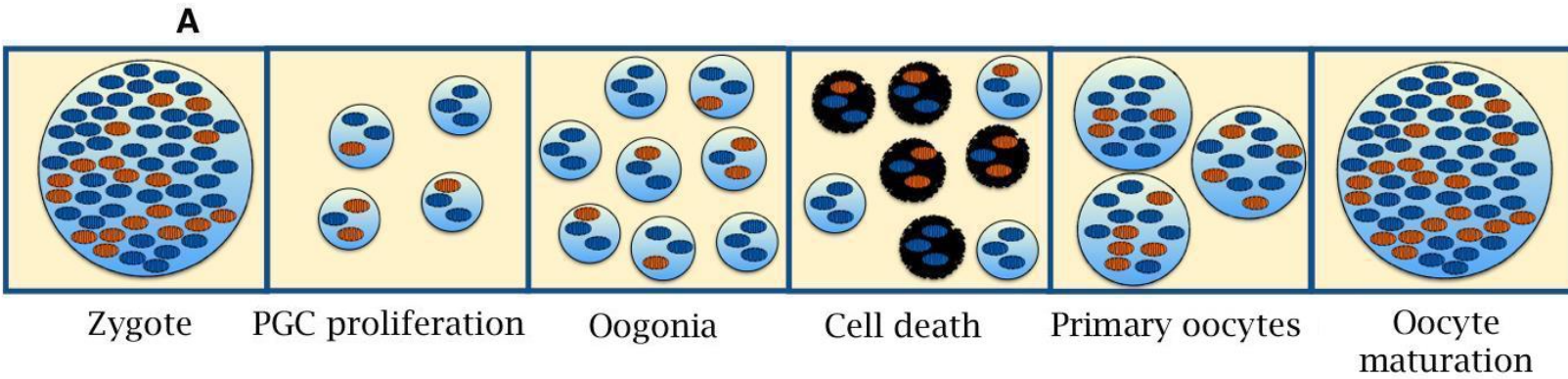
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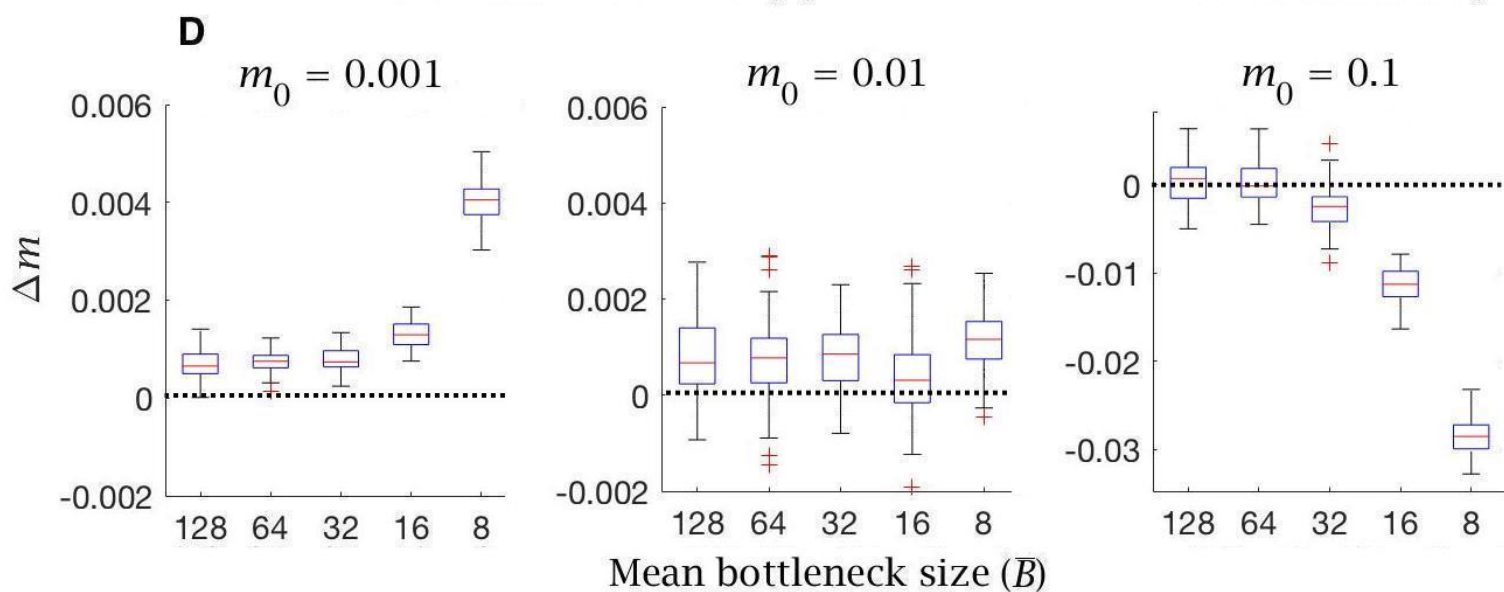
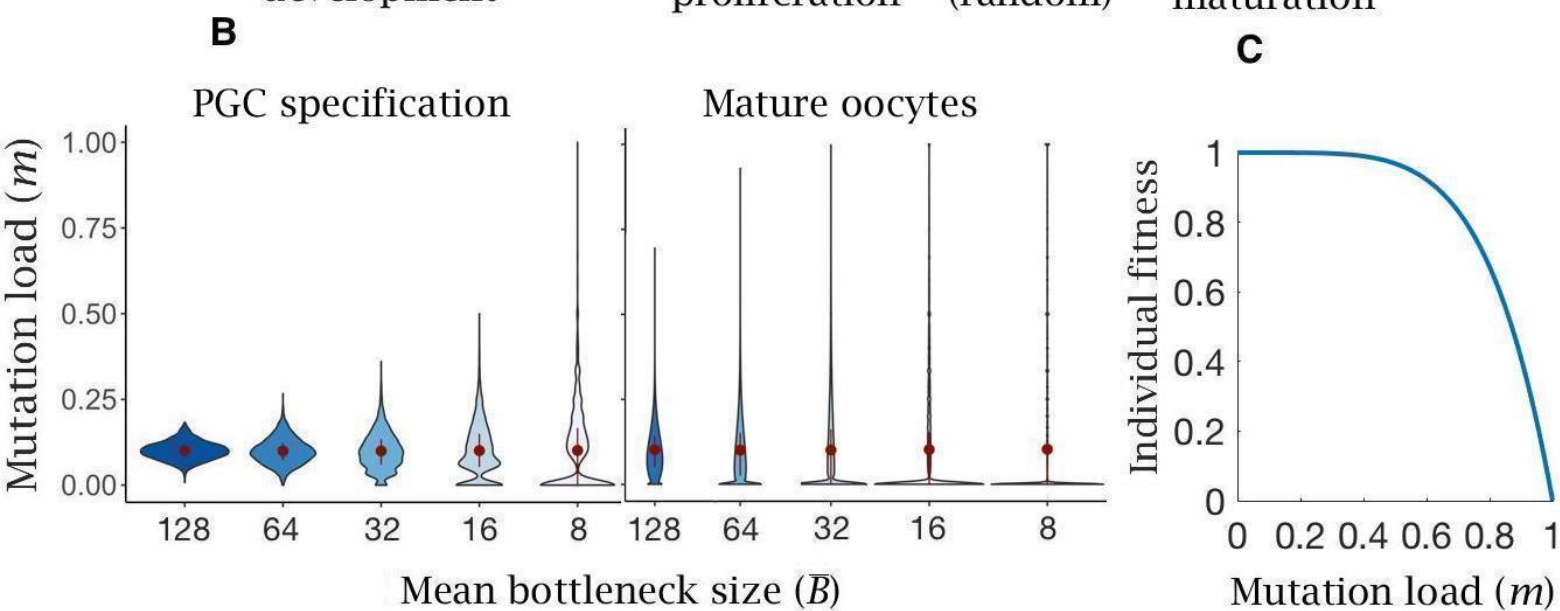
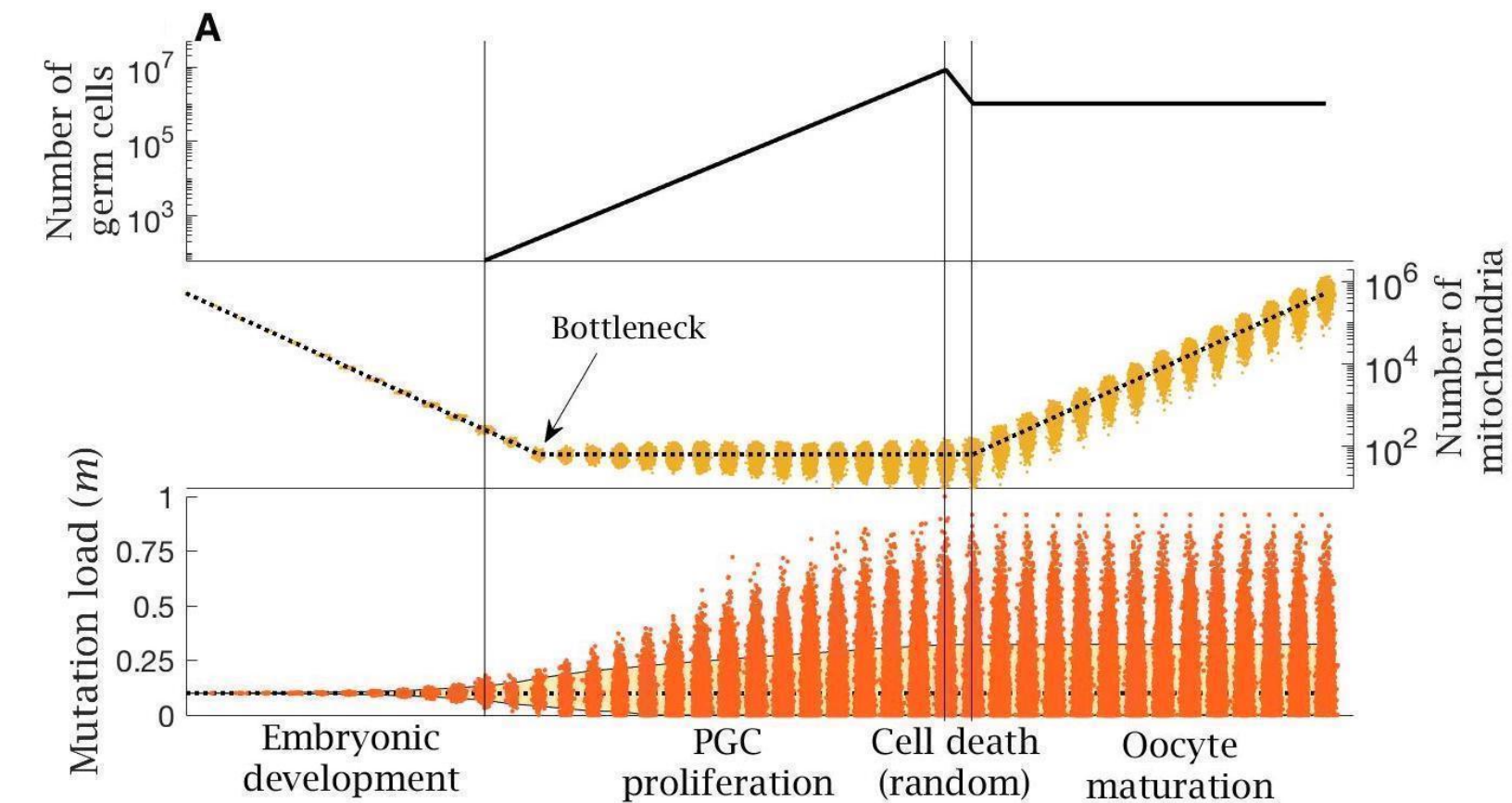


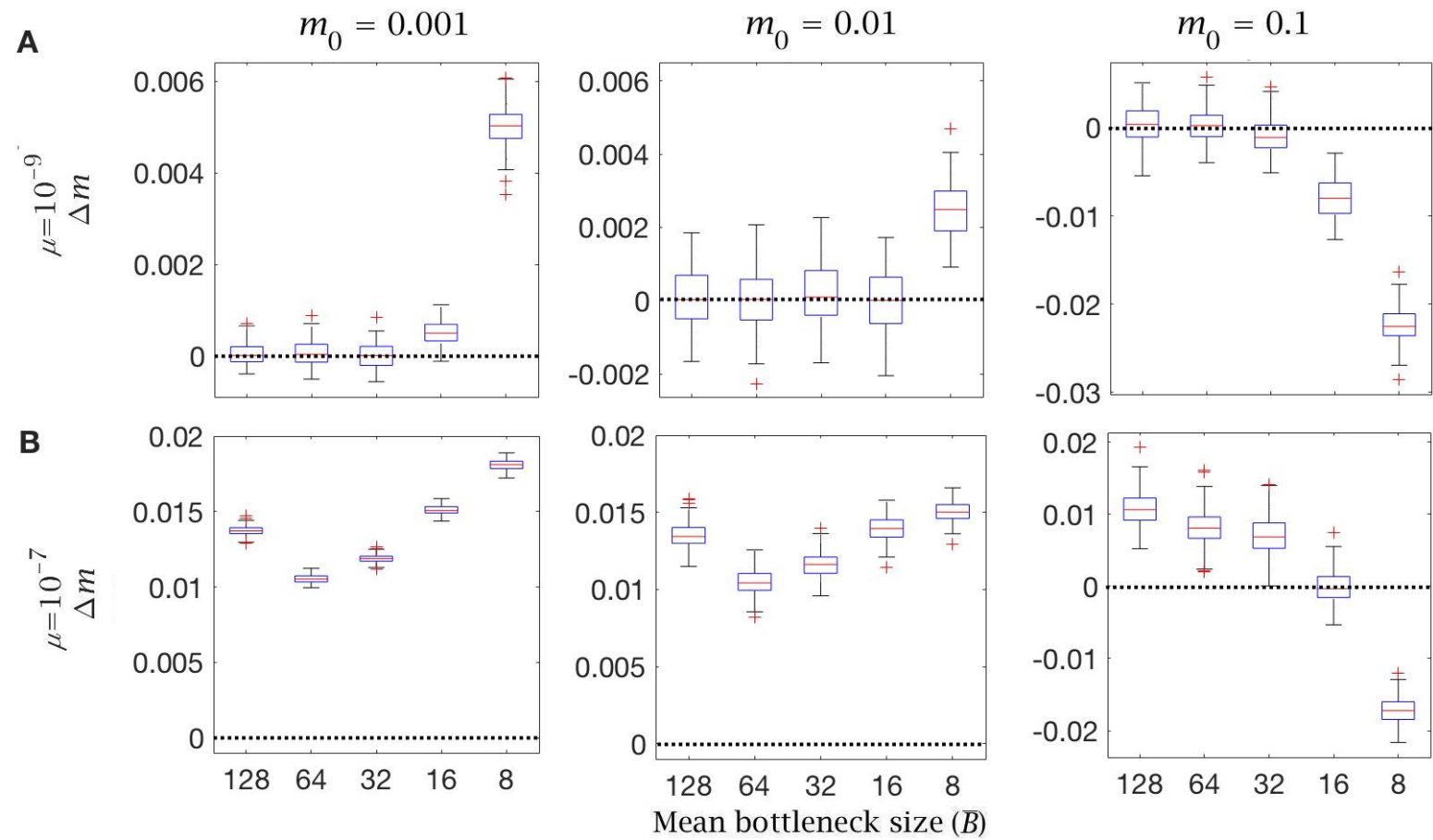
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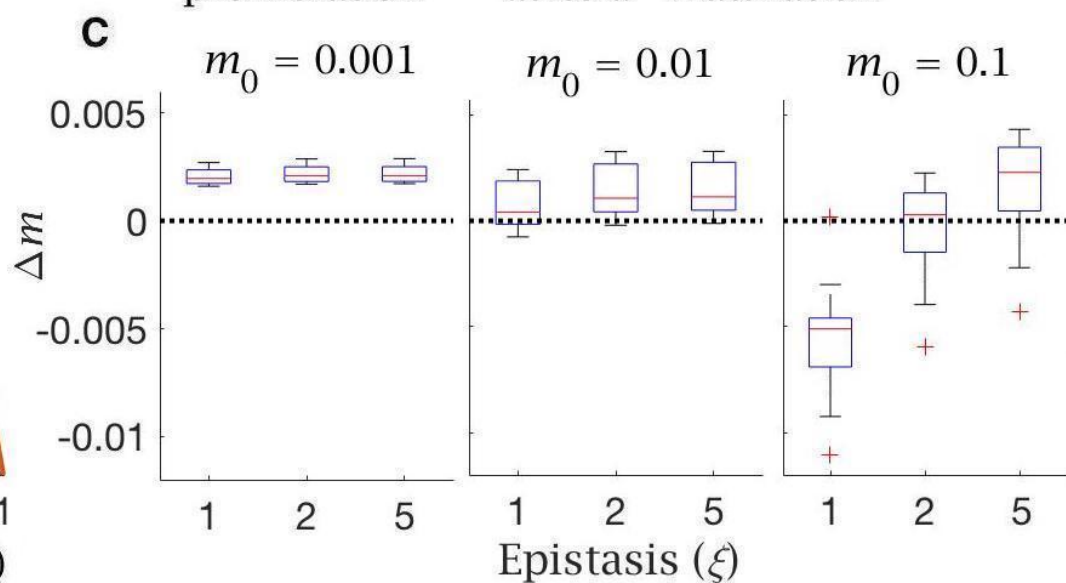
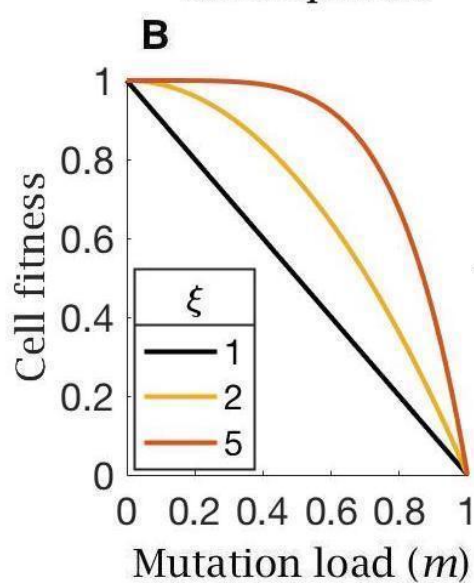
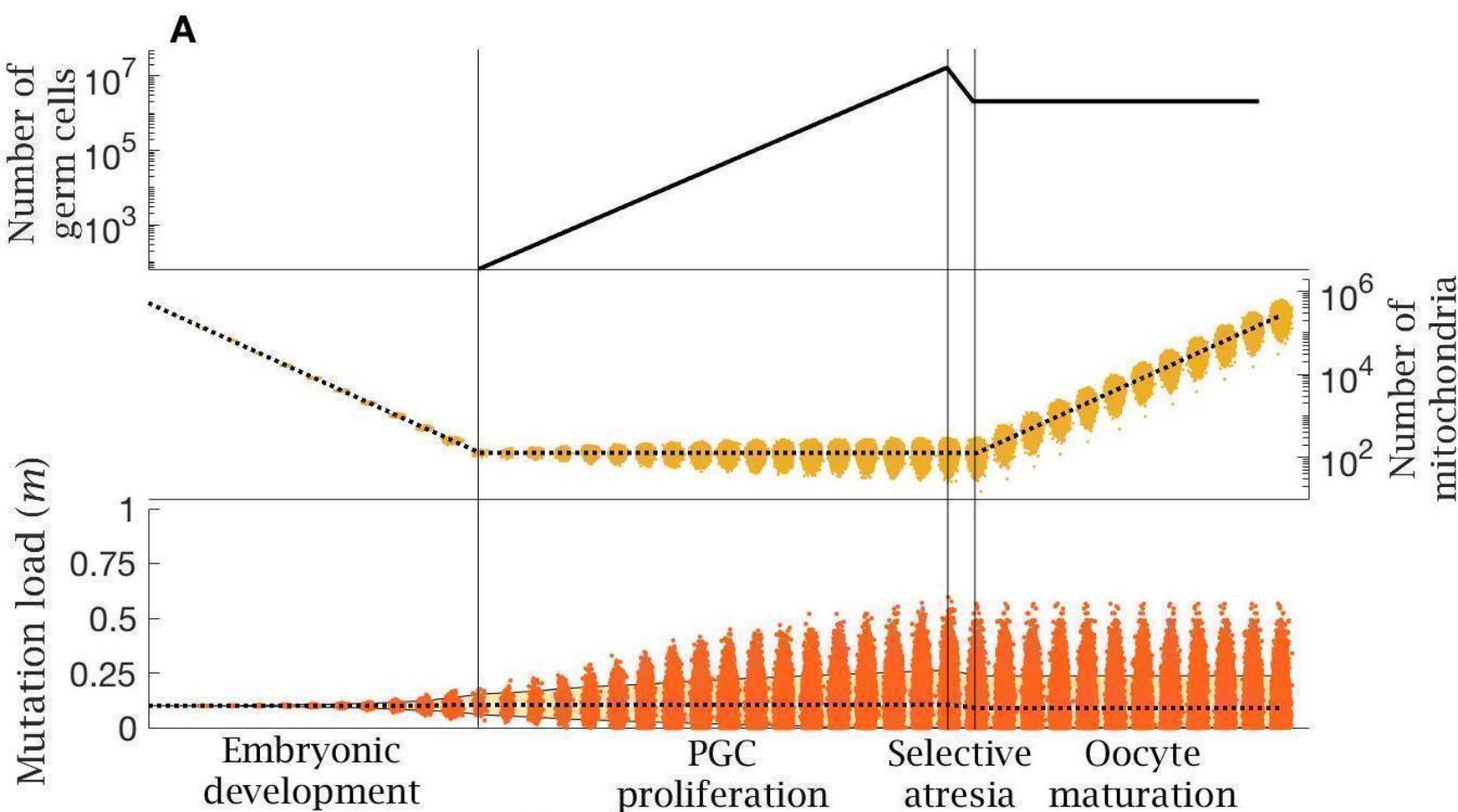


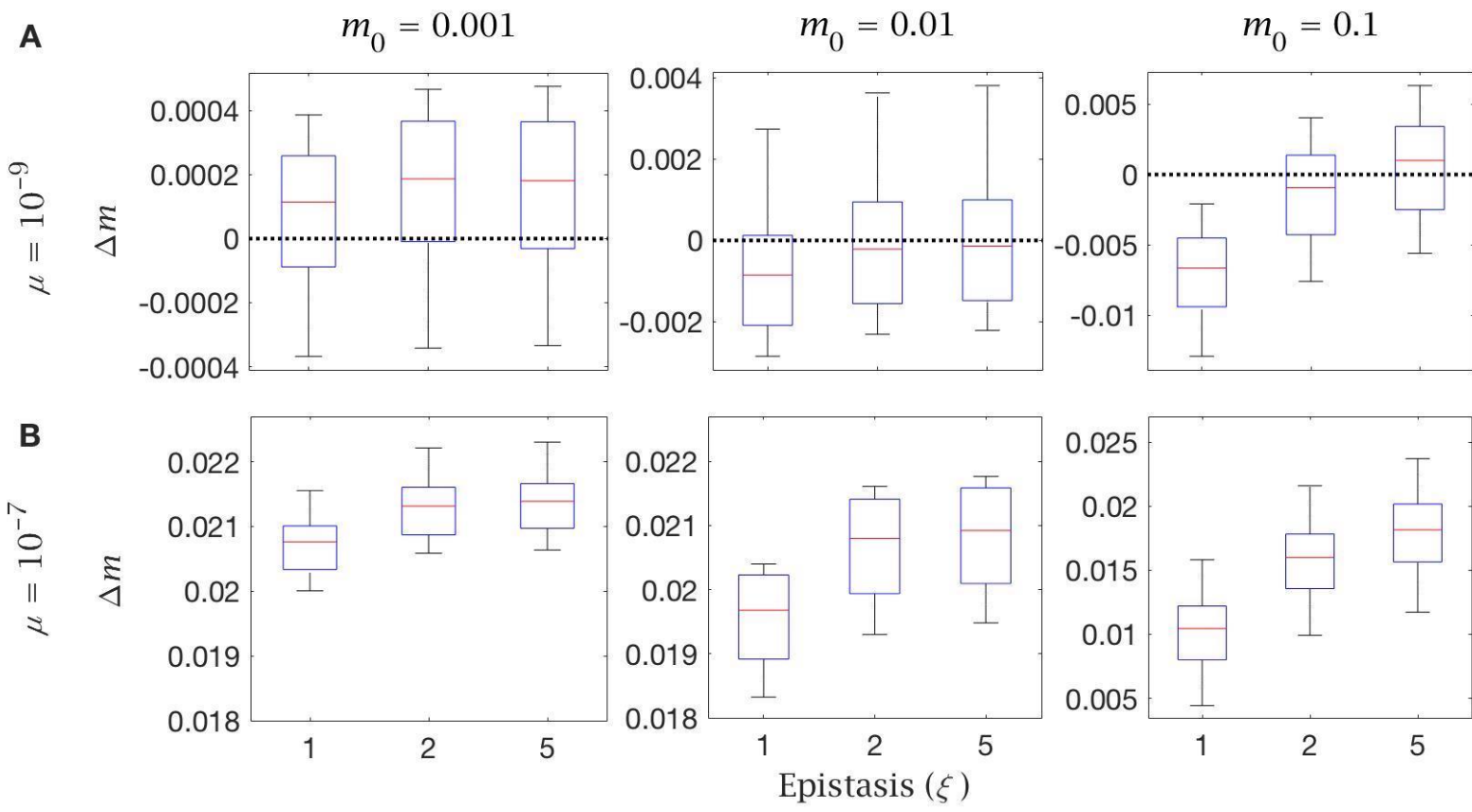




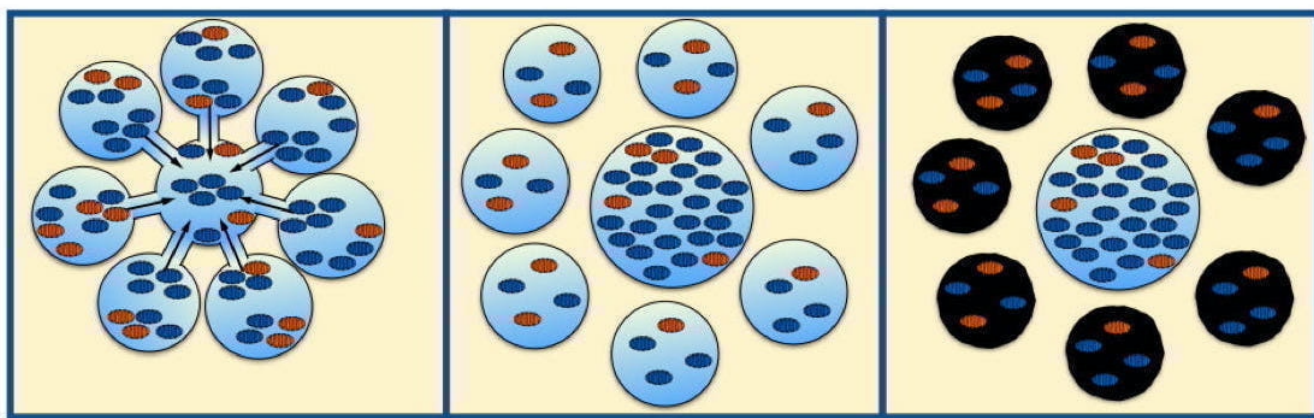








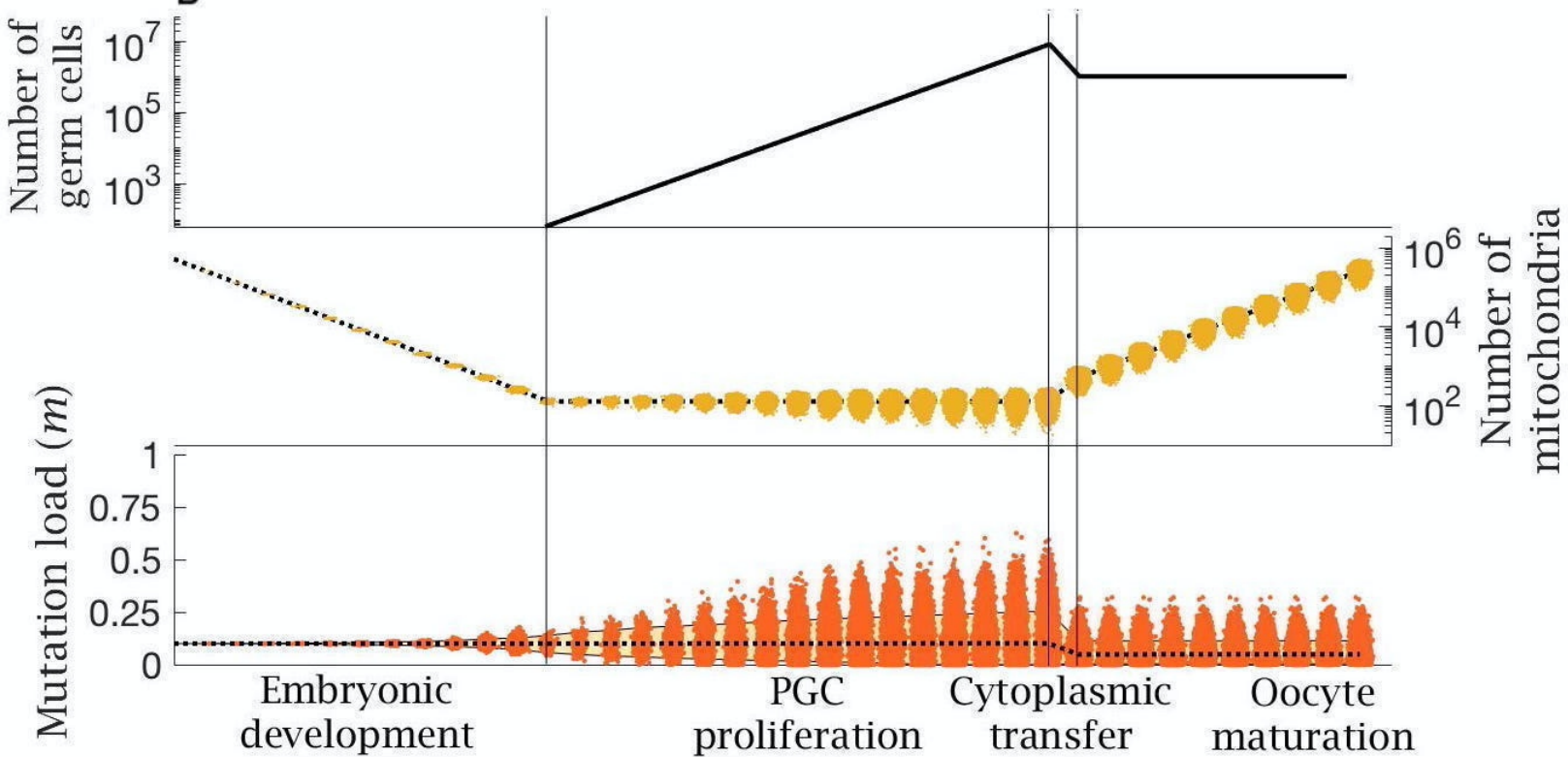


**A**

Germline cyst

Cytoplasmic transfer

Nurse cell death

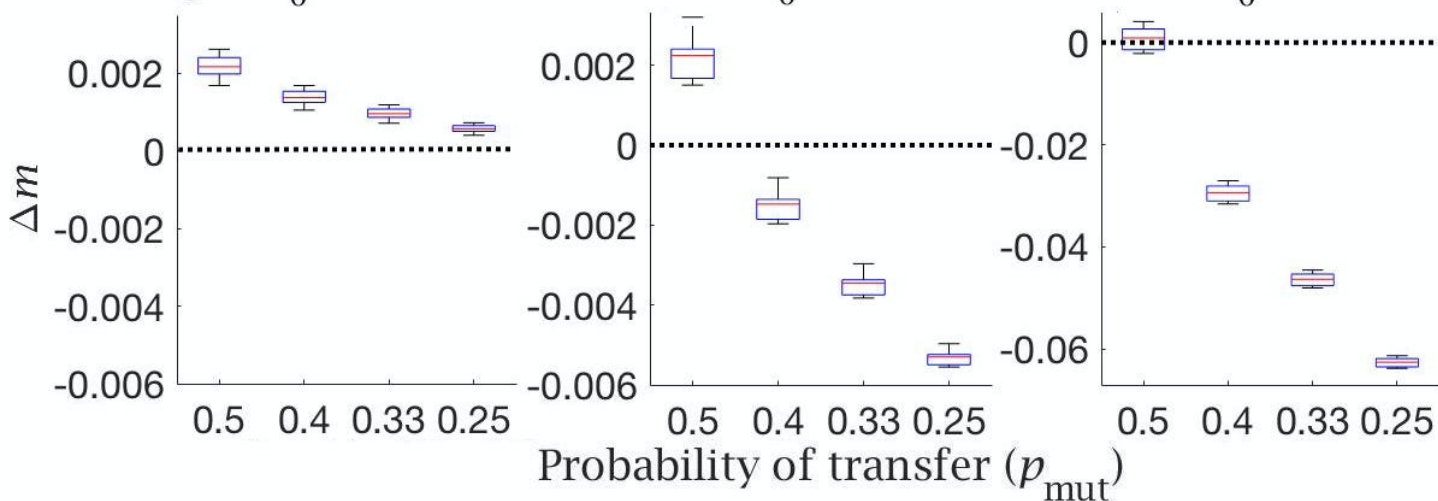
**B**

Embryonic development

PGC proliferation

Cytoplasmic transfer

Oocyte maturation

**C** $m_0 = 0.001$  $m_0 = 0.01$  $m_0 = 0.1$ Probability of transfer ( $p_{\text{mut}}$ )

