# The ABC of social learning: Affect, Behavior and Cognition

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**Abstract**

Debates concerning social learning in the behavioral and the developmental cognitive sciences have largely ignored the literature on social influence in the affective sciences despite having arguably the same object of study. We argue that this is a mistake and that no complete model of social learning can exclude an affective aspect. In addition, we argue that affect can allow bridging of the debates of the unique characteristics of social learning in humans compared to other animals. We first review the two major bodies of literature in non-human animals and human development, highlighting the fact that the former has adopted a behavioral approach while the latter has adopted a cognitive approach, leading to irreconcilable differences. We then introduce a novel framework, affective social learning (ASL), that studies the way we learn about value(s). We show that all three approaches are complementary and focus, respectively, on behavior towards, cognitions concerning, and feelings about objects, events and people in our environment. All three thus contribute to an affective, behavioral and cognitive story of knowledge transmission: the ABC of social learning. In particular, ASL can provide the backbone of an integrative approach to social learning. We argue that this novel perspective on social learning can allow both evolutionary continuity and ontogenetic development by lowering the cognitive thresholds that appear often too complex for other species and non-verbal infants. Yet, it can also explain some of the major achievements only found in human cultures.

**Keywords:** affect; affective social learning; behavioral processes; cognition; culture; social learning

## Introduction

Social learning is at the heart of knowledge transmission and culture formation in many animal species, including humans. However, while most of the relevant research in non-human animals (henceforth, 'animals') has remained at the behavioral level, presumably for fear of anthropomorphism (J. Panksepp, 2011b), the relevant research in humans has been mostly understood from a cognitive point of view, even from a very early age. To illustrate this, one can look at the acquisition of tool use in children and other animals: both learn that a tool is a particular object, but only a child is likely to learn that the tool has been made by someone to achieve a particular goal (German and Defeyter, 2003). Given the underlying cognitive implications of this second step, particularly in terms of cognitive representations (Gruber, Zuberbühler, Clément, & van Schaik, 2015), it is difficult to apply the same theoretical framework to animals. Furthermore, the transfer of this knowledge is thought to rely on specifically cognitive social learning mechanisms and processes, including imitation and teaching, where a connection between model and learner is needed (Haun & Over, 2013). But the establishment of such connections between two human beings most likely relies on affect, in the form of an emotional bond. Yet, affects have remained almost absent from the social learning literature and debates, and are still to be fully accepted by comparative psychologists as worthy of scientific study at all (de Waal, 2011). Thus, crudely put, while there seems to be two stories of social learning, one behavioral and animal, the other more cognitive and human, there may be good reason to believe the addition of a human, affective component would drive these two stories further apart.

However, in this article, we will not only argue for a rapprochement of these two parallel lines of research, we will also argue that social learning processes largely rely on affect, and that the latter is central to the learning process across species. In fact, affect may even provide an evolutionary bridging chapter, a chapter which points the way to a fully integrated affective, behavioral and cognitive story of social learning that includes both humans and animals. We will argue that social learning constantly requires feedback from other individuals (e.g. a parent's admonishing scowl or a partner's encouraging hug), and that emotion is the most common and effective form of this type of feedback (Clément &

Dukes, 2020). In other words, other individuals' expressions can elicit particular and specific cognitions and behaviors, leading perhaps to learning something about the object, the context, or even the expresser herself (Hareli & Hess, 2010). It is generally understood by affective scientists that emotion motivates behavior and cognition, and to such an extent that it is difficult to imagine a model of human behavior or cognition that would not benefit from including affective processes.

One recent advance in this direction specifically concerns the interplay between behavior, cognition and emotion in acquiring cultural knowledge. Ultimately and more broadly, when a relatively tightly interconnected group of people provide the same information – that talking with your mouth full is bad, that you should support the reds but not the blues, or that the Catskills are worthy of a visit, for example – that group's values are transmitted, and the receiver of that information has socially learned that particular culture's values (Clément & Dukes, 2013). This *affective social learning (ASL)* organizes various mechanisms of cognition and behavior, including emotional contagion, affective observation, social referencing and natural pedagogy along an axis of intentionality (Clément & Dukes, 2017), and appears particularly suited for broad use across developmental, social and comparative psychology (Dukes & Clément, 2019).

We believe that it is high time to connect the field of emotion to the behavioral and cognitive fields of social learning, and that the ASL framework can offer both continuity with other species *and* reasons to explain our own uniqueness. For example, it allows us to study how similar a chimpanzee juvenile observing a dominant individual's reaction before crossing a dangerous tarmac road is to a child trying to figure out from her siblings whether crossing a busy Manhattan intersection is safe; as well as how such behavior can become a socially shared feature of the given community displaying it. Hence, adopting a broad approach towards what social influences are, and the manners in which they influence others across species by learning through emotions, may offer a way to repair the evolutionary discontinuity between human cognitive social learning and animal behavioral learning. We will point out that in the search of the origins of social learning, traditionally, social influences can be split along three lines: behavioral *social learning*, cognitive *social learning* (Part 1); and emotional *social*

*appraisal* (Part 2). We will argue that these distinctions may not be so clear, as all three could highlight a different focus on the effects that others have on us, respectively, on our behavior towards, cognitions concerning, and feelings about objects, events and people in our environment. Throughout the article, it will become explicit that these notions often collide and overlap. Indeed, generally-speaking, affective scientists would agree that a clear distinction between the cognitive, the behavioral and the affective is complicated: most definitions of "emotion" would in fact include both cognitive and behavioral aspects (Sander, 2013). This is also reflected in recent animal studies, when, compared to early behaviorist approaches, behavioral outputs are often taken as evidence of cognitive processes (see below). We will therefore strive to describe the different traditions of social learning by highlighting their focus of interest, while acknowledging that the other dimensions are often present and interrelated. Yet, by arguing that the affective dimension has remained neglected, we will describe how social learning could be defined more broadly than is usually the case, encompassing Affect, Behavior and Cognition – an ABC story of social learning. Adopting such an approach allows us to explore new paths, which may have led our species to its unique characteristics. Thus, in the final part of this paper, we will show that the affective dimension can be integrated in models of the evolution of culture and language, providing further clues to explaining the uniqueness of humans. Yet, first and foremost, a re-appraisal of the literature is warranted to join these three lines of research that have seemingly ignored each other, to the detriment of all three. With a view to highlighting touching points between them, this re-appraisal will be presented in terms of the increasing intentionality displayed by the learners and knowers to receive and transmit knowledge, beginning with something close to a contagion, involving minimal intentions to teach or learn, and culminating with consideration of an active, highly intentional transmission of cultural knowledge.

## Part 1: Traditions of social learning

The two major traditions of social learning have aimed to understand how animals acquire knowledge in their respective environments (Zentall & Galef, 1988), and how children acquire their knowledge and language (Tomasello, 1999). The point of this section is not to review the sometimes bitter debates

that have fueled the growing literature, particularly with respect to the specific mechanisms at work (Tennie, Call, & Tomasello, 2009; Whiten, McGuigan, Marshall-Pescini, & Hopper, 2009), neither to claim that the animal social learning literature is exclusively behavioral nor that the developmental literature is exclusively cognitive. Yet, by exploring the major conceptual advances made in both domains, we aim to show that they have, for the most part, followed different theoretical paths. Because we are mostly concerned with the acquisition of cultural knowledge, we will not specifically focus on the adult human social learning literature, although we will address the relevant papers when necessary.

## The behavioral animal social learning tradition

The animal social learning tradition is rooted in research on behavior, as behavior is the only measurable unit when assessing beings that cannot communicate directly about their goals and beliefs with experimenters. This section will summarize many of the main ideas expressed concerning animal social learning, from Pavlovian associative learning to more cognitively grounded approaches. It will conclude by showing that animal social learning research remains rooted in behavioral explanations, mostly defined in terms of what it is missing when compared to human social learning, and characterized as lacking some high-fidelity copying mechanisms, claimed to be human-specific, such as imitation and teaching.

### *From Pavlov to the social world*

It may be somewhat unusual to start a discussion on animal social learning by referring to Pavlovian conditioning. Yet, by frontally opposing the notion of instinct nearly seventy years ago, reference to Pavlovian conditioning started a tradition of animal learning (Krause & Domjan, 2017) which continues to shape discussions of animal traditions and cultures today. Lehrman (1953)'s attack on the use of the word *instinct* triggered a revolution in our understanding of animal behavior, leading to the abandonment of a split between instinctive versus learned behavior (Bateson & Mameli, 2007; Marler, 2004). This provided the impetus for a theory of associative learning to emerge, built on a Pavlovian

conditioning paradigm: while displaying genetic adaptations characterizing their species, animals must display enough flexibility to react to their environment in real time, thereby demonstrating a faculty for learning. The Pavlovian approach rests on Unconditioned Stimuli, which do not require prior training or learning, either posing direct threats to survival (e.g. predatory attack), or sustaining survival (e.g. food, water), so long as Unconditioned Responses are elicited (e.g. fighting or fleeing). Pavlovian conditioning allows the pairing of a Conditioned Response to a Conditioned Stimulus or context paired with an Unconditioned Stimulus. An example of Pavlovian conditioning could be Diana monkeys in the Taï Forest learning the alarm calls of birds for "leopard" and therefore enhancing their own survival by reacting adaptively upon hearing such calls (Zuberbuhler, 2000). While a review of the specifics of Pavlovian conditioning is outside the scope of the present article, it is interesting for our purpose to note that much of the literature on animal learning has been articulated about rewards, particularly food-based, possibly because it is the easiest to implement in laboratory settings (Schultz, 2006); these rewards elicit a positive affective learning experience. In addition, other relevant notions found in the affective literature (see also Box 1) tied to conditioning are those of approach and withdrawal behavior, as well as motivational valence, which condition whether an animal will increase or decrease a behavior in light of a pleasing or aversive outcome (Schultz, 2006). For example, in the words of Schultz (2006), "punishers induce negative emotional states of anger, fear, and panic" (p.94), which influence learning. The latter also occurs in social contexts (J. B. Panksepp & Panksepp, 2017), and beyond the context of the laboratory, as described in the next section.

***Social learning mechanisms in animals***

Despite the power of individual learning, one of the advantages of social life is to witness others experiencing something without having to bear the consequences (Richerson & Boyd, 2005). For example, in the case of a first encounter with a leopard, one may not be offered a second chance to interpret the warning call correctly. The impact of associative learning has shaped both discussions of animal and human individual learning and social learning (Bandura, 1977; Shettleworth, 1998). There is still fierce debate concerning which social learning mechanisms are available to animals, with most organigrams establishing a hierarchy of social learning processes that include human-specific

188 mechanisms not available to other animals. Such theoretical positioning has implications for whether
189 we can grant the ability to possess and maintain culture(s) to other animals, if culture is dependent on
190 particular social learning mechanisms, such as imitation or teaching (see discussion in Gruber, 2016).
191 In this respect, *stimulus* and *local enhancement* only position themselves one step ahead of associative
192 learning, although they display a crucial characteristic: they happen in a social context. An animal is
193 triggered to approach a location or a stimulus because another animal was or is still currently engaging
194 with a particular food for example. Yet, both animals individually develop a behavior in response to the
195 stimulus, with little to no attention paid to what the other one is doing. Such triggers may be sufficient
196 for animals to express behavior that otherwise may qualify as cultural if the correct ecological and social
197 environments are present, but would nevertheless fall short of what is usually required to instantiate
198 human cultural behavior (Tennie, et al., 2009). Following such reasoning, if population-specific
199 behavioral differences documented between chimpanzee communities (Whiten et al., 1999) can be
200 explained by low-level stimulus enhancement or local enhancement, these cultural differences have
201 little to do with the more cognitively acquired human cultures (Tennie, et al., 2009).
202
203 Other theorists disagree with this view (e.g. Whiten et al., 2009) and argue that *observational learning*
204 is necessary for some behavior to emerge. The two main processes described in the literature consist of
205 *emulation* and *imitation*. The definition of emulation has varied in the literature (see review in Galef &
206 Whiten, 2017), from *affordance learning* to the more recently acknowledged use of the term, *goal*
207 *imitation*. In such cases, an individual will only imitate the end result, but will not copy the behavioral
208 form of the action (Bandini & Tennie, 2017). Indeed, emulation emphasizes the instrumental action
209 outcome without much regard to the process of how the goal was achieved (Whiten, et al., 2009). There
210 are other debates about what constitutes imitation in the literature, from the distinctions between
211 program-level and production-level imitation (Byrne, 2002), to the necessity of pairing Theory of Mind
212 (ToM) with behavioral imitation to obtain 'true' imitation (Tomasello, Carpenter, Call, Behne, & Moll,
213 2005), exemplifying the fact that the borders between behavioral and cognitive learning become ever
214 more blurred. We believe that strong cognitive demands can be made when defining some terms, but
215 that equal ground should be granted in their use, irrelevant of the species considered, to avoid confusion.

For example, many human developmental studies use the word 'imitation' when referring to tasks that may not be considered 'imitation' in the animal literature (e.g. Li, Liao, Cheng, & He, 2019). Thus, the impression that there is widespread presence of some mechanisms in humans but only limited presence in other animals might, at least in part, be explained by semantic differences, rather than differences in the way things actually are.

One uncontroversial claim is that there is limited teaching in animals. Evidence of animal teaching can be found if a functional biological definition is used (Caro & Hauser, 1992), illustrated by famous examples, such as meerkat scorpion hunting behavior (with adult individuals disabling preys for their youngs, Thornton & McAuliffe, 2006). Another interesting example is found in the domestic chicken, where research provides potential evidence for a large range of social learning mechanisms, including some form of teaching (Daisley, Rosa Salva, Regolin, & Vallortigara, 2011). For example, Nicol and Pope (1996) showed that hens would increase the rate of ground scratching – when no food was available – and of palatable food pecking, without ingesting it, therefore increasing "maternal food display" (p.772), if they observed chicks feeding on seemingly unpalatable food (unknown to the hen, the food was in fact palatable). Nevertheless, there is little evidence in the literature of human-like intentional teaching (see below) in other animals. However, recent data from wild chimpanzees may challenge the scientific doxa on the absence of this type of teaching. At Goualougou, Republic of Congo, chimpanzee mothers exhibit more directional scaffolding, including direct transmission of a tool in the context of termite fishing, than chimpanzees in Gombe, Tanzania (Musgrave et al., 2020). Crucially, the former community displays a more complex tool set to transmit than the latter. These findings can not only be added to the growing evidence that social learning mechanisms are at the heart of the transmission of culture in animals (Allen, Weinrich, Hoppitt, & Rendell, 2013; Hobaiter, Poisot, Zuberbühler, Hoppitt, & Gruber, 2014), but also demonstrate that further work is needed to uncover potential forms of teaching in our closest relatives and other animals.

**The cognitive developmental social learning tradition**

### *Social learning strategies in human children*

This human social learning tradition is rooted in research on cognitive mechanisms underlying children's learning from social partners, in particular cognitions regarding children's epistemic evaluations of objects, events or social partners in their social environments. These evaluations allow children to identify people who can provide reliable information or explain ambiguous events to make appropriate decisions. Infancy presents a unique period for quickly and efficiently accomplishing a large amount of learning about the physical and social world. Children's cognitive development relies both on their first-hand exploration and on their interaction with others. Two metaphors have been used to explain children's impressive rate of knowledge acquisition: the child as a 'little scientist' – an autonomous explorer guided by experimentation, hypothesis testing and causal learning motivations (Gopnik, 2012; Piaget, 1952), and the child as a 'little anthropologist' (Legare & Harris, 2016; Vygotsky, 1987) – a social agent embedded in the societal structure which allows for rapid and effective learning of accumulated knowledge from others. At the core of cultural transmission is the infant's capacity to flexibly and effectively engage in a variety of social learning strategies, such as observation, active information solicitation, and pedagogy (Caldwell, Schillinger, Evans, & Hopper, 2012; Kendal et al., 2018).

Importantly, variations between cultures are also observed in the way children acquire their cultural knowledge, particularly with respect to imitation and the reliance on didactic pedagogy (Legare, 2017). Therefore, in line with a push towards less Western-centered psychology (Henrich, Heine, & Norenzayan, 2010; Kline, Shamsudheen, & Broesch, 2018), there have been calls in developmental psychology to increase the pool of tested infant and children populations (Nielsen, Haun, Kärtner, & Legare, 2017). It is also important to recognize that many of the theories of human social learning based on a small subset of the human global population have been "created, reviewed and edited" (Kline, et al., 2018, p.2) by researchers from the same cultural crucible as their study population. While we acknowledge that our subsequent review will necessarily suffer from the same bias because of the paucity of data from non-Western, educated, industrialized, rich, developed (WEIRD) countries, we

very much welcome current efforts to expand the datasets on which theory of human development are built, and will refer to studies with non-WEIRD samples in the subsequent paragraphs when possible.

In the following, we cover two particularly developed human cognitive strategies in social learning: *active* social learning (through explicit information seeking and information transmission) and *selective* social learning (through early emerging sensitivity to others' cues of reliability, accuracy, confidence and credibility, as well as informants' own characteristics).

***Active social learning***

While children visually and manually explore their environment, track patterns, test hypotheses, make inferences, and revise beliefs based on accumulated evidence (Gopnik & Wellman, 2012; Schulz, 2012; Shafto, Goodman, & Frank, 2012), most of their information gathering in real life occurs in social contexts. Direct observation of others is guided by the infant learner's attentional mechanisms and allows them to acquire new information about their environment. This information could probably have been discovered on their own at a later date, but social learning facilitates more efficient sharing of knowledge among conspecifics (Galef & Whiten, 2017; Paradise & Rogoff, 2009). The primary social learning strategies are imitation and emulation. As excellent imitators, children can copy with high fidelity a sequence of actions demonstrated by another person to achieve a goal (Nielsen, 2006; Want & Harris, 2002). While recent studies dispute the existence of neo-natal imitation (Oostenbroek et al., 2016; Slaughter, 2021), that humans are masters of imitation and the best at acquiring cultural information in this way is beyond doubt (Call, Carpenter, & Tomasello, 2005; Meltzoff, 2007). In addition, only humans 'overimitate' (but see Huber, Popovová, Riener, Salobir, & Cimarelli, 2018), routinely and faithfully copying actions demonstrated in experimental paradigms, even those that are causally and explicitly irrelevant to success in a given task (Horner & Whiten, 2005; McGuigan, Makinson, & Whiten, 2011). At first sight, this excess of time and energy spent copying others appears to be wasteful behavior, affording no particular evolutionary advantage. Yet, overimitation extends beyond goal-directed actions (for a review, see Hoehl et al., 2019): motivation to overimitate has been provided by *cognitive* explanations, such as causal understanding (Lyons, Young, & Keil, 2007), and

more recently, by *socio-emotional* explanations such as the desire to affiliate with others (Over & Carpenter, 2013) or normativity (Keupp, Behne, Zachow, Kasbohm, & Rakoczy, 2015). Having multiple non-exclusive explanatory factors (Frick, Clément, & Gruber, 2017; Schleihauf & Hoehl, 2020) is useful in framing the social learning debate, and simultaneously underlines that much remains to be done to satisfactorily explain our unique capacities for acquiring information from others through imitation. For example, there are noted differences between cultures in terms of overimitation (Nielsen & Tomaselli, 2010), which suggests a learnt component to imitation (Heyes, 2018).

Children can also obtain knowledge from others who are willing and able to share what they know via direct pedagogical instruction or intentional teaching. As compared to other social learning strategies, pedagogy, where knowledgeable individuals directly and intentionally ease the acquisition of information for naïve individuals through their behavior, facilitates acquisition of more complex knowledge and skills (Morgan et al., 2015; Zwirner & Thornton, 2015). Obvious examples across cultures include motherese (Broesch & Bryant, 2015; Fernald, 1985) and motionese (Brand, Baldwin, & Ashburn, 2002) whereby adults will talk and move in a way that makes it clear to the child that they are being addressed and that there might be something to learn (Clément & Dukes, 2017). A theory of Instructed Learning (Tomasello, 2016) argues that this social learning process evolved, not only to enable knowledge transfer, but also to establish common ground and social coherence. A theory of Natural Pedagogy (Csibra & Gergely, 2009, 2011) proposes that humans are uniquely predisposed to learn from social partners who display ostensive communicative cues, which signal transmission of generic and generalizable knowledge. Infants' early sensitivity to these cues indicates their readiness to learn and treat this information differently. In particular, natural pedagogy allows children to acquire opaque knowledge, that is, knowledge where the immediate causal relations between elements are not readily clear. Other cognitive developmental theories similarly emphasize social facilitation of the learner's input through apprenticeship, direct demonstration and feedback as teaching models (Rogoff et al., 1993; Vygotsky, 1987).

Despite the apparent dichotomy with active learning, social learning does not presume that children are passive receivers of knowledge. The social learning approach indeed presupposes observing and interacting with others to acquire information (Boyd, Richerson, & Henrich, 2011; Csibra & Gergely, 2009; Harris, 2012; Herrmann, Call, Hernandez-Lloreda, Hare, & Tomasello, 2007). Knowledge exchange through a variety of social learning strategies then enables transmission of accumulated culture, from basic tool use to complex community rituals, passed on from one generation to another, from experts to novices, from adults to children. Hence, the active social learning approach incorporates the asocial (by means of individual experimenting) and social aspects as dual engines of knowledge acquisition and transmission. Here, children actively participate in the social knowledge exchange by integrating what they learned through first-hand exploration, observation, imitation, pedagogical instruction or seeking others' testimony by querying them (Saylor & Ganea, 2018) – to "gather just the information they want, on just the topic that interests them, at just the time they require it" (Baldwin & Moses, 1996, p. 1934), and to propagate such knowledge to others. Even preverbal infants use information seeking gestures to solicit information from social partners, with their communicative strategies becoming more varied and complex with the mastery of language (for reviews, see Harris & Lane, 2014; Ronfard, Zambrana, Hermansen, & Kelemen, 2018).

Children also participate in active social learning through actively transmitting knowledge themselves. Not only are children efficient recipients of others' pedagogy, their own, early emerging teaching behaviors may be key to understanding the very nature of information transmission which enables cultural evolution (Strauss, Calero, & Sigman, 2014). Despite the paucity of empirical research on the ontogeny of teaching, studies have shown that infants start to engage in basic preverbal information transmission (e.g. by using informative pointing, Liszkowski, Carpenter, & Tomasello, 2008) and preschoolers spontaneously teach their younger siblings, who, in turn, spontaneously request teaching (Howe, Della Porta, Recchia, & Ross, 2016). Two-year-old children selectively transmit information about novel objects functions to ignorant adults upon request (Bazhydai, Silverstein, Parise, & Westermann, 2020; Vredenburgh, Kushnir, & Casasola, 2015). Preschoolers and older children exhibit an expanded teaching strategies toolkit, gradually becoming more contingent and selective in their

353 teaching, which is dependent on the development of mentalizing, metacognition, and executive function
354 skills (Corriveau, Ronfard, & Cui, 2018; Gweon & Schulz, 2019). While the natural pedagogy theory
355 described above does not directly address children's own teaching abilities, it has been proposed that
356 pedagogy as a teaching strategy should be applicable, both to adults and children themselves, and, as
357 such, enables fast and efficient bi-directional transfer of culturally relevant knowledge (Strauss, et al.,
358 2014). In support, research has documented children's own spontaneous use of ostensive cues when
359 teaching others, including direct eye gaze, informing gestures, and contingent and verbally explicit
360 signals (Calero, Zylberberg, Ais, Semelman, & Sigman, 2015; Flynn & Whiten, 2010).
361
362 ***Selective social learning***
363 Posing requests for information to social partners allows children to direct their own acquisition of
364 knowledge. As children engage in seeking information and in transmitting acquired evidence socially,
365 their choice of social partner is often selective. This selectivity primarily manifests through sensitivity
366 to others' cues of reliability, accuracy, confidence and credibility, as well as informants' age, ingroup
367 status, endorsement by others, and deference to majority (Harris, 2012; Sobel & Kushnir, 2013); such
368 selectivity may not be limited to humans, as other species, particularly great apes, enjoy extended
369 childhoods in which they learn their own cultural repertoire from closely related models (Lamon,
370 Neumann, Gruber, & Zuberbühler, 2017; Schuppli et al., 2016). Children are sensitive to others' ability
371 to provide useful information and take an interrogative stance towards them as sources of knowledge
372 (Harris, Koenig, Corriveau, & Jaswal, 2018; Poulin-Dubois & Brosseau-Liard, 2016). Understanding
373 the ontogeny of selective social learning sheds light on the later developing, more complex accounts of
374 selective trust in testimony (Clément, 2010; Harris, et al., 2018) and knowledge clustering (Danovitch
375 & Keil, 2004). As early as 8 months of age, infants treat reliable information provided through social
376 cues, such as human faces, differently to other symbolic but non-social cues, such as arrows
377 (Tummeltshammer, Wu, Sobel, & Kirkham, 2014). Reliability and accuracy cues play an important role
378 in infants interaction with social partners in their second year of life: they selectively choose to follow
379 their gaze (Chow, Poulin-Dubois, & Lewis, 2008), reference them in emotionally ambiguous situations
380 (Stenberg, 2003), look longer at them upon detecting their inaccurate testimony (Koenig & Echols,

2003), imitate their actions (Poulin-Dubois, Brooker, & Polonia, 2011; Zmyj, Buttelmann, Carpenter, & Daum, 2010), and request labels for novel objects from them (Begus & Southgate, 2012). For instance, 12-month-olds have been shown to successfully distinguish the respective knowledgeability cues of available social partners, determine who is a better source of necessary information, and selectively refer to them when information is lacking, using pre-verbal communicative cues (Bazhydai, Westermann, & Parise, 2020).

In addition to the epistemic indices, infants exhibit selectivity to social cues, preferentially learning from adults versus peers (Kachel, Moore, & Tomasello, 2018; Zmyj, Daum, Prinz, Nielsen, & Aschersleben, 2012) and from ingroup rather than outgroup members (Buttelmann, Zmyj, Daum, & Carpenter, 2013; Gruber, Deschenaux, Frick, & Clément, 2019). Demonstrating the increasing importance of social non-verbal credibility cues, 24-month-olds referentially learned from people who presented themselves as confident, rather than actually knowledgeable (Brosseau-Liard & Poulin-Dubois, 2014). A recent set of meta-analyses reported that preschoolers exhibit selective trust based on both epistemic and social characteristics of the informants, with older children attributing more weight to the knowledge dimension rather than the social status (Tong, Wang, & Danovitch, 2020). Furthermore, with advances in cognitive development, preschoolers flexibly update their epistemic representations of informants in light of new evidence concerning their credibility, retrospectively revising acquired knowledge if necessary (Leech, Haber, Arunachalam, Kurkul, & Corriveau, 2019; Luchkina, Corriveau, & Sobel, 2020).

**Section summary**

In this section, overall, we have shown that both the developmental and animal social literature, while sometimes intersecting, have followed different theoretical paths, particularly because of the difficulty in accessing animals' minds. Conversely, research in human children (although dealing with equally inaccessible minds in infancy) appears to often grant highly developed cognitive abilities to its subjects,

particularly with respect to taking others' perspectives, in line with claims of unique capabilities in their species such as ToM, imitation or teaching. Such conflicting theoretical positions have created a gap that threatens claims of continuity between humans and other animals. In addition, claims of universality remain to be tested with more non-WEIRD populations. Yet, a common point between the two traditions is that they have mostly ignored the field of the affective sciences. We believe this is a mistake, and that emotions may in fact constitute a missing bridge between the two traditions. Indeed, there is a large body of literature regarding social influence in affective sciences that may have escaped the attention of scientists in other fields, since it has not traditionally been framed in terms of social learning (Clément & Dukes, 2017; Dukes & Clément, 2017). Part 2 explores this aspect.

## Part 2: Emotions in social learning

In a brief survey of possible systems of core social knowledge that is, innate systems that guide and navigate us in the social world throughout life, Spelke and colleagues (2013) identified three candidates: Natural Pedagogy (Csibra & Gergely, 2011), Natural Similarity (Meltzoff, 2007) and Natural Cooperation (Tomasello, 2009). We believe that all these systems are strongly influenced by affect. Indeed, more generally, a strong case can be made that research in developmental social cognition has historically failed to sufficiently acknowledge how important infants' understanding of others' expressions is to interpersonal relationships (Reschke, Walle, & Dukes, 2017; see also Box 1). In fact, affect appears to underpin the social transmission of knowledge, whether in terms of these systems, or through a variety of situations such as the emotional bond between learner and knower highlighted in the introduction, or the selective trust involved in the social transmission of knowledge mentioned in the previous section. The interest, enthusiasm and the passion with which one learns, or the importance of a positive relationship between students and teachers could also be added to this list (Lee, 2012; Pekrun, 2017). Such relationships have in fact often been considered under a motivational approach in the educational literature (Ryan & Deci, 2020), reflecting "people's inherent motivational propensities for learning and growing" (p.1). While motivation is here used in a different sense than in classic

affective theory[1], it follows that all these approaches suggest *a priori* strong evidence that affect is at the heart of social learning.

One way to consider the impact of other people's emotions on our own cognitions and behaviors is in the form of *social appraisal*, where the social world has a direct impact on our evaluation of the objects in the environment (Manstead & Fischer, 2001). In short, when we appraise a particular object, especially one about which we are not sure how to feel – an *ambiguous* object - we integrate how other people appear to be appraising that object. Here an object can be a piece of art in a gallery for example, or a particular tool, but also an idea, another person or, in fact, any tangible or non-tangible phenomenon. As a major component of social appraisal (Clément & Dukes, 2017), *social referencing*, where learners directly seek affective evaluative information from more knowledgeable onlookers (e.g. whether the object is a threat or not) and behave accordingly (e.g. Klinnert, Campos, Sorce, Emde, & Svejda, 1983), is also of interest because it can bridge 'cognitively-demanding' to 'cognitively-simpler' mechanisms (Gruber & Sievers, 2019). A number of classic studies (Moses, Baldwin, Rosicky, & Tidball, 2001; Sorce, Emde, Campos, & Klinnert, 1985; Zarbatany & Lamb, 1985), best exemplify what is typically referred to as social referencing. In particular, Sorce, et al. (1985) watched as 12-month-olds approached what must have appeared to the infants as a cliff, but what in reality was a transparent covering, that led to an alluring toy. As the child decided to move towards the toy, she was significantly more likely to cross this 'visual cliff' when her mother expressed joy or interest than fear, for example. Infants were keen on checking in with their mothers, to socially reference them as it were, but only when the cliff was a certain depth. If the 'cliff' was either too deep or shallow, the children were likely to cross or stop, irrespective of the mother's facial expression (Adolph, Kaplan, & Kretch, in press).

In a recent theoretical study, Reschke and colleagues argued that to understand others' emotions means understanding the relationship the others have to the objects in their environment, and their intentionality towards those goals (Reschke, Walle, & Dukes, 2020). Importantly, the authors encouraged going beyond traditional methods of imagining how affect is communicated (e.g. facial

463 expressions) to include, for example, a repeatedly failed but ultimately completed action (à la Meltzoff)
464 as a sign first of frustration and then relief, or even pride. A reinterpretation of three classic
465 developmental studies involving ToM (Buttelmann, Carpenter, & Tomasello, 2009), altruistic helping
466 (Warneken & Tomasello, 2006) and behavioral re-enactment (Meltzoff, 1995) – including two of the
467 systems surveyed by Spelke and colleagues – suggested examples of how important affect might be,
468 even if each of those studies had either implicitly or explicitly discounted emotion as a factor.
469 Importantly, Reschke and colleagues followed up by employing a modified version of the classic
470 behavioral re-enactment procedure study, originally carried out by Meltzoff (1995). The results bridged
471 research on infant social referencing and psychological reasoning, by indicating that 18-moth-old
472 infants can reference an adult's emotional expression to disambiguate a motivational state, and not just
473 the tangible referents that are typically examined in social referencing paradigms (Reschke, et al., 2020).
474 Meanwhile, in another paper (Clément & Dukes, 2017), some of us have already pointed out that
475 although natural pedagogy is almost always described in non-affective terms, emotion and emotion
476 expressions seem to have a very important role, particularly in ostensive signaling (Csibra, 2010). Both
477 natural pedagogy and social referencing constitute building blocks of the ASL framework, which we
478 present in the following section along an axis of intentionality, from both learners' and knowers' sides.
479

480 **The transmission of value through the ASL framework**

481

482 Social information gathering is at the core of the cultural transmission of knowledge (Baldwin & Moses,
483 1996; Richerson & Boyd, 2005; Tomasello, 1999). When one thinks of social learning, it is difficult not
484 to think of a "classical" setting where an attentive adult is leaning toward a child, doing their best to
485 assure a specific piece of cultural information is transmitted to the new generation. This idealized image
486 is however misleading. First, such scaffolded transmission seems to be rare, or maybe even non-
487 existent, in non-human primates. Nevertheless, cultural transmission is a phenomenon which is not
488 unique to our species (Hobaiter, et al., 2014; Whiten, et al., 1999). Therefore, this form of careful
489 pedagogy cannot be the only form of cultural transmission. Moreover, anthropologists have highlighted

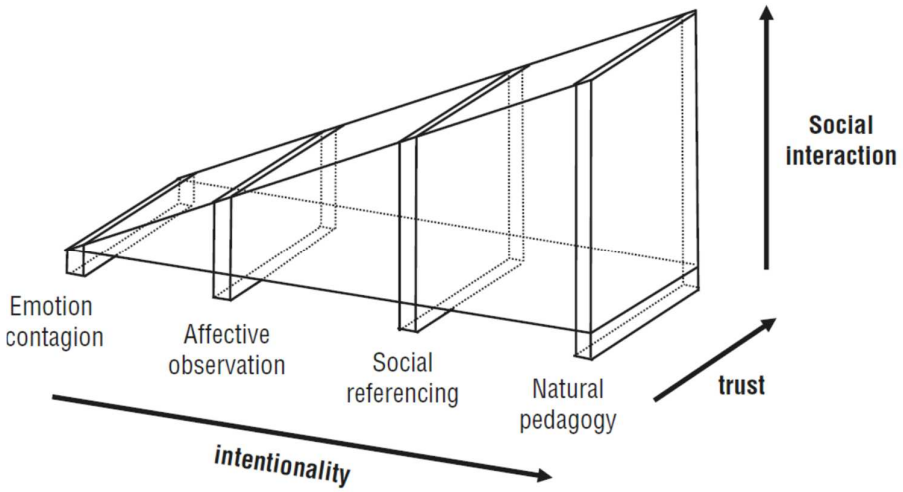
the fact that such explicit and organized intersubjective transmission is in fact quite rare in traditional societies, where children take the responsibility for learning, notably by observing the adults (Paradise & Rogoff, 2009; Rogoff, 2003). As highlighted above, once the primacy of this image embedded in the Western imagination is abandoned, the perception of social learning can become radically different. Cultural transmission is no more systematically dependent on an intersubjective relationship involving structured and intentional verbal exchanges: it is possible to learn simply by occupying the position of an external witness, observing the behaviors of more experienced members of one's society (see also Kline, 2014). Moreover, an ostensive system of communication, where each member of the interaction must make the others understand that they are willing to engage with them communicatively, is not necessary for this process to occur (Gruber & Sievers, 2019). The onlooker can, for instance, notice that certain actions they observe trigger different sorts of results: some are welcomed with joy or interest, others with sadness or anger. These emotional reactions become, therefore, essential to evaluate the different behaviors that are perceptible to her. These affects indicate that an action is appropriate to get a certain result, whether technical (making the *right* move with a tool) or social (greeting a person in an *appropriate* way). In other words, social learning does not require for the subjects (a) to be necessarily involved in an intersubjective relationship – it can result from third-party observation, (b) to master an explicit language – it can be embedded in the interpretation of emotions.

To detail the different possibilities offered by this fresh perspective on social learning, some of us recently proposed to call this *affective social learning* or ASL (Clément & Dukes, 2017). The original idea was to organize the different forms of social learning along a hierarchical line, both in term of cognitive complexity and interactional intensity (Figure 1). The most basic form of ASL is "accidental": *emotional contagion*. The individuals that play the role of cultural models are not aware that their behavior may have an impact *on* a learner, nor is the learner aware that they are learning anything: the model simply reacts to an event in an emotional way, as the learner 'catches' the felt emotion, and will henceforth associate the ongoing script or situation to a given affect. Imagine, for instance, a very conservative family where every mention of their homeland, and each manifestation of their country's grandeur, triggers a respectful silence and a sense of pride. In such a cultural environment, it is likely

that the usual triggers of such affects (the first notes of the national anthem, the raising of the flag, etc.) will trigger a similar emotion. In such circumstances, the new members of a group will 'learn' to value certain objects, events or persons in a way that is considered as culturally appropriate. This basic form of social transmission does not involve either intentional communication by the model, nor an interrogative attitude by the learner, who is taken by the emotionally charged context.

**Figure 1**

The ASL scale according to three dimensions of intentionality, trust and social interaction




Note. Adapted from Dukes, D., & Clément, F. (Eds.). (2019). *Foundations of Affective Social Learning: Conceptualizing the Social Transmission of Value*. Cambridge: Cambridge University Press. Page 11. Reprinted with permission from Cambridge University Press.

The second form of ASL requires the learner to intend to make sense of something that she is observing, hence the name, *affective observation*. Typical cases are situations where the curious agent is trying to actively figure out how to behave given that the meaning of the context is still obscure for her. She will therefore explore her environment in search of a model whose reaction will inform her about the appropriate way to react in this context. Imagine that someone is invited to a party in a country where she has just arrived and where everything looks very exotic to her. Once arrived, she will rapidly scan the other guests to see how they are behaving, and observe the reactions displayed by the hosts before

she decides on a way to greet them: how broad should the smile be, how low should the respectful bow go? In this case too, the models do not have to intentionally communicate some culturally relevant information; the information is out there, at the disposal of any observer. Alternatively, such observation of the affective signals of a model may lead to the communication and integration of implicit biases, even if the model is unaware of those biases (Halberstadt, Hagan, & Lozada, in press). Note that in none of these cases, did the learner have to master the local language to either figure out what she was supposed to do, or what she was (not) supposed to learn.

The next step in ASL requires explicit emotional communication. This time, the subject is ostensibly referring to the model for help, not knowing how to behave when confronted by an ambiguously valenced object (e.g. one that may or not be dangerous), hence the name: *social referencing*. This phenomenon has been discussed above: a visual cliff experiment stages a clear situation of intersubjectivity, where the two participants are intentionally engaged in a communicative exchange. Infants are requesting information and adults are intentionally providing effective cues to shape their child's behavior. The child behaves (and perhaps, thinks) in reaction to their parent's affective signal: a positive expression signaling "Safe. You can cross", while a negative expression signals "Danger. Do not cross". However, this interaction does not necessitate full-blown informative meaningful signals used in communicative interaction (e.g. expressed through verbal language): both the request (interrogative gaze) and the response (emotional expression) can be wordless.

While affective observation and social referencing originally stem from a division of the concept of social appraisal introduced by Manstead and Fischer (2001), the last type of ASL, *natural pedagogy* (as described earlier), will be more familiar to scholars of social learning. It requires more cognitive abilities from the model because the latter undertakes to transmit complex abilities or knowledge in a structured way, checking as things progress that the learner is incorporating the information; this process is classically called pedagogy. Even if this transmission does not necessarily require linguistic exchanges (it could involve gestures, for instance), the models must possess some metarepresentational abilities. They should notably represent the present informational state of the learners and imagine a strategy to

help them acquiring the new pieces of knowledge. By observing the learners' progress, they should evaluate their understanding and regulate the rhythm of teaching in accordance. As in all these examples of ASL, the affective bond between the social partners (labelled as 'trust' in Figure 1), promotes the successful transfer of the information. Here, in (natural) pedagogy, we can imagine a longer, more emotionally engaged discussion about the *value* of a particular toothbrush, team or tenet. We are therefore dealing here with a complex intersubjective interaction, with both parties deeply immersed in an intentional activity that is certainly cognitive, but also highly affective. Each stage of learning is welcomed with a positive reaction by the model and, when progress is made, a feeling of satisfaction is also experienced by the learner.

While natural pedagogy has been markedly absent in all species other than humans, all three of the previous steps are likely to appear, to various degrees, in a number of species, offering a valid evolutionary pathway to explore both humans and other animal social learning (Gruber & Sievers, 2019). An interesting comparative aspect additionally lies in the fact that while animals are often described as having very little control over the display of their emotions (but see Gruber & Grandjean, 2017; Tomasello, 2008), it is in fact quite rare for a human observer to notice emotional changes in animals with the exception of strongly marked emotional reactions (e.g. fear or aggression). Yet, as Schuppli and van Schaik (2019) note: "in the absence of any discretely displayed emotion, the emotional engagement of the role model may be on a much more subtle level. Some degree of *joy* or *happiness* may result from having found a food source, even if it is an ordinary one. Building a nest might be connected to the *anticipation* of getting to lie down and rest soon. Complex foraging tasks (e.g. tool use) may come along with a certain *excitement* about getting to eat a particularly tasty or satiating food item. These emotions (or temporary affective states) of the role model may be enough to elicit an emotional engagement in the learning…" (p.34, the original authors' emphasis). Note also that some of the described examples can alternatively also be described as possible motivations to act (Frijda, 2010). Overall, the ASL framework allows exploration of an additional dimension of learning, and to operationalize the inclusion of emotion in the social learning debate.

**ASL and emotional feedback within the existing literature**

We see both similarities and differences between the ASL framework (and the emotional feedback it predicts and allows) and other models in the literature, both on a small and a large scale. The most similar large scale model was proposed by de Waal: the Bonding and Identification-based Observational Learning (BIOL) model (de Waal, 2001; de Waal & Bonnie, 2009) with BIOL defined as "a form of learning born out of the desire to belong and fit in" (de Waal & Bonnie, 2009, p22). We see many convergences with this model, particularly its departure from the classic view (e.g. Bandura, 1977) that social learning only occurs when there are extrinsic rewards either for the model or for the observer, a focus on rewards still very present in the animal learning literature today. The BIOL model predicts that social learning will be guided by social relations, and several instances of supporting evidence have appeared in the context of cultural and communication acquisition in animals (Fröhlich, Müller, Zeiträg, Wittig, & Pika, 2017; Lamon, et al., 2017; Mann, Stanton, Patterson, Bienenstock, & Singh, 2012). However, there are important differences between BIOL and ASL, the most important of which is that BIOL focuses on the social relationship between two conspecifics and what underpins that relationship – the bonding and identification - rather than the consequences of that relationship. In contrast, while ASL does indeed highlight the importance of that relationship, especially in the later steps, it focuses more on how much the successful transmission of value(s) is a result of the established relationship, whatever it is (e.g. one would still learn something from an unrelated man screaming while running away from a subway entrance).

In terms of similar smaller scale theoretical frameworks and models similar to ASL, one concerns the learning of fear, and has the advantage of offering numerous cross-species comparisons. Previous work carried out by Olsson and Phelps (Olsson & Phelps, 2007) points out that it is particularly important to try and learn from others' relations to objects in the environment when the objects can be risky, for example, by recognizing other people's fear (see also Part 1). In one experiment, Olsson and Phelps (2004) compared the use of Pavlovian conditioning, observational learning and vocal 'instruction' in the learning of a painful experience (electric shocks). All three groups learned to associate the stimuli

(angry faces) with the shocks in an unmasked condition (i.e. when the stimulus was clearly perceptible to the participants); however, only participants in the Pavlovian and observational conditions still reacted physiologically to the conditioned stimuli when they were masked. Hence, being told that a particular neutral stimulus is dangerous only worked at a conscious level, while experiencing the consequences oneself or observing someone else suffer the consequences, was enough for the reaction to become automatic. There is substantial cross-species support for the idea that fear can be learned from others, particularly when the stimulus is naturally aversive. In one such study, mice that observed biting flies attacking other mice reacted just as strongly as the models to the flies 24 hours later, despite being exposed to harmless flies (Kavaliers, Choleris, & Colwell, 2001).

According to Olsson and Phelps (2007), social learning "lies at the core of the forces that create and maintain culture, which might then affect biological evolution", with "social fear learning offering the opportunity to study the transmission of biologically relevant information between individuals" (p. 1100). This echoes our description of ASL as a conduit for the social transmission of social value, and a means by which culture can be transmitted and perpetuated (Clément & Dukes, 2017). Phelps and Olsson limit their claims to the learning of *fear* and *threat* within a Pavlovian reward-based model (Debiec & Olsson, 2017; Olsson, Knapska, & Lindström, 2020; Olsson, Nearing, & Phelps, 2007; Olsson & Phelps, 2004), citing evidence related to naturally aversive stimuli. In line with this, a recent study of the social learning of fear in fear-relevant (naturally aversive) and fear-irrelevant stimuli, corroborating earlier findings (Hygge & Öhman, 1978), revealed stronger acquisition effects for fear-relevant (snake and spider), verbally conditioned stimuli compared to fear-irrelevant (bird and butterfly), verbally conditioned stimuli (Mertens, Raes, & De Houwer, 2016). Yet, we argue that affective evaluations can be learned about objects that have no naturally occurring aversive quality. A particular haircut, a certain style of dance, or a specific idea can become a source of ridicule or respect, depending on how those around us evaluate them. Objects that may have left an observer entirely indifferent can also acquire value through exposure to the affective reaction of others, whose social appraisal works best in ambiguous situations (Bruder, Fischer, & Manstead, 2014).

Overall, the ASL framework fits well with other large or smaller scale models that have strived to include an affective dimension to learning. However, we believe that by highlighting the role of affect in the social learning process, ASL contributes to integrating affect into models of social learning.

**Defining 'values': from 'relevant behavior' to complex 'social values'**

ASL was originally defined as the social learning of values (Clément & Dukes, 2017). For this concept to be relevant across sciences, one needs to define clearly what the term 'values' encompasses. On the one hand, the term 'values', at its core, can be understood through general emotion theories, encompassing for example dimensional models of emotions (Sander, 2013). In particular, common valence-based distinctions are found between "positive" and "negative" emotions, evidenced by Tomkins' (1963) influential division between *positive* and *negative affects*. Such distinctions are found in most models of emotions. Hence, for affective scientists, the notion of social value may find a place at the core of affective theory. However, values can also be discussed as the patriotic feeling towards the flag we discussed above. This is an equally valid interpretation of the term, yet it also raises several questions. While this value can be acquired through seemingly simple cognitive processes that do not require ostension or directed teaching, it is unlikely to be found in non-human animals, nor in ancient hominin societies, including our direct ancestors, that did not possess such notions as patriotism. In contrast, the positive/negative dichotomy may be present across species, itself requiring little conceptual understanding, while still allowing the evolutionary possibility of metacognitive thinking about such values. For example, Panksepp discusses a definition of affective consciousness as "brain states that have an experiential feel to them" (J. Panksepp, 2005, p.32), and argues that reflective sensory-perceptual feelings and emotional-motivational experiences, completed by secondary-consciousness (which refers to the capacity to have thoughts about external events), are present to some extent in other animals. Yet, he excludes a third layer of metacognitive reflection upon those brain states, which would be limited to humans. We believe that such a distinction and this three-step consciousness scale of affect is of particular interest from both a comparative and developmental perspective.

From a developmental perspective, the transmission of these 'lean' values appears more straightforward, with numerous examples documented over the last 40 years (Sorce, et al., 1985). A relevant question here is at which point such reasoning becomes self-conscious in the child's mind, reaching the third metacognitive level hinted at by Panksepp. In other words, many seemingly complex cognitive processes in developing infants and children may be more simply explained by 'lower level' ASL steps that do not require explicit complex processes such as ostensive behavior or complex metacognitive reasoning abilities. To illustrate this, one can look at the relationship that young infants establish with artifacts across development. The latter is first described with 2-year-olds reaching an understanding of some properties of artifacts but without forming an overall concept of tools (Mandler, 2007), followed by 3-year-olds understanding that tools are 'made for' a given purpose and selecting them accordingly (DiYanni & Kelemen, 2008). When close to six years of age, children start understanding that a tool has been intentionally manufactured by a designer to fulfil some function (Kelemen & Carey, 2007). This also represents an important cognitive and representational shift from age five, when the function of an artifact is not completely clear in the child's mind, fulfilling any goal a user might have, to age seven, when the function has become that of the artifact's typical or intended use (Defeyter & German, 2003). In other words, according to this cognitive framework, it is only by age five that ostension or metarepresentative abilities are needed to fully acquire the concept of tools. Yet, the preparatory work before that age may be accomplished through the assistance of ASL processes that allow particular objects to acquire value *as* tools in the child's mind. On the other hand, whether tool-using animals are ever to grant a value to a particular tool remains to be investigated, with the possibility of some objects acquiring a *relevance* in some animal groups, which disappears in those that do not make use of these tools, seeding cultural differences (Gruber, Muller, Reynolds, Wrangham, & Zuberbühler, 2011).

**Section summary**

In this section, overall, we have shown that the affective literature includes many notions also found in the classical social learning literature and can be integrated into a general discussion of social learning.

In particular, we have proposed that the ASL framework allows investigation of such dimensions, and is crucially organized according to the same intentionality scale found in the developmental and behavioral literature (Clément & Dukes, 2017; Dukes & Clément, 2017). We also argued that the ASL framework is particularly suited to study cultural transmission across species and developmental stages by allowing the existence of mechanisms which vary in their cognitive demand. In the following section, we aim to expand this approach to highlight the fact that no current model of social learning can in fact make complete abstraction of emotion, and that in reality, they are, possibly unconsciously, already including them in their models as notions in developmental cognitive research.

## Part 3: Integrating the three approaches of social learning

In this section, we evaluate how the different approaches to social learning (behavioral, cognitive and affective) overlap in their object of study, and argue that separate domains of study should strive to adopt a common language where an affective layer is acknowledged. We illustrate our point with two examples taken from the literature. First, we argue that what developmental psychologists often study as 'curiosity' can also be investigated under the affective notion (or emotion) of 'interest', and that this notion can also be found in animals, particularly when formalized under the notion of 'peering behavior'. Second, we argue that social referencing, in itself, offers ways to navigate between emotionally and cognitively-loaded approaches. Finally, we begin to introduce how neuroscience can contribute to integrating these three approaches of social learning, employing the research in empathy as an example, as it too strives to integrate cognitive and affective aspects, thus providing a blueprint for investigating the neural correlates of affective, behavioral and cognitive social learning.

### Emotional interest and epistemic curiosity

As we argue for an integration of the three lines of research on social learning, the distinction between what is affective, behavioral and cognitive often blurs. One such blurring distinction is that between a (non-affective) epistemic curiosity and the emotion of interest. Confusingly perhaps, the term *epistemic*

733 *emotion* actually often includes phenomena that would not normally be included as emotions (Arango-
734 Muñoz & Michaelian, 2014; Meylan, 2014). While it is certainly possible to make a case to maintain
735 these two phenomena as distinct (Hidi & Renninger, 2020), we argue that there is much reason to
736 analyze them together. Indeed, they have even been used synonymously by some researchers (Silvia &
737 Kashdan, 2009). Crucially, they provide an example of a cross-specific and cross-developmental
738 application of our theoretical position.
739
740 Curiosity is broadly defined as active information seeking motivated by internal rather than external
741 rewards, and the term captures a range of behaviors, including those that pertain to infants, from targeted
742 search for a particular bit of information to broad sampling of the environmental affordances, and from
743 tactile stimulation seeking to the pursuit of knowledge. Curiosity is most often analyzed from a
744 cognitive perspective and *as* a cognitive phenomenon (Bazhydai, Twomey, & Westermann, 2020;
745 Berlyne, 1960; Gottlieb & Oudeyer, 2018), often taking place in social contexts, manifesting in infants'
746 active social learning through interaction with suitable (familiar, friendly, or knowledgeable) social
747 partners, and ultimately helping fulfil infants' information seeking goals and maximizing their
748 epistemic benefit. A different take on curiosity (as here defined, 'a desire') is to approach it as an
749 emotion: *interest*. Such a reading does not change fundamentally the way one approaches curiosity. As
750 part of an inherent interplay between autonomous and social processes, it is a catalyst of social learning
751 and epistemic development, broadly speaking. Yet, this also underlines the blurry lines between
752 cognitive and emotional approaches at a developmental stage, where it is difficult and perhaps inutile
753 to try and parse what is cognitive and what is emotional.
754
755 An important comparative aspect here lies in the existence of a similar mechanisms in non-humans
756 during learning acquisition: peering behavior, that is the attentive close-range watching of the activities
757 of an (often older) conspecific (Schuppli, et al., 2016; Schuppli & van Schaik, 2019). This offers ways
758 of discussing behavioral continuity in knowledge transmission, particularly with our closest relatives,
759 the great apes. Orangutans, in particular, acquire much of their knowledge through peering behavior;
760 summarizing a large body of work in one location in Sumatra famous for its tool-using orangutans,

Suaq Balimbing, Schuppli and van Schaik (2019) show that peering behavior could be involved in the acquisition of 191 different skills and knowledge elements spanning knowledge of food species to consume, moving habits, social behavior as well as tool use. Favored models for infants were adults, with often very little information taken from juveniles and other infants; yet, interestingly, during late juvenility (corresponding to the human adolescence), the most frequent peering targets turned out to be other juveniles, mirroring other findings in the development of chimpanzee vocal behavior (Laporte & Zuberbühler, 2011). Overall, these findings show that there is much ground for comparison between human and nonhuman curiosity and interest.

**Social referencing: how affective is it?**

A second example of the blurred distinction is that of social referencing as an essentially emotional or cognitive mechanism. Several theories requiring different levels of cognitive complexity have been proposed to explain the development of social referencing behavior. To adjudicate between low-level, associative, and higher-level, cognitively rich explanations, a developmental perspective can be adopted. According to such an approach, social referencing in the first year of life may constitute information seeking with rudimentary understanding of intentional communication, which is nevertheless sufficient to solicit timely and reliable transfer of knowledge from social partners. An important point of discussion has been whether social referencing in uncertain situations constitutes information seeking or attachment motivated behavior (Stenberg & Hagekull, 2007; Striano, Vaish, & Benigno, 2006). Overall, studies provide support for the expertise rather than attachment (or comfort seeking) account of social referencing, proposing that infants are sensitive to the social distribution of knowledge (Feinman, Roberts, Hsieh, Sawyer, & Swanson, 1992; Stenberg, 2013). In particular, when a situational expert is an unfamiliar experimenter, infants are more likely to refer to them rather than their primary caregiver or another less knowledgeable adult, who in this context is as uncertain about the situation as the infant herself (Stenberg, 2013).

Whether or not preverbal infants have a full grasp of intentionality of their communicative acts, they do learn a great amount of new and useful information through initiating a social gaze, which may be a genesis of social information exchange. Some studies suggest that social referencing serves a cognitively rich function of information seeking, going beyond the emotional 'go/no-go' checking in as potential proto-interrogative, requestive acts, which develop before interrogative pointing (Begus & Southgate, 2018; Harris & Lane, 2014). For example, experimental reports focus on situations of cognitive-perceptual ambiguity rather than unpleasantness or perceived danger – situations of epistemic uncertainty featuring a lower threshold of uncertainty than the typical highly emotionally arousing paradigms. Reports show that infants refer to their social partners when their expectations are violated (Dunn & Bremner, 2017; Koenig & Echols, 2003), upon detecting humorous situations (Mireault et al., 2014), when facing uncertainty about object-label relationships (Bazhydai, Westermann, et al., 2020; Hembacher, deMayo, & Frank, 2020), or needing information about hidden object location (Goupil, Romand-Monnier, & Kouider, 2016). These studies challenge the long-standing view that social referencing seeks others' socio-emotional engagement and is not fully intentionally communicative until the second year of infant's life (Baldwin & Moses, 1996; Schaffer, 1984). Instead, they suggest that social referencing is an active communicative behavior allowing preverbal infants to resolve not only affective, but also epistemic uncertainty in social learning contexts.

In sum, well established accounts of social referencing propose that children refer to social partners to gather their reactions to uncertainty, which is affective in nature, and to determine how to appropriately react to it. However, with development, the same behavior can become less emotionally laden, transforming into strategic information seeking rather than social appraisal seeking. Bridging the cognitive and affective social learning aspects, we argue that social referencing lies at the heart of children's social acquisition of knowledge, epitomizing the parallel between the affective and cognitive dimensions. Among examples of these two sides, are infants' social referencing towards their caregivers upon encountering not only an unexpected emotional but also a cognitive challenge (as described above).

How much of this is present in non-humans is worth investigating. Non-humans are sensitive to both conspecifics' and non-conspecifics' emotional cues. For example, vervets (*Chlorocebus pygerythrus*) are sensitive to meaningful alarm vocalizations that are mostly regarded as emotional (Price et al., 2015). These cues might even be emitted to aid learning (Seyfarth & Cheney, 1986). Elsewhere, some of us have argued that social referencing is particularly promising to study the acquisition of animal cultures and signals (Gruber & Sievers, 2019) as it represents a good compromise, by being less cognitively demanding than traditional learning processes, yet well suited to the emotional dimension inherent to animal learning (LeDoux, 2012; J. Panksepp, 2011a). For instance, the way members of a stick-less chimpanzee community proceed with intentionally removing sticks from the hands of their offspring may qualify as an example of social referencing (or even pedagogy), leading ultimately to the failure of these chimpanzees to represent sticks as tools (Gruber & Sievers, 2019).

**Neural substrates of cognitive and emotional processes overlap**

Discussion concerning the similarities and differences between what is cognitive and what is affective can be found in several of the disciplines that contribute to the affective sciences. For example, 'affective neuroscience', a term first coined in the 1990s (J. Panksepp, 1998), has from its very inception addressed questions concerning how to characterize cognitive and affective processes and to identify areas and networks of the brain that could be said to be wholly one or the other, or indeed both. While such discussions continue, one area of research that can perhaps serve to illustrate such debate and suggest how to ground the current approach on a more neuroscientific footing is the research on empathy. The cognitive and affective aspects of empathy, and their overlap, have indeed been the focus of much interest. Often defined from a mentalistic perspective as putting oneself in someone else's shoes (Baron-Cohen, 2005), the cognitive approach, fully at work during the teaching process displayed by humans, can be contrasted with a more emotional approach to empathy, a useful notion particularly for animals and young infants (de Waal & Preston, 2017). Emotional empathy includes mechanisms such as emotional contagion, which we have already highlighted as a main component of ASL, suggesting perhaps that empathy should also be taken into more consideration in future studies of ASL.

For example, in a more complex form of empathy known as *targeted helping* (de Waal & Preston, 2017), a chimpanzee finds the specific tool that another needs in an experimental context (Yamamoto, Humle, & Tanaka, 2012), a result directly relevant to the investigation of teaching behavior in the wild (Musgrave, et al., 2020). Research on the neural correlates of empathy, which has flourished over the last two decades, may allow characterizing the mechanisms at work during the different components of this complex phenomenon as cognitive, affective, or both (de Waal & Preston, 2017).

In particular, neuroscience has allowed the identification of regions that are more concerned with affective empathy than with cognitive empathy (de Waal & Preston, 2017), but also regions that are involved in both processes such as the anterior middle cingulate cortex (aMCC), located at the extremity of the anterior cingulate cortex (ACC). Alongside structures such as the amygdala (often involved in fear learning, but generally present in most emotionally-salient processes) or the insula (found particularly in connection to disgust), the ACC is known for its involvement in affective processes, particularly as an integration hub between the affective limbic system and the more cognitive prefrontal cortex (Sander, 2013; Stevens et al., 2011). Another region of overlap between cognition and affect, and itself also an integration hub, is the Inferior Frontal Gyrus (IFG), involved in cognitive sequential structures, language and emotion evaluation (Greenfield, 1991; Gruber & Grandjean, 2017; Koechlin & Jubault, 2006). Interestingly, the IFG is also part of the human mirror neuron system, which has been connected to a large range of human socio-cognitive abilities, including empathy (but see Hickok, 2014; Iacoboni, 2009). While we will not engage here in the debate on the role of the mirror neuron system in these abilities, their potential involvement in chimpanzee imitative behavior during tool use acquisition (Fuhrmann, Ravignani, Marshall-Pescini, & Whiten, 2014) provides another bridge between empathy and social learning research; with the former providing a blueprint to investigate the affective and cognitive aspects of social learning concurrently through neuroimaging, in a comparative (e.g. Debracque, Gruber, Lacoste, Grandjean, & Meguerditchian, 2021) and developmental perspective.

**Section summary**

In this section, we have seen that major objects of research overlap across the three approaches, sometimes being referred to by different names (cognitive curiosity versus emotional interest) or by the same one (social referencing). We have argued that associating both a cognitive and affective dimension appears the most promising approach, explaining the behavior inherent to social learning, and illustrating that such a position is shared in the study of complex phenomena such as empathy. Besides the obvious connections of the latter with ASL, we believe that the neuroscientific research on empathy offers a way forward to integrate the affective and cognitive dimensions of social learning. We have also argued that adopting a stance combining cognitive and affective dimensions allows evaluation of the predominantly behavioral animal literature within the same theoretical framework and hence promote continuity between humans and other animals. In the final section, we present our implementation of an ABC approach to social learning. To do so, we propose a radical extension of the ASL framework as a tentative move to fully integrate the three traditions into one complete story.

## Part 4: The ABC of social learning

### ASL as an extended backbone to models of social learning

ASL in its four-step form may not be exhaustive in covering all possible cases of learning involving affective input by a knower. For a case of social referencing, the learner seeks out information from the knower by focusing on the knower's expressive behavior by intentionally establishing eye-contact. According to the ASL framework, the knower provides information intentionally through displaying a befitting affective state. While at this stage limited active exchange of meaningful, informative signals is necessary, this is a mandatory requirement for natural pedagogy. In turn, for a case to count as natural pedagogy, ostensive communication of meaningful signals (e.g. words or gestures) is necessary (Gruber & Sievers, 2019). Given how ostensive communication is often described as cognitively challenging, requiring layers of meta-representations and a full-blown theory of mind, only (some) human

interactions fall under these stringent requirements, and any kind of simpler communicative display by knowers would not meet the criteria. This concerns, for example, cases that involve an active exchange of signals between learner and knower, e.g. with the knower producing behavior or signals in accordance to their goals towards the learner, but without being interested in accessing the mind of the latter. These cases are not described in the ASL framework, as they go beyond what is generally labelled social referencing, but are not yet to be counted as natural pedagogy. Cases like this may involve active teaching to a certain degree. Most examples of animal teaching rely on so-called innate processes that appear far from the intentional transmission found in humans (see above). Yet, an emotion-based social learning framework may explain the recent claims of chimpanzee teaching made by Musgrave, et al. (2020) without having to argue for additional associated cognitive complexities of intention-based teaching. The directional scaffolding the authors describe could indeed form the basis of a cultural transmission of a *relevant behavior* for chimpanzees amongst a complex dataset.

Another possible scenario involves learners actively producing meaningful signals, either ostensively or not, and knowers simply responding behaviorally, showing affective states, but not engaging in communication (think for example of a curious child observing a lion escaping its cage at the zoo and actively seeking to exchange information about this novel setting with her parents while seeing them suddenly screaming in fear). Real cases of interactions that facilitate learning may also in general not be as clear-cut as required by experimental paradigms. For instance, when chimpanzees cross a road, the interaction between knowledgeable individuals crossing first, waiting for, and interacting more or less actively with young individuals who are scared of crossing the road (see Table 1), could entail very different levels of active influencing by the knower and active requesting of information by the learner, leading cases to be classified as social referencing or the possibility of extending beyond the borders of the former.

All the illustrated examples above in comparative and developmental psychology suggest that the various existing steps of the ASL framework are part of a continuum. Yet, this limitation of considering ASL as a four-step framework would be rather structural, and was highlighted here in this way to offer

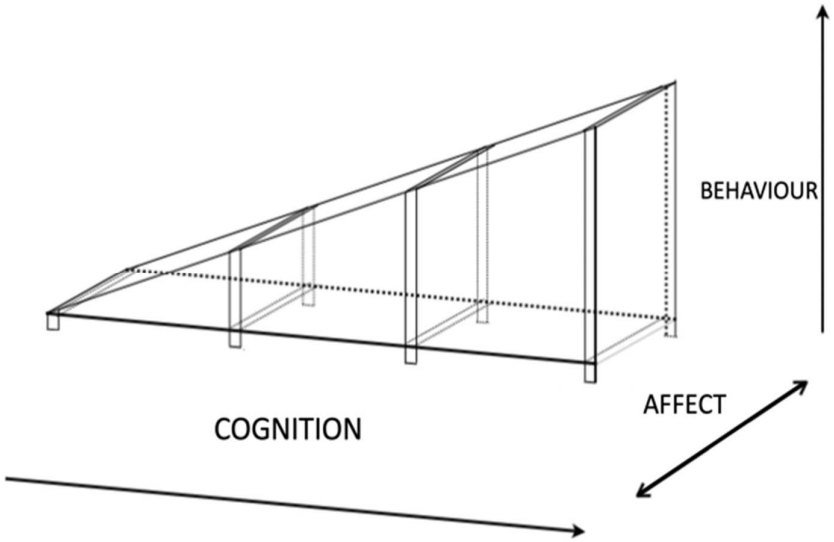
a common language between well-defined concepts in both affective science and developmental science incorporated into a hierarchy of processes that involve affective states as elements that facilitate learning, topped by the most distinctively human and cognitively complex form of active teaching. At the theoretical level, the scope of the ASL framework is indeed about describing a knower's emotional states impact on the process of social learning in a learner, and in particular, ASL is about learning how to feel about something, how to value it. One way to deal with this issue of precisely attributing a case to a given category would be to introduce further steps into the framework. While it may be impossible to distinguish steps to cover all possible cases, they will all be situated along a continuum involving more or less active communication and affective input on both the knower's and learner's sides. We thus argue that ASL can constitute a backbone to an affective model of social learning across species (Figure 2), irrespective of whether a particular step must be identified, as long as the particular cognitive requisites (e.g. ostension, representational level, degree of interaction between learner and knower, see Gruber & Sievers, 2019) can be described. Overall, our approach aims to illustrate that whether individuals seek to exchange information that is itself either affective or not (e.g. seeking an object's label rather than seeking positive emotional feedback), all learning is influenced by emotional cues, if not completely embedded in emotional interpersonal communication.

As the clean lines of what is affective, behavioral and cognitive blur, it is worth considering the original ASL framework again. In Part 1 of this paper, we described the animal behavioral and human cognitive traditions of social learning in terms of increasing intentionality, as the cognitive mechanisms involved become more complex. In Part 2 and 3, we described how there was an affective base underpinning all of these mechanisms whether explicitly in ASL, or implicitly in the other traditions. In the ASL framework, this affective component is held to be equally important across all of the mechanisms, and the same is true here. And finally, with a view to integrating these models, it can be observed that there is increasing social interaction, or behavior, on a third axis (Figure 2). As argued above, the ASL framework can constitute the structure of an ABC approach that integrates all three strands of the social learning story.

954

955 **Figure 2**

956 ASL as a backbone to an integrated approach of affect, behavior and cognition to social learning.




957

958 Note. As in Figure 1, the direction of the arrows for cognition and behavior indicates that they can only
959 grow in terms of content and complexity. However, the bidirectional arrow for affect suggests that the
960 affective dimension is more or less developed depending on the species considered as a whole. Adapted
961 from Dukes, D., & Clément, F. (Eds.). (2019). *Foundations of Affective Social Learning:*
962 *Conceptualizing the Social Transmission of Value*. Cambridge: Cambridge University Press. Page 11.
963 Reprinted with permission from Cambridge University Press.

964

965 **Using affect as an evolutionary and developmental bridge**

966

967 One may raise the paradox of including emotional contagion in an account of learning about objects.
968 Social learning is characterized by there being three relational corners – the learner, the knower and the
969 object – even if, unlike in social appraisal, there is no explicit object in emotional contagion strictly
970 speaking (Parkinson, 2011). While we motivated emotional contagion's inclusion earlier from a
971 theoretical point of view, emotional contagion proper may nevertheless not find its place in an account
972 of social learning other than as an endpoint, a boundary condition with any slight increase of

973 intentionality leading to social learning, or a zone delimiting what is non-object centered emotional
974 contagion and what is object centered affective observation. Yet, emotion contagion has often been
975 used as a baseline explanatory process in animals (e.g. Wheeler & Fischer, 2012), underlying the interest
976 in keeping this notion in the ASL framework, bringing continuity between humans and other animals
977 from a comparative and evolutionary perspective. In effect, the use of this 'low-cognition process' as
978 an explanatory factor contrasts very much with the evolutionary explanations traditionally offered to
979 explain the occurrence of features such as language conventions and learning of opaque knowledge,
980 which are said to rely on complex cognitive capacities such as meta-representation, mindreading, or
981 perspective taking (Townsend et al., 2017). All of those are high-cognition complex features (but see
982 Southgate, 2020), which in part makes the presence of some of them in infants and young children
983 almost impossible to explain, or their cognitively-loaded explanations highly questionable. For instance,
984 for young children to acquire opaque knowledge, as reviewed above, it is often required that children
985 display the ability of perspective-taking, i.e. that they take the perspective of the knower to grasp the
986 latter's intention, allowing them to imitate a given action (Tomasello, et al., 2005). It is additionally
987 suggested that to do so, children must display a fully developed ToM, even though the latter may only
988 arise around four years of age, suggesting the need for alternative explanations in younger children. The
989 same holds true of other animals. Elsewhere, some of us have argued that some animals are likely to
990 exhibit the cognitive abilities for at least three of the ASL steps (Gruber & Sievers, 2019), including
991 social referencing, suggesting that any step in between can be identified as well. However, it remains
992 unlikely that any non-human may engage in human-like natural pedagogy.
993
994 Yet, by acknowledging that affective states may play a big role in acquiring knowledge, we argue that
995 assumptions regarding cognitively loaded features (e.g. metarepresentation) can be downgraded, being
996 *in fine* applicable for both non-humans and very young children. For example, learning from complex
997 behavior only requires learners to attend to certain aspects of the behavior displayed by the knower to
998 infer the knower's intention linked to the behavior. Capacities such as perspective taking (but also
999 shared attention) can, however, be established through the affective states in the knower perceived by
1000 the learner. By displaying a certain affective state towards an object, for instance, or a certain way of

doing things, the knower ascribes value to their behavior, and in turn directs the focus of the learner. This may imply that cognitively complex processes are not necessary: for instance, full-blown ToM may become superfluous in this instance, as learners are not required to infer concrete intentions but merely some unspecified goals in the knower involved. Overall, relying on an affective dimension in the model allows lowering the high cognitive thresholds proposed by a cognitive-only approach, while not denying the particular requisites at each step, offering a solution in both the evolutionary and developmental debates at stake.

**Connecting traditions to obtain a complete picture of social learning**

While one of our aims was to blend affect into theoretical models of cultural learning (ASL in particular aims at explaining how individuals learn to feel about something, which has deep cultural consequences, see below), our principal objective was also to reconcile three strands of research that seem to have either ignored or even denigrated each other (e.g. Boesch, 2007). In our view, all three approaches are valid and can describe and illuminate a particular side of knowledge acquisition in both humans and non-humans (e.g. Table 1). Only by precisely describing every aspect can we obtain a complete picture of the learning processes, as well as their comparability across species and developmental stages. Yet, given that three different elements (affective, behavioral and cognitive output) appear involved in parallel in the cases described so far, it may be complicated to define clear-cut steps characterized by particular degrees of cognitive complexity or the importance of emotion in the learning processes; hence, the involvement of each of the three elements may have to be described separately for any given scenario. This is, of course, common practice in science, as researchers reduce a particular phenomenon to observable and measurable parts for convenience. The ABC approach puts the three-part story of social learning back together again, superimposing the three dimensions of Affect, Behavior and Cognition while acknowledging their specificities. Even when similarly organized along the lines of intentional behavior, all dimensions can thus be considered independently from each other, giving overall more flexibility for analysis. For example, it is possible that a grown-up adult who normally engages with a toddler using pedagogy incites the latter to engage with a new device by simply

interacting with it (stimulus enhancement) while pretending to ignore the toddler to foster her curiosity: in such a case, from the view of the child, there is no interaction with the model, while the model herself displays fully developed ToM to pretend that she is not aware that her actions will modify her toddler's goals. Similarly, the amount of feedback received by the learner can vary from an emotional experience (e.g. a female chimpanzee scared to approach an experimental task), to a precise technique (e.g. how to manufacture a stick to extract honey from a log), to the instructions to follow to successfully complete a particular task (so far limited to humans).

In effect, it is possible to describe (emotional) contagion, (affective) observation, social referencing and (natural) pedagogy cases in affective, behavioral, and cognitive terms (Table 1). For some, the work appears straightforward: as described above, social referencing has been both used in emotional and cognitive contexts in the literature, despite the fact that that one dimension is unlikely to go without the other. And, notably, whether the focus was on the cognitive or affective context, successful referencing was often measured in term of the presence or absence of resulting behavior. It stems from these studies that infants are able to appreciate, deliberately seek out, and incorporate information (such as intentional emotional expressions and knowledge about the world, broadly speaking) from trusted adults into their decision-making process about the encountered emotionally or epistemically uncertain situation. Perhaps this is moderated by the ability to not only appreciate the relation between the other and her goal or the relevant object, but also the desire to understand the other by reading her emotions, and by the motivation to seek out information from the knowledgeable other, and by the relationship between the two people. A similar approach can be used for affective observation and natural pedagogy. In addition, it is possible to equally describe what happens in other species, highlighting where differences occur with human development.

**Table 1**

Applying the ABC approach to social learning cases in the real world

| Examples | Affective approach | Behavioral approach | Cognitive approach |
|---|---|---|---|
| A young boy learning to tie his shoes | He is excited, motivated and interested in learning a new skill<br><br>He is curious about how this is done<br><br>He is frustrated when not succeeding at first<br><br>He recognizes and responds to his mother's praise<br><br>He is overjoyed when succeeding, pushing him to restart immediately | He observes the end point left by his mother (a node made on the other shoe)<br><br>He observes the behavioral form produced by his mother to tie her own node<br><br>He attends to the modeling of his mother | He imagines the shape of the node to be made<br><br>He represents the exact moves that need to be made to obtain the node as demonstrated by his mother<br><br>He recognizes his mother's intention to teach him<br><br>He asks his mother for help or instructions (to explain or demonstrate) |
| A young chimpanzee learning to crack nuts | He feels that cracking nuts is safe as his mother does not demonstrate visible fear<br><br>He recognizes his mother is interested in her tool-using activity<br><br>He is sufficiently interested (perhaps because he is hungry?) to attend to his mother's display rather than play with other juveniles<br><br>Once he succeeds, he feels a heightened anticipation toward the cracked nut, and then enjoyment as he eats it. He is motivated to continue | He observes the end result (a cracked nut)<br><br>He observes the behavioral form produced by a knowledgeable individual<br><br>He produces a sequence of actions aiming at opening the nut | He represents the end state of the nut (a cracked nut)<br><br>He associates hammering and obtaining a cracked nut<br><br>He notices his cracking behavior does not result in obtaining a cracked nut<br><br>He attends to the tool-using activity of his mother |
| A young girl aiming to cross the road where her sibling has already crossed | She is afraid of crossing because a very fast car just passed<br><br>She is anxious of being scolded by her siblings if they are late<br><br>She trusts her siblings' judgement that the road is safe to cross | She displays behavior clues that she wants to join her siblings who have already crossed the road<br><br>She observes her siblings on the other side of the road, who are gesturing and calling towards her | She represents her siblings' goal: they want her to cross the road<br><br>She understands that they will be late if she does not cross. She does not want to make them wait and risk being late for dinner<br><br>She asks her sister for guidance how to cross the road safely |
| A young chimpanzee crossing the road when his group has already crossed | She is afraid of crossing because a very fast car just passed<br><br>She feels the tense situation in other individuals being alert when crossing the road<br><br>She does not get upset as the behavior of the alpha male is not threatening | She displays behavior clues that she wants to join the rest of the group on the other side of the road<br><br>She observes the alpha male waiting on the other side and looking back towards her | She recognizes the danger associated with crossing the road<br><br>She knows she is likely to encounter humans (or hear them) while crossing the road<br><br>She notices the lack of fear expression in the alpha male's facial expression |

## Application of the ABC approach to the evolution of culture and language

In this final section, we illustrate how the ABC approach can shed some new light on a diversity of phenomena spanning from cultural learning to the occurrence and proliferation of language conventions, language and signal comprehension and acquisition. In each of these cases, affect, in parallel to cognition, facilitates the occurrence and fixation of the feature in a given group, leading to the appropriate behavior involved in the feature in question. To underline the use of our approach, in what follows, we explain how an ABC approach contributes to a paradigmatic change of describing these features and their occurrences through cognition, affect and behavior in unison.

While definitions of social cognition have perhaps principally focused on the mental states of others and predictions of their behavior (on others, Baillargeon, Scott, & Bian, 2016; Fiske & Taylor, 2013), one alternative, as exemplified in our ABC approach, is to focus on the information itself (from others, Clément, 2010; Harris, 2012). Accordingly, the study of the affective information provided to us by others falls under the term 'social appraisal': whether someone tells us that a film is worth watching, or that we have to decide whether the majority or expert minority are better sources of information, we are taking into consideration other people's affective views about an object. Both adults and infants as young as 3-years-old trust more the testimony of people who look happy than those who look angry, easing their learning (Clément, Bernard, Grandjean, & Sander, 2013). Incorporating this 'affective testimony' (Clément & Dukes, 2017; Harris, 2019) as part of a general social appraisal in the ABC approach is mandatory, and is in line with Manstead and Fischer's original goals for the scope of social appraisal (Fischer & van Kleef, 2010; Manstead & Fischer, 2001; Parkinson & Manstead, 2015).

The introduction of affect as a means to control knowledge transmission can apply as much to deciding to engage in an emotionally-charged ritual in traditional or ancient human societies, as it can to chimpanzees approaching an unknown nut, experimentally introduced in their environment (Biro et al., 2003). In the latter case, we do not expect the added folklore, stories, and abstract values attached to

the human rituals to appear, but the emotional connection to an unknown experience may well favor or, on the contrary, force the disappearance of a given behavior present in the community, adding to the ecological variables that already impact the maintenance of the behavior in the first place (Grund, Neumann, Zuberbühler, & Gruber, 2019). The ABC approach can also add clarity to several contentious issues related to animal culture. For example, there has been much debate on the type of conformity present in animals (van Leeuwen, Kendal, Tennie, & Haun, 2015; Whiten & van de Waal, 2016). Conformity is particularly challenging because it is founded on complex metarepresentational processes (Gruber, et al., 2015). One may however argue that original studies of conformity (Asch, 1956) or bystander effect (Latane & Darley, 1968) included affective statements by knowledgeable participants, which influenced the way the naïve participants behaved. This affective dimension may well be at play in animal conformity cases, offering a less cognitively-loaded account, which still does not deny the reality of the phenomenon observed in non-humans.

The ABC approach can also be proposed for complex species-specific cultural phenomena such as language evolution in humans. Building on evolutionary approaches that aim to lower the cognitive threshold for studying their occurrence in other species (for imitation learning in the acquisition of novel words, see Fridland & Moore, 2014; for intentionality in communication, see Townsend, et al., 2017), we believe that the ABC approach can provide a more accurate perspective on language evolution than current models that heavily rely on cognitive mechanisms. The main issue for these approaches is how to explain the occurrence of language conventions without relying on traditional descriptions such as the one by Lewis (1969). Lewis claimed that for language conventions to occur, i.e., for words to have the property of being context-independently meaningful, we all, as part of a language community, indirectly committed to using the word in a certain way and we are actively aware of these agreements (that is, member X of language community L knows that member Y of the same language community also knows that word W means M and uses it in accordance with that meaning). Human language conventions though are not infinitely stable, but dynamic; novel language conventions are introduced through novel uses of signals by language users (e.g., a prime example consists of the use of novel words used by adolescents, that can eventually become part of established dictionaries). According to

Lewis, the novel use is detected by other members of the language community through grasping the intentions involved on the speaker's side when producing a word with a novel meaning. To grasp these intentions, established research traditionally describes the context, previous meanings of the word, and additional ostensive signals by the speaker, all of which are used as premises (e.g. Sperber & Wilson, 1995). The entire process is usually assumed to be highly cognitively loaded, making arbitrarily meaningful signals and language conventions per se one of the defining and exclusive features of human communication versus other animals' (e.g. Scott-Phillips, 2015). Compared to these accounts, evolutionary accounts consider that the level of cognitive requirements for language conventions to appear and remain in circulation are too complex, especially when aiming to provide a narration of an evolutionary continuum (Millikan 2005; Moore 2013). Millikan for instance claims that while a speaker may intentionally start using a word in a novel way, a recipient, while not excluding it, does not need to focus on the speaker's intention; this is because the latter is rather interested in the use (or 'function' in Millikan's words) of this new word to describe the world (Millikan, 2005; for more discussion, see Sievers, Wild, & Gruber, 2017). In addition, a word's meaning remains in use (that is, the word has a 'proliferation history' in Millikan's words) because using the word with its meaning fulfils this function (Millikan, 2005), i.e. using the word *grizzly bear,* referring to the presence of the particular species of bear, serves the function of warning and survival, which has allowed the variant to remain in the population. This is opposed to other approaches that claim that words remain in use because of the known intentions involved in all members of the language community (i.e. Lewis, 1969), and with that complex mindreading capacities involved (i.e., inferring the intention used by communicator when using a word in a novel way, see Bloom, 2002).

While the Millikanian 'function' of a word is certainly an important factor for the proliferation, we believe the actual proliferation mechanisms might be linked to the ascription of value for using a word in a certain way (Sievers & Gruber, 2020). It is claimed that for young children to learn language conventions – thereby guaranteeing the proliferation of the convention – complex learning (i.e., imitation learning) and teaching processes are involved in grasping arbitrary meanings of words (Moore 2013). ASL may help explaining how these processes come about in a less cognitively challenging

manner: communicators engaging with a certain object linked to the novel meaning of the signal ascribe value to the object for the novel word use. For example, adolescent children may see several or one particularly influential peer using a word in a certain context with a certain meaning, and ascribe value to it, meaning that there is importance to this use of the word for the adolescent child. That is, the peer ascribes value to the use by producing the word in the given context, and makes the adolescent drawn to this way of usage. In a next step, for the adolescent child to gain more information about the concrete usage and, with that, the meaning of the word, again affective states play an important role: facial expressions as displays of affective states (Ekman & Friesen, 1978; but see Fridlund, 1994) are often considered ostensive signals during communication (Wharton & Saussure, 2020). These ostensive signals are important tools to direct attention to the relevant information for understanding the word use and with that its precise meaning. In this manner the important peer may 'teach' the adolescent the use of the word, in a non-active way.

Overall, affective states and value ascription are an important part of introducing language conventions (i.e. novel word uses), and the identification of these involved affective states or valuable objects are central for other community members to grasp this new meaning. The ABC approach here may help explain the exact learning and attention-getting processes that are involved. In particular, while not excluding complex ToM-based processes for the establishment of novel convention, adopting an ABC approach does not deny the possibility for less cognitively-centered processes. This is particularly important while considering language evolution, for example, the different degrees of arbitrariness found in animal signals, which may allow for an evolutionary continuous explanation for the appearance of full-blown arbitrary meaningful signals such as human words, from less-arbitrary beginnings as can be found in other great apes (Sievers & Gruber, 2020).

## Conclusion

In this article, we have argued that the current literatures on social learning and affective social influence, for historical reasons more than apparent theoretical disagreements, have remained divided.

Yet, besides the frustration of being unable to maneuver across disciplines concerned with a similar object of study, we have argued that it is crucial to recognize striking commonalities. We have proposed a novel ABC approach of social learning, including Affect, Behavior and Cognition, building on the three major traditions that we have reviewed in the literature. Our attempts at reviewing these three major domains have been necessarily patchy. For example, we have only superficially reviewed the major debates in the social learning literature between animal and human social learning, which has occupied much of the debate on the uniqueness of human culture over the last two decades. Yet, we also believe that this debate has reached a stand-still, with scholars on both sides (animal culture proponents and sceptics, respectively) unable to convince the others to join them at the theoretical level (Gruber, 2016; Tennie, et al., 2009; Whiten, et al., 2009). Our proposal to include affect in the debate can, we hope, unlock the stalemate, as well as contribute to the debates in the developmental literature with respect to the (non-affective) cognitive achievement of infants and toddlers (Gredebäck, Astor, & Fawcett, 2018; Heyes, 2017).

Overall, we believe that scientists should strive to integrate affect as part of any social learning model, as it is likely to always color one's perception of one's environment. Affect provides a continuum, from uncontrollable tantrums present in babies of many species, to the faculty to manipulate, consciously or not, the appreciation of a learner of a given object of its environment, whether animated or not. We believe that the ABC approach thus not only provides a bridge between species, but also highlights that any social learning process will be somehow influenced by its affect, as largely studied and demonstrated in other domains by affective sciences. While we do not believe that animal and human social learning theories have completely ignored affect, we believe the latter deserves a much more central place in the debate, and we hope that our contribution will foster discussions between the three major branches of social learning, as well as with other disciplines such as affective neurosciences (see also Olsson, et al., 2020), that can lead to the reconstruction of the evolution of the mind as a product of affect, behavior and cognition. In this respect, we have briefly described potential important applications of the ABC approach, in providing a scaffold for the evolution of culture and language. While not denying the uniqueness and achievement of our own species, we believe such an approach

can be used as a starting point to determine how emotion and cognition kept interacting throughout our evolution, rendering our cultures and communications unique in scope and nature.

Notes: 1. Interestingly, this approach to motivation appears to depart from the usual use of the term in emotion research where it refers to event-induced states of relatively short duration where one is inclined to act or not to act (Frijda, 2010).

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**Box 1**

Understanding emotions in others' actions

Humans are notorious for their ability to express and understand emotions (Sauter, Eisner, Ekman, & Scott, 2010; Scherer, 2005). Our understanding of emotions grows gradually; from an early onset already identifiable in the first year of life, at the end of which infants are able to recognize positive and negative facial expressions and to respond appropriately (Sorce, et al., 1985), to age 10 or 11 when they can recognize most facial expressions (Pons, Harris, & de Rosnay, 2004). In the process, they also understand the social underpinnings of emotions and will attribute the correct 'emotion' to a given story (Saarni, 1979). While the universality of specific emotions is a continuous source of debate (Crivelli, Russell, Jarillo, & Fernández-Dols, 2016; Ekman, 1992), the ability to recognize how conspecifics relate to the objects in their surroundings is nevertheless very likely to be present in all human societies suggesting that there is an evolutionary advantage to being able to do so. While being able to understand immediately that a conspecific is scared (Olsson & Phelps, 2007), disgusted or angry, there may be evolutionary benefits too in appreciating that someone is proud, enthusiastic or interested (Mortillaro & Dukes, 2018). The concept of social appraisal (Manstead & Fischer, 2001) highlights how a learner can use others' appraisals of an object while appraising the object themselves. In simple terms, we can learn how others evaluate the objects in the environment: we may learn that an otherwise ignored object is in fact relevant, and then engage with it ourselves, we may also assume that members of the same group feel the same way and prefer learning from them (Gruber, et al., 2019). Observation of other people's manifestations of their relation with an object and subsequent inferences about how they feel towards the object, can inform the learner about how they should feel about the object themselves and predict how the other might behave (Egyed, Király, & Gergely, 2013).

According to appraisal theorists, emotions are the result of the goals and motivations that an individual has on the other object (Campos, Mumme, Kermoian, & Campos, 1994; Lazarus, 1991; Scherer, Schorr, & Johnstone, 2001). Emotion can thus be directly seen in the action of the other and contextual (e.g. bodily) information may be quasi-automatically integrated, presumably even before an emotion is fully

identified for categorization (Frijda & Tcherkassof, 1997): in line with Dennett's (1987) intentional stance, to grasp the sense of relational activity, "merely requires that movements be viewed as behavior – that is, as purposive, as movements related to the organism's environment and as guided by aims in relation to that environment" (Frijda & Tcherkassof, 1997, p.95-96).

Social referencing is often described as comprised of two behavioral elements: initiating a look at the adult and using adult's emotional cues in guiding further actions (Walden, 1991). The focus on the visual modality for emotion recognition likely results from the propensity of infants to pay special attention to human faces, which may underlie their predisposition to learn about the world through a caregiver's face (Farroni, Csibra, Simion, & Johnson, 2002). With development, looking at others allows them to obtain crucial feedback on the situation. As described in the main text, infants use social gaze to emotionally check in with their caregivers upon encountering a potentially dangerous situation, such as an obstacle on their path, a barking dog or a spider. This process is not limited to humans, as has been demonstrated in domesticated cats and dogs when dealing with humans within interspecific social referencing protocols (Merola, Lazzaroni, Marshall-Pescini, & Prato-Previde, 2015; Merola, Prato-Previde, & Marshall-Pescini, 2012).

Additional emotion clues can be found in the vocal (Banse & Scherer, 1996) and tactile modalities (Hertenstein, Keltner, App, Bulleit, & Jaskolka, 2006). Vocally communicated transmission may work better in some contexts, particularly when vocal communication is the only way to transmit such emotional information (Grandjean et al., 2005). This also opens experimental opportunities for investigating emotion recognition in other species. Comparative work indeed often relies on field experiments using vocal play-back, which offers a strong methodological approach in natural settings to explore the connections between affect and the social world. For example, chimps show 'surprise', in terms of longer orienting responses, when they have heard what they think is a lower-ranked member challenge a higher-ranked member of the group (Slocombe, Kaller, Call, & Zuberbühler, 2010). Such recognition is not limited to primates, with dogs having been shown to recognize both conspecific and heterospecific (human) emotional content in vocalizations (Albuquerque et al., 2016).