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5 **Spatiotemporal variability in Allee effects of invading gypsy moth populations**

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15 **Keywords:** Allee threshold, critical density, *Lymantria dispar*, spatial synchrony, temporal
16 autocorrelation

17
18 **Abstract**

19 The Allee threshold, the critical population density separating growth from decline in populations
20 experiencing strong Allee effects, can vary over space and time but few empirical studies have examined
21 this variation. A lack of geographically extensive, long-term studies on low density population dynamics
22 makes studying variability in Allee effects difficult. We used North American gypsy moth population
23 data from 1996-2016 to quantify Allee thresholds in 11 regions of the invasion front. Allee thresholds
24 spanned a continuum from being undetectable due to strong population growth at all densities, to being
25 unmeasurable because populations declined across all densities. The lag-1 temporal autocorrelation in
26 Allee thresholds tended to be negative and spatial synchrony in Allee thresholds extended no further than
27 adjacent regions. This work furthers understanding of spatiotemporal variation in Allee effects using
28 extensive empirical data at the range edge of an invasive insect.

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Introduction

Demographic Allee effects play an important role in the establishment and spread of invasive species (Taylor and Hastings 2005; Courchamp et al. 2008). When strong Allee effects occur, the per-capita population growth rate becomes negative below a threshold population density—termed the Allee threshold or critical density—tending to lead to extinction (Courchamp et al. 1999). The strength of Allee effects can vary spatiotemporally (Tobin et al. 2007; Walter et al. 2017); however, the spatial and temporal structures of variation in Allee effects remain largely unknown. Allee effects have been demonstrated in North American populations of gypsy moth, *Lymantria dispar* (L.), a forest-defoliating pest introduced from Europe in 1868, and linked to mating failure in low-density populations (Contarini et al. 2009; Tobin et al. 2013). Tobin et al. (2007) introduced a method for estimating Allee thresholds from spatiotemporal abundance data, and applied it to gypsy moth. Based on available data at the time, Tobin and colleagues quantified Allee thresholds using three relatively large and ecologically heterogeneous regions over 8 years, 1996-2003 (Tobin et al. 2007). We used over a decade of additional data to examine 1) how the Allee threshold varied spatially over smaller, more homogenous regions; 2) the structure of temporal variability in Allee effects, and 3) whether Allee effects vary synchronously between regions.

Methods

We analyzed data from the Slow the Spread (STS) program, a gypsy moth management program that monitors range expansion and identifies incipient colonies ahead of the range edge for treatment (Tobin et al. 2004; Grayson and Johnson 2017). In this program, $\approx 100,000$ georeferenced pheromone-baited traps are deployed annually across a ≈ 175 km-wide transition zone from North Carolina to Minnesota that separates the portion of the USA where gypsy moth is established from areas where it is not. Traps are placed on a ≈ 2 km grid in low gypsy moth density areas, with spacing increasing to 3-8 km towards the

55 established range (Tobin et al. 2004). Traps catch only adult males, but are considered a valid index of
56 population density and have been widely used as such (Grayson and Johnson 2017).

57 We used the method of Tobin et al. (2007) to pinpoint the Allee threshold. In brief, the trap catch
58 data were used to generate interpolated surfaces for 1996-2017 over a grid of 5×5 km cells using
59 indicator kriging. From each grid cell, we extracted the estimated number of male moths per trapping area
60 for each year, $n_{i,t}$, and its estimate for the following year, $n_{i,t+1}$. We omitted any pairs whose initial value
61 was 0, and any cells within 1.5 km of an area treated for gypsy moth. In practice, <2% of the monitoring
62 area was treated in any given year. We binned the data into a sequence of density categories based on the
63 estimated abundance in year t . The width of each bin was 1 moth (i.e., $0 < n_{i,t} \leq 1$, $1 < n_{i,t} \leq 2$, etc.). The
64 population replacement proportion (i.e., the proportion of pairs with $n_{i,t+1} \geq n_{i,t}$) was calculated for each
65 density bin. We used local polynomial regression (Fan and Gijbels 1996) to analyze how the replacement
66 proportion changed as a function of density. The polynomials had degree = 1 with a smoothing parameter
67 of 0.5. The Allee threshold was defined as the lowest abundance in year t at which the replacement
68 proportion equaled or exceeded 0.5. This approach is suited to quantifying Allee thresholds in cases
69 where there are data on many populations through time because taking the replacement proportion helps
70 to identify signal in noisy data, while also minimizing the effect of stochastic changes in low-density
71 populations that can be extreme on a $n_{i,t+1}/n_{i,t}$ basis. Further details are given in Supplementary Material
72 S1.

73 By definition, Allee effects occur in small populations, so we considered only relatively low
74 density populations (Tobin et al. 2007). Earlier work operationally defined low densities as ≤ 30 moths
75 trap⁻¹, but in some cases Allee thresholds were not estimated because population replacement proportions
76 never exceeded 0.5 over this range (Tobin et al. 2007). To estimate Allee thresholds at higher densities,
77 we applied the threshold estimation procedure to subsets of data with maximum trap catch densities
78 beginning at 30 moths trap⁻¹ and increasing sequentially by 10 moths trap⁻¹ until an Allee threshold could
79 be estimated or the maximum empirical trap catch density was reached.

80 Allee thresholds were estimated for 11 regions defined by the STS project to measure spread rates
81 and plan treatments to eliminate nascent gypsy moth colonies (Fig. 1). These boundaries reflect
82 geopolitical units and regional habitat. We estimated both a 1996-2016 time series of Allee thresholds and
83 an overall Allee threshold combining data from all years. Allee thresholds were not estimated if there
84 were data from fewer than 50 grid cells or fewer than 10 unique population density bins. Despite our new
85 algorithm, we were unable to pinpoint an Allee threshold in regions and years where the replacement
86 proportion never exceeded 0.5. In such cases, we used the maximum observed trap catch density as a
87 surrogate for the Allee threshold. If the replacement proportion was ≥ 0.5 at all densities, the Allee
88 threshold was considered 0.

89 We quantified temporal autocorrelation and spatial synchrony in annual variation in the Allee
90 threshold to characterize changes over time and space. Temporal autocorrelation was described using the
91 lag-1 Spearman autocorrelation for each region. We quantified spatial synchrony in Allee thresholds by
92 measuring pairwise Spearman correlations between all regions and plotting synchrony as a function of
93 distance between region pairs, measured in number of regions, with adjacent regions having distance = 1
94 and the most distant regions (1 and 11) having a distance = 10. Spearman rank correlation was deemed
95 more appropriate than Pearson correlation given that we were not always able to quantitatively pinpoint
96 the Allee threshold, and thus some values were best interpreted as relative, not absolute, estimates.

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98 **Results**

99 Spatiotemporal variation in gypsy moth Allee thresholds was substantial (Fig. 2a-c, Table 1).
100 Long-term average Allee thresholds tended to be smallest in Wisconsin and the Appalachian mountains of
101 Virginia and West Virginia, and largest in the Midwest and eastern Virginia. However, all regions
102 experienced years with no measurable Allee threshold (i.e., all densities had population replacement
103 proportions ≥ 0.5), and all but two regions experienced years where the Allee threshold could not be
104 quantified because for all recorded densities, up to >800 moths per trap, the replacement proportion was <
105 0.5. Estimated Allee thresholds were nearly identical when we excluded populations with trap catch

106 densities >0.1 and >0.5 moths per trap. The lag-1 temporal autocorrelation ranged from -0.56 in region 8
107 to 0.10 in region 3 (Table 1), with a mean of -0.13 . In adjacent regions the Allee threshold tended to
108 fluctuate synchronously, but on average spatial synchrony did not extend beyond adjacent regions (Fig.
109 2d).

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111 **Discussion**

112 By examining finer-scale geographic variation, we found differences in low-density population
113 dynamics that were not apparent from earlier work (Tobin et al. 2007). In the Midwest (our regions 5-8),
114 Tobin and colleagues found population replacement proportions rarely exceeded 0.5 at any trap catch
115 density (Tobin et al. 2007), but in regions 5-6 (Illinois and Indiana) we found relatively modest Allee
116 thresholds (Table 1). We also found that the earlier Allee threshold estimate for Virginia, West Virginia
117 and North Carolina (our regions 9-11) was inflated by poor conditions for gypsy moth population growth
118 and persistence in the Atlantic coastal plain (region 11) and that the Allee thresholds for southern
119 mountainous areas were more similar to northern regions. Temperatures in the coastal plain regularly
120 exceed the optimum for larval development and likely drive higher thresholds (Tobin et al. 2014).

121 Temporal variation in the Allee threshold exceeded spatial variation: every region experienced
122 good and poor years for gypsy moth population growth, regardless of the long-term typical conditions
123 (Fig. 2a-c). Ostensibly, year-to-year weather variation underpins some of this variability (Streifel et al.;
124 Tobin et al. 2014). The lag-1 autocorrelation of Allee threshold time series was typically negative (Table
125 1), implying that successive years tend to have somewhat dissimilar Allee thresholds. Further research is
126 needed to identify the drivers of temporal variation in gypsy moth Allee thresholds, which are likely to
127 include both density-dependent and independent factors (Walter et al. 2017).

128 Spatial synchrony in Allee thresholds rarely extended past adjacent regions (Fig. 2d). The lack of
129 spatial synchrony could assist efforts to restrict the spread of the gypsy moth in North America. Since
130 different parts of the invasion front experience favorable conditions for gypsy moth population growth in
131 different years, it could be possible to allocate resources to areas where nascent populations are

132 proliferating while maintaining a consistent overall expenditure on management activities. Identifying
133 factors associated with temporal variation in Allee thresholds would yield additional benefits in this
134 regard.

135 This work contributes to a body of research on variation in Allee effects. Over 20 years and 11
136 regions, Allee thresholds often were absent for two diametrically opposed reasons: populations at all
137 densities tended to replace themselves or grow in size, or populations at all densities tended to decline.
138 Our findings highlight that spatiotemporal variability can dramatically alter conclusions about the strength
139 of Allee effects. Further work on tools for quantifying Allee effects and relating variation to ecological
140 mechanisms has the potential to vastly increase knowledge of low-density population dynamics and the
141 factors that drive extinction or population growth, particularly in the context of biological invasions.

142

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172 **Data availability:** Data and analysis code are available at <https://github.com/jonathan-walter/gmAlleeVar>

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178 **Tables and Figures**

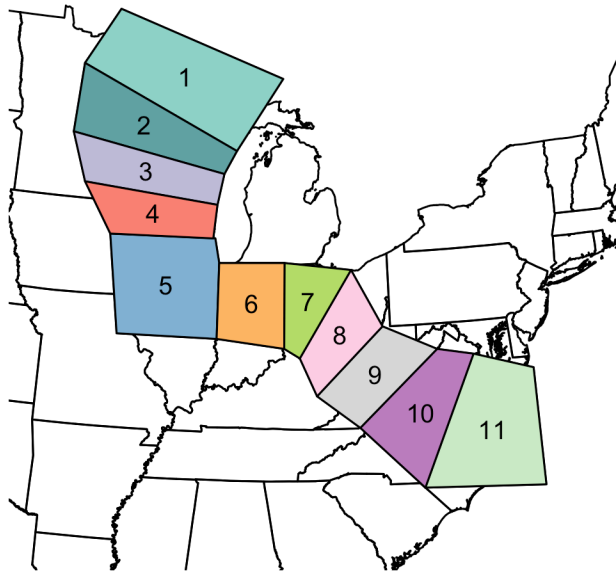
179 Table 1: Aggregate 1996-2016 Allee threshold and lag-1 temporal autocorrelation in annual Allee
180 thresholds for STS regions 1-11 (Fig. 1). Values in brackets indicate that the maximum observed trap
181 catch density was used as a surrogate for the Allee threshold.

Region	Allee threshold	Lag-1 autocorrelation
1	0	0.13
2	1.40	-0.25
3	0	0.10
4	2.25	-0.25
5	11.67	-0.37
6	6.75	-0.21
7	[380]	-0.02
8	[550]	-0.56
9	5.24	-0.04
10	2.42	-0.05
11	[550]	0.05

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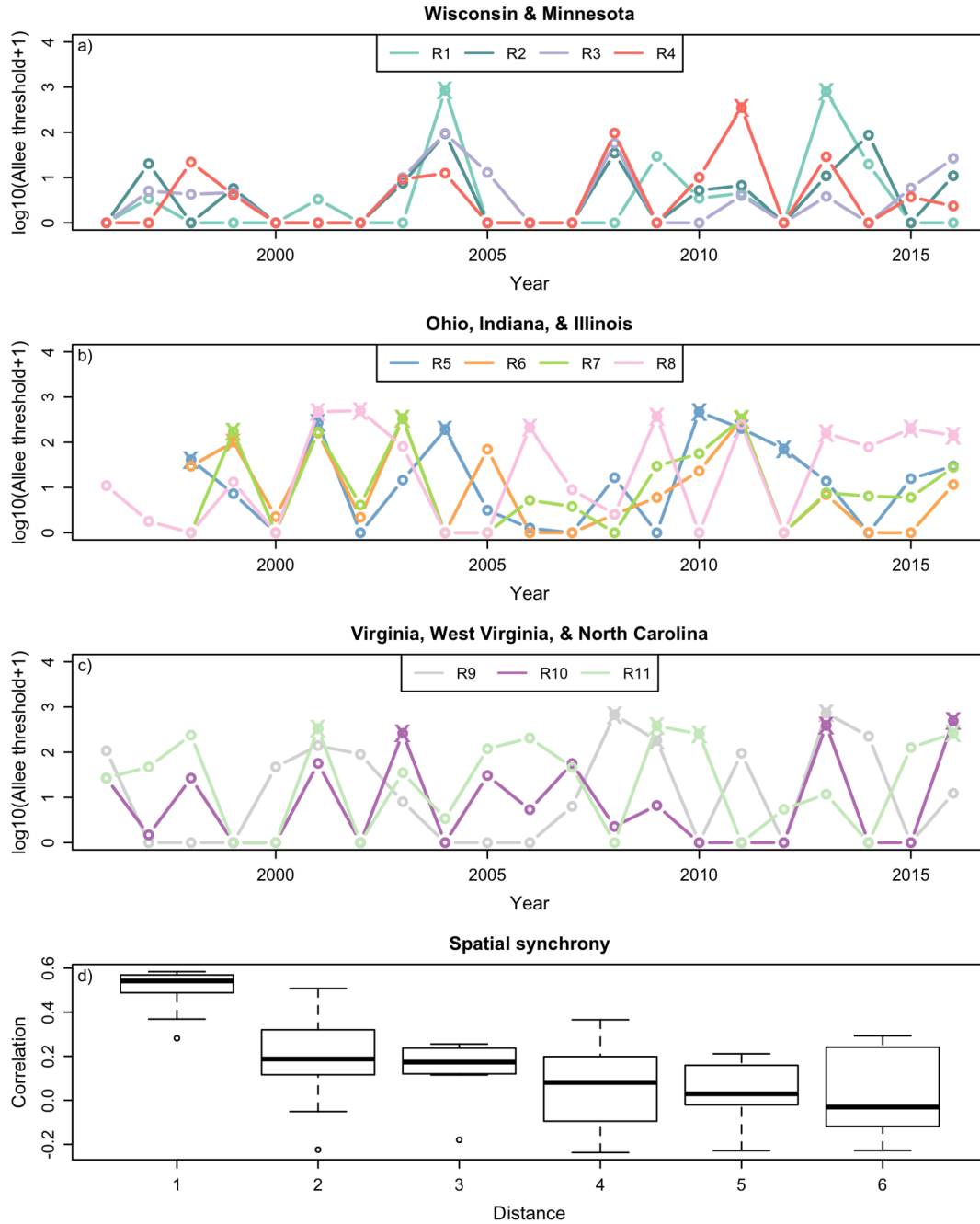


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186 Fig. 1: Map of regions defined by the STS program.

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Fig. 2: Time series of Allee thresholds for by region in a) Wisconsin and Minnesota, b) Ohio, Indiana, and Illinois, and c) Virginia, West Virginia, and North Carolina; and d) spatial synchrony in Allee thresholds. Distance is measured in number of regions. In a-c, points marked with “x” indicate cases in which the maximum observed trap catch density was used as a surrogate for the Allee threshold.