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Oxidation Level and Glycidyl Ether Structure Determine Thermal Processability and Thermomechanical Properties of Arabinoxylan-Derived Thermoplastics

Parveen Kumar Deralia,* Aline Maire du Poset, Anja Lund, Anette Larsson, Anna Ström, and Gunnar Westman*



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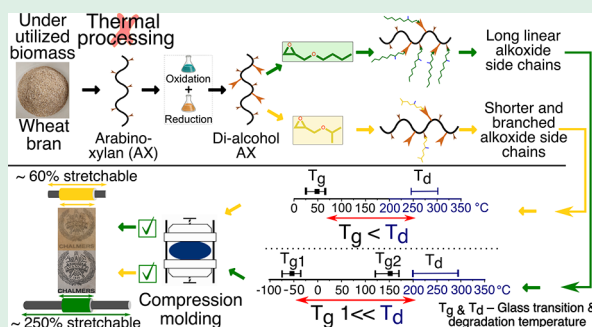
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Supporting Information

ABSTRACT: Developing flexible, stretchable, and thermally processable materials for packaging and stretchable electronic applications from polysaccharide-based polymers contributes to the smooth transition of the fossil-based economy to the circular bioeconomy. We present arabinoxylan (AX)-based thermoplastics obtained by ring-opening oxidation and subsequent reduction (dA-AX) combined with hydrophobization with three different glycidyl ethers [*n*-butyl (BuGE), isopropyl (*i*PrGE), and 2-ethyl hexyl (EtHGE) glycidyl ether]. We also investigate the relationship between structural composition, thermal processing, and thermomechanical properties. BuGE- and *i*PrGE-etherified dA-AXs showed glass-transition temperatures (T_g) far below their degradation temperatures and gave thermoplastic materials when compression-molded at 140 °C. The BuGE (3 mol)-etherified dA-AX films at 19 and 31% oxidation levels show 244% (± 42) and 267% (± 72) elongation, respectively. In contrast, *i*PrGE-dA-AX samples with shorter and branched terminals in the side chains had a maximum of 60% (± 19) elongation. No studies have reported such superior elongation of AX thermoplastic films and its relationship with molar substitution and T_g . These findings have implications on the strategic development of chemical modification routes using commercial polymer processing technologies and on fine-tuning structures and properties when specific polysaccharide-based polymers are used to engineer bio-based products for film, packaging, and substrates for stretchable electronic applications.

KEYWORDS: arabinoxylan, biomass-wheat bran, epoxide ring-opening etherification, oxidation, renewable resource, thermal processability, thermoplastic film



INTRODUCTION

Sustainable resource supply and its efficient use for materials and chemicals are central to the success of the bioeconomy. Polysaccharides are promising candidates for this purpose owing to their renewability, abundance, and functionality. Among polysaccharides, arabinoxylans (AXs) are heteroxylans present in cereal grains. Their backbones are composed of β -1,4-linked xylopyranosyl residues that are substituted with arabinofuranosyl residues and less often with arabino-linked feruloyl esters and glucuronosyl residues, depending on the biological origin.^{1,2} The extraction method also changes the substitution degree and pattern of the polymers and imparts differential reactivity and physical properties to them.

Material scientists are interested in exploiting structural functionality and physical properties of polysaccharides for antioxidants³ in multifunctional food applications; for films, coatings, emulsions,⁴ additives,⁵ and hydrogels in material applications; and for stretchable substrates in electronic devices.^{6–9} Using AX in films for packaging and stretchable electronics is restricted by brittleness and poor stretchability.

Organic solvents can dissolve and plasticize biopolymers by reducing inter- and intramolecular H bonding and so enable processing. However, upscaling of solvent processing for materials is unattractive because of the long processing time and bulk solvent need. Therefore, commercial processing techniques are required to harness the potentials of polysaccharides to superior materials. Conversion of polysaccharides to materials is challenging because of poor thermal processability and inaccessible melt phase, owing to higher melting (T_m) and glass-transition temperatures (T_g) compared to their degradation temperature (T_d).^{10–12}

Using external plasticizers, e.g., nonvolatile glycerol, sorbitol, water, etc., and chemical modification are common strategies

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used to make polysaccharides thermoplastic. External plasticizers, fillers, and stabilizers have shown to enhance the processability, mechanical properties, and environmental stability of polymers.^{13,14} For example, external plasticizers enabled thermal processability in starch^{15,16} and cellulose acetate.¹⁷ However, plasticizers can also cause unwanted side effects, e.g., weak mechanical properties, plasticizer migration, recrystallization over time, etc.^{13,18} Many studies had focused on chemical modification of starch,^{19–23} proteins,^{24,25} and cellulose acetate^{26–28} to improve processability and product performance. Despite this progress, the chemical modification strategy is less investigated for thermoplastic arabinoxylans in contrast with cellulose and starch. Thus, it is desirable to develop more means within this strategy.

Stronger intra- and intermolecular interactions influence thermal processability and make AX difficult to process via mature polymer thermal processing techniques such as compression molding, injection molding, and extrusion. The glass-transition temperature (T_g) depends on an interplay of intermolecular forces,^{29,30} chain stiffness,³¹ pendant groups,^{32,33} and molecular weight.³⁴ Tuning these interactions/factors by chemical modification leads to a decrease in T_g below the degradation temperature. In this context, it has been shown that the T_g of xylan decreased to 70, 124, and 134 °C upon derivatization with propylene oxide³³ and butyl glycidyl ether³⁵ and upon oxidation,³⁶ respectively. The T_g of glucomannan was also shown to reduce upon acylation, and glucomannan acylates could be thermoprocessed.¹²

A recent investigation showed that grafting of *n*-butyl glycidyl ether (BuGE) on OH groups of periodate (PI) oxidized and reduced arabinoxylan (AX) and ring-opening polymerization of BuGE monomers on sequentially periodate-oxidized and -reduced AX as the initiation site of polymerization results in a thermally processable stretchable thermoplastic material.³⁴ Xylan was made hydrophobic and thermoplastic via grafting from polymerization with octadecyl acrylate to replace hydroxyl groups attached to its backbone. This modified xylan was used as a renewable additive in a poly(ϵ -caprolactone) (PCL) biocomposite.³⁷ Modification of xylan by incorporating short poly(ϵ -caprolactone) (PCL) tails also improves the thermoprocessability and thermomechanical properties.^{38,39} Despite these studies, there remains to explore (a) how thermomechanical properties may relate to the structural composition (molar substitution (MS)) and thermal processing of the derivatized polymer, (b) whether other reactants can enable thermal processability and how their structure may relate to thermal processing, and (c) whether lowering T_g enables thermal processing. We need further research advancements in the modification strategy to make polysaccharides thermally processable thermoplastics and get tunable physiochemical properties. Chemical modification of polysaccharides using ester and glycidyl ether was shown to affect polymerization and processability.^{34,35,40,41} A variety of factors, such as the structure and composition of native AX and oxidation level, can contribute to the differential properties and processability^{32–34} and are included in this study along with different etherification agents. Wheat bran, a cheap byproduct of the cereal industry, is used for animal feed. AX was extracted from wheat bran because (a) wheat bran is available in abundance, making it a potential feedstock in cereal biorefineries, and (b) the extracted AX is water-soluble.³⁴

The focus of this study is to design and synthesize thermally processable AX with different physical properties and to

investigate the possibility of using different glycidyl ethers (etherification agents) after activating AX through successive periodate oxidation (at two levels) and reduction to make AX melt-processable. We do this to probe the correlation between properties and structures. Two oxidation levels were used to adjust the number of open carbohydrate units. Three etherification agents, *n*-butyl (BuGE), isopropyl (*i*PrGE), and 2-ethyl hexyl (EtHGE) glycidyl ether, were judiciously selected that vary in structure and chemical and physical properties (Table S1) and were varied in amount to shield and to use the reactive OH groups as coupling points on AX. This will help in adjusting intermolecular forces and molecular weights. This broad experimental design offers the possibility of tuning the properties of the obtained materials. We analyzed the neat and functionalized AXs for structure (infrared (IR) and NMR), chemical composition (high-performance liquid chromatography (HPLC)), and thermal and mechanical properties (differential scanning calorimetry (DSC) for T_g , thermogravimetric analysis (TGA), tensile tests) to give information about the correlation between properties and polymer structures.

■ EXPERIMENTAL SECTION

Materials. Wheat bran (particle size between 0.5 and 1.4 mm) used for extraction of arabinoxylan was provided by Lantmännen AB (Stockholm, Sweden). Chemicals, reagents, enzymes, and solvents were purchased from Sigma-Aldrich (Schnellendorf, Germany) or Fisher Scientific (Sweden) and used without further purification unless stated otherwise. Ethanol was purchased from Solveco AB (Sweden). Distilled water was used throughout. Dialysis tubing (Spectra/Por, MWCO 3500) was from Spectrum Laboratories Inc., CA. The wheat bran composition was determined by the standard National Renewable Energy Laboratory (NREL) method:⁴² glucan 29 ± 2%, mannan 1 ± 0%, galactan 1.0 ± 0%, xylan 15 ± 1%, arabinan 10 ± 1%, acid-insoluble lignin (AIL) 12 ± 2%, and ash 5% ± 0.0 (rest 27%). Arabinoxylan (AX) was extracted from wheat bran according to the earlier literature⁴³ with slight modifications, and the extraction procedure is on Page S2 in the Supporting Information. Figure 1 illustrates the experimental steps used in the study.

Syntheses of Activated Arabinoxylan Ethers. *Syntheses of Di-Alcohol/Activated Arabinoxylans (dA-AX).* Activated AX (dA-AX) was synthesized from AX through successive oxidative and reduction in Step 1 (Figure 2a). The sample codes, synthesis parameters, and characterizations of the activated AX and activated AX ethers are in Table 1. Tables S1 and S2 present the details on etherification agents and sample codes.

Periodate Oxidation of Arabinoxylan. Di-aldehyde arabinoxylan (dAl-AX) was prepared according to the reported literature.^{44,45} Briefly, AX (4 g dry basis, 30.30 mmol) was suspended in 10 mL of 2-propanol and 165 mL of water, and the mixture was stirred at 50 °C for 1 h. Different predetermined amounts of sodium meta (Table 1) were dissolved in 25 mL of water, and the solutions were added to the flask, giving 2% concentration. The reaction was carried out at room temperature (20 °C) for 14 h in the dark. The reaction mixture was used in the reduction step.

Reduction of Oxidized Arabinoxylan. A premixed suspension of 2 g of sodium borohydride NaBH₄ (reducing agent) and 0.3 g of sodium monophosphate NaH₂PO₄ (used as a pH buffer to keep the pH constant) in 50 mL of water was added to the reaction mixture from the oxidation step, giving 1.6% concentration. The reaction was performed at room temperature (20 °C) for 4 h. The product (dA-AX) was precipitated in methanol and diethyl ether mix (2:1). The activated AX was separated by centrifugation and then was used for the synthesis of GE-dA-AX.

Syntheses of Activated Arabinoxylan Ethers. The activated AX ethers (GE-dA-AX) were synthesized in Step 2 (Figure 2b) according to the reported literature³⁵ with some changes. In brief, the activated AX (dA-AX) from the earlier step was suspended in 60

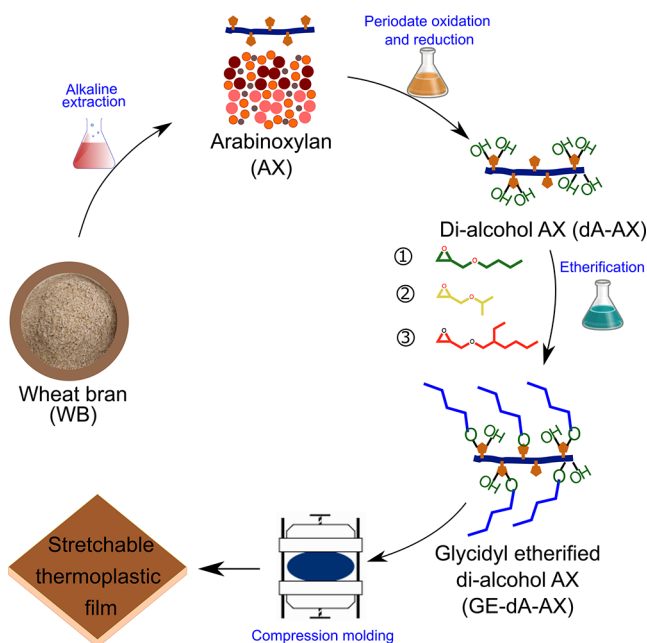


Figure 1. Simple experimental schematic showing the experimental steps. AX was extracted from wheat bran and ring-opening oxidation and subsequent reduction (dA-AX) was carried out. Finally, three series of arabinoxylan-based thermoplastics were synthesized by introducing ① BuGE, ② iPrGE, and ③ EtHGE. These materials were compression-molded.

mL of H₂O and the mixture was stirred at 50 °C for 1 h. Different amounts of NaOH (Table 1) were dissolved in 45 mL of water. The aqueous NaOH solution was added to the flask, and the mixture was stirred at room temperature (20 °C) for 1 h. A predetermined amount of glycidyl ethers was added dropwise over 30 min. The reaction was carried out at 45 °C for 16 h. Then, the mixture was cooled down and neutralized to pH 7.0 with 2M CH₃COOH. The BuGE-dA-AX product was separated by centrifugation (while the iPrGE-dA-AX and EtHGE-dA-AX products were first precipitated in methanol and diethyl ether mix (1:0.7) and later separated by centrifugation) and then dried under vacuum at room temperature for 14 h. The product yields are given in Table S3.

Film Fabrication. Compression molding or solvent casting was used to fabricate films from neat AX and activated AX ethers. The processing method's details are provided in Table S3.

Compression Molding. The GE-dA-AX samples were cut into small pieces to get homogenous melt and compression-molded into films using molds of 80 × 80 × 0.5 or 50 × 50 × 0.5 mm³ dimension (*L* × *W* × *T*) between two metal plates on a manual press set to 150 °C for melting. After melting the sample with no pressure between the plates for 3 min, the sample melt was compression-molded under 50 kPa pressure at 140 °C for 3 min to get films.

Solution Casting. This method is used for making films of the samples that were not compression-moldable. The samples were dissolved either in H₂O or ethanol–water mix (3:1, ethanol 95%) at 20 g/L and stirred at 50 °C for 1 h. The solution after cooling was poured into a Petri dish and dried at room temperature.

Characterization. Oxidation Level Measurement. The oxidation level was determined from periodate consumption (eq 1 in the Supporting Information) and sugar content reduction (eq 2 in the Supporting Information). Periodate consumption in the oxidation reaction was determined by a UV–vis method.⁴⁶

Carbohydrate Composition and NMR Spectroscopy. The neat and functionalized AXs were hydrolyzed by two-stage sulfuric acid hydrolysis according to the NREL procedure.⁴² An aliquot (1 mL) of the hydrolyzed sample was used for carbohydrate analysis, and the rest was neutralized and freeze-dried and then used for NMR analysis. The glycidyl ether substitution was determined by both HPLC and

NMR (eqs 3, 4, and 5 in the Supporting Information). Table S5 contains NMR calculation data.

Carbohydrate Composition. The carbohydrate composition was determined by the two-stage sulfuric acid hydrolysis method according to the NREL procedure.⁴² The solubilized monosaccharides were quantified on a Dionex ICS-4000 high-performance anion-exchange chromatography (HPAEC) system equipped with a pulsed amperometric detector (PAD) (Dionex, Sunnyvale, CA) coupled to a Dionex CarboPac PA1 analytical column.

NMR Spectroscopy. NMR spectra were recorded with a Varian MR-400 MHz spectrometer (Agilent Technologies) at 25 °C on freeze-dried solubilized monosaccharide samples. Approximately, 20 mg of sample was dissolved in 0.7 mL of NMR-grade D₂O ($\delta_{\text{H}} = 4.79$) solvent at 50 °C for 1 h.

Attenuated Total Reflection-Fourier Transform Infrared (ATR-FTIR) Spectroscopy. The FTIR analyses were conducted on a PerkinElmer Frontier FTIR spectrometer (Waltham, MA) in attenuated total reflection (ATR) mode within the spectral range of 4000–400 cm⁻¹ at room temperature. A total of 32 scans were collected at 2 cm⁻¹ resolution on room-temperature-dried samples successively conditioned at 23 °C and 50% RH for 24 h. The spectra were baseline-corrected on Spectrum software, and Origin Pro 2018 was used for plotting.

Gel Permeation Chromatography (GPC). The molecular mass distribution analysis was performed by GPC on a PL-GPC 50 plus integrated system equipped with refractive index (RI) and UV detectors (Polymer Laboratories, Varian Inc.) set at $\lambda = 280$ nm and a series-coupled Polar Gel-M column and a guard column (300 × 7.5 mm² and 50 × 7.5 mm², 8 μm) using dimethyl sulfoxide (DMSO)/LiBr (10 mM) as an eluent (0.5 mL/min). The GPC system was calibrated against Pullulan standards (180–708 000 Da). The samples (2 mg/mL) were dissolved in the eluent and filtered through syringe filters (0.2 μm). Data analyses were performed in Origin Pro 2018.

Differential Scanning Calorimetry (DSC). DSC (Mettler Toledo DSC2 calorimeter equipped with an HSS7 sensor and a TC-125MT intercooler) was conducted under a dry nitrogen atmosphere. Samples (5 mg) were placed in sealed aluminum pans for all DSC runs. A heating/cooling/heating procedure was applied over a temperature range from 30 to 250 °C and –100 to 230 °C for neat AX and dA-AX and GE-dA-AX samples, respectively, at 10 °C/min. Two specimens from each material were analyzed. *T_g* was taken as the inflection point of the endotherm transition(s) in the second heating cycle. Representative heating–cooling–heating cycles juxtaposed with highlighted endotherm(s) in the second heating cycle for all samples are shown in Figures S8–S13.

Thermogravimetric Analysis (TGA). The thermal stability was evaluated on a TGA/DSC 3+ Star System (Mettler Toledo, Columbus, OH) at a heating rate of 5 °C/min between 25 and 500 °C under a N₂ flow of 50 mL/min. In total, 5 mg for each sample in duplicate was analyzed.

Uniaxial Tensile Test. Tensile tests were performed at room temperature on a universal testing machine Instron 5565A (Norwood, MA) equipped with a load cell of 100 N at 30 mm/min crosshead speed. Rectangular specimens with gauge dimensions of 20 mm length, 5.6 mm width, and 0.4 mm (0.11 for solvent-cast films) thickness cut from films obtained from compressed molding were tested. They were air-dried and conditioned at 23 °C and 50% RH for 24 h before measurements (4–5 replicates).

RESULTS AND DISCUSSION

Figure 2 depicts the synthesis strategy of the AX thermoplastics. To investigate the structural composition–property–processing correlation, different activated AX ethers were synthesized, which varied in structure and composition. First, thermal processability is determined followed by structural and molar mass characterizations and thermomechanical property determination. The relationship between the molar substitutions of different glycidyl ethers in activated arabinoxylan

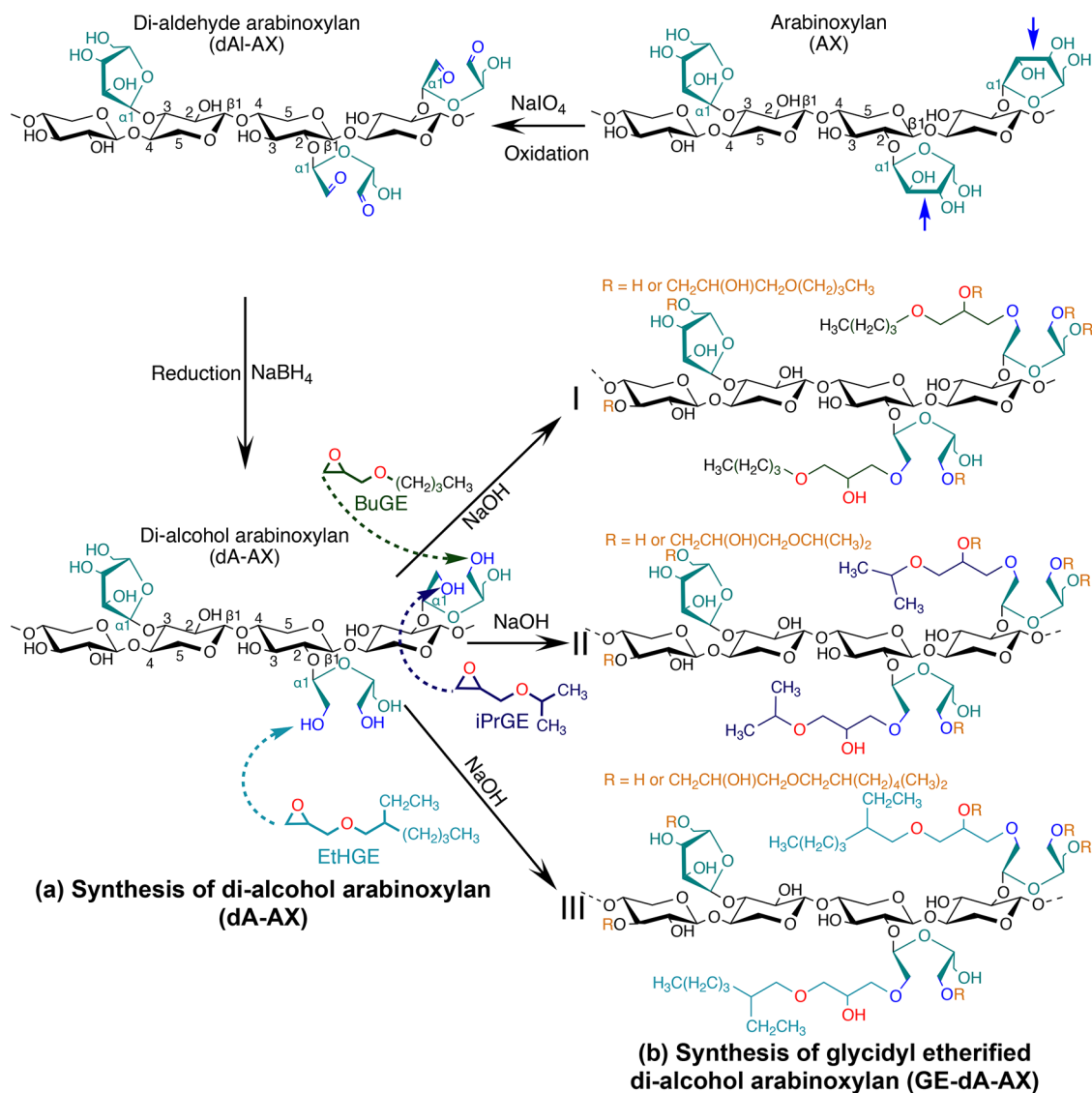


Figure 2. Two-step-synthesis route of glycidyl-etherified di-alcohol arabinoxylans. (a) Step 1—synthesis of di-alcohol arabinoxylan (dA-AX) by successive periodate oxidation and reduction of arabinoxylan (AX). (b) Step 2—synthesis of glycidyl-etherified di-alcohol arabinoxylan from dA-AX using three etherification agents (BuGE, *i*PrGE, EtHGE). Three different types (I, II, III) are synthesized.

ethers and their thermal processing and thermomechanical properties is discussed in the end.

Chemical and Structure Characterization. The neat AX, dA-AX, and activated AX ethers are structurally and chemically analyzed.

FTIR. To verify the coupling of GEs on the activated AX, ATR-FTIR was employed to compare the changes in functional groups. Figure 3 shows the spectrum of neat AX and the spectra of representative samples from dA-AX and GE-dA-AXs. The spectra of all of the samples are shown in Figure S3. The OH stretching band centered at $\sim 3300\text{ cm}^{-1}$ shifted left for BuGE-dA-AX samples, implying that introducing etherification agents weakens hydrogen bonding. There are no significant changes in the OH absorbance because of the consumption and generation of OH groups upon epoxidation. The same band remained unaltered or shifted left for *i*PrGE-dA-AX and EtHGE-dA-AX samples. The new bands observed between 3000 and 2850 cm^{-1} and between 1500 and 1350 cm^{-1} are related to alkyl^{47,48} (CH_2 and CH_3) groups' stretching and bending of the BuGE

(strong) and *i*PrGE (mild) structures in GE-dA-AX samples. A new band at $\sim 740\text{ cm}^{-1}$ (CH_2 rocking vibration) plus its counterpart at $\sim 1465\text{ cm}^{-1}$ (stronger CH_2 vibration) is specific to BuGE-dA-AX samples and shows a long-chain linear aliphatic structure.⁴⁸ These bands were not apparent in the EtHGE-dA-AX sample, showing unsuccessful etherification reactions. The bands between 1600 and 1500 cm^{-1} (not highlighted) are of residual water in the samples.⁴⁹ The different amounts of water may be present in the samples as evidenced by the product yields (Table S3), which change with modification.

Intact Carbohydrate Substitution (ICS). ICS determines the substitution of the etherification agents on OH groups of intact carbohydrates in a dA-AX polymer (for calculation, see eq 3 and Figure S1 in the Supporting Information), and Table 2 presents the ICS values. Up to 58% (ICS-0.58) sugar units were BuGE-etherified on dA_{19%}-AX sample (entry 2 in Table 2) contrary to 37% (entry 3 in Table 2) on dA_{31%}-AX for 3 mol equiv of BuGE. This suggests that increasing oxidation level from 19 to 31% creates a higher quantity of ring-opened sugar

Table 1. Sample Codes, Synthesis Parameters, and Characterizations of Activated Arabinoxylans (dA-AX) and Activated Arabinoxylan Ethers (GE-dA-AX)

sample ID ^a	activated arabinoxylan (dA-AX) [periodate-oxidized and reduced AX]			activated arabinoxylan ether (GE-dA-AX)
	mol of PI/ASU ^b	oxidation level 1 ^c (OL _{UV-vis} %)	oxidation level 2 ^d (OL _{SCB} %)	mol equiv of NaOH/ASU and GE/ASU ^e
GE _{1,0} dA _{19%} AX	0.25	19	23	1
GE _{3,0} dA _{19%} AX	0.25	19	23	3
GE _{3,0} dA _{31%} AX	0.35	31	27	3
GE _{5,0} dA _{31%} AX	0.35	31	27	5

^aFirst superscripted number from left-mole of glycidyl ethers (GEs), second superscripted number in %—ultraviolet–visible (UV–vis) measured oxidation level; this sample code is generic; specific glycidyl ether is prefixed in the code (e.g., BuGE_{1,0}dA_{19%}AX). ^bMole equivalence of periodate (PI)/anhydrous sugar unit (ASU; sugar-pentose plus minor hexose), reduction reaction's parameters were similar for all reactions. ^cUV–vis determined oxidation level. ^dOxidation level based on sugar content reduction after oxidation and reduction. ^eMole equivalence of NaOH and glycidyl ether (GE)/anhydrous sugar unit (ASU) were similar.

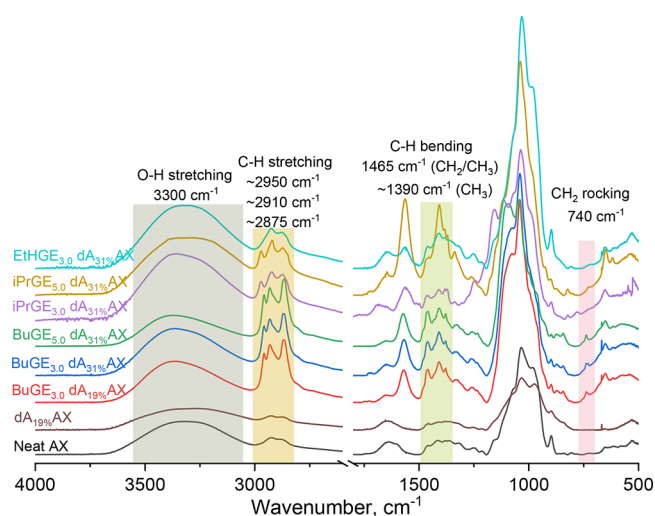


Figure 3. IR spectrum of neat AX and representative spectra of di-alcohol AX and three different glycidyl-etherified di-alcohol AXs.

units (i.e., alkyl and alkoxy chains) and these chains may block access to intact sugar units in the polymer. Increasing BuGE from 3 mol equiv to 5 eased this blockage, as ICS was 0.76 for the BuGE_{5,0}dA_{31%}AX sample (entry 4 in Table 2). The substitution was lower for *i*PrGE-dA-AX samples (maximum = 0.46 for *i*PrGE_{5,0}dA_{31%}AX) in comparison with BuGE-dA-AX samples, most likely owing to its terminal isopropyl branch. ICS values were zero/negative (very close to zero) for EtHGE-dA-AX samples, showing that EtHGE did not react. This has to do with the longer and branched carbon chain in EtHGE (Figure 2) and its lowest water solubility (0.02%, Table S1) among three glycidyl ethers. This longer and branched carbon chain in EtHGE compared to BuGE and *i*PrGE may have prevented EtHGE monomers to react with the OH groups of the activated AX. Negative ICS values maybe because of the insignificant degradation of sugars during the alkaline treatment step in the synthesis of activated AX

ethers (GE-dA-AX) (refer Experimental Section) because ICS values are based on the reduction of sugar content (determined by HPLC using eq 3 in the Supporting Information) after glycidyl ether substitution. These results agree with the FTIR results.

¹H NMR. We employed ¹H NMR to evaluate the molar substitution (MS) of different etherification agents (BuGE, *i*PrGE, EtHGE) in the GE-dA-AX samples (Table 2). Increasing BuGE amount from 1 to 3 mol and 3 to 5 mol (keeping oxidation level same 19 and 31%) produces a sharp increase (0.38 to 3.39; entries 1 to 3 in Table 2) and moderate increase (3.39 to 4.41; entries 3 and 4 in Table 2) in MS showing the excess need of BuGE to react on OH groups. The MS does not alter (3.10 and 3.39) when increasing the oxidation level from 19 to 31% at the same BuGE amount (3 mol equiv), showing (1) the requirement of minimum oxidation level; (2) increasing oxidation above that level does not yield any further advantage. The BuGE sample with 31% oxidation level and 5 mol equiv produced a gluey and heterogeneous film when compression-molded. This signifying toward avoiding the use of over 5 mol equiv BuGE in combination with the least oxidation level because BuGE most likely remained unattached after saturation.

The MS values of BuGE-dA-GE samples were higher than *i*PrGE-dA-AX samples. We observed the MS increases with an increase in both oxidation level and mole equivalence of BuGE and *i*PrGE. However, the MS values of the EtHGE-dA-AX samples were close to zero, signifying that EtHGE did not react with dA-AX samples despite increasing the oxidation level and glycidyl ether amount (NMR spectrum in Figure S7).

This has to do with the branched alkoxide chain in EtHGE, which may create steric hindrance to reach on the OH group-reacting sites on di-alcohol AX samples compared to BuGE having a linear carbon chain (Figure 2). This steric hindrance and low water solubility of EtHGE may have rendered almost nil MS for EtHGE-dA-AX samples and make these samples thermally incompressible. To find whether OH groups of the epoxide ring-opened glycidyl ether moieties may serve as initiation sites for the polymerization of glycidyl ethers to make polyether on the di-alcohol AX, 3 and 5 mol equiv of BuGE, *i*PrGE, and EtHGE were reacted under the same etherification reaction conditions but without dA-AX. ¹H NMR (Figures S4–S6) spectra did not provide any support to this possibility because the glycidyl ethers were converted to their corresponding di-ols. Polyethers were formed from different glycidyl ethers using *t*-Bu-P4 (superbase) and *n*-butanol.⁵⁰ However, a separate in-depth investigation is needed to prove this.

Molar Mass Distribution. Table 2 presents average molar masses and polydispersity indices of neat AX and GE-dA-AX samples. M_n and M_w of neat AX are close to those of wheat AX (low viscosity) from an earlier study using DMSO as a solvent⁵¹ but lower than those of another study.³⁶ DMSO-based size exclusion chromatography (SEC) (used in this study) offers lower average molar masses compared to water-based SEC.⁵¹ The molar masses could not be obtained for the di-alcohol samples because they were not soluble in DMSO. The molecular weights were decreased after oxidation because of the degradation of the AX polymers.³⁶ Number-average molar masses and polydispersity indices of the GE-dA-AX samples increase and decrease in comparison with neat AX. M_w did not follow any trend. The molar masses' moments of neat AX and GE-dA-AX samples are in Figure S2.

Table 2. Molar Substitutions (MS), Intact Carbohydrate Substitutions (ICS), and Molar Mass Averages (M_n , M_w) and Polydispersity Indices (\mathcal{D}) of Neat AX and Glycidyl-Etherified Di-Alcohol AXs (GE-dA-AXs)

entry	sample	MS ^a	ICS ^b	molecular weight moments and polydispersity indices		
				M_n (kDa)	M_w (kDa)	(M_w/M_n) , \mathcal{D}^c
0	neat AX	na	na	31 (0.4)	163 (3.1)	5.4
1	BuGE _{1,0} dA _{19%} AX	0.38	0.10	35 (0.8)	156 (0.1)	4.5
2	BuGE _{3,0} dA _{19%} AX	3.10	0.58	55 (0.4)	177 (0.8)	3.2
3	BuGE _{3,0} dA _{31%} AX	3.39	0.37	32 (0.0)	133 (1.2)	4.1
4	BuGE _{5,0} dA _{31%} AX	4.41	0.76	45 (*)	149 (*)	3.3
5	iPrGE _{1,0} dA _{19%} AX	0.02	0.00	34 (0.1)	133 (1.0)	3.9
6	iPrGE _{3,0} dA _{19%} AX	0.24	0.31	37 (0.2)	151 (1.8)	4.1
7	iPrGE _{3,0} dA _{31%} AX	0.16	0.10	34 (0.4)	76 (0.5)	2.3
8	iPrGE _{5,0} dA _{31%} AX	0.60	0.46	49 (3.9)	156 (0.4)	3.2
9	EtHGE _{1,0} dA _{19%} AX	nd	–	44 (1.3)	168 (1.1)	3.8
10	EtHGE _{3,0} dA _{19%} AX	0.08	–	43 (0.5)	132 (0.0)	3.1
11	EtHGE _{3,0} dA _{31%} AX	0.06	–	40 (0.6)	178 (0.3)	4.5
12	EtHGE _{5,0} dA _{31%} AX	0.02	–	46 (0.4)	122 (0.3)	3.4

^aMolar substitution (MS) of glycidyl ethers determined by ¹H NMR. ^bIntact carbohydrate substitution (ICS) determined by HPLC. ^cPolydispersity index \mathcal{D} (M_w/M_n). nd, could not be determined. na, not applicable. Values were negative close to zero; data in parentheses are the standard deviation of two replicates. * Data not available (one replicate).



Figure 4. Films fabricated from the activated AX ethers using BuGE (a–d) and iPrGE (e–h) via compression molding at 140 °C and 50 kPa pressure. The Chalmers logo is used to determine the transparency of the films. Chalmers University of Technology gave permission to use and does not claim any copyrights. BuGE_{5,0}dA_{31%}AX film (d) is not available because the material was too soft to form a free-standing film.

Thermal Processing. Compression molding, injection molding, and extrusion are the most common thermal processing techniques used for synthetic polymers. In the present work, compression molding (140 °C and 50 kPa) was used to find out whether the activated AX ethers were thermally processable. All activated AX ethers synthesized using BuGE and iPrGE were compression-moldable, and Figure 4 shows the representative images of the films. These results suggest the possibility of achieving thermal processability in arabinoxylan both using other reactants (i.e., iPrGE) and using different combinations of the activation level and quantity of BuGE and iPrGE. The activated AX ethers synthesized using EtHGE could not be compression-molded. We might attribute this to the lowest water solubility (0.02%, Table S1) of EtHGE among three glycidyl ethers as compared to other etherification agents (Table S1). To increase the solubility of EtHGE in the etherification reaction, ethanol and water (30 and 70%) mix was used, but the product was still not

compression-moldable. The BuGE_{5,0}dA_{31%}AX material yielded a gluey and heterogeneous film. The films were transparent except for the one fabricated from the iPrGE_{5,0}dA_{31%}AX material. The yellowness of the films shows the degradation of low-molecular-weight carbohydrates and proteins or non-structural compounds during compression molding.^{52,53}

■ THERMAL AND MECHANICAL PROPERTIES

Thermal Properties. Glass-Transition Temperature. T_g values of neat AX, dA-AX, and GE-dA-AX samples were determined from DSC and are shown in Table 3. The DSC thermograms are available in Figures S8–S13. We observed no significant changes in T_g of dA-AX samples oxidized at 19 and 31% levels (193 and 188 °C) compared to that of the neat AX (189 °C), showing that T_g is independent of oxidation level in AX. It is worth noting that the molar ratio of arabinofuranosyl to xylopyranosyl (Araf/Xylp) units remains unchanged (~ 0.7) at two oxidation levels (Table S4). Likewise, the T_g of AX

Table 3. DSC, Tensile (Tensile Test), and Thermogravimetric (TGA) Data of Neat AX, dA-AXs, and GE-dA-AXs

sample	DSC data ^a		tensile data ^b			thermogravimetric data ^c			
	T_g 1 (°C)	T_g 2 (°C)	E (MPa)	σ_t (MPa)	ϵ_b (%)	T_{onset} (°C)	$T_{inflection}$ (°C)	T_{endset} (°C)	final residue (FR) (%)
neat AX [‡]	189 (3)	na	1632 (47)	31 (10)	4 (1)	261 (1)	282 (3)	298 (0)	25.9 (1)
dA _{19%} AX	193 (0)	na	-	-	-	269 (3)	285 (1)	301 (3)	41.0 (2)
dA _{31%} AX	188 (1)	na	-	-	-	269 (1)	287 (2)	311 (1)	42.5 (0)
BuGE _{1,0} dA _{19%} AX [‡]	123 (1)	na	1517 (228)	52 (8)	19 (2)	265 (2)	298 (2)	320 (1)	23.8 (1)
BuGE _{3,0} dA _{19%} AX	-54 (0)	163 (2)	2 (0)	1 (0)	244 (42)	238 (2)	295 (2)	320 (1)	18.1 (0)
BuGE _{3,0} dA _{31%} AX	-57 (1)	156 (2)	0.4 (0)	0.2 (0)	267 (72)	212 (2)	300 (1)	327 (2)	15.7 (0)
BuGE _{5,0} dA _{31%} AX	-67 (1)	176 (10)	nd	nd	nd	202 (9)	237 (11)	324 (0)	10.5 (0)
iPrGE _{1,0} dA _{19%} AX [‡]	100 (0)	na	1024 (113)	23 (6)	4 (1)	264 (0)	294 (2)	316 (0)	31.4 (0)
iPrGE _{3,0} dA _{19%} AX	69 (3)	na	42 (18)	4 (0)	45 (19)	248 (2)	285 (6)	316 (0)	27.3 (0)
iPrGE _{3,0} dA _{31%} AX	70 (1)	na	240 (157)	10 (6)	23 (9)	251 (5)	285 (6)	316 (5)	28.1 (4)
iPrGE _{5,0} dA _{31%} AX	54 (6)	na	32 (25)	2 (1)	60 (19)	259 (1)	290 (1)	315 (1)	24.8 (1)
EtHGE _{3,0} dA _{19%} AX	143 (11)	na	-	-	-	242 (*)	279 (*)	314(*)	26.5 (*)

^a T_g was obtained from DSC second heating scan and data in parentheses are the standard deviations of two replicates. ^bData in parentheses are standard deviations of 4–5 replicates. ^cData in parentheses are standard deviations of two replicates; E , Young's modulus; σ_t , max tensile stress; ϵ_b , tensile strain at break; T_{onset} , initial decomposition temperature; $T_{inflection}$, temperature at the maximum decomposition rate; T_{endset} , final decomposition temperature; final residue (FR)—char content at 500 °C. * Data not available (one replicate). - Data not available as solvent-cast films were too fragile/brittle. nd, Not determined because the film was too soft to be handled at room temperature. ‡ Solvent-cast films were used for tensile tests. na, Second endotherm was not observed in the DSC scans.

(Araf/Xylp 1.1) was shown to remain unchanged upon oxidation in an earlier study.³⁶ Considering our results and previous study results, we hypothesize that T_g does not alter when Araf/Xylp is >0.7 because arabinosyl units create steric hindrance, limiting the oxidation of the xylan chains, keeping the xylan backbone stiff, and restricting the rotational freedom of the xylan for being bulky pyranose rings. In contrast, we found T_g of xylan to decrease upon oxidation for xylan³⁶ because of the pyranose ring opening in the xylan backbone.

It becomes clear that T_g of the activated AX ethers (T_g ranged between -67 and 143 °C) reduced compared to their counterparts, i.e., neat AX and dA-AX samples ($T_g \sim 189$ °C) (Table 3). The reduction in T_g was expected because of the coupling of etherification agents on activated AX due to the disruption of intermolecular interactions. When comparing T_g among GE-dA-AX samples of different etherification agents, the reduction in T_g is the most pronounced for BuGE-dA-AXs (123, -50, -57, -67 °C), moderately pronounced for iPrGE-dA-AXs (100, 69, 70, 54 °C), and least pronounced for EtHGE_{3,0}dA_{19%}AX (143 °C). We also observed a second endotherm for BuGE_{3,0}dA_{19%}AX (163 °C), BuGE_{3,0}dA_{31%}AX (156 °C), and BuGE_{5,0}dA_{31%}AX (176 °C) (Figure S9 in the SI). This peculiar nature of two endotherms originates from the structure and composition of these samples and hydrophobic nature and lower water solubility [2%, compared to iPrGE (18%), see Table S1] of BuGE. This situation may arise from microphase separation because of the coexistence of densely and lightly changed regions within the polymer. The densely and lightly changed regions may relate to the nonpolar domain formed by a hydrophobic alkoxide butyl chain and polar domain from low-degree-modified or unmodified polysaccharides. The absence of two glass transitions for iPrGE-dA-AXs (Table 3) may be linked to the hydrophilic nature of iPrGE [highest water solubility (18%) among tested glycidyl ethers, see Table S1]. This hydrophilic iPrGE could have facilitated the compatibilization of two phases/domains. The subzero endotherm (-50, -56, -67 °C) may be assigned to longer alkoxide side chains (sugar ring-opened sugar alkyl plus epoxide ring-opened BuGE alkyl chain), and the second

endotherm (163, 156, 176 °C) is because of the xylan backbone (Figure S9).

Remember that a new band at ~ 740 cm^{-1} (CH_2 rocking vibration) plus its counterpart at ~ 1465 cm^{-1} (stronger CH_2 vibration) specific to BuGE-dA-AX samples was observed and showed a long-chain linear aliphatic structure⁴⁸ (Figures 3 and S3b). Coupling of *n*-butyl glycidyl ether and propylene oxide (without oxidation and reduction) on hardwood hemicellulose lowered T_g to 124 and 175 °C³⁵ because the polymer lacked ring-opened sugar alkyl chains. This suggests that the subzero endotherm transition may arise from the longer alkyl or alkoxide side chains. Contrary to two endotherms in the BuGE-dA-AX samples, iPrGE-dA-AX samples possess only one endotherm above zero, i.e., T_g 100, 69, 70, and 54 °C (Table 3 and Figures S10–S11a',b'). This is also in agreement with a study on evaluating relations between thermal transition, structure, and morphology of the conjugated polymers,⁵⁴ reinforcing that longer alkyl/alkoxide side chains create a dominating subzero endotherm apart from the backbone endotherm. Two glass transitions are common for multiblock synthetic polymers,^{55,56} conjugated polymers,⁵⁴ esterified cellulose,⁵⁷ lignin-based thermoplastics,^{58,59} thermoplastic elastomers (TPEs).⁶⁰ This justifies the use of multiple techniques such as broadband dielectric spectroscopy, variable temperature ellipsometry, and DMA to probe the different transitions arising from the backbone and long side chains.

Thermal Stability. Thermal stabilities of neat AX, dA-AX, and GE-dA-AX samples were investigated using TGA, and the results are presented in Table 3. The TG thermograms (Figure S14a) reveal that all samples possess one-step decomposition profiles. The major decomposition ($T_{inflection}$) of the BuGE_{5,0}dA_{31%}AX sample takes place at ~ 237 °C with a shoulder at ~ 200 °C (Table 3 and Figure S14c) contrary to the other samples that decompose between 280 and 300 °C (Table 3 and Figure S14c,d). This has to do with the gluey nature of this film upon compression molding. The di-alcohol AXs (dA-AXs) (dA_{19%}AX and dA_{31%}AX) exhibit higher thermal stability compared to neat AX, as the onset decomposition

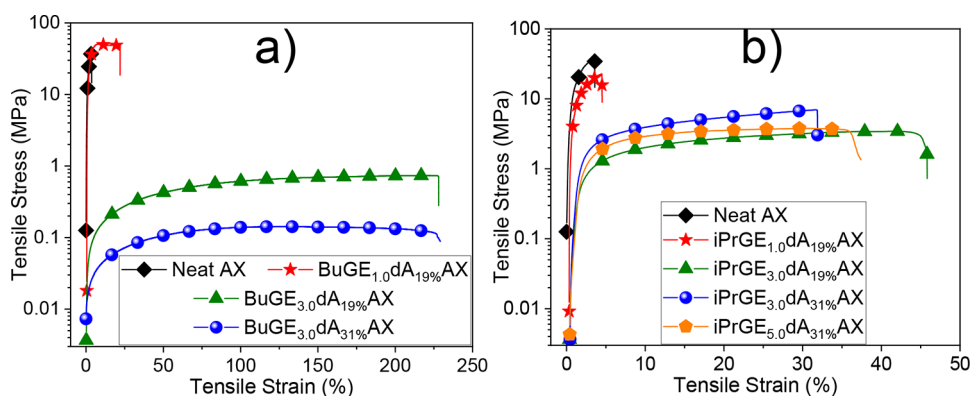


Figure 5. Representative stress–strain curves of (a) BuGE- and (b) *i*PrGE-etherified di-alcohol AX samples.

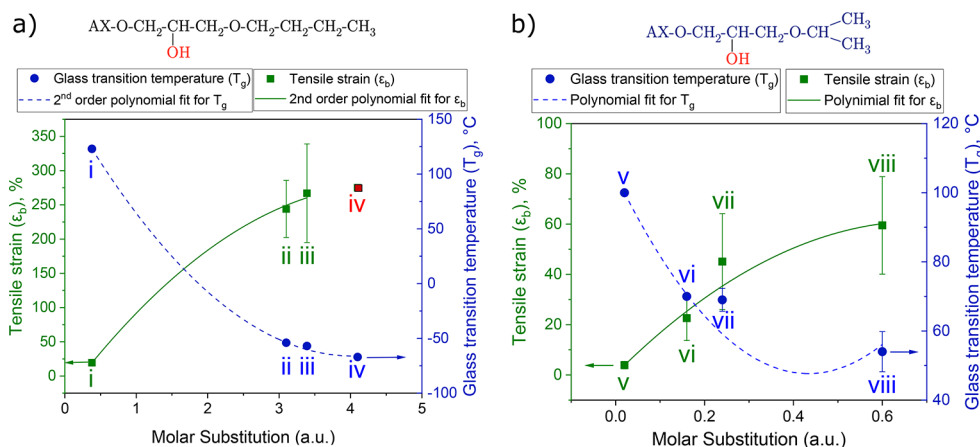


Figure 6. Molar substitution–property (tensile strain and T_g) correlation. (a) Evolution of tensile strain and T_g against the molar substitution of BuGE-etherified dA-AX samples. (i)–(iv) BuGE_{1.0}dA_{19%}AX, BuGE_{3.0}dA_{19%}AX, BuGE_{3.0}dA_{31%}AX, and BuGE_{5.0}dA_{31%}AX. The red square represents the logical expected tensile strain of the BuGE_{5.0}dA_{31%}AX material (data unavailable because the film was soft to be a free-standing film). Second-order polynomial fit for tensile strain ($R^2 = 0.995$) and second-order polynomial for T_g ($R^2 = 0.99988$). (b) Evolution of tensile strain and T_g against the molar substitution of *i*PrGE-etherified dA-AX samples. (v)–(viii) *i*PrGE_{1.0}dA_{19%}AX, *i*PrGE_{3.0}dA_{19%}AX, *i*PrGE_{3.0}dA_{31%}AX, and *i*PrGE_{5.0}dA_{31%}AX second-order polynomial fit for tensile strain ($R^2 = 0.99416$) and second-order polynomial fit for T_g ($R^2 = 0.97871$). The solid (green, for ϵ_b) and dashed (blue, for T_g) lines are used as the visual guide for the fit. Note that subzero endotherm calculated T_g values of BuGE-etherified samples are used for plotting and curve fitting.

temperature of the former samples (269 °C) is higher than that of the latter (261 °C).

Thermal stability of the BuGE-etherified di-alcohol AX (BuGE–dA-AX) samples decreases with the combined effect of an increase in oxidation level and in mole equivalence of GE/ASU compared to their di-alcohol AX counterparts. It is reflected from the significant reduction in the onset decomposition temperature (T_{onset}) (Table 3). This trend also remains valid with *i*PrGE-etherified di-alcohol AX (*i*PrGE–dA-AX) samples, but the reduction is less pronounced. The lower thermal stability of BuGE–dA-AX samples occurs from the alkoxide side chains' ability to depolymerize back to epoxides. As the content of alkoxide side chains increases (Figure S1c), the depolymerization possibility increases and may speed up thermal decomposition. The chemical modifications of celluloses fibrils⁶¹ and arabinoxylan^{34,36} decreased the thermal decomposition temperature. The endset decomposition temperatures (T_{endset}) of GE–dA-AX samples (BuGE–dA-AX 320 °C and *i*PrGE–dA-AX 316 °C) are higher than those of dA-AX samples (301 and 311 °C), indicating the wider decomposition temperature window (Table 3).

Mechanical Properties. The mechanical properties of the neat AX and GE–dA-AX samples were examined by the tensile test. Young's modulus, maximum tensile stress, and elongation at break data are presented in Table 3 and Figure S15. As expected, the materials with subzero T_g , i.e., BuGE_{3.0}dA_{19%}AX and BuGE_{3.0}dA_{31%}AX, are the most stretchable films with 244 (± 42) and 267% (± 72) elongation at break (ϵ_b). The ϵ_b of *i*PrGE–dA-AX samples can hardly reach up to 50% (Figure 5).

In a previous study, a maximum of 185% (± 32) ϵ_b was achieved.³⁴ Increased stretchability indicates stronger intramolecular interactions within a polymer chain but weak intermolecular interactions between neighboring chains because of the scission of intermolecular H bonding within the activated AX ethers after introducing glycidyl ethers. The presence of oxygen atoms in alkoxide chains and longer alkoxide chains, respectively, result in stronger intramolecular interactions and weak intermolecular interactions in the case of activated AX BuGE ethers as compared to the activated AX *i*PrGE ethers. The former factor helps in coordinating neighboring H atoms, and the latter factor creates more space between the chains. This explains the higher strain at break (i.e., stretchability) for the activated AX BuGE ethers compared to those of the activated AX *i*PrGE ethers. Young's

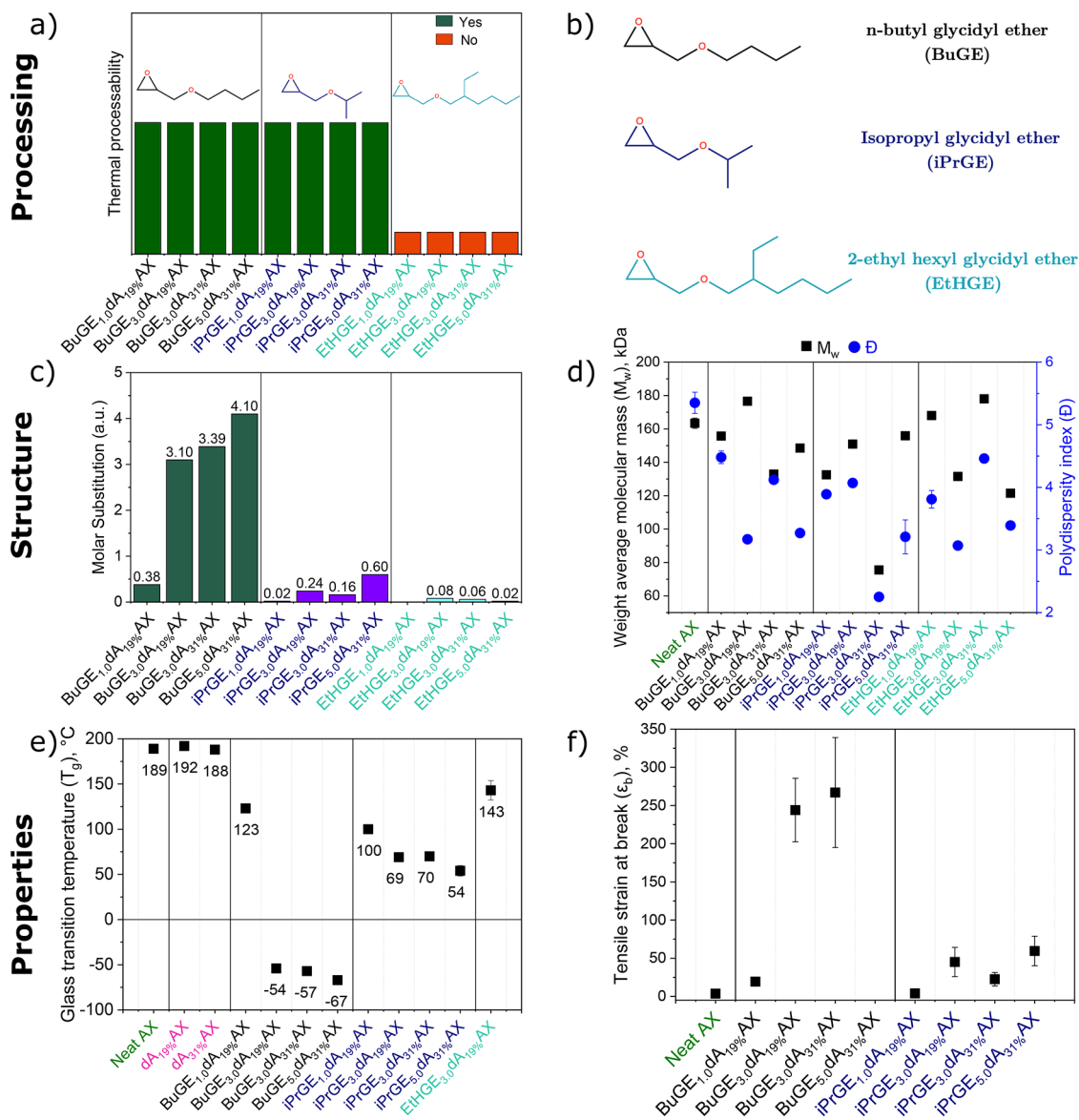


Figure 7. (a) Thermal processability of the activated AX ethers, (b) structures of the etherification agents, (c) molar substitution (NMR-determined) of the activated AX ethers, (d) weight-average molecular masses and polydispersity indices of the neat AX and the activated AX ethers, (e) glass-transition temperatures (T_g) of the activated AX ethers, and (f) tensile strain at break of the neat AX and the activated AX ethers. These plots were grouped to better compare and find out the relationship among them. Note that BuGE_{5,0}dA_{31%}AX in Figure 7f does not have a tensile strain value because the film was too soft to be free standing.

modulus (E) and tensile stress (σ_t) of the neat AX and BuGE_{1,0}dA_{19%}AX are comparable. However, the E and σ_t of BuGE_{3,0}dA_{19%}AX and BuGE_{3,0}dA_{31%}AX samples were very low at room temperature, i.e., above their subzero T_g (Table 3). It is worth noting that augmentation in tensile strain comes with a loss in tensile stress and Young's modulus. Strength and toughness properties are mutually exclusive, resulting in a trade off between these, and this poses a challenge in designing materials.^{62,63}

Structure–Property–Processing Correlation. The qualitative structure–property relationship of the different samples, i.e., the relationship between molar substitution, tensile strain, and glass-transition temperature, was evaluated and is shown in Figure 6. There is an increasing trend with the final leveled-off region for tensile strain (ϵ_b) and a decreasing trend with the final leveled-off region for T_g , regarding the molar substitutions of etherification agents regardless of the

type of coupled etherification agents (BuGE-etherified samples—Figure 6a and *i*PrGE-etherified samples—Figure 6b). The longer alkoxide chain of BuGE and the shorter and branched chain of *i*PrGE have contributed to higher and lower elongation at break, i.e., stretchability, respectively, as shown in the Mechanical Properties section. However, the curve-fitting data of BuGE-etherified samples ($R^2 = 0.995$ and 0.99988 for ϵ_b and T_g , respectively) is better than those of *i*PrGE-etherified samples ($R^2 = 0.99416$ and 0.97871 for ϵ_b and T_g). Note that the MS, ϵ_b , and T_g values of *i*PrGE-etherified samples are lower than those of BuGE-etherified samples, though the curve-fitting shape is similar.

Figure 7a,c shows structure–processing relationship and shows introducing etherification agents in the dA-AX samples, enabling thermal processing by compression molding, thus acting as internal thermal plasticizers, as shown by MS values (Table 2 and Figure 7c). Introducing BuGE and *i*PrGE in the

activated AXs enabled thermal processing. It also supports the fact that it is not possible to enable thermal processing without a covalently bonded internal thermal plasticizer as was shown for EtHGE. It is worth noting that the T_g of EtHGE_{3,0}dA_{19%}AX decreases to 143 from 180 °C compared to its starting material, i.e., dA_{31%}AX, however, does not enable thermal processability (Figure 7a,c,e). This result has to do with the degradation/chemical modification of the polymer during the etherification reactions. In addition, T_g is measured as the inflection point of the endotherm part (Figure S13a'). In fact, T_g is normally spread over a range of temperatures. Conversely, it was argued that reducing T_g may render arabinoxylan and xylan capable of thermal processing.^{32,36} Our results (T_g , molar substitution, and IR data) showing lower T_g through chemical modification with elongating side chains in AX may enable thermal processing.

Figures 7a and S16 reveal the relation between thermo-mechanical properties (degradation and glass-transition temperature) and thermal processing. It becomes clear that either materials BuGE_{1,0}dA_{19%}AX, iPrGE_{1,0}dA_{19%}AX, iPrGE_{3,0}dA_{19%}AX, iPrGE_{3,0}dA_{31%}AX, and iPrGE_{5,0}dA_{31%}AX with a minimum 50 °C temperature difference between the onset of degradation temperature and T_g or materials BuGE_{3,0}dA_{19%}AX, BuGE_{3,0}dA_{31%}AX, and BuGE_{5,0}dA_{31%}AX having two endotherms (thus two T_g values) render thermal processability (Figure S16). One more important outcome of this work is that stretchability of BuGE_{3,0}dA_{19%}AX and BuGE_{3,0}dA_{31%}AX films ($\epsilon_b = 244\%$ (± 42) and 267% (± 72); Table 3 and Figure 7f) apparently correlates to the subzero T_g 's in these materials (Table 3 and Figure 7e).

CONCLUSIONS

Exploring new ways to achieve thermoplasticity for polysaccharide-based polymers by chemical modification and understanding the relationship among their structure, processing, and properties are crucial both for their current application areas such as packaging and films and for their new application areas, namely, substrates for stretchable electronics. Our results show that the successful introduction of *n*-butyl (BuGE) and isopropyl (iPrGE) glycidyl ethers in the activated arabinoxylans render thermal processability via compression molding. The thermal processability got enabled for all combinations of activation (periodate oxidation and reduction) and etherification reaction conditions for *n*-butyl (BuGE) and isopropyl (iPrGE) glycidyl ethers. It was also established that both the structure and composition of the native AX and modified AX, and the structure and chemical and physical properties of the etherification agents have strong implications on the thermal processability and final properties of the obtained materials. In the case of BuGE_{3,0}dA_{19%}AX and BuGE_{3,0}dA_{31%}AX films with longer alkoxide chains and a higher level of molar substitution, two endotherms (1 T_g 160 °C and another subzero T_g) in DSC curves are observed, giving 244 (± 41.6) and 267% (± 72) elongation. In contrast, iPrGE_{1,0}dA_{19%}AX, iPrGE_{3,0}dA_{19%}AX, iPrGE_{3,0}dA_{31%}AX, and iPrGE_{5,0}dA_{31%}AX films exhibit a single T_g value between 50 and 100 °C and have a maximum 59.5% strain at break.

The findings of this study contribute to the continued development of chemical modification strategies to enable thermoplasticity and processability via commercial polymer techniques and provide new insights into the structure–processing–property relationship of the AX-based thermoplastic materials. The findings are pertinent for the material

scientists working on polysaccharide-based materials for potential applications in packaging and substrates for stretchable electronics. We propose to use dynamic mechanical analysis and broadband dielectric spectroscopy to better understand the molecular mobility of the materials, i.e., main and secondary relaxations.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsabm.0c01550>.

Arabinoxylan extraction; structural, chemical, and physical properties of the etherification agents; sample coding; product (activated AX ether) yield and film fabrication techniques used; oxidation level measurement; glycidyl ether substitution; carbohydrate composition; molar mass distribution determined by gel permeation chromatography in DMSO/LiBr; ATR-FTIR spectra; representative ¹H NMR spectra; integrals of α , β , and methyl proton peaks of activated AX ethers and their ranges used for molar substitution; DSC heating–cooling–heating cycles; thermogravimetric and derivative thermogravimetric thermograms; tensile data and glass transition temperature (T_g) and degradation temperature (T_d) (PDF)

AUTHOR INFORMATION

Corresponding Authors

Parveen Kumar Deralia – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden; orcid.org/0000-0002-1014-5524; Email: deralia@chalmers.se, deralia.parveen@gmail.com

Gunnar Westman – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden; Email: westman@chalmers.se

Authors

Aline Maire du Poset – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden

Anja Lund – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden

Anette Larsson – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden; orcid.org/0000-0002-6119-8423

Anna Ström – Department of Chemistry and Chemical Engineering, Chalmers University of Technology, SE-412 96 Gothenburg, Sweden; orcid.org/0000-0002-9743-1514

Complete contact information is available at: <https://pubs.acs.org/10.1021/acsabm.0c01550>

Notes

The authors declare no competing financial interest.

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