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Deep Learning	; of Explainable EEG Patterns as Dynamic Spati-	2
otemporal Clu	sters and Rules in a Brain-Inspired Spiking Neu-	3
ral Network		4
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Citation: Lastname, F.; Lastname, F.; Lastname, F. Title. <i>Sensors</i> 2021 , <i>21</i> , x. https://doi.org/10.3390/xxxxx Academic Editor: Firstname Last- name Received: date Accepted: date Published: date	Abstract: The paper proposes a new method for deep learning and knowledge discovery in a brain- inspired Spiking Neural Networks (SNN) architecture that enhances the model's explainability while learning from streaming spatiotemporal brain data (STBD) in an incremental and on-line mode of operation. This led to the extraction of spatiotemporal rules from SNN models that explain why a certain decision (output prediction) was made by the model. During the learning process, the SNN created dynamic neural clusters, captured as polygons, which evolved in time and continu- ously changed their size and shape. The dynamic patterns of the clusters were quantitatively ana- lyzed to identify the important STBD features that correspond to the most activated brain regions. We studied the trend of dynamically created clusters and their spike-driven events that occur to- gether in specific space and time. The research contributes to: 1) enhanced interpretability of SNN learning behavior through dynamic neural clustering; 2) feature selection and enhanced accuracy of classification; 3) spatiotemporal rules to support model explainability; and 4) a better understand- ing of the dynamics in STBD in terms of feature interaction. The clustering method was applied to a case study of Electroencephalogram (EEG) data, recorded from a healthy control group (n=21) and opiate use (n=18) subjects while they were performing a cognitive task. The SNN models of EEG	14 15 16 17 18 19 20 21 22 23 24 25 26 27 28
Publisher's Note: MDPI stays neu- tral with regard to jurisdictional claims in published maps and institu- tional affiliations.	demonstrated different trends of dynamic clusters across the groups. This suggested to select a group of marker EEG features and resulted in an improved accuracy of EEG classification to 92%, when compared with all-feature classification. During learning of EEG data, the areas of neurons in the SNN model that form adjacent clusters (corresponding to neighboring EEG channels) were detected as fuzzy boundaries that explain overlapping activity of brain regions for each group of sub-jects.	29 30 31 32 33 34

Keywords: Interpretable; explainable; dynamic clustering; feature selection; spiking neural networks; spatiotemporal data; EEG data.

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1. Introduction

Spiking Neural Networks (SNNs) are computational models of biological neurons 39 that resemble the brain information proceeding mechanism through simulated neuron's 40 input and output synapses and synaptic plasticity structures [1]. SNNs are the third gen-41 eration of artificial neural networks (ANN) and compared to perceptron-type neuron, 42 they encompass the time component while accumulating the neuron's inputs and 43



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generating temporal outputs. Literature suggested that SNNs are energy efficient and 44 hardware friendly [2-5] compared to other artificial neural networks in machine learning 45 (ML) systems. They have been successfully applied to various domains for classification 46 and prediction (prognosis and diagnosis) of outcomes in temporal or spatiotemporal da-47 tasets such as classification of cognitive states using Electroencephalogram (EEG)[6-9], 48 event-related potential (ERP) [10-12], and functional Magnetic Resonance Imaging (MRI) 49 [13-16]. Several applications of SNNs are proposed in medical domain for prognostic and 50 diagnostic of diseases through modelling of bio-signals and biomedical images. For in-51 stance, SNN was used for modelling of Alzheimer disease with a high accuracy of detec-52 tion [17]. In clinical applications of ML, along with the accuracy of classification/predic-53 tion of health states, the ML explainability is also of crucial importance. This refers to the 54 degree to which an end-user (clinical practitioner) comprehends the reason of a certain 55 decision (classifier outcome). Although SNNs have shown reasonable performance in the 56 modelling of spatiotemporal brain data (STBD), they remain as black boxes where the 57 interpretation of the trained SNN models is yet limited. Therefore, new methods are re-58 quired for extracting the knowledge stored in a spiking neuron and their internal time-59 varying weights that allow to explain the model output decisions. The proposed brain-60 inspired SNN (BI-SNN) architecture NeuCube [18] allowed now to "open the black box" 61 and even to extract spatiotemporal rules [19, 20]. 62

In our previous study [21], a method for dynamic clustering in SNN was proposed 63 as a procedure of grouping neurons with respect to their spatiotemporal activities pro-64 duced while learning from streaming input data. This initiated the concept of explainabil-65 ity and interpretability in SNN model's learning behavior. In the current study, we ap-66 plied the dynamic clustering technique to differentiate SNN models while learning from 67 multiple classes of streaming STBD. Then we extracted the information stored in the SNN 68 models (dynamics of spiking activity and connection weights) and proposed new meth-69 ods to improve the model accuracy as well as explainability. The main two outcomes of 70 the current research are as follows: 71

- Detecting informative spatiotemporal variables with respect to the dynamic evolving 72 spike-driven patterns during the learning process in SNN models. This resulted in 73 improving the output prediction/classification accuracy. 74
- Extracting spatiotemporal rules of spike occurrence during the dynamic clustering, 75
 which enhanced the interpretability and explainability of SNN learning behavior. 76

The current paper is organized as follows: Section II presents a methodology that includes methods for dynamic spatiotemporal clustering, feature selection, validity measurement, and spatiotemporal Fuzzy clusters and rule extraction in SNN models; Section III applies the proposed methods to a case study of EEG and demonstrates the results of the clustering approach; and finally, section IV presents the research conclusion and future direction.

2. Materials and Methods

2.1. Method for Dynamic Spatiotemporal clustering of Streaming data in Spiking Neural Networks

This section proposes a methodology for extraction of knowledge from a BI-SNN that86combines different computational methods in a pipeline as follows:87

	1.	Spatiotemporal data encoding	88
	2.	SNN mapping and initializing	89
	3.	Unsupervised learning in SNN and simultaneously clustering the neurons.	90
	<u>4.</u>	Quantitative analysis of the dynamic clustering patterns.	91
	5.	Spatiotemporal fuzzy clustering.	92
	<mark>6.</mark>	Spatiotemporal rule extraction from SNN clustering patterns	93
	7.	Supervised learning and pattern classification.	94
The	abo	ove steps are further elaborated in the following sections.	95

First, a dynamic clustering is applied to the BI-SNN model for clustering the neurons98with respect to the similarity in their spiking activities, evoked during an incrementally99learning procedure with streaming STBD. Then, the generated spike-driven events in the100BI-SNN model are visualized and analyzed for exacting spatiotemporal rules that allowed101to better explain the SNN outputs (classification) and interpret the brain data. The applied102clustering method builds upon our previous research in [21]. Our proposed methodology103includes the following procedures:104

Data encoding: spatiotemporal data streams are encoded into spikes, which are binary values of 1 and -1 referring respectively upward and downward changes in the temporal brain data over time. Here, a threshold-dependent encoding method is employed to generate positive (excitatory) and negative (inhibitory) spikes in certain time *t*; hence, the dynamics of the data are preserved. Thus far, a variety of encoding algorithms were developed, among which some popular methods are: temporal encoding [13],[22],[23], Ben's Spikes Algorithm (BSA) [24] and Population Rank Coding [25].

Data mapping: a 3-dimensional BI-SNN model is mapped that topologically preserves the spatial information of brain data variables. Here, a brain atlas, called Talairach 113 [26], [27], is used for mapping the brain EEG data into the BI-SNN models [18]. 114

SNN model initialization: the SNN connection weights are initially established with 115 the use of small-world connectivity rule [18] which is inspired by biological sys-116 tems[28],[29]. The computational model of the spiking neurons is Leaky Integrated-and-117 Fire (LIF)[30]. In this model the membrane potential v(t) of a neuron increases with 118 every input spike at a time t, multiplied by the synaptic efficacy (strength), until it reaches 119 a certain firing threshold θ . The potential, however, decreases between the sequential 120 spikes by the leak parameter. When the firing threshold is reached, an output spike is 121 emitted, and the membrane potential is reset to an initial state. The LIF model is mathe-122 matically defined as follow: 123

$$m\frac{dv}{dt} = v_{rest} - v(t) + RI(t) \tag{1}$$

where τ_m is the membrane time constant, v_{rest} is the resting potential, *I* and *R* are the input current and the resistance, respectively.

Unsupervised learning and dynamic clustering: SNN models learn from the spati-129 otemporal interactions between the brain data variables and the model connectivity and 130 spiking activity are incrementally clustered. Here, the biologically plausible Spike-Tim-131 ing-Dependent Plasticity (STDP) learning rule [31] is employed to learn the spatiotem-132 poral patterns of input data streams. Throughout the learning procedure, the SNN con-133 nections weights are adapted, and the neurons are clustered in a continuous and incre-134 mental mode with respect to their spiking activity evoked by different input neurons 135 (cluster centers). STDP is an example of Hebbian learning rule which depends on the rel-136 ative timing of pre- and postsynaptic action potentials, defined using the following rela-137 tion: 138

$$F(\Delta t) = \begin{cases} A_{+} \exp(\Delta t/\tau_{+}) & \text{if } \Delta t < 0\\ -A_{-} \exp(-\Delta t/\tau_{-}) & \text{if } \Delta t \ge 0 \end{cases}$$
(2) 139

where $F(\Delta t)$ defines the synaptic modification elicited from a single pair of pre- and 140 postsynaptic spikes separated by a time interval $\Delta t = t_{pre} - t_{post}$. The parameters A₊ and 141 A- define the maximum quantities of synaptic modification, which transpire when $\Delta t \approx 0$. 142 The parameters τ_+ and τ_- determine the ranges of pre-to-post-synaptic inter spike intervals over which the synaptic strengthening and weakening occurs. 140

The main objective of the dynamic clustering approach is knowledge discovery in the BI-SNN models by detecting the associated spatiotemporal patterns of changes (while the spatiotemporal patterns) of changes (while the spatiotemporal patterns) of the spatial spa

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streaming input data), which are dynamically adapted through learning with respect to148the interactions between input neurons (brain data variables). This clustering is based on149unsupervised STDP learning that results in an improved interpretation and explainability150of the interactions between the data variables. The procedure of dynamic spatiotemporal151clustering in BI-SNN models is graphically shown in Fig. 1.152



Figure 1. A block diagram of the clustering of neurons in BI-SNN architecture during STDP learning 154 and the SNN pattern classification. 155

For this dynamic clustering, the cluster centers are defined in advance according to 156 the spatial positions of the brain data variables (e.g., EEG electrodes) which are mapped 157 as input neurons into the BI-SNN model. Then, during the STDP learning process, the 158 input brain data are streaming via the input neurons (clusters centers) and trigger the 159 transmission of spikes between the neurons. The greater number of spikes exchanged be-160 tween a pair of neurons i and j, the greater the connection weights (w_{ij}) becomes be-161 tween them, where w_{ij} denotes the weight specifying the connection strength. Through-162 out the clustering process, every neuron in the SNN model can be assigned to different 163 clusters with different membership values. This membership is defined according to the 164 number of spikes that a neuron receives from each of the clusters' centers (input neurons 165 which map the brain data variables, such as EEG electrodes). A neuron is assigned to a 166 cluster if it receives the greatest number of spikes from this cluster center comparing with 167 other centers. 168

In the BI-SNN model with N neurons, the input neurons are assigned to the cluster 169 centres and taken by the input data variables, while the rest of the neurons are unlabeled. 170 The objective is to assign the cluster labels to the unlabeled neurons in the BI-SNN model. 171 To this end, we used the concept of spreading activation in network theory from [32] and 172 performed as follows: 173

The neurons in the SNN model are indexed from 1 to N ascendingly with respect to 174the order of their spatial (x, y, z) coordinates. The input neurons are marked as the infor-175 mation source and defined using an $N \times v$ matrix F_{src} in which $F_{src}(i,j) = 1$ if neuron i 176 is the input neuron for variable *j*; otherwise $F_{src}(i, j) = 0$, where *N* is the number of neu-177 rons in the BI-SNN model and v is the number of input data variables (e.g. EEG varia-178 bles). While streaming spatiotemporal data, each neuron in the BI-SNN model receives a 179 different ratio of information from different input variables. The ratio of the received in-180 formation can be computed through the following procedure: 181

An affinity $N \times N$ matrix A is defined on the SNN model that displays the sum of the spikes that are exchanged between neurons i and j (i = 1, ..., N and j = 1, ..., N) via connection w_{ij} . The amount of information that are exchanged between the neurons is computed as follows: 185

$$\begin{array}{ll} A'_{ij} = A_{ij} + A_{ji} & i \neq j \\ A'_{ij} = 0 & i = j \end{array} \tag{3} \tag{3}$$

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where the element A_{ij} displays the number of spikes transmitted from neuron i to j, while A_{ji} indicates the number of spikes transmitted from neuron j to i. Since a neuron does not send a spike to itself, the entry for A_{ij} is 0 when i = j.

$$\Gamma_i = \sum_{i=1}^{N} A'_{ii}$$
 $i = 1 \text{ to } N$ (4) 191

Thus, T_i is the sum of the elements in the i^{th} row of matrix A'. Then the affinity matrix A is normalised using S = D A D, where D is an $N \times N$ diagonal matrix, where its 194 (i, i)-element is defined by $D_{ii} = \left(\frac{1}{\sqrt{T_i}}\right)$ and S is an $N \times N$ normalized matrix that encodes 195 the spike propagation in the SNN model. 196

Iterate the below equation until it converges, where α parameter is in the (0, 1) range.

$$F(t+1) = \alpha SF(t) + (1-\alpha)F_{src}$$
(5) 200

The limit of F(t) is denoted by F^* and defined as follow, where I is an identity 202 matrix and the output F^* has N rows (representing all neurons in the SNN model) and 203 v columns (representing the input variables). 204

$$F^* = \lim_{t \to \infty} F(t) = (I - \alpha S)^{-1} F_{src}$$
(6) 207

The element F^*_{ij} represents the relative information amount that a neuron *i* in the 208 BI-SNN model receives from an input neuron *j*. By computing the $arg \max_{j=1,...v} F^*_{ij}$, the 209 neurons in the SNN model are classified into different input variables. This results in clustering the neurons into *v* inputs. This procedure can be better understood as follows: 211

In an SNN model, the input information is propagated from input neurons (sources 212 of information) to other neurons. At the beginning of the STDP learning in the SNN model, 213 only the input neurons (centroids of the clusters) have received the information ($F^* =$ 214 F_{src}). When the learning procedure increments with sets of spatiotemporal streams over 215 time, the other neurons will also receive a ratio of information from one or more input 216 neurons. Therefore, neurons are being clustered with respect to the amount of information 217 that receive from each of the inputs. In such a way, neural clusters are created and evolved 218 over time in an incremental way during STDP learning. 219

The dynamic visualization of the clusters illustrates the time points in which the clusters are generated, and it shows how the clusters are altered over time. Such clusters are formed in a 3-dimensional view and have different size and shapes. The size and the creation-time of a cluster signifies the importance of the cluster center in the trained SNN model and consequently, the importance of the corresponding input variable in the data. The proposed clustering algorithm is given in Table 1. The dynamic spatiotemporal clustering algorithm at time point t of the unsupervised learning process. 220

Table 1. The dynamic spatiotemporal clustering algorithm at time point *t* of the unsupervised learning process.

Input: Input spike data *sp*, number of neurons in the SNN model *N*, number of input variables *v*, connection weights *w*[*N*, *N*], and parameter *α*, *PSP*, STDP, time t Output: A vector of labelled neurons k, vector of spik events for each cluster 1: Procedure 2: [L V] = size(sp)**Fsrc** $\in \mathbb{R}^{N \times v}$, $A \in \mathbb{R}^{N \times N}$ 3: 4: For each time point t from the input stream data Do Update w with STDP 5: 6: S = D A D $F^* = (I - \alpha S)^{-1} F_{src}$ 7: $k = arg max_{j=1,\dots v} F^*_{ij}$ 8: 9: Visualisation of the clusters

10:	Spatiotemporal rules within each cluster Do			
11:	If $PSP(t) \ge event - threshold$			
12:	Cluster fires as active event in time t.			
13:	End if			
14:	End for			
15:	Generate a set of spatiotemporal rules			
16: End of procedure				

2.2. SNN Model explainability through Dynamic Clustering Method

The dynamics of the cluster creation can be scrutinized to explore the "hidden" spa-229 tiotemporal learning patterns in SNN to enhance the explainability of the model while 230 learning from streaming data. In this study, we illustrate the proposed method on EEG 231 data recorded from 26 scalp electrodes whilst two groups of participants (Healthy control 232 group, and opiate addiction group—OP) performed an inhibition-related cognitive task 233 (called GO-NOGO). This EEG data was previously analyzed in [33]. Fig. 2 shows an ex-234 emplar visualization of the dynamic clustering in BI-SNN model while learning from in-235 put EEG data streams. This illustrates that a BI-SNN model was initially mapped using a 236 brain template (e.g., Talairach [26], [27]) and the 26 EEG electrodes were assigned as input 237 neurons (cluster centers). Then the BI-SNN was incrementally clustered by different cen-238 ters during the STDP learning with EEG samples. Based on the LIF computational model 239 [30] of the spiking neurons in BI-SNN, the neuron's postsynaptic potential (PSP) enhances 240 when a new input spike arrives in the neuron. When the PSP(t) surpasses a firing thresh-241 old at time t, the neuron releases an output spike and sends it to the rest of the neurons 242 connected to it. This process controls the spiking activity of the neurons, while the STDP 243 learning adapts their internal connection weights. 244



Figure 2. Four steps visualization of dynamic clustering in a BI-SNN model, corresponding to 26 EEG channels (recorded from 21
control subjects) during unsupervised STDP learning. The total number of time frames is 21 samples×75 EEG time points =1575 data
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points.248
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While dynamic clusters are created in SNN during the STDP learning process (an251example is shown in Fig. 2), significant dynamic patterns were associated with each cluster as follows:252

- Input spike train (s_t) to an SNN model.
- The mean of the cluster's postsynaptic potentials *PSP*, indicated by $\mu_{PSP(t)}$.
- The mean of the cluster's spiking rates, indicated by sr_t .
- The size of the cluster (number of neurons).
- The mean of the neuron's memberships (the number of spikes that received by neurons from the cluster center). 258

These patterns can be used to detect informative spatiotemporal EEG variables that260demonstrate significant discrimination between samples from different classes (e.g., Con-261trol and OP). In Fig. 3, examples of these five dynamic patterns (from one randomly se-262lected EEG variable in Fig. 2) are shown.263

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- Among these five patterns of the cluster evolution, we further investigated the 264 *PSP(t)* patterns using the following techniques: 265
- Local maximum $P_{max}(t)$: the maximum value of the PSP(t) was measured for each 266 data sample. 267
- The area under a curve: this is computed from the PSP(t) of each data sample defined by $\int_{1}^{l} P(t) dt$, where l is the length of each sample (time points). 269
- Mid of potential: this is an average of the min value and max value in the *PSP(t)*, 270 measured through (max + min) /2.



Figure 3. Examples of the five dynamic patterns: (s_t) , $(\mu_{PSP(t)})$, (sr_t) , the cluster size, and the neurons memberships of one cluster (for EEG channel T4) corresponding to a time-window of 75 time points for 5 samples from the control group 274

2.3. Spatiotemporal Fuzzy Clusters in SNN Models

Hitherto, the paper presented that every cluster in the BI-SNN evolves dynamically 276 during the STDP learning. At each time point t of the STDP, every cluster is demon-277 strated as a crisp cluster which means its members (neurons) belong only to one cluster 278 center at each time t and no neuron is shared between the clusters. However, in the next 279 time point of the STDP learning, a cluster may lose some of its members (neurons) and 280 scale down or it may involve more neurons and scale up in size. Therefore, some neurons 281 that belonged to a certain cluster at the previous state of the network, may move to a new 282 cluster at the current state and keep exchanging between the clusters in the following 283 timepoints. When the STDP learning is completed, those neurons that were exchanged 284 between the adjacent clusters during the learning process were identified as the shared 285 spatial areas of neurons (boundaries) between the clusters (brain regions). Any pair of 286 clusters that have wider boundary of the shared neurons, suggest a stronger spatiotem-287 poral interaction over time. This is experimentally illustrated in Section 3.3. 288

2.4. Enhancing the SNN Explainability through Spatiotemporal Spike Rule Extraction

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During the dynamic spatiotemporal clustering in SNN, the clusters are evolving in 290 time. Here, a spatiotemporal rule extraction method is proposed to detect specific patterns 291 of spatiotemporal spike events occurred inside the clusters at specific space and time. This 292 led to define different spatiotemporal rules $R_{i=\{1,2,\dots,k\}}$ for the SNN models trained with 293 different classes of data, where k is the number of classes (in this case, 2 classes – control 294 and OP). The spatiotemporal rules are described with respect to the spike events that oc-295 curred in spatial locations (cluster $c = \{1, ..., l\}$) at certain times. Each spatial location is 296 defined as a cluster of spiking neurons and acts as a binary unit depending on its activa-297 tion level. The level of activation for each cluster is identified by a spike-emitting-thresh-298 old l, applied to the PSP patterns (demonstrated in Section 3.4). If the PSP pattern of 299 cluster *c* at time *t* exceeds the ℓ threshold, then this cluster is recognised as an active 300 cluster that produces a spike at t. The spike-event sequence of each cluster c at time t is 301 denoted by $c_i(t)$ and described as follows: 302

$$c_i(t) = \begin{cases} 1 & PSP(t) \ge \ell \\ 0 & otherwise \end{cases}, \quad t = 1:T, i = 1:l$$

$$(7) \quad 304$$

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where T is the temporal length of PSP pattern of each cluster c, while l refers the 306 number of clusters (in this case, the number of EEG variables). 307

A spatiotemporal rule R_i shows a trajectory of set of actions (denoted by A) from the 308 $c_i(t)$ that occurred at different spatial positions and times. An action A happens in cluster 309 c when there is a series of spike events ($c_i(\mathcal{L}) > 0$) that occurred sequentially during a 310 specific time-interval \mathcal{L} and is associated with an order of time *ord*. This means multiple 311 actions can occurred in the same spatial location, but with different time orders. An action 312 A and a symbolic representation of the rule R_i are described as follows: 313

$$A = \langle c_i(\mathcal{L}) > 0, ord \rangle \tag{8} 315$$

$$R_i = IF A_1 AND A_2 AND \dots AND A_n THEN \ Output = output_i$$
(9) 317

The procedure for detecting the temporal orders in which spikes actions occurred in each cluster is demonstrated in Table 2. 318

 Table 2. Algorithm for defining the order of the time interval when spike actions A are detected
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Inputs:Cluster c, Number of clusters l, PSP timeseries, PSP temporal length T, Spike-events in clusters $c_i(t)$ and spike time-interval \mathcal{L}

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Outputs: Rules R = (A, ord) as set of Action A and time orders
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Procidure:
For $c = 1 \text{ to } l$ //for all the clusters
Baseline ←1
While (Baseline $< T - \mathcal{L}$)
If (Length of $\{c_i(\text{Baseline: Baseline} + \mathcal{L}) > 0\}$ equal to \mathcal{L}) //sequential \mathcal{L} number of spikes
Action (c, Baseline) ←A
End If
Baseline \leftarrow Baseline + 1
End while
End For
Print sets of Actions as Rules
For c=1 to l
<mark>Ord← 1</mark>
For $t=1$ to T
If $Actions(c, t) = A$
$R(ord) \leftarrow Actions(c,t)$
$\operatorname{Ord} \leftarrow ord + 1$
End For

End For <mark>End of Procedure</mark>

2.5. Validity Measurement of the SNN Clustering

This section evaluates the dynamic spatiotemporal clustering through measuring 323 how a cluster's member (neuron) is fit into its own cluster compared to other clusters. 324 Since there was no class label information at the STDP unsupervised learning phase in the 325 SNN model, here we employed an internal measurement technique, called Silhouette coefficient validity method. This validity measurement is based on the "cohesion and separation" concept [34], [35] graphically shown in Fig. 4 for two adjacent clusters extracted from the SNN models from Fig. 3. 329

Cohesion measures how similar the members (neurons in this case) are within a clus-330 ter, whereas separation defines how distinctive and well-separated a cluster is from other 331 clusters. For clustering validation, the objective is to maximize the cohesion metric while 332 minimizing the separation metric. Here, the cluster cohesion is defined with respect to the 333 average of the connection weights between the internal neurons of a cluster in the SNN 334 model. On the other hand, the average of the connection weights between neurons of a 335 cluster and neurons of a neighboring cluster describes the cluster separation. A neuronal 336 cluster in an SNN model is valid if its cohesion metric is higher than the total of all the 337 separation metric within its neighborhood. 338



Figure 4. Two clusters of neurons in an SNN model were generated, each of which was associated340with one EEG variable acting as a cluster center (input feature allocated to an input neuron). Cohe-341sion measures how related the neurons are in a cluster through averaging the connection weights342in the cluster, while separation measures how distinct a cluster is from other clusters through aver-343aging the connection weights between the clusters.344

The Silhouette validates the homogeneity within clusters through including both cohesion and separation to assess how close a neuron is to its own cluster center (cohesion) 346 compared to other clusters (separation). For each neuron i within a cluster, value x(i) is 347 the average cohesion of i to all other neurons in the same cluster. It shows how well i is 348 assigned to its own cluster, so that a larger value refers to a more appropriate assignment. 349 On the other hand, value y(i) is the average separation between a neuron i and other 350 neurons in a neighbouring cluster. 347

$$s(i) = \frac{x(i) - y(i)}{\max\{y(i), x(i)\}}$$
(10) 353

The silhouette value is agreed to be in an interval of $-1 \le s(i) \le 1$, and a value closer 355 to 1 implies that the neuron is well-matched to its own cluster. If most of the neurons have 356 a high silhouette value, then the clustering configuration is valid. Fig. 5 shows the Silhouette method exemplified using two adjacent clusters. In an SNN model with *N* number 358 of spiking neurons and a set of input neurons $\gamma = \{1, ..., c\}$, the clustering method is 359

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performed on a normalized affinity matrix which encoded the $N \times N$ information of the 360 SNN connection weights. Through the clustering, every neuron i is clustered into an in-361 put neuron γ (cluster centre) with respect to the propagation number of spikes which is 362 relative to the connection weight between neuron *i* and the center γ . The F_{iv} reveals the 363 relative number of spikes that a neuron *i* receives from each input neuron γ and it de-364 fines the membership value of i to each cluster centre. Within a cluster, when neuron i365 is connected to m neurons, the average of the connection weights between i and all m366 neurons define the cohesion of i to its cluster. This cohesion is multiplied by the mem-367 bership value of neuron *i* to its cluster center as follow: 368

$$\mathbf{x}(\mathbf{i}) = \frac{\sum_{j=1}^{m} \mathbf{w}_{ij}}{\mathbf{m}} \times \mathbf{F}_{i\gamma}$$
(11) 369

In contrast, value y(i) is the average separation between neuron i and k numbers of 371 connected neurons from the f numbers of neighboring clusters as follows: 372

$$y(i) = \frac{\sum_{n=1}^{f} \frac{\sum_{j=1}^{k} w_{ij}}{k} F_{i\gamma}}{f}, \quad \gamma = f$$
(12) 374



Figure 5. Silhouette method exemplified on two clusters.

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3. Results: Dynamic SNN Clustering of EEG Data, Spatiotemporal Rule Extraction and Feature Selection

The spatiotemporal clustering was applied to an EEG dataset that was recorded us-380 ing a QuickCap (Neuroscan 4.3). The 26 electrodes include Fp1, Fp2, Fz, F3, F4, F7, F8, Cz, 381 C3, C4, CP3, CPz, CP4, FC3, FCz, FC4, T3, T4, T5, T6, Pz, P3, P4, O1, O2, and Oz (10–20 382 International System). EEG data were recorded at the University of Auckland; New Zea-383 land and the ethical approval was granted by the "Northern X Regional Ethics Committee 384 of New Zealand". The informed consent was given by all participants. Horizontal eye 385 movements were recorded with electrodes placed 1.5 cm laterally to the outer canthus of 386 each eye. Vertical eye movements were recorded with electrodes placed 3 mm above the 387 middle of the left eyebrow and 1.5 cm below the middle of the left bottom eyelid. EEG 388 data were screened visually for artifacts1, normal variants and changes in alertness (the 389 technician screening these data was blinded to group status). To reduce muscle artefacts 390 in the EEG signal, the participants were instructed to assume a comfortable position and 391 avoid movement during recording. Electrical impedance was always $<5 \text{ K}\Omega$. During the 392 recording process, participants were asked to complete a cognitive task called GO-NOGO 393 [33]. The EEG data recorded from 21 Healthy control subjects and 18 Opiate users (OP) 394 were used in the present experiment. 395

¹ Artifacts are signals recorded by EEG but not generated by the brain.

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3.1. Dynamic Spatiotemporal Clustering in SNN while Streaming EEG Data

Fig. 6 illustrates the creation of dynamic clusters over time while two separate SNN 397 models are learning from the input EEG data streams of control and OP groups, respec-398 tively. The clustering procedure is started from initial SNN models (Fig. 6 left cubes), 399 where the input neurons are assigned to the EEG electrodes (cluster centers) for transmit-400 ting the input spikes into the models. Then, the SNN models were evolved dynamically, 401 every time a new EEG data time point was entered to the SNN models for learning. In Fig. 402 6, an example of only three timeframes of the cluster's evolution is visualized; however, 403 the cluster procedure was continued for the whole EEG time intervals. Here, the spatio-404 temporal clusters were formed and updated with every new input EEG time point en-405 tered, frame by frame. The reason that different time frames are visualized in Fig. 6 is due 406 to the time differences in cluster creation across the subject groups with respect to their 407 EEG data. Once new clusters were appeared during unsupervised STDP learning, a new 408 frame of the clustered SNN was captured to display the stepwise changes in the cluster 409 evolution. Fig. 7 reports how the size of the clusters in SNN models of control and OP 410 groups changed during the STDP learning with the whole-time interval of EEG data. 411





Figure 6. Three snapshots of the dynamic cluster creation process over time during the STDP learning in the SNN models of Control 416 (in the upper row) and OP (in the lower row).

3.2. Feature Selection through Modelling Dynamic Clustering Patterns in SNN

This section illustrates explainability of the SNN models and investigates the 419 knowledge stored in the SNN models through analyzing the trends of clusters creation. 420 The PSP(t) time series were analyzed to reveal how the SNN-based dynamic clustering 421 could be useful to discriminate the EEG data samples across different classes. Here, the 422 dynamic PSP(t) patterns were captured for all the 26 clusters during the STDP learning 423 process in SNN models with EEG data of two classes of participants (control subjects and 424 opiate addicts). Fig. 8 depicts an example of dynamic PSP(t) visualisation for only 10 425

clusters (related to 10 EEG electrode) in control and OP groups. These *PST* patterns were 426 investigated through computing the peak of potential – $P_{max}(t)$ (shown in Fig. 9), area 427 under curve (Fig. 10), and midrange of potential (Fig. 11). Fig. 9 shows that for each EEG 428 sample, the peak of potential – $P_{max}(t)$ is plotted as a dot at time *t*. This potentially separates the samples across the classes with different degree of discrimination in the EEG 430 features with t - value > 0.05.

To identify how the dynamic clusters reveal significant differences between the clas-432 ses (control and OP), a statistical t-test measure was applied to the plots in Figs 9 to 11. 433 The t-test results are reported in Table 3, where the mutual top 8 EEG variables refer to 434 the potential discriminative variables to precisely EEG samples to class control and class 435 OP. These variables are 17, 14, 21, 22, 6, 12, 5 and 23 which respectively correspond to EEG 436 electrodes CPz, C4, P4, Pz, F4, C3, T6, and Fz. Then, a SNN-based classification experiment 437 was designed to classify the EEG samples to control and OP groups when using these top 438 8 variables. 439

The classification task is based on dynamic evolving SNN [36] classifier (deSNN) and 440leave-one-out cross validation method. To this end, after the unsupervised STDP learning 441 was completed, a supervised learning was conducted to learn the relationships between 442 the class labels and the training EEG samples. For every EEG sample that was used pre-443 viously for unsupervised learning in the BI-SNN, one neuron is created on the output 444 layer and connected to the neurons in the trained model. The connections between the 445 SNN neurons and output layer neurons are initialized using Rank-order rule [37]. After 446 establishing the initial connection weights, the same EEG data that were used at unsuper-447 vised learning phase are used to train the SNN mode at a supervised mode. The neuron 448 post-synaptic potential PSP of neuron i at time t connected to neuron i in the SNN space, 449 is calculated as follow: 450

PSP(i,t) =	$= \sum mod^{order(i)} W_{ii}$	(13)
		(10)

where *mod* is a modulation factor (a parameter between 0 and 1) and *order(i)* is the 453 time order of the following spikes to the connection between neurons *i* and *j*. Through this 454 learning rule, the first spike that arrives at the output neuron *j* will have the highest value. 455 Then, the connection weight W_{ii} will be further modified according to the spike driven 456 synaptic plasticity learning rule using a drift parameter, which is used to modify W_{ii} to 457 take into account the occurrence of the following spikes at neuron i at time t, denoted 458 by $spike_i(t)$, i.e. if there is a spike arriving from neuron *i* at time *t* after the first one was 459 emitted, the connection weight increases by a small drift value; otherwise, it decreases by 460 drift. 461

Then the trained SNN model is tested with every EEG sample to classify the individuals into OP and Control groups. We performed a comparative analysis by classifying the EEG data using conventional ML methods including Support Vector Machine (SVM), Multilayer Perceptron (MLP), Multilayer Regression (MLR) and Evolving Clustering Method (ECM). Table 4 reports that the accuracy of classification is higher when using the top 8 EEG features than all the 26 variables, in all the experiments [33]. 467







Figure 8. The dynamic patterns of the mean of PSP rates (an example of 4 clusters corresponding to Fp1, Fp2, F7, and F3 variables) during the learning process with EEG samples from classes control (in red) and class OP (in blue).



Figure 9. The local maximum of the potential $P_{max}(t)$ for four clusters (corresponding to Fp1, Fp2, F7, and F3 variables) that are plotted as dots in time t for all the EEG samples in two class control (red) and class OP (blue). The $P_{max}(t)$ values can show the level of difference between the two classes (Control and OP) in the EEG variables with *p*-value<0.05 (measured by a t-test). The EEG variables with high p-value are not statistically significant.









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Figure 10. The area under curve of PSP rates for 26 clusters for all the samples in class control (red) and class OP (blue). Discriminative483patterns between class control and class OP have been observed in EEG variables with small *p*-value (measured by a t-test).484



Figure 11. The midrange of the PSP rates corresponding to 26 clusters for all samples in control (red) class and OP (blue) class. The486midrange values show discriminative patterns between samples that belong to class control versus samples that belong to class OP487in variables with small *p*-value (measured by a t-test).488

Table 3. A t-test measure was applied to the P_{max} (left), the area under the curve of PSP (middle)489and the midrange of the PSP (right) to identify how two classes control and OP are statistically490significant. EEG channel 17 has the lowest *p*-value, representing the highest discriminative power491between the samples from different classes.492

$P_{max}(t)$			Area under curve			Midrange of the PSP		
P-Value	EEG channel	Channel index	P-Value	EEG channel	Channel index	P-Value	EEG channel	Channel index
2.41E-11	CPz	17	1.21E-11	CPz	17	-1E-11	CPz	17
2.38E-09	C4	14	1.37E-08	C4	14	8.4E-09	C4	14
4.78E-09	Pz	21	2.4E-08	P4	22	1.7E-08	Pz	21
9.93E-09	P4	22	1.8E-07	Pz	21	4.9E-08	P4	22
0.00001	F4	6	7.3E-06	F4	6	2.2E-06	F4	6
0.00008	C3	12	3.9E-05	C3	12	8.2E-05	C3	12
0.00008	Fz	5	0.0007	T6	23	0.0001	Fz	5
0.00019	T6	23	0.0025	Fz	5	0.0003	T6	23

To evaluate the validity of the created clusters, the average of the Silhouette coefficients (Equation 10) was measured in every cluster as shown in Fig. 12. The graph shows that all the average Silhouette values are positive and very close to 1 which represents a high goodness value for the clusters.

Table 4. The classification accuracy between EEG samples in control and OP obtained when using498all EEG variables versus using the 8 top-informative variables selected with the use of the proposed499dynamic spatiotemporal clustering method.500

Methods	SNN	SVM	MLP	MLR	ECM
26 variables (reported in [33])	85.00	68.00	78.00	68.00	70.00
8 selected variables (feature selection)	92.00	70.00	80.00	72.00	78.00

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Figure 12. Validity measurement of the clusters generated in the SNN models of EEG data with 26 channels from Healthy control (red bar) and Op group (blue bar). The Silhouette value was measured for every neuron in a cluster. Then the Silhouette values were averaged over all the neurons in a cluster and represented as a validity metric for this cluster.

3.3. Spatiotemporal Fuzzy Clusters in SNN Models of EEG from Control and OP Groups

This section illustrates fuzzy clusters in BI-SNN that led to improvement of the ex-507 plainability of the trained models with different classes. This is to demonstrate how dif-508 ferent neural clusters in the BI-SNN model were interacting during the STDP learning 509 with EEG data of control vs OP groups. Here, we detected those neurons that changed 510 their membership between clusters at different time points of the STDP learning. These 511 areas are fuzzy clusters that include neurons which changed their membership from one 512 cluster to another cluster over time based on their updated membership values. It repre-513 sents a notion of functional interactions between EEG electrodes across the groups. Fig. 514 13 visualizes the areas of shared neurons between 5 pairs of randomly selected EEG chan-515 nels. These boundaries show the intersection areas between every two adjacent crisp clus-516 ters (centered by EEG variables), shown as fuzzy clusters. Detection of these boundaries 517 allows to discover new knowledge from the SNN learning patterns and enhances the 518 model explainability, so that and end-user can better interpret the spatiotemporal interac-519 tions between EEG variables that resulted in classifying EEG samples to control or OP 520 groups. Therefore, the decision made by the SNN models can be explained and inter-521 preted. For example, it can be seen from Fig.13 (b) that for OP group, the only shared area 522 of neurons among these 5 EEG channels is observed between Fp2 and F8 channels and 523 this boundary is significantly smaller than the captured boundaries in control subjects. 524

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Figure 13. The fuzzy neural clusters (shared boundaries between clusters) captured after the unsupervised STDP learning in SNN528models of (a) control group and (b) OP group. (c-d), the biggest fuzzy cluster in control group has a size of 59 neurons, generated529between P4 and T6 channels; while the biggest fuzzy cluster in OP group has a size of 70 neurons, generated between C4 and T4530channels.531

3.4. Capturing Spatiotemporal Spike Events during unsupervised learning in SNN models

Thus far, we demonstrated that the BI-SNN models of EEG data created dynamic 533 clusters as polygons which evolved in time and continuously changed their size and 534 shape. In this section, we further analyzed the patterns of dynamic clusters to discover 535 rules for spatiotemporal spike events that occurred together in both space and time during 536 the cluster's creation for different classes (control vs OP groups). 537

The spatiotemporal rules lead to improve the explainability of the SNN models of 538 brain data and the underpinning cognitive functions. To detect the spatiotemporal spike 539 events in each dynamic cluster, we applied a spike-emitting threshold ℓ to the PSP pat-540 terns (plotted in Fig. 8). If the PSP pattern of cluster *i* at time *t* exceeds the ℓ threshold, 541 then this cluster is recognised as an activated cluster and produces a spike at t. This is 542 applied to all the PSP patterns of 26 clusters for both control and OP groups (depicted in 543 Fig. 14). This resulted in forming sequences of spike events that occurred at a certain spa-544 tial position (neural cluster corresponds to specific EEG electrode) at different time points. 545 The occurrence of spike events in different classes can be defined by spatiotemporal rules 546 to explain the difference in the interactions between EEG channels. 547



Figure 14. The spatiotemporal spike events (shown in blue bars) are extracted from the PSP patterns (shown in Fig 8) to demonstrate (when) and (where) the neural spike events (denoted as action A) occurred in different groups (control in (a) and OP in (b)). These spikes are events that occurred at different spatial brain regions (neural clusters around EEG channels) and at different times during the STDP learning process with EEG data. In each cluster, the spike events correspond to significant changes in the values of PSP pattern that exceed the spike-emitting-threshold. This allows to investigate which areas of the brain were activated at what time for Control vs OP groups. The red boxes illustrate the spike-event actions, described in Section 3.4.

As it can be seen from Fig. 14, the extracted patterns/events from the SNN, improve 557 the model explainability by demonstrating where (space) and when (time) a trajectory of 558 frequent behaviors (spike event actions) take place in the models of brain data from the 559

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addictive group versus control group. Such spatiotemporal patterns may occur in distinct 560 brain regions at certain times, and they can be represented as a set of spatiotemporal rules. 561 The knowledge extracted by OP group can be compared with control group to reveal the 562 affected brain areas and functions by addiction. For example, this can be seen from Fig. 14 563 that the SNN models produced a greater number of spike-event actions (shown in red 564 boxes) over time in several spatial positions including FP2, F3, F7, and Oz in OP group 565 than the control group. Two symbolic representations of the rules for control group (R_1) 566 and OP group (R_2) are defined as follows, where *ordi* (i = 1, 2, ...) defines the order of 567 the time interval when maximum events are detected: 568

	569
R_1 : IF {CP4, ord ₁ } AND {T3, ord ₂ }	570
AND $\{Cz, ord_3\}$ AND $\{Fp2, ord_4 ord_5\}$	571
AND $\{Fpz, ord_6\}$	572
$THEN \ Output = 1$	573
	574
R_2 : IF { Oz, ord_1 } AND { $Cp4, ord_2$ }	575
AND {Oz , ord ₃ } AND { Fp2 F3 F7 Cpz O2 , ord ₄ }	576
AND { Oz , ord_5 }AND { $F3 Cz T4$, ord_6 }	577
AND { 02 , ord_7 }AND { $F7$, ord_8 }	578
AND { $Fp2$, ord_9 }AND { $Fp2$ F3 , ord_{10} }	579
AND { $F3$, ord_{11} ord_{12} ord_{13} } AND { $Fp2$, ord_{14} }	580
$THEN \ Output = 2$	581
	582

4. Conclusion and Future Directions

The paper proposes a methodology for deep learning of dynamic spatiotemporal pat-584 tern and knowledge discovery and improved explainability of spiking neural networks 585 by modelling the dynamic patterns created during unsupervised learning with streaming 586 spatiotemporal EEG data. The methodology, applied on a BI-SNN architecture exempli-587 fied by NeuCube[18], includes procedures for: (1) encoding of the spatiotemporal stream-588 ing data into spike sequences; (2) unsupervised learning of the spike sequences in a 3D 589 SNN architecture by creating connections between the neurons; (3) creating dynamic 590 evolving clusters of neurons around the input neurons based on the neuronal spiking ac-591 tivities; (4) continuous validity measurement of the spatiotemporal clusters over the time 592 of their evolution; (5) dynamic visualization of the evolving clusters over time; (6) dy-593 namic feature evaluation; (7) quantitative analysis of the SNN learning patterns; (8) im-594 proved classification accuracy, (9) fuzzy clusters, and (10) spatiotemporal rule extractions 595 in SNN model. 596

In this research, the methodology was illustrated on EEG data of two classes of hu-597 man subjects in relation to their history of substance use. An assessment of the spatiotem-598 poral clustering patterns of EEG data has led to the detection of important discriminative 599 EEG features in the SNN models. Hence, using only the selected features (by the propose 600 clustering method) for a classification task, an average of 10% increase in accuracy has 601 been achieved. The clustering approach allowed to scrutinize the learning patterns in the 602 recurrent SNN models. The findings demonstrated that SNN models are no more acting 603 as black-box information processing systems. The proposed system is a generic cognitive 604 data analytics framework, applicable to various spatiotemporal data including brain data 605 and offers a better understanding of the dynamics of streaming data as well as explaina-606 bility of the models. 607

For further development of the proposed clustering approach, we aim to enhance it 608 towards early prediction of patterns during unsupervised learning in SNN models. To 609 this aim, the dynamics of the SNN clusters need to be mathematically modelled using 610 differential equations. Consequently, using only a spatiotemporal chunk of streaming 611 data, the next sequential activated areas in the SNN models can be potentially predicted 612

by the proposed clustering technique. This method also needs to be generalized for other 613 types of spatiotemporal data, including environmental data, seismic data, and so forth. 614 The proposed spatiotemporal rules extracted from the dynamic clustering patterns need 615 to be further studied to identify the importance of different areas of neurons in SNN [18, 616 20]. This can be used to detect abstractions from SNN models for a further development 617 of deep learning in SNN architecture. Therefore, the achieved knowledge discovery in 618 SNN models is a significant contribution to explainable machine learning and open AI 619 systems. 620

The proposed clustering method is a generic approach, tested in this study on an EEG 621 dataset as an example, but this can be applied to any kinds of spatiotemporal brain data 622 to extract rules in relation to different cognitive states, such as depression, dementia, and stroke. 624

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