

1 **Numerical Simulations of Melt-Driven Double-Diffusive Fluxes in a**
2 **Turbulent Boundary Layer beneath an Ice Shelf**

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ABSTRACT

19 The transport of heat and salt through turbulent ice shelf-ocean boundary
20 layers is a large source of uncertainty within ocean models of ice shelf cavi-
21 ties. This study uses small-scale, high resolution, 3D numerical simulations
22 to model an idealised boundary layer beneath a melting ice shelf to investi-
23 gate the influence of ambient turbulence on double-diffusive convection (i.e.
24 convection driven by the difference in diffusivities between salinity and tem-
25 perature). Isotropic turbulence is forced throughout the simulations and the
26 temperature and salinity are initialised with homogeneous values similar to
27 observations. The initial temperature and the strength of forced turbulence
28 are varied as controlling parameters within an oceanographically relevant pa-
29 rameter space. Two contrasting regimes are identified. In one regime double-
30 diffusive convection dominates, and in the other convection is inhibited by
31 the forced turbulence. The convective regime occurs for high temperatures
32 and low turbulence levels, where it is long-lived and affects the flow, melt rate
33 and melt pattern. A criterion for identifying convection in terms of the temper-
34 ature and salinity profiles, and the turbulent dissipation rate, is proposed. This
35 criterion may be applied to observations and theoretical models to quantify
36 the effect of double-diffusive convection on ice shelf melt rates.

37 **1. Introduction**

38 Ice shelves are the floating extensions of ice sheets, found around Antarctica and Greenland.
39 Regional ocean models of the cavities beneath them are often used to help predict the response of
40 ice shelves to various oceanographic forcings (Holland et al. 2010). To calculate the response of
41 the ice shelf to a given ocean state, the turbulent boundary layer in the upper tens of metres must
42 be parameterised. The parameterisation commonly used in ice shelf cavity models was developed
43 based on observations under sea ice (McPhee et al. 1987), and then adapted for the under ice-shelf
44 environment (Holland and Jenkins 1999). Observations necessary for parameterisation validation
45 were previously minimal. However, ice shelf borehole measurements have recently increased in
46 quantity and quality (Davis and Nicholls 2019; Kimura et al. 2015; Begeman et al. 2018; Jenkins
47 et al. 2010).

48 Davis and Nicholls (2019) analysed turbulence measurements made beneath the Larsen C Ice
49 Shelf. Temperatures within the cold-water cavity were measured as $-2.01 \pm 0.05^{\circ}\text{C}$ at about
50 2.6 m below the ice. Davis and Nicholls (2019) found their observations were consistent with
51 the Holland and Jenkins (1999) parameterisation, which assumes a shear-driven boundary layer,
52 where stratification due to basal melting has a minimal effect.

53 The effects of stratification on turbulence within the ocean boundary layer beneath an ice shelf
54 were examined using a Large Eddy Simulations (LES) in Vreugdenhil and Taylor (2019). They
55 considered a steady flow past a dynamically melting boundary and found that, under strongly strat-
56 ified conditions, shear-driven turbulence was reduced and even damped out. The parameterisation
57 of MCPhee et al. (1987) is based on similar arguments and stratification acts to damp turbulence.
58 Holland and Jenkins (1999) argued that stratification effects in the MCPhee et al. (1987) parame-
59 terisation have a minimal impact on cold cavity ice shelves, and so need not be included within

60 regional ice cavity models. However, the work of Vreugdenhil and Taylor (2019) suggested that
61 stratification effects may be important even for relatively cold far-field temperatures, especially
62 if the shear turbulence is weak. Many ice shelf cavities, including those that are losing ice mass
63 at the fastest rates (Rignot et al. 2013), are warm water cavities. Here the McPhee et al. (1987)
64 parameterisation, and LES (Vreugdenhil and Taylor 2019), suggest stratification plays a dominant
65 role in the transport of heat and salt through the boundary layer.

66 Certain observations cannot be explained by the damping effect of stratification. Borehole obser-
67 vations made on the George VI Ice Shelf (Venables et al. 2014; Kimura et al. 2015), found layers
68 (or ‘thermohaline staircases’) in the temperature and salinity profiles adjacent to the ice. Although
69 layers can form in fluids with a single stratifying component (Phillips 1972), Kimura et al. (2015)
70 argued that the staircases observed beneath George VI Ice Shelf are associated with the difference
71 between the molecular diffusivities of temperature and salinity. Thermohaline staircases can form
72 when one scalar is unstably stratified whilst the other is stably stratified (Radko 2013), and here the
73 melting ice provides a stable salinity profile and an unstable temperature profile. This configura-
74 tion is called the ‘Diffusive Convection Favourable’ regime (when the unstable stratifying element
75 is salinity, the regime is called ‘Salt Fingering Favourable’). Staircases are a common signature
76 of convection triggered by the difference in diffusivities, however double-diffusive convection
77 may occur without staircase formation. In double-diffusive convection, on average turbulence is
78 generated through the release of potential energy, despite the density increasing with depth. The
79 parameterisation of McPhee et al. (1987) assumes the role of the diffusive buoyancy flux at a melt-
80 ing ice base is to create a stratification that damps turbulence, so the parameterisation will not
81 apply well if turbulent production is dominated by double-diffusive convection. The Kimura et al.
82 (2015) hypothesis was that double-diffusive convection is forced at the ice base, leading to the
83 signature staircases below, and we are primarily concerned with investigating this mechanism.

84 Kimura et al. (2015) compared the under-ice shelf regime to the laboratory experiment of Martin
85 and Kauffman (1977). In this experiment a block of ice was floated atop a box of salt-water ($0\text{ }^{\circ}\text{C}$
86 and 37.6 ppt salinity). Convection was observed throughout the box that persisted for the length of
87 the experiment (two days). The diffusivity of heat is two orders of magnitude larger than the diffu-
88 sivity of salt, so a diffusing thermal sublayer will thicken faster than a salt sublayer. In the Martin
89 and Kauffman (1977) experiments the density was dominated by the cooled temperature profile
90 beneath the salt boundary layer, causing a peak in density that triggered convection. The velocity
91 field was not examined in these experiments, however a numerical study of a melting boundary
92 was conducted by Keitzl et al. (2016), where similar convection was observed. The Keitzl et al.
93 (2016) simulations showed convective plumes descending from a region immediately below the
94 salt boundary layer, although here the far-field temperatures were larger, varying between $10\text{ }^{\circ}\text{C}$
95 and $24\text{ }^{\circ}\text{C}$. Martin and Kauffman (1977) did not observe staircase formation, however their ex-
96 perimental set-up had no ambient stratification. Turner (1968) observed the progressive formation
97 of staircases when heating a stable salt stratification from below, suggesting staircases may form
98 in a stable stratification when double-diffusive convection is forced by a destabilising flux at the
99 boundary. Kimura et al. (2015) argued that diffusive boundary fluxes as in Martin and Kauffman
100 (1977) and a stable stratification as in Turner (1968) led to the staircases observed beneath George
101 VI Ice Shelf. Following Martin and Kauffman (1977) we will not consider staircase formation, and
102 instead we will seek to understand the response of ice-triggered convection to turbulent mixing.

103 The experiments and observations described above suggest that double diffusion is potentially
104 important beneath ice shelves. However, it is not clear how double-diffusive convection will inter-
105 act with turbulence occurring within an ice shelf-ocean boundary layer. In steady state it can be
106 shown (using the three equation model in Section 2b) that double-diffusive convection implies a
107 fresh salinity sublayer (below $\sim 4\text{ ppt}$), which was not observed by Kimura et al. (2015) or Martin

108 and Kauffman (1977), implying the observed double-diffusive convection was transient. Never-
109 theless, the convection in the experiment of Martin and Kauffman (1977) was long lived, with a
110 salinity sublayer growing on the diffusive time scale for salinity, thickening by 1 cm in around
111 20 hrs. Gade (1979) noted that by agitating crushed ice within salty water, one could inhibit
112 double-diffusive convection, which otherwise caused the melt water to sink. Gade (1979) argued
113 that convection was inhibited by the low-salinity boundary layer being mixed into the interior,
114 where it had a dominant contribution to the density. The inhibition of double-diffusive convection
115 by turbulence has also been observed in the ocean (Shibley and Timmermans 2019; Guthrie et al.
116 2013) and in laboratory experiments (Crapper 1976).

117 Although the observations from George IV Ice Shelf reported by Kimura et al. (2015) showed
118 clear evidence for double-diffusive convection, Venables et al. (2014) noted that some turbulent
119 shear profiles taken beneath George VI Ice Shelf showed low dissipation values concurrent with
120 double-diffusive staircases, while others showed no staircases and high dissipation values. One
121 hypothesis is that double-diffusive convection is suppressed when turbulence exceeds a critical
122 threshold. Inspired by these observations, we will test this hypothesis and investigate double-
123 diffusive convection forced by heat and salt fluxes at the ice boundary in the presence of ambient
124 turbulence.

125 To investigate double-diffusion within an adjusting ice shelf-ocean boundary layer we use ide-
126 alised, high-resolution numerical simulations, inspired by field observations. We force ambient
127 turbulence to reach a target dissipation rate similar to measurements beneath George VI Ice Shelf
128 (Venables et al. 2014), then consider the evolution of a dynamically melting boundary under a
129 homogeneous initial condition for temperature and salinity. We vary the far-field temperature and
130 forced dissipation rate across simulations as controlling parameters. The initial condition of uni-

131 form scalars is not designed to capture staircase formation, and the focus instead is the interaction
132 between turbulence and double diffusion near the ice base.

133 Double-diffusive convection will be distinguished from ‘stratified turbulence’ in this paper using
134 the turbulent vertical buoyancy flux, defined as $\langle w'b' \rangle$, i.e. the correlation between the fluctuating
135 vertical velocity w' , where angle brackets denote a horizontal average and primes are departures
136 from this average, and the fluctuating buoyancy $b' = g\alpha T' - g\beta S'$ for g the gravitational accel-
137 eration, T the temperature, S the salinity and (α, β) constant coefficients of thermal expansion
138 and haline contraction, respectively. The buoyancy flux determines the energetic contribution of
139 the buoyancy field (through potential energy) to the turbulent kinetic energy (TKE) as described
140 in Section 2c. Negative values imply that the buoyancy flux acts as a sink of TKE, and positive
141 values imply the buoyancy flux increases the TKE. If double-diffusive convection is the dominant
142 mechanism we expect a positive buoyancy flux ($\langle w'b' \rangle > 0$), otherwise stratification will dampen
143 turbulence on average ($\langle w'b' \rangle < 0$). However, turbulent buoyancy flux is a noisy measure of en-
144 ergy exchange, that may locally change sign, as it depends on advection. This motivates dividing
145 potential energy into two parts; an ‘available’ potential energy (APE), that exchanges energy back
146 and forth with the TKE via $\langle w'b' \rangle$ (advection); and a ‘background’ potential energy (BPE) that
147 exchanges energy with the APE based on the mixing of the buoyancy field (diffusion) (Winters
148 et al. 1995).

149 For single component fluids the diffusive energy exchange between APE and BPE is one way,
150 i.e. mixing always acts to increase BPE (Winters et al. 1995). The distinction between APE and
151 BPE is complicated for double-diffusive fluids, as buoyancy gradients can sharpen due to diffusion
152 (Merryfield 2000). However, Middleton and Taylor (2020) applied the APE/BPE framework to
153 double-diffusion, where now diffusion can cause ‘un-mixing’ i.e. a release of BPE into APE.
154 Middleton and Taylor (2020) obtained a simplified criterion to identify transfers of energy from

155 BPE to APE (Section 4a), and here we will apply this criterion to quantify the importance of
156 double diffusion in our simulations.

157 Section 2 outlines our simulation set up, focusing on the simulation geometry, forcing and nu-
158 merical details of the grid and its relation to the turbulent length scales. In Section 3 we discuss
159 the simulation evolution, considering differences between convective and stable regimes. Then in
160 Section 4 we consider the diapycnal flux in our simulations. First we give a review of the criterion
161 introduced by Middleton and Taylor (2020), then we show that convection in our simulations is
162 caused by a region of negative diapycnal buoyancy flux near the ice base and it is well described
163 using the framework from Middleton and Taylor (2020). The region is also well described by the
164 density ratio and buoyancy Reynolds number, which we formulate into a criterion for the inhibi-
165 tion of double-diffusive convection by externally-forced turbulence. Finally, in Section 5 we apply
166 our criterion to the diffusive solution, providing a point of comparison between our simulations,
167 those of Vreugdenhil and Taylor (2019) and the observations. Concluding remarks are offered in
168 Section 6.

169 **2. Methods**

170 The ocean boundary layer beneath a melting ice shelf is simulated in a rectangular box domain
171 (Figure 1). We use periodic boundary conditions in the horizontal x, y directions and impenetrable
172 conditions in the vertical z direction. Dynamic melting boundary conditions are imposed on the
173 temperature and salinity fields locally across the top surface of the domain, along with a no-slip
174 velocity condition. A no-flux, free slip condition is applied at the base. The simulations are ini-
175 tialised with a homogeneous temperature and salinity, which are restored to initial values below
176 an ‘observation’ region of 2.6 m depth. Isotropic turbulence is forced at length scales larger than
177 the observation region, using a methodology taken from Wang et al. (1996), applied as a forcing

178 term discussed in Section 2a. The mechanical forcing is designed to achieve a prescribed rate of
 179 turbulent kinetic energy dissipation, with values chosen similar to George VI Ice Shelf observa-
 180 tions. The mechanically forced turbulence is intended to represent processes missing from the
 181 simulations, such as shear-driven turbulence, internal wave breaking, or interior double-diffusive
 182 convection.

183 *a. Governing Equations*

184 Our simulations solve the incompressible, non-hydrostatic, Boussinesq Navier-Stokes equations,
 185 with terms to apply mechanical velocity forcing and far-field scalar relaxation. These equations
 186 are

$$\frac{D\mathbf{u}}{Dt} = -\frac{1}{\rho_0}\nabla p + \nu\nabla^2\mathbf{u} - \frac{\Delta\rho}{\rho_0}g\mathbf{k} + \underbrace{\frac{\varepsilon_0\mathbf{u}}{\langle\mathbf{u}\cdot\mathbf{u}\rangle}}_{\text{Mechanical Forcing}}, \quad (1)$$

$$\nabla\cdot\mathbf{u} = 0, \quad (2)$$

$$\frac{DT}{Dt} = \kappa_T\nabla^2T - \underbrace{\frac{1}{\tau_0}(\langle T \rangle - T_\infty)r(z)}_{\text{Far-Field Relaxation}}, \quad (3)$$

$$\frac{DS}{Dt} = \kappa_S\nabla^2S - \underbrace{\frac{1}{\tau_0}(\langle S \rangle - S_\infty)r(z)}_{\text{Far-Field Relaxation}}, \quad (4)$$

$$\frac{\Delta\rho}{\rho_0} = -\alpha(T - T_0) + \beta(S - S_0). \quad (5)$$

191 where $\frac{D}{Dt} = \frac{\partial}{\partial t} + \mathbf{u}\cdot\nabla$ is the material derivative for $\mathbf{u} = (u, v, w)$ the 3D velocity field with respect
 192 to position vector $\mathbf{x} = (x, y, z)$ and p pressure. The density is ρ , with $\rho_0 = 1000 \text{ kgm}^{-3}$ the
 193 reference density and $\Delta\rho = \rho - \rho_0$. We use $\nu = 1.8 \times 10^{-6} \text{ m}^2\text{s}^{-1}$ as kinematic viscosity, and
 194 $g = 9.81 \text{ ms}^{-2}$ as gravitational acceleration. T is the temperature field in $^\circ\text{C}$, with T_0 and T_∞
 195 the reference and far-field temperatures respectively. Likewise S is the salinity field in parts per
 196 thousand, with S_0 and S_∞ the reference and far-field salinities. The molecular diffusivities are
 197 $\kappa_T = 1.3 \times 10^{-7} \text{ m}^2\text{s}^{-1}$ for temperature and $\kappa_S = 7.4 \times 10^{-10} \text{ m}^2\text{s}^{-1}$ for salt. Finally, the constants

198 $(\alpha, \beta) = (3.87 \times 10^{-5} (\text{°C})^{-1}, 7.86 \times 10^{-4} (\text{ppt})^{-1})$ (Jenkins et al. 2010) are the coefficients of
 199 thermal expansion and haline contraction. Within the relaxation term, the angled brackets $\langle \cdot \rangle$
 200 represent a horizontal average and $\tau_0 = 200$ s is the relaxation timescale, chosen based on a far-field
 201 velocity scale of $\sim 5 \text{ cms}^{-1}$ and a domain height ~ 10 m. The term $r(z) = 0.5(\tanh(10 - 2z) + 1)$
 202 ensures that the relaxation term only acts in the far-field and $r \simeq 10^{-4}$ at $z = 2.6$ m. Therefore,
 203 the temperature and salinity are not forced at a depth similar to the mooring measurements made
 204 beneath the ice at Larsen C Ice Shelf and George VI Ice Shelf. Our simulations do not include the
 205 effect of Earth’s rotation since the non-relaxed part of our domain is small (2.6 m) and there is no
 206 mean flow, so rotational effects will be weak. We explain the mechanical forcing term in Section
 207 2c.

208 *b. Simulations Details*

209 Eqns. 1-5 are discretised using a pseudo-spectral method in the horizontal, and a second order
 210 finite difference scheme in the vertical (see Taylor 2008). The 2/3 de-aliasing technique (Orszag
 211 1971) is applied whereby the Fourier coefficients associated with the largest $\frac{1}{3}$ of wavenumbers
 212 are set to zero. This has the effect of dissipating scalar variance on scales smaller than 3Δ , where
 213 Δ is the horizontal grid spacing. In regions of the flow where the simulations do not resolve the
 214 Batchelor scale, the de-aliasing procedure and the numerical dissipation associated with the finite
 215 difference scheme acts like an implicit subgrid-scale model by removing small-scale variance. An
 216 implicit Crank-Nicholson method is used to time-step the viscous and diffusive terms, and a third
 217 order Runge-Kutta method for other terms.

218 Full details on the melt condition can be found in Vreugdenhil and Taylor (2019), however in
 219 summary, the method solves the diffusive three equation model (Frank 1950) at the boundary, i.e.

220 the equations

$$\rho_i L_i m = c_p \rho_w \kappa_T \left. \frac{\partial T}{\partial z} \right|_b, \quad (6)$$

$$\rho_i S_b m = \rho_w \kappa_S \left. \frac{\partial S}{\partial z} \right|_b, \quad (7)$$

$$T_b = \lambda_1 S_b + \lambda_2 + \lambda_3 P, \quad (8)$$

223 where m is the melt rate, T_b is the temperature at the ice base, and S_b is the salinity at the ice
224 base. The constants are $c_p = 3974 \text{ m}^2\text{s}^{-2}\text{kg}^{-1}(\text{°C})^{-1}$ for specific heat capacity, $L_i = 3.35 \times$
225 $10^5 \text{ m}^2\text{s}^{-2}\text{kg}^{-1}$ for latent heat of fusion and $\rho_w = 1000 \text{ kgm}^{-3}$, $\rho_i = 920 \text{ kgm}^{-3}$ for the den-
226 sities of seawater and ice respectively. Here $\lambda_1 = -5.73 \times 10^{-2} \text{ °C}$, $\lambda_2 = 8.72 \times 10^{-2} \text{ °C}$ and
227 $\lambda_3 = -7.53 \times 10^{-4} \text{ °Cdbar}^{-1}$ (Jenkins et al. 2010). The gradients $\frac{\partial S}{\partial z}$ and $\frac{\partial T}{\partial z}$ are calculated at the
228 boundary, at each time step, in each grid cell, to give a dynamic melt condition. The heat and salt
229 flux through the ice is set to zero, as suggested by Holland and Jenkins (1999). We neglect the
230 volume input of melt water, as the interface moves slowly compared to the turbulent velocities.

231 Resolving the diffusive length scales for salinity everywhere in the domain is prohibitively ex-
232 pensive as the molecular diffusivity is small. Previous numerical simulations (Gayen et al. 2016)
233 used artificially large diffusivities to resolve double-diffusive behaviour. However, this may lead
234 to under-estimation of the double-diffusive effects. We use realistic molecular diffusivities for
235 temperature and salinity and use a fine grid spacing to resolve the smallest diffusive scales within
236 the scalar sublayers. In the turbulent region beneath these sublayers, turbulent fluxes will domi-
237 nate heat and salt transport, and so it is sufficient that our simulations resolve the smallest velocity
238 scales within the observation region, $z < 2.6 \text{ m}$, using typical resolution criteria. In other words,
239 the simulations can be classified as direct numerical simulations (DNS) near the ice where they
240 resolve the scalar and velocity gradients and implicit large-eddy simulations farther from the ice
241 where they resolve the turbulent eddies but not all scales of tracer variance. A similar approach

242 has been used before to simulate turbulent scalar transport of active tracers (e.g. Hickel et al. 2007;
 243 Scalo et al. 2012). For further details relating to the grid spacing see Appendix A.

244 Table 1 lists the simulation runs. These are split into ‘warm’ simulations (0.15 °C), with tem-
 245 peratures similar to George VI Ice Shelf (Venables et al. 2014), and ‘cold’ simulations (−2.15 °C)
 246 similar to cold-water ice shelves such as Larsen C Ice Shelf (Davis and Nicholls 2019). The warm
 247 temperatures are similar to the Martin and Kauffman (1977) experiment. We also consider small
 248 far-field temperatures (simulations 3 – 6) to investigate the simulation evolution when stratifica-
 249 tion is weak. The initial salinity $S_\infty = 34.572$ ppt, the same across simulations, is taken from an
 250 average of CTD profiles at 2.6 m depth from George VI Ice Shelf. The temperature and salinity
 251 fields are initialised with constant values T_∞ and S_∞ in each simulation. We consider two values of
 252 the target dissipation rate, ϵ_0 , as described below in Section 2c.

253 *c. Mechanical Forcing*

254 The mechanical forcing term labeled in Eq. 1 is formulated so, in the absence of convection
 255 and buoyancy effects, the mean turbulent dissipation rate will be approximately ϵ_0 . The volume-
 256 averaged turbulent kinetic energy (TKE) budget is

$$\begin{aligned}
 \frac{\partial k}{\partial t} = & - \underbrace{\nu \frac{\partial u'_i}{\partial x_k} \frac{\partial u'_i}{\partial x_k}}_{\substack{\text{Rate of} \\ \text{Turbulent} \\ \text{Dissipation} \\ \epsilon}} + \underbrace{\overline{w'b'}}_{\substack{\text{Turbulent} \\ \text{Buoyancy Flux}}} + \underbrace{\epsilon_0}_{\substack{\text{Mechanical} \\ \text{Forcing}}} \quad (9)
 \end{aligned}$$

257 where $k = \frac{1}{2}(\overline{(u')^2} + \overline{(v')^2} + \overline{(w')^2})$ is the TKE, an over-bar denotes a volume average, and primes
 258 are departures from the volume average. There is an implicit sum over repeated subscripts.

259 For quasi-steady states, the rate of change of TKE is small. If the buoyancy flux is also small,
 260 the dominant energy balance is $\epsilon \simeq \epsilon_0$. We will refer to ϵ_0 as the target dissipation rate. In
 261 practice, target values of $1 \times 10^{-10} \text{ m}^2\text{s}^{-3}$ and $2 \times 10^{-9} \text{ m}^2\text{s}^{-3}$ resulted in dissipation rates of

262 $(8.7 \pm 1.8) \times 10^{-11} \text{ m}^2\text{s}^{-3}$ and $(1.7 \pm 0.15) \times 10^{-9} \text{ m}^2\text{s}^{-3}$ within the passive spin-up simulation at
263 steady state. The target value of $1 \times 10^{-10} \text{ m}^2\text{s}^{-3}$ is similar to the lower values measured beneath
264 George VI Ice Shelf (Venables et al. 2014) and the forced value of $2 \times 10^{-9} \text{ m}^2\text{s}^{-3}$ is similar to
265 the lower values measured beneath the Larsen C Ice Shelf (Davis and Nicholls 2019).

266 The turbulent buoyancy flux $\overline{w'b'}$ represents energy transfer between kinetic and potential en-
267 ergy. In steady state, the melt condition and relaxation provide sources of potential energy. When
268 the buoyancy flux is included, the dissipation rate will increase or decrease relative to the equilib-
269 rium rate ϵ_0 depending on the sign of $\overline{w'b'}$.

270 As in Wang et al. (1996), we only force the lowest wavenumbers and allow the turbulent cascade
271 to form naturally at higher wavenumbers. This method of forcing stratified turbulence has been
272 used extensively by previous authors (Rao and de Bruyn Kops 2011; Taylor and Stocker 2012) to
273 simulate stratified turbulence, including by Taylor et al. (2019) to test the assumptions underlying
274 studies of ocean mixing. Specifically, we force wavelengths greater than $L/2.5$ and less than L ,
275 where L is the domain size, following Wang et al. (1996). We want the smallest forced wavelength
276 (i.e. $L/2.5$) to be no smaller than the height of the observation region (2.6 m), which sets the
277 minimum vertical length scale as $L = 2.5 \times 2.6 \text{ m} = 6.5 \text{ m}$. In the relaxation region we set both the
278 domain width and height equal to the minimum scale of (6.5 m) to achieve an isotropic forcing.

279 **3. Results**

280 *a. Flow Regimes*

281 In the case with no forced turbulence, once the scalars are initialised, the sublayers in temper-
282 ature and salinity begin to grow. The thermal sublayer grows faster than the haline sublayer due
283 to the larger molecular diffusivity of temperature. This leads to a double-diffusive boundary layer

284 structure, comparable to the lower half of a double-diffusive interface (e.g. Carpenter et al. 2012),
285 with a stable ‘core’ where the salinity dominates the density above a ‘diffusive boundary layer’
286 where the temperature dominates the density and leads to a peak in density. This behaviour is re-
287 produced by the diffusive solution for T/S evolution beneath a melting interface from Martin and
288 Kauffman (1977). The peak in density may then become unstable leading to diffusive convection.
289 Figure 2 shows profiles of horizontally-averaged scalar fields for the cold, low mechanical forcing
290 case 2B at various times. The early time behaviour of this simulation is similar to the unforced
291 case and matches the diffusive solution. The plots are magnified to show the peak in mean den-
292 sity, however this variation is a small proportion of the total density difference which is largely
293 contained in the T and S sublayers, as shown in the inset.

294 The addition of forced turbulence enhances vertical mixing of temperature and salinity, which
295 acts to remove the mid-depth density maximum. As the flow evolves, the density peak increases
296 in depth and decreases in magnitude. Changes in the magnitude of the density peak are sometimes
297 dominated by salinity and sometimes by temperature, and hence cannot be attributed to mixing
298 of one scalar alone. In the warmer simulations 1B, 1C and the unforced simulations 1A, 2A,
299 the decrease in density peak magnitude is slow and the peak persists throughout the simulations
300 (50+ hours in all cases). This suggests that if the conditions are sufficient to trigger convection, it
301 may be long lasting. In the cold, mechanically forced cases (2B, 2C, 3, 4, 5, 6) the mean density
302 profiles do become gravitationally stable during the simulation, with differing transition times
303 dependant on the thermal and mechanical forcing. Case 2B, shown in Figure 2, took the longest
304 of the cold cases to transition; the density profile has a peak in the mean profile until around 200
305 hrs, although at 100 hrs the peak is not visible without greater magnification. However, the lack of
306 a peak in the mean density profile does not imply that no double-diffusive convection is present.
307 It is possible that the stratification is still adding energy to the TKE via an up-gradient turbulent

308 vertical buoyancy flux as discussed below in Section 4a. Therefore, we use the sign of $\langle w'b' \rangle$ to
309 identify double-diffusive convection.

310 Figure 3 shows the horizontally-averaged turbulent vertical buoyancy flux $\langle w'b' \rangle$ for three sim-
311 ulations: the warm, low mechanical forcing case 1A; the cold, low mechanical forcing case 2B
312 and the cold, high mechanical forcing case 2C. Positive values of $\langle w'b' \rangle$ indicate that the poten-
313 tial energy is acting as a source of TKE, and negative values indicate that TKE is converted into
314 potential energy. Initially, a region with $\langle w'b' \rangle > 0$ descends through the domain in all cases, due
315 to the density peak discussed above. In the cold, low mechanical forcing cases, there are areas of
316 $\langle w'b' \rangle < 0$ visible after some time. In case 2B these patches are initially confined near the ice base,
317 however at later times they descend throughout the domain. In case 2C the regions quickly develop
318 throughout the domain, however regions of $\langle w'b' \rangle$ are still present, despite not being the dominant
319 contribution to the horizontal average. In the warm case 1B, $\langle w'b' \rangle > 0$ throughout the simulation
320 length (50 hrs), and there are no regions of $\langle w'b' \rangle < 0$ descending through the domain. The mean
321 density profile is relatively effective at determining the sign of $\langle w'b' \rangle$. In case 2C the density pro-
322 file transitions after ~ 4 hrs, close to the time when $\langle w'b' \rangle$ changes sign (~ 2 hrs). However, there
323 are still regions of $\langle w'b' \rangle > 0$ in case 2C, and in case 2B the changing sign of $\langle w'b' \rangle$ is sufficiently
324 noisy that the direction of energy transfer between APE and TKE is not clear. Some variation in
325 the sign of $\langle w'b' \rangle$ may be attributed to reversible exchanges between potential energy and TKE i.e.
326 ‘stirring’ (Winters et al. 1995), however double-diffusive effects may also be responsible.

327 The magnitude of $\langle w'b' \rangle$ is also relevant. When $\langle w'b' \rangle$ is large and positive, it can dominate
328 the TKE budget, but for small values it may not be energetically important. Figure 4 shows a
329 snapshot of the vertical velocity field w for the three simulations 1B, 2B and 2C at $t \sim 2$ hrs. The
330 influence of the descending region of elevated buoyancy flux (see Figure 3) is visible in Figure 4
331 in case 1B as an elevated value of w close to the ice base that moves down through the domain.

332 However, in the cold cases 2B and 2C there is no visible contrast in the vertical velocity field.
333 Even when buoyancy flux does not affect the velocity field, preferential diffusion may still affect
334 the evolution of the scalar profiles which determine the melt rate.

335
336 In our convecting simulations, the magnitude of $\langle w'b' \rangle$ can be approximated by $g\alpha\langle w'T' \rangle$ away
337 from the ice, which in turn can be approximated using the melt rate (not shown). The dominant
338 mode of scalar transport is the large scale forced eddies, amplified by the convective motions
339 as shown in the warm case in Figure 4. We show that the largest scales are responsible for the
340 majority of the scalar fluxes in Figure 5 by considering the fluxes in wavenumber space. The co-
341 spectrum of the scalar flux is calculated as $\langle \hat{w}\hat{\Theta}^* \rangle$ for scalars Θ , $\hat{\cdot}$ denoting the Fourier transform
342 and $*$ denoting the complex conjugate. We show the turbulent scalar fluxes for $\Theta = b', g\alpha T', g\beta S'$
343 i.e. the turbulent buoyancy flux, and the thermal and haline components of the turbulent buoyancy
344 flux. The scalar fluxes are averaged between 1 m and 3 m away from the ice, and then integrated
345 between 0 and k , the radial wavenumber. The convergence of the integral in Figure 5 shows that
346 the largest scales are responsible for the majority of the integrated turbulent scalar fluxes, which
347 suggests the details of the smallest scales (which we do not resolve) will have a small effect on
348 the scalar fluxes. We have marked the cutoff frequency k_c in the application of the 2/3 de-aliasing
349 rule (see Section 2b). The thermal component of the buoyancy flux dominates the buoyancy flux
350 in Figure 5, which holds throughout the convective regime.

351 *b. Melt*

352 Figure 6 shows the horizontally-averaged melt rate as a function of time. The diffusive theory is
353 accurate for early times in all cases. After the diffusive phase, all simulations show an increase in
354 the melt rate due to turbulent mixing. For the cases with persistent convection (i.e. all apart from

355 the cold, high mechanical forcing case 2C), the melt rate continues to decrease as $t^{-1/2}$ after the
356 onset of convection. This can be explained by the fact that the salinity sublayer continues to grow
357 on the diffusive timescale as the diffusive salt flux from the melting boundary is much larger than
358 the turbulent vertical salt flux in the convecting region. On the other hand, the boundary heat flux
359 rapidly comes into balance with the turbulent vertical heat flux (not shown). As the gravitationally
360 stable haline sublayer grows, turbulence is damped out over a larger area close to the ice base,
361 reducing the turbulent vertical heat flux. This leads to a reduction in the boundary heat flux, which
362 coupled with the reduction in the boundary salt flux, reduces the melt rate on the time scale of
363 the growing salinity sublayer. Eventually the boundary diffusive salt flux will come into balance
364 with the turbulent vertical salt flux and the system can reach a steady state. In the cold, high
365 mechanical forcing case 2C, the system has almost reached this steady point near the end of the
366 simulated period.

367 There is an imprint of convection on the spatial patterns of the instantaneous melt rate, although
368 this effect is limited to cases in which $\langle w'b' \rangle$ is large compared to ϵ_0 (i.e. warm cases). Figure 7
369 shows snapshots of the melt rate for three simulations. In the cold case 2C the melt rate follows
370 the patterns of the forced turbulence, illustrated by the passive case. In the warm, low mechanical
371 forcing case 1B, where convection is strong, plume-like structures are visible in the melt rate.
372 We may expect qualitatively different roughness patterns to develop on the underside of ice in
373 the presence of strong double-diffusive convection. However, including feedbacks from a moving
374 boundary would be necessary to test this hypothesis.

375 **4. Diapycnal Buoyancy Flux**

376 In this section we identify a mechanism for the double-diffusive convection discussed within
377 Section 3. We propose that the dominant forcing for double-diffusive convection is a region of

378 negative diapycnal buoyancy flux near the ice base and we locate it based on a criterion given by
 379 Middleton and Taylor (2020). We first review the criterion and its motivation in terms of double-
 380 diffusive energetics.

381 *a. Background Theory*

382 Here, we define the diapycnal buoyancy flux as the diffusive flux of buoyancy across surfaces of
 383 constant buoyancy, or isopycnals. For double-diffusive fluids, the diapycnal buoyancy flux can be
 384 up-gradient, which corresponds to a negative buoyancy diffusivity (Radko 2013). The energetics of
 385 this was recently described by Middleton and Taylor (2020) as a diffusive release of ‘background’
 386 potential energy (BPE). Background potential energy is defined as the potential energy associated
 387 with an adiabatic rearrangement (i.e. sorting) of the density field, and ‘available’ potential energy
 388 is the remaining potential energy after the background portion is subtracted. Winters et al. (1995)
 389 formalised the budget for the BPE for a single scalar, and showed the diapycnal buoyancy flux acts
 390 to transfer energy from APE to BPE, and so is associated with ‘irreversible mixing’ (Winters et al.
 391 1995). Extending the same framework, Middleton and Taylor (2020) showed that, in a double-
 392 diffusive fluid, the up-gradient buoyancy flux corresponds to a conversion of BPE into APE which
 393 can then be modified into TKE via the turbulent vertical buoyancy flux $\langle w'b' \rangle$.

394 Middleton and Taylor (2020) provided a criterion for a negative diapycnal buoyancy flux in
 395 terms of the 3D scalar gradients. Specifically, the sign of the buoyancy flux is set by the following
 396 function,

$$\text{sgn}(\nabla b_p \cdot \hat{\mathbf{n}}) = \text{sgn} \left(f \left(G_\rho, \theta, \frac{\kappa_T}{\kappa_S} \right) \right), \quad (10)$$

397 where $\nabla b_p = g\alpha\kappa_T\nabla T - g\beta\kappa_S\nabla S$ is the diffusive buoyancy flux, $\hat{\mathbf{n}} = \nabla b/|\nabla b|$, and hence $\nabla b_p \cdot \hat{\mathbf{n}}$
 398 is the diapycnal component of the diffusive buoyancy flux. The polynomial f is

$$f\left(G_\rho, \theta, \frac{\kappa_T}{\kappa_S}\right) = \frac{\kappa_T}{\kappa_S} G_\rho^2 + \left(\frac{\kappa_T}{\kappa_S} + 1\right) G_\rho \cos \theta + 1, \quad (11)$$

399 where the ‘gradient ratio’, $G_\rho = \alpha|\nabla T|/\beta|\nabla S|$ is the 3D analogue to the density ratio $R_\rho =$
 400 $\alpha\frac{dT}{dz}/\beta\frac{dS}{dz}$, and θ is the angle formed between the gradient vectors ∇S and $-\nabla T$. When $\theta = 0$ the
 401 gradient vectors contribute to the buoyancy gradient constructively, and when $\theta = \pi$ they have op-
 402 posing contributions to the buoyancy gradient. Negative values of f (and an up-gradient diapycnal
 403 buoyancy flux) require $\theta_c < \theta < 2\pi - \theta_c$, where

$$\theta_c = \arccos\left(\frac{-2\sqrt{\frac{\kappa_T}{\kappa_S}}}{\frac{\kappa_T}{\kappa_S} + 1}\right) \sim 98.6^\circ, \quad (12)$$

404 where the $f < 0$ region is bounded by θ_c and $2\pi - \theta_c$. Generally, an up-gradient diapycnal buoy-
 405 ancy flux is possible when the gradient vectors ∇T and ∇S make opposing contributions to the
 406 buoyancy gradient $\nabla b = g\alpha\nabla T - g\beta\nabla S$. The $f < 0$ region is also bounded by $G_\rho = 1$ and $G_\rho = \frac{\kappa_T}{\kappa_S}$
 407 i.e. the salinity gradient must dominate the buoyancy gradient magnitude, but the temperature gra-
 408 dient must dominate the buoyancy flux gradient $\nabla b_p = g\alpha\kappa_T\nabla T - g\beta\kappa_S\nabla S$ magnitude. For 1D
 409 fields the angle $\theta = 0$ or π , and restricting variation to the z direction, this reduces to

$$f < 0 \iff \frac{\kappa_S}{\kappa_T} < R_\rho < 1, \quad (13)$$

410 which is a well known criterion for up-gradient buoyancy flux in double diffusive fluids (Veronis
 411 1965; St. Laurent and Schmitt 1999).

412 *b. Criterion for convection*

413 The cold, low mechanical forcing simulation 2B shows a positive turbulent buoyancy flux (Fig-
 414 ure 3) despite a horizontally-averaged density profile increasing with depth (Figure 2) at late times,

415 i.e. the turbulent buoyancy flux is up-gradient. In this setting, convection is forced by preferential
 416 diffusion of temperature over salinity into fluid parcels near the ice/ocean boundary, causing in-
 417 creased density and forcing parcels to descend into the turbulent region below. In some cases this
 418 leads to a gravitationally unstable mean density profile. However, as case 2B shows, convection
 419 can also occur when the mean density profile is stably stratified. The positive buoyancy flux in
 420 case 2B is an example of an energy transfer from BPE to APE. Below, we examine this in detail
 421 by calculating the local diapycnal buoyancy flux.

422 To understand the influence of turbulence on the criterion for a negative diapycnal buoyancy
 423 flux, it is useful to consider the full 3D temperature and salinity fields. Figure 8 shows a scatter
 424 plot of the diapycnal buoyancy flux calculated from a 3D snapshot of the scalar fields for cases
 425 1B, 1C, 2B and 2C, in (G_ρ, θ) space. Here the criterion in Eq.11 is exact and plotted as a black
 426 line. Also plotted is the diapycnal flux averaged for constant G_ρ . For $G_\rho < \kappa_S/\kappa_T$, the averaged
 427 diapycnal buoyancy flux $\langle \nabla b_\rho \cdot \hat{\mathbf{n}} \rangle_{G_\rho}$ is dominated by large positive values and $\theta \simeq \pi$. These
 428 points are located in the salinity sublayer, where ∇T and ∇S are nearly vertical. For other values
 429 of G_ρ , the points are spread across all angles θ . This shows the role of turbulence in distorting
 430 temperature and salinity contours. In the non-convecting case 2C, there is scatter in θ even for
 431 $G_\rho < \kappa_S/\kappa_T$. In case 2B convection is weak but active and $\langle w'b' \rangle$ is up-gradient as the density
 432 profile is a monotonic function of height at the time shown. Here, the turbulent scatter is primarily
 433 restricted to the range $\kappa_S/\kappa_T < G_\rho < 1$.

434 The points within the sublayer with $\theta \sim \pi$ are split into points with positive and negative diapy-
 435 cnal buoyancy flux. Close to the boundary $G_\rho \sim R_\rho < \kappa_S/\kappa_T$ and salinity forms the largest contri-
 436 bution to the buoyancy flux and buoyancy gradient. Farther from the boundary $G_\rho \sim R_\rho > \kappa_S/\kappa_T$
 437 and temperature makes a larger contribution to the buoyancy flux whilst salinity still contributes
 438 most to the buoyancy gradient, leading to an up-gradient buoyancy flux. In the convecting cases

439 1B and 1C, the 1D criterion $\kappa_S/\kappa_T < R_\rho < 1$ for an up-gradient buoyancy flux is sufficient to ex-
 440 plain the averaged profile $\langle \nabla b_\rho \cdot \hat{\mathbf{n}} \rangle_{G_\rho}$ if we take $G_\rho \sim R_\rho$. However, in the marginally convecting
 441 case 2B, the 3D criterion is necessary to explain the positive average diapycnal buoyancy flux for
 442 $0.2 < G_\rho < 1$ and in the non-convecting case 2C, the 1D approximation of $G_\rho \sim R_\rho$ performs
 443 poorly.

444 Figure 9 shows profiles of horizontally-averaged gradient ratio, scalar angle and diapycnal buoy-
 445 ancy flux from a convective simulation (case 1B) and a non-convective simulation (case 2C) as a
 446 function of depth in the upper 20 cm at $t = 30$ hrs. Shading indicates one standard deviation about
 447 the horizontal average. The depth where $\langle G_\rho \rangle = \kappa_S/\kappa_T$ is indicated with a blue dotted line, and
 448 the depths where $\langle \cos \theta \rangle = -1$ and $\langle \cos \theta \rangle = \cos \theta_c$ are indicated with red dashed lines. At the ice
 449 base, the gradient ratio will always be less than κ_S/κ_T as argued in Section 2b. Farther from the
 450 ice, the temperature gradient exceeds the salinity gradient giving $\langle G_\rho \rangle > \kappa_S/\kappa_T$. The convective
 451 simulation shows significant negative diapycnal buoyancy flux in the region of $\langle G_\rho \rangle > \kappa_S/\kappa_T$ and
 452 $\langle \cos \theta \rangle < \cos \theta_c$ below the salinity sublayer and above the turbulent region. The critical angle θ_c
 453 is an exact bound on the local up-gradient diapycnal buoyancy flux. However it is not necessary
 454 that the horizontally-averaged value gives the bound we see in the convecting case since the 3D
 455 criterion (Eq. 11) is nonlinear. The negative diapycnal buoyancy flux peaks at the depth where
 456 $\langle \cos \theta \rangle = -1$ in all convecting simulations. Non-convecting simulations have a positive mean di-
 457 apycnal buoyancy flux at all depths, and the depth at which $\langle \cos \theta \rangle = -1$ is above the depth at
 458 which $\langle G_\rho \rangle = \kappa_S/\kappa_T$. This implies that turbulence influences the distribution of angles θ in the
 459 region of $\langle G_\rho \rangle > \kappa_S/\kappa_T$ where otherwise it is possible to form an up-gradient diapycnal buoyancy
 460 flux.

461 Figure 9 suggests that convection can be described using the relative thickness of two regions.
 462 The first is the region of $\langle G_\rho \rangle < \kappa_S/\kappa_T$, where the diapycnal buoyancy flux will always be down-

463 gradient. This region is determined to first order by the relative thickness of the temperature and
464 salinity sublayers. The second is the region of $\langle \cos \theta \rangle = -1$, where turbulent velocities do not
465 alter the temperature or salinity fields. When the second region is thicker than the first, there is
466 a region where the scalar gradients ∇T and ∇S are vertical, and $\kappa_S/\kappa_T < R_\rho < 1$, leading to an
467 up-gradient diapycnal buoyancy flux, which causes the release of BPE and subsequently double-
468 diffusive convection. We can also identify the region of $\langle \cos \theta \rangle < \cos \theta_c$, where on average the
469 angle between ∇T and ∇S is conducive to an up-gradient diapycnal buoyancy flux. Below this
470 region, $\cos \theta > \cos \theta_c$, and we expect the horizontally-averaged diapycnal flux to be positive due
471 to turbulent motions.

472 G_ρ and θ are combinations of three-dimensional scalar gradients, and measuring these quantities
473 in the field would be very challenging. It would be useful to have an approximate criterion that
474 involves measurable quantities. In our simulations, the $G_\rho < \kappa_S/\kappa_T$ region is well described by
475 the 1D approximation $R_\rho < \kappa_S/\kappa_T$. Our simulations also show a strong monotonic relationship,
476 in a statistically averaged sense, between the angle θ and a common metric for turbulence, the
477 buoyancy Reynolds number,

$$Re_b = \frac{\varepsilon}{\nu N^2}, \quad (14)$$

478 where $N^2 = \partial b/\partial z$ is the buoyancy frequency. We calculate Re_b using horizontally averaged
479 values for both ε and N^2 . The buoyancy Reynolds number quantifies the extent of the inertial
480 subrange of the energy spectrum i.e. the separation between the Kolmogorov scale (the scale below
481 which viscous effects dominate) and the Ozmidov scale (the scale above which buoyancy effects
482 dominate). The buoyancy Reynolds number has also been used to identify double diffusion in the
483 open ocean (Inoue et al. 2007).

484 For $Re_b < 1$ the flow will be laminar (Smyth and Moum 2000), and hence we might expect
485 $\cos \theta \simeq -1$. The region of $\cos \theta < \cos \theta_c$ is well described by $Re_b < 10$, so for simulations with

486 a region of negative mean diapycnal buoyancy flux, this region is bounded by $Re_b = 10$. For
 487 $1 < Re_b < 10$ we can consider the flow very weakly turbulent, and for larger buoyancy Reynolds
 488 numbers $Re_b > 10$, we find the turbulence is sufficiently developed to give $\langle \cos \theta \rangle > \cos \theta_c$, caus-
 489 ing a positive mean diapycnal buoyancy flux.

490 Figure 10 shows how the horizontal mean diapycnal buoyancy flux varies with G_ρ , θ , and Re_b .
 491 Each point was calculated from 2D slices of the scalar fields at regular intervals throughout the
 492 simulations. The 2D slices are taken throughout the simulated period for all of the simulations
 493 conducted (listed in Table 1), and the mean profiles from all the sampled times are plotted in
 494 Figure 10. For simulations with a density peak there are values of $Re_b < 0$, however the region
 495 of interest is adjacent to the ice base so only points above the density peak are included. The
 496 points are plotted in (G_ρ, θ) space, where the colouration denotes the magnitude of the diapycnal
 497 buoyancy flux. The left panel shows that the region with an up-gradient buoyancy flux (blue
 498 points) is mostly bounded by $\langle G_\rho \rangle > \kappa_S/\kappa_T$ and $\cos \theta < \cos \theta_c$, indicated using dashed lines. For
 499 the non-convecting simulations, there are points with positive diapycnal flux for $\langle \cos \theta \rangle < \cos \theta_c$
 500 and $G_\rho > \kappa_S/\kappa_T$, which does not occur for the convecting simulations.

501 The right panel in Figure 10 plots the same data as the left panel, but now as a function of R_ρ
 502 and Re_b . The gradient ratio, G_ρ is a good approximation to the density ratio, R_ρ in the diffusive
 503 sublayer, but they differ in the turbulent region. In all simulations, $Re_b < 1$ adjacent to the ice,
 504 suggesting that the near-ice region is laminar. If turbulent eddies existed close to the ice they would
 505 feel the effect of the wall, however no such eddies occur due to the strength of the stratification.
 506 The points that lie within the region $R_\rho > \kappa_S/\kappa_T$ and $Re_b < 1$ have a negative diapycnal buoyancy
 507 flux and these points occur at the top of the boundary layer in the convecting simulations. This
 508 leads to the following hypothesis: Convection will occur at the melting ice-base if the depth at

509 which $Re_b = 1$ is deeper than the depth at which $R_\rho = \kappa_S/\kappa_T$. In the next section we will use this
510 criterion to extend our results to a wider range of parameters.

511 **5. Discussion**

512 The criterion for diffusive convection described in Section 4b allows us to extrapolate our results
513 to a wider range of parameters. For example, given a temperature and salinity profile, we can
514 find the dissipation rate ε required to give $Re_b = 1$ at the depth where $R_\rho = \kappa_S/\kappa_T$. In practice,
515 field measurements of T/S profiles within the ice-shelf ocean boundary layer cannot yet resolve
516 the diffusive sublayers, with reliable measurements limited to depths of $\mathcal{O}(10\text{ cm})$. However
517 observations may be combined with assumptions and models to estimate the relative depths of
518 $Re_b = 1$ and $R_\rho = \kappa_S/\kappa_T$. This provides an estimate for the dissipation rate above which turbulence
519 suppresses diffusive convection.

520 In the absence of T/S profiles, we can estimate the conditions that will be favorable for diffusive
521 convection by considering the development of diffusive boundary layers into a fluid with initially
522 uniform temperature and salinity. The solution of the unsteady diffusion equations forced by
523 the melt boundary condition was derived by Martin and Kauffman (1977). Since heat diffuses
524 down faster than salt, the density profile will be initially unstable with the potential to trigger
525 diffusive convection. Over time, the addition of fresh water from melting will deepen the haline
526 sublayer, decreasing the salinity gradient, and so decreasing N^2 in the halocline at the depth where
527 $R_\rho = \kappa_S/\kappa_T$. The reduction in N^2 increases Re_b . Once $Re_b > 1$ at the depth where $R_\rho = \kappa_S/\kappa_T$,
528 turbulence begins to suppress the up-gradient buoyancy flux which maintains diffusive convection.

529 Given an initial temperature, salinity and dissipation rate, we can calculate the time taken for
530 the diffusive solution to meet our criterion for the shutdown of convection. This time is shown
531 in Figure 11 for a fixed salinity $S_\infty = 34.572$, matching our simulations, while varying the initial

532 temperature, T_∞ (normalised by the freezing temperature $T_m = \lambda_1 S_\infty + \lambda_2 + \lambda_3 P$), and the rate of
533 dissipation, ε . Note that the diffusive solution does not account for turbulent mixing of the tem-
534 perature and salinity profiles, so the transition times will not be quantitatively accurate. However,
535 the diffusive solution provides a point of comparison between different levels of thermal forcing
536 and rates of dissipation.

537 The simulations listed in Table 1 are included in Figure 11 for comparison. Convecting simu-
538 lations are marked with circles and non-convecting simulations are marked with crosses and can
539 be separated using a transition time of $t = 1$ s. This indicates that the predicted transition time
540 might be a useful way to distinguish between convecting and non-convecting states in terms of
541 their bulk parameters. The parameter space suggested by the observations of Larsen C Ice Shelf
542 (Davis and Nicholls 2019) and George VI Ice Shelf (Venables et al. 2014) as well as the parame-
543 ter space explored in the shear-driven Large-Eddy Simulations of Vreugdenhil and Taylor (2019)
544 (inferred from a law-of-the-wall scaling) are marked using dashed boxes. The parameter space for
545 the Larsen C Ice Shelf observations and the LES cover relatively short transition times, indicating
546 double-diffusive convection may not occur. However, the George VI Ice Shelf parameter space
547 has a long transition time and hence the flow is amenable to transient double-diffusive convection
548 as suggested by Kimura et al. (2015).

549 In the simulations and analysis here, we used idealised initial conditions with uniform temper-
550 ature and salinity. However, diffusive convection can occur in other configurations. For example,
551 consider a turbulent ice-ocean boundary layer in a non-convecting steady state. If turbulence lev-
552 els decrease (e.g. due to weakening currents), the buoyancy Reynolds number will decrease, so
553 the depth at which $Re_b = 1$ will increase. If this depth at which $Re_b = 1$ becomes deeper than
554 the depth at which $R_\rho = \frac{\kappa_S}{\kappa_T}$ then the criterion from Section 4b is satisfied and convection will en-
555 sue. The double-diffusive convection preferentially transports heat over salt, so we would expect

556 the salt boundary layer to grow slowly. Therefore a boundary layer in warm or weakly turbulent
557 conditions may take a long time to adjust to modest changes in turbulence levels.

558 **6. Conclusions**

559 Motivated by observations made beneath George VI ice shelf in Antarctica, we conducted a
560 series of numerical simulations of an idealised ocean boundary layer beneath a melting ice shelf.
561 The simulations were initialised with constant salinity and temperature and the evolution of the
562 system under a thickening salt sublayer was studied.

563 Two distinct flow regimes were observed. In one regime, the mean density profile increased with
564 depth and the density field acted to damp the forced turbulence. This is the standard assumption
565 in stratified melting ice-ocean boundary parameterisations. In the other regime, double-diffusive
566 convection occurred and potential energy was converted into kinetic energy, forced by an up-
567 gradient buoyancy flux in a region near the ice base. All simulations started in the convective
568 regime, but some quickly transitioned to a turbulence-damping state. Simulations in different
569 regimes exhibit qualitatively different patterns in the velocity field, melt rate and melt pattern.

570 We examined the influence of temperature and ambient turbulence levels on the flow regime
571 by systematically varying the initial and far-field temperature and the strength of the mechanical
572 forcing. A criterion for an up-gradient buoyancy flux and hence double-diffusive convection using
573 the $3D$ scalar gradients (Middleton and Taylor 2020) was applied to the simulation data. This
574 criterion identified the region of up-gradient diapycnal buoyancy flux near the ice base, responsible
575 for convection.

576 We developed a simple prediction for an up-gradient buoyancy flux (Middleton and Taylor 2020)
577 at the ice base based on local values of the density ratio and the buoyancy Reynolds number Re_b .
578 We found double-diffusive convection if the depth of the region beneath the ice of $Re_b \lesssim 1$ is deeper

579 than the region of $R_\rho \lesssim \kappa_S/\kappa_T$. We then used solutions from the unsteady diffusion equations from
580 Martin and Kauffman (1977) to estimate when the boundary layer will be favourable to double-
581 diffusive convection based on the turbulent dissipation rate and the far field temperature.

582 The interaction of melt-driven convection with thermohaline layering and anisotropic turbulence
583 (including shear) could modify some of our conclusions, and in particular the specific value of the
584 buoyancy Reynolds number used in the criterion for the shutdown of double-diffusive convection
585 could be sensitive to the source of turbulence. This could be investigated in future studies. We
586 anticipate that the principles used here to distinguish between externally-forced turbulence and
587 double-diffusive convection could be applied to other settings and will be a useful starting point in
588 future work.

589 Our results indicate that melt-driven double-diffusive convection can dominate the dynamics
590 within the ice shelf-ocean boundary layer if the turbulence is sufficiently weak and/or the thermal
591 driving is sufficiently large. This study suggests future ice-ocean boundary layer parameterisa-
592 tions may need to distinguish between convective and non-convective conditions in the melting
593 regime. However, more observations in warm, weakly turbulent conditions are needed to assess
594 the prevalence of double-diffusive convection beneath ice shelves.

595 **Acknowledgements**

596 This work was funded by grants from the Natural Environment Research Council,
597 NE/N009746/1 and NE/N010027/1.

598 **Appendix A: Grid Stretching**

599 The Kolmogorov length scale gives a measure of the smallest turbulent eddies and is defined as

$$\eta = \left(\frac{\nu^3}{\varepsilon} \right)^{\frac{1}{4}} \quad (15)$$

600 We resolve the Kolmogorov scale within the ‘observation region’ of our domain ($z < 2.6$ m).
 601 The diffusivities for heat and salt are smaller than the diffusivity for momentum (i.e. kinematic
 602 viscosity ν), so variability on scales smaller than the Kolmogorov scale is possible. These scales
 603 are quantified using the thermal and haline Batchelor scales, defined as

$$l_B^T = \frac{\eta}{Pr^{\frac{1}{2}}} = \left(\frac{\nu \kappa_T^2}{\epsilon} \right)^{\frac{1}{4}}, \quad l_B^S = \frac{\eta}{Sc^{\frac{1}{2}}} = \left(\frac{\nu \kappa_S^2}{\epsilon} \right)^{\frac{1}{4}}. \quad (16)$$

604 Figure 12 shows the grid spacing graphically, comparing the distance between grid points Δz
 605 to the Kolmogorov and Batchelor scales, calculated based on the dissipation rate profile of the
 606 passive spin up. We have compared our grid spacing to the turbulent length scales multiplied by a
 607 factor of 2 as a commonly argued factor.

608
 609 Vreugdenhil and Taylor (2018) found that including 7 grid points within the conducting sublayer
 610 was sufficient to resolve the diffusive fluxes at the wall in their simulations of stratified plane
 611 Couette flow, a criterion which was then applied to resolve salt fluxes in the ice-ocean boundary
 612 layer simulations of Vreugdenhil and Taylor (2019)). We use the same criterion here, and we have
 613 further verified that our simulations follow the analytical diffusive solution (see Figure 2) at early
 614 times, before turbulent mixing increases the haline sublayer thickness. Using the definition of
 615 the salinity sublayer as when the salinity reaches 99% of its far field value, we find that, for the
 616 diffusive solution, we have 7 grid points within the haline sublayer after $t = 25$ minutes. Before
 617 this time, the simulated scalar fields match the diffusive solution which suggests the scalar fluxes
 618 are resolved at the boundary throughout our simulations.

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704 **LIST OF TABLES**

705 **Table 1.** Table of simulation runs. Values for ΔT , ΔS , and R_ρ are averaged across the
706 simulation. The turbulent vertical buoyancy flux $\langle w'b' \rangle$ is averaged across the
707 simulation for depths $0.1 \text{ m} < z < 2.6 \text{ m}$ for the first 35 hrs to enable comparison
708 across simulations run for different lengths of time. Simulations above the
709 dotted line are convecting throughout the simulated time, and those below are
710 not. 35

| Run | Mean Forced Dissipation ϵ_0 (m^2s^{-3}) | T_∞ ($^\circ\text{C}$) | ΔT ($^\circ\text{C}$) | ΔS (ppt) | Turbulent Buoyancy Flux $\langle w'b' \rangle$ | Density Ratio $R_\rho = \frac{\beta\Delta S}{\alpha\Delta T}$ |
|-----|--|------------------------------------|------------------------------------|-----------------------|--|---|
| 1A | No Forcing | 0.15 | 1.49 | 14.4 | 3.5×10^{-9} | 197 |
| 1B | $(8.7 \pm 1.8) \times 10^{-11}$ | 0.15 | 1.43 | 15.4 | 2.3×10^{-9} | 220 |
| 1C | $(1.7 \pm 0.15) \times 10^{-9}$ | 0.15 | 1.43 | 15.5 | 2.2×10^{-9} | 220 |
| 2A | No Forcing | -2.15 | 5.8×10^{-3} | 9.64×10^{-2} | 6.0×10^{-12} | 338 |
| 2B | $(8.7 \pm 1.8) \times 10^{-11}$ | -2.15 | 5.4×10^{-3} | 10.3×10^{-2} | 5.1×10^{-12} | 383 |
| 2C | $(1.7 \pm 0.15) \times 10^{-9}$ | -2.15 | 5.9×10^{-3} | 9.46×10^{-2} | -3.4×10^{-11} | 325 |
| 3 | $(8.7 \pm 1.7) \times 10^{-11}$ | -2.16 | 7.3×10^{-4} | 1.04×10^{-2} | -5.2×10^{-14} | 293 |
| 4 | $(8.7 \pm 1.7) \times 10^{-11}$ | -2.161 | 1.8×10^{-4} | 2.47×10^{-3} | -5.4×10^{-13} | 274 |
| 5 | $(8.7 \pm 1.7) \times 10^{-11}$ | -2.1613 | 1.5×10^{-5} | 1.81×10^{-4} | -8.7×10^{-14} | 242 |
| 6 | $(8.7 \pm 1.7) \times 10^{-11}$ | -2.161325 | 4×10^{-7} | 4.00×10^{-6} | -1.4×10^{-15} | 220 |

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714 dotted line are convecting throughout the simulated time, and those below are not.

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LIST OF FIGURES

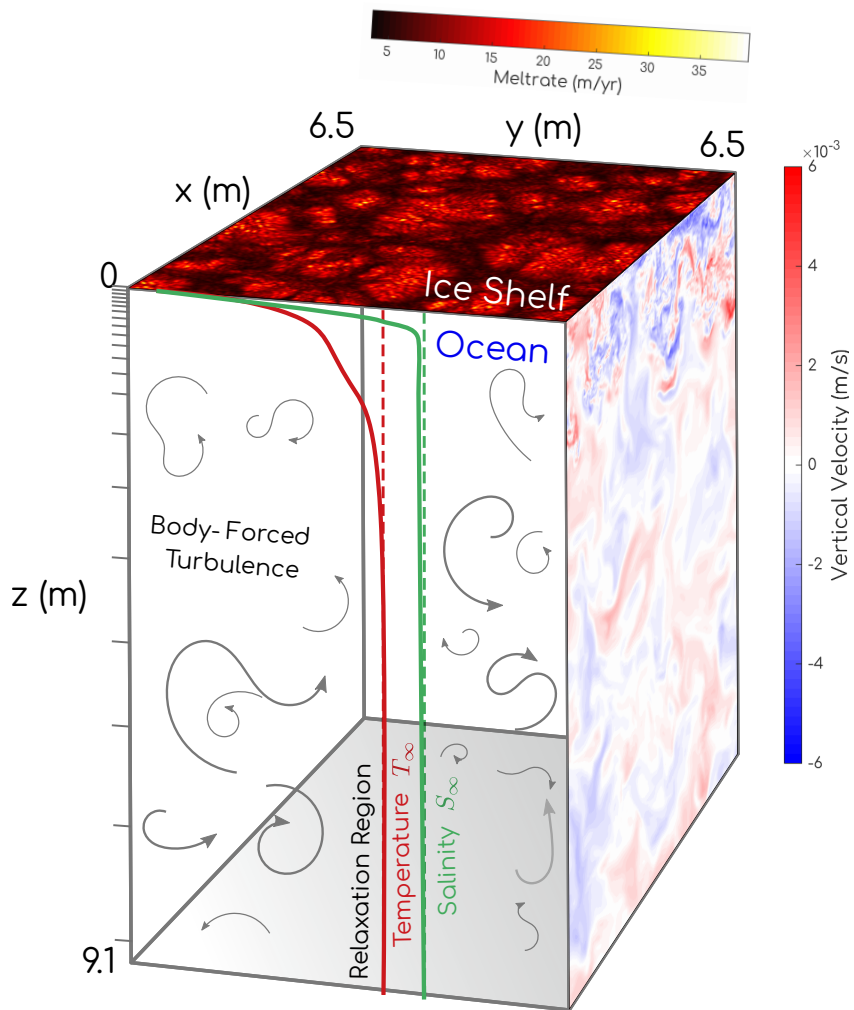
- Fig. 1.** Schematic of model domain with included snapshots of vertical velocity field and melt rate for warm, low mechanical forcing simulation 1B listed in Table 1. 38
- Fig. 2.** Horizontally-averaged temperature (a), salinity (b) and density (c) averaged over an hour, at hours 1, 10, 50, 100 and 200 for the cold, low mechanical forcing simulation 2B. Additionally we have shown the diffusive solution profiles (Martin and Kauffman 1977) and the simulation profiles at $t = 10$ mins which compare well. The inset shows the upper 20 cm in each panel, showing the full variation in the scalars. 39
- Fig. 3.** Time evolution of the horizontally-averaged turbulent buoyancy flux $\langle w'b' \rangle$ for simulation runs 2B (cold, low mechanical forcing), 2C (cold, high mechanical forcing) and 1B (warm, high mechanical forcing). Positive values signify stratification acting to transfer available potential energy to turbulent kinetic energy and negative values indicate stratification acting to transfer turbulent kinetic energy into available potential energy. First 30 hours of each simulation are shown concurrently, then later times are shown for simulations 1A and 2B. 40
- Fig. 4.** Vertical velocity slices at $t = 1$ hr for simulation runs 2B (cold, low mechanical forcing), 2C (cold, high mechanical forcing) and 1B (warm, high mechanical forcing). All plots are on the same color scale to illustrate relative magnitudes of vertical velocities. Horizontal slices (lower panels) taken at 1m depth (location shown with dotted line in upper panels). 41
- Fig. 5.** Turbulent flux of temperature, salinity and buoyancy, averaged between 1 m and 3 m depth, integrated in Fourier space up to wavenumber k . Values taken from 3D fields at $t = 1$ hr for simulation runs 2B (cold, low mechanical forcing), 2C (cold, high mechanical forcing) and 1B (warm, high mechanical forcing) as in Figure 4. The Fourier transform is denoted using $\hat{\cdot}$ and the complex conjugate is denoted by $*$. The wavenumber $k = \sqrt{k_x^2 + k_y^2}$ is the horizontal radial wavenumber. Values of the integral $\int_0^k \langle \hat{w} \hat{\Theta}^* \rangle dk$ converge for $\Theta = b', g\alpha T', g\beta S'$ with increasing wavenumber, suggesting the resolution is sufficient to capture the scalar fluxes. The cutoff frequency k_c used in the $\frac{2}{3}$ de-aliasing rule is included as a dashed vertical line. 42
- Fig. 6.** Melt rate for cases 1A, 1B, 1C (the relatively warm cases) and for cases 2A, 2B, 2C (the relatively cold cases). The diffusive solution (Martin and Kauffman 1977) is shown in a dotted line for both warm and cold cases. 43
- Fig. 7.** Horizontal melt rate patterns for cases 1B and 2B. Snapshots taken at the same time as in Figure 4. Also included snapshot from the passive spin up simulation to compare patterning (melt rate values are inflated in this case due to lack of stable haline sublayer, so not included). 44
- Fig. 8.** Diapycnal buoyancy flux (color) for relatively warm cases 1B and 1C and relatively cold cases 2B and 2C. 3D gradients are used to compute the diapycnal flux, $\nabla b_p \cdot \hat{n}$, the gradient ratio $G_\rho = \frac{\alpha |\nabla T|}{\beta |\nabla S|}$ and the angle θ between $-\nabla T$ and ∇S . A random set of $1/1000^{th}$ of the points are then plotted as a scatter graph in (G_ρ, θ) space, coloured by the diapycnal flux. The line $f(G_\rho, \theta) = 0$ is plotted in black and divides the negative values of diapycnal flux (up-gradient) on the inside of the line from the positive (down-gradient) values outside of the line. To the right of each scatter plot is an average over the diapycnal flux across G_ρ i.e. $\langle \nabla b_p \cdot \hat{n} \rangle_{G_\rho}$, on the same colour bar as the scatter plot. Note the gradient ratio G_ρ is on a log scale. 45

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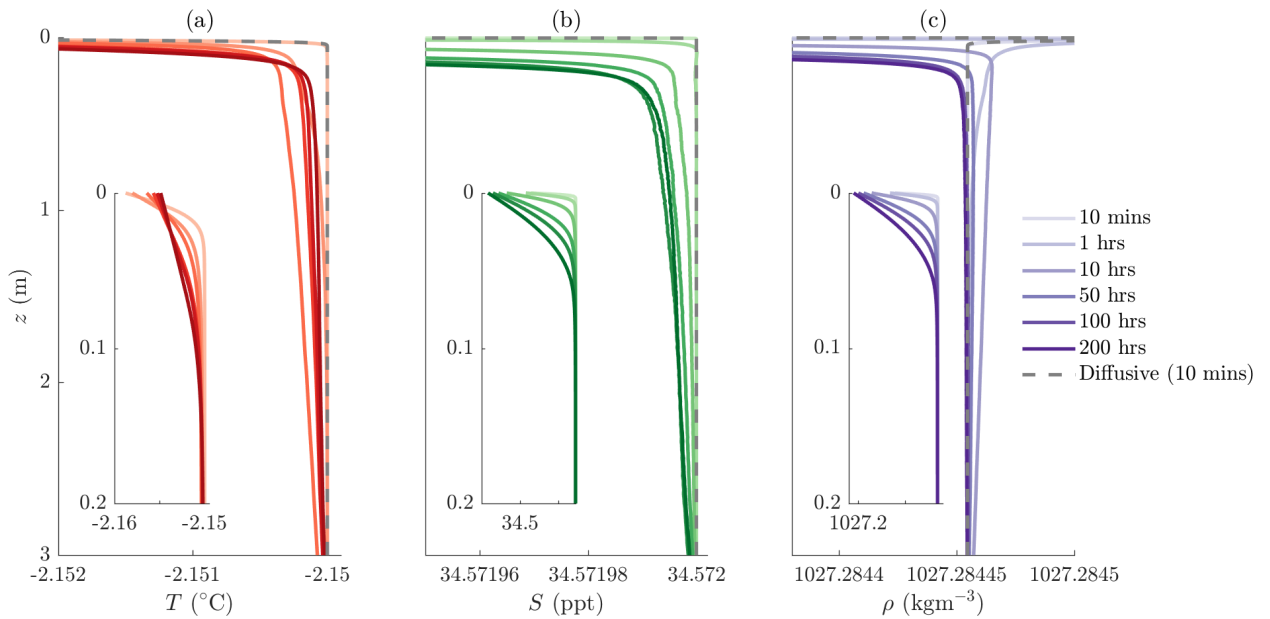
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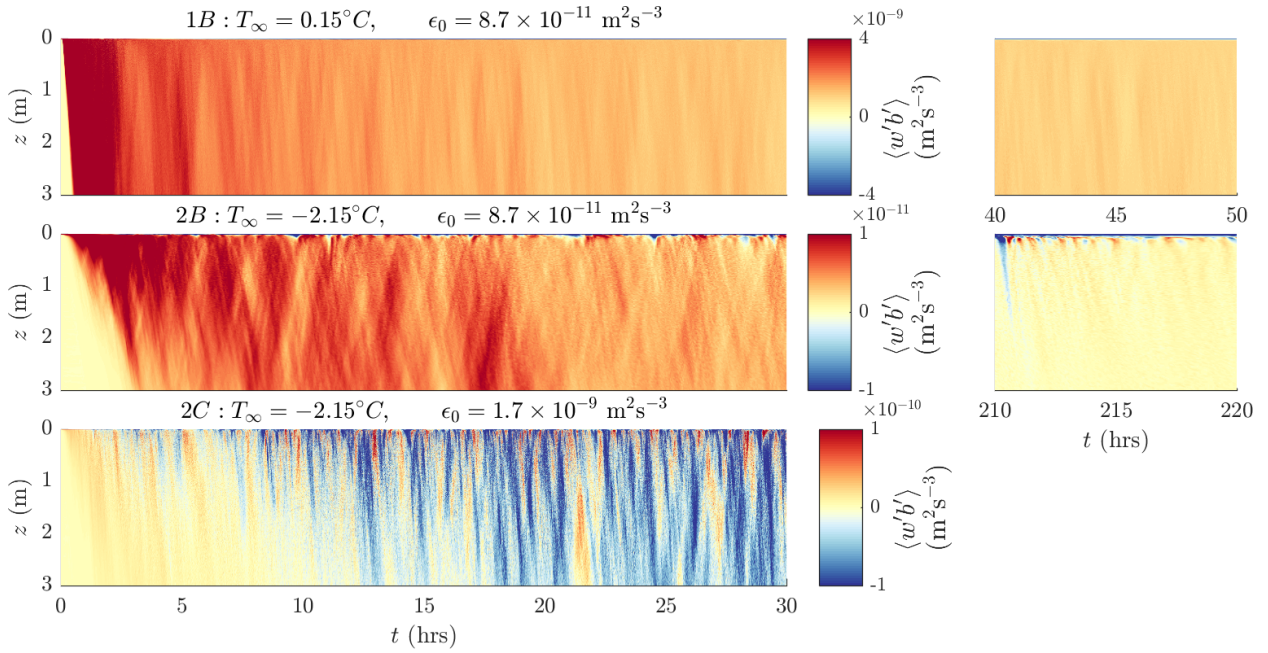
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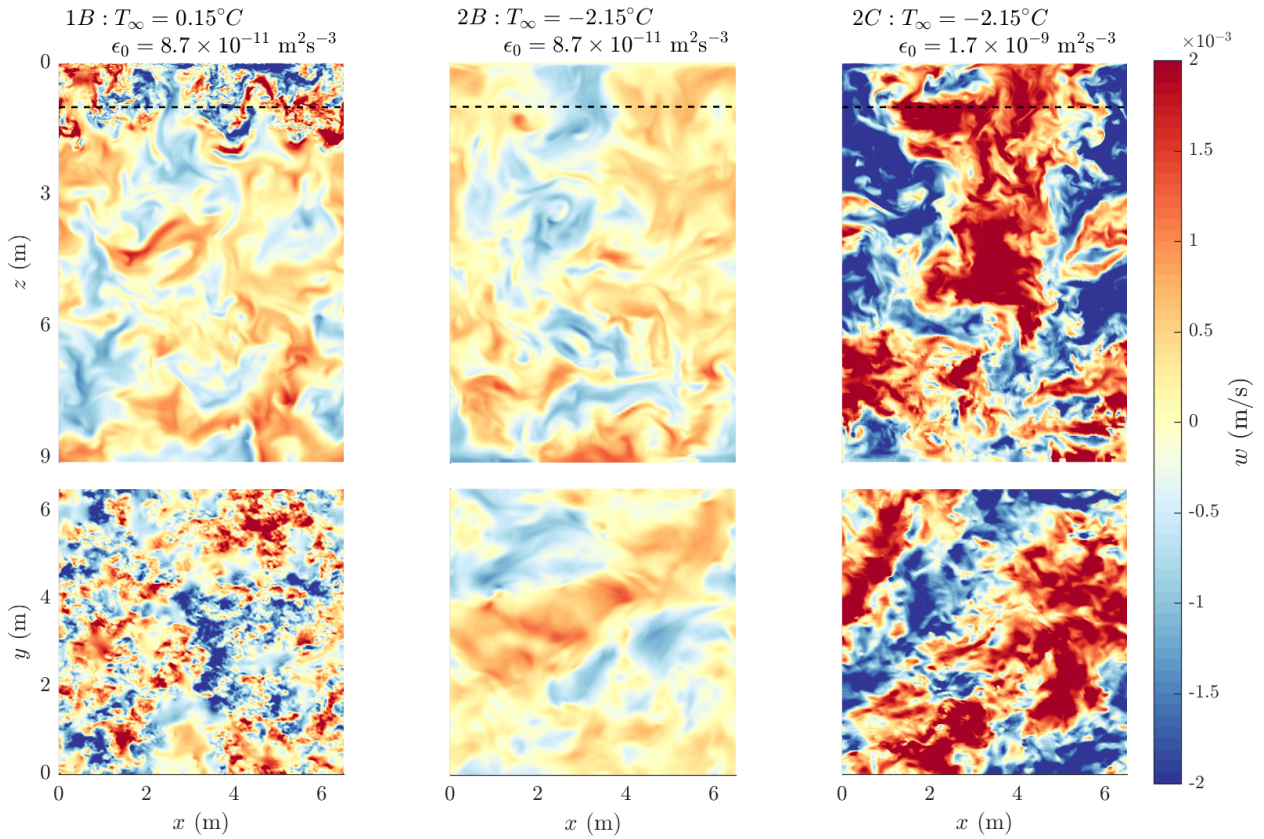
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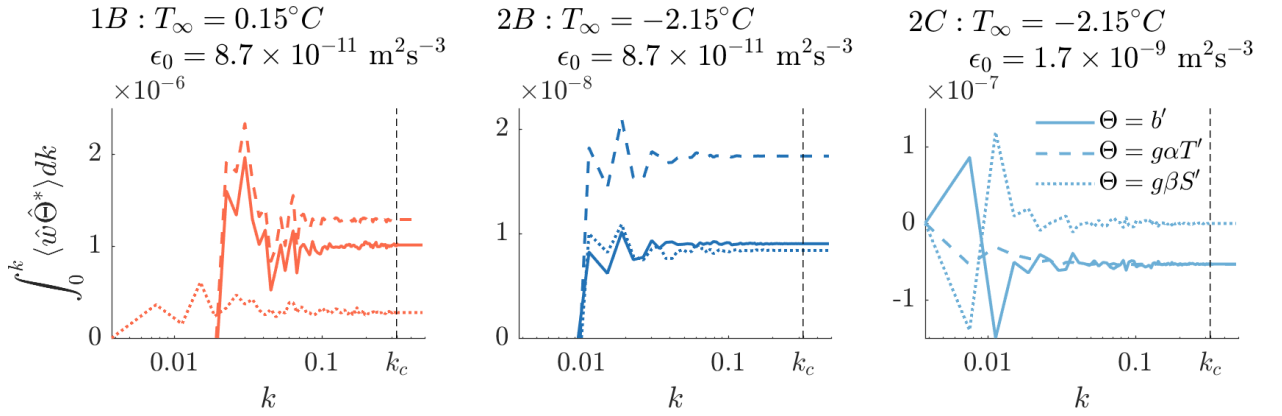
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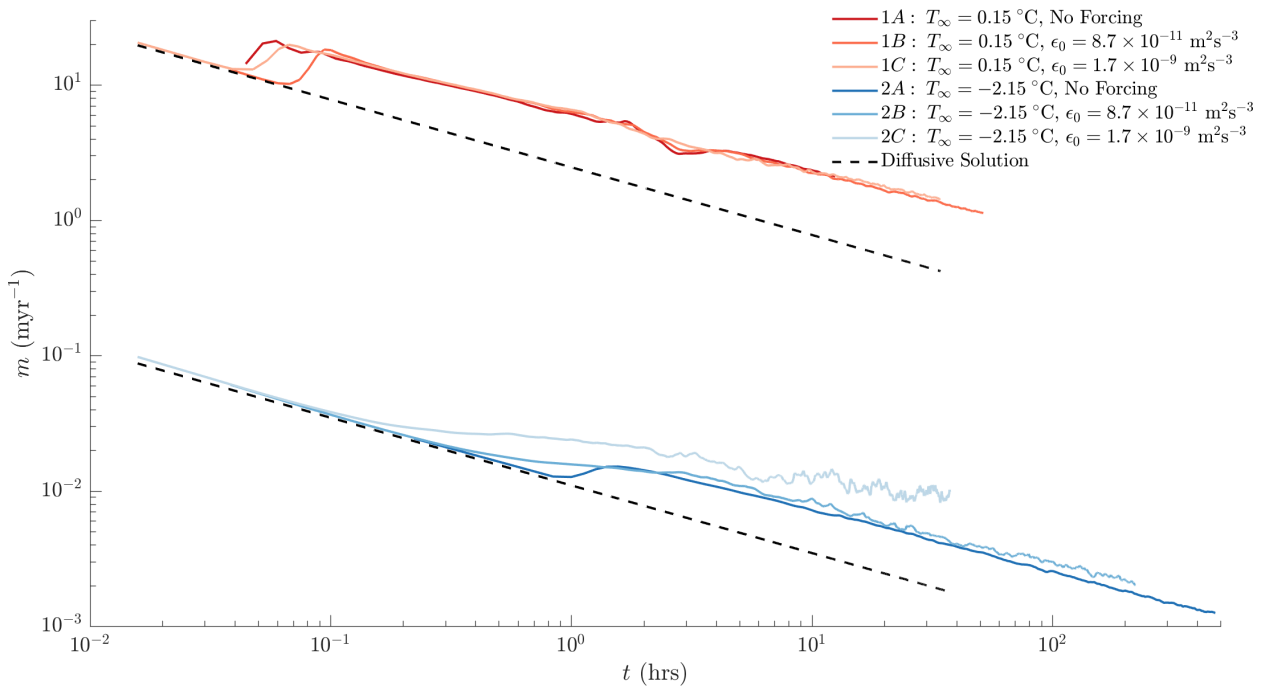
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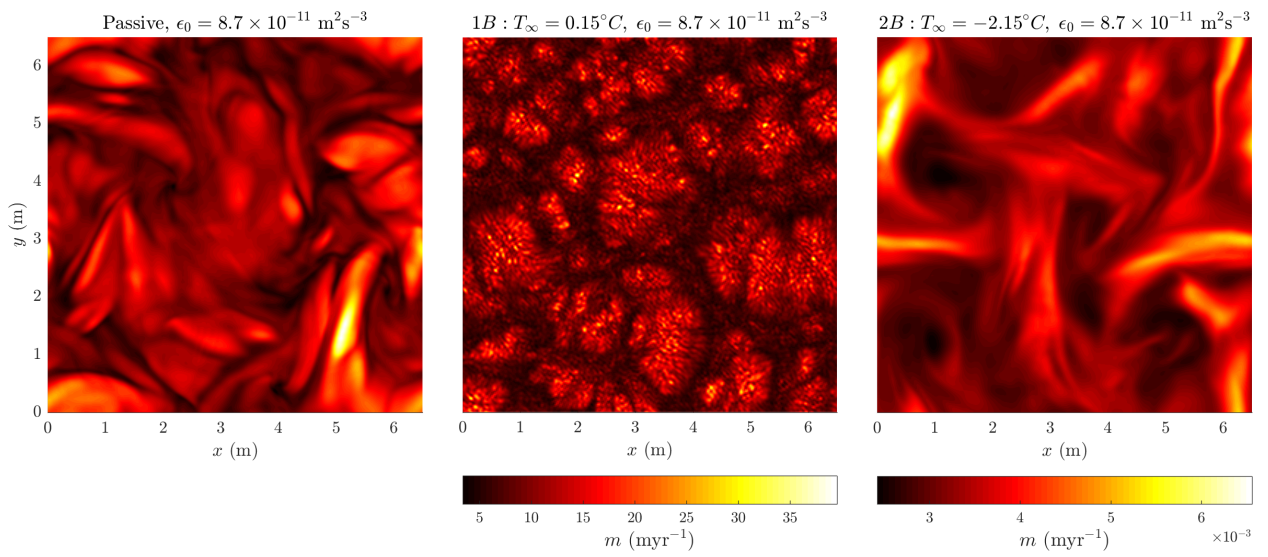
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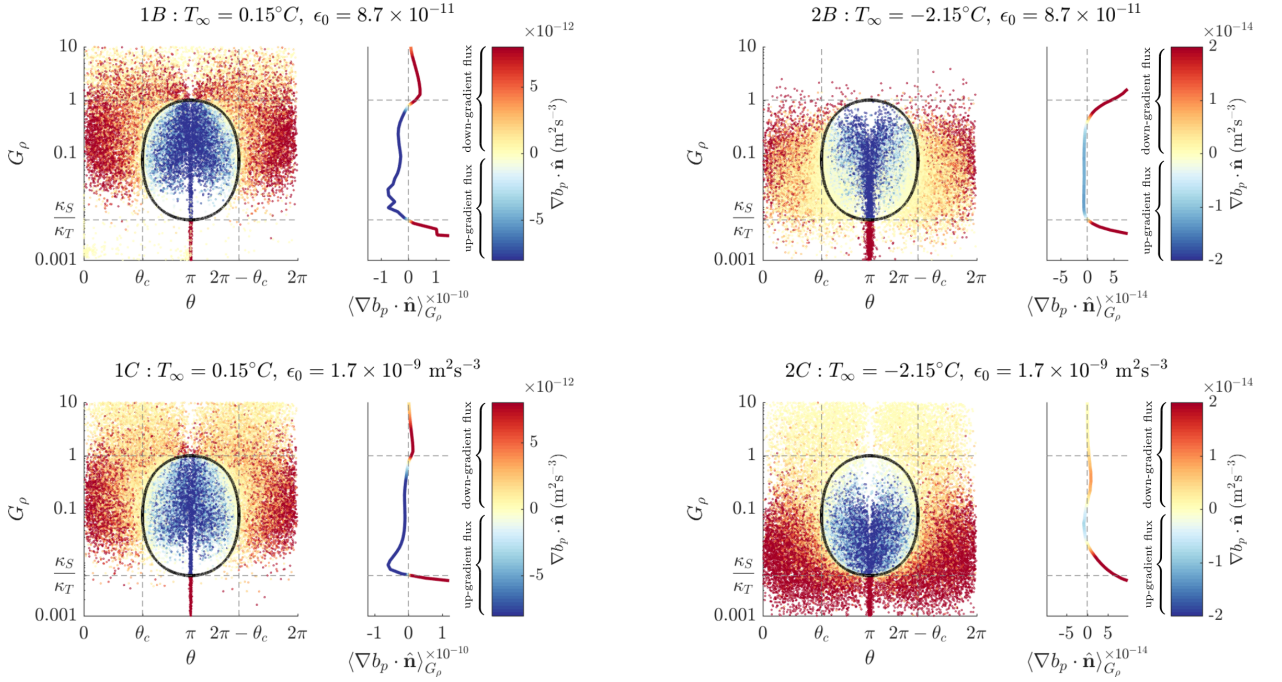
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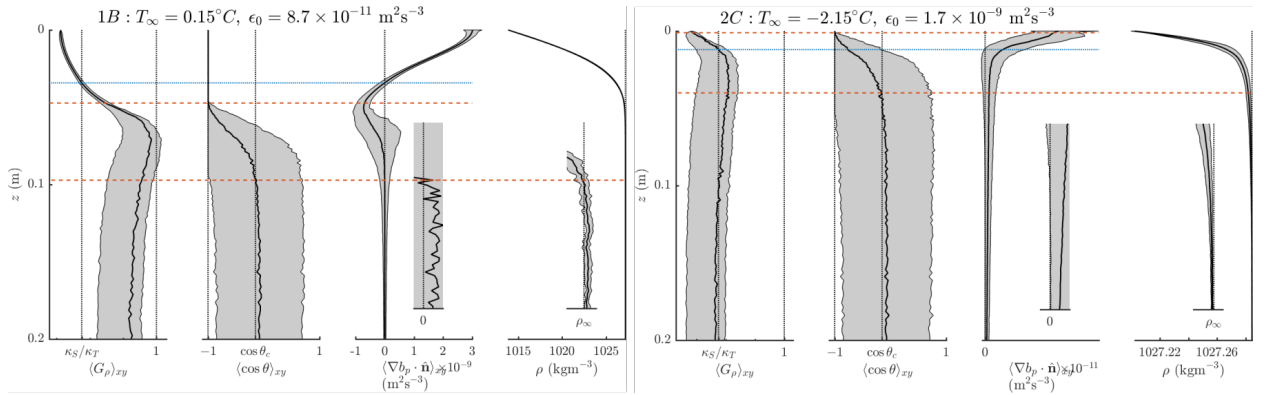
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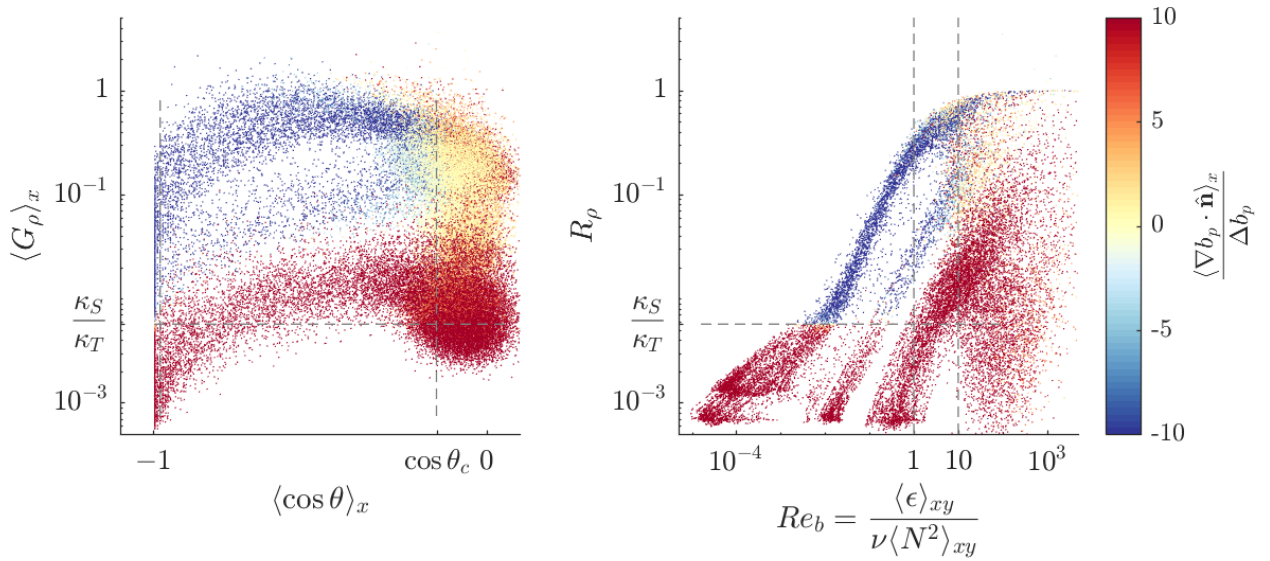
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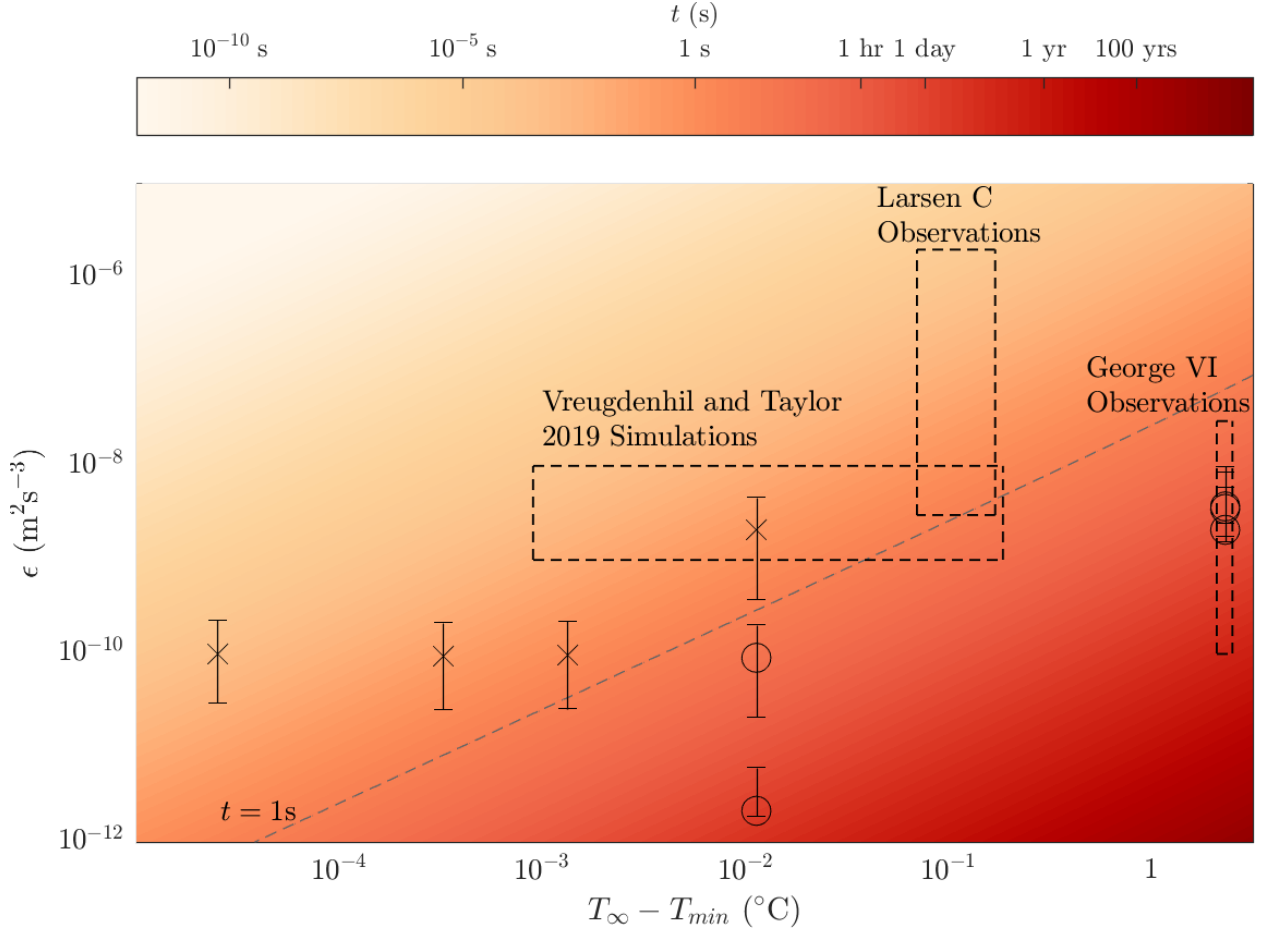
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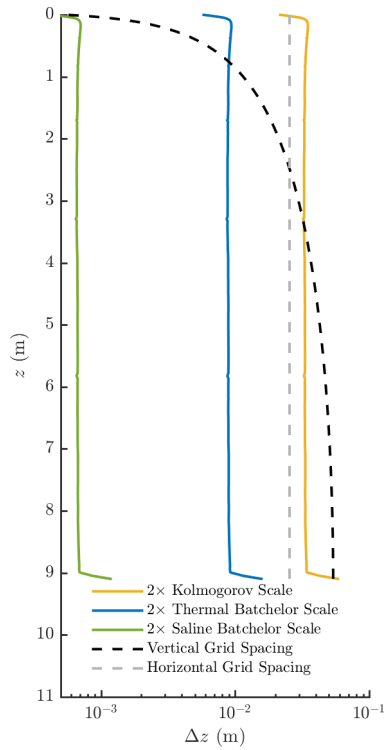
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