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## Characterizing the Clinical Features and Atrophy Patterns of *MAPT*-Related Frontotemporal Dementia With Disease Progression Modeling

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*Keywords:* Frontotemporal dementia, MAPT.

## Abstract

**Background and Objective:** Mutations in the *MAPT* gene cause frontotemporal dementia (FTD). Most previous studies investigating the neuroanatomical signature of *MAPT* mutations have grouped all different mutations together and shown an association with focal atrophy of the temporal lobe. However, the variability in atrophy patterns between each particular *MAPT* mutation is less well characterised. We aimed to investigate whether there were distinct groups of *MAPT* mutation carriers based on their neuroanatomical signature.

**Methods:** We applied Subtype and Stage Inference (SuStaIn), an unsupervised machine learning technique that identifies groups of individuals with distinct progression patterns, to characterise patterns of regional atrophy in *MAPT*-associated FTD within the Genetic FTD Initiative (GENFI) cohort study.

**Results:** 82 *MAPT* mutation carriers were analysed, the majority of whom had P301L, IVS10+16 or R406W mutations, along with 48 healthy non-carriers. SuStaIn identified two groups of *MAPT* mutation carriers with distinct atrophy patterns: a 'temporal' subtype in which atrophy was most prominent in the hippocampus, amygdala, temporal cortex and insula, and a 'frontotemporal' subtype in which atrophy was more localised to the lateral temporal lobe and anterior insula, as well as the orbitofrontal and ventromedial prefrontal cortex and anterior cingulate. There was a one-to-one mapping between IVS10+16 and R406W mutations and the temporal subtype, and a near one-to-one mapping between P301L mutations and the frontotemporal subtype. There were differences in clinical symptoms and neuropsychological test scores between subtypes: the temporal subtype was associated with amnesic symptoms, whereas the frontotemporal subtype was associated with executive dysfunction.

**Discussion:** Our results demonstrate that different *MAPT* mutations give rise to distinct atrophy patterns and clinical phenotype, providing insights into the underlying disease biology, and potential utility for patient stratification in therapeutic trials.

ACCEPTED

## Introduction

Frontotemporal dementia (FTD) is a heterogeneous disorder characterised by behavioural and language difficulties. Around a third of cases are inherited on an autosomal dominant basis, with the majority being due to mutations in progranulin (*GRN*), chromosome 9 open reading frame 72 (*C9orf72*) or microtubule-associated protein tau (*MAPT*)<sup>1</sup>. Previous studies have shown that the heterogeneity of FTD is in part related to distinct clinical features and atrophy patterns *between* these different genetic groups<sup>2,3</sup>. However, there can also be substantial phenotypic heterogeneity *within* each genetic group<sup>4</sup>.

Although more than 70 *MAPT* mutations have been identified to date, only a few are common, with P301L, IVS10+16 and R406W being the most frequently described<sup>5</sup>. Within group pathological heterogeneity in *MAPT* mutation carriers is related to the location of the mutation in the gene<sup>6</sup>, and there is some evidence that phenotypic heterogeneity is similarly affected by the position of the mutation<sup>5,7</sup>. However, studying the effect of specific mutations on disease phenotype is difficult because there are typically only a few individuals with each particular mutation. Here we took the reverse approach in which we used an unsupervised learning technique – Subtype and Stage Inference (SuStaIn)<sup>4</sup> – to identify subgroups within *MAPT* mutation carriers with similar atrophy patterns. This enabled us to compare the *MAPT* mutations of individuals assigned to each subtype, providing greater statistical power than considering each mutation separately. Moreover, the SuStaIn subtypes account for heterogeneity in disease stage, improving the accuracy of the subtyping assignments<sup>4</sup> by removing a key confound from the analysis and enabling subtyping of presymptomatic individuals. We further compared the clinical phenotypes of each subtype to gain insight into the relationship between *MAPT* mutation, atrophy pattern and clinical presentation.



## **Methods**

### *Subjects*

The Genetic FTD Initiative (GENFI) is a cohort study enrolling symptomatic carriers of mutations in the genes causing FTD as well as their adult (> age 18) at-risk first-degree relatives (i.e. both presymptomatic mutation carriers and people who are mutation-negative i.e. non-carriers). For this study, all *MAPT* mutation carriers (82 total: 25 symptomatic, 57 presymptomatic) who had cross-sectional volumetric T1-weighted magnetic resonance imaging (MRI) data available from Data Freeze 4 of GENFI<sup>2</sup> were selected for inclusion in our analysis. As a control population for z-scoring imaging data, we used data from 300 non-carriers from the GENFI cohort with available cross-sectional volumetric MRI. As a control population for statistical testing, we used data from the 48 of these non-carriers that were first-degree relatives of known symptomatic carriers of mutations in the *MAPT* gene. 50 of the 82 *MAPT* mutation carriers had follow-up MRI scans at one or more time points (total of 92 follow-up scans available), which were used to check the consistency of the SuStaIn subtype and stage assignments at follow-up.

### *Standard Protocol Approvals, Registrations, and Patient Consents*

Local ethics committees at each of the sites approved the study and all participants provided informed written consent.

### *Imaging data*

The acquisition and post-processing procedures have been described previously <sup>2</sup>. Briefly, cortical and subcortical volumes were generated using a multi-atlas segmentation

propagation approach known as Geodesic Information Flow or GIF <sup>8</sup> on T1-weighted MR images. The volumes of 19 cortical and 7 subcortical regions were calculated comprising the orbitofrontal cortex, dorsolateral prefrontal cortex, ventromedial prefrontal cortex, motor cortex, opercular cortex, frontal pole, medial temporal cortex, lateral temporal cortex, temporal pole, supratemporal cortex, medial parietal cortex, lateral parietal cortex, sensory cortex, occipital cortex, anterior cingulate cortex, middle cingulate cortex, posterior cingulate cortex, anterior insular cortex, posterior insular cortex, amygdala, hippocampus, caudate, putamen, nucleus accumbens, globus pallidus, and thalamus. The total cerebellar volume was also calculated. A list of the GIF subregions included in each cortical region is included in eTable 1. All volumes were corrected for head size (total intracranial volume calculated using SPM 12 <sup>9</sup>), scanner field strength (1.5T or 3T), age and sex by estimating a linear regression model in a control population of 300 non-mutation carriers (see Methods: Subjects) and then propagating this model to the *MAPT* mutation carriers. There were no significant differences in head size ( $p=0.80$ , t-test), field strength ( $p=0.37$ , Chi-squared test), age ( $p=0.56$ , t-test) or sex ( $p=0.35$ , Chi-squared test) between the *MAPT* mutation carriers and the control population, and the control population covered a wider age range than the mutation carriers. The corrected volumes were then converted into z-scores relative to the control population for use as input to SuStaIn, giving the control population a mean of 0 and a standard deviation of 1. As regional brain volumes decrease with disease progression, the z-scores become negative as the disease progresses. For simplicity, we multiplied the z-scores by -1, giving positive z-scores that increase with disease progression.

### ***Genetic data***

Sequencing was performed at each site to determine the presence of the specific *MAPT* mutation. To avoid unblinding of genetic status (mutation carrier or non-carrier) for

individuals from families with rare mutations, in the presymptomatic mutation carrier group we only report the individual mutations if there are also non-carriers with that particular mutation, or for individuals who converted to being symptomatic during follow-up.

### *Clinical data and neuropsychology*

All participants underwent the standard GENFI clinical and neuropsychological assessment<sup>2</sup>. The GENFI clinical assessment includes noting the presence of behavioural, neuropsychiatric, language, cognitive, and motor symptoms on a scale similar to the Clinical Dementia Rating instrument with 0 representing no symptoms, 0.5 questionable or very mild symptoms, and then 1, 2 and 3 representing mild, moderate and severe symptoms<sup>10</sup>. The revised version of the Cambridge Behavioural Inventory (CBI-R) was also performed<sup>11</sup>. The neuropsychological battery included the WMS-R Digit Span Forwards and Backwards (total score), the Trail Making Test A and B (total time to complete and number of errors noted), WAIS-R Digit Symbol, Boston Naming Test (30-item modified version), verbal fluency (category and phonemic), and WASI Block Design (total score)<sup>2</sup>.

### *Subtype and Stage Inference*

SuStaIn was used to identify subgroups of *MAPT* mutation carriers with distinct progression patterns from cross-sectional imaging data<sup>4</sup>. SuStaIn simultaneously clusters individuals into groups (subtypes) and reconstructs a disease progression pattern (set of stages) for each group using disease progression modelling techniques. Each progression pattern is described using a piecewise linear z-score model, consisting of a series of stages where each stage corresponds to a biomarker (volume of a brain region) reaching a new z-score. The optimal number of subtypes was determined using information criterion

calculated through cross-validation <sup>12</sup> to balance model complexity with internal model accuracy, as in <sup>4</sup>. The subtype progression patterns identified by SuStaIn were visualised using BrainPainter <sup>13</sup>.

### *Assigning individuals to subtypes and stages*

Individuals were subtyped by comparing the likelihood they belonged to each SuStaIn subtype (summing over SuStaIn stage) with the likelihood they were at SuStaIn stage 0 (i.e. had no imaging abnormalities). We termed individuals with a higher probability of belonging to SuStaIn stage 0 than any of the SuStaIn subtypes 'normal appearing', and individuals with a higher probability of belonging to a SuStaIn subtype than to SuStaIn stage 0 as 'subtypable'. Each 'subtypable' individual was then assigned to their most probable subtype. Individuals were staged by computing their average SuStaIn stage, weighted by the probability they belonged to each stage of each subtype.

### *Statistical Analysis*

We compared the demographics of participants assigned to each group (normal-appearing and each of the SuStaIn subtypes). To compare whether there were any differences between groups, we performed pairwise comparisons between groups using t-tests for continuous variables and chi-squared tests for categorical variables. We tested whether any mutations had a significantly different proportion of individuals assigned to each subtype by performing a chi-squared test comparing the number of individuals assigned to each subtype for each mutation vs. all the other mutations. We performed two sets of analyses to compare the clinical and neuropsychological test scores between individuals assigned to each of the SuStaIn subtypes. In the first set of analyses we used Mann-Whitney U tests to perform pairwise comparisons between the subset of non-carriers who were relatives of

individuals with *MAPT* mutations (N=48) and symptomatic *MAPT* mutation carriers assigned to each SuStaIn subtype (N=25 in total). In the second set of analyses we accounted for SuStaIn stage, age and sex, by fitting the linear model  $\text{score} \sim \text{subtype} + \text{stage} + \text{age} + \text{sex}$  for each test, including data from all subtypable mutation carriers (N=34; 9 pre-symptomatic and 25 symptomatic). We report statistical significance at a level of  $p < 0.05$ , and at the Bonferroni corrected level of  $p < 0.001$  for the clinical scores (43 items), and  $p < 0.005$  for the neuropsychology scores (11 items) to account for multiple comparisons.

### ***Data Availability***

Data can be obtained according to the GENFI data sharing agreement, after review by the GENFI data access committee with final approval granted by the GENFI steering committee. Source code for the SuStaIn algorithm is available at <https://github.com/ucl-pond/>.

## **Results**

### ***Participant demographics***

Table 1 shows the demographics of the participants included in this study. SuStaIn was applied to 82 *MAPT* mutation carriers (25 symptomatic, 57 presymptomatic), consisting predominantly of individuals with P301L (N=38), IVS10+16 (N=20) and R406W (N=9) mutations, but there were also additional rarer mutations, which are not fully disclosed to avoid unblinding of the genetic status. The vast majority of symptomatic mutation carriers (23 out of 25) had a diagnosis of behavioural variant FTD, with one individual having a diagnosis of corticobasal syndrome, and another having a diagnosis of dementia that was not otherwise specified.

### *Subtype progression patterns*

SuStaIn identified two groups of *MAPT* mutation carriers with distinct patterns of regional atrophy (Figure 1). The first group, which we termed the 'temporal subtype', had atrophy in the hippocampus, amygdala, medial and lateral temporal cortex, and temporal pole as well as anterior and posterior insular cortex at early SuStaIn stages. The second group, which we termed the 'frontotemporal subtype', had atrophy in the orbitofrontal cortex, ventromedial prefrontal cortex, lateral temporal lobe, anterior insula cortex and anterior cingulate at early SuStaIn stages. Thus, early atrophy in the anterior insula and lateral temporal lobe was a common feature of both subtypes, whilst early atrophy in the medial temporal lobe, temporal pole, posterior insula, hippocampus and amygdala was a distinctive feature of the temporal subtype, and early atrophy in frontal regions and the anterior cingulate was a distinctive feature of the frontotemporal subtype.

### *Subtype prevalence*

Amongst the 25 symptomatic mutation carriers, 0 (0%) were categorised as normal appearing (i.e. assigned to very early SuStaIn stages at which there is low confidence in the subtype assignment), 20 (80%) were assigned to the temporal subtype and 5 (20%) were assigned to the frontotemporal subtype. Of the 57 presymptomatic mutation carriers, 48 (84%) were assigned to the normal appearing group, 3 (5%) were assigned to the temporal subtype, and 6 (11%) were assigned to the frontotemporal subtype. Overall this gave a total of 33 'subtypable' (i.e. with detectable imaging abnormalities) mutation carriers, with a total of 23 individuals (68%) in the temporal subtype and 11 individuals (32%) in the frontotemporal subtype at baseline.

### *Subtype demographics*

Table 1 shows the demographics of the normal appearing group, temporal subtype and frontotemporal subtype. There were significant differences in age at visit, proportion of symptomatic individuals, and EYO between the three groups, but no differences in the proportion of men and women. The normal-appearing group were the youngest (mean age of  $38.3 \pm 11.1$  years), contained no symptomatic individuals, and had the longest estimated time until onset (average EYO of  $-15.0 \pm 11.2$  years). The temporal group were the oldest (mean age of  $59.0 \pm 8.9$  years), had the highest (87%) proportion of symptomatic individuals, and had the least estimated time until onset (average EYO of  $4.8 \pm 5.8$  years, i.e. past onset). The frontotemporal group had a mean age of  $47.7 \pm 10.6$  years, 45% symptomatic individuals, and an average EYO of  $-1.7 \pm 8.7$  years. SuStaIn stage was significantly correlated with EYO in the subtypable mutation carriers ( $r=0.54$ ,  $p<0.001$ ,  $N=34$ ), with a similar correlation coefficient when analysing each subtype individually (temporal:  $r=0.49$ ,  $p=0.017$ ,  $N=23$ ; frontotemporal:  $r=0.51$ ,  $p=0.110$ ,  $N=11$ ).

#### *Association between MAPT mutation and subtype assignment*

We compared the subtype assignments (temporal vs. frontotemporal) of individuals with different *MAPT* mutations, excluding the normal appearing individuals assigned to very early SuStaIn stages at which there is low confidence in their subtype assignment. Table 2 compares the *MAPT* mutations of individuals assigned to each subtype. There was a one-to-one mapping between IVS10+16 and R406W mutations and assignment to the temporal subtype: 9/9 subtypable IVS10+16 mutation carriers and 7/7 subtypable R406W mutation carriers were assigned to the temporal subtype ( $p=0.016$  for IVS10+16 vs. all other mutations and  $p=0.040$  for R406W vs. all other mutations). There was a strong association between P301L mutations and assignment to the frontotemporal subtype ( $p<0.001$  vs. all other mutations): 9/10 subtypable P301L mutation carriers were assigned to the frontotemporal

subtype, with one subtypable P301L mutation carrier being assigned to the temporal subtype.

### *Longitudinal consistency of subtypes*

50 of the 82 *MAPT* mutation carriers had annual follow-up MRI scans at one or more time points, with a total of 92 follow-up scans available. Subtype assignments were generally very stable at follow-up (Table 3), with subtype assignment remaining the same at 88 of the 92 follow-up visits. At the other four visits, three individuals progressed from the normal-appearing group to the temporal subtype, and one individual assigned to the frontotemporal subtype reverted to normal-appearing. No individuals changed from the temporal subtype to the frontotemporal subtype or vice versa. The individual who reverted from the frontotemporal subtype to normal-appearing at follow-up was only weakly assigned to the frontotemporal subtype at baseline, with a probability of 0.55 for frontotemporal and 0.38 for normal-appearing. Of the three individuals that progressed to the temporal subtype, two had IVS10+16 mutations and one had a rare mutation (undisclosed to avoid unblinding of genetic status). All three individuals were presymptomatic at baseline and remained presymptomatic at all available follow-up visits. Figure 2 shows the SuStaIn stages of individuals at follow-up compared to baseline. As expected, most individuals either progressed in stage or remained at the same stage at follow-up (i.e. are on or above the line  $y=x$ ).

### *Conversion from presymptomatic to symptomatic stage*

Two individuals converted from being presymptomatic to symptomatic within the current observational period of the study, both of whom were identified by SuStaIn as abnormal at baseline (i.e. were assigned to a subtype rather than to the normal appearing group).



Although both individuals had G272V mutations, one was assigned to the temporal subtype and the other to the frontotemporal subtype. Each individual had one available follow-up visit at which their respective subtype assignments remained the same.

### *Neuropsychological profile of subtypes*

Table 4 shows the relationship between neuropsychological test scores and SuStaIn subtype and stage across all subtypable carriers (presymptomatic and symptomatic), accounting for age and sex. eTable 2 reports the mean and median test scores in symptomatic carriers assigned to each subtype. Performance on the Digit Span forwards and Block Design tasks was worse in the frontotemporal subtype but unrelated to SuStaIn stage, suggesting that performance on these tests has a stronger decline with disease progression in the frontotemporal subtype. Performance on the Boston Naming Test and both category and phonemic fluency tests was related to SuStaIn stage but not SuStaIn subtype, suggesting that these tests decline with disease progression in both subtypes. Performance on the Trail Making Test A and B and Digit Symbol tasks was worse in the frontotemporal subtype and related to SuStaIn stage, suggesting that these scores decline with disease progression in both subtypes but the overall scores are worse in the frontotemporal subtype. The associations between SuStaIn subtype and scores on the Digit Span forwards and Block Design tests, and SuStaIn stage and number of errors on the Trail Making Test A and B survived Bonferroni correction for multiple comparisons. In eTable 2 we further report group comparisons of test scores in symptomatic mutation carriers between subtypes, without correction for SuStaIn stage, age or sex. Amongst symptomatic carriers, the Digit Span forwards score remains significantly different between the temporal and frontotemporal subtype ( $p=0.009$ ) without correcting for confounders.

### *Clinical characteristics of subtypes*

Table 5 shows the relationship between neuropsychological test scores and SuStaIn subtype and stage across all subtypable carriers (presymptomatic and symptomatic), accounting for age and sex. eTable 3 reports the mean and median scores in symptomatic carriers assigned to each subtype. Memory impairment score on the GENFI symptom scales (equivalent to the Memory item on the CDR), and Memory and Orientation score on the CBI-R were worse in the temporal subtype but showed no relationship with SuStaIn stage, suggesting that memory decline is a feature of the temporal subtype only. Several clinical symptoms worsened with SuStaIn stage but were not related to SuStaIn subtype, suggesting that these are features of both subtypes. These symptoms were disinhibition, ritualistic or compulsive behaviour, delusions, impaired grammar/syntax, dysgraphia, impaired functional communication, dysphagia on the GENFI symptom scales, and abnormal behaviour and abnormal beliefs on the CBI-R. However, a large number of tests were performed, and consequently none survived Bonferroni correction for multiple comparisons. In eTable 3 we further report group comparisons of test scores in symptomatic mutation carriers between subtypes, without correction for SuStaIn stage, age or sex. The memory impairment scores on both the GENFI symptom scales and the CBI-R remain significantly different ( $p=0.003$  and  $p=0.007$  respectively) between symptomatic carriers assigned to the temporal and frontotemporal subtype without correcting for confounders.

### **Discussion**

We identified two distinct patterns of regional neurodegeneration in *MAPT* mutation carriers: a 'temporal' subtype and a 'frontotemporal' subtype. Each pattern was associated with different *MAPT* mutations and distinct cognitive and clinical symptoms. Our results

provide new insights into the progression of tau pathology in *MAPT* mutations, whilst also having potential utility for patient stratification.

The temporal and frontotemporal progression patterns identified by SuStaIn demonstrate that there are both common and distinct features between the two subtypes. Both subtypes have early volume loss in the anterior insula and lateral temporal lobe, however in the early stages of the temporal subtype this atrophy is more widespread across other temporal lobe regions including the hippocampus and amygdala, as well as the posterior insula, whilst in the early stages of the frontotemporal subtype there is additional atrophy in frontal regions. Our findings are broadly in agreement with the patterns identified in the prior studies by Whitwell et al. <sup>7</sup> and Chu et al. <sup>14</sup>, but account for variability in disease stage across individuals and use a larger sample size. Importantly, using SuStaIn we are able to automatically group the mutations and reconstruct the full progression of atrophy including very early stages, which we can identify in presymptomatic individuals.

A higher proportion of presymptomatic mutation carriers were assigned to the frontotemporal subtype, and consequently the frontotemporal group were younger and further from onset than those assigned to the temporal subtype. This could indicate that the frontotemporal group tend to have less noticeable symptoms relative to the amount of neurodegeneration, either because they have greater cognitive reserve or because the symptoms are atypical compared to the expected set of symptoms in *MAPT* mutations. Alternatively, a higher proportion of presymptomatic individuals may indicate a longer presymptomatic phase amongst those assigned to the frontotemporal group.

SuStaIn identified a one-to-one mapping between assignment to the temporal subtype and IVS10+16 and R406W mutations, demonstrating that these two mutations have a very predictable atrophy pattern. This is in agreement with previous studies showing focal atrophy in the temporal lobe (particularly medially) in IVS10+16 and R406W mutation carriers <sup>7,15</sup>. Q351R, V363I and P397S mutations (found in either exon 13, similarly to R406W, or exon 12) also had a one-to-one mapping to the temporal subtype, but there were only a few individuals with these mutations in the study.

SuStaIn identified a strong relationship between P301L mutations and assignment to the frontotemporal subtype, with nine out of ten subtypable P301L mutation carriers being assigned to the frontotemporal subtype. This is in agreement with the results of Whitwell et al. <sup>7</sup> and Chu et al. <sup>14</sup>, who also identified P301L mutation carriers as having a different atrophy pattern to those with intronic mutations. Interestingly, individuals assigned to the frontotemporal subtype all had mutations occurring earlier in the *MAPT* gene (L266V and G272V, both in exon 9, and P301L in exon 10), suggesting a possible relationship between location in the *MAPT* gene and atrophy pattern. It was also notable that no mutation had a one-to-one mapping to the frontotemporal subtype, whereas IVS10+16, Q351R, V363I, P397S and R406W mutations all had a one-to-one mapping to the temporal subtype. This could be suggestive of multiple competing biological processes in L266V, G272V and P301L mutations, producing either a temporal or a frontotemporal subtype. The phenotype produced by these mutations may be modified by additional genetic or environmental factors <sup>16</sup>. Alternatively, the lack of a one-to-one mapping could simply be due to there being fewer samples from this group to train the SuStaIn algorithm on, making it more difficult to characterise the frontotemporal atrophy pattern.

The SuStaIn algorithm showed strong subtyping and staging capabilities: the subtype assignments were longitudinally consistent at 91 of the 92 follow-up visits, with 88 individuals remaining the same subtype and three individuals progressing from normal appearing to subtypable. The individual who reverted from the frontotemporal subtype to normal appearing at follow-up was only weakly assigned (probability of 0.55) to the frontotemporal subtype at baseline. Moreover, the two individuals who converted from being presymptomatic to symptomatic during the study were both subtypable (rather than normal appearing) at baseline, suggesting that the SuStaIn algorithm might have utility for predicting symptom onset.

The frontotemporal group had worse performance on the Digit Span, Trail Making Test, Digit Symbol and Block Design tasks compared to the temporal group, indicating greater deficits in tests that are likely to tap into executive function, consistent with the neuroanatomical findings of greater frontal lobe involvement. However, the temporal group had greater symptoms of memory impairment on the GENFI symptom scales and worse memory scores on the CBI-R. This is consistent with prior reports of episodic memory impairment in people with *MAPT* mutations<sup>17,18</sup>, a feature that is generally unusual and atypical in FTD, but may well be a specific feature of certain *MAPT* mutations.

There are a number of limitations to our study and opportunities for future work. Subtyping was performed by simply assigning individuals to their most probable SuStaIn subtype given their imaging data, however alternative methods for assigning subtypes using SuStaIn could be explored in future, such as only subtyping individuals with a high probability of matching one of the subtypes. These types of approaches may be particularly beneficial when using SuStaIn in new populations with different demographics or unseen *MAPT*

mutations. The statistical analysis of neuropsychological and clinical scores modelled SuStaIn subtype and stage simultaneously in order to pool data across the limited sample size, assuming that the test scores decline at the same rate within each subtype but have a different average value. There may be different rates of decline of test scores with stage within each subtype, which should be tested in future studies with larger sample sizes. Whilst our study gathered the largest sample of *MAPT* mutation carriers to date, the numbers are still small and some mutations were absent from our study, such as the V337M mutation, and thus the subtypes may not be generalisable to individuals with these unseen mutations.

Overall, our results provide strong evidence of distinct patterns of atrophy in P301L mutations compared to IVS10+16 and R406W mutations in the largest sample of *MAPT* mutation carriers collected to date. We demonstrate that these distinct atrophy patterns produce different clinical phenotypes, with the temporal subtype being associated with impaired episodic memory and the frontotemporal subtype being associated with more executive dysfunction. The subtyping and staging information provided by the SuStaIn algorithm shows potential clinical utility for identifying individuals at risk of conversion and predicting their mutation, as well as for patient stratification in forthcoming therapeutic trials. Our results further demonstrate the power of the SuStaIn algorithm for identifying novel relationships between imaging phenotypes, genetics and clinical presentation.

## Appendix 1. Authors

<b>Name</b>	<b>Location</b>	<b>Contribution</b>
Alexandra L Young	King's College London	Analyzed data, drafted manuscript
Martina Bocchetta	University College London	Clinical data collection and critical revision of the manuscript
Lucy L. Russell	University College London	Clinical data collection and critical revision of the manuscript
Rhian S. Convery	University College London	Clinical data collection and critical revision of the manuscript
Georgia Peakman	University College London	Clinical data collection and critical revision of the manuscript
Emily Todd	University College London	Clinical data collection and critical revision of the manuscript
David M. Cash	University College London	Clinical data collection and critical revision of the manuscript
Caroline V. Greaves	University College London	Clinical data collection and critical revision of the manuscript
John van Swieten	Erasmus Medical Centre	Clinical data collection and

		critical revision of the manuscript
Lize Jiskoot	Erasmus Medical Centre	Clinical data collection and critical revision of the manuscript
Harro Seelaar	Erasmus Medical Centre	Clinical data collection and critical revision of the manuscript
Fermin Moreno	Donostia University Hospital	Clinical data collection and critical revision of the manuscript
Raquel Sanchez-Valle	University of Barcelona	Clinical data collection and critical revision of the manuscript
Barbara Borroni	University of Brescia	Clinical data collection and critical revision of the manuscript
Robert Laforce Jr	Université Laval	Clinical data collection and critical revision of the manuscript
Mario Masellis	University of Toronto	Clinical data collection and critical revision of the manuscript
Maria Carmela Tartaglia	University of Toronto	Clinical data collection and critical revision of the



		manuscript
Caroline Graff	Karolinska Institutet	Clinical data collection and critical revision of the manuscript
Daniela Galimberti	University of Milan	Clinical data collection and critical revision of the manuscript
James B. Rowe	University of Cambridge	Clinical data collection and critical revision of the manuscript
Elizabeth Finger	University of Western Ontario	Clinical data collection and critical revision of the manuscript
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Rik Vandenberghe	KU Leuven	Clinical data collection and critical revision of the manuscript
Alexandre de Mendonça	University of Lisbon	Clinical data collection and critical revision of the manuscript
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Alex Gerhard	University of Manchester	Clinical data collection and critical revision of the manuscript
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Adrian Danek	Ludwig-Maximilians Universität München	Clinical data collection and critical revision of the manuscript
Markus Otto	University of Ulm	Clinical data collection and critical revision of the manuscript
Sandro Sorbi	University of Florence	Clinical data collection and critical revision of the manuscript
Steven CR Williams	King's College London	Supervision and critical

		revision of the manuscript
Daniel C Alexander	University College London	Supervision and critical revision of the manuscript
Jonathan D. Rohrer	University College London	Study concept, supervision, data collection and critical revision of the manuscript

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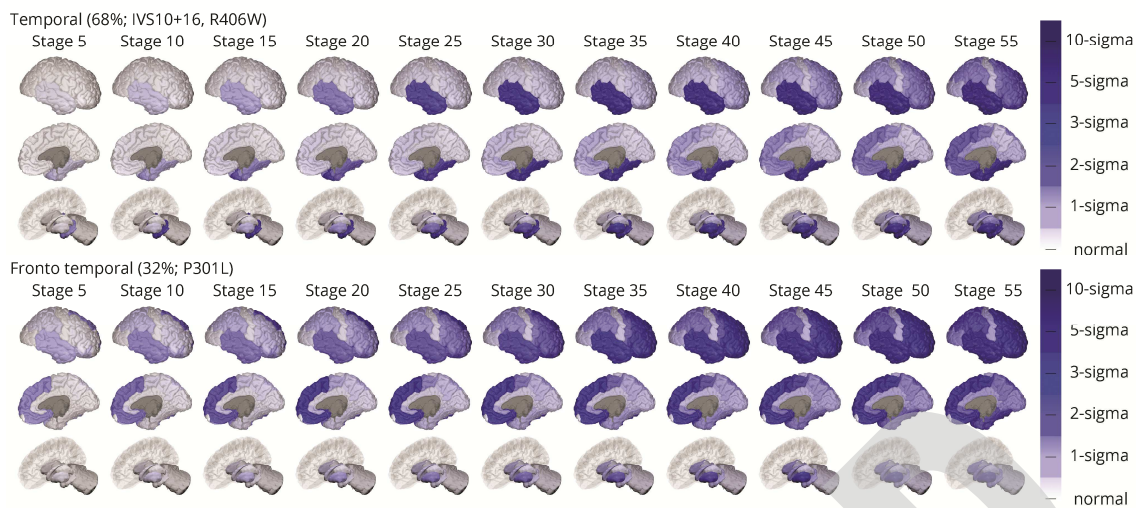
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## Figures and Tables

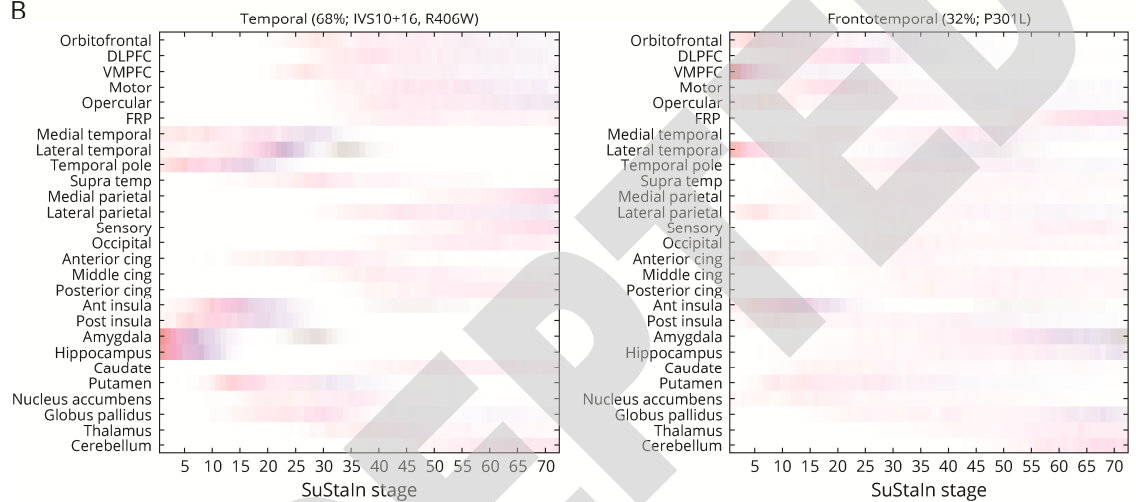
### Figures Captions

**Figure 1. Subtype progression patterns identified by SuStaln.** Each progression pattern consists of a set of stages at which regional brain volumes in *MAPT* mutation carriers (symptomatic and presymptomatic) reach different z-scores relative to non-carriers. Subfigure A visualises the spatial distribution and severity of atrophy at each SuStaln stage based on the most likely subtype progression patterns predicted by the SuStaln algorithm. Subfigure B visualises the uncertainty in the SuStaln subtype progression patterns for each region, where each region is shaded according to the probability a particular z-score is reached at a particular SuStaln stage, ranging from 0 (white) to 1 (red for a z-score of 1, magenta for a z-score of 2, blue for a z-score of 3 and black for a z-score of 5). DLPFC = dorsolateral prefrontal cortex; VMPFC = ventromedial prefrontal cortex; FRP = frontal pole; Cing = cingulate; Ant = anterior; Post = posterior. Visualisations in subfigure A were generated using BrainPainter<sup>13</sup>.

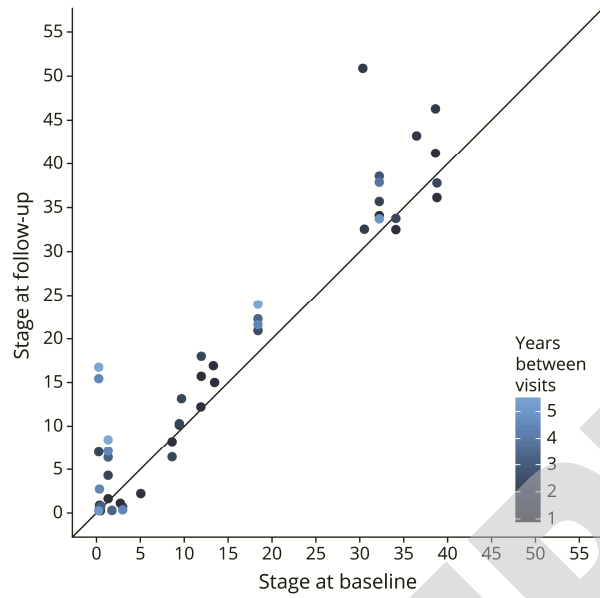
A



B



**Figure 2. Stage progression at follow-up visits.** Each point represents an individual's SuStaln stage at baseline and follow-up, with the colour indicating the time between baseline and follow-up.





**Table 1. Demographics of participants assigned to each subtype.** Pairwise comparisons between groups were performed using t-tests for continuous variables and chi-squared tests for categorical variables. Presympt. = presymptomatic, sympt. = symptomatic, EYO = estimated years from onset, NA = not applicable (due to there being no symptomatic individuals who are normal appearing).

		Normal appearing	Subtypable	Normal appearing vs. subtypable	Temporal subtype	Frontotemporal subtype	Temporal vs. frontotemporal
N presymptomatic (%), N symptomatic (%)		48 (100), 0 (0)	9 (26), 25 (74)	<b>p = &lt; 0.001</b>	3 (13), 20 (87)	6 (55), 5 (45)	<b>p = 0.032</b>
Age (years), mean (std)	Presympt.	38.3 (11.1)	44.6 (8.4)	p = 0.074	42.9 (1.4)	45.4 (10.5)	p = 0.599
	Sympt.	NA	59.2 (8.7)	NA	61.4 (6.7)	50.4 (11.2)	p = 0.093
Sex, N female (%)	Presympt.	30 (62.5)	4 (44.4)	p = 0.520	1 (33.3)	3 (50.0)	p = 1.000
	Sympt.	NA	9 (36.0)	NA	8 (40.0)	1 (20.0)	p = 0.755
EYO (years), mean (std)	Presympt.	-15.0 (11.2)	-4.7 (8.3)	<b>p = 0.006</b>	-3.3 (1.4)	-5.4 (10.4)	p = 0.640
	Sympt.	NA	5.4 (5.0)	NA	6.1 (5.2)	2.8 (2.9)	p = 0.090
SuStaln Stage, mean (std)	Presympt.	0.2 (0.5)	14.6 (12.0)	<b>p = 0.007</b>	16.3 (12.6)	13.8 (12.8)	p = 0.792
	Sympt.	NA	24.9 (11.1)	NA	25.3 (9.4)	23.4 (17.6)	p = 0.822

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**Table 2. Number of carriers with each mutation assigned to each subtype.**

Entries are listed in order of their location in the *MAPT* gene. P301L mutations were significantly enriched for the frontotemporal subtype, whilst IVS10+16 and R406W were significantly enriched for the temporal subtype.

Mutation	N Subtypable	N temporal subtype	% temporal	N frontotemporal subtype	% frontotemporal	p-value vs. all other mutations
L266V	1	0	0	1	100	p = 0.140
G272V	3	2	67	1	33	p = 0.970
P301L	10	1	10	9	90	<b>p = &lt;0.001</b>
IVS10+16	9	9	100	0	0	<b>p = 0.016</b>
Q351R	2	2	100	0	0	p = 0.310
V363I	1	1	100	0	0	p = 0.480
P397S	1	1	100	0	0	p = 0.480
R406W	7	7	100	0	0	<b>p = 0.040</b>
<b>Total</b>	<b>34</b>	<b>23</b>	<b>68</b>	<b>11</b>	<b>32</b>	

**Table 3. Longitudinal consistency of subtype assignments.** An observation is considered to be longitudinally consistent (bold font) if individuals remain in the same group or progress from the normal-appearing group to the temporal or frontotemporal subtype. Table entries indicate the number of visits, with the number of participants that were presymptomatic and symptomatic at the previous visit in brackets. Overall, 91 of 92 visits were longitudinally consistent.

		Classification at follow-up visit		
		Normal appearing	Temporal	Frontotemporal
Classification at previous visit	Normal appearing	<b>53 (53, 0)</b>	<b>3 (3, 0)</b>	<b>0 (0, 0)</b>
	Temporal	0 (0, 0)	<b>28 (7, 21)</b>	0 (0, 0)
	Frontotemporal	1 (1, 0)	0 (0,0)	<b>7 (4, 3)</b>

**Table 4. Comparison of neuropsychological test scores of individuals assigned to each SuStaln subtype and SuStaln stage.** Age and sex were included as additional covariates. TMT = Trail Making Test. Results reaching statistical significance at  $p < 0.05$  are highlighted in bold (\*uncorrected, \*\*corrected for multiple comparisons).

	SuStaln Subtype		SuStaln Stage		Group with worse score	Change with SuStaln stage
	t-value	p-value	t-value	p-value		
Digit span forwards	-3.56	<b>0.001**</b>	-0.26	0.799	Frontotemporal	
Digit span backwards	-2.04	0.051	0.10	0.918		
TMT part A (time)	1.31	0.200	2.13	<b>0.042*</b>		Worsens
TMT part A (errors)	1.98	0.058	3.53	<b>0.001**</b>		Worsens
TMT part B (time)	2.08	<b>0.047*</b>	1.47	0.153	Frontotemporal	
TMT part B (errors)	1.88	0.071	3.39	<b>0.002**</b>		Worsens
Digit Symbol	-2.32	<b>0.028*</b>	-2.61	<b>0.015*</b>	Frontotemporal	Worsens
Boston Naming Test	0.64	0.529	-2.60	<b>0.015*</b>		Worsens
Category fluency	-0.27	0.790	-3.75	<b>0.008*</b>		Worsens
Phonemic	-1.06	0.299	-2.77	<b>0.010*</b>		Worsens

fluency						
Block design	-3.52	<b>0.002**</b>	-1.65	0.111	Frontotemporal	

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**Table 5. Comparison of clinical scales scores of individuals assigned to each SuStaln subtype and SuStaln stage.** Age and sex were included as additional covariates. Results reaching statistical significance at  $p < 0.05$  are highlighted in bold (\*uncorrected, \*\*corrected for multiple comparisons).

		SuStaln subtype		SuStaln stage		Group with worse score	Change with SuStaln stage
		t-value	p-value	t-value	p-value		
Behavioural	Disinhibition	-0.76	0.453	2.08	<b>0.047*</b>		Worsens
	Apathy	-0.34	0.739	1.83	0.077		
	Loss of empathy	-0.47	0.642	0.92	0.363		
	Ritualistic or compulsive behaviour	-1.24	0.225	2.16	<b>0.039*</b>		Worsens
	Hyperorality or appetite change	-1.67	0.106	1.29	0.207		
Neuropsychiatric	Visual hallucinations	0.59	0.557	-0.88	0.385		
	Delusions	0.64	0.526	2.65	<b>0.013*</b>		Worsens
	Depression	-0.87	0.393	0.01	0.989		
	Anxiety	-0.12	0.903	1.57	0.127		
Language	Impaired articulation	-0.84	0.406	-0.40	0.691		
	Decreased fluency	0.93	0.359	1.50	0.146		
	Impaired grammar/syntax	0.75	0.461	2.41	<b>0.023*</b>		Worsens
	Impaired word retrieval	0.31	0.758	1.74	0.092		
	Impaired speech repetition	0.72	0.480	1.85	0.075		
	Impaired sentence comprehension	0.15	0.882	1.02	0.317		

	Impaired single word comprehension	-0.90	0.373	1.49	0.146		
	Dyslexia	-0.76	0.453	0.07	0.948		
	Dysgraphia	0.51	0.611	2.68	<b>0.012*</b>		Worsens
	Impaired functional communication	0.66	0.512	2.38	<b>0.024*</b>		Worsens
Cognitive	Memory impairment	-2.70	<b>0.012*</b>	1.07	0.295	Temporal	
	Visuospatial/perceptual impairment	-0.84	0.408	0.47	0.641		
	Impaired judgment/problem solving	-1.13	0.270	1.61	0.119		
	Impaired attention/concentration	-1.26	0.216	1.55	0.133		
Motor	Dysarthria	-0.69	0.496	-0.37	0.714		
	Dysphagia	0.51	0.611	2.68	<b>0.012*</b>		Worsens
	Tremor	-0.75	0.457	-0.10	0.921		
	Slowness	-0.98	0.337	0.73	0.473		
	Weakness	-0.05	0.957	0.64	0.530		
	Gait disorder	-1.01	0.322	0.24	0.809		
	Falls	-0.44	0.660	0.15	0.882		
Cambridge Behavioural Inventory	Memory and Orientation	-2.61	<b>0.015*</b>	0.85	0.401	Temporal	
	Everyday skills	-0.86	0.397	1.42	0.168		
	Self-care	-0.01	0.995	0.68	0.502		
	Abnormal behaviour	-0.78	0.444	2.32	<b>0.028*</b>		Worsens
	Mood	0.06	0.954	1.88	0.071		
	Beliefs	0.22	0.826	2.59	<b>0.015*</b>		Worsens
	Eating habits	-1.45	0.160	1.89	0.070		



Sleep	0.23	0.824	0.78	0.441		
Stereotypic and motor behaviours	-1.15	0.260	2.03	0.052		
Motivation	-1.29	0.209	0.01	0.993		
Total CBI-R score	-1.44	0.160	1.83	0.078		

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## Characterizing the Clinical Features and Atrophy Patterns of *MAPT*-Related Frontotemporal Dementia With Disease Progression Modeling

Alexandra L Young, Martina Bocchetta, Lucy L. Russell, et al.

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