

# The BMP pathway acts to directly regulate *Tbx20* in the developing heart

Elizabeth M. Mandel<sup>1,2,\*</sup>, Erin Kaltenbrun<sup>1,2,\*</sup>, Thomas E. Callis<sup>1,3</sup>, Xin-Xin I. Zeng<sup>4,†</sup>, Sara R. Marques<sup>4</sup>, Deborah Yelon<sup>4,†</sup>, Da-Zhi Wang<sup>1,3,‡</sup> and Frank L. Conlon<sup>1,2,5,§</sup>

## SUMMARY

TBX20 has been shown to be essential for vertebrate heart development. Mutations within the *TBX20* coding region are associated with human congenital heart disease, and the loss of *Tbx20* in a wide variety of model systems leads to cardiac defects and eventually heart failure. Despite the crucial role of TBX20 in a range of cardiac cellular processes, the signal transduction pathways that act upstream of *Tbx20* remain unknown. Here, we have identified and characterized a conserved 334 bp *Tbx20* cardiac regulatory element that is directly activated by the BMP/SMAD1 signaling pathway. We demonstrate that this element is both necessary and sufficient to drive cardiac-specific expression of *Tbx20* in *Xenopus*, and that blocking SMAD1 signaling in vivo specifically abolishes transcription of *Tbx20*, but not that of other cardiac factors, such as *Tbx5* and MHC, in the developing heart. We further demonstrate that activation of *Tbx20* by SMAD1 is mediated by a set of novel, non-canonical, high-affinity SMAD-binding sites located within this regulatory element and that phospho-SMAD1 directly binds a non-canonical SMAD1 site in vivo. Finally, we show that these non-canonical sites are necessary and sufficient for *Tbx20* expression in *Xenopus*, and that reporter constructs containing these sites are expressed in a cardiac-specific manner in zebrafish and mouse. Collectively, our findings define *Tbx20* as a direct transcriptional target of the BMP/SMAD1 signaling pathway during cardiac maturation.

**KEY WORDS:** *Tbx20*, Cardiogenesis, Cardiac, Heart, *Xenopus laevis*, *Xenopus tropicalis*, SMAD1, SMAD4, T-box, BMP, Zebrafish, Transgenesis

## INTRODUCTION

A series of clinical studies has provided direct evidence of a role for T-box genes in heart development and human disease, as mutations in at least three T-box genes, *TBX1*, *TBX5* and *TBX20*, have been linked to human congenital heart disease (CHD) (Papaioannou and Silver, 1998; Papaioannou, 2001; Prall et al., 2002; Packham and Brook, 2003; Ryan and Chin, 2003; Baldini, 2004; Showell et al., 2004; Mandel et al., 2005; Plageman and Yutzey, 2005; Stennard and Harvey, 2005; Hammer et al., 2008; Kirk et al., 2007; Liu et al., 2008; Qian et al., 2008). Specifically, mutations in *TBX20* have been associated with a wide array of congenital abnormalities, including dilated cardiomyopathy (DCM), atrial septal defects (ASD) and mitral valve disease. Moreover, upregulation of *TBX20* has been reported in patients with tetralogy of Fallot (Hammer et al., 2008; Kirk et al., 2007; Liu et al., 2008; Qian et al., 2008). These findings are consistent with studies of *Tbx20* orthologs in a wide range of model systems, including mouse (*Tbx12/20*) (Carson et al., 2000; Kraus et al.,

2001), zebrafish (*Tbx20/HrT*) (Ahn et al., 2000; Griffin et al., 2000), chick (Iio et al., 2001) and *Xenopus* (Brown et al., 2003), which have shown a requirement for *Tbx20* in a number of cardiac cellular processes. The effects of *Tbx20* loss appear to be in part mediated through its endogenous role in restricting expression of *Tbx2*, a T-box-containing protein required for the repression of chamber-specific genes (Singh et al., 2009). Despite the essential role of *Tbx20* in cardiac development, little is known about the signal transduction pathways that function upstream to regulate *Tbx20* expression in the developing heart.

Members of the bone morphogenetic protein (BMP) family and their downstream mediators, the SMADs, have also been shown to be required for many cellular events in early heart development, including cardiac progenitor specification, proliferation and differentiation. The role of BMPs in cardiac development is evidenced by the cardiac-associated defects in mice mutant for components of the BMP pathway and by the observation that SMAD proteins, mediators of BMP signaling, are upregulated in response to cardiac stress or injury. However, identification of a specific cellular role for any single component of the BMP pathway in cardiac development is frequently confounded by genetic redundancy within the BMP and SMAD families, and by temporal and spatial differences in the activities of individual pathway components (for reviews, see Klaus and Birchmeier, 2009; Euler-Taimor and Heger, 2006; Wijk et al., 2007). An alternative means of dissecting the roles of BMPs in early heart development would be to identify the direct transcriptional targets of BMP signaling; however, the cardiac targets of the BMP pathway remain poorly characterized.

In efforts to define the direct targets of growth factor pathways in heart development, we have identified a 334 bp regulatory element that is both necessary and sufficient for *Tbx20* expression

<sup>1</sup>University of North Carolina McAllister Heart Institute, <sup>2</sup>Department of Biology, and <sup>3</sup>Department of Cell and Developmental Biology, UNC-Chapel Hill, Chapel Hill, NC 27599, USA. <sup>4</sup>Developmental Genetics Program and Department of Cell Biology, Kimmel Center for Biology and Medicine at Skirball Institute of Biomolecular Medicine, New York University School of Medicine, New York, NY 10016, USA. <sup>5</sup>Department of Genetics, UNC-Chapel Hill, Chapel Hill, NC 27599-3280, USA.

\*These authors contributed equally to this work

<sup>†</sup>Present address: Division of Biological Sciences, University of California, San Diego, La Jolla, CA 92093, USA

<sup>‡</sup>Present address: Department of Cardiology, Children's Hospital Boston, Harvard Medical School, 320 Longwood Avenue, Boston, MA 02115, USA

<sup>§</sup>Author for correspondence ([frank\\_conlon@med.unc.edu](mailto:frank_conlon@med.unc.edu))

during cardiac chamber formation in *Xenopus*. We further show that the *Tbx20* cardiac element is a direct transcriptional target of the BMP/SMAD1 arm of the transforming growth factor  $\beta$  (TGF $\beta$ ) pathway and that its activation is independent of the TGF $\beta$ /activin/nodal/SMAD3 pathway. We further demonstrate that *Tbx20* is co-expressed with nuclear SMAD1 in cardiomyocytes during cardiac chamber formation and that blocking SMAD1 activity *in vivo* leads to a specific loss of cardiac *Tbx20* but not other markers of cardiac tissue. We go on to demonstrate that the minimal cardiac *Tbx20* element contains four crucial non-canonical, high-affinity SMAD-binding sites, which are directly bound by phospho-SMAD1 and which are necessary for the proper combinatorial regulation of *Tbx20*. Finally, we demonstrate that the ability to recognize the non-canonical SMAD1 sites is not specific to *Xenopus* by showing that reporter constructs containing these elements are expressed in a cardiac-specific manner in zebrafish and mouse. Collectively, our studies define a direct target of the BMP/SMAD1 signaling pathways in heart development and indicate a role for BMP signaling in cardiac maturation.

## MATERIALS AND METHODS

### BAC library screen and RLM-RACE

The ISB-1 *Xenopus tropicalis* bacterial artificial chromosome (BAC) library [Children's Hospital Oakland Research Institute (CHORI)] was screened with the 5' terminus of the *X. laevis Tbx20* coding region, and BAC DNA prepared according to CHORI. DNA was initially characterized by field inversion gel electrophoresis (FIGE) and Southern blot analysis using a panel of *Tbx20*-specific probes. Following FIGE and Southern blotting, a 4114 bp *EcoRI* fragment of *Tbx20* was isolated and ligated into the pBSII-KS+ vector (Stratagene). From this fragment, the element ranging from base pair position -2464 to +142 was used in the subsequent cloning of *Tbx20*-EGFP transgenic constructs. The transcriptional start site of *X. tropicalis Tbx20* was identified using the First Choice RLM-RACE Kit (Ambion) and 5' RLM-RACE as described by the manufacturer using whole *X. tropicalis* embryos ( $n=25$ ), as well as brain-enriched and heart-enriched tissues (approximately 250 embryos for each), at stage 28. Primer sequences and details are given in Tables S1 and S2 in the supplementary material.

### *Tbx20*-EGFP and *Xenopus* transgenesis

*Tbx20*-EGFP reporter constructs were generated by introducing EGFP in-frame into exon 1 of *Tbx20* at position +142. A *Tbx20*-EGFP deletion series was generated by substituting elements of *Tbx20* ranging from 471-2106 bp, each containing a 5' *EcoRI* linker and a 3' *BamHI* linker, for the original 2601 bp of the *Tbx20*-EGFP construct. Details and primer sequences are given in Table S1 in the supplementary material. All *Tbx20* reporter constructs were linearized by *KpnI* and transgenesis performed according to Kroll and Amaya (Kroll and Amaya, 1996). For each construct, more than 10 EGFP-positive embryos were examined from at least three independent sets of injections.

### *XTbx20*-EGFP transgenic mice

The *XTbx20*(-2464)-EGFP plasmid was prepared for microinjection by digestion with *SacII* and *KpnI* to release the linear transgene. The transgene DNA was purified by agarose gel electrophoresis and injected into the pronuclei of C57BL/6 $\times$ DBA2 hybrid embryos at the UNC Animal Model core facility. Fertilized ova were subsequently implanted into pseudo-pregnant females and offspring were analyzed for the presence of the transgene. Founders were identified by PCR analysis of tail DNA, using the following primers: 5'-CCCTATTGATCAGCAAACG-3' and 5'-CACTTCCATGGGCTGATGCT-3'. Embryos resulting from timed matings between one of the male founders and a wild-type C57BL/6 female were screened for EGFP expression on a Leica MZ16F stereomicroscope. Animal care and animal experiments were in accordance with the Animal Care Committee at the University of North Carolina-Chapel Hill.

### *Xenopus* embryo and explant culture

*Xenopus* embryos were obtained and staged according to Nieuwkoop and Faber (Nieuwkoop and Faber, 1967). For tissue explants, tissue posterior to the cement gland and including the heart field was excised at stage 35/36 and cultured in 1 $\times$ MBS (Chemicon) at 23°C until stage 40. The cardiac explants included overlying pharyngeal endoderm and some foregut endoderm. Anterior regions of whole embryos were excised and cultured in identical conditions as cardiac explants. Explants were treated at stage 40 with either 7  $\mu$ M DMSO or 5  $\mu$ M dorsomorphin (also referred to as Compound C; Calbiochem) in 1 $\times$ MBS for 6 hours at 23°C (Hao et al., 2008; Yu et al., 2008). Explants were then fixed for 2 hours at room temperature in either Dent's Fix (80% methanol in DMSO) for whole-mount antibody staining, MEMFA for *in situ* hybridization, or 4% paraformaldehyde for immunohistochemistry.

### Zebrafish embryo culture and transgenesis

For transient expression in zebrafish, the *XTbx20*(-334)-EGFP reporter construct was flanked by Tol2 arms in a pT2 vector for Tol2 transposase-mediated transgenesis (Fisher et al., 2006). Embryos were injected at the 10-cell stage with 100 pg of capped mRNA encoding Tol2 transposase and 50-100 pg of the transgene plasmid. Injected embryos were examined and photographed at 48 hours post-fertilization (hpf) on Zeiss M2Bio and AxioPlan microscopes.

### Cell culture and luciferase assays

Transient transfections were conducted as previously described (Wang et al., 2001). Each assay was conducted in triplicate at least two times in 12-well plates using the following expression plasmids: myocardin (Wang et al., 2001), SRF (Wang et al., 2001), Mef2c (Wang et al., 2001), SMAD3 (Feng et al., 2000), SMAD4 (Feng et al., 2000), pRK5 N-Flag Smad1 (Liu et al., 1996), pGL3-Nkx2.5 (Lien et al., 2002), Gata4 (Oh et al., 2004) and SM22 (Li et al., 1996). Fold induction was calculated as induction compared with that of reporter alone, and error bars refer to the standard deviation of fold induction.

### *In situ* hybridization and immunohistochemistry

*In situ* hybridization and immunohistochemistry were conducted as previously described (Goetz et al., 2006), with the following addition: anti-Phospho-Smad1(Ser463/465) / Smad5(Ser463/465) / Smad8(Ser426/428) (1:100; Cell Signaling).

### Protein-DNA binding assays

For 2 $\times$  coverage of the *Tbx20*(-334) regulatory element, 21 double-stranded, 30 basepair, 5'-FAM oligonucleotides were designed to overlap by 15 bases beginning from base -1 (Fig. 6A), and *XVent* and SRF binding site oligonucleotides were designed as positive and negative controls, respectively, based on previously published work (Chang et al., 2001; Henningfeld et al., 2000). All fluorescence polarization experiments were performed in a PHERAstar microplate reader (BMG Labtechnologies) with reactions performed in a 50  $\mu$ l volume containing 250 nM 5'-FAM oligonucleotide and increasing concentrations of GST-SMAD1 (0-7.41667 mM) in 10 mM Tris-HCl (pH 8.0), 100 mM NaCl. Each assay was performed in triplicate at 25°C. Anisotropy was measured by excitation with vertically polarized light, using 490 nm excitation and 520 nm emission filters with the gain optimized for maximum signal and normalized to 'no protein' controls. Data analysis was performed using SigmaPlot 8.0 software, and dissociation constants ( $K_d$ ) determined for each oligonucleotide using the single rectangular I, three parameter equation  $y=y_0+ax/(b+x)$ , where  $b$  is equal to  $K_d$ .

### Chromatin immunoprecipitation in *X. tropicalis* embryos

Stage 41 embryos ( $n=30$ ) were cross-linked in 1% formaldehyde in PBS for 60 minutes and washed in 0.125 M glycine for 10 minutes and then three times in PBS. Embryos were homogenized in 500  $\mu$ l cell lysis buffer [50 mM Tris-HCl (pH 8), 2 mM EDTA, 0.1% NP-40, 10% glycerol, and protease/phosphatase inhibitors], centrifuged, and the pellet rinsed twice in cold PBS. Nuclei were lysed in 200  $\mu$ l nuclei lysis buffer [50 mM Tris-HCl (pH 8), 10 mM EDTA, 1% SDS, and protease/phosphatase inhibitors] and nuclear extracts were diluted in 400  $\mu$ l IP dilution buffer [20 mM Tris-HCl

(pH 8), 2 mM EDTA, 150 mM NaCl, 1% Triton X-100, and protease/phosphatase inhibitors]. ChIP extracts were sonicated three times for 30 seconds on ice, centrifuged, and supernatants were pre-cleared with 50  $\mu$ l Protein A/G agarose beads (Santa Cruz Biotechnology) at 4°C for 1.5 hours. Pre-cleared ChIP extracts were diluted in 400  $\mu$ l IP dilution buffer and incubated with 2  $\mu$ g antibody on a rotating wheel at 4°C overnight. Protein A/G agarose beads (50  $\mu$ l) were added to the ChIP samples for 2 hours at 4°C, and beads were subsequently washed in IP dilution buffer, ChIP wash buffer [10 mM Tris-HCl (pH 8), 1 mM EDTA, 1% sodium deoxycholate, 1% NP-40, 0.25 M LiCl, and protease/phosphatase inhibitors], IP dilution buffer, and TE buffer [10 mM Tris-HCl (pH 8) and 1 mM EDTA]. The material was eluted in elution buffer [50 mM Tris-HCl (pH 8), 10 mM EDTA, and 1% SDS] at 65°C, digested with RNase A for 3 hours at 37°C, and incubated in 0.3 M NaCl overnight at 65°C to reverse cross links. ChIP samples were subsequently digested with proteinase K for 4 hours at 55°C, phenol extracted, and precipitated, and the recovery of specific DNA sequences was determined by quantitative PCR using SYBR Green PCR reagents (Sigma) and an Applied Biosystems 7900 HT Fast Real-Time PCR machine. Anti-Phospho-Smad1(Ser463/465) / Smad5(Ser463/465) / Smad8(Ser426/428) (Cell Signaling) antibody was used. As a control for this procedure, ChIP was also performed on stage 9 *X. laevis* embryos ( $n=50$ ) with a rabbit anti- $\beta$ -catenin antibody (Cocalico Laboratories; Reamstown, PA, USA), as previously reported (Blythe et al., 2009). Fold enrichment relative to a no antibody control was calculated using the comparative  $C_T$  method ( $\Delta\Delta C_T$ ). See Table S3 in the supplementary material for ChIP primer sequences.

## RESULTS

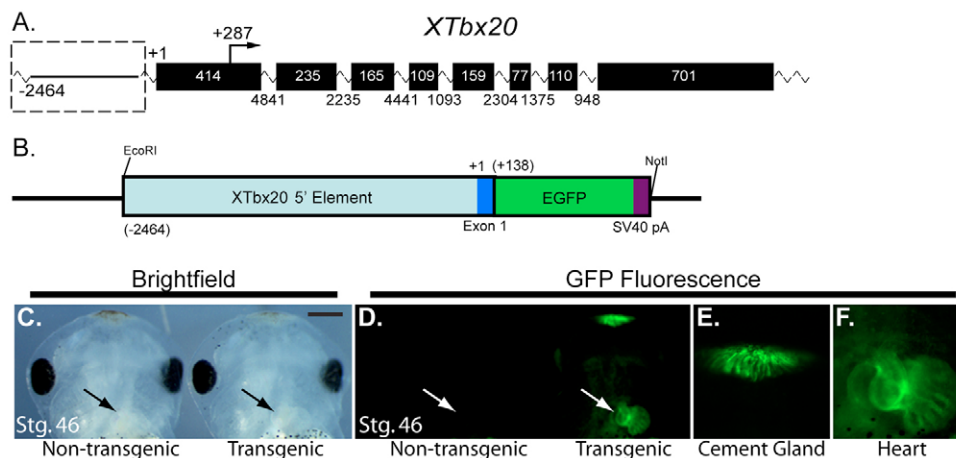
### A *Tbx20*-EGFP transgene recapitulates endogenous expression of *Tbx20* in mid-tadpole stage embryos

Given the evolutionarily conserved role for *Tbx20* in heart development and its role in human congenital heart disease, we sought to determine the regulatory pathways that are required for the proper spatial and temporal expression pattern of *Tbx20*. To this end, we mapped the cardiac transcriptional start site of *Tbx20* and inserted an EGFP reporter cassette in-frame with the TBX20

translational start site (see Materials and methods) in a 4116 bp fragment corresponding to the 5' end of the *Xenopus tropicalis* *Tbx20* locus (Fig. 1A,B). Based on our observations that *Tbx20* is expressed in an identical pattern in *X. tropicalis* and *X. laevis* (Brown et al., 2003; Showell et al., 2006), we introduced the *Tbx20*(-2464)-EGFP reporter into *X. laevis* embryos by restriction enzyme-mediated integration (REMI) transgenesis. Consistent with endogenous *Tbx20* expression, the *Tbx20* reporter directed expression of EGFP to the developing heart and cement gland ( $\geq 5$  rounds of injections;  $n \geq 20$  EGFP-expressing embryos per experiment; Fig. 1C-F; see also Fig. S1 in the supplementary material). Specifically, EGFP expression was first observed in the cement gland at stage 24 and in the heart at stage 32. Identical to endogenous *Tbx20* expression, as the cells of the cement gland began to undergo apoptosis, expression of EGFP decreased and it was completely absent by late tadpole stages (stages 48). By contrast, EGFP expression in the heart was maintained throughout chamber differentiation and heart looping, and continued to be expressed until later stages of cardiac development ( $>$ stage 46; Fig. 1C-F; data not shown). We did not observe EGFP expression in the heart prior to early tadpole stages (stage 32) nor in any other tissue types, including those that endogenously express *Tbx20*, such as the hindbrain and eye.

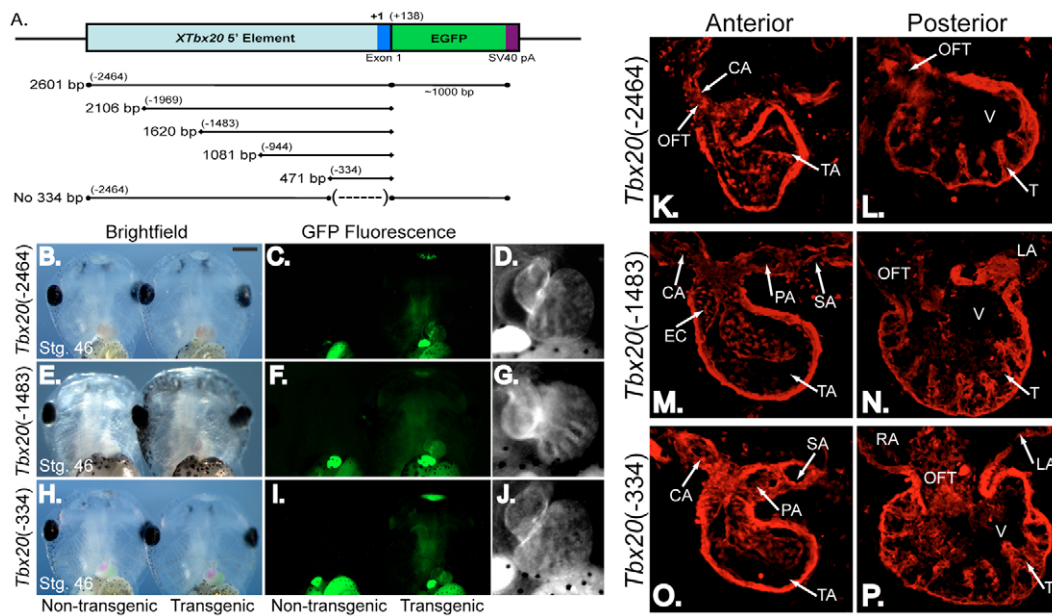
### A 334 bp regulatory element is sufficient for cardiac *Tbx20* expression

To define the minimal regulatory element necessary to drive *Tbx20* cardiac-specific expression, we generated transgenic embryos with a panel of 5' deletion constructs. Results from these injections showed that -2464 bp, -1483 bp and -334 bp *Tbx20* reporters ( $\geq 3$  rounds of injections;  $\geq 20$  EGFP-expressing embryos per experiment; Fig. 2A-J) all recapitulated endogenous *Tbx20* expression in cardiac tissue and the cement gland at stage 46 (8/8 EGFP-expressing embryos per construct; Fig. 2K-P). Collectively, these data show that sequences within 334 bp upstream of the



**Fig. 1. A regulatory element 5' to the *Tbx20* genomic locus is sufficient to drive gene expression in the *Xenopus* cement gland and heart.** (A) Schematic of the *X. tropicalis* *Tbx20* genomic locus. *X. tropicalis* *Tbx20* consists of eight exons spanning approximately 20 kb. The *Tbx20* transcriptional start site is located 287 bp upstream of the translation start site in exon 1. A putative cardiac regulatory element is located at the 5' end of the *Tbx20* locus (dashed box). (B) Schematic of the 2464 bp region of the 5' end of *Tbx20* cloned in frame to the EGFP reporter to examine its regulatory capacity in *X. laevis* transgenics. (C-F) As with endogenous *Xenopus Tbx20* expression, the *Tbx20* EGFP reporter is expressed in the cement gland and heart of living *X. laevis* transgenic embryos. (C) Ventral views of the anterior ends of stage 46 sibling non-transgenic (left) and transgenic (right) embryos. (D) Fluorescence views of siblings in C. (E,F) Magnified view of the EGFP expression driven by the *Tbx20* regulatory element in the cement gland (E) and heart (F) of the transgenic embryo in D.





**Fig. 2. A 334 bp regulatory element recapitulates the endogenous expression of *Tbx20* throughout the *X. laevis* heart.** A deletion series of the 5' regulatory element was created to determine a reduced element sufficient to drive EGFP transgene expression. (A) Schematic of the deletion series of *Tbx20* elements fused to EGFP for *X. laevis* transgenesis. (B, E, H) Ventral view of the anterior regions of living stage 46 (late tadpole) *X. laevis* embryos (left) and siblings transgenic for constructs shown in A (right) under white light. (C, F, I) Embryos in B, E and H as viewed under fluorescent light. Green autofluorescence in the gut can be noted in both control and transgenic embryos. (D, G, J) Magnified views of the EGFP-expressing hearts of embryos in C, F and I demonstrating that EGFP expression in the heart is maintained under the control of a *Tbx20*(-334) element. (K-P) Transverse sections were cut through the embryos expressing *Tbx20*-EGFP shown in B-J, and expression of the *Tbx20*(-2464)-EGFP (K, L), *Tbx20*(-1483)-EGFP (M, N) and *Tbx20*(-334)-EGFP (O, P) transgenes was demonstrated by antibody staining for EGFP. Anterior (K, M, O) and posterior (L, N, P) sections show EGFP transgene expression throughout the heart. CA, carotid arch; EC, endocardial cushion; LA, left atrium; OFT, outflow tract; PA, pulmocutaneous arch; RA, right atrium; SA, systemic arch; T, trabeculae; TA, truncus arteriosus; V, ventricle.

*Tbx20* transcriptional start site contain elements that function to regulate *Tbx20* cardiac expression at this stage of heart development.

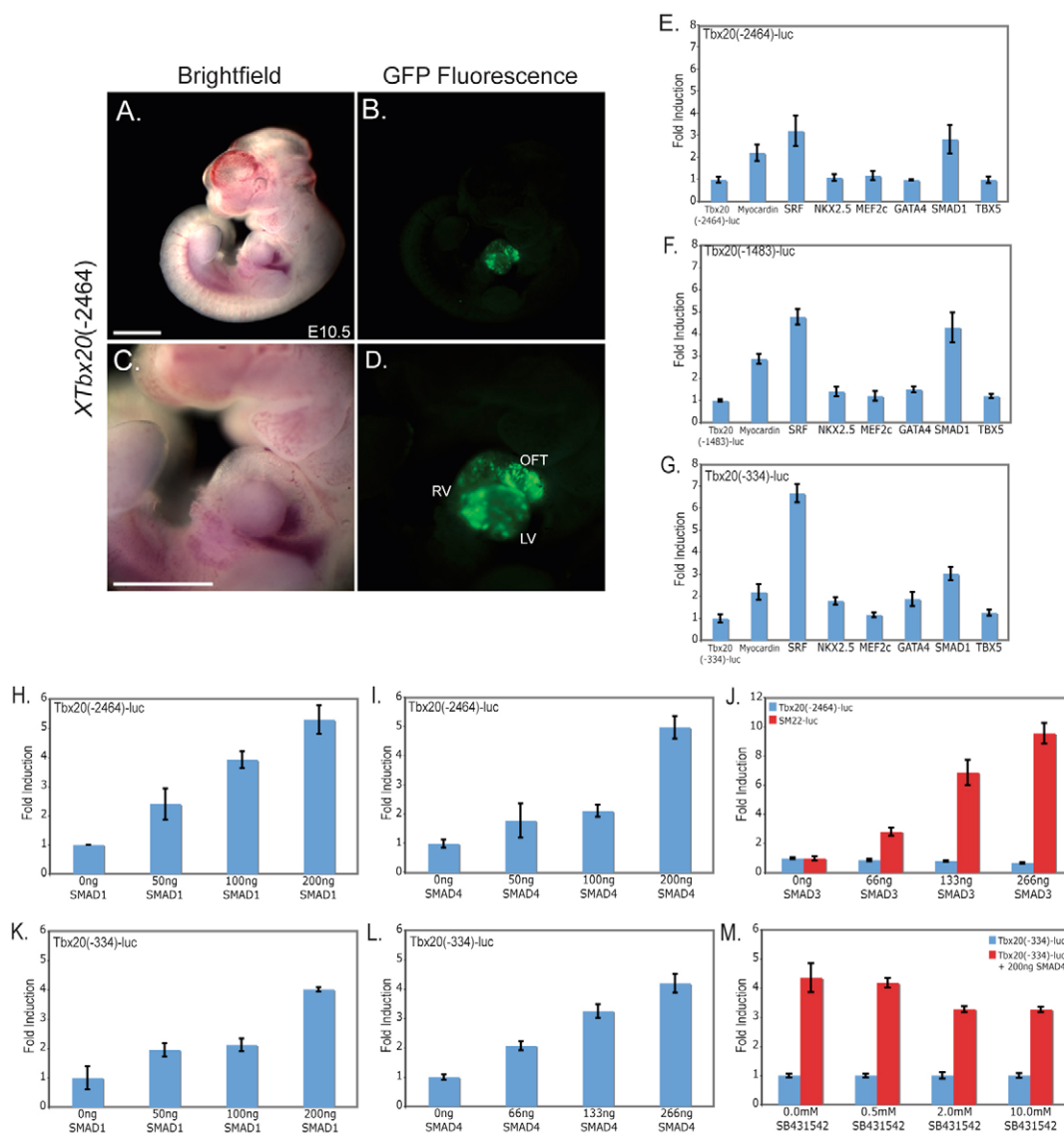
### ***Tbx20* reporter expression is conserved in mouse and is regulated by SMAD1/SMAD4 but not SMAD3**

We observed that offspring from a mouse transgenic founder carrying the *Xenopus Tbx20*(-2464)-EGFP reporter showed an expression pattern analogous to that observed in *Xenopus*, with strong EGFP expression throughout the developing heart but not in other tissues where *Tbx20* is endogenously expressed in the mouse, such as the hindbrain and the lateral plate mesoderm (Carson et al., 2000; Kraus et al., 2001) (Fig. 3A-D). Based on these findings, we determined whether a set of murine cardiac transcription factors for which binding sites were identified in the minimal 334 bp *Tbx20* regulatory element by ConSite, Jaspar and Transfac software, including SRF, NKX2.5, MEF2C, GATA4, SMAD1 and TBX5, were capable of activating *Xenopus* cardiac-specific *Tbx20* reporters (Fig. 3E-G). Of the potential transcription factors, SMAD1, SRF, and the SRF co-factor myocardin were found to be capable of activating *Tbx20* (Fig. 3E-G). We further note that the 334 bp reporter has a greater response to SMAD1 and SRF than do the 1483 bp or 2464 bp elements, suggesting that sequences upstream of the 334 bp element can attenuate the response to SMAD1 and SRF. Although SRF and myocardin were able to induce *Tbx20*, upon further analysis we found that the *Tbx20* reporters did not respond to myocardin or SRF in a dose-dependent

fashion and that mutation of putative SRF-binding sites had no effect on the temporal or spatial expression of the *Tbx20* reporter in vivo (data not shown). Although we cannot formally rule out a role for SRF or myocardin in the regulation of *Tbx20* expression, we did not analyze the effects of SRF or myocardin on *Tbx20* expression in greater detail.

To also characterize the activation of *Tbx20* in response to the TGF $\beta$  family of signaling molecules, we tested the ability of SMAD1, a mediator of BMP signaling, SMAD3, a mediator of TGF $\beta$ /activin/nodal signaling, and the common SMAD, SMAD4, to activate *Tbx20* luciferase reporters. We observed a dose-dependent activation of both the largest (-2464) and the smallest (-334) *Tbx20* regulatory elements with increasing amounts of SMAD1 and SMAD4 (Fig. 3H, I, K, L). Further deletions of the *Tbx20* upstream region to -251 and -81 bps greatly decreased the responsiveness to SMAD4 and led to an increase in EGFP in non-specific regions in *Xenopus* transgenics (see Fig. S2 in the supplementary material).

We further observed that SMAD3 failed to activate any *Tbx20* reporter although it did induce expression of the SMAD3 control reporter SM22 (Fig. 3J) (Qiu et al., 2003). Consistent with these findings, treatment of cells with the TGF $\beta$ /activin/nodal small molecule inhibitor SB431542 had no effect on the induction of *Tbx20* in response to SMAD4 (Fig. 3M). Taken together, these results suggest that *Tbx20* cardiac activation occurs in a SMAD1-dependent, SMAD3-independent manner that is, at least in part, mediated by sequences that lie between 81 and 334 bp upstream of the *Tbx20* cardiac transcriptional start site.



**Fig. 3. *XTbx20* 5' regulatory elements are activated by TGF $\beta$ /BMP signaling via SMAD1 and SMAD4 but not SMAD3. (A,B)** The *Xenopus Tbx20* 5' element is expressed in a cardiac-specific manner in E10.5 mouse embryos derived from a transgenic mouse founder expressing the *XTbx20(-2464)*-EGFP reporter. **(C,D)** Magnified view of EGFP fluorescence in the heart of a E10.5 *XTbx20(-2464)*-EGFP<sup>+/+</sup> mouse embryo. **(E-G)** Luciferase reporters controlled by three *Tbx20* deletion elements were transfected into COS7 cells with a panel of cardiac factor expression plasmids. **(H,I,K,L)** The *Tbx20(-2464)* (H,I) and *Tbx20(-334)* (K,L) reporters are both activated by SMAD1 and SMAD4 in a dose-dependent manner when transfected with increasing amounts of SMAD expression plasmid. **(J)** SMAD3 transfection does not induce the *Tbx20(-2464)* reporter, though the control SM22 reporter is dramatically induced. **(M)** Treatment of COS7 cells with increasing doses of a small molecule inhibitor of activin signaling SB431542 does not affect the activation of the *Tbx20(-334)* plasmid by SMAD4. Values are the fold increase in luciferase activity relative to that driven by the reporter alone. Error bars represent the standard deviation of fold induction for three trials. LV, left ventricle; OFT, outflow tract; RV, right ventricle. Scale bars: 1 mm in A-D.

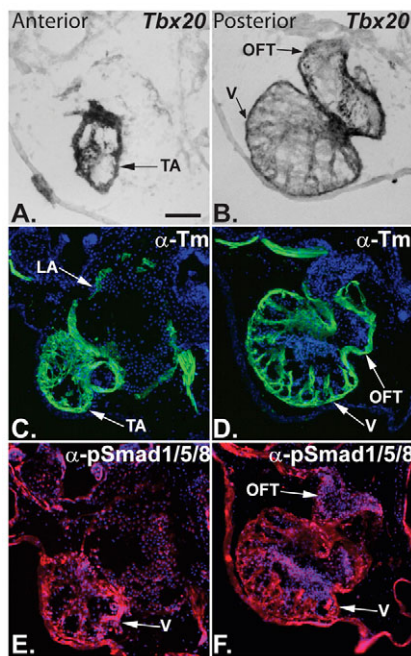
### ***Tbx20* and SMAD1 are colocalized during cardiac chamber formation**

Upon activation of the BMP signaling pathway, SMAD1 is phosphorylated and translocates to the nucleus where it binds DNA and regulates transcription of neighboring genes (for reviews, see Kretschmar and Massague, 1998; Massague et al., 2005). To determine whether SMAD1 is nuclear localized and co-expressed with *Tbx20* in cardiac tissue and, therefore, could function endogenously to regulate *Tbx20* expression, we serial sectioned *X. laevis* hearts (stage 46) and examined *Tbx20* expression by in situ hybridization. On adjacent sections, we examined phospho-

SMAD1 expression and its cellular localization by immunohistochemistry. Our results demonstrate that at these stages *Tbx20* and phospho-SMAD1 are co-expressed throughout the myocardium of the developing heart, including in the ventricle, the atria, the outflow tract and the truncus arteriosus (Fig. 4A-F).

### **SMAD signaling is required for the maintenance of *Tbx20* expression in vivo**

To verify that BMP signaling regulates *Tbx20* expression in vivo, we determined the effects of inhibiting SMAD1 activation on *Tbx20* expression. In order to bypass the requirements for



**Fig. 4. *XTbx20* is expressed throughout the myocardium and endocardium of the *X. laevis* heart. (A,B)** *Tbx20* is expressed in both the anterior and posterior regions of the *X. laevis* stage 46 heart. (C-F) Immunohistochemistry of serial sections shows that *Tbx20* expression overlaps with that of the myocardial marker tropomyosin (C,D) and with phospho-SMAD1/5/8 expression in the endocardium (E,F); anti-tropomyosin (Tm) staining is labeled green, anti-pSMAD1/5/8 is labeled red, and nuclei are labeled blue with DAPI. LA, left atrium; OFT, outflow tract; TA, truncus arteriosus; V, ventricle.

SMAD1 during the early stages of embryogenesis, we made use of the SMAD1 inhibitor Dorsomorphin and a tissue explant assay (Fukuda et al., 2009; Hao et al., 2008; Langdon et al., 2007; Yu et al., 2008). As reported for tissue culture cells, treatment of stage 40 anterior explants with Dorsomorphin clearly showed that Dorsomorphin blocks the nuclear localization of SMAD1 (Fig. 5A-F,A'-F') and completely inhibits the expression of *Tbx20* in the developing heart, as compared with controls (Fig. 5G,H,K,L). Moreover, the effects of blocking SMAD1 are specific to the cardiac expression of *Tbx20*, as we saw little change in expression of *Tbx20* in the hindbrain (Fig. 5I,J). Furthermore, SMAD1 inhibition had little to no effect on other cardiac markers, including MHC, tropomyosin and *Tbx5* (Fig. 5M-P; see also Fig. S3 in the supplementary material). Taken together, these results strongly imply that the BMP pathway signals through SMAD1 to directly regulate the cardiac expression of *Tbx20* in vivo.

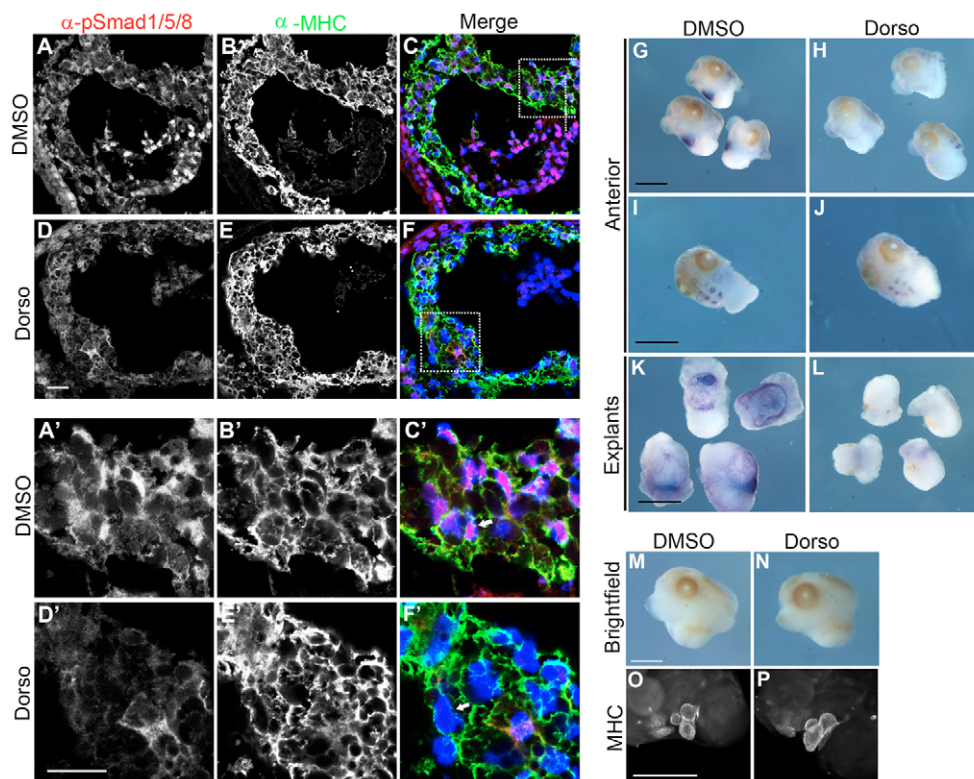
#### SMAD activation of *Tbx20* occurs through direct binding of SMAD1

To identify the specific endogenous SMAD1-binding sites within the minimal 334 bp *Tbx20* cardiac regulatory element, and to accurately determine the binding affinity of SMAD1 to the respective elements, we used fluorescence polarization assays. Double-stranded, 30 bp, 5' carboxyfluorescein-labeled oligonucleotides overlapping by 15 bp were designed across the 334 bp *Tbx20* cardiac regulatory element for full 2× coverage

(Fig. 6A). Based on the premise that oligonucleotides tumble more slowly in solution when bound by protein as compared with unbound oligonucleotides, we combined fluorescent oligonucleotides with increasing concentrations of GST-SMAD1 fusion protein to evaluate the changes in light depolarization as anisotropy. From the anisotropy data, we plotted binding curves and calculated dissociation constants [ $K_d$ (mM)] for each oligonucleotide interaction with SMAD1, and, as controls, the binding of GST-SMAD1 to oligonucleotides containing known SMAD1-binding sequences (*XVent*) or known SRF-binding sequences (Fig. 6B; see also Table S4 in the supplementary material) (Chang et al., 2001; Henningfeld et al., 2000). SMAD1 bound oligonucleotide 8, covering bases -105 to -135, with the highest affinity ( $K_d=2.078$  mM). However, SMAD1 bound six additional oligonucleotides (2, 6, 9, 13, 16 and 19) with affinities that were equal to or greater than twice that of *XVent* ( $K_d=2.078$  mM to  $K_d=3.758$  mM; Fig. 6B; see Table S4 in the supplementary material). Results from these studies show that SMAD1 binds the *Tbx20* cardiac regulatory element at seven individual sites with an affinity at least twice that of the *XVent* control oligonucleotide ( $K_d=7.829$  mM), suggesting that these seven sites are high-affinity SMAD1-binding sites (Fig. 6C). Sequence analysis of the oligonucleotides bound by SMAD1 revealed two conserved, consensus SMAD-binding sites with the sequences GTCT and CAGAC in oligos 16 and 8, respectively. We also observed that one region containing a putative binding site failed to bind SMAD1 protein in vitro. From this, we propose the presence of non-traditional SMAD-binding elements between bases -15 and -45 (oligonucleotide 2), -75 and -105 (oligonucleotide 6), -120 and -150 (oligonucleotides 8/9), -180 and -210 (oligonucleotide 13), and -270 and -300 (oligonucleotide 19). We further note that SMAD1 is capable of binding non-canonical sites with affinities equal to those of canonical sites.

The ability of SMAD1 protein to bind non-canonical sites within the *Tbx20* 334 bp cardiac regulatory element suggests the presence of a common SMAD1 motif within these oligonucleotides. Accordingly, sequence analysis by MEME software revealed a novel SMAD1-binding site with the sequence AGGA/CA/TG within oligonucleotides 19, 13, 9, 6 and 2 (Fig. 6D). Of the oligonucleotides containing the non-canonical SMAD site, SMAD1 bound oligo 6, containing the binding site AGGCAG, with the highest affinity (Fig. 6B; see also Table S4 in the supplementary material). To determine whether SMAD1 directly binds a portion of the *Tbx20* cardiac element containing both canonical and non-canonical SMAD1 sites in vivo, we performed ChIP on stage 41 *X. tropicalis* tadpoles with a phospho-SMAD1/5/8 antibody (Fig. 6C). In parallel and as a positive control, we tested the occupancy of  $\beta$ -catenin on the *Xnr6* locus in stage 9 *X. laevis* embryos, as *Xnr6* has been demonstrated by others to be a direct target of  $\beta$ -catenin by ChIP in *Xenopus* (Blythe et al., 2009). A 6.8-fold enrichment above background of phospho-SMAD1 was observed on the endogenous SMAD1 sites within the *Tbx20* cardiac element (Fig. 6E, Amplicon 1). We next tested the occupancy of endogenous phospho-SMAD1 on a single non-canonical SMAD1 site within the *Tbx20* cardiac element (Fig. 6C, Amplicon 2). Strikingly, phospho-SMAD1 was enriched 9.4-fold above background on the non-canonical SMAD1 site, which was comparable to the enrichment of  $\beta$ -catenin we saw at the *Xnr6* locus (10.6-fold; Fig. 6E). These data are consistent with SMAD1 directly binding a novel non-canonical site within the *Tbx20* cardiac element in vivo.





**Fig. 5. SMAD1 activation is required for cardiac-specific expression of *Tbx20* in *X. laevis*.** (A-F') Immunohistochemistry of transverse sections through the heart of stage 40 anterior explants shows loss of nuclear phospho-SMAD1/5/8 (arrows) in the myocardium of dorsomorphin-treated explants (D-F, D'-F') compared with DMSO-treated controls (A-C, A'-C'). In the merged images, anti-phospho-SMAD1/5/8 (pSMAD1/5/8) staining is labeled red, anti-myosin heavy chain (MHC) is labeled green, and nuclei are labeled blue with DAPI. (G-L) In situ hybridization for *Tbx20* performed on stage 40 anterior and cardiac explants shows complete loss of *Tbx20* expression in the heart (H,L) but not the hindbrain (J) of dorsomorphin-treated anterior and cardiac explants compared with DMSO-treated controls (G,I,K). (M-P) Whole-mount antibody staining of stage 40 anterior explants shows normal expression of the myocardial marker MHC in dorsomorphin-treated explants (N,P) compared to DMSO-treated controls (M,O). Dorso, dorsomorphin. Scale bars: 20  $\mu$ m in A-F'; 1 mm in G-P.

### Canonical SMAD sites alone are not sufficient for *Tbx20* activation by SMAD1

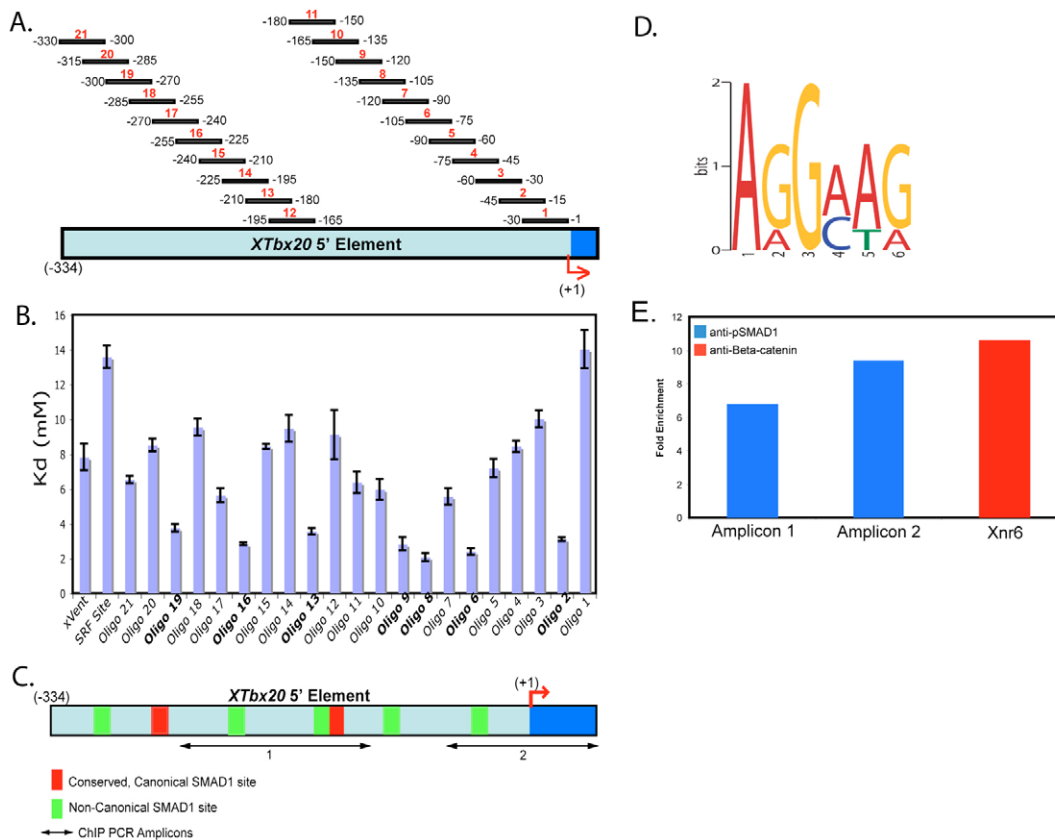
To determine which SMAD1-binding sites are crucial for cardiac-specific expression of *Tbx20*, we mutated the two consensus SMAD1-binding sites, alone or in combination, in the context of the *Tbx20*(-2464)-EGFP or -luc reporter constructs (Fig. 7A,B), and the *Tbx20*(-334)-EGFP or -luc constructs (Fig. 7C,D). Results from these assays show that constructs lacking SMAD1 consensus sites are still SMAD1 responsive. Thus, these data strongly imply that SMAD1 responsiveness is mediated by non-canonical SMAD1-binding sites. Finally, when we deleted the 334 bp minimal element in the context of the original *Tbx20*(-2464) cardiac reporter, both the response to SMAD1/4 in tissue culture assays and EGFP expression were greatly reduced (Fig. 7E,F; data not shown). Together, these data show that the 334 bp region directly upstream of the *Tbx20* start site is necessary and sufficient for cardiac expression of *Tbx20*, and that *Tbx20* cardiac expression is dependent on SMAD1/4 activity.

### *Xenopus Tbx20* reporter constructs are expressed in a cardiac-specific fashion in zebrafish

As a further test of whether *Tbx20* is a general target of the BMP/SMAD1 pathway, we analyzed expression of *Tbx20* in zebrafish mutant for the BMP receptor *Alk8*. Consistent with our

findings in *Xenopus*, we observed a significant reduction in *Tbx20* expression in zebrafish *alk8* (*lost-a-fin*) mutants (Fig. 8A,B). Zygotic *alk8* mutants are weakly dorsalized, exhibiting the effects of a mild disruption of BMP signaling (Bauer et al., 2001; Mintzer et al., 2001). Correspondingly, *Tbx20* expression was diminished in the bilateral heart fields (Fig. 8B).

The observation that the BMP/SMAD1 pathway directly regulates the cardiac expression of *Tbx20* through a set of non-canonical SMAD1-binding sites, and the observation that *Tbx20* is downregulated in *alk8* mutants, led us to question whether recognition of the canonical and non-canonical SMAD1-binding sites is specific to *Xenopus* or whether these sites can serve as a general response element to BMP/SMAD1 signaling in other vertebrates. To address these issues, we generated a *Tbx20*(-334)-EGFP fusion construct flanked by Tol2 transposase sites and injected this transgene together with Tol2 transposase RNA into zebrafish. Injection of reporter constructs in this fashion yields relatively efficient, yet highly mosaic, transgene expression (Fisher et al., 2006). The *Tbx20*(-334)-EGFP transgene was highly efficient at driving EGFP expression in the zebrafish heart (Fig. 8C-E). Ninety-three percent (92/99) of the injected embryos expressed the transgene, and 100% (92/92) of the expressing embryos displayed mosaic EGFP expression in the heart. As with *Xenopus*, expression outside the heart was inconsistent and



**Fig. 6. SMAD1 binds to seven regions within the 334 bp *Tbx20* regulatory element in vitro and occupies a combination of canonical and non-canonical SMAD1-binding sites in vivo.** (A) Double stranded, 5' carboxyfluorescein-labeled, 30 bp oligonucleotides designed for 2× coverage of the 334 bp *Tbx20* cardiac regulatory element for use in fluorescence polarization assays. (B) The dissociation constants ( $K_d$ ) for each oligonucleotide analyzed in fluorescence polarization studies. Bold type indicates oligonucleotides bound by SMAD1. (C) Schematic of the location of seven putative SMAD1-binding sites located within the 334 bp cardiac regulatory element, including the regions to be amplified by two separate sets of ChIP PCR primers. (D) Position weight matrix generated by MEME software from the sequence analysis of oligonucleotides 19, 13, 9, 6 and 2 reveals a novel non-canonical SMAD1-binding site within the 334 bp cardiac regulatory element. (E) Phospho-SMAD1 occupies a combination of canonical and non-canonical SMAD1-binding sites within the 334 bp cardiac regulatory element. ChIP assay was performed on stage 41 *X. tropicalis* tadpoles with a phospho-SMAD1/5/8 antibody, and precipitated DNA was probed with primers against either a combination of canonical and non-canonical SMAD1 sites (Amplicon 1) or a single non-canonical SMAD1 site (Amplicon 2). For comparison, ChIP assay was performed on stage 9 *X. laevis* embryos with a  $\beta$ -catenin antibody, and precipitated DNA was probed with primers against *Xnr6*. Values are fold enrichment relative to background (no antibody control).

appeared as irreproducible ectopic expression of EGFP. In summary, our data demonstrate that the 334 bp element from the *Xenopus Tbx20* locus is sufficient for cardiac-specific expression in *Xenopus* and zebrafish.

## DISCUSSION

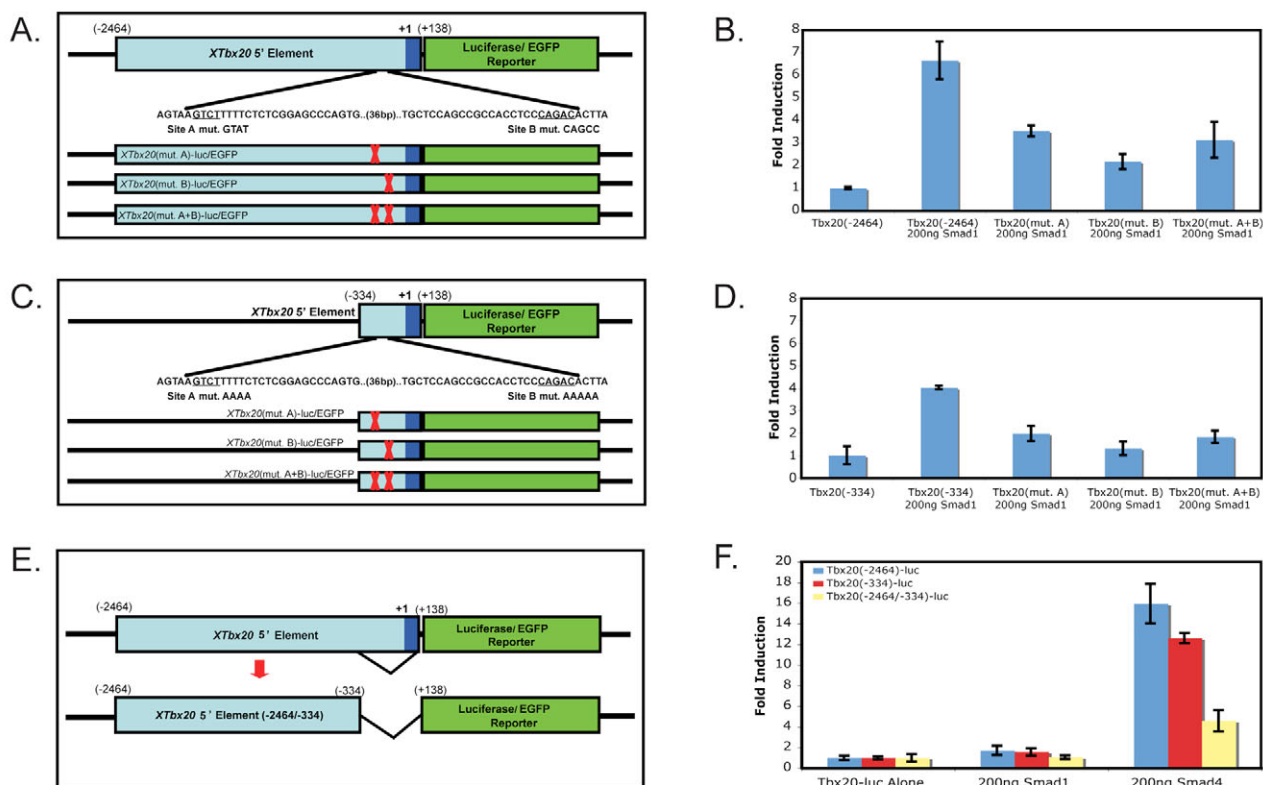
Studies of cardiac gene regulation have suggested that heart-specific transcription is regulated temporally and spatially via a set of distinct modular cis-acting elements (Schwartz and Olson, 1999). Here, we report that SMAD signaling is required in vivo for expression of *Tbx20* during cardiac chamber formation. We have also identified a 334 bp element in *Xenopus* that contains a series of seven high-affinity SMAD1/4-binding sites that are necessary and sufficient for the evolutionarily conserved cardiac expression of *Tbx20* in mouse and zebrafish. Complementary to this finding, we have identified additional sequences that attenuate the BMP/SMAD1 response. Collectively, our studies demonstrate two distinct temporal requirements for BMP signaling during heart development: BMP signaling is required during the early phases of

vertebrate heart development for the establishment of the cardiac lineage and is required later during cardiac chamber formation and maturation through the direct transcriptional regulation of *Tbx20*.

### *Tbx20* cardiac expression requires canonical and non-canonical SMAD1-binding sites

Our data demonstrate a requirement for a set of high-affinity, canonical and non-canonical SMAD-binding sites in the regulation of *Tbx20* expression. Sequence analysis of regions within the 334 bp *Tbx20* cardiac element that were demonstrated to bind SMAD1 reveals two conserved consensus SMAD-binding sites containing the sequences GTCT and CAGAC, as well as a novel non-canonical SMAD-binding motif containing the sequence AGGA/CA/TG. We observed that SMAD1 occupies a combination of these sites in vivo during cardiac maturation. We have demonstrated that mutation of SMAD1/4 canonical-binding sites, either singly or in combination, has little effect on the expression of *Tbx20* either in vitro or in vivo, implying that it is the complement of canonical and non-canonical SMAD1-binding sites





**Fig. 7. SMAD1 activation is mediated through non-canonical SMAD1-binding sites.** (A-D) Mutation of the two consensus SMAD1-binding sites alone or in combination, in the context of the *Tbx20* (–2464)-EGFP or -luc reporter constructs (A,B), and the *Tbx20*(–334)-EGFP or -luc constructs (C,D), led to a decrease but not loss of activation in response to SMAD1. (E,F) Deletion of the 334 bp regulatory element from the 2464 bp reporters, *Tbx20*(–2464–334)-luc and *Tbx20*(–2464–334)-EGFP, led to a substantial decrease in response to SMAD1. Fold induction reflects changes in induction relative to induction of the reporter alone; error bars represent standard deviation of three replicates.

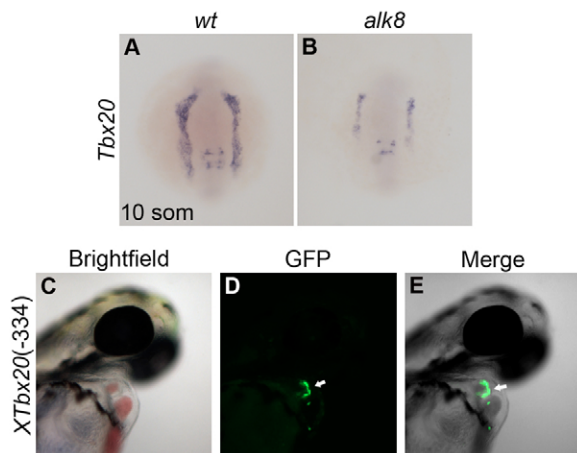
that is required for cardiac expression of *Tbx20*. Furthermore, our results suggest that the ability of SMAD1 to bind to DNA is not based on sequence alone. This hypothesis is supported by our observation that a region of the *Tbx20* minimal element containing a putative SMAD1/4-binding site failed to bind to SMAD1 in vitro. Taken together, our data support a model in which *Tbx20* expression is regulated during the later stages of heart development by BMP signaling. In this model, the BMP pathway acts through a combinatorial set of unique SMAD-binding elements, the individual elements of which differ in their contributions to the response to growth factor signaling, and therefore, to transcriptional output.

Our finding that a complement of SMAD1/4-binding sites is required for *Tbx20* cardiac expression is broadly consistent with the results of studies on two other BMP-responsive genes, *XVent* and *Nkx2.5*. Early mesodermal expression of *XVent* is dependent on five putative SMAD1/4-binding sites, whereas cardiac expression of *Nkx2.5* is dependent on twelve individual SMAD1/4-binding sites (Henningfeld et al., 2000; Liberatore et al., 2002; Lien et al., 2002). Similar to our findings, point mutations or deletions in multiple SMAD1/4-binding sites in the *XVent* promoter have no effect on SMAD responsiveness (Henningfeld et al., 2000). However, the regulation of cardiac-specific expression of *Nkx2.5* appears to be unique in that it is mediated by a direct interaction of a SMAD1/SMAD4 complex and a member of the GATA transcription factor family (Caban et al., 2004; Liberatore et al., 2002). Although we have identified a

GATA consensus site within the minimal *Tbx20* cardiac element, none of the *Tbx20* reporters respond to GATA4 (Fig. 3) and deletion of the GATA site in the 5' deletion series has no effect on the cardiac-specific expression of *Tbx20* (Fig. 7D). Thus, tissue-specific expression of *Tbx20*, unlike that of *Nkx2.5*, appears to occur through a GATA-independent mechanism. The activation of cardiac gene expression via BMP signaling has also been shown to be dependent on additional cardiac transcription factors. For example, the myocardin-dependent expression of cardiac genes is synergistically activated by the direct interaction of SMAD1 with myocardin (Callis et al., 2005). However, data we obtained using a large panel of cardiac transcription factors demonstrate that, with the exception of SRF, none of these factors significantly induce *Tbx20* expression in transient transcriptional assays (Fig. 3). Although we cannot formally rule out a potential role of SRF in *Tbx20* expression, mutation of the SRF-binding site had no effect on the temporal or spatial expression of *Tbx20* reporter constructs in vivo (data not shown).

### Cardiac-specific *Tbx20* expression

What, then, is the mechanism underlying the cardiac-specific expression of *Tbx20*? We note that nuclear localization of SMAD1 during heart development is temporally regulated. Based on this observation and the results of reporter analyses, we favor a model in which the complement of SMAD1/4-binding sites directs a pattern of broad temporal expression of *Tbx20* in the embryo that is further spatially refined by restriction of expression to the



**Fig. 8. The *Xenopus Tbx20* 334 bp cardiac regulatory element drives EGFP expression in a cardiac-specific manner in zebrafish.** (A,B) In situ hybridization depicts expression of *Tbx20* in wild-type zebrafish embryos and *alk8*<sup>sk42</sup> (Marques and Yelon, 2009) mutant siblings at the 10-somite stage; dorsal views, anterior to the top. *Tbx20* expression is reduced in both the anterior lateral plate mesoderm, including the bilateral cardiac primordia, and the midline mesenchyme of zygotic *alk8* mutants. (C-E) Lateral views of a live zebrafish embryo at 48 hpf, following injection with the *XTbx20*(-334)-EGFP transgene. Injected embryos express EGFP in the myocardium (arrows).

developing cardiac tissue as a result of the action of as yet unidentified transcriptional repressors. This idea is supported by our observations that: (1) the response of *Tbx20* reporters to SMAD1 and SMAD4 is enhanced by deletion of regions both outside and within the 334 bp element; and (2) upon reduction of the 334 bp *Tbx20* regulatory element to 81 bp, reporter expression substantially increased in non-cardiac tissues in *X. laevis* transgenic animals (see Fig. S2 in the supplementary material). These findings are consistent with studies that have demonstrated that the BMP arm of the SMAD signaling pathway is associated with the regulation of genes involved in early heart development, whereas the TGF $\beta$ /activin/nodal arm of the SMAD pathway appears to drive cardiac regulation of factors associated with fibrotic, apoptotic and anti-hypertrophic events related to progression to heart failure (for a review, see Euler-Taimor and Heger, 2006). Furthermore, it has been suggested that BMP signals act as long-range diffusible morphogens originating from multiple locations in the embryo, including the endoderm, ectoderm, or the cardiac cells themselves (Schlange et al., 2000; Schultheiss et al., 1997). It is therefore interesting to speculate that the regulation of the novel 334 bp *Tbx20* cardiac element during late cardiogenesis is a result of a continued or second wave of BMP signaling from the underlying endoderm or from the myocardial cells, mediated by SMAD1/4.

Although the SMAD1/4-binding sites are crucial for expression of *Tbx20* during cardiac chamber formation, the regulatory element described here does not activate endogenous expression of *Tbx20* in other regions of the embryo. Thus, our minimal *Tbx20* element does not comprise all of the information necessary for the complete expression of *Tbx20*, and elements regulating early cardiac and neural expression of *Tbx20* remain to be identified. Based on this observation and on the modular nature of the BMP/SMAD response elements described here, it appears that, as for regulation of *Nkx2.5* expression, regulation of *Tbx20* occurs in a modular

manner. Finally, taking into consideration (1) that the minimal element we have identified is required for expression of *Tbx20* during cardiac chamber formation, (2) the established correlation between mutations in *Tbx20* and human congenital heart disease, and (3) that not all human mutations that map to *Tbx20* occur in the coding region of the gene (Hammer et al., 2008; Kirk et al., 2007; Liu et al., 2008; Qian et al., 2008), it will be interesting to determine if an association exists between mutations in the *Tbx20* minimal element and congenital heart disease and/or cardiac hypertrophy.

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#### Competing interests statement

The authors declare no competing financial interests.

#### Supplementary material

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