# Synaptic Ultrastructure of Functionally and Morphologically Characterized Neurons of the Superficial Spinal Dorsal Horn of Cat

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Recordings of neuronal unitary discharges evoked by primary afferent input were made in the superficial part of the spinal cord's dorsal horn, the marginal zone and substantia gelatinosa (also known as laminae I and II), using fine micropipette electrodes filled with HRP. After physiological characterization with respect to primary afferent input, HRP was injected intracellularly iontophoretically into the recorded neuron. Following histochemical processing, the neurons so delineated were studied at the light and electron microscopic levels. No clear relationship between function and either general cellular configuration or synaptic ultrastructure appeared in these analyses, although the concentration of dendritic distribution could be related to the nature of primary afferent excitation. Nocireceptive cells had dendrites mostly branching and ending in lamina I and II., while the dendrites of innocuous mechanoreceptive cells arborized principally in lamina II and III. Glomerular synaptic complexes (large, complex arrays of axonic and dendritic profiles with synaptic interconnections) were found to contact a few neurons of both the nocireceptive and mechanoreceptive classes. All neurons received large numbers of simple axonic contacts (small axonic boutons with only 1 or 2 synaptic contacts with a single postsynaptic profile). A degree of specificity in the presynaptic articulations appeared to be reflected by the observations that (1) nocireceptive neurons were never found to receive synaptic contacts from boutons which resembled the known ultrastructure of peripheral innocuous mechanoreceptors, and (2) mechanoreceptive neurons were never seen to receive synaptic contacts from boutons which resembled the known ultrastructure of primary afferent nocireceptors. The axons of the labeled neurons of both nocireceptive and mechanoreceptive classes terminated in simple axonic synapses. All classes of neurons participated in dendrodendritic contacts; however, only some mechanoreceptive neurons had dendrites containing vesicles that were presynaptic to other profiles. No nocireceptive

neurons, regardless of gross configuration, were found to have vesicles in their dendrites, but 3 nocireceptive neurons received synapses from presynaptic dendritic profiles.

The superficial dorsal horn, laminae I and II, of the spinal cord (Brown and Rèthelyi, 1981) receives primary afferent input principally or exclusively from fine fibers, myelinated and unmyelinated, that pass through the lateral division of the dorsal rootlets (Sindou et al., 1974; Snyder, 1977; Light and Perl, 1979a, b). However, from the functional standpoint, the input to the region from the periphery is diverse (Kumazawa and Perl, 1978; Rèthelyi et al., 1979; Perl, 1984). There have been numerous descriptions of the structure of neurons and terminal axon systems located in this part of the spinal gray matter based upon Golgi impregnation techniques by classical and modern neurohistologists (Waldeyer, 1888; Lenhossek, 1895; Golgi, 1903; Ramón y Cajal, 1909; Pearson, 1952; Szentàgothai, 1964; Scheibel and Scheibel, 1968; Matsushita, 1969; Rèthelyi and Szentàgothai, 1973; Sugiura, 1975; Beal and Fox, 1976; Mannen and Sugiura, 1976; Beal and Cooper, 1978; Beal et al., 1981). While these morphological studies provide a basis for a general understanding of the neuronal configurations in this region, they give no direct evidence concerning relationships between structure and function or on the nature of synaptic articulations.

Light level studies of the neurons in the superficial dorsal horn have generally agreed upon the presence in lamina I of large, flattened neurons with major dendrites arranged to cap the dorsal horn of the type originally described by Waldeyer (1888), along with a substantial number of smaller rostrocaudally oriented cells. It is also generally accepted that lamina II, the substantia gelatinosa, is principally comprised of small densely packed cells; Ramón y Cajal (1909) recognized 2 principal forms: a "limitrophe" type most common at the border with lamina I that shows prominent dendrites radiating deeper into lamina II and a "central" type typically found more deeply in lamina II characterized by an elaborate array of rostrocaudally orientated dendrites with prominent dendritic protrusions. Since the time of Ramón y Cajal on, most observers have recognized 2 such neuronal shapes as characteristic of the substantia gelatinosa, although they have been given different names. Furthermore, most commentators have pointed out that many variations of the 2 basic patterns exist.

Initial views on synaptic organization in the superficial spinal dorsal horn were derived from ultrastructural surveys of normal tissue or following rhizotomy; the latter permitted identification of degenerating terminals of primary afferents fiber (Kerr, 1966, 1970; Ralston, 1968a, b, 1979; Rèthelyi and Szentágothai, 1969; Coimbra et al., 1974; Knyihar et al., 1974; Narotzky and Kerr,

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1978). These electron microscopic studies have emphasized the presence of "glomerular" synaptic complexes in which a "core axon terminal" was presynaptic to several dendritic profiles and was itself postsynaptic to surrounding profiles. Despite such emphases on the presence of glomerular synaptic complexes, "simple" axonal contacts in which an axonal profile contacts only one dendritic element are the more common articulation of the region (Ralston, 1979). Analysis of a single, functionally identified neuron of lamina II, by Gobel et al. (1980), pointed out that this neuron received a variety of both simple and glomerular synapses.

On circumstantial grounds, either from the relationship of the superficial dorsal horn to the thin fibers in the dorsal roots or to retrograde changes in neurons of the region following ventrolateral chordotomy, an association has been made between the superficial dorsal horn and mechanisms related to pain (Ranson, 1915; Foerster and Gagel, 1932; Kuru, 1949). More recently, electrophysiological observations have provided direct evidence that some neurons of laminae I and II in both carnivore and primate do have nocireceptive characteristics. However, these latter kinds of analyses have also emphasized that other neurons in the same laminae may be excited most effectively by either innocuous tactile or thermal stimuli (Christensen and Perl, 1970; Kumazawa and Perl, 1976, 1978; Dostrovsky and Hellon, 1978; Light et al., 1979). While it is tempting to postulate that each anatomically different cell type has a different functional input, there has been substantial disagreement on this point (Bennett et al., 1979, 1980; Light et al., 1979; Molony et al., 1981).

We report here observations on the structure and ultrastructure of a group of superficial dorsal horn neurons that were thoroughly characterized with respect to their peripheral input. The results indicate that the light level appearance and synaptic ultrastructure of superficial dorsal horn neurons are only poorly correlated with the type of peripheral input that excites the cell. Preliminary reports of some of these findings have been published elsewhere (Rèthelyi et al., 1983, 1985).

## **Materials and Methods**

Adult cats were deeply anesthetized with a mixture of halothane and nitrous oxide, a tracheal cannula was inserted, and a midcollicular decerebration performed. After the decerebration and destruction of the brain rostral to the colliculi, anesthesia was discontinued. Arterial pressure was monitored from a carotid artery cannula, and a neuromuscular blocking agent (gallamine triethiodide) was administered through a venous cannula. The paralyzed preparation was maintained with positive-pressure respiration adjusted to hold end-tidal CO<sub>2</sub> to 4% in air drawn from the tracheal cannula as monitored by an infrared CO<sub>2</sub> detector. Vertebral clamps and ischial pins were used for rigid support of the spinal region. A laminectomy exposed the spinal cord from the lower lumbar through the coccygeal region. The spinal cord was severed in the lower thoracic region through a separate, small laminectomy. Intact dorsal roots were lifted upon bipolar stimulating and recording electrodes to stimulate and monitor dorsal root afferent volleys.

Recordings were made with fine micropipette electrodes ( $<0.1~\mu m$ ;  $80-200~M\Omega$ ) filled with 5-10% HRP (Sigma type VI) in Tris buffer (pH 7.6) and 0.5 M KCl connected to a high-voltage electrometer with a high-compliance, constant-current source (Jochem et al., 1981). A stepping hydraulic microdrive (Kopf 650) was used to slowly advance the micropipettes into the spinal cord from the dorsal surface. Unitary activity from caudal and sacral segments was recorded initially extracellularly. In most cases these units were first recognized by a response to afferent volleys in the dorsal root fibers; they were characterized by conduction delay, relationship to components of the dorsal root compound action potential, and response to stimulation of peripheral tissue. Peripheral stimulation techniques included stroking of hairs and the skin with soft brushes, pressure applied with von Frey stimulators, blunt

pressure against skin or subcutaneous tissue, squeezing of skin with forceps, innocuous warming or cooling, and noxious heat. After initial functional characterization, the recording electrode was manipulated intracellularly, as evidenced by a maintained resting potential, and the responsive characteristics were rechecked. When the intracellular recording was established to be from the same element as studied extracellularly, positive rectangular pulses (ca. 100 Hz) of 4–10 nA were applied for 30 sec–5 min. Attempts to stain neurons were separated by at least 1 mm along the rostral–caudal direction of the spinal cord.

At the end of the electrophysiological recording session, the preparation was perfused with a fixative solution (2% glutaraldehyde, 2.5% paraformaldehyde in 0.1 M phosphate buffer with 5% sucrose) through the carotid cannula. The spinal cord was removed and postfixed in the same solution. The spinal cord was cut by Vibratome in 50- $\mu$ m-thick sections in the region of attempted neuronal labeling usually in the parasagittal plane. The tissue sections were reacted with diaminobenzidine and  $H_2O_2$  for 1 hr at 4°C. After washing and wet mounting, sections containing stained neurons were reacted in OsO<sub>4</sub> for 1 hr and then embedded in plastic between Teflon-coated coverslips (Metz et al., 1982). Photographs were taken of the sections containing stained neurons. The configurations of stained neurons were drawn using a camera lucida attachment.

Laminar boundaries as described by Rexed (1954) were determined in the osmium-treated, flat-embedded plastic sections. In these sections, lamina III and lamina II are easily distinguished by the large number of longitudinally oriented myelinated axons in lamina III. The superficial limit of lamina I is demarcated by the darkly stained myelinated axons of the dorsal columns. Lamina I contains large multipolar neurons with dendrites that remain primarily within it. The ventral border of lamina I blends into the outer portion of lamina II, and this boundary is difficult to determine. We divided lamina II into inner and outer portions as described by Rexed (1954). Lamina II-outer (abbreviated as II<sub>o</sub>) contains many longitudinally oriented cell bodies and more myelinated axons than the more internal lamina II-inner (abbreviated II<sub>i</sub>). Lamina II, has relatively few, widely scattered cell bodies and in these osmium-treated sections appears lighter in color than lamina II<sub>o</sub> because of the lack of myelinated axons. The border between these sublaminae was placed just internal to the accumulation of longitudinally oriented cell bodies in II<sub>o</sub>.

Selected processes (axons, dendrites, cell bodies) of the stained cells were cut from the plastic wafers, glued to blank Epon blocks, and then cut on an ultramicrotome into ultrathin sections. Many segments of dendrites and axons were examined in serial sections covering extensive stretches of the structure of interest. For each labeled neuron several thousand ultrathin sections (~70–100 nm thick) were cut from the 50- $\mu$ m-thick plastic embedded wafers. The ultrathin sections were cut from regions selectively chosen with the aid of detailed drawings of the labeled dendrites and axon collaterals so as to sample particular structures. The ultrathin sections were treated with lead citrate and uranyl acetate for contrast. After careful observations of the ultrastructure from the large group of sections, 60–200 serial ultrathin sections from each neuron containing synaptic specializations were photographed and used as the basis for the observations in Tables 1–3. In each ultrathin section, several portions of the dendritic tree were usually recovered.

The description of the ultrastructure of the labeled axon enlargements originating from HRP-stained neurons was based on 32 boutons obtained from 7 neurons (Table 3: B,D,A,L,N,O,P). A complete series of sections was analyzed for 15 boutons. Electron micrographs of representative structures were taken at various powers.

*Note*: In the material presented, comments about particular neurons are identified by letters A-R, referring to cells so labeled in Figure 1 and Tables 1-3. In the electron micrographs the upper case letters A-R also indicate the neurons of Table 1-3 from which the material was taken.

#### Results

In unanesthetized, decerebrated, and spinalized preparations with good vital signs, only a small fraction of neuronal units in the superficial dorsal horn that are excited by primary afferent input exhibit significant background activity (over 1 impulse/5 sec). On the other hand, injury by the recording microelectrode as evidenced by very large action potentials accompanied by varying baseline negativity was often associated with the ap-

Table 1. Selectively nocireceptive neurons

Code	Size of the peri- karyon (µm)	Mechanism of the excitation	Cell type, distribution of the dendrites	Syn- apses on soma	Synapses on dendrites	Local axon arborization
A	18 × 22	Myelinated mechanical nocireceptive	Central cell, dendrites in I and II <sub>o</sub> , numerous protrusions	Yes	Simple synapses, axon terminals with large dense-core vesicles	Myelinated axon, no local collaterals
В	12 × 19	Myelinated mechanical nocireceptive	Central-limitroph combination, dendrites in I–II, numerous protrusions	n.a.	Simple synapses, dendrodendritic synapses onto dendrites	Rich arborization in I-III
С	18 × 20	Myelinated mechanical and weak thermonocireceptive	Central cell, dendrites in I and II <sub>o</sub> , numerous protrusions	No	Glomerular HTM-type, simple and dendrodenritic synapses onto dendrites	Rich arborization in I–II
D	20 × 25	Myelinated mechanical and weak thermonocireceptive	Central cell, dendrites in I and II <sub>o</sub> , numerous protrusions	No	Glomerular HTM-type and simple synapses	Rich arborization in I–II
E	15 × 18	Myelinated mechanical and weak thermonocireceptive	Central cell, dendrites in I and II <sub>o</sub> , numerous protrusions	No	Simple synapses, axon terminals with large dense-core vesicles	Rich arborization in I–II
F	20 × 25	Myelinated and unmyelinated mechanical nocireceptive	Limitroph cell, numerous protrusions	No	Simple synapses	Arborization in I
G	12 × 24	Myelinated and unmyelinated mechanical and weak thermonocireceptive	Limitroph cell, smooth dendrites	Yes	Simple synapses	Not stained
Н	24 × 28	Myelinated and unmyelinated mechanical nocireceptive	Limitroph cell, numerous protrusions	n.a.	Simple synapse and occasional glomerular endings in IIi	Few collaterals in I and II
I	n.a.	Myelinated mechanical and weak thermonocireceptive	Limitroph cell, numerous protrusions (cut in the transverse plane)	n.a.	Simple synapses and dendrodendritic synapses onto dendrites	Not stained

pearance of background discharge. Units excited by innocuous thermal stimuli and some multireceptive elements were exceptions in that background discharge was present in the absence of any indication of injury. Inhibition from peripheral stimulation could be demonstrated for all units. The usual absence of background activity, however, demanded the evocation of responses by nerve volleys or some form of adequate stimuli to test for inhibition; therefore, in practice it proved difficult to systematically analyze inhibitory patterns. For this reason, the nature of the effective excitatory input became the principal basis for classification of functional responses. Using criteria derived from previous studies (Christensen and Perl, 1970; Kumazawa and Perl, 1978; Light et al., 1979), the neuronal units studied were placed into 4 general functional categories.

Most of the units encountered in the superficial dorsal horn using our very fine micropipette electrodes were selectively excited by a limited spectrum of stimuli, commonly either noxious or innocuous mechanical stimulation. Receptive fields were on the tail, the perianal region, or upper thigh. They varied in size from about 1 cm<sup>2</sup> to over 5 cm<sup>2</sup> with well-defined borders. The selectively nocireceptive or selectively mechanoreceptive categories included some neurons receiving essentially all excitatory

drive from sense organs with myelinated peripheral fibers (evidencing no C-fiber-related excitation in extra- or intracellular recording) and others receiving excitatory inputs (as evidenced by action potentials or intracellularly recorded EPSPs) associated with activity in both myelinated and unmyelinated peripheral fibers. Neuronal units classified as selectively mechanoreceptive were effectively excited by innocuous mechanical stimulation and exhibited no greater or special response to noxious stimuli. Elements classified as selectively nocireceptive were either not or weakly (an occasional impulse) excited by any form of innocuous mechanical or innocuous thermal stimulation; they were consistently and maximally activated by injurious mechanical and/or harmful thermal stimulation of peripheral tissue. Neurons classified as selectively thermal units were either strongly excited by an innocuous cutaneous cooling or (less frequently) warming and were poorly activated (few or inconsistent impulses) or unresponsive to any intensity of mechanical stimulation. Selectively thermal units were encountered infrequently, and none that were stained were preserved suitably for ultrastructural analysis. Multireceptive elements ("wide dynamic range"), those effectively excited by innocuous stimuli but responding with a higher frequency or more prolonged discharge

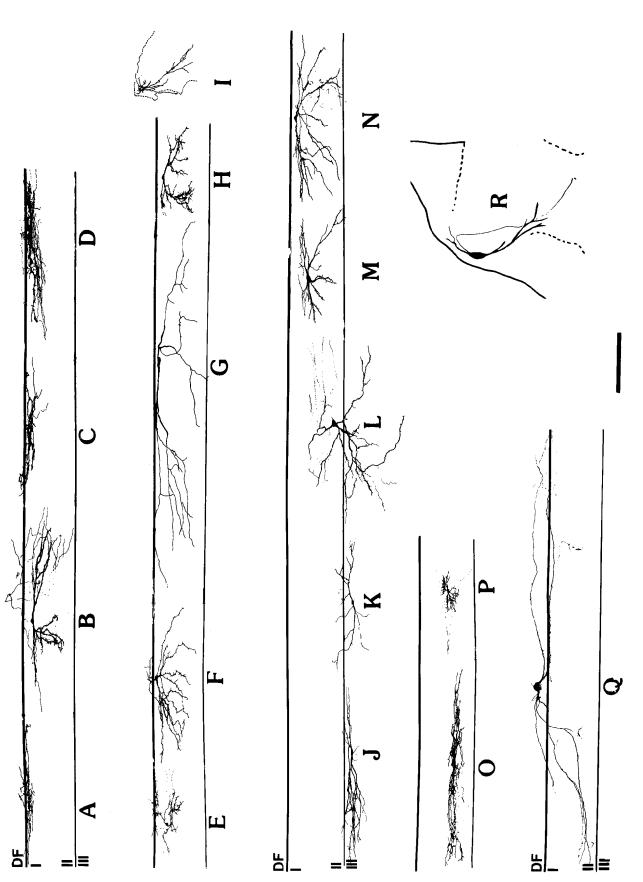


Figure 1. Camera lucida drawings of the neurons intracellularly stained with HRP. All but I are multisection reconstructions from sagitally cut spinal cord sections; I was cut in the transverse plane. A-I, Nocireceptive specific neurons; J-P, mechanoreceptive specific neurons; Q and R, multireceptive neurons. R is shown in a transverse reconstruction so that its laminar location can be appreciated. DF, dorsal funiculus; I-III, Rexed's laminae. Scale bar, 300 μm.

Code	Size of the peri- karyon (µm)	Mechanisms of the excitation	Cell type, distribution of the dendrites	Syn- apses on soma	Synapses on dendrites	Local axon arborization
J	10 × 34	Myelinated innocuous mechanoreceptive (hair afferents)	Central cell, at the junction of II and III, few protrusions	n.a.	Large glomerular synapses, synaptic vesicle containing dendrites that contact other neurons.	Not stained
K	10 × 18	Myelinated innocuous mechanoreceptive (hair afferents)	Central cell, at the junction of II and III, few protrusions	Yes	Large glomerular and simple synapses	Not stained
L	13 × 18	Myelinated innocuous mechanoreceptive (slow brush type)	Central (circular) cell, dendrites in IIi and III, few protrusions	No	Simple synapses	Axon arborization in II
M	18 × 22	Myelinated innocuous mechanoreceptive (slow brush type)	Limitroph cell, few protrusions	Yes	Large glomerular type and simple synapses	Not stained
N	20 × 28	Myelinated innocuous mechanoreceptive (slow brush type)	Limitroph cell, few protrusions	n.a.	Simple synapses	Axon arborization in I and II, partially myelinated axon
Ο	22 × 25	Myelinated and unmyelinated innocuous mechanoreceptive (slow brush type)	Lamina II central cell, numerous protrusions	No	Large glomerular synapses, synaptic vesicle containing dendrites that contact other neurons	Extensive arborization in I–III
P	12 × 20	Myelinated and unmyelinated innocuous mechanoreceptive (slow brush type)	Central (circular) cell, dendrites in II	No	Simple synapses	Extensive arborization in I–III
Q	23 × 42	Myelinated and unmyelinated (multireceptive)	Waldeyer-type neuron in I numerous protrusions on the soma and on dendrites	Yes	Simple synapses with large dense-core vesicles	Not stained
R	38 × 57	Myelinated and unmyelinated (multireceptive)	Waldeyer-type neuron in I smooth dendrites	Yes	Simple synapses	Myelinated axon collaterals to I and deeper areas

n.a., not available.

to overtly noxious stimulation, were almost as rare as the selectively thermal units in the superficial dorsal horn. Most of the multireceptive units were axonal recordings, as contrasted with the other types that clearly exhibited the synaptic potentials characteristic of soma-dendritic regions. Multireceptive cells were usually large neurons located in the outermost part of the dorsal horn [lamina I, Fig. 1 (Q, R) or the adjacent white matter].

Eighteen neurons whose somata and dendritic arborizations were well stained by HRP were selected for detailed light and electron microscopic study. These included 9 selectively nocireceptive, 7 selectively mechanoreceptive (low threshold), and 2 multireceptive neurons.

Even though the selection of units to be studied morphologically was based on the suitability of the staining and tissue preservation for microscopic study, those chosen represented a fair sample of elements encountered under these experimental conditions as judged by the functional type, cell location, and

general cell configuration. The breakdown of the population of other units in this study and in other series of recordings from this laboratory generally conformed to the present subset. Characteristics of the neurons in the study are presented in Tables 1 and 2, including details of afferent excitation, cell soma location, and general cell configuration (using terminology from the literature of the most closely corresponding neuronal type). The tables also describe briefly the types of contacts found upon the cell and the nature of the local collaterals of the axon whenever the latter could be determined.

# Cell location and configuration

The neurons in the sample were located in the region bounded superficially by the white matter just outside lamina I (marginal zone) and deeply by the superficial portions of lamina III. There was only a loose correlation between the location of neuronal soma and the functional category of the cell. As is shown in

Table 3. Summary of synaptic contacts on HRP-labeled neurons

Cell	Round ves., asymmetric	Round ves., symmetric	Round ves., DC	Pleomorph ves. symm.	Glomerular
Nocirecept		3,1111101110	1104114 (1011) 20	1.00	
A		+ (soma)	+ (stem)	+ (soma)	
В		(Somu)	++++ (sp, stem)	(Soma)	
C			+ (sp, stem)		++ (D-D)
D					++ (D-D) ++ (D-A)
E			+ (fine dendr)		++ (D-A)
E F			++ (sp, stem)	1. ()	
			+ (fine dendr, sp)	+ (sp)	
G	+ (stem)			+ (stem)	
H			+ (sp)		+
I	++ (sp)				
Mechanor	eceptive				
J	+ (sp, stem)				++
K	+ (stem)			+++ (soma,	++(A-A)
				+ (stem)	
L			+ (sp, stem)	,	
M			+ (stem)		+
N			++ (soma)		
11			+ (sp, stem)		
O	(stom)		(sp, stem)		++
P	+ (stem)		. (-4)	(-4)	7.7
			+ (stem)	+ (stem)	
Multirecer	otive				
Q			+ (soma)		
			+++ (sp, stem)		
R			+++ (soma, stem)	++ (soma, stem)	

<sup>+,</sup> axon terminals occurring scattered along dendrities or on the perikaryon (i.e., 1-5 terminals in each section); ++, axon terminals occurring with medium frequency (i.e., 6-20 terminals in each section); +++, axon terminals occurring frequently (i.e., more than 20 terminals in each section); sp, spine; stem, dendritic stem; D-D, frequent dendrodendritic synapses within the glomerulus; D-A, dendroaxonic synapses within the glomerulus; A-A, frequent axoaxonic synapses within the glomerulus.

Figure 1(A-I), selectively nocireceptive neurons had their somas in lamina I or in the outer portion of lamina II (II<sub>o</sub>). On the other hand, low-threshold mechanoreceptive neurons (Fig. 1, J-P) had somas scattered from lamina I through the outer portion of lamina III. One of the 2 multireceptive neurons (Fig. 1Q) had its cell body in the dorsal funiculus, while the other (Fig. 1R) had a soma on the lateral edge of lamina I.

#### Dendritic distribution

A better correlation appeared between the principal orientation and distribution of dendrites of a neuron and the dominant excitatory input. Nocireceptive neurons had their major dendritic arborizations in laminae I and II<sub>o</sub>. Nocireceptive cells evidencing excitation only related to primary afferent A-fibers had dendritic arborizations principally in lamina I (Fig. 1, A-E), although some neurons had more deeply penetrating dendritic extensions as well. Those nocireceptive neurons for which both an A- and a C-fiber excitatory input could be demonstrated had their principal dendritic arborizations spread between laminae I and III (Fig. 1, F-I). As Table 1 and Figure 1 point out, nocireceptive cells included both limitrophe (neurons B, F-I) and central (neurons A, C–E). The major dendritic arborizations for the innocuous mechanoreceptive cells were largely arranged within inner lamina II (IIi) and the outer part of lamina III (Fig. 1, J-P). Innocuous mechanoreceptive neurons also included both limitrophe (neurons M and N) and central types (neurons J, K, and O). The 2 stained neurons of the sample with distinctive multireceptive characteristics proved to be large, Waldeyer-type neurons, both of whose dendrites were largely located in lamina I (Fig. 1, Q, R), and one of which (Fig. 1R) had its dendrites wrapped around the lateral edge of the dorsal horn to enter the gray matter below the nucleus proprius in lamina V.

#### Axons

The fine axons of labeled nocireceptive and mechanoreceptive neurons were found to originate either from the soma or proximal dendrites. Some of these could be followed to terminal arborizations where they distributed small *en passant* boutons, while other axon branches passed into the dorsal or lateral white matter, where they faded and were lost. The axons of 2 neurons (Fig. 1A, a central type, and Fig. 1N, a limitrophe type) became invested with a thin myelin sheath close to the initial segment. The axons of both multireceptive neurons (Fig. 1, Q, R) were much thicker than any of the others in the sample. That of Fig. 1R measured 3.1  $\mu$ m in diameter; after traversing lamina I for about 110  $\mu$ m, it became invested with a thick myelin sheath and issued thin unmyelinated collaterals that ended within lamina I and more deeply.

# Ultrastructural observations

The labeled neurons were contacted by a variety of axon terminals mainly along their dendrites and on dendritic spines when such spines were present. Only a few perikarya had synapses. Synapsing axon terminals could be grouped into 2 general classes: simple and complex. Table 3 indicates the relative frequency of the various types of contacts on each of the neurons in the present sample.

Figure 2. Electron micrographs showing simple synaptic contacts between axon terminals (t) containing round or slightly oval clear synaptic vesicles and labelled neurons (asterisks), arrows point to the synaptic specializations. The upper case letter in each panel's upperright corner indicates the code of the neuron (see Fig. 1 and Tables 1 and 2). a, Two terminals synapse with labeled spine heads; b, a terminal synapses on a dendritic shaft; c, the postsynaptic element is a protrusion, double arrows point to the presynaptic axon; d, the terminal synapses on a smooth dendrite, among the 2 other terminals  $t_1$ synapses with the labeled dendrite at some distance from this level, t2 proceeds without any synaptic contact. Scale bar, 1 µm.

# Simple synapses

The most common type of simple synapse on labeled neurons came from profiles that contained round or slightly oval clear vesicles 40–60 nm in diameter and in addition contained 1 or more large, dense-core vesicles 80–120 nm in diameter (LGV type; Ralston, 1979). The synaptic specializations formed by these contacts were asymmetric with the most prominent density in the postsynaptic element. All of the nocireceptive neurons received contacts of this kind on their spines and dendrites with especially frequent contacts of this type being found on B and E (see Table 3). These types of contacts were most numerous in laminae I and II $_o$ . Examples are shown in Figure 3, a–d. Contacts of the LGV type were also found on 5 of the 7 innocuous mechanoreceptive neurons and were very common on both of the multireceptive neurons.

A less commonly observed simple synapse had a presynaptic axon terminal that contained only round, or slightly oval, clear vesicles 40–60 nm in diameter (Ralston's R type). The synaptic specialization was asymmetric. The R type of contact was found on 2 of the nocireceptive neurons (G and I) and on 3 of the innocuous mechanoreceptive neurons (J, K, O). Examples are shown in Figure 2, a–d. One neuron (A) received a symmetric synapse on its cell soma from simple axonic terminals with only clear, round vesicles. Whether this soma synapse represents a different type of contact or whether it reflects simply a difference between soma and dendritic innervation is not clear. It is reported that somatic contacts rarely exhibit the prominent post-synaptic densities associated with dendritic contacts (Conradi et al., 1979; H. J. Ralston, personal communication).

A third type of simple synaptic contact was frequent on all classes of neurons in this sample. The presynaptic axon terminal in this latter type contained flattened or pleomorphic, clear vesicles and the junction displayed a symmetric specialization. These, thus, represent Ralston's (1979) F-type. F-types of contact usually appeared as single profiles (Fig. 4, a-c) or inter-

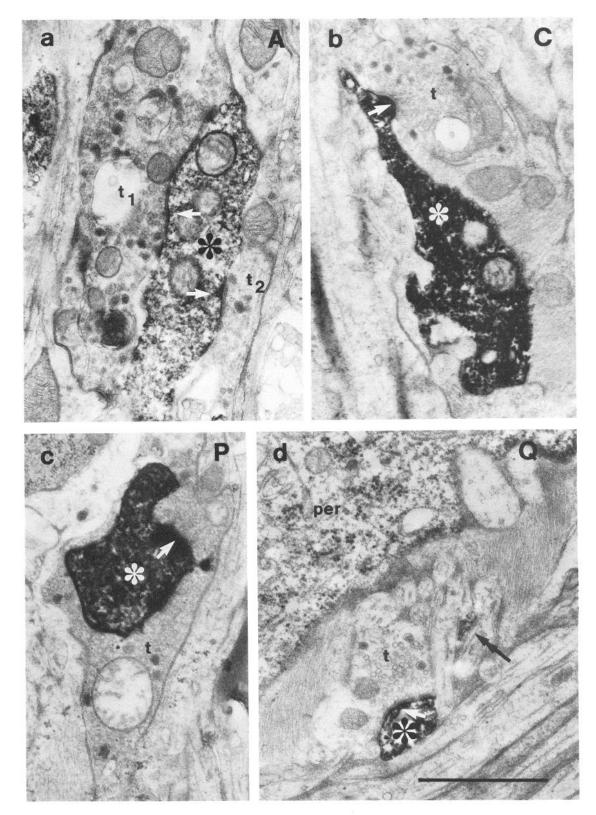


Figure 3. Electron micrographs showing simple synaptic contacts between axon terminals  $(t, t_1, and t_2)$  containing clear and dense-core vesicles and labeled neurons (asterisks), arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Tables 1 and 2). a, The dendrite is surrounded by axon terminals; b and c, the terminals are synapsing on dendritic shafts; d, the terminal synapses with the head of a somatic protrusion, the labeled perikaryon (per) can be seen in the upper-left corner of the picture, double arrow points to the neck of the protrusion. Scale bar, 1  $\mu$ m.

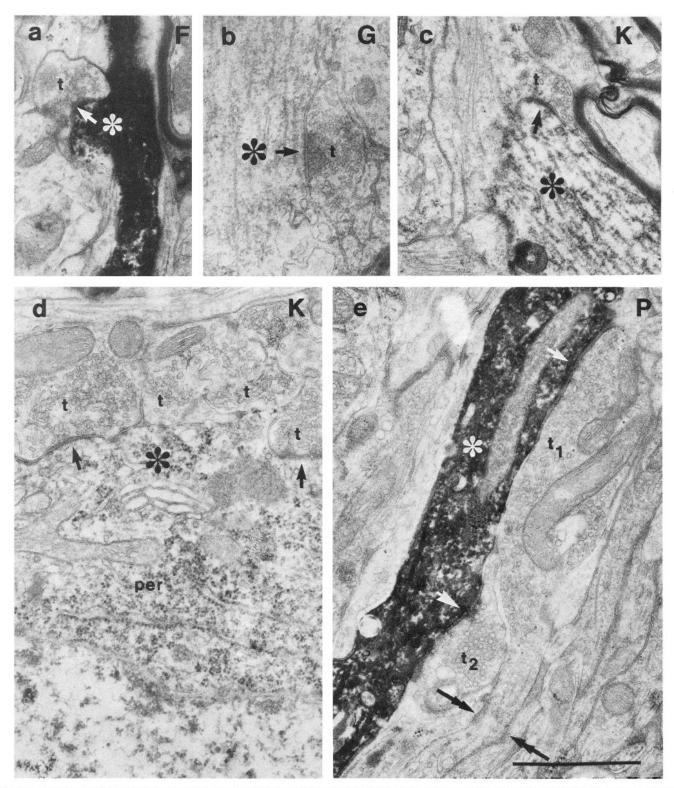


Figure 4. Electron micrographs showing simple synaptic contacts between axon terminals (t) containing flattened synaptic vesicles and labeled neurons (asterisks), arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Table 1 and 2). a, A small terminal caps a short dendritic spine; b and c, small terminals synapse on dendritic shafts; d, terminals completely cover the surface of a perikaryon (per); e, 2 terminals ( $t_1$  containing flattened vesicles,  $t_2$  containing spherical vesicles) synapse side by side, double arrows point to the stem axon of  $t_1$ . Scale bar, 1  $\mu$ m.

mingled with R or LGV boutons (Fig. 4e). However, in some cases several F-type boutons covered large areas of the perikarya (Fig. 4d).

The distribution of simple axodendritic contacts on different

neurons varied distinctly. Contacts from axons were scattered along the dendritic surface on most neurons, leaving stretches of 1-5  $\mu$ m without synapses; a number of examples appear in Figures 2b, 3b, and 4, a, e. In contrast, in other cases, axonic

Figure 5. Electron micrographs showing a main dendritic shaft (a, \*) and a portion of the perikaryon (b, per) of a multireceptive neuron (neuron R in Fig. 1 and Table 2). The postsynaptic surfaces on both structures are densely covered by synapsing axon terminals (t), arrows point to the synaptic specializations. Scale bar,  $1 \mu m$ .

junctions were situated close to one another essentially covering the dendritic or perikaryal surface (e.g., Fig. 5, a, b). One selectively nocireceptive neuron (Fig. 1b) showed an unusual arrangement in that its major dendritic shafts passing ventrally in lamina II, were surrounded by many fine unmyelinated fibers (Fig. 6a); one or another of these fine fibers periodically exhibited varicose enlargements that either formed simple synapses with the labeled shaft (Fig. 6b) or with narrow protrusions from the shaft (Fig. 6c).

# Complex synapses

Complex synaptic contacts consisted of arrangements (glomeruli) in which a large central bouton synapses with several surrounding dendrites and dendritic spines (Kerr, 1966; Rèthelyi and Szentàgothai, 1969). One or more of the contacted dendritic profiles were processes of the HRP-labeled neuron. The profiles forming the center of the glomerular complexes contained clear, round synaptic vesicles 40–80 nm in diameter. Besides being the presynaptic element to multiple structures, the central profile itself often received contacts from small, vesicle-containing enlargements exhibiting both axonic and dendritic characteristics. Examples of complex synapses taken from serial sections from which the relationships of each pre- and postsynaptic profile could be established are shown in Figure 7, a, b. For example,

the profiles in Figure 7b marked  $af_1$  and  $af_2$  (small size axon profiles) on other sections were found in serial reconstruction to be presynaptic to the centrally located axon. Column 6 of Tables 1 and 2 indicates that 7 of the 18 neurons participated in glomerular synapses.

Two of the 9 nocireceptive neurons (C and D, both islet cell type) were contacted along their dendritic shafts or spines in laminae I and II by complex glomerular synapses (see example in Fig. 7a). The central bouton of these glomeruli resembled boutons from myelinated nocireceptors in that they were small, contained mostly clear, round vesicles with essentially no large, dense-core vesicles and they contacted only a few (3–5) post-synaptic elements (Rèthelyi et al., 1982). A different nocireceptive neuron, a limitrophe type (H), received complex synapses on dendritic spines in lamina II. The central boutons in the glomeruli synapsing upon this latter neuron were of the C-type; C boutons are characterized by a large size, contacting a large number of postsynaptic elements and the presence of both large, dense-core vesicles as well as numerous clear, round vesicles.

Two innocuous mechanoreceptive neurons (J and M) also participated in glomeruli in lamina II; the central bouton in both cases was of the C-type. Two other low-threshold mechanoreceptive cells (K and O) were part of glomeruli at the lamina II-III border, glomeruli that had large single central boutons of

Figure 6. Series of electron micrographs showing a main dendritic shaft (asterisks) of a nocireceptive neuron (neuron B in Fig. 1 and Table 1). a, the shaft is surrounded by a ring of fine fibers. b and c, They enlarge occasionally and synapse with the shaft  $(t_1$  and  $t_3$  and possibly  $t_2$  and  $t_4$ ) and with protrusions  $(t_5)$ ; arrows point to the synaptic specializations. Scale bar, 1  $\mu$ m.

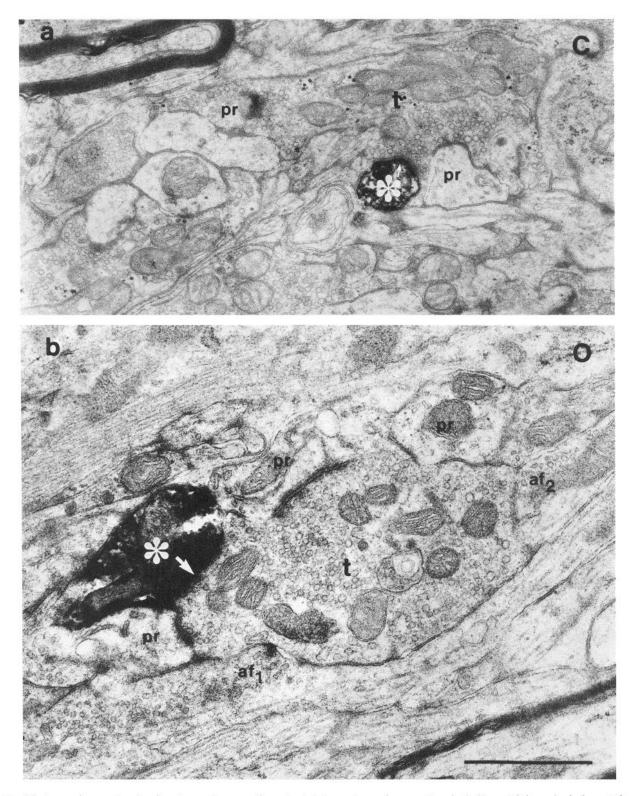


Figure 7. Electron micrographs showing glomerular synaptic contacts between large-size axon terminals (t) containing spherical synaptic vesicles and protrusions of labeled (asterisks) and unlabeled neurons (pr); arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Tables 1 and 2). a, Glomerular synapse in lamina I resembling those described as the central terminals of myelinated mechanical nocireceptors (Rèthelyi et al., 1982); b, glomerular synapse in lamina II, resembling C-type terminals, axons with flattened synaptic vesicles ( $af_1$  and  $af_2$ ) form axonaxonic synapses with the large terminal, the synaptic specializations are visible on adjacent serial sections. Scale bar, 1  $\mu$ m.

Figure 8. Electron micrographs showing dendrodenritic synaptic contacts between unlabeled vesicle containing dendrites (dv) and dendrites of labeled neurons (asterisks); arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Table 1). a, The vesicle containing dendrite synapses with 2 dendrites, one of them belonging to the labeled nocireceptive neuron (\*); b and c, adjacent regions of a dendrodendritic synapse; d, the dendrodendritic synapse is between 2 dendrites positioned parallel. Scale bar,  $1 \mu m$ .

unknown origin surrounded by relatively few postsynaptic elements (e.g., Figs. 7b and 9 a-d).

Detailed study of both multireceptive neurons did not uncover synaptic contact from glomerular arrangements along their extensive dendritic arborizations in lamina I and II<sub>o</sub>. Exhaustive search of multiple sets of serial sections of the other 9 selectively responsive neurons also failed to uncover glomerular synaptic connections.

Three selectively nocireceptive neurons (B,C,I), received synaptic contacts from presumed dendritic profiles. These presynaptic dendritic profiles contained small clusters of pleomorphic synaptic vesicles observed only in a limited number of serial sections. The presynaptic dendritic profiles were otherwise indistinguishable from the more usual vesicle-free dendrites (Fig. 8, a–d).

## Synaptic domains

With the exception of the dendrodendritic connections to the nocireceptive neurons, none of the synaptic arrangements appeared unique to a functional class or subclass of neurons. On the other hand, while there was no specialization of synapses evident to the functional category of excitatory input, a form of synaptic specialization was found to exist for each neuron, as an individual element, within a certain domain of its dendritic extensions. The latter phenomena became evident as labeled profiles were followed in serial sections. If a neuron received a contact as part of a complex synapse, it received a series of similar contacts from such complexes for a considerable stretch

of a dendrite's extension. Furthermore, other dendritic branches of the same neuron at the same spinal gray laminar level also participated in similar synaptic arrangements. Examples of multiple complex synapses for dendrites of a mechanoreceptive neuron in the lamina II<sub>i</sub>–III border appear in Figure 9, a–d. In contrast with this repeated glomerular synaptic arrangement of one neuron, other labeled cells with dendrites passing through identical laminae were the recipients of only simple synaptic contacts.

## Efferent synapses of labeled neurons

Axons of the labeled neurons arborized and established axodendritic synapses. En passant axon contacts of specific nocireceptive and mechanoreceptive neurons were similar in their ultrastructure (Fig. 10, a–g). Figure 10 illustrates a feature common to en passant axonic synapses of the labeled cells; a tendency for the presynaptic swelling to partially encircle the post-synaptic dendritic profile was commonly noted. Axonic enlargements of the labeled neurons were small (0.5– $1.0~\mu m)$  and contained a variety of clear, round or partially compressed vesicles. They typically also included several dense-core vesicles. In our sample, axons of the labeled neurons formed simple, symmetric synapses with dendrites; however, other neurons located in the same region but selected for their deep penetrating axons have been found to have axons making asymmetrical simple synapses (Light and Kavookjian, 1988).

Two of the selectively mechanoreceptive neurons located at the junction of laminae II and III (Fig. 1, J, O) contained small

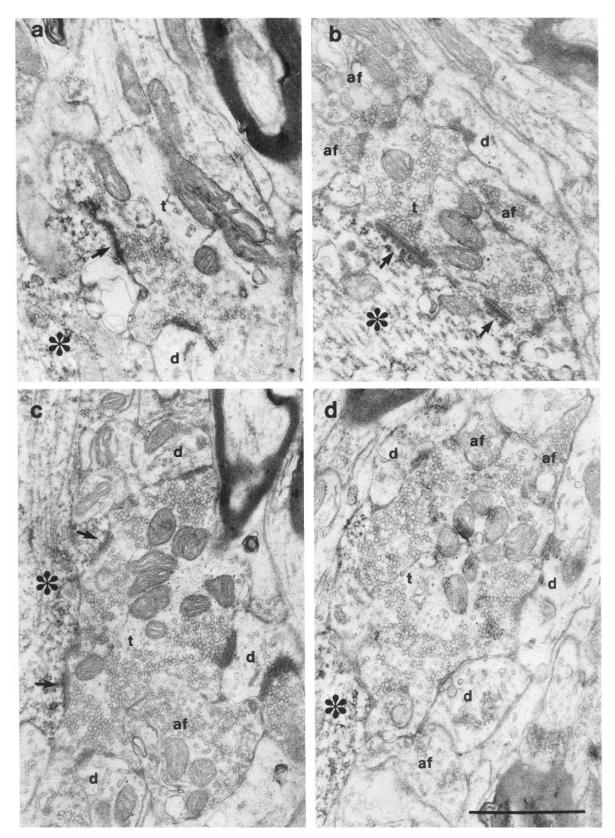


Figure 9. Electron micrographs showing glomerular synaptic contacts between large-size axon terminals (t) containing spherical synaptic vesicles and various dendritic shaft regions (asterisks) of a mechanoreceptive neuron (neuron K in Fig. 1 and Table 2), as well as with unlabeled dendrites (d); arrows point to the synaptic specializations between the large terminals and the labeled dendrites. Characteristically in such C-type glomerular synapses, the axon terminal in the center of the glomerulus is contacted by several small, flattened vesicle containing axons (af). Scale bar, 1  $\mu$ m.

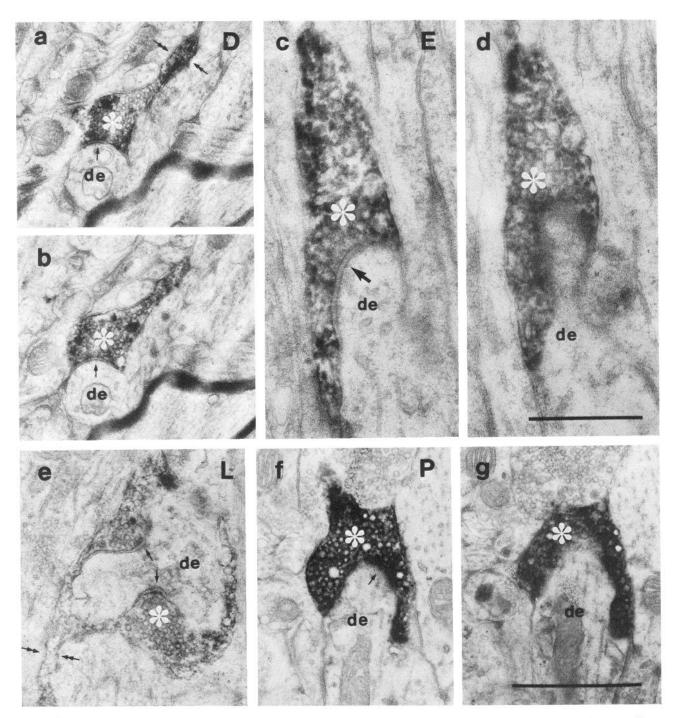


Figure 10. Single (e) and serial electron micrographs (a and b; c and d; f and g) showing axodenritic synaptic contacts between the axon enlargements of labeled neurons (asterisks) and nonlabeled dendrites (de); arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Tables 1 and 2). Enlargements are usually en passant boutons; the narrow interconnecting portions of the axon tree are well visible in a and e (between double arrows). Often the enlargements partially encircle the postsynaptic dendrites. Scale bars,  $0.5 \mu m$  for c and d and  $1 \mu m$  for other panels.

clusters of synaptic vesicles in their dendrites and were the presynaptic elements in dendrodendritic, symmetrical synapses with adjacent nonlabeled dendrites (Fig. 11, a, b). Vesicles were not noted in the dendritic extensions of the other 16 neurons.

## Discussion

A particular functional class of primary afferent fiber (e.g., Group IA, G-hair, Type-I, myelinated fiber mechanical nocireceptor,

C-fiber nocireceptor) has been shown to have a unique termination pattern in the spinal dorsal horn (Light and Perl, 1979b; Brown, 1981; Sugiura et al., 1986). This close correlation between functional attributes and structural features, however, was not found in a past study of laminae I and II neurons (Light et al., 1979). We also were unable to demonstrate such structure-function relationships in our present analyses of the 18 superficial dorsal horn neurons. Neurons with a particular type of excitatory input varied widely in the geometric arrangement of

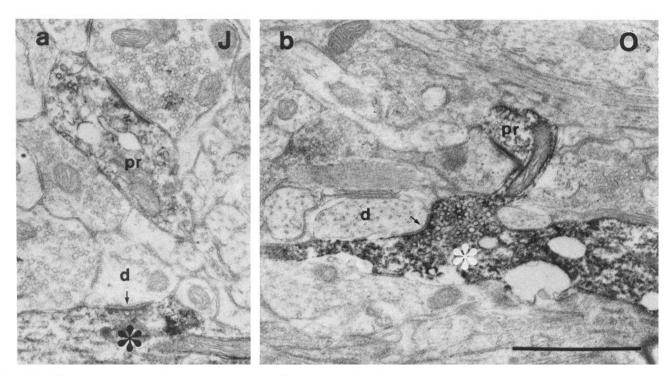


Figure 11. Electron micrographs showing synaptic contacts between dendrites of labeled neurons containing spherical synaptic vesicles (asterisks) and unlabeled dendrites (d); arrows point to the synaptic specializations. The upper case letter in each panel's upper-right corner indicates the code of the neuron (see Fig. 1 and Tables 1 and 2). In both cases, a dendritic protrusion (pr) originates from the shaft next to the clusters of synaptic vesicles. Scale bar,  $1 \mu m$ .

their dendritic trees. In other words, limitrophe (stalked) or central (islet) types of cells were found to be associated with more than one kind of functional category in terms of the major or dominant kind of excitatory input from the periphery. On the other hand, the reflection of the dominant types of primary afferent excitation by the lamina location of the major dendritic arborization noted previously (Light et al., 1979) was confirmed. Thus, nocireceptive neurons have their dendritic spreads predominantly in laminae I and II<sub>o</sub>, while innocuous mechanoreceptive neurons showed predominant dendritic arborizations in laminae II<sub>i</sub> and III.

A close relationship between ultrastructure and function does not appear in our sample. Neurons of a particular functional class were not found to have specific types of synaptic arrangements. For example, all 9 nocireceptive neurons had functional evidence of excitation from myelinated nociceptors (HTM), and yet only 2 had dendrites that were involved in synaptic glomeruli in which the central bouton resembled that of the HTM (Rèthelyi et al., 1982). One had dendrites contacted in a glomerular arrangement by a "C-type" bouton (Maxwell and Rèthelyi, 1987), and all 9 received contacts from "simple" synapses. These "simple" synaptic boutons may originate from other superficial dorsal horn neurons (see below) or may be supraspinal in origin.

Similarly, of the 7 innocuous mechanoreceptive neurons that appeared to receive excitatory input from  $A\delta$  mechanoreceptors, only 2 received synapses that were similar to those described by Rèthelyi et al. (1982) as stemming from myelinated low-threshold mechanoreceptors. Our observations do, however, argue for selective connections between axons and dendritic arborizations; individual neurons did not participate in all of the varieties of synaptic arrangements existing in the vicinity of their dendritic arborizations. For example, nocireceptive neu-

rons never had dendrites that were contacted by central boutons of the "D-hair" type. Conversely, innocuous mechanoreceptive neurons never received contacts from "HTM-like" boutons even when their dendrites passed through laminae I or II<sub>o</sub>. Dendrites of a particular neuron apparently maintain a "microdomain" (Lichtman et al., 1984) by repeatedly receiving synapses in a particular arrangement. Thus, the specificity of excitatory projections found in functional studies on the superficial dorsal horn may have a basis in a morphological selectivity of connections from primary afferent fibers. This type of selectivity in the superficial dorsal horn of cat is therefore similar to that shown to exist by Lichtman et al. (1984) for motoneurons of the bullfrog.

Our observations suggest that in the superficial dorsal horn (possibly in the entire dorsal horn, as well), 2 organizational principles determine the synaptic connections between primary sensory neurons and second-order spinal cord neurons (Rèthelyi, 1983). One is a geometrical principle, i.e., the distribution pattern of pre- and postsynaptic components. The laminar distribution of primary afferent fibers and dendritic arborizations confined to particular laminae may produce numerous modality-specific second-order neurons (Perl, 1984). On the other hand, convergence of impulses from noci- and low-threshold mechanoreceptors observed on certain units recorded in laminae I or II<sub>o</sub> (Price et al., 1979; Bennett et al., 1981) may be the result of some dendrites branching in lamina I and II, while others of the same neuron descend ventrally towards laminae II, and III. The other factor that determines the connections between primary and secondary neurons may be called the synaptic selectivity principle. Synaptic contacts are made only between specific presynaptic and postsynaptic elements in a particular locality. Synaptic selectivity is likely to act in concert with the geometrical principle. Thus, rather specific types of contact are repeatedly found along an individual dendrite.

In contrast to the diversity in afferent synapses, nocireceptive and mechanoreceptive neurons were remarkably uniform in the ultrastructure of en passant enlargements from their axon arborization. Moreover, none of the observed axonic swellings formed recognizable axoaxonic connections. Therefore, the types of dorsal horn neurons we studied do not appear to contribute to the presynaptic axonic profiles reported for this part of the spinal cord dorsal horn. It is interesting to compare the structural differences of primary afferent terminals in the superficial dorsal horn to the uniformity of axon terminals of the neurons of the region. Synaptic enlargements of primary sensory neurons are mostly large boutons, synapse with several dendrites, and receive presynaptic connections (cf., Maxwell and Rèthelyi, 1987). Axon terminals of second-order neurons are small, synapse exclusively with one postsynaptic profile, and do not receive presynaptic connections.

Finally, vesicle-containing dendrites that are presynaptic to other dendrites may be a feature of some elements of a functional subtype, the low-threshold mechanoreceptive neurons of lamina II-III region. This correlation confirms earlier reports (Bennett et al., 1980; Gobel et al., 1980).

In conclusion, the same approach that made it possible to correlate function and structure for fine myelinated primary afferent fibers (Rèthelyi et al., 1982) has led to a different conclusion about neurons of the superficial dorsal horn excited by these afferent fibers. Functionally similar neurons of laminae I and II in terms of peripheral excitation do vary in their general geometry and synaptic arrangements.

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