



Aalborg Universitet

AALBORG UNIVERSITY  
DENMARK

## A Framework for Profit Maximization in a Grid-Connected Microgrid With Hybrid Resources Using a Novel Rule Base-BAT Algorithm

Elgamal, Mohamed; Korovkin, Nikolay; Elmitwally, Akram; Menaem, Amir Abdel; Chen, Zhe

*Published in:*  
IEEE Access

*DOI (link to publication from Publisher):*  
[10.1109/ACCESS.2020.2987765](https://doi.org/10.1109/ACCESS.2020.2987765)

*Creative Commons License*  
CC BY 4.0

*Publication date:*  
2020

*Document Version*  
Publisher's PDF, also known as Version of record

[Link to publication from Aalborg University](#)

*Citation for published version (APA):*

Elgamal, M., Korovkin, N., Elmitwally, A., Menaem, A. A., & Chen, Z. (2020). A Framework for Profit Maximization in a Grid-Connected Microgrid With Hybrid Resources Using a Novel Rule Base-BAT Algorithm. *IEEE Access*, 8, 71460-71474. [9064781]. <https://doi.org/10.1109/ACCESS.2020.2987765>

### General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- ? Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- ? You may not further distribute the material or use it for any profit-making activity or commercial gain
- ? You may freely distribute the URL identifying the publication in the public portal ?

### Take down policy

If you believe that this document breaches copyright please contact us at [vbn@aub.aau.dk](mailto:vbn@aub.aau.dk) providing details, and we will remove access to the work immediately and investigate your claim.

Received January 30, 2020, accepted April 7, 2020, date of publication April 13, 2020, date of current version April 29, 2020.

Digital Object Identifier 10.1109/ACCESS.2020.2987765

# A Framework for Profit Maximization in a Grid-Connected Microgrid With Hybrid Resources Using a Novel Rule Base-BAT Algorithm

MOHAMED ELGAMAL<sup>1,2</sup>, NIKOLAY KOROVKIN<sup>1</sup>, (Member, IEEE), AKRAM ELMITWALLY<sup>2</sup>, (Member, IEEE), AMIR ABDEL MENAEM<sup>2,3</sup>, AND ZHE CHEN<sup>4</sup>, (Fellow, IEEE)

<sup>1</sup>Institute of Energy and Transport Systems, Peter the Great Saint-Petersburg Polytechnic University, 195251 Saint-Petersburg, Russia

<sup>2</sup>Electrical Engineering Department, Mansoura University, Mansoura 35516, Egypt

<sup>3</sup>Department of Automated Electrical Systems, Ural Energy Institute, Ural Federal University, 620002 Yekaterinburg, Russia

<sup>4</sup>Department of Energy Technology, Aalborg University, DK-9220 Aalborg, Denmark

Corresponding author: Mohamed Elgamal (engineer\_elgamal@mans.edu.eg)

This work was supported by the Ministry of Higher Education, Egypt.

**ABSTRACT** In this paper, an energy management system (EMS) is proposed for optimal operation of a microgrid (MG). Dispersed photovoltaic arrays (PVs) and wind turbine generators (WTs) as renewable energy sources (RES) supply a major part of the network demanded energy. Also, an energy storage system (ESS), a micro-turbine unit (MT), and a fuel cell unit (FC) are integrated. The uncertainty and stochastic nature of the network load and RES data are treated via probabilistic modeling and scenario-selection approach. The predicted day-ahead data of the most diverse hourly scenarios are entered into the proposed EMS to determine the active and reactive power ( $P$ - $Q$ ) participations of local distributed resources. Likewise, it specifies the discharging/charging power and state of the ESS in addition to the exchanged active/reactive power amounts with the main network. The main goal is to maximize the profit of the secondary grid while satisfying all technical constraints. In the proposed EMS, the day-ahead energy management is developed as a comprehensive optimization problem. Moreover, the paper proposes novel modifications to improve the BAT optimization technique. The optimization problem of the energy management in the microgrid is implemented using a new integrated rule base-improved BAT method. Furthermore, the proposed EMS competence is proven by comparing its performance to recent literature.

**INDEX TERMS** Grid-tied microgrid, renewable energy resources, optimization, energy management.

## NOMENCLATURE

MG	Microgrid
EMS	Energy management system
RES	Renewable energy sources
DG	Distributed generation
PV	Photovoltaic array
WT	Wind turbine
FC	Fuel cell
MT	Microturbine
ESS	Energy storage system
OLTC	On load tap changer of main grid transformer
P-Q	Active power and reactive power
PSO	Particle swarm optimization
MCS	Monte Carlo simulation

PDF	Probability density function
SOC	State of charge
MPPT	Maximum power point tracking
$MG_{PRO,t}$	The profit of MG at the $t^{th}$ hour
$TOC_t$	Operation cost of the MG at the $t^{th}$ hour
$TMC_t$	Maintenance cost of the MG at the $t^{th}$ hour
$s$	Index for scenario number
$N_s$	Total number of selected scenarios
$\rho_s$	Occurrence probability of the $s^{th}$ scenario.
$SP_t$	The hourly magnitude of sold active power ( $kWh$ )
$\lambda_{P, buy, t}$	The hourly buying price of active power of the market ( $\$/kWh$ )
$SQ_t$	The hourly magnitude of sold reactive power ( $kVARh$ )

The associate editor coordinating the review of this manuscript and approving it for publication was Enamul Haque.

$\lambda_{Q, buy, t}$	The hourly buying price of reactive power of the market (\$/kVArh)	$SOC_t$	The hourly charge state of ESS at the $t^{th}$ hour
$P_{DG, i, y}$	The rated power of the $i^{th}$ DG (kVA) of the $y^{th}$ DG type	$SOC_{min}$	The minimum $SOC_t$ of ESS
$MC_{DG, i, y}$	The $i^{th}$ DG maintenance cost (\$/kVA) of the $y^{th}$ DG type	$SOC_{max}$	The maximum $SOC_t$ of ESS
$n_{DG, y}$	Number of DGs of the $y^{th}$ type	$V_i$	$i^{th}$ bus voltage
$Y$	The number of installed DG types	$V_{min}$	Allowable minimum voltage of the bus
$n_{ESS}$	Number of ESSs	$V_{max}$	Allowable maximum voltage of the bus
$MC_{ESS, k}$	$k^{th}$ ESS maintenance cost (\$/kVA)	$S_{ij}$	The apparent power flow between node $i$ and node $j$
$S_{ESS, k}$	Rated capacity of the $k^{th}$ ESS (kVA)	$S_{max}$	Maximum power flow between node $i$ and node $j$
$MC_{OLTC}$	The OLTC maintenance cost (\$/kVAh)	$T_{OLTC, t}$	Tap position of OLTC at $t^{th}$ hour
$S_{OLTC, t}$	The OLTC power flow (kVAh) at hour $t$	$T_{min}$	Minimum tap position of OLTC
$C_{grid, t}$	The hourly operating costs at time $t$ for main grid	$T_{max}$	Maximum tap position of OLTC
$C_{dg, t}^d$	The hourly operating costs at time $t$ for the $d^{th}$ DG unit	$N_{mov}$	Total number of daily movements of OLTC
$C_{ESS, t}^k$	The hourly operating costs at time $t$ for the $k^{th}$ ESS unit	$N_{mov, max}$	Maximum number of daily movements of OLTC
$n_{dg}$	Number of dispatchable DG units	$v_w$	Wind speed
$n_{ESS}$	Number of ESS units	$c$ and $k$	Weibull parameters
$C_{Pgrid, t}$	Hourly active power cost of the main grid at time $t$	$\mu_v$ and $\sigma_v$	Mean and standard deviation of wind speed
$C_{Qgrid, t}$	Hourly reactive power cost of the main grid at time $t$	$S_i$	Solar irradiance
$\lambda_{P, sell, t}$	Price of active power sold by the MG to the main grid at time $t$	$\alpha, \beta$	Parameters of the Beta PDF
$\lambda_{Q, sell, t}$	Price of reactive power sold by the MG to the main grid at time $t$	$\mu_s$ and $\sigma_s$	Mean and standard deviation of solar irradiance
$P_{grid, t}$	Active power of the main grid at time $t$	$x_{b, 0}$	Initial vector of control variables
$Q_{grid, t}$	Reactive power of the main grid at time $t$	$v_{b, 0}$	Initial velocity of bat
$C_{dg, t}$	The operating cost of a dispatchable DG unit at time $t$	$F_{b, best}$	Best solution of bat b
$\lambda_{P, t}$	Hourly generation costs of active power at time $t$	$G_{best}$	Global best solution
$\lambda_{Q, t}$	Hourly generation costs of reactive power at time $t$	$v_b$ and $x_b$	Velocity and position of bat
$C_{ESS, t}$	The hourly operating cost of the ESS at time $t$	$w_{b, it}$	Velocity weight factor at the $it^{th}$ iteration
$P_{ESS, t}$	The average hourly ESS power at time $t$	$rand(\cdot)$	Normally distributed random number
$\eta_{ch}$	The charging efficiency	$r_{b, it}$	Bat pulse rate at the $it^{th}$ iteration
$\eta_{dis}$	Discharging efficiency of the ESS	$A_{b, it}$	Loudness level of the $b^{th}$ bat at the $it^{th}$ iteration
$P_{imp, max}$	Maximum imported main grid active power from the MG	$F(x_{b, it+1}^{new})$	Value of the objective function F at the position $x_{b, it+1}^{new}$
$P_{exp, max}$	Maximum exported main grid active power to the MG	$\alpha_a, \alpha_r$ and $\alpha_w$	Loudness weight factor, pulse rate weight factor, and velocity damping factor, respectively
$P_{dg, t}$	The active power of a DG unit at time $t$	$F_{new}$	New value of the objective function
$P_{dg, max}$	Maximum active power limit of DG unit	$F_{old}$	Old value of the objective function
$P_{dg, min}$	Minimum active power limit of DG unit		
$Q_{dg, t}$	The output reactive power of a DG unit at time $t$		
$Q_{dg, max}$	Maximum reactive power limit of DG unit		
$Q_{dg, min}$	Minimum reactive power limit of DG unit		
$P_{max, Ch}$	Maximum charging active power of ESS		
$P_{max, Dis}$	Maximum discharging active power of ESS		

**I. INTRODUCTION**

Nowadays, microgrids (MGs) are acquiring more widespread as they can lower the electrical energy supply cost for consumers, improve ecosystem, and mend energy efficiency. MG is defined as a distribution network incorporating several kinds of distributed energy resources, mostly renewables, to provide powers of loads in a grid-tied mode or isolated mode [1]–[3]. To maximize the economic and technical benefits of MG, the integrated distributed generators (DGs) and energy storage systems (ESS) must have a coordinated control. Therefore, the MG needs an energy management

system (EMS) [4]. EMS is the supervisory monitoring and control system of the MG that properly runs its generation and transmission facilities to accomplish its techno-economic objectives [5]. The adopted assessment algorithms and control strategies in the EMS define its aptness.

The most common methods utilized in EMS of MG involve particle swarm optimization (PSO), artificial intelligence approaches, BAT algorithm, hyper-spherical search method and mathematical programming [6]–[15]. A central optimization solver collects all the system data and then processes them to obtain the solution and issue the MG operation decisions [3], [5]. However, papers [10]–[15] ignored the associated uncertainties in the forecasts of renewable energy sources (RES) output power and load power. Reference [6] and [7] have the same shortage. On the other hand, a PSO-based probabilistic day-ahead EMS considering uncertainties in wind, solar and load power is presented in [8], [9]. Similarly, many other optimization methods are employed to design EMS for MG under uncertainty [16]–[26]. Reference [16] describes a second order cone program approach for the same problem. The authors in [17] present a non-dominated sorting genetic algorithm-based stochastic day-ahead EMS considering uncertainties in RES and load power. Cuckoo optimization algorithm and a grey wolf optimization algorithm are used in [18] and [19], respectively, for the EMS design problem. Reference [20] studies a nonlinear programming-based day-ahead EMS considering the forecasting error of photovoltaic generator (PV) output-power. A mixed-integer programming algorithm-based day-ahead EMS is presented in [21]. It accommodates uncertainties in PV and wind turbine (WT) output-power. However, some of works in [16]–[26] overlooked uncertainty of load power and the use of non-renewable dispatchable resources such as micro-turbine (MT) and fuel cell (FC). In other some, the developed EMS is only applied to a simple one-bus MG.

Different approaches are employed to handle the random-nature and uncertainty in input variables, such as the load and RES forecasts. In [8], authors used a number of probabilistic scenarios generated by the point estimate method. In [16], authors presented a set of stochastic scenarios which are generated from probabilistic forecasts. In [9], [17]–[19], [22]–[24], authors produced probabilistic scenarios using the Monte-Carlo method. Wind speed and solar radiation are modeled as Weibull and Beta probability distribution, respectively. The load is modeled as a random variable with normal probability distribution. Reference [21] behaves similarly but assumed normal probability distributions for both solar radiation and wind speed. Besides, uncertainty in PV power-output is modeled using the K-Means clustering method to produce a number of possible scenarios in [25]. Authors in [26] got a number of random scenarios using the Latin hypercube sampling method. Wind speed and solar radiation are described using Log-normal probability distributions. Reference [16] considers the correlation between load and other variables like temperature and wind speed. So, random input variables are treated as dependent. On the

other hand, most of the published literature in MG analysis assumes the input random variables to be independent.

Moreover, all the aforementioned research works [3]–[26], except [6], consider only active power shares of the dispatchable DGs. Also, only the active power exchange among the MG DGs and the main grid is concerned. No attention is paid neither to the optimal reactive power dispatch among DGs nor to the exchange of reactive power between the main grid and the MG. So, this can amplify the probabilities of enlarged power loss, voltage deviations, and line congestion. Operation cost of the MG cannot be optimum and hence the MG profit gets compromised. As a distinct work, the EMS reported in [6] coped both active and reactive power ( $P$ - $Q$ ) shares among local DGs. Nonetheless, optimal utilization of commonly installed equipment like capacitor banks and on-load tap changer of the transformer (OLTC) connecting the MG to the main grid is not accommodated into the EMS. This can limit the MG profit on one side. It can endanger its security on the other side by giving higher chances to the occurrence of line congestions and voltage violations.

In this paper, the optimal day-ahead operation of a hybrid-energy grid-tied MG is catered. The MG comprises various types of DGs and battery ESS. The DGs include PV units and WT units dispersed over the MG area. These RESs are assumed to be non-dispatchable DGs where maximum available energy must be extracted from them whenever possible. Besides, the MG has FC and MT units as dispatchable DGs. The MG is tied to the nearby main grid through a limited-capacity transformer with OLTC. Based on the next day forecasts of metrological data, MG load variation, and time-of-use electricity prices, the MG operation should be optimized by maximizing its profit satisfying all technical constraints. This is accomplished by determining the  $P$ - $Q$  shares of all DGs, the charging/discharging state and power setting of the ESS, the amount of power exchange with main grid, and OLTC tap position for each hour in the next day. A comprehensive formulation of the constrained probabilistic MG mixed-integer optimization problem is developed. The paper takes the uncertainty in next-day forecasts of solar radiation, wind speed, and the MG load into account. An appropriate probabilistic model is defined for each variable. A large-enough number of possible input variables combinations (scenarios) are generated randomly by MCS. Then, a proper scenario-reduction technique is used to limit the required computation time keeping an adequate level of diversity in the selected scenarios. The optimal management of both  $P$ - $Q$  shares of all DGs and ESS is concerned. The proposed design of the EMS is proved to be more efficient and faster in comparison to other recent methods reported in literature.

The main contributions of this paper can be summarized as follows:

- Uncertainty in input data is considered.
- Novel modifications are made to the BAT technique to enhance its searching capability avoiding trapping in local or sub-optimal solutions and shorten the search time.

- An adaptive method is presented to identify the modified BAT algorithm parameters.
- A new rule base-assisted improved BAT method is proposed as the solution algorithm for the MG optimization problem.
- Optimal locations and sizes of all DGs and ESS are determined as a preliminary stage.
- A method for post-processing the optimization results is proposed to further improve the MG performance by intuitive readjustment of OLTC.

In the rest of this paper, the problem statement is presented in Section II. Modeling of random input variables and scenarios selection are discussed in Section III. The proposed EMS design is described in Section IV. The case study system is introduced in Section V. Performance analysis and comparative evaluation are provided in Section VI. Finally, main conclusions are given in Section VII.

## II. PROBLEM STATEMENT

In this paper, the day-head P-Q setting of each dispatchable DG, main grid, and ESS are determined to maximize the MG profit. The profit of MG for the  $t^{th}$  hour ( $MG_{PRO,t}$ ) depends on the outputted P-Q of the main grid, ESS, and dispatchable DGs. It is noticed that the reactive power supplied by the main grid is an explicit function of the tap position adjustment of the OLTC.  $MG_{PRO,t}$  is expressed as:

$$MG_{PRO,t} = \sum_{s=1}^{N_s} \rho_s (\lambda_{P,buy,t} SP_t^s + \lambda_{Q,buy,t} SQ_t^s) - [TOC_t + TMC_t] \quad (1)$$

Random variation of load and RES are considered in (1). Sufficient diverse scenarios are selected to reflect the stochastic nature of these input variables as discussed next in Section III. The first two items in (1) mean the total hourly revenue/income from the selling P-Q to the consumers' loads, respectively. The next two terms signify the operation and maintenance costs of the MG, respectively.  $s$  and  $N_s$  are an index and total number of selected scenarios, respectively.  $\rho_s$  is occurrence probability of the  $s^{th}$  scenario.

$SP_t$  and  $SQ_t$  are the hourly magnitudes of P-Q of the MG load at time  $t$ .  $\lambda_{P,buy,t}$  and  $\lambda_{Q,buy,t}$  are the hourly P-Q purchasing prices from the market at time  $t$ .

The total hourly maintenance cost of DGs and ESS is expressed as follows:

$$TMC_t = \sum_{y=1}^Y \sum_{i=1}^{n_{DG,y}} P_{DG,i,y} MC_{DG,i,y} + \sum_{k=1}^{n_{ESS}} S_{ESSr,k} MC_{ESS,k} + S_{OLTC,t} MC_{OLTC} \quad (2)$$

where,  $P_{DG,i,y}$ ,  $MC_{DG,i,y}$ ,  $n_{DG,y}$  are the rated power of the  $i^{th}$  DG (kVA) of the  $y^{th}$  DG type, the  $i^{th}$  DG maintenance cost (\$/kVA) of the  $y^{th}$  DG type, and number of DGs of the  $y^{th}$  type, respectively.  $Y$  is the number of installed DG types.  $n_{ESS}$ ,  $MC_{ESS,k}$ ,  $S_{ESSr,k}$  are number of ESSs, the  $k^{th}$  ESS maintenance cost (\$/kVA), and the rated capacity of the  $k^{th}$

ESS (kVA), respectively.  $MC_{OLTC}$  is the OLTC maintenance cost (\$/kVAh) and  $S_{OLTC,t}$  is the OLTC power flow (kVAh) at hour  $t$ .

The MG operating cost at the  $t^{th}$  hour ( $TOC_t$ ) is estimated by (3).

$$TOC_t = \sum_{s=1}^{N_s} \rho_s \left( C_{grid,t} + \sum_{d=1}^{n_{dg}} C_{dg,t}^d + \sum_{k=1}^{n_{ESS}} C_{ESS,t}^k \right) \quad (3)$$

where,  $C_{grid,t}$ ,  $C_{dg,t}^d$ ,  $C_{ESS,t}^k$  are the hourly operating costs at time  $t$  for main grid, the  $d^{th}$  DG unit, and the  $k^{th}$  ESS unit respectively.  $n_{dg}$  and  $n_{ESS}$  are the number of dispatchable DG units and ESS units, respectively.

$C_{grid,t}$  is computed as [9], [12]:

$$C_{Pgrid,t} = \begin{cases} \lambda_{P,buy,t} P_{grid,t} & \text{if } P_{grid,t} > 0 \\ \lambda_{P,sell,t} P_{grid,t} & \text{if } P_{grid,t} < 0 \end{cases} \quad (4)$$

$$C_{Qgrid,t} = \begin{cases} \lambda_{Q,buy,t} Q_{grid,t} & \text{if } Q_{grid,t} > 0 \\ \lambda_{Q,sell,t} Q_{grid,t} & \text{if } Q_{grid,t} < 0 \end{cases} \quad (5)$$

$$C_{grid,t} = C_{Pgrid,t} + C_{Qgrid,t} \quad (6)$$

where,  $C_{Pgrid,t}$  and  $C_{Qgrid,t}$  are the hourly costs of P-Q of the main grid at time  $t$ .  $\lambda_{P,sell,t}$ ,  $\lambda_{Q,sell,t}$  are the prices of P-Q purchased by the main grid from the MG at time  $t$ .  $P_{grid,t}$ ,  $Q_{grid,t}$  are P-Q of the main grid at time  $t$ . In this work,  $\lambda_{P,sell,t}$ ,  $\lambda_{Q,sell,t}$  are taken as 90% of  $\lambda_{P,buy,t}$ ,  $\lambda_{Q,buy,t}$ , respectively [12].

The operating cost of a dispatchable DG unit at time  $t$  ( $C_{dg,t}$ ) is given as [6], [12], [27]:

$$C_{dg,t} = \lambda_{P,t} P_{dg,t} + \lambda_{Q,t} Q_{dg,t} \quad (7)$$

where,  $\lambda_{P,t}$  and  $\lambda_{Q,t}$  are the hourly generation costs of P-Q at time  $t$ .  $P_{dg,t}$  and  $Q_{dg,t}$  are the mean generated P-Q at time  $t$ , respectively.

The hourly operating cost of the ESS at time  $t$  ( $C_{ESS,t}$ ) is estimated as [27]:

$$C_{ESS,t} = \begin{cases} \lambda_{P,buy,t} (P_{ESS,t} - \eta_{ch} P_{ESS,t}) & \text{if } P_{ESS,t} > 0 \\ \lambda_{P,sell,t} (P_{ESS,t} - P_{ESS,t} / \eta_{dis}) & \text{if } P_{ESS,t} < 0 \end{cases} \quad (8)$$

where  $P_{ESS,t}$  is the average hourly ESS power at time  $t$ ,  $\eta_{ch}$  is the charging efficiency, and  $\eta_{dis}$  is the discharging efficiency of the ESS.

To summarize and clarify more the structure of equation (1), the MG profit has two components. The first is the profit due to selling power to the MG loads. It is calculated as the difference between the selling revenue minus the MG DGs and ESS cost excluding the main grid revenue/cost. This profit component is slightly affected by selling price as the loads buy the power at the power buying rate of the market. The second profit component is profit due to selling power to the main grid. It is computed as the revenue of selling power to the main grid using equations (4)-(6).

The objective function of the tackled operation problem of MG is

$$Max \sum_{t=1}^{24} MG_{PRO,t} \quad (9)$$

Subject to the following set of technical constraints:

(1) Main grid constraints

$$P_{imp,max} \leq P_{grid,t} \leq P_{exp,max} \quad (10)$$

$P_{imp,max}$ ,  $P_{exp,max}$  are maximum imported and exported main grid active power from/to the MG, respectively.

(2) DG constraints

$$P_{dg,min} \leq P_{dg,t} \leq P_{dg,max} \quad (11)$$

$$Q_{dg,min} \leq Q_{dg,t} \leq Q_{dg,max} \quad (12)$$

where,  $P_{dg,t}$  is the output active power of a DG unit at time t.  $P_{dg,max}$ ,  $P_{dg,min}$  are maximum and minimum active power limits of this DG unit, respectively.  $Q_{dg,t}$  is the output reactive power of a DG unit at time t.  $Q_{dg,max}$ ,  $Q_{dg,min}$  are maximum and minimum reactive power limits of this DG unit, respectively.

(3) ESS constraints [12]

$$SOC_{min} \leq SOC_t \leq SOC_{max} \quad (13)$$

❖ Charging mode ( $P_{ESS,t} > 0$ )

$$SOC_t = SOC_{t-1} + \Delta t P_{ESS,t} \eta_{ch} \quad (14)$$

$$0 \leq P_{ESS,t} \leq P_{max,Ch} \quad (15)$$

❖ Discharging mode ( $P_{ESS,t} < 0$ )

$$SOC_t = SOC_{t-1} + \Delta t P_{ESS,t} / \eta_{dis} \quad (16)$$

$$P_{max,Dis} \leq P_{ESS,t} \leq 0 \quad (17)$$

where  $P_{max,Ch}$  and  $P_{max,Dis}$  are maximum charging and discharging active power of ESS, respectively.  $SOC_t$  is the hourly charge state of ESS at the  $t^{th}$  hour.  $\Delta t$  is a time step.  $SOC_{min}$  and  $SOC_{max}$  are the minimum and maximum  $SOC_t$  of ESS, respectively.

(4) Bus voltage constraints

$$V_{min} \leq V_i \leq V_{max} \quad (18)$$

where  $V_i$  is the  $i^{th}$  bus voltage,  $V_{min}$  and  $V_{max}$  are the allowable minimum and maximum voltage of the bus.

(5) Power flow constraints of line and transformer

$$S_{ij} \leq S_{max} \quad (19)$$

where  $S_{ij}$  is the apparent power flow between node  $i$  and node  $j$ , and  $S_{max}$  is the maximum power flow between node  $i$  and node  $j$ .

(6) OLTC constraints

$$T_{min} \leq T_{OLTC,t} \leq T_{max} \quad (20)$$

$$N_{mov} \leq N_{mov,max} \quad (21)$$

where  $T_{OLTC,t}$  is the tap position of OLTC at  $t^{th}$  hour,  $T_{min}$  and  $T_{max}$  are the minimum and maximum tap position of OLTC.  $N_{mov}$  is the total number of daily movements of OLTC,  $N_{mov,max}$  is the maximum number of daily movement steps.

### III. PROBABILISTIC LOAD AND RENEWABLE GENERATION MODELS

To represent the prediction errors of load and RES, scenario-based technique is used [28]. At first, Monte Carlo simulation (MCS) is employed to generate abundant scenarios that simulate the uncertainty of load and RES based on probability distribution functions (PDFs) [29]. Hourly forecasts of load, solar irradiance and wind speed over the scheduling time should be obtained and used as mean values [17]. Furthermore, the standard deviations of these variables are calculated from their historical data [30].

#### A. LOAD MODELING

Load is modeled as a normally-distributed random variable [29].

#### B. WIND SPEED MODELING

Wind speed is represented with Weibull distribution [24]. The PDF of wind speed ( $v_w$ ) is given as [24]:

$$f(v_w) = \frac{k}{c} \left(\frac{v_w}{c}\right)^{k-1} \exp\left(-\left(\frac{v_w}{c}\right)^k\right) \quad (22)$$

where,  $c$  and  $k$  are scale factor and shape factor, respectively. The Weibull parameters  $c$  and  $k$  are determined as [31]:

$$k = \left(\frac{\sigma_v}{\mu_v}\right)^{-1.086} \quad (23)$$

$$c = \frac{\mu_v}{\Gamma(1 + 1/k)} \quad (24)$$

$\mu_v$  and  $\sigma_v$  are mean and standard deviation of wind speed, respectively.

#### C. SOLAR IRRADIANCE MODELING

The solar irradiance ( $S_i$ ) is represented as Beta-distributed random variable [18]. Its PDF is expressed as [18]:

$$f(s_i) = \begin{cases} \frac{\Gamma(\alpha + \beta)}{\Gamma(\alpha)\Gamma(\beta)} s_i^{\alpha-1} (1-s_i)^{\beta-1}, & \text{for } 0 \leq s_i \leq 1; \alpha \geq 0; \beta \geq 0 \\ 0, & \text{otherwise} \end{cases} \quad (25)$$

where,  $\alpha$  and  $\beta$  are parameters of the Beta PDF. They are estimated as follows:

$$\beta = (1 - \mu_s) \left( \frac{\mu_s(1 + \mu_s)}{\sigma_s^2} - 1 \right) \quad (26)$$

$$\alpha = \frac{\mu_s \beta}{1 - \mu_s} \quad (27)$$

$\mu_s$  and  $\sigma_s$  are mean and standard deviation of solar irradiance, respectively.

Wind speed, solar irradiance and load are assumed to be independent variables [32]. Though the simulation accuracy gets better by increasing the number of scenarios, the enormous number of scenarios is obstructing in the probabilistic optimization techniques [21]. Consequently, after generation of a large enough number of possible scenarios for random

input variables, an appropriate scenario reduction method must be applied to minimize the number of analyzed scenarios [24]. The scenario reduction algorithm computes the distance between each pair of scenarios and builds the distance array. Then, it finds the minimum allowed distance value from the distance array and removes scenarios with the lowest distance. After that, it updates the distance array for the remaining scenarios. The reduction stops when the number of remaining scenarios comes to a predefined accepted value [28], [29]. In this paper, a scenario reduction technique using backward reduction algorithm is used [28].

#### D. GENERATED POWER BY WT AND PV UNITS

The wind speed and solar irradiance values from the selected scenarios after the reduction algorithm are converted into the corresponding output powers. This is done by using the WT output characteristic curve and PV module characteristics, respectively as provided in [33], [34].

### IV. PROPOSED DESIGN OF THE EMS

#### A. PROPOSED MODIFICATION OF BAT TECHNIQUE

BAT is a recent optimization method basically developed in 2010 [35]. It is used for solving the different optimization problems [12]. The conventional BAT technique is presented with enough details in [35]. The BAT algorithm may converge to local solutions due to the lack of diversity of the bats in the search space. Also, conventional BAT technique suffers from slow convergence [12]. So, the conventional BAT algorithm is modified to overcome these drawbacks. Reference [12] changed only the velocity updating manner of the conventional BAT technique. To adjust the bat position, the authors in [36] advised a position updating way that depends on a sigmoid transfer function. This scheme has a demerit as the bats positions will remain unchanged when their velocity values continues to increase [37]. The authors analyze the effect of loudness and pulse rate values on the convergence speed and accuracy of the BAT algorithm in [37]. It is found that the convergence speed and precision of BAT technique improve by increasing the pulse rate and decreasing loudness of bats. Accordingly, a modification of BAT algorithm is made in [38], [39]. It depends on the adaptation of loudness or position equation of bats during the optimization process to accelerate the convergence speed. But no mechanism is given to adapt pulse rate and velocity of bats during the optimization process. Reference [40] replaced the loudness and pulse rate equations of BAT algorithm with the chaotic maps equations to heighten the convergence rate. Nevertheless, this work did not upgrade velocity update of bats during the optimization process. Reference [41] reformed the frequency equation only to improve the BAT algorithm performance. However, this may only increase velocity of bats during the optimization process. The obtained solution accuracy remains unchanged. To overcome the aforementioned limitations, this paper proposes a new modified BAT algorithm as explained below.

1. Initialize the bat population, each bat  $b$  generates an initial position vector  $x_{b,0}$  (initial vector of control variables to be determined) and a velocity ( $v_{b,0}$ ) randomly.
2. Calculate the objective function using (1) for each bat, and assign it as the best value of solution of bat  $b$  ( $F_{b,best}$ ). Next, identify the global best value of solution ( $G_{best}$ ) for all the population of bats.
3. Update the velocity ( $v_b$ ) and the position ( $x_b$ ) of each bat as proposed below:

$$f_{b,it} = f_{b,min} + rand(\cdot) (f_{b,max} - f_{b,min}) \quad (28)$$

$$v_{b,it+1} = w_{b,it} v_{b,it} + f_{b,it} (G_{best,it} - x_{b,it}) \quad (29)$$

$$x_{b,it+1} = x_{b,it} + v_{b,it+1} \quad (30)$$

where,  $f_{b,min}$  and  $f_{b,max}$  are arbitrarily set to 0 and 2, respectively.  $w_{b,it}$  is velocity weight factor at the  $it^{th}$  iteration.  $rand(\cdot)$  is a normally distributed random number.

Herein, the weight factor  $w_{b,it}$  is added to the classic bat velocity equation in [35]. This weight factor, if intuitively selected, provides the balance between exploration and exploitation process during optimization. Thus, it controls the convergence speed to the global optimal solution avoiding the local optimal solution.

4. Generate an updated position (solution vector) for every bat locally by random walk based on pulse rate at the  $it^{th}$  iteration ( $r_{b,it}$ ) as follows.

$$x_{b,it+1}^{new} = G_{best,it} + \epsilon \quad \text{for } rand(\cdot) > r_{b,it} \quad (31)$$

$$x_{b,it+1}^{new} = x_{b,it+1} \quad \text{for } rand(\cdot) \leq r_{b,it} \quad (32)$$

where, the factor  $\epsilon$  is a normally distributed random number to limit the step sizes of random walks.

5. Check the continuity condition that is: If ( $rand(\cdot) < A_{b,it}$  and  $F(x_{b,it+1}^{new}) \geq F_{b,best}$ ). Where,  $A_{b,it}$  is the loudness level of the  $b^{th}$  bat at the  $it^{th}$  iteration and  $F(x_{b,it+1}^{new})$  is the value of the objective function  $F$  at the position  $x_{b,it+1}^{new}$ . If this continuity condition is satisfied, adjust the present position and optimal local solution of bat  $b$  as follows:

$$x_{b,it+1} = x_{b,it+1}^{new} \quad (33)$$

$$F_{b,best} = F(x_{b,it+1}^{new}) \quad (34)$$

Then, decrease  $A_{b,it}$ , increase  $r_{b,it}$  and decrease  $w_{b,it}$  as follows:

$$A_{b,it+1} = \alpha_a A_{b,it} \quad (35)$$

$$r_{b,it+1} = \alpha_r r_{b,it} \quad (36)$$

$$w_{b,it+1} = \alpha_w w_{b,it} \quad (37)$$

where,  $\alpha_a$ ,  $\alpha_r$  and  $\alpha_w$  are loudness weight factor, pulse rate weight factor, and velocity damping factor, respectively. Here, a new update equation of the bat velocity weight factor  $w_{b,it}$  is introduced. Also, the update equation of pulse rate  $r_{b,it}$  is modified in this research

to change linearly. An exponential change is assumed in the previous studies. These proposed modifications improve the BAT algorithm performance as demonstrated in later sections.

If the above continuity condition is not satisfied, go to step 6.

6. Obtain the updated  $G_{best}$  and the winner bat by comparing the updated  $F_{b,best}$  of all bats.
7. Update the loudness and pulse rate of other bats to be the same as these of the winner bat  $b$  that got the latest best solution. This is a new step proposed in this work. It provides the rest of bats with enhanced parameters to reach a better global solution.
8. Increase the number of iterations by 1 and repeat steps 2 to 7. If the number of iterations is more than the preset maximum number of iterations, then stop the algorithm.

## B. SOLUTION ALGORITHM OF THE MG OPERATION PROBLEM

The ESS will charge mainly from the main grid during the off-peak load periods at the low buying prices of the market. ESS will also be charged from the surplus renewable energy production from WT and PV units, if it is not fully charged. The ESS will be discharged during the on-peak load periods (i.e., high energy price of market). One charge/discharge cycle in the same day is permitted for the ESS. This means that the ESS is not permitted to be charged again in the same day if it had a discharging period following an earlier charging period in the same day. If this constraint is not considered, the ESS units economic feasibility becomes unsure [23].

A proposed combination of the new modified BAT method described above and rule base system is deployed to solve the operation problem of MG presented in Section II. The control vector of the investigated problem comprises the hourly values of P-Q of the dispatchable DGs (FC and MT), the ESS discharging/charging power, the operation mode of the ESS, the main grid power, and OLTC tap position. The solution algorithm is outlined in the following steps:

1. For the day hour number  $t$ , initial data of the problem are defined. The hourly probabilistic scenarios of the random input variables, solar radiation, wind speed, and the load data, are selected as in Section III. The technical and economic data for each DG unit, hourly P-Q selling/buying prices of the market, the BAT technique parameters, and the upper and lower limits of each variable are specified.
2. Generate a population of bats with a number of NPOP. For each bat  $b$ , choose a random initial position vector  $x_{b,0}$  (control vector of the problem) and velocity  $v_{b,0}$ . Set  $x_{b,0}$  as the best position of bat  $b$  ( $F_{b,best}$ ).
3. Every bat determines the discharge/charge state of the ESS based on the MG operator experience using the given below rule base:
  - i. If the hourly active power buying price from the market ( $\lambda_{P,buy,t}$ ) is below the price of ESS charging ( $\lambda_{ESS,Ch}$ ) (i.e., 40% of the utmost value of active power buying price [9], [42]), then the bat defines ESS mode as a charging state and generates a positive random number of  $P_{ESS}$  within the ESS charging limits,  $P_{ESS} \in \{P_{max,Ch}, 0\}$ , for the  $t^{th}$  hour of the day.
  - ii. If  $\lambda_{P,buy,t}$  is more than  $\lambda_{ESS,Ch}$ , and SOC of ESS at time  $t$  is below  $SOC_{max}$ , and the expected sum of generated powers from all RES is above the expected sum of powers of loads ( $P_{load}$ ), then the bat defines the ESS mode as a charging state. Then, it adjusts the power value of ESS  $P_{ESS}$  as the difference power between the sum of generated powers from all RES and the sum of powers of loads at the  $t^{th}$  hour of the day. If the calculated  $P_{ESS}$  exceeds the ESS charging power limit  $P_{max,Ch}$ , it is set at  $P_{max,Ch}$ .
  - iii. If the hourly active power selling price to the market ( $\lambda_{P,sell,t}$ ) is higher than the price of ESS discharging ( $\lambda_{ESS,Dis}$ ) (i.e., 70% of the utmost value of active power selling price [9], [42]), and  $SOC_t$  is more than  $SOC_{min}$ , then the bat defines ESS mode as a discharging state. Then, it generates a negative random number for  $P_{ESS}$  within the ESS discharging power limits,  $P_{ESS} \in \{0, P_{max,Dis}\}$ , at the hour  $t$  of the day.
4. For each selected scenario of the input random variables, conduct a power flow analysis for the MG system using Newton-Raphson method. Then, evaluate the objective function in (1).
5. If the position vector  $x_b$  of a bat fulfills all the constraints (10)-(21) for every selected scenario, go to step 6. Otherwise, this bat is infeasible and is excluded by imposing a high penalty [35].
6. Compare a bat's objective function value with its individual  $F_{b,best}$ . If the objective function value is higher than the current  $F_{b,best}$ , set this value as the new  $F_{b,best}$ . Then, identify  $G_{best}$ .
7. Update the position and velocity of each bat as in (28)-(30). Then, generate a new position for each bat locally using random walk as in (31)-(32).
8. If the continuity condition is satisfied, modify the bat position and best individual solution as in (33)-(34). Also, update the loudness level, pulse rate, and velocity weight factor as in (35)-(37). Otherwise, move to step 9.
9. Obtain the updated  $G_{best}$  and the winner bat by comparing the updated individual local best solutions of all bats.
10. Update the loudness and pulse rate of other bats to be the same as these of the winner bat that got the latest best solution.

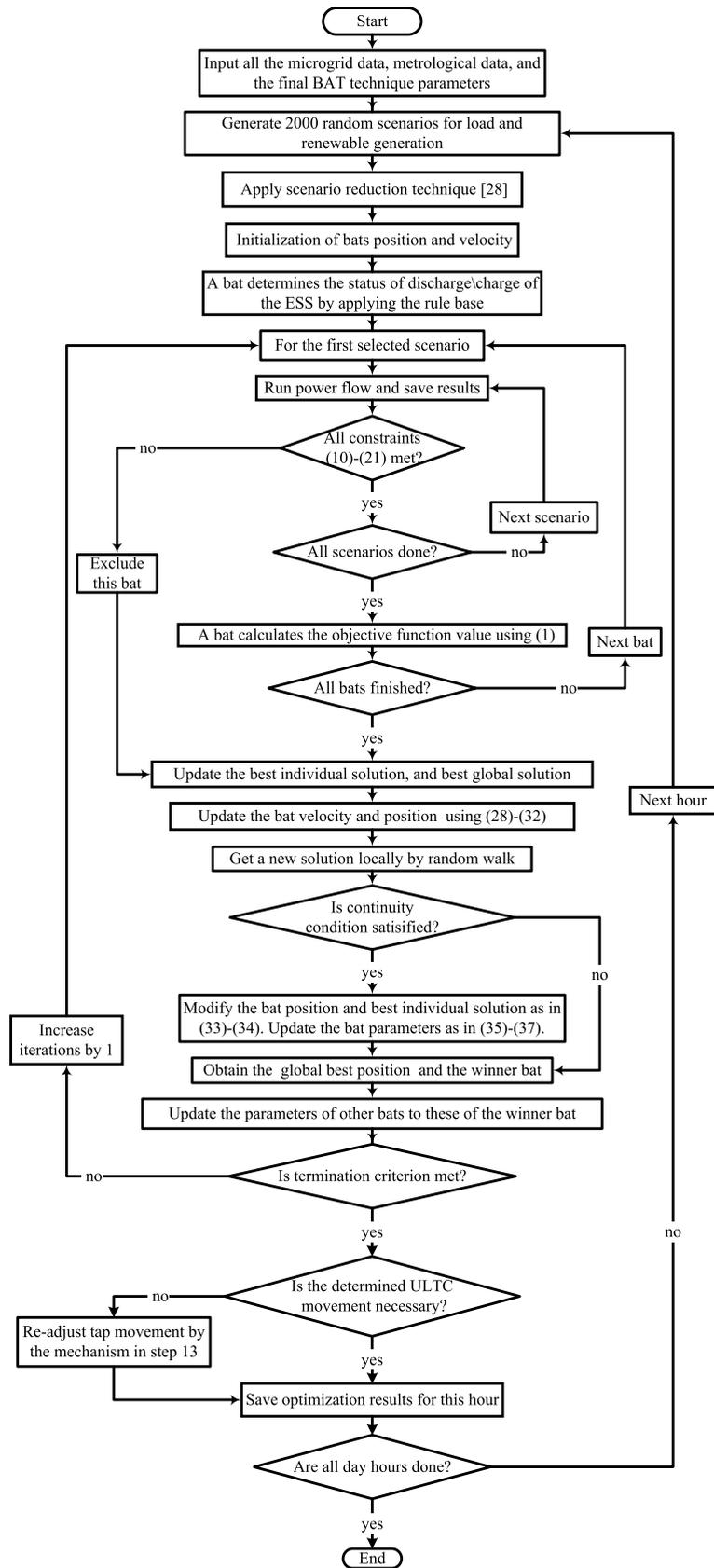


FIGURE 1. Flowchart of the proposed solution method.

11. If the highest number of iterations is reached, then go to step 12. Otherwise, increase the iterations counter by 1 and move to step 4.
12. Identify  $G_{best}$  and the corresponding position vector  $x_b$ . These give the optimal solution of the problem for the day hour number  $t$ .
13. The optimization algorithm changes the hourly tap position of OLTC, keeping the total daily movements limit, to minimize the MG power loss and thereby increase MG profit. Thus, as the proposed algorithm works on hourly basis, it may consume the allowable daily OLTC movements in the early hours of the day and freeze the tap position for the rest of the day hours. This can influence the overall daily techno-economic performance of the MG. This is because the attained increase in the MG profit in some hour due to an avoidable tap movement can compromise the MG profit in the upcoming hours when the total tap movements is over. Accordingly, the hour-by-hour OLTC tap position profile obtained in the preceding step is re-checked to assure its necessity as follows:
  - a. If the tap position of OLTC at this hour number  $t$  ( $T_t$ ) differs from its position at the preceding hour number  $t - 1$ , ( $T_{t-1}$ ), Increase/decrease the current OLTC tap position by 1 to revert to  $T_{t-1}$  (if possible). Else, move to step 14.
  - b. Run power flow for each selected scenario, and check all the MG constraints (10)-(21). If the constraints are satisfied, then save this new tap position ( $T_{t,n}$ ). Otherwise, move to step 14.
  - c. If  $T_{t,n}$  equals  $T_{t-1}$ , then  $T_{t,n} = T_{t-1}$  and go to step 14. Else, increase/decrease  $T_{t,n}$  by 1, and repeat step 13.b above.
14. Solve the optimization problem for the following hour  $t+1$  of the day and move to step 1

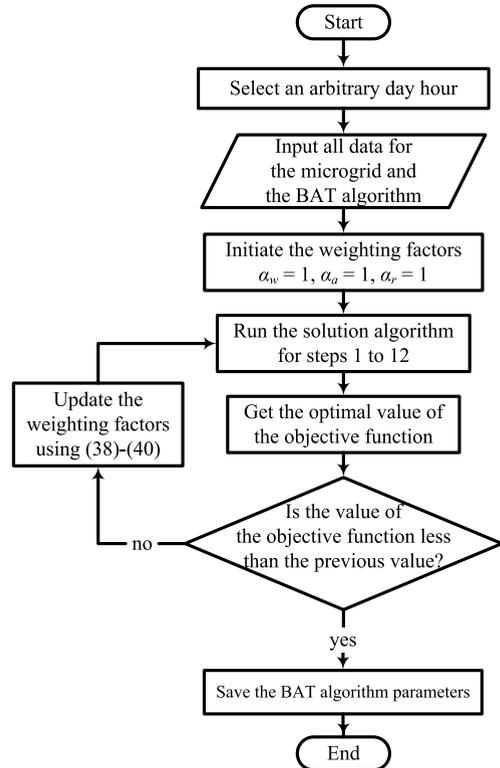
Fig.1 illustrates the solution procedures using the proposed algorithm.

**C. TUNING OF THE MODIFIED BAT ALGORITHM PARAMETERS**

The values of the weight factors of the BAT algorithm parameters should fit the nature of the problem under study. In this work, a scheme is proposed to determine proper values of the weight factors to make the BAT algorithm-based solution of the studied MG operation problem more accurate and faster. This scheme is as follows:

**TABLE 1. Parameters of the proposed BAT technique.**

Parameter	Initial Value	Final Value
maximum number of bats	50	50
maximum number of iterations	85	85
initial value of loudness	0.5	0.5
initial value of pulse rate	0.5	0.5
velocity damping factor ( $\alpha_w$ )	1	0.98
loudness weight factor ( $\alpha_a$ )	1	0.99
pulse rate weight factor ( $\alpha_r$ )	1	1.01



**FIGURE 2. Flowchart of BAT algorithm parameters tuning.**

1. For an arbitrarily chosen day hour, run the solution algorithm explained in Section IV. B for the steps from 1 to 12 using the initial BAT algorithm parameters listed in Table 1.
2. If the obtained new value of the objective function  $F_{new}$  is greater than or equal to its old value  $F_{old}$ , update the BAT algorithm weight factors as given below. Otherwise, go to step 4.

$$\alpha_{w,new} = \alpha_{w,old} - 0.002 (F_{new}/F_{old}) \quad (38)$$

$$\alpha_{r,new} = \alpha_{r,old} + 0.001 (F_{new}/F_{old}) \quad (39)$$

$$\alpha_{A,new} = \alpha_{A,old} - 0.001 (F_{new}/F_{old}) \quad (40)$$

3. Go to step 1.
4. Save the values of the BAT algorithm weight factors.

The adaptation procedure of the proposed BAT technique is illustrated in Fig.2. The finally determined BAT parameters are provided in Table 1. The values of the obtained weight

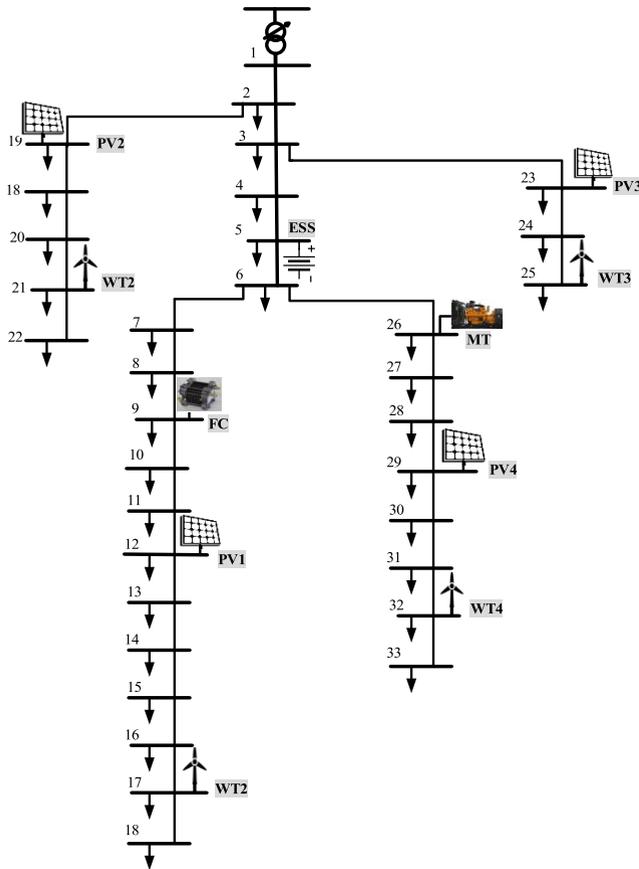


FIGURE 3. The MG diagram.

TABLE 2. Operating costs for FC and MT.

Unit	$\lambda_p$ (\$/MWh)	$\lambda_Q$ (\$/MVarh)
FC	55	5.5
MT	57	5.7

factors are noted to be unchanged when other day hours are taken.

### V. SIMULATION SETUP AND DGS SIZING

The MG model used to evaluate the proposed EMS is a modified IEEE 33-bus distribution network shown in Fig.3 [43]. The total system load is 5.83 MW and 2.83 MVar. The solution algorithm described in Section IV. B is coded in MATLAB and executed by a personal computer with 2.3GHz processor and 4GB RAM. The maximum exporting and importing power of the main grid are 5 MW and -3 MW, respectively.

The minimum and maximum tap position of OLTC are  $\pm 12$ . Table 2 provides operating costs for FC and MT [6]. Table 3 shows the ESS specifications [6], [8]. Technical maintenance costs per year for MT, FC, ESS, PV, and WT are 25, 25, 20, 20, and 75 \$/kVA, respectively [6], [12]. The hourly mean and standard deviation of solar irradiance, wind speed and load are depicted in Table 4 for a day in summer season as reported in [30]. The MCS technique is employed

TABLE 3. Full data of ESS.

Item	Amount
Total capacity, (MWh)	16.5
Maximum and minimum SOC, (%)	80, 20
Maximum charging and discharging power, (MW)	-1.5, 1.5
Discharging and charging efficiency, (%)	92, 90
Lifespan, (charge cycle)	10000
ESS capital cost	0.225 \$/MWh 0.175 \$/MVA

TABLE 4. Mean value and standard deviation of solar irradiance, wind speed and load.

Hour	solar irradiance (kW/m <sup>2</sup> )		wind speed (m/s)		load (pu)	
	$\mu_s$	$\sigma_s$	$\mu_v$	$\sigma_v$	$\mu_l$	$\sigma_l$
1	0.0	0.0	8.82	0.79	0.56	0.24
2	0.0	0.0	9.35	0.80	0.55	0.25
3	0.0	0.0	9.52	0.85	0.56	0.25
4	0.0	0.0	9.62	0.82	0.57	0.24
5	0.03	0.048	9.54	0.76	0.62	0.20
6	0.18	0.081	9.40	1.06	0.70	0.36
7	0.33	0.143	9.00	1.15	0.78	0.32
8	0.57	0.238	8.78	1.16	0.80	0.31
9	0.76	0.277	8.78	0.95	0.82	0.30
10	0.87	0.332	8.98	1.15	0.83	0.30
11	0.96	0.290	9.19	1.01	0.82	0.30
12	1.0	0.235	9.75	0.86	0.81	0.30
13	0.96	0.200	10.18	0.38	0.80	0.31
14	0.88	0.176	10.20	0.46	0.79	0.32
15	0.75	0.150	10.12	0.50	0.84	0.29
16	0.58	0.122	10.10	0.45	0.89	0.28
17	0.34	0.095	10.02	0.23	0.94	0.26
18	0.17	0.074	9.85	0.38	0.98	0.25
19	0.08	0.050	9.73	0.33	1.0	0.25
20	0.02	0.030	9.62	0.32	0.97	0.26
21	0.0	0.0	9.33	0.42	0.92	0.27
22	0.0	0.0	9.19	0.27	0.87	0.28
23	0.0	0.0	8.98	0.21	0.80	0.31
24	0.0	0.0	8.76	0.18	0.65	0.38

to generate 2000 random scenarios for each day hour as discussed in Section III. The backward reduction algorithm is used to reduce the number of scenarios to 30 by selecting the most distinct ones [17], [28]. Fig.4 shows the probability distribution of solar irradiance, wind speed and load power at the time 08:00 A.M for this day. The daily variations of the MG feeders' loads are shown in Fig.5. Feeder1 includes loads on buses 1-6. Feeder2 comprises loads on buses 19-22. Feeder3 includes loads on buses 23-25. Feeder4 includes loads on buses 26-33. Feeder5 includes loads on buses 7-18. Fig.6 provides the forecasted mean hourly total load of MG, total active power output of PV units, and the total active power output of WT units for the same day. Fig.7 provides the forecasted hourly P-Q purchasing prices of market for the same day [9], [32]. The ESS initial state of charge is assumed as 25%.

To Determine the appropriate sizes and locations of the ESS and the DGs in the MG, the method described in [44] is applied. It is based on optimization-problem formulation to minimize active power losses and improving system volt-

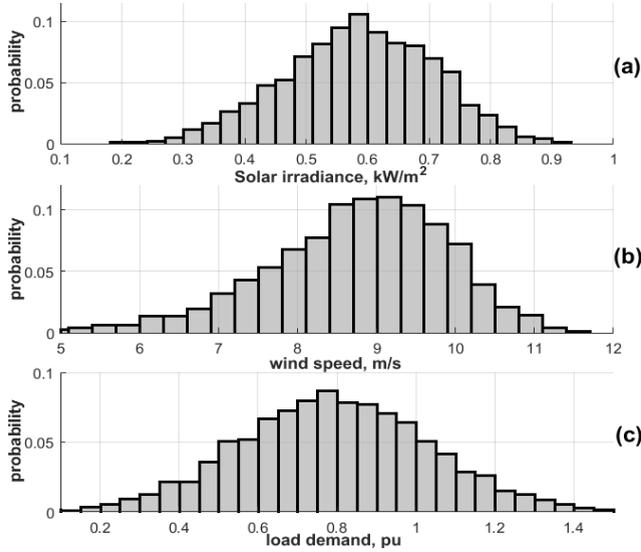


FIGURE 4. Probability distribution for (a) solar irradiance, (b) wind speed, and (c) load at 08:00 A.M.

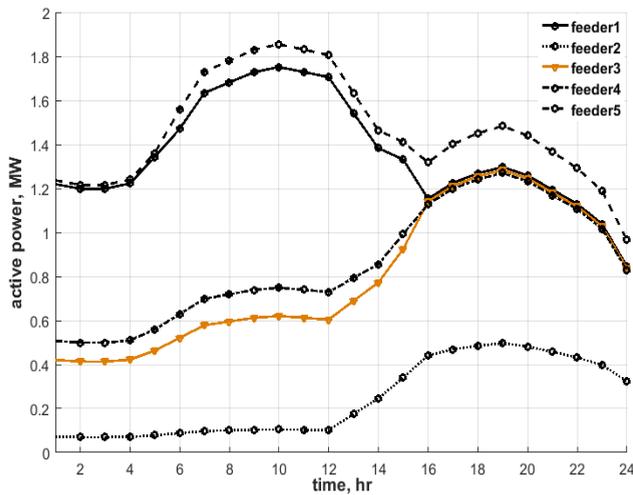


FIGURE 5. Total active feeders' loads.

age level in the MG. Annual load growth of 3%, and 25-years life time of the project are assumed. The mean metrological and load variation data given above in this section are used. This DGs sizing problem is separately coded in MATLAB and solved using PSO algorithm. The selected maximum iterations, population size, social parameter and cognitive parameter of the PSO algorithm are 100, 50, 2 and 2, respectively.

The obtained optimal ratings of renewable DGs, FC, MT, and ESS are specified as indicated in Table 5. The maximum ESS capacity got by the above optimization problem is 20MWh. However, this value may not produce the maximum MG profit as targeted in the operation problem of MG formed in Section II. So, a minimum ESS capacity is selected as 4MWh. Then, the optimal ESS size is identified by direct search between 4 and 20MWh in 1.5MWh step such that the profit of MG is maximized. An optimal ESS size of 16.5MWh

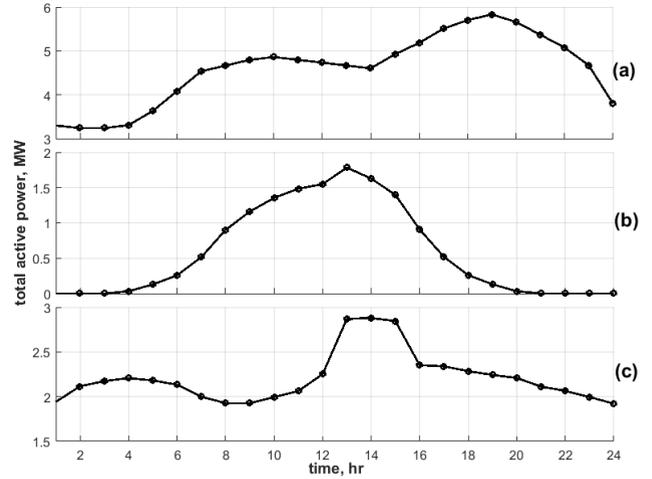


FIGURE 6. Total mean active power for (a) load, (b) PV units, and (c) WT units.

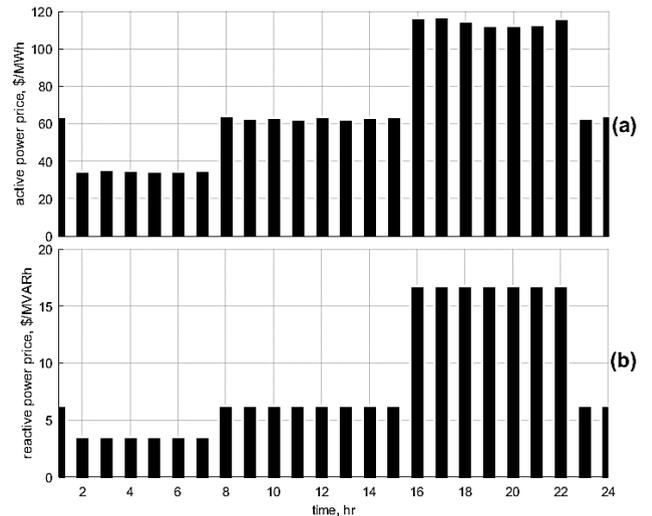


FIGURE 7. P-Q purchasing prices of market.

is determined. Therefore, four PV units are installed at buses 12, 19, 23, and 29. Four WT units are installed at buses 17, 21, 25, and 32. The ESS, FC, and MT units are installed at buses 5, 9, and 26, respectively. Specifications of PV module and WT are obtained from [31]. All PV and WT units operate at unity power factor and maximum power point tracking (MPPT) control mode. Meanwhile, FC, MT, and ESS operate at PQ control mode [12]. The maximum capacity for each DG unit is shown in Table 5.

## VI. CASE STUDY RESULTS

### A. SIMULATION RESULTS OF THE EMS

The solution algorithm described in Section IV. B, C is applied. Fig.8 depicts the determined hourly mean P-Q shares of all dispatchable DGs in the MG. It is observed that ESS will charge from the main grid and begin charging state from nearly 02:00 to the early morning at 07:00 a.m., during low buying price of energy and off-peak load time, as depicted in Fig.8. The daily MG profit is found as 5594 \$. However,

TABLE 5. Generation units data.

Source	Bus	P (kW)		Q (kVAr)	
		$P_{min}$	$P_{max}$	$Q_{min}$	$Q_{max}$
ESS	5	-1500	1500	0	0
FC	9	150	1000	0	750
PV <sub>1</sub>	12	0	350	0	0
WT <sub>1</sub>	17	0	550	0	0
PV <sub>2</sub>	19	0	450	0	0
WT <sub>2</sub>	21	0	1250	0	0
PV <sub>3</sub>	23	0	350	0	0
WT <sub>3</sub>	25	0	650	0	0
MT	26	112.5	750	0	520
PV <sub>4</sub>	29	0	400	0	0
WT <sub>4</sub>	32	0	550	0	0

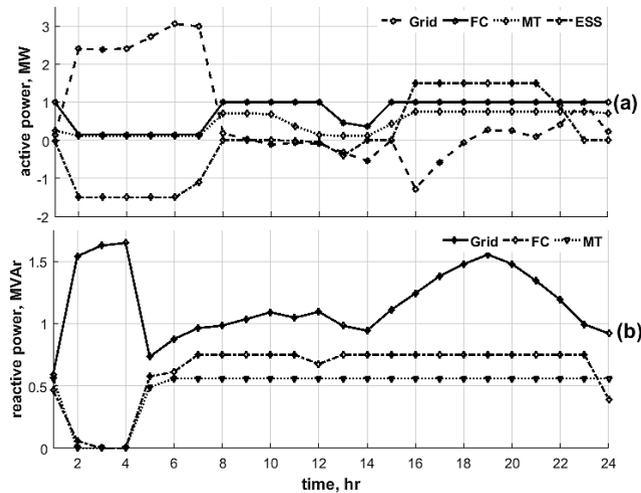


FIGURE 8. Mean P-Q setting of FC, MT, ESS, and main grid using the proposed method.

the daily profit of MG will be reduced to 4898 \$ if the energy storage system is not installed. Keeping in mind that the annual cost of the ESS is 175604 \$/year [8], [12], an extra net annual profit of 78436 \$/year is obtained due to the ESS installation in the MG. Thus, the pay-back period of the ESS is about two years. This is economically very encouraging as the expected ESS life span is more than 20 years according to the applied ESS charging/discharging strategy. Fig.9 provides the hourly change of the OLTC tap position using the adaptive operation imposed by step 13 in the solution algorithm in Section IV. B. The daily number of OLTC tap movement steps is maintained below the nine-movement steps limit as dictated by constraint (21) even this adaptive operation is halted. It is worthy to mention that the referred adaptive operation of OLTC improves the performance of the proposed algorithm and enlarges the maximum MG profit. This is because, without this adaptation procedure, the OLTC will consume all the daily allowable tap movements during the first hours of the day as shown in Fig.9. Therefore, after  $t = 10:00$  a.m., the OLTC cannot make any further tap movements. So, during the discharging period of ESS from

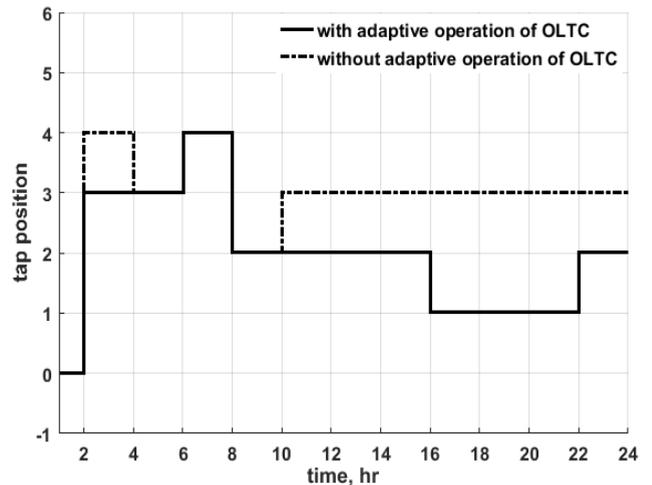


FIGURE 9. OLTC tap position setting using the proposed method.

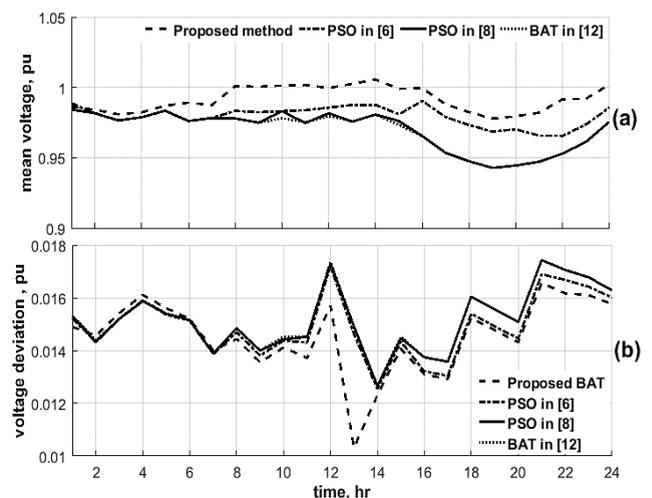


FIGURE 10. Voltage at bus 33 by the proposed EMS, PSO-based method in [6], PSO-based method in [8], and BAT-based method in [12]; (a) mean value (p.u), (b) voltage standard deviation (p.u).

04:00 p.m. to 10:00 p.m., some buses voltages rise above the 1.05 p.u limit for some of the selected input variables scenarios. This forces the MT and FC power shares to the levels that prevent bus voltage violations not the levels that can further maximize the MG profit. Moreover, the rule-base system explained in step 3 of the solution algorithm in Section IV. B for controlling the ESS charge/discharge process obviously enhances the performance of the proposed EMS. If this rule-base is excluded, the daily profit of MG will be reduced to 5562 \$.

Also, the maximum stored energy level in the ESS would be curtailed to 76.5% before beginning the state of discharge degrading its economic utilization. Besides, the computation time of the proposed solution algorithm on a commercial PC will increase to 2.67 hours instead of 2.31 hours. Thus, this rule-base system assists the proposed algorithm to converge faster and globally maximize the objective function over the

TABLE 6. Daily performance of the proposed EMS.

Item	Full EMS	Without rule-base in step 3	Without OLTC adaptation in step 13	Without BAT parameters tuning in II. C	Without ESS
MG daily profit, \$	5594	5562	5569.6	5505.6	4898
Maximum mean bus voltage, p.u	1.04	1.042	1.042	1.041	1.038
Minimum mean bus voltage, p.u	0.978	0.986	0.983	0.964	0.955
Maximum voltage deviation, p.u	0.018	0.0184	0.0186	0.0189	0.0195
Mean active power loss, kW	50.12	51.13	51.73	53.7	49.8
Total number of OLTC movements	8	8	9	8	8
Maximum energy stored in ESS, %	80%	76.5%	80%	80%	-----

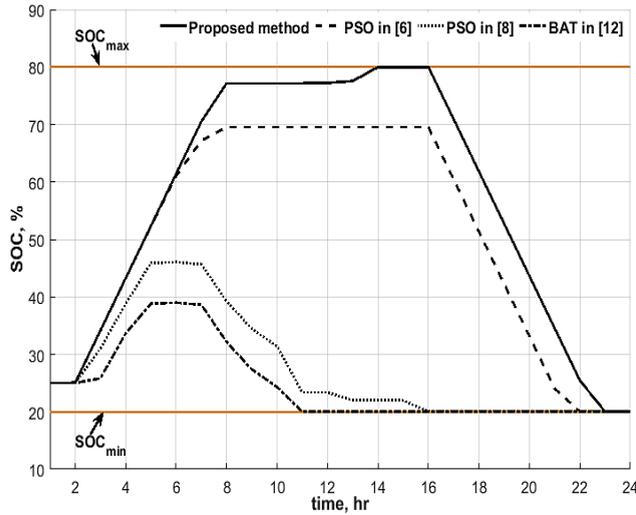


FIGURE 11. SOC of ESS using the proposed EMS, PSO-based method in [6], PSO-based method in [8], and BAT-based method in [12].

TABLE 7. Performance comparison of operating approaches.

Item, unit	Proposed EMS	Ref. [12]	Ref. [8]	Ref. [6]
Daily MG profit, \$	5594	4547	4555	5309
Maximum mean bus voltage, p.u.	1.04	1.011	1.011	1.016
Minimum mean bus voltage, p.u.	0.978	0.942	0.943	0.965
Maximum voltage deviation, p.u.	0.018	0.02	0.02	0.0195
Mean active power loss, kW	50.12	74.37	75.58	48.52
Computation time, hours	2.31	2.83	3.05	2.72

search space increasing the MG profit. Table 6 summarizes the proposed EMS performance.

**B. COMPARISON TO OTHER METHODS**

Table 7 holds a comparison to evaluate the performance of the proposed MG EMS versus other recent optimization-based approaches analyzed in [6], [8] and [12]. It should be noted that the proposed EMS achieves the best economic performance. From the technical aspect, it maintains the daily voltage profiles of all the MG buses within the allowable limits (between 0.95 and 1.05p.u) for all scenarios. Whereas, voltage violations arise by the methods in [8] and [12] as shown in Fig.10. Moreover, the proposed EMS maintains the lines power flow under the maximum permissible capacity.

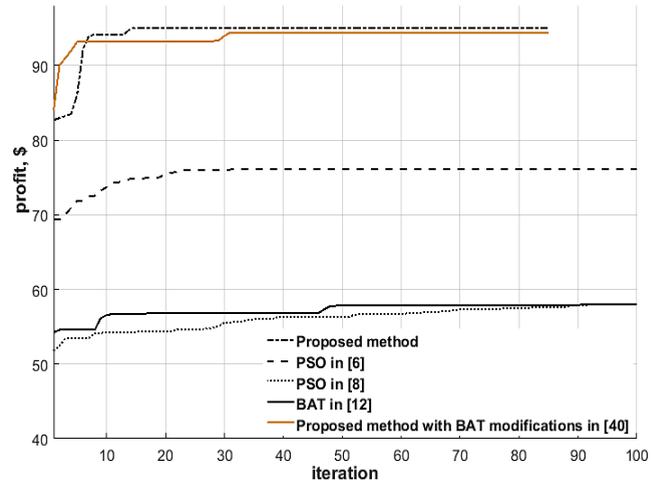


FIGURE 12. Convergence pattern at the 3rd hour by the proposed EMS, PSO-based method in [6], PSO-based method in [8], BAT-based method in [12], and the proposed EMS with the BAT modifications in [40].

In addition, Fig.11 shows the evolution of ESS state of charge using the proposed EMS and recent methods presented in [6], [8] and [12]. It is observed that the input variables uncertainty clearly affects the operation of the ESS, in particular under the use of methods reported in [8] and [12]. The different scenarios considered to reflect uncertainties in input variables cause voltage violations problems that obstruct the optimal utilization of the ESS and decrease the profit of the MG. So, it is clear that the proposed EMS is more efficient and robust to manage MG under different situations and scenarios of uncertainties. Fig.12 portrays the convergence pattern of different algorithms during the solution of the energy management problem to maximize the MG profit at the 3<sup>rd</sup> hour of the day. Evidently, the proposed EMS has the best convergence rate and accuracy. Besides, the convergence behavior of the proposed EMS using the chaotic maps' equations in [40] instead of equation (35)-(36) is also traced in Fig.12.

It is observed that this replacement would lower the speed and accuracy of convergence of the proposed BAT algorithm. Furthermore, the replacement will attenuate the daily MG profit to 5580 \$. So, it can deduce that the proposed EMS is more effective, more swift, and more accurate than the approaches presented in [6], [8], [12], and [40].

## VII. CONCLUSION

The paper proposes an EMS for optimal operation of a grid-connected MG. Uncertainties in RES and load power forecasts are analyzed by appropriate probabilistic models. The MG includes hybrid renewable DGs, other dispatchable DGs, and battery bank ESS. It is desired to maximize the profit of the MG meeting all technical limitations. The constraints include equipment capacity limits, nodes voltages, and line power flows. The problem is mathematically formulated as a stochastic constrained nonlinear optimization. Thousands of scenarios representing random variations of metrological and load data are generated. Then, the most diverse scenarios are assessed using a scenario reduction technique. New modifications are done to improve the BAT optimization solver. Moreover, a method for tuning the BAT algorithm weight factors is presented. Based on the MG operator experience, a rule-base is formed to regulate the working of the ESS. Then, a combination of the rule-base and the newly improved BAT technique are adopted to solve the optimization problem. A post-processing mechanism of the optimization outcomes is carried out to further refine the results by tuning the OLTC tap position. Thus, the optimal day-ahead P-Q dispatch of each dispatchable distributed generator, the discharging/charging power and state of the ESS, and the exchanged active/reactive power amount with the main grid are decided. The proposed EMS design maximizes the MG profit in a shorter computation time without breaking any constraint for all possible scenarios. The apparently superior performance of the proposed EMS is proven by comparison to other recent approaches. It is always found to be faster and more profitable to the MG.

## REFERENCES

- [1] A. Micallef, M. Apap, C. Spiteri-Staines, and J. M. Guerrero, "Single-phase microgrid with seamless transition capabilities between modes of operation," *IEEE Trans. Smart Grid*, vol. 6, no. 6, pp. 2736–2745, Nov. 2015.
- [2] N. Hatziargyriou, H. Asano, R. Iravani, and C. Marnay, "Microgrids," *IEEE Power Energy Mag.*, vol. 5, no. 4, pp. 78–94, Jul./Aug. 2007.
- [3] W. Su, J. Wang, and J. Roh, "Stochastic energy scheduling in microgrids with intermittent renewable energy resources," *IEEE Trans. Smart Grid*, vol. 5, no. 4, pp. 1876–1883, Jul. 2014.
- [4] C. Chen, S. Duan, T. Cai, B. Liu, and G. Hu, "Smart energy management system for optimal microgrid economic operation," *IET Renew. Power Gener.*, vol. 5, no. 3, p. 258, 2011.
- [5] F. Nejabatkhah and Y. W. Li, "Overview of power management strategies of hybrid AC/DC microgrid," *IEEE Trans. Power Electron.*, vol. 30, no. 12, pp. 7072–7089, Dec. 2015.
- [6] H. Saboori and R. Hemmati, "Maximizing DISCO profit in active distribution networks by optimal planning of energy storage systems and distributed generators," *Renew. Sustain. Energy Rev.*, vol. 71, no. 11, pp. 365–372, May 2017.
- [7] A. Berrada and K. Loudiyi, "Operation, sizing, and economic evaluation of storage for solar and wind power plants," *Renew. Sustain. Energy Rev.*, vol. 59, no. 1, pp. 1117–1129, Jun. 2016.
- [8] S. A. Alavi, A. Ahmadian, and M. Aliakbar-Golkar, "Optimal probabilistic energy management in a typical micro-grid based-on robust optimization and point estimate method," *Energy Convers. Manage.*, vol. 95, pp. 314–325, May 2015.
- [9] J. Javadi, "Optimal planning and operation of hybrid energy system supplemented by storage devices," *Proc. 7th Sol. Integr. Work.*, Berlin, Germany, 2017, pp. 1–6.
- [10] D. Fendri and M. Chaabene, "Energy dispatching strategy for micro-grid using hybrid Petri nets model," in *Proc. 4th Int. Conf. Control, Decis. Inf. Technol. (CoDIT)*, Apr. 2017, pp. 0992–0996.
- [11] M. J. Sanjari, H. Karami, A. H. Yatim, and G. B. Gharehpetian, "Application of hyper-spherical search algorithm for optimal energy resources dispatch in residential microgrids," *Appl. Soft Comput.*, vol. 37, pp. 15–23, Dec. 2015.
- [12] B. Bahmani-Firouzi and R. Azizpanah-Abarghoee, "Optimal sizing of battery energy storage for micro-grid operation management using a new improved bat algorithm," *Int. J. Electr. Power Energy Syst.*, vol. 56, pp. 42–54, Mar. 2014.
- [13] M. Asaduz-Zaman and A. H. Chowdhury, "Optimum economic dispatch of interconnected microgrid with energy storage system," in *Proc. Int. Conf. Electr. Eng. Inf. Commun. Technol. (ICEEICT)*, May 2015, pp. 1–6.
- [14] Y. Chaweewat, J. G. Singh, W. Ongsakul, and A. K. Srivastava, "Economic and environmental impact assessment with network reconfiguration in microgrid by using artificial bee colony," in *Proc. Int. Conf. Cogeneration, Small Power Plants District Energy (ICUE)*, Sep. 2016, pp. 1–7.
- [15] Y. Wu, V. K. N. Lau, D. H. K. Tsang, L. P. Qian, and L. Meng, "Optimal energy scheduling for residential smart grid with centralized renewable energy source," *IEEE Syst. J.*, vol. 8, no. 2, pp. 562–576, Jun. 2014.
- [16] E. Grover-Silva, M. Heleno, S. Mashayekh, G. Cardoso, R. Girard, and G. Kariniotakis, "A stochastic optimal power flow for scheduling flexible resources in microgrids operation," *Appl. Energy*, vol. 229, pp. 201–208, Nov. 2018.
- [17] H. Farzin, M. Fotuhi-Firuzabad, and M. Moeini-Aghtaie, "A stochastic multi-objective framework for optimal scheduling of energy storage systems in microgrids," *IEEE Trans. Smart Grid*, vol. 8, no. 1, pp. 117–127, Jan. 2017.
- [18] F. S. Gazijahani and J. Salehi, "Stochastic-based optimal daily energy management of microgrids in distribution systems," in *Proc. Int. Conf. Control. Decis. Inf. Technol.*, 2017, pp. 1–7.
- [19] F. S. Gazijahani, H. Hosseinzadeh, A. A. Abadi, and J. Salehi, "Optimal day ahead power scheduling of microgrids considering demand and generation uncertainties," in *Proc. Iranian Conf. Electr. Eng. (ICEE)*, May 2017, pp. 943–948.
- [20] J. Wu, X. Xing, X. Liu, J. M. Guerrero, and Z. Chen, "Energy management strategy for grid-tied microgrids considering the energy storage efficiency," *IEEE Trans. Ind. Electron.*, vol. 65, no. 12, pp. 9539–9549, Dec. 2018.
- [21] J. Shen, C. Jiang, Y. Liu, and X. Wang, "A microgrid energy management system and risk management under an electricity market environment," *IEEE Access*, vol. 4, pp. 2349–2356, 2016.
- [22] Y. M. Atwa and E. F. El-Saadany, "Optimal allocation of ESS in distribution systems with a high penetration of wind energy," *IEEE Trans. Power Syst.*, vol. 25, no. 4, pp. 1815–1822, Nov. 2010.
- [23] M. Sedghi, A. Ahmadian, and M. Aliakbar-Golkar, "Optimal storage planning in active distribution network considering uncertainty of wind power distributed generation," *IEEE Trans. Power Syst.*, vol. 31, no. 1, pp. 304–316, Jan. 2016.
- [24] M. Marzband, M. Javadi, J. L. Domínguez-García, and M. Mirhosseini Moghaddam, "Non-cooperative game theory based energy management systems for energy district in the retail market considering DER uncertainties," *IET Gener., Transmiss. Distrib.*, vol. 10, no. 12, pp. 2999–3009, Sep. 2016.
- [25] D. Thomas, O. Deblecker, and C. S. Ioakimidis, "Optimal operation of an energy management system for a grid-connected smart building considering photovoltaics' uncertainty and stochastic electric vehicles' driving schedule," *Appl. Energy*, vol. 210, pp. 1188–1206, Jan. 2018.
- [26] F. Farzan, M. A. Jafari, R. Masiello, and Y. Lu, "Toward optimal day-ahead scheduling and operation control of microgrids under uncertainty," *IEEE Trans. Smart Grid*, vol. 6, no. 2, pp. 499–507, Mar. 2015.
- [27] C. Li, F. de Bosio, F. Chen, S. K. Chaudhary, J. C. Vasquez, and J. M. Guerrero, "Economic dispatch for operating cost minimization under real-time pricing in droop-controlled DC microgrid," *IEEE J. Emerg. Sel. Topics Power Electron.*, vol. 5, no. 1, pp. 587–595, Mar. 2017.
- [28] N. Growe-Kuska, H. Heitsch, and W. Romisch, "Scenario reduction and scenario tree construction for power management problems," in *Proc. IEEE Bologna Power Tech Conf.*, Jun. 2003, pp. 1–7.
- [29] P. P. Biswas, P. N. Suganthan, R. Mallipeddi, and G. A. J. Amaratunga, "Optimal reactive power dispatch with uncertainties in load demand and renewable energy sources adopting scenario-based approach," *Appl. Soft Comput.*, vol. 75, pp. 616–632, Feb. 2019.

- [30] NASA. (2018). *Prediction Of Worldwide Energy Resource*. [Online]. Available: <https://power.larc.nasa.gov/data-access-viewer/>
- [31] P. Kayal and C. K. Chanda, "Optimal mix of solar and wind distributed generations considering performance improvement of electrical distribution network," *Renew. Energy*, vol. 75, pp. 173–186, Mar. 2015.
- [32] D. T. Nguyen and L. B. Le, "Optimal bidding strategy for microgrids considering renewable energy and building thermal dynamics," *IEEE Trans. Smart Grid*, vol. 5, no. 4, pp. 1608–1620, Jul. 2014.
- [33] H. Yang, Z. Wei, and L. Chengzhi, "Optimal design and techno-economic analysis of a hybrid solar–wind power generation system," *Appl. Energy*, vol. 86, no. 2, pp. 163–169, Feb. 2009.
- [34] Y. M. Atwa, E. F. El-Saadany, M. M. A. Salama, and R. Seethapathy, "Optimal renewable resources mix for distribution system energy loss minimization," *IEEE Trans. Power Syst.*, vol. 25, no. 1, pp. 360–370, Feb. 2010.
- [35] X. Yang, "A new metaheuristic bat-inspired algorithm," in *Studies in Computational Intelligence*, vol. 284. Berlin, Germany: Springer, 2010, pp. 65–74.
- [36] D. Adeyemi Amon, "A modified bat algorithm for power loss reduction in electrical distribution system," *TELKOMNIKA Indonesian J. Electr. Eng.*, vol. 14, no. 1, pp. 55–61, 2015.
- [37] X.-X. Ma and J.-S. Wang, "Optimized parameter settings of binary bat algorithm for solving function optimization problems," *J. Electr. Comput. Eng.*, vol. 2018, pp. 1–9, May 2018.
- [38] A. Baziari, A. Kavooosi-Fard, and J. Zare, "A novel self adaptive modification approach based on bat algorithm for optimal management of renewable MG," *J. Intell. Learn. Syst. Appl.*, vol. 5, no. 1, pp. 11–18, 2013.
- [39] M. H. Khooban and T. Niknam, "A new intelligent online fuzzy tuning approach for multi-area load frequency control: Self adaptive modified bat algorithm," *Int. J. Electr. Power Energy Syst.*, vol. 71, pp. 254–261, Oct. 2015.
- [40] H. Liang, Y. Liu, Y. Shen, F. Li, and Y. Man, "A hybrid bat algorithm for economic dispatch with random wind power," *IEEE Trans. Power Syst.*, vol. 33, no. 5, pp. 5052–5061, Sep. 2018.
- [41] Y. Qi, P. Hou, L. Yang, and G. Yang, "Simultaneous optimisation of cable connection schemes and capacity for offshore wind farms via a modified bat algorithm," *Appl. Sci.*, vol. 9, no. 2, p. 265, 2019.
- [42] N. M. Nor, A. Ali, T. Ibrahim, and M. F. Romlie, "Battery storage for the utility-scale distributed photovoltaic generations," *IEEE Access*, vol. 6, pp. 1137–1154, 2018.
- [43] P. Hu, H. Chen, and X. Zhu, "Planning of microgrid considering power quality constraints," in *Proc. 17th Int. Conf. Harmon. Qual. Power (ICHQP)*, Oct. 2016, pp. 727–732.
- [44] A. Rajendran and K. Narayanan, "Optimal installation of different DG types in radial distribution system considering load growth," *Electr. Power Compon. Syst.*, vol. 45, no. 7, pp. 739–751, Apr. 2017.



**MOHAMED ELGAMAL** received the B.S. and M.S. degrees in electrical engineering from Mansoura University, Egypt, in 2009 and 2014, respectively. He is currently pursuing the Ph.D. degree in electrical engineering with the Electrical Engineering Department, Institute of Energy and Transport Systems, Peter the Great Saint Petersburg Polytechnic University, Saint Petersburg, Russia. His fields of interests include smart grids, microgrids, and artificial intelligence techniques, multiagent control systems, power system operation, and control of renewable energy systems.



**NIKOLAY KOROVKIN** (Member, IEEE) received the M.S., Ph.D., and Doctor degrees in electrical engineering, in 1977, 1984, and 1995, respectively. He is currently the Head of the Electrical Engineering and Electromechanics Department, Peter the Great Saint Petersburg Polytechnic University, Saint Petersburg, Russia. Since 1996, he has been an Academician of the Academy of Electrotechnical of Russian Federation. He has about 260 published and reviewed works in Russia and abroad. His main research interests include the optimization of power networks, optimization of the location FACTS and PMU-devices, SMART grid technologies, methods for the analysis of reliability and ensuring power balance in EPS, methods of multicriteria optimization and their application to steady-state processes in power networks, transients in transmission line systems, and impulse processes in linear and nonlinear systems.



**AKRAM ELMITWALLY** (Member, IEEE) received the B.Sc., M.Sc., and Ph.D. degrees in electrical power engineering from Mansoura University, Egypt, in 1989, 1995, and 2002, respectively. He has been a Visiting Researcher at the Electronic and Electrical Engineering Department, University of Bath, U.K., from 1998 to 2000. He is currently a Full Professor with the Electrical Engineering Department, Mansoura University. He has authored more than 60 articles in journals and conferences. His fields of interests include power quality, power system protection, distributed generation, and AI applications in energy systems. He is a reviewer and a member of editorial board for a number of specialized international journals.



**AMIR ABDEL MENAEM** received the B.S. and M.S. degrees in electrical engineering from Mansoura University, Egypt, in 2008 and 2014, respectively. He is currently pursuing the Ph.D. degree in electrical engineering with the Ural Energy Institute, Ural Federal University, Yekaterinburg, Russia. His fields of interests include power system operation, planning of hybrid renewable energy systems, and uncertainty analysis, renewable distributed generations, and optimization techniques.



**ZHE CHEN** (Fellow, IEEE) received the B.Eng. and M.Sc. degrees from the Northeast China Institute of Electric Power Engineering, Jilin, China, and the Ph.D. degree from the University of Durham, Durham, U.K. He is currently a Full Professor with the Department of Energy Technology, Aalborg University, Denmark. He is also the Leader of the Wind Power System Research Program, Department of Energy Technology, Aalborg University, and the Danish Principle Investigator for Wind Energy of Sino-Danish Centre for Education and Research. His research areas include power systems, power electronics and electric machines, wind energy, and modern power systems. He has led many research projects and has more than 400 publications in his technical field. He is an Editor of the IEEE TRANSACTIONS ON POWER SYSTEMS, an Associate Editor of the IEEE TRANSACTIONS ON POWER ELECTRONICS, a Fellow of the Institution of Engineering and Technology, London, U.K., and a Chartered Engineer in the U.K.

...