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Transesterification of Poly(ethyl glyoxylate): A Route to Structurally Diverse Polyglyoxylates

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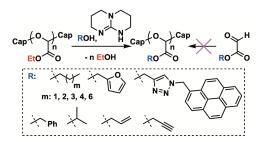
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ABSTRACT

Polyglyoxylates are a class of self-immolative polymers that depolymerize in solution and the solid-state. The glyoxylic acid degradation product is a metabolite in the glyoxylate cycle, and can also be processed in the liver in humans, making polyglyoxylates attractive for applications in the environment and in medicine. While expanding the scope of available polyglyoxylates would enable new properties and applications, highly pure glyoxylate monomers are required for polymerization, and this level of purity is difficult to achieve for many potential monomers. To address this challenge, we report here the 1,5,7-triazabicyclo[4.4.0]dec-5-ene (TBD)-catalyzed postpolymerization transesterification of poly(ethyl glyoxylate) (PEtG) as a general strategy for the synthesis of directly-inaccessible polyglyoxylates. Using a new end-capping strategy, PEtG compatible with the transesterification reaction was developed. *n*-Propanol, *i*-propanol, *n*-butanol, t-butanol, n-pentanol, n-hexanol, n-octanol, and benzyl alcohol were employed and the reactivities of these different alcohols were investigated. The resulting polyglyoxylates were characterized chemically and their thermal properties were compared. In all cases, the transesterified polyglyoxylates retained the stimuli-responsive depolymerization properties of the parent PEtG. In addition, functional polyglyoxylates based on allyl, propargyl and furfuryl esters, which are suitable for subsequent click reactions, were prepared. The propargyl-functionalized polyglyoxylate was used to conjugate pyrene, which underwent a change in fluorescent properties upon depolymerization.

INTRODUCTION

Stimuli-responsive polymers are of significant interest both fundamentally and for a wide range of applications such as sensors,¹ actuators,² and drug delivery vehicles.³ Degradable polymers have also been garnering attention in recent years as replacements for non-degradable polymers to

address challenges such as plastic pollution.⁴⁻⁵ They are also widely used in biomedical applications ranging from therapeutics⁶⁻⁷ to regenerative medicine.⁸⁻⁹ Self-immolative polymers (SIPs) are a growing class of degradable, stimuli-responsive polymers.¹⁰⁻¹¹ Unlike degradable polymers containing multiple stimuli-responsive linkers such as pH-sensitive acetals,¹²⁻¹³ or photochemically cleavable *o*-nitrobenzyl moieties in their backbones,¹⁴ SIPs are characterized by their ability to undergo complete, end-to-end depolymerization by a reaction cascade, following a single bond cleavage in the polymer backbone or between an end-cap and the polymer terminus.¹¹

Thus far, the most widely explored SIPs include polycarbamates,¹⁵⁻¹⁶ poly(benzyl ether)s,¹⁷ polyphthalaldehydes,¹⁸⁻¹⁹ and polyglyoxylates (PGs).²⁰ They have been explored for applications such as sensors,^{15, 21} transient electronics,²²⁻²⁴ drug delivery vehicles,²⁵⁻²⁷ and in recyclable plastics and composites.²⁸⁻³⁰ In addition, new depolymerizable polymers including poly(benzyl ester)s³¹ polycarboxypyrroles,³² and polyglyoxylamides³³ continue to be developed to achieve different properties and meet the requirements for additional applications such as photolithography³¹ and kinetic hydrate inhibition in oil and gas lines.³⁴

SIP backbones such as polycarbamates,¹⁵⁻¹⁶ polycarbonates,³⁵⁻³⁶ poly(benzyl ester)s,³¹ and polycarboxypyrroles,³² have been synthesized by step-growth polymerization methods. These methods have allowed for the incorporation of many different pendent functional groups, but typically result in relatively low degrees of polymerization (DP_n). On the other hand polyphthalaldehydes,^{19, 37-38} poly(benzyl ether)s,¹⁷ and polyglyoxylates^{20, 39-40} have been synthesized by chain addition polymerization methods, leading to higher DP_n, but with less functional group tolerance due to their cationic or anionic synthesis mechanisms. Consequently, far fewer functionalized versions of these SIPs have been reported.^{20, 41-45}

Polyglyoxylates are an attractive class of SIPs as they depolymerize back to their corresponding glyoxylate monomers, which can potentially be repolymerized,²⁹ or degraded to glyoxylic acid hydrate through hydration and hydrolysis (**Figure 1**).³⁹ Glyoxylic acid is a metabolic intermediate in the glyoxylate cycle, which occurs in bacteria, plants, fungi, and other organisms, so depending on the pendent alcohol, polyglyoxylate degradation products should be relatively non-toxic in the environment.⁴⁶ Furthermore, glyoxylic acid is a metabolic intermediate in humans, which can be processed in the liver, so it is expected to be well tolerated *in vivo*, at least at low concentrations.⁴⁷ However, a challenge in synthesizing polyglyoxylates is that highly pure glyoxylate monomers are required to achieve high molar mass polymers.

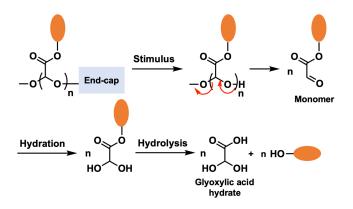


Figure 1. Depolymerization of a polyglyoxylate back to monomer after end-cap cleavage by a stimulus, followed by hydration, then hydrolysis to glyoxylic acid hydrate and the corresponding alcohol.

Recently, we reported the anionic polymerization of ethyl glyoxylate (EtG) and an optimized method for the purification of EtG to levels required for controlled polymerization.⁴⁰ To crack the oligomers and eliminate the hydrate species to yield ultrapure EtG, the use of heat and P₂O₅ is required. For EtG, which has a boiling point of 110 °C, it was possible to develop thermal distillation conditions for its separation from H₃PO₄ (boiling point 158 °C), the byproduct of the reaction of P₂O₅ with the hydrate species. However, for many other monomers, compatibility with hot H₃PO₄

and/or separation from H_3PO_4 are problematic, leading to lower purity monomer. Polymerization with of lower purity monomer leads to polymers with low DP_n .^{20, 45} In addition, we have found that the best route for the preparation of new glyoxylate monomers is the ozonolysis of the corresponding fumarate derivatives.²⁰ Functional groups such as alkenes and alkynes are not readily compatible with the ozonolysis reaction. Thus, the synthesis of polyglyoxylates with a diverse range of pendent functional groups remains a significant synthetic challenge.

An alternative approach to the polymerization of different monomers is postpolymerization modification.⁴⁸ Recently, use of the organocatalyst 1,5,7-triazabicyclo[4.4.0]dec-5ene (TBD) for the transesterification of polyacrylates was reported.⁴⁹ Inspired by this finding, we implemented TBD-catalyzed transesterification of PEtG with various alcohols to expand the library of accessible polyglyoxylates. The stability of the depolymerizable PEtG backbone and end-cap to TBD catalyzed transesterification was investigated. Then, a series of different alcohols were used in transesterification reactions to afford polyglyoxylates with pendent esters ranging from propyl to octyl, along with functional versions including allyl, furfuryl, and propargyl. The thermal properties of the different derivatives were studied. Triggerable depolymerization behavior was retained in all of the transesterified polymers. Furthermore, the propargyl version was used to install pyrene, providing a polymer that underwent a change in its fluorescent properties upon depolymerization.

EXPERIMENTAL

General materials

PEtG_{carbonate} was synthesized as previously reported, providing an M_n of 27.6 kg/mol, $M_w = 35.6$ kg/mol, and $D = 1.29.^{40}$ TBD (freshly opened or dried *via* toluene azeotrope), 2-nitrobenzyl alcohol, and 1-(azidomethyl)pyrene were obtained from AK Scientific Inc. and used as received.

Lithium bis(trimethylsilyl)amide [(TMS)₂NLi], *n*-pentanol, *n*-hexanol, benzyl alcohol (BnOH), propargyl alcohol, furfuryl alcohol, *n*-BuLi (2.5 M in hexanes), benzyl chloromethyl ether (technical, ~60%), benzyl chloroformate, CaH₂, and (+)-sodium L-ascorbate were obtained from Sigma-Aldrich and used as received. Allyl alcohol, *n*-butanol, and copper (II) sulfate were obtained from Alfa Aesar and used as received. EtG (ca. 50% in toluene) was purchased from Alfa Aesar and purified as previously reported.⁴⁰ *n*-Propanol, *i*-propanol, *n*-octanol, pentane, acetone, CDCl₃, magnesium sulfate, and hydrochloric acid were obtained from Caledon Laboratories and used as received. Anhydrous ethanol was obtained from Commercial Alcohols and used as received. Toluene was obtained from Caledon Laboratories and distilled over sodium using benzophenone as an indicator. NEt₃ was obtained from Caledon Laboratories and stirred over CaH₂ for 16 h before thermal distillation. *N*,*N*-Dimethylformamide (DMF) was obtained from a PureSolv MD 5 solvent purification system equipped with aluminum oxide columns. Column chromatography was performed using silica gel (0.063-0.200 mm particle size, 70-230 mesh) from SiliCycle. Ultrapure deionized water was obtained from a Barnstead EASYpure II system.

General methods

All reactions were performed under a N₂ atmosphere using flame or oven-dried glassware. Dialyses were performed using Spectra/Por regenerated cellulose membranes. ¹H NMR spectra were obtained at 400 MHz using a Varian INOVA spectrometer. Chemical shifts (δ) are given in parts per million (ppm) and were calibrated against the residual solvent signals CDCl₃ (7.27). Coupling constants (*J*) are given in Hz. Size exclusion chromatography (SEC) for molar mass analysis was conducted using THF solutions of polymers at concentrations of *ca*. 5 mg/mL. The samples were analyzed using a Viscotek GPCmax VE 2001 SEC instrument equipped with an Agilent PolyPore guard column (PL1113-1500) and two sequential Agilent PolyPore SEC columns (molar mass

range 200 – 2,000,000 g/mol; PL1113-6500) regulated at a temperature of 30 °C. Signal responses were measured using a Viscotek VE 3580 RI detector and molar masses were determined by conventional calibrations using poly(methyl methacrylate) (PMMA) standards purchased from Viscotek. Thermogravimetric analysis (TGA) was performed using a TA Instruments Q50 thermogravimetric analyzer. Samples were placed in a platinum pan and heated at a rate of 10 °C/min from 25 to 1000 °C under a flow of nitrogen (60 mL/min). Differential scanning calorimetry (DSC) thermograms were acquired using a TA Instruments DSC Q20 instrument. The polymer samples were placed in aluminum Tzero pans and heated from room temperature to maximum temperatures, which were at least 20 °C below the onset of decomposition, at 10 °C/min under a flow of nitrogen (50 mL/min), and cooled to -70 °C at 10 °C/min, before they underwent two additional heating/cooling cycles. Thermal data were obtained from the second full heating cycle. Infrared (IR) spectra were obtained on a PerkinElmer Spectrum Two FTIR Spectrometer using the attenuated total reflectance accessory.

Synthesis of PEtGether

In a Schlenk flask, an *n*-BuLi solution (100 μ L, 2.5 M in hexanes, 0.25 mmol) was combined with dry toluene (20 mL) and then freshly distilled EtG (5.0 mL, 50 mmol) was rapidly added at 20 °C. The resulting solution was vigorously stirred for 15 minutes. It was then cooled to -20 °C and stirred for 10 min before the addition of NEt₃ (0.3 mL, 2 mmol) and stirring for another 10 min. Benzyl chloromethyl ether (1.0 mL, 4.3 mmol) was rapidly added and the resulting mixture was stirred for 3 h at -20 °C, before sealing the flask under N₂ gas, and transferring it into a -20 °C methanol (250 mL). After decanting the solvent, the resulting residue was dried under vacuum.

Yield = 2.5 g, 50%. ¹H NMR (400 MHz, CDCl₃, δ): 5.75–5.48 (m, 122 H), 4.27–4.03 (m, 247 H), 1.32–1.28 (m, 369 H), 0.89 (br s, 3 H). SEC: M_n = 14.0 kg/mol, M_w = 18.1 kg/mol, D = 1.29.

Synthesis of PEtG_{UV}

In a Schlenk flask and at 20 °C, 2-nitrobenzyl alcohol (153 mg, 1.0 mmol) and (TMS)₂NLi (167 mg, 1.0 mmol) were combined in dry toluene (80 mL) and stirred for 5 s, before the rapid addition of freshly distilled EtG (20.0 mL, 200 mmol). The resulting solution was then cooled to -20 °C and vigorously stirred for 15 min. NEt₃ (1.2 mL, 9 mmol) was added and the solution was stirred for another 20 min. Benzyl chloromethyl ether (4.0 mL, 17 mmol) was instantly added and the resulting mixture was stirred for 3 h at -20 °C, before sealing the flask under N₂ gas, and transferring it into a -20 °C freezer where it was kept for 21 h. The polymerization mixture was then precipitated into methanol (1.0 L). The solvent was decanted and the resulting residue was dried under vacuum. Yield = 16 g, 80%. ¹H NMR (400 MHz, CDCl₃, δ): 8.10 (s, 1H), 7.87 (d, *J* = 16 Hz, 1 H), 7.67 (d, *J* = 8 Hz, 1H), 7.46 (s, 1 H), 5.80–5.48 (m, 226 H), 5.14 (s, 2 H), 4.99 (s, 2 H), 4.20–4.13 (m, 458 H), 1.37–1.19 (m, 690 H). SEC: M_n = 24.1 kg/mol, M_w = 29.9 kg/mol, *D* = 1.24.

Study of polyglyoxylate stability under the transesterification conditions

In a Schlenk flask, $PEtG_{carbonate}$, $PEtG_{ether}$, or $PEtG_{UV}$ (150 mg, 1.5 mmol of ethyl ester units, 1.0 equiv.) toluene (5 mL), ethanol (0.87 mL, 15 mmol, 10 equiv.), and TBD (44 mg, 0.29 mmol, 0.2 equiv.) were combined and degassed for 15 min. The solution was then divided between five pressure tubes in a glovebox, and heated at 100 °C outside the glovebox for 0, 1, 3, 6, or 24 h. After cooling to 20 °C, the crude samples were dried *in vacuo* and analyzed by SEC.

General procedures for the transesterification reactions

For ethanol, *n*-propanol, *i*-propanol, and *n*-butanol (volatile alcohols). Inside a septum sealed pressure tube, $PEtG_{UV}$ (200 mg, 2.0 mmol of ethyl ester units, 1.0 equiv.) was combined with an alcohol (10 equiv.) and dry toluene (4.0 mL). The resulting solution was degassed by bubbling N₂ gas through it for 20 min. TBD (55 mg, 0.39 mmol, 0.2 equiv.) was then added and the tube was rapidly sealed using a Teflon cap. The reaction mixture was heated at 100 °C for 17 h.

For the remaining non-volatile alcohols. Inside a Schlenk tube $PEtG_{UV}$ (200 mg, 2.0 mmol of ethyl ester units, 1.0 equiv.) was combined with an alcohol (10 equiv. or 0.5 equiv.) and dry toluene (4.0 mL). The resulting solution was degassed by bubbling N₂ gas through it for 20 min, before adding TBD (55 mg, 0.39 mmol, 0.2 equiv.). The reaction mixture was heated at 100 °C for 17 h under a constant nitrogen flow (*ca*. 5 mL/min) while it was being vented, using a needle to remove the ethanol that was produced during the reaction.

General work up procedure

After cooling to 20 °C, the crude products were diluted with CH_2Cl_2 (15 mL) and washed with an HCl solution (pH = 2; 3 × 20 mL). The organic layer was dried with MgSO₄, filtered, and concentrated *in vacuo*. The pure polymer was isolated by three precipitations from CH_2Cl_2 solution (1 mL) into pentane (50 mL) or dialysis against acetone (molecular weight-cutoff (MWCO): 10 kg/mol, 1 L, two rounds: 8 and 16 h). The resulting product was dried under vacuum.

PnPrG. From *n*-propanol (1.5 mL, 20 mmol, 10 equiv.). The product was purified by precipitation. Conversion: >99%. Yield: 57%. ¹H NMR (400 MHz, CDCl₃, δ): 5.85–5.50 (m, 1 H), 4.20–4.03 (m, 2 H), 1.72-1.65 (m, 2 H), 0.99–0.87 (m, 3 H). SEC: M_n = 24.1 kg/mol, M_w = 44.3 kg/mol, D = 1.77.

PiPrG. From *i*-propanol (1.5 mL, 20 mmol, 10 equiv.). The product was purified by precipitation. Conversion: 70%. Yield 62%. ¹H NMR (400 MHz, CDCl₃, δ): 5.74–5.44 (m, 1.0 H), 5.07–4.95 (m, 0.7 H), 4.26–4.15 (m, 0.5 H), 1.34–1.21 (m, 5.5 H). SEC: $M_n = 22.9 \text{ kg/mol}, M_w = 35.8 \text{ kg/mol}, D = 1.56.$

PnBuG. From *n*-butanol (1.8 mL, 20 mmol, 10 equiv.). The product was purified by precipitation. Conversion: >99%. Yield: 70%. ¹H NMR (400 MHz, CDCl₃, δ): 5.74–5.47 (m, 1 H), 4.20–4.06 (m, 2 H), 1.69–1.58 (m, 2 H), 1.44–1.32 (m, 2 H), 0.97–0.86 (m, 3 H). SEC: M_n = 22.6 kg/mol, M_w = 35.0 kg/mol, *Đ* =1.55.

PnPenG. From *n*-pentanol (2.2 mL, 20 mmol, 10 equiv.). The product was purified by dialysis. Conversion: >99%. Yield: 64%.¹H NMR (400 MHz, CDCl₃, δ): 5.75–5.45 (m, 1 H), 4.20–4.03 (m, 2 H), 1.73–1.61 (m, 2 H), 1.40–1.25 (m, 4 H), 0.94–0.85 (m, 3 H). SEC: M_n = 22.6 kg/mol, M_w = 33.2 kg/mol, D =1.46.

PnHexG. From *n*-hexanol (2.5 mL, 20 mmol, 10 equiv.). The product was purified by dialysis. Conversion: >99%. Yield: 62%. ¹H NMR (600 MHz, CDCl₃, δ): 5.71–5.45 (m, 1 H), 4.22–4.03 (m, 2 H), 1.69–1.63 (m, 2 H), 1.38–1.22 (m, 6 H), 0.91–0.83 (m, 3 H). SEC: M_n = 21.8 kg/mol, M_w = 37.6 kg/mol, *D* = 1.72.

PnOctG. From *n*-octanol (3.1 mL, 20 mmol, 10 equiv.). The product was purified by dialysis. Conversion: >99%. Yield: 61%. ¹H NMR (600 MHz, CDCl₃, δ): 5.80–5.48 (m, 1 H), 4.22–4.07 (m, 2 H), 1.68–1.58 (m, 2 H), 1.42–1.22 (m, 10 H), 0.93–0.88 (m, 3 H). SEC: M_n = 28.9 kg/mol, M_w = 54.1 kg/mol, D =1.87.

PBnG. From benzyl alcohol (2.3 mL, 20 mmol, 10 equiv.). The product was purified by precipitation. Conversion: >99%. Yield: 67%. ¹H NMR (400 MHz, CDCl₃, δ): 7.40–7.05 (m, 5 H), 5.80–5.47 (m, 1 H), 5.05–4.78 (m, 2 H). SEC: M_n = 18.8 kg/mol, M_w = 29.4 kg/mol, D = 1.57. **PFuG.** From furfuryl alcohol (0.22 mL, 2.5 mmol, 0.50 equiv.). The product was purified by dialysis. Conversion: 15%. Yield: 59%. ¹H NMR (400 MHz, CDCl₃, δ): 7.43–7.38 (m, 0.16 H),

6.50–6.43 (m, 0.15 H), 6.35–6.32 (m, 0.15 H), 5.70–5.51 (m, 1.0 H), 5.19-5.13 (m, 0.3 H), 4.29–4.14 (m, 1.7 H), 1.33–1.26 (m, 2.6 H). SEC: $M_n = 21.7 \text{ kg/mol}$, $M_w = 35.8 \text{ kg/mol}$, D = 1.65. **PAIIG.** From allyl alcohol (0.17 mL, 2.5 mmol, 0.50 equiv.). The product was purified by dialysis. Conversion: 25%. Yield: 71%. ¹H NMR (400 MHz, CDCl₃, δ): 5.98–5.89 (m, 0.26 H), 5.75–5.48 (m, 1.0 H), 5.36–5.32 (m, 0.25 H), 5.24–5.22 (m, 0.25 H), 4.70–4.62 (m, 0.54 H), 4.27–4.17 (m, 1.6 H), 1.32–1.25 (m, 2.4 H). SEC: $M_n = 20.2 \text{ kg/mol}$, $M_w = 33.4 \text{ kg/mol}$, D = 1.51.

PPG. From propargyl alcohol (0.15 mL, 2.5 mmol, 0.5 equiv.). The product was purified by dialysis. Conversion: 26%. Yield: 60%. ¹H NMR (400 MHz, CDCl₃, δ): 5.81–5.48 (m, 1.0 H), 4.82–4.76 (m, 0.54 H), 4.27–4.18 (m, 1.5 H), 2.62–2.47 (m, 1.0 H), 1.35-1.28 (m, 2.4 H). SEC: $M_n = 20.2 \text{ kg/mol}, M_w = 35.8 \text{ kg/mol}, D = 1.65.$

PPG_{pyr}. Inside a Schlenk flask, **PPG** (50 mg, 0.12 mmol propargyl group, 1.0 equiv.), 1-(azidomethyl)pyrene (47 mg, 0.18 mmol, 1.5 equiv.), CuSO₄ (3.9 mg, 0.025 mmol, 0.20 equiv.) and (+)-sodium L-ascorbate ascorbate (5.0 mg, 0.020 mmol, 0.20 equiv.) were combined. After the addition of DMF (5.0 mL), the flask was heated at 40 °C for 17 h. The crude product was then cooled to 20 °C and passed through a silica plug to remove excess copper. The pure product was isolated after dialysis against DMF (MWCO = 6 kg/mol; 2 cycles) and ultrapure water (2 cycles, note that the polymer precipitates). Yield: 53%. ¹H NMR (400 MHz, CDCl₃, δ): 8.33–7.34 (m, 2.0 H), 6.10–5.56 (m, 1.0 H), 5.31–4.82 (m, 0.31 H) 4.32–3.86 (m, 1.3 H), 1.33–0.76 (m, 2.6 H). SEC: M_n = 10.6 kg/mol, M_w = 35.2 kg/mol, *D* = 3.31.

¹H NMR spectroscopy depolymerization studies of PEtG_{UV}

The polymer (20 mg) was dissolved in a CD₃CN/D₂O mixture (9/1, 1.2 mL) at 21 °C. The solution was then transferred into two NMR tubes, and the tubes were promptly sealed. One tube was exposed to UV light (450 W bulb, 2.8 mW/cm² of UVA radiation) for 30 min to initiate the removal

of the photolabile end-cap. The other NMR tube was kept in dark and was analyzed as a control sample for measuring any background polymer degradation. ¹H NMR spectra were recorded at defined intervals (0, 1, 3, 5, and 24 h) to monitor the depolymerization.

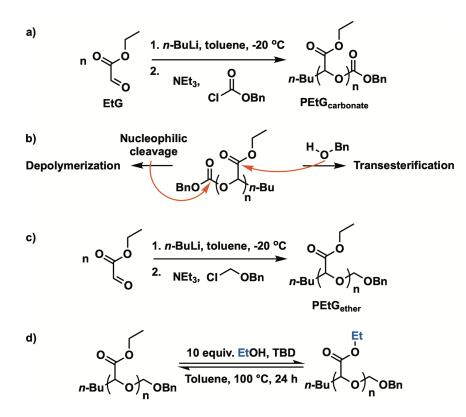
Fluorescence of pyrene

In a 10 mL volumetric flask, 1.5 mg of PPG_{pyr} was dissolved in 9/1 CH₃CN/water. After being degassed by bubbling N₂ through for 10 min, it was placed inside a quartz cuvette for analysis. The emission spectrum was recorded using an excitation wavelength of 341 nm, emission range of 351–800 nm, and a slit width of 5 nm using a QM-4 SE spectrometer from Photon Technology International. The sample was then irradiated with a UV light for 30 min as described for the depolymerization study, and incubated for 24 h before recording the emission spectrum of the depolymerized sample.

RESULTS AND DISCUSSION

Improving the PEtG design for transesterification reactions. So far, many previously reported self-immolative PEtGs had carbonate-containing end-groups.^{20, 25, 50-51} Hence, we started our study by using a benzyl carbonate-end-capped PEtG (PEtG_{carbonate}). PEtG_{carbonate} was prepared as previously reported,⁴⁰ with an M_n of 27.6 kg/mol, $M_w = 35.6$ kg/mol, and D = 1.29 (Scheme 1a). To probe the reactivity of PEtG in the transesterification reaction, a combination of PEtG_{carbonate} with benzyl alcohol (10 equiv.) and TBD (0.2 equiv.) was heated at 100 °C for 48 h. Benzyl alcohol was selected because of its high boiling point. In addition, peaks in the ¹H NMR spectrum of the expected poly(benzyl glyoxylate) (PBnG) product should not overlap with those of the starting PEtG_{carbonate}, allowing the reaction conversion to be easily assessed. However, no polymer could

be isolated after the reaction, suggesting that $PEtG_{carbonate}$ didn't survive the transesterification reaction.



Scheme 1. a) Preparation of $PEtG_{carbonate}$ using benzyl chloroformate as an end-cap; b) Possible pathways for the reaction of alcohols with $PEtG_{carbonate}$ leading to either transesterification or depolymerization; c) Preparation of $PEtG_{ether}$; d) Testing the stability of $PEtG_{ether}$ under the transesterification reaction conditions.

To investigate the TBD-induced depolymerization further, $PEtG_{carbonate}$ was heated at 100 °C in toluene, with ethanol (10 equiv.) and TBD (0.2 equiv.) for 24 h. Samples were analyzed by SEC at different time points during the reaction. Ethanol was selected for this experiment because transesterification should lead to an unaltered SEC elution profile if the polymer did not depolymerize during the reaction. However, the SEC traces revealed that the intensity of the PEtG

peak decreased over time (Figure 2a), confirming that $PEtG_{carbonate}$ rapidly degraded under the reaction conditions. This degradation can be attributed to TBD-catalyzed nucleophilic attack on the carbonates (Scheme 1b). While carbonates may be less reactive than the pendent esters, even a single carbonate cleavage can lead to the depolymerization of an entire polymer chain.

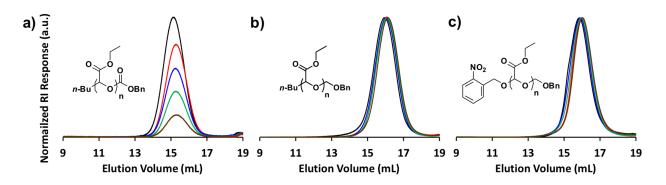
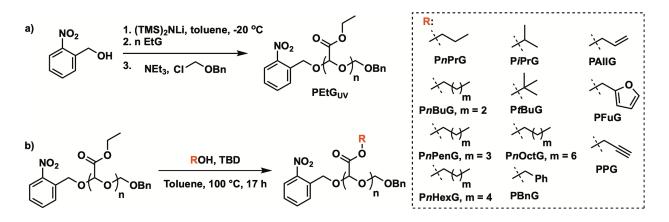


Figure 2. SEC traces recorded during the stability tests of a) PEtG_{carbonate}, b) PEtG_{ether}, and c) PEtG_{UV}. Black, red, blue, green, and brown colors were used for depicting traces recorded at 0, 1, 3, 6, and 24 h, respectively. While PEtG_{carbonate} was degraded under the transesterification conditions, PEtG_{ether} and PEtG_{UV} were stable.

To address the challenge of carbonate stability, ether end-caps were investigated. Triphenylmethyl ethers were previously used to cap PEtG for admidation reactions to produce polyglyoxylamides.³³ However, triphenylmethyl end-capped PEtGs have limited thermal stability,⁵² and depolymerized upon heating at 100 °C for 24 h. We also explored capping with various benzyl halides, but the end-capping reactions did not proceed. We attribute this low reactivity to the tight ion pairing between Li⁺ and the alkoxide terminus of the polymer.⁵³ On the other hand, chloromethyl benzyl ether (BOMCl) was more reactive than benzyl halides and successfully end-capped PEtG by an ether linkage (**Scheme 1c**).

The anionic polymerization of EtG was initiated with *n*-BuLi in toluene.⁴⁰ BOMCl was added at -20 °C, and the resulting mixture was kept at -20 °C for 24 h to favor a high end-capping yield. The resulting **PEtG**_{ether} had an M_n of 13.9 kg/mol, $M_w = 18.1$ kg/mol, D = 1.29, and $DP_n =$ 136 based on SEC, consistent with the DP_n calculated by ¹H NMR end-group analysis, *i.e.*, DP_n = 122 (Figure S2). This close accordance confirmed that the polymer chains were initiated from *n*-BuLi. However, the experimental DP_n was lower than the targeted DP_n, *i.e.*, 200. It is unlikely that the lower DP_n arose from incomplete polymerization of monomer as we have previously found that the equilibrium ratio of polymer:monomer is 98:2 at -20 °C, and that the polymerization was complete in 10 min or less.⁴⁰ Instead, it can likely be attributed to the low reactivity of BOMCl at -20 °C. End-capping may have begun when the polymerization mixture was gradually warming to 20 °C and partial depolymerization had occurred. Indeed, considerable traces of EtG (12 mol%) and ethyl glyoxylate hydrate (EtGH) (22 mol%) were observed in the ¹H NMR spectrum of the concentrated crude polymerization mixture (Figure S1). However, as PEtGether was isolated with a satisfactory yield/molar mass, and our goal was primarily to investigate stability of the polymer, we continued our studies with PEtGether. The stability tests performed on PEtGcarbonate were repeated for PEtGether (Scheme 1d). The main peak corresponding to PEtGether retained its intensity even after 24 h (Figure 2b), supporting our hypothesis that the carbonate linkers were responsible for the low stability of PEtGcarbonate and that PEtGs with carbonate-free end-caps can tolerate the transesterification reaction conditions.

BOMCl is an inexpensive regent that conveniently yielded $PEtG_{ether}$, but this polymer does not exhibit the desired stimuli-responsive properties. Although the polyacetal backbone of $PEtG_{ether}$ is in principle acid-responsive, the adjacent electron-withdrawing ethyl ester groups make the acetals quite resistant to cleavage under acidic conditions. For example, benzyl carbonate end-capped PEtG underwent less than 5% depolymerization over 48 days in 0.5 M acetic acid.⁵⁰ Therefore, we targeted a UV-responsive PEtG with carbonate-free end-caps to continue this study. 2-nitrobenzyl alcohol was lithiated using (TMS)₂NLi, which is a strong non-nucleophilic base. Using the resulting anionic alkoxide as an initiator and BOMCl as an end-cap, **PEtG**_{UV} with an asymmetric design including UV-responsive and non-responsive end-caps (*i.e.*, 2-nitrobenzyl and benzyl ether groups, respectively) was prepared (**Scheme 2a**).



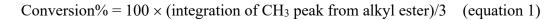
Scheme 2. a) Preparation of PEtG_{UV} and b) transesterification of PEtG_{UV} using different alcohols.

PEtG_{UV} was purified similarly to **PEtG**_{ether} and then analyzed by ¹H NMR spectroscopy and SEC. For end-group analysis, the integration of aromatic peaks of the 2-nitrobenzyl group were compared with that of the peak at 5.6 ppm (C*H* of the backbone) (**Figure S3**). This analysis suggested DP_n = 226, which is similar to the targeted DP_n and the DP_n of 236 that was determined by SEC. The stability test of **PEtG**_{UV} revealed that **PEtG**_{UV} had a stability comparable with **PEtG**_{ether} (**Figure 2c**).

Transesterification reactions. Using $PEtG_{UV}$, experiments with different PEtG/BnOH/TBD ratios, temperatures, and reaction times were conducted (**Table S1**, **Figure S4**). These experiments showed that heating at 100 °C was essential for good conversions, compared to 20 and 60 °C. 0.2

equivalents of TBD per ester led to higher conversion than 0.1 equivalents but no benefits were achieved at higher loadings. A 17 h reaction time led to full conversion in the case of **PBnG**. In addition, while 1.5 equiv. of benzyl alcohol per pendent ester was sufficient for >95% transesterification of PMA with benzyl alcohol using TBD,⁴⁹ a higher stoichiometric excess was required for PEtG. This may be due to the lower reactivity of the ethyl esters or due to the different polymer backbones. A typical transesterification reaction involved combining a PEtG with an alcohol (10 equiv.) and catalytic TBD (0.2 equiv.) in dry toluene. The resulting solutions were then heated at 100 °C for 17 h. After cooling to 20 °C, the solutions were diluted with CH₂Cl₂ and washed with an HCl solution to remove TBD. Depending on the solubility of the alcohols and resulting PGs, pure PGs were isolated by dissolving the residues in CH₂Cl₂ and either precipitating the polymers from pentane, or alternatively *via* dialysis against acetone.

To study the structure-reactivity relationships of alcohols in the transesterification of PEtG, **PEtG**_{uv} was subjected to the transesterification with different alcohols, including *n*-propanol, *i*propanol, *n*-butanol, *t*-butanol, *n*-pentanol, *n*-hexanol, *n*-octanol, and benzyl alcohol (**Scheme 2b**, **Table 1**). **PnPrG**, **PiPrG**, **PnBuG**, **PPenG**, **PHexG**, **POctG**, and **PBnG** were isolated in yields of 61-70% and exhibited peaks associated with the newly introduced ester groups in their ¹H NMR spectra (**Figures S5–S11**). To calculate the conversion percentages, *CH* peaks from the polymer backbone were used as NMR handles and their integrals were fixed at 1.0 because their chemical shifts did not change by altering the pendent ester groups (**Figure 3**). With increasing the conversion, the integrations of *CH*₃ peaks corresponding to the ethyl ester groups (*ca.* 1.3 ppm) decreased. For most polymers (exceptions are **PiPrG** and **PBnG** as described **Figures 3c**, **S6**, **S11**) a new *CH*₃ peak appeared upfield (*ca.* 0.9 ppm) and its integration was used to calculate the conversion percentage using equation 1.



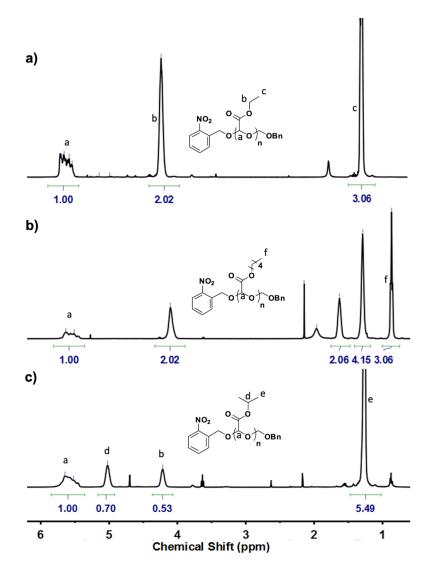


Figure 3. Overlay of NMR spectra of a) $PEtG_{uv}$; b) PnHexG; c) PiPrG showing how conversion could be calculated by fixing the backbone CH peak integral to 1.0 (labeled a), and then integrating the CH_3 peak from the resulting alkyl ester for PnHexG (labeled f). For PiPrG, due to overlap of the CH_3 peak in the starting material and the product (labeled e), the conversion was calculated based on the appearance of the new peak corresponding to the CH of the isopropyl ester (labeled d).

For the primary alcohols, conversions greater than 95%, were observed (**Table 1**). *i*-Propanol, a secondary alcohol, showed a 70% conversion and *t*-butanol did not react under the transesterification reaction conditions. These large differences in conversion revealed the significant impact of the steric bulk surrounding the hydroxyl group on its reactivity. After confirming the conversion by ¹H NMR spectroscopy, the resulting pure polymers were analyzed by SEC (**Figure 4a**, **Table 1**). All of the transesterified polymers had elution profiles very similar to that of the starting **PEtG**_{UV}. When combined with the SEC results for the transesterification reaction with ethanol (**Figure 2c**), these results suggest that their macromolecular structures were not substantially altered during the reaction and purification steps.

Polymer	Conversion	Mn	Mw	D^b	T_g	To
	(%) ^a	(kg/mol) ^b	(kg/mol) ^b		(°C) ^d	(°C) ^e
PEtGcarbonate	-	27.6	35.6	1.29	_4	211
PEtG _{Ether}	-	14.0	18.1	1.29	-10	224
PEtG _{UV}	-	24.1	29.9	1.24	-12	223
PnPrG	>95	23.0	35.8	1.56	-21	121
P <i>i</i> PrG	70	15.8	28.0	1.77	3	136
PnBuG	>95	22.6	35.0	1.55	-33	162
PtBuG	No reaction	-	-	-	-	-

Table 1. SEC characterization data and reaction conversions for PGs.

PPenG	>95	22.6	33.2	1.46	-41	158
PHexG	>95	26.4	44.1	1.67	-46	169
POctG	>95	28.9	54.1	1.87	-47	158
PBnG	>95	18.8	29.4	1.57	2	142
PAllG	25	20.2	31.3	1.55	_7	168
PFuG	15	21.7	35.8	1.65	4	169
PPG	27	20.2	33.4	1.65	2	166
PPG _{pyr}	100 ^c	10.6	35.2	3.31	N/A ^f	N/A ^f

^{*a*}Calculated using ¹H NMR spectra of the purified PGs. ^{*b*}Obtained in THF using calibration vs. PMMA standards. ^{*c*}Relative to the parent **PPG**. ^{*d*}Calculated using DSC. ^{*e*}Calculated using TGA. ^{*f*}Not measured.

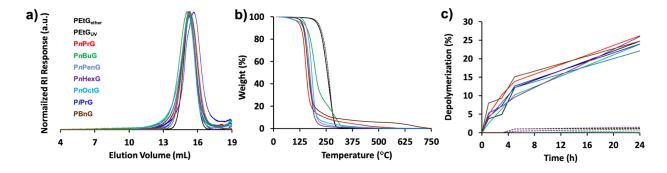


Figure 4. a) Size exclusion chromatograms for **PEtG**_{UV}, **PnPrG**, **PiPrG**, **PnBuG**, **PnPenG**, **PnHexG**, **PnOctG**, and **PBnG**; b) Thermogravimetric analyses for the same polymers and in addition **PEtG**_{ether} (dashed black line); c) Depolymerization of polymers dissolved in 9/1 CD₃CN/D₂O, then either irradiated with UV-light (solid lines) or kept in dark (dashed lines), and

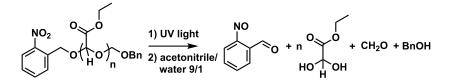
monitored by ¹H NMR spectroscopy. Note: depolymerization% was calculated relative to the depolymerization amount observed for the parent $PEtG_{UV}$ in 24 h.

As the polyglyoxylates prepared in this study were all new in terms of the pendent groups and/or end-caps, they were analyzed using DSC and TGA to investigate their structure-property relationships. TGA showed that PEtG_{UV} and PEtG_{ether} had very similar onset of decomposition temperatures (T_o) of about 224 °C (**Table 1**, Figure 4b), ~13 °C higher than that of PEtG_{carbonate}. This difference can likely be attributed to the higher thermal stability of ether end-caps compared to the carbonate end-caps. The new polyglyoxylate derivatives exhibited lower T_o values, ca. 150 °C (Figure 4b). PEtG_{UV} that was transesterified with ethanol under the same reaction conditions to regenerate \mathbf{PEtG}_{UV} was also found to have a lower T_o (Figure S17). Although no significant impurities were observed in the NMR spectrum of the polymer, one possible explanation is that trace impurities such as TBD or HCl from the workup step may lead to reduced thermal stability. Polyphthalaldehydes are known to be highly sensitive to trace impurities.⁵⁴⁻⁵⁵ Another possibility is that the presence of trace water in the transesterification led to trace hydrolysis of the pendent esters, resulting in pendent carboxylic acids. These carboxylic acids may intramolecularly catalyze the cleavage of backbone acetals, as proposed by Belloncle *et al.* in the degradation mechanism of PEtG with non-triggerable end-caps.³⁹ Most of the alcohols in this study were relatively dry as received from suppliers and were used without drying. However, when wet ethanol was used in initial attempts to regenerate PEtG_{UV} by transesterification, the polymer completely depolymerized during the reaction. These results highlight the susceptibility of hydrolyzed PEtG to depolymerization. Thus, the thermal stabilities of the derivatives can likely be enhanced on a polymer-by-polymer basis through further purification and/or more rigorous exclusion of water from the reaction.

DSC revealed that the glass transition temperatures (T_g) of the polyglyoxylates derived from the linear alcohols decreased with increasing number of carbons of the parent alcohol, *i.e.*, from -4 to -47 °C (**Table 1**, **Figures S20–S26**). This result was expected as the longer aliphatic chains would allow for increased segmental motion. In contrast, polyglyoxylates derived from non-linear alcohols exhibited higher T_g s, *i.e.*, 3 and 2 °C for **PiPrG** and **PBnG** respectively, as the rigidity and steric hindrance of the pendent groups can inhibit segmental motion. The recorded T_g for **PnBuG** (-33 °C) was quite consistent with what was previously reported, *i.e.*, $T_g = -30$ °C, for poly(butyl glyoxylate) homopolymer which was directly synthesized from the monomer and capped with 6-nitroveratryl carbonate.²⁰ As the only reported example of poly(benzyl glyoxylate) homopolymer had a very low molar mass (*i.e.*, $M_n = 2.1$ kg/mol) and thermal properties of oligomers and polymers are typically different, a similar comparison was not possible for **PBnG**.

Depolymerization studies. **PEtG**_{UV} was expected to be responsive to UV light (**Scheme 3**). The backbone of PEtG has previously been shown to be stable to irradiation with UV light.^{20, 25} To assess whether **PEtG**_{UV} and the resulting PGs showed UV-responsive depolymerization behaviour due to end-cap cleavage, ¹H NMR depolymerization studies were carried out, except for **POctG** due to its poor solubility under the depolymerization conditions. The PGs were dissolved in a 9/1 mixture of CD₃CN/D₂O then split into 2 samples. One sample was irradiated for 30 minutes with a UV light and the other one was kept in dark (control sample). Over 24 h, the samples were analyzed by ¹H NMR spectroscopy, at different time intervals (**Figures 4c** and **S32–S45**). The depolymerization was quantified based on the relative integrations of the peak corresponding to the backbone methine *CH*, at *ca*. 5.6 ppm, and the peak corresponding to the methine *CH* group of the depolymerization product (corresponding glyoxylate hydrate), at *ca*. 5 ppm over 24 h

(Figure S33). All of the irradiated polymers depolymerized at similar rates to one another and to the same extent as $PEtG_{UV}$. In contrast, the non-irradiated (control) samples showed negligible depolymerization amounts (<2%). These results showed that the TBD catalyzed transesterification reaction did not alter the structure of the end-cap, allowing the stimuli-responsive properties to be retained. In addition, the depolymerization rates were determined by the nature of the backbone/end-group and not the pendent groups.



Scheme 3. UV-light triggered depolymerization of PEtGuv.

Synthesis and characterization of polyglyoxylates with functional groups. To further demonstrate the versatility of the transesterification method for PEtG, we employed several functional alcohols including allyl, propargyl and furfuryl alcohol (**Scheme 2**), which gave PGs suitable for click chemistry and applications such as labeling, sensing, and network formation. We only employed 0.5 equivalents of the corresponding alcohols as typically for such applications a small percentage of functional groups is sufficient. This method gave the targeted partially-converted PAIIG, PPG, and PFuG with 25, 27, and 15% conversion respectively based on the integrations of peaks corresponding to the new functional groups in the ¹H NMR spectra (**Table 1, Figures S12-S14**). SEC analysis showed that the polymeric structures were retained with similar retention times to **PEtG**_{UV} (**Table 1, Figure S18**). Like for the PGs functionalized with pendent alkyl and benzyl esters, TGA studies showed that **PAIIG**, **PPG**, and **PFuG** had *T*_o values lower than that of the starting **PEtG**_{UV} (**Figure S19**). DSC thermograms demonstrated that the *T*_gs of

these random copolymers were slightly higher than that of the parent $PEtG_{UV}$ probably due to the higher rigidity of the newly introduced ester groups compared to the original ethyl ester groups (Table 1, Figures S29-S31).

The utility of the functional handles was also demonstrated. We chose **PPG** as a precursor and conducted a copper assisted azide-alkyne click (CuACC) reaction to install pyrene as a fluorescent group. For the click reaction, **PPG** was combined with CuSO₄, sodium ascorbate, and an excess amount of 1-(azidomethyl)pyrene (**Py-N₃**), in dry DMF (**Scheme 4**). The crude product was then dialyzed to remove excess pyrene and residual copper species. In the ¹H NMR spectrum of pure **PPG**_{pyr}, the aromatic protons of pyrene gave rise to a broad peak at *ca*. 7.9 ppm in addition to its methylene peak which appeared at *ca*. 5.1 ppm (**Figure S15**). This conversion was also confirmed by FTIR spectroscopy as the alkyne groups of **PPG** led to an alkyne C-H absorption band at 3300 cm⁻¹ (**Figure 5a**). This band was absent in the FTIR spectrum of **PPG**_{pyr} (**Figure 5a**). Furthermore, a strong peak due to aromatic C-H stretching at 3040 cm⁻¹ appeared in the spectrum of **PPG**_{pyr}. SEC confirmed that **PPG**_{pyr} still retained a polymeric structure but its SEC trace was skewed towards low elution-volumes, likely due to its poor solubility in THF (**Figure 5b**). Strong interactions between the pendent pyrene groups may limit the solubility.



Scheme 4. Click reaction of PPG with Pyr-N₃.

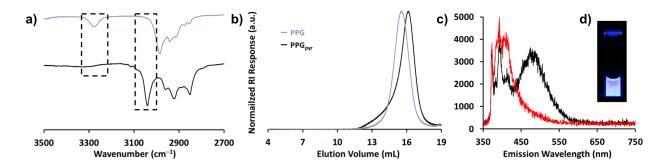


Figure 5. a) Alkyne region of the FTIR spectra recorded for **PPG** and **PPG**_{pyr} showing disappearance of the alkyne C-H stretch and appearance of a new peak corresponding to aromatic C-H stretching; b) SEC traces for **PPG** and **PPG**_{pyr}; c) Emission spectra of **PPG**_{pyr} before (black line) and after (red line) UV-light irradiation recorded in MeCN/H₂O: 9/1; d) **PPG**_{pyr} sample used for emission spectroscopy.

Pyrene is sensitive to its local environment. In particular, when pyrene units are bound in a close proximity, excited state dimers, referred to as excimers can form.⁵⁶ A pyrene excimer emits at *ca*. 475 nm, while a single pyrene ("locally excited" or monomer) emits with two maxima at *ca*. 360 and 375 nm.⁵⁷ Taking advantage of this phenomenon, we decided to test the potential for **PPG**_{pyr} serve as a sensor. It was expected that in dilute solution, pyrene excimers could be formed in the polymeric state, whereas depolymerization should lead to disappearance of these excimers. Thus, the conversion of pyrene excimers to monomer, detected by fluorescence spectroscopy, could in principle be used to "sense" end-cap cleavage and depolymerization. A dilute solution $(3.6 \times 10^{-4} \text{ M pyrene moieties in CH_3CN/H_2O: 9/1)$ of **PPG**_{pyr} was prepared and degassed, and then its emission spectrum was obtained using an excitation wavelength of 341 nm. A broad peak at 475 nm confirmed that the pyrene groups of **PPG**_{pyr} were preferentially in an excimer form as the integrity of **PPG**_{pyr} kept them in close proximity, although the overall concentration of **PPG**_{pyr} was very low (**Figure 5c**). The sample was then irradiated with UV-light and allowed to depolymerize for 24 h. The sample was again excited at 341 nm to obtain an emission spectrum, which showed a dramatic decrease in the integration of the excimer peak while the released pyrene units gave rise to peaks at 360 and 375 nm. This example suggests the capability of PPG_{pyr} to serve as a sensor using the end-cap as a trigger and highlights the fact that many polyglyoxylates with functional groups, such as drug or dye moieties, which can't be directly synthesized from a glyoxylate monomer or tolerate the transesterification reaction, can be accessible using our strategy.

CONCLUSIONS

PEtG_{carbonate} depolymerized upon heating in toluene with TBD at 100 °C. However, **PEtG**_{ether} and **PEtG**_{UV} with ether end-caps were stable to these TBD-mediated transesterification conditions. Transesterification reactions of **PEtG**_{UV} with *n*-propanol, *n*-butanol, *n*-pentanol, *n*-hexanol, *n*-octanol, provided the corresponding polyglyoxylates with conversions > 95%. Secondary alcohols and tertiary alcohols including *i*-propanol and *t*-butanol resulted in lower or no conversion due to steric hindrance. ¹H NMR spectroscopy confirmed the structures of the new polyglyoxylates and SEC showed that the macromolecular nature of the parent **PEtG**_{UV} was preserved in all of the products. Depolymerization studies revealed that all polyglyoxylates retained the self-immolative behavior of **PEtG**_{UV} and changing the pendent ester groups did not have a noticeable effect on the depolymerization. TGA showed that the transesterification products had somewhat lower thermal stability than the starting polymer, likely due to trace impurities from the reaction or workup procedures or due to trace hydrolysis generating pendent carboxylic acids. This issue can likely be addressed through rigorous exclusion of water from the transesterification reaction and/or further purification of the polymers. In addition, DSC demonstrated that changing the pendent groups

resulted in changes in the thermal properties as polyglyoxylates with T_g s ranging from -47 to 3 °C for the different derivatives. To show that the number of polyglyoxylates accessible *via* the transesterification strategy can go beyond what is shown in this study, allyl, propargyl and furfuryl alcohols were used to prepare derivatives suitable for click chemistry. The CuAAC reaction of **PPG** with **Pyr-N₃** gave **PPG**_{pyr} with potential for sensing applications, as successfully confirmed *via* fluorescence emission spectroscopy.

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SUPPORTING INFORMATION

NMR and FTIR spectra, additional SEC and TGA data, DSC thermograms, NMR data from degradation studies.

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32

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34