

1 Prokaryotic diversity in stream sediments affected by acid mine drainage

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12 13 14 15 **Abstract**

16 The microbial communities in mining impacted areas rely on a variety of mechanisms to
17 survive in such extreme environments. In this work, a meta-taxonomic approach using 16S
18 rRNA gene sequences was used to investigate the prokaryotic diversity of sediment samples
19 from water bodies affected by acid mine drainage at the São Domingos mining area in the
20 south of Portugal. Samples were collected in summer and winter from the most contaminated
21 sites from where the water flows downstream to the freshwater of Chança's river reservoir.
22 The prokaryotic diversity on water bodies' sediments allowed us to distinguish the highly
23 contaminated sites (pH \approx 2) from sites with intermediate levels of contamination (pH \approx 3 to
24 6.5), and from sites without contamination (pH \approx 7.5). The abundances of acidophiles of
25 genera *Acidiphilium*, *Acidibacter*, *Acidobacterium* and *Acidocella* in the sediments were
26 correlated with the level of acid mine drainage contamination. The two first genera were
27 among the 30 most abundant prokaryotes in all contaminated samples, including one (SS2w)
28 where the contamination was very diluted, thereby emphasizing the impact that such type of
29 pollution can have in the microbial communities of sediments. In addition, the high
30 abundances of archaeal taxa from class *Thermoplasmata* and of bacteria from family RCP1-48
31 in the sediments from the most contaminated site corroborate their importance in such
32 ecosystems and a putative role in the generation of acid mine drainage.

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35 **Key words:** acid mine drainage, metal contamination, microbiome, sediments.

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1. Introduction

The exploration of minerals has been for many centuries one of the most important human activities in the world. However, this mineral activity can become a source of contamination, causing major environmental problems such as the generation acid mine drainage (AMD). Also known as acid rock drainage, AMD is a strong acidic wastewater with high concentrations of sulphates, metals and metalloids which can contaminate ground and watercourses, damaging the health of aquatic species, plants, wildlife and humans (Johnson 2003; Simate and Ndlovu 2014). The main cause of AMD is the oxidation of sulphide minerals (mainly pyrite) resulting from their exposure to oxygen, water and microorganisms, which may occur naturally but is usually accelerated by mining activities that increase the exposure of those minerals (Johnson 2003; Johnson and Hallberg 2005; Egiebor and Oni 2007).

São Domingos mine is one of the most important inactive mines in Portugal. It is located in the Beja district of Lower Alentejo region of southern Portugal (37°40'08"N 007°29'38"W) in the Iberian Pyrite Belt, which is one of the largest metallogenetic provinces of volcanogenic massive sulphides in the world (Álvarez-Valero et al. 2008; Alvarenga et al. 2012). Massive pyrite, an iron sulfide (FeS_2), was the main mineral ore extracted in the mine, but extraction also included ores associated to copper (Cu), aluminium (Al), arsenic (As), lead (Pb), antimony (Sb), zinc (Zn) and mercury (Hg) (Tavares et al. 2008). This mine was originally exploited during the Roman and Islamic occupations of the Iberian Peninsula, but from 1857 to 1966 it was intensively active. However, since the mining activity ended (1966) the facilities were abandoned and the mining area has not been properly protected or monitored. Intensive mining activity has produced considerable amounts of residues, and consequentially, a serious environmental deterioration of the local environment (Alvarenga et al. 2012; Dias-Sardinha et al. 2013). A highly acidic water stream is present and contaminates downstream water bodies in the area. It flows from the open pit zone and passes through a large area of mining debris until it joins the Mosteirão stream and then meets with freshwater from Chança's reservoir (Ettamimi et al. 2019).

Several studies have determined the concentrations of toxic trace elements (As, Sb, Pb, Cu and Zn) in sediment, water and plants in the São Domingos area in order to evaluate the environmental impact of the mine (Alvarenga et al. 2012). The highest metal concentrations, especially of Al, Fe, Cu, Zn and Mn, together with the lowest pH values (pH = 2.3–3.1) were documented during the dry season in water bodies samples close to the mine

1 area (e.g. Ettamimi et al. 2019). Recently the prokaryotic communities of water bodies
2 contaminated by AMD at the São Domingos mining area were studied through a meta-
3 taxonomic analysis (Ettamimi et al. 2019). In the study it was shown that the most
4 contaminated sampling sites (pH = 2.3–3.1) were distinguished by a lower prokaryotic
5 diversity and a high abundance of acidophiles, while in the transition zone at the mouth of the
6 contaminated water flow into the Chança's reservoir (pH = 6.4), a specific prokaryotic
7 community exists with some acidophiles, but notably with a cyanobacteria bloom and a high
8 abundance of the genus *Sediminibacterium* (Ettamimi et al. 2019). The application of meta-
9 taxonomic methods based on a total extraction of DNA directly from environmental samples
10 enables access to the unknown portion of the microbial communities that remain inaccessible
11 when using classical methods of cultivation and identification (Jovel et al. 2016).

12 The main objective of this work was to study the prokaryotic communities in the
13 sediments of water bodies from the São Domingos mining area through a meta-taxonomic
14 approach using 16S rRNA gene amplicons. In addition, the correlation between the most
15 abundant prokaryotes of the studied sediments and the physicochemical characteristics of the
16 waters collected above them was analyzed to assess the effects of AMD pollution on the
17 prokaryotic diversity of water bodies' sediments.

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20 2. Material and methods

21 a. Sample collection and processing

22 Sediment samples from the superficial layer (2-10 cm deep) were collected using sterile falcon
23 tubes (15 mL volume) at a water depth of about 0.5 m (measured with a 0.5m plastic stick) at
24 different sites in the São Domingos mining area. The tube was manually (with sterile vinyl
25 gloves on) submerged with the opening facing down to minimize entry of water and
26 completely buried in the sediments together with the index finger, which was used to
27 immediately cover the opening (still in the sediments). Then the tube filled with sediments
28 and covered with the finger was removed from the water and closed with a cap.
29 Approximately 15g of sediments from each site were collected, then immediately (~2 hours)
30 transported on ice to the laboratory and kept frozen at -20°C until processing for DNA
31 extraction.

1 Each site was selected based on its distance to the pit lake and surrounding mine-waste
2 piles, which are the main source of AMD contamination (Fig. ESM-1). Longitude and latitude
3 for each site were defined using a Map 330 GPS. The sampling sites and sampled sediments
4 designations, each site local name and coordinates, and their brief descriptions are
5 summarized in Table 1. Site 0, located on the Mosteirão stream before it merges with the São
6 Domingos stream was selected as a control sample. Site 2, located at the Chança reservoir,
7 was chosen as it is likely a site where the AMD contamination is very low. Site 5 was selected
8 due to its location in the area called “Telheiro” in the confluence of the contaminated São
9 Domingos stream and the non-contaminated Mosteirão stream, while sites 3 and 6 were
10 selected due to their locations downstream and upstream to the confluence point,
11 respectively. Site 7, a small dam at São Domingos stream located downstream the dam called
12 “Tapadinha”, is highly impacted by AMD. Sampling was performed during winter and summer
13 seasons, in February and September 2017, respectively, aiming to study the impact of seasonal
14 factors on prokaryotic diversity of the sediment samples. However, the sites S0, S5 and S6
15 were dry in summer and, thus, were not sampled. That year (of extreme drought) it rained
16 truly little in the south of Portugal, even in winter. The streams of the São Domingos mining
17 region dried up completely in the summer; there was water only in the rivers and dams.
18 Therefore, the samples taken in the summer for this study are few, but they represent what
19 existed. This was not totally unexpected since the climate in this region is semiarid
20 mesothermic with hot and dry seasons extended throughout a long part of the year (Quental
21 et al. 2011). Regarding the outsider control, since the supply of AMD to the Chança reservoir
22 was interrupted due to drought, the sediment sample collected in that reservoir in the
23 summer (SS2s) ended up serving that purpose.

24 It is known that both the water quality and the sediments properties have influence on
25 the species composition, diversity, and abundance of sediment microbial communities in
26 aquatic systems (e.g. Freixa et al. 2016; Jiang et al. 2017). Surface waters sampled at all sites
27 just before sampling the sediments were previously analyzed to evaluate the level of AMD
28 contamination and prokaryotic diversity in the water (Ettamimi et al. 2019). The focus of this
29 study is to evaluate the impact of different levels of AMD pollution in waterbodies (water
30 quality factors) on the prokaryotic communities present in the surface layers of their
31 sediments. Therefore, the characterization of the surface waters previously carried out by
32 Ettamimi et al. (2019) at the sampling sites (Table 2) is used to evaluate putative correlations

1 between the physicochemical characteristics of those waters and the prokaryotic
2 communities on the superficial layer of sediments sampled.

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4 b. DNA extraction

5 A sediment sample from each sampling site was homogenized and subjected to DNA
6 extraction using the PowerSoil DNA Isolation Kit (MO BIO) as described in the protocol. The
7 concentration and quality of eluted DNA was determined using a spectrophotometer
8 (NanoDrop3300, Thermo Fisher Scientific, USA).

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10 c. 16S rRNA amplicon library preparation and sequencing

11 Purified DNA extracted was used for single-end library construction based on an Illumina
12 protocol (Illumina, 2015). The V4 regions of the 16S rRNA genes were amplified by polymerase
13 chain reaction (PCR) using (515F) and (806R) primers (5'-GTGCCAGCMGCCGCGGTAA and 5'-
14 GGACTACHVGGGTWTCTAAT). The forward and reverse primers were designed specifically for
15 prokaryotic 16S gene V4 region (Caporaso et al. 2011). PCR reactions of 25 µL were performed
16 with up to 10 ng of extracted DNA as template, 400 nM of each forward and reverse primer,
17 100 µM of each dNTP, 1.5 mM of MgSO₄ and 1 U of Platinum®Taq DNA polymerase HF, in 1X
18 Platinum® High Fidelity buffer (Thermo Fisher Scientific, USA). PCR cycling was conducted
19 under the following program: initial denaturation at 95°C for 2 min, 35 cycles of amplification
20 (95°C for 20 s, 50°C for 30 s, 72°C for 60 s) and a final elongation at 72°C for 5 min. Duplicate
21 PCR reactions were performed for each sample and the duplicates were pooled after PCR. The
22 amplicon libraries were purified using the standard protocol for Agencourt Ampure XP Bead
23 (Beckman Coulter, USA) with a modified bead to sample ratio of 4:5, then eluted in 33 µL of
24 nuclease-free water (Qiagen, Germany) and their DNA concentration measured using Qubit™
25 HS DNA Assay kit (Thermo Fisher Scientific, USA). Sequencing was performed by DNASense
26 Company (Aalborg, Denmark). The sequencing libraries were prepared from the purified
27 amplicon libraries using a second PCR. Each PCR reaction (25 µL) contained 1x PCR BIO HiFi
28 buffer (PCRBiosystems, UK), PCR BIO HiFi Polymerase (1U) (PCRBiosystems, UK), adaptor mix
29 (400 nM of each forward and reverse) and up to 10 ng of amplicon library template. PCR was
30 run with following program: initial denaturation at 95°C for 2 min, 8 cycles of amplification
31 (95°C for 20 s, 55°C for 30 s, 72°C for 60 s) and a final elongation at 72°C for 5 min. The resulting
32 sequencing libraries were purified, eluted and quantified as described before for the amplicon

1 libraries. Finally, the purified sequencing libraries were pooled in equimolar concentrations
2 and diluted to 2 nM, and the samples were sequenced (301bp) on a MiSeq (Illumina) using a
3 MiSeq Reagent kit v3 (Illumina, USA) following the standard guidelines for preparing and
4 loading samples on the MiSeq. The Phix control library was used as an in-run control (20%
5 spiked-in) for run quality monitoring to overcome the issue of low complexity that is often
6 observed with amplicon samples. The sequences obtained in this work have been submitted
7 to the Sequences Read Archive SRA database with accession number: PRJNA593038.

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9 d. Bioinformatics and statistical analysis

10 Initial processing included screening and removing short and low-quality reads using the
11 FastQC tool (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc>) and trimming the
12 sequences to 225 bp using Trimmomatic (Bolger et al. 2014). The reads were de-replicated
13 and formatted using the UPARSE workflow (Edgar 2013), then the de-replicated reads were
14 clustered into operational taxonomic units (OTUs) using the “cluster_otus” command of
15 USEARCH (vers. 7.0.1090; Edgar 2013) with default settings. The OTU abundances were
16 estimated for a 97% sequence identity using the “usearch_global” command with parameter-
17 id 0.97. The taxonomic classifications of OTUs were assigned using the RDP classifier (vers. 11;
18 Wang et al. 2007) as implemented in the parallel_assign_taxonomy_rdp.py script in QIIME
19 (vers. 1.7.0; Caporaso et al. 2010), using the MiDAS database (vers.2.1.2; Mcilroy et al. 2017)
20 and the results were analyzed in R (vers. 1.0.153; R Core Team 2017) through the RStudio IDE
21 using the “ampvis” package (vers. 2.2.6; Albertsen et al. 2015). The prokaryotic diversity was
22 studied using Shannon and Simpson diversity indexes, which are both calculated using the
23 number of OTUs and respective abundances, thus considering the richness and evenness of
24 OTUs. The former is more influenced by species richness and by rare species, while the second
25 gives more weight to evenness and common species (Shannon 1948; Simpson 1949;
26 Spellerberg and Fedor 2003; <https://www.davidzeleny.net/anadat-r/doku.php/en:div-ind>).
27 These indexes were calculated using raw OTU tables and normalized OTUs tables since
28 normalization (number of reads in each sample randomly equalized) in some datasets can
29 distort alpha diversity comparisons. The relationships between samples studied using
30 principal components analysis (PCA) in the “ampvis” package were based on OTU abundances
31 higher than 0.1%, with data plotting focused on the two first principal components. In

1 addition, Shannon and Simpson diversity indexes were calculated with raw OTUs tables and
2 with normalized OTUs tables, using the R package “RAM” (Chen et al. 2018).

3 4 **3. Results and discussion**

5 a. Prokaryotic community richness and evenness

6 A total of 244,261 single-end Illumina reads (225 bp after quality control) of the 16S rRNA
7 gene’s V4 region were obtained from sediment samples of the São Domingos mine. **Table**
8 **ESM-1 in the Online Resource** shows the number of reads and OTUs obtained in each sediment
9 sample.

10 Both the Shannon (Sh.) and Simpson (Si.) diversity indexes, calculated using raw or
11 normalized OTU tables, revealed similar results (**Table 3**). These indexes have greater values
12 in all sampled sediments (Sh. = 2.753 to 6.311; Si. = 0.839 to 0.996), comparing with those in
13 the waters sampled above them (Sh. = 2.112 to 4.673; Si. = 0.823 to 0.977) previously analyzed
14 by Ettamimi et al. (2019). The most probable cause for this higher prokaryotic diversity in the
15 sediments than in the waters above them is that in the water the physicochemical conditions
16 are homogeneous, while in the sediments micro-niches can be generated with different
17 physicochemical conditions, favoring optimal conditions for more diverse microbes. Indeed,
18 differentiated micro-layers of minerals in the bed of waterbodies and the accumulation of
19 organics that fall to the bottom may cause the establishment of stratified microbial
20 communities in the sediments nearby the water-sediment interface due to stratified
21 physicochemical conditions (for example different layers of oxic conditions).

22 In the sediments, the indexes indicate a decreasing gradient of diversity from the lowest to
23 the highest AMD impacted waterbodies. Indeed, the indexes were much lower in the
24 sediments from the most contaminated site S7, the closest to the mine open pit and mine
25 debris (sample SS7w: Sh. = 4.415, Si. = 0.977 and sample SS7s: Sh. = 2.753, Si. = 0.839) than in
26 the sediments from sites with low or intermediate levels of AMD impact (samples SS2w, SS3w,
27 SS5w, SS6w, SS2s and SS3s: Sh. = 5.673 to 6.311, Si. = 0.989 to 0.996). Notably, the indexes in
28 the sediments from the reference water stream not affected by AMD (sample SS0w: Sh. =
29 4.988, Si. = 0.982) indicate a lower diversity compared to the sediments from sites with low
30 or intermediate AMD impact. These results indicate that the impact of AMD in water bodies
31 until a certain level causes a slight raise of prokaryotic richness and evenness in the sediments,

1 but after a certain degree of higher contamination it causes a clear decay of both these facets
2 of diversity.

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4 b. Prokaryotic community composition

5 **Figure 1** shows the relative abundances of dominant prokaryotic phyla ($\geq 1\%$ of the OTUs) in
6 each sediment sample as classified using the V4 region of the 16S rRNA gene sequences. The
7 20 different phyla with such high relative abundances in all sediments analyzed in this work
8 compared with the 11 phyla in the water samples previously studied (Ettamimi et al. 2019),
9 confirm the highest diversity in sediments revealed by the Shannon and Simpson indexes.
10 Comparing all sediments reveals a decreasing trend in the number of phyla from the non- or
11 less-contaminated sites to the highest contaminated sites (**Fig. 1**). At this high taxonomic level,
12 SS0w was the most diverse sediment sample with thirteen phyla detected plus the groups of
13 “others” and “unclassified”. Samples SS6w, SS7w and SS7s, from the highest contaminated
14 sites, were the least diverse with only 5 or 6 phyla identified plus the groups of “others” and
15 the “unclassified”. In these sediment samples the common most abundant phyla were
16 *Proteobacteria*, *Acidobacteria*, *Actinobacteria* and *Planctomycetes*. The first three are usually
17 also among the most abundant phyla in sediment samples from water bodies with similar
18 levels of AMD impact in other mining areas, and the last is referred just in some cases (Zhang
19 et al. 2019; Sanchez-Andrea et al. 2011; Mesa et al. 2017; Korzhenkov et al. 2019).
20 Apart from these four phyla, other two were highly represented just in sample SS6w:
21 *Bacteroidetes* and *Verrucomicrobia*; while another two were among the most abundant just
22 in sample SS7s: *Nitrospirae* and *Euryarchaeota*. Three of these phyla were also highly
23 represented in sediments from contaminated sites, depending on the studied mine; for
24 example: *Bacteroidetes* (Zhang et al. 2019), *Euryarchaeota* (Korzhenkov et al. 2019), and
25 *Nitrospirae* (Mesa et al. 2017). By contrast, *Verrucomicrobia* is usually detected with relatively
26 high abundances in freshwater reservoirs (eg. Llirós et al. 2014) and indeed, this phylum was
27 common in the reference samples of sediment or water from water bodies not contaminated
28 with AMD studied at the mining areas (eg. Ettamimi et al. 2019; Zhang et al. 2019). Thus, the
29 relatively high abundance of *Verrucomicrobia* in sample SS6w could be due to the merging of
30 uncontaminated water streams with the AMD flow that occurs in some points between the
31 mine and the sampling site S6.

1 The prokaryotic diversity was also studied at lower taxonomic levels for a better
2 understanding of the differences between taxonomic profiles on the sediments. Tables ESM-
3 2 and ESM-3 represent the 30 most abundant prokaryotes among all sites sampled in winter
4 and in summer. In winter, there were five taxa (first five entries of Table ESM-2) present in
5 relatively high abundances in the sediments of the highest contaminated site (SS7w), while
6 they were less abundant or nearly absent in samples with less AMD contamination (SS2w,
7 SS3w and SS5w) and absent in the sediments from the non-contaminated site (SS0w). In
8 contrast, the sediments from the water stream not affected by AMD had a clear and specific
9 taxonomic profile comparing to the other sediments with lower or higher AMD impact. Five
10 taxa (entries 16, 17, 18, 20 and 27 of Table ESM-2) were only present in sample SS0w, while
11 the other taxa (remaining entries of Table ESM-2) were mainly present in the sediments from
12 the sites with low or intermediate levels of AMD impact (SS2w, SS3w and SS5w). A similar
13 trend was even more evident in summer when eight taxa (the first eight entries of the Table
14 ESM-3) were present in the sediments of the highly contaminated site (SS7s) and absent in
15 the sediments of the other two sites (SS2s and SS3s) and the opposite was observed for the
16 other 22 taxa (remaining entries of Table ESM-3).

17 Taxa from *Acidibacter* genus and *Acidobacteriaceae* family (Subgroup 1), including
18 *Acidobacterium* genus, had relatively high abundances in the sediments from the most
19 contaminated site either in winter (SS7w) or in summer (SS7s), and were also among the 30
20 most abundant taxa in sediments with lower levels of AMD impact, but were not detected in
21 the sediments from the non-contaminated water stream, suggesting a strong influence of
22 AMD impact on the sediments' microbiomes even for sites with low contamination.
23 *Acidibacter* can be particularly interesting because it was among the most abundant taxa in
24 all sediments with AMD contamination, even when the contamination was so diluted (sample
25 SS2w) that could go unnoticed by quick on-site measurements of physicochemical
26 parameters.

27 Genera *Acidiphilium* and *Acidocella* were in evidence in the sediments from the most
28 contaminated site in winter; specially the first that was also among the most abundant
29 prokaryotes in all contaminated winter sediments, including sample SS2w. The genera
30 *Leptospirillum* and *Thermoplasma*, the families "RCP1-48" and *Ferroplasmaceae* and the order

1 “CPla – 3 termite group”, stood out in the sediments from the most contaminated site in
2 summer.

3 The genera *Acidibacter*, *Acidiphilium*, *Acidocella* and *Leptospirillum*, the *Acidobacteriaceae*
4 family (Subgroup 1) and the order “CPla-3 termite group” had also been detected among most
5 abundant taxa in the water samples collected at the same sites (Ettamimi et al. 2019). This
6 was not unexpected since the studied sediments are from the superficial layers, including the
7 sediment-water interface. Moreover, these taxa had already been identified in other acidic
8 and metallic environments impacted by AMD (Barns et al. 1999; Hao et al. 2007; González-
9 Toril et al. 2010; Ziegler et al. 2013; García-Moyano et al. 2015; Zhang et al. 2019).

10 The archaeal *Thermoplasma* genus (9.1%) and *Ferroplasmaceae* family (4.6%) as well as the
11 bacterial RCP1-48 family (36.4%) were detected among the most abundant taxa of
12 contaminated sediments, but not in the contaminated waters previously analysed by Ettamimi
13 et al. (2019). It is known that besides the importance of aquatic planktonic microorganisms,
14 the microbial communities in the sediments also play a key role in the nutrients balances and
15 cycling in aquatic ecosystems. Thus, these taxa may have important roles in the ecosystems
16 of waterbodies affected by AMD.

17 *Ferroplasmaceae* have been commonly found in acidic mine tailings and have been associated
18 to the AMD pollution (Ferrer et al. 2007; Chen et al. 2014). However, to our knowledge, it is
19 the first time that the genus *Thermoplasma* specifically has been associated with this type of
20 pollution. Both the genus *Thermoplasma* and the family *Ferroplasmaceae* are *Euryarchaeota*
21 from *Thermoplasmata* class, which are all acidophiles and most thermophilic (Garrity et al.
22 2001), thus it makes sense that they were in evidence in the most acidic site (pH 2.3) in
23 summer, when temperatures reach values over 40°C. Moreover, in a recent study at the Parys
24 Mountain copper mine (Anglesey, UK) with AMD characterized by extremely low pH (1.7) and
25 high concentrations of soluble metal cations, new clades of *Thermoplasmata* class with yet
26 unclear functional roles in the ecosystem had the highest abundances (Korzhenkov et al.
27 2019). Thus, it is becoming evident that several different taxa of this class can survive in waters
28 strongly affected by AMD, probably having an important role in such ecosystems.

29 The family RCP1-48 of the order *Acidithiobacillales*, has also been detected in the sediments
30 of water bodies affected by AMD at different mining areas, as for example the Rio Tinto

1 (Sánchez-Andrea et al. 2011) and the Los Ruedos mines (Mesa et al. 2017) of Spain. In fact,
2 this family of bacteria is among the responsible for the presumably predominant metabolisms
3 in the AMD microenvironments at Los Ruedos (Mendes-García et al. 2014) and it was
4 suggested that its detection in high proportions on biofilm interfaces and in the most
5 upstream acidic waters indicates they have roles in Fe/S oxidation, thus in the formation of
6 AMD (Mesa et al. 2017).

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8 c. Correlations between samples

9 The relationships between sediment samples in each season were analyzed using the Principal
10 Component Analysis (PCA) based on the relative abundances of OTUs (Fig. 2). The first two
11 principal components, which accounted for 30.36% and 28.28% of the total variation in winter
12 and 45.85% and 35% in summer, were calculated. Each point represents a sediment sample
13 with more adjacent samples having more similar prokaryotic communities. Clustering of
14 winter sediments based on the first two principal component separates them into three
15 clusters: one group of sediments from sites with low to moderate contamination (SS2w, SS3w,
16 SS5w and SS6w) which were separated from the non-contaminated sample SS0w, and from
17 the most contaminated sample (SS7w). A similar clustering is observed with sediments
18 collected in summer with samples SS2s and SS3s forming one group distinct from the most
19 contaminated sample.

20 The focus of the study is to evaluate the impact of different levels of AMD pollution in
21 waterbodies on the prokaryotic communities present in the surface layers of waterbodies'
22 sediments. Therefore, although the physicochemical characteristics of the water may differ
23 from those of the sediments, the correlation between the level of AMD pollution in the
24 waterbodies and the prokaryotic communities in the surface layer of their sediments can be
25 evaluated by comparing the PCA based on the waters' physicochemical characteristics (Fig.
26 ESM-2) with the PCA based on the relative abundances of OTUs in the sediments (Fig. 2). This
27 comparison reveals a distribution of sediment samples based on prokaryotic communities that
28 is congruent with the distribution based on the physicochemical characteristics of the
29 waterbodies from where the sediments were collected. This congruence suggests a strong
30 influence of AMD pollution on the prokaryotic communities of water bodies' sediments.

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d. Correlations between physicochemical characteristics of water bodies and most abundant prokaryotes in their sediments

To better understand the impact of AMD on the prokaryotic diversity of sediments, a Pearson correlation matrix was calculated between the relative abundances of the 30 most abundant taxa founded in the sediment samples and the physicochemical parameters of the water samples collected above them. These analyses were only performed for the winter season because in summer only three sites were sampled. On another hand, the temperature and dissolved oxygen (DO) parameters were excluded because they had little influence on the physicochemical discrimination of the water samples collected above the sediments (Ettamimi et al. 2019). The results are shown as clustering dendrograms and a heat map representing the “r” values of the correlation matrix (Fig. 3).

The dendrogram based on physicochemical parameters can be divided into two clusters: cluster-1, grouping the sulphate (SO_4^{2-}) and the metals (Cu, Al, Fe, Zn) concentrations together with the EC, and cluster-2, which has the pH together with the concentration of phosphate (PO_4^{3-}).

By contrast, the dendrogram based on the 30 most abundant prokaryotes has four major clusters. From the top to the bottom of the heat map. Cluster-1 groups the first five taxa which show a strong positive correlation with cluster-1 of physicochemical parameters (metals, sulphate, and EC) and a negative correlation with cluster-2 (more evident with pH than with PO_4^{3-}). Cluster-2 is formed with the next 11 taxa which are clearly negatively correlated with physicochemical parameters of cluster-1 and with a weak negative correlation (or without) with one parameter of cluster-2 (PO_4^{3-}), while being positively correlated with the other parameter of cluster-2 (pH). Cluster-3 groups taxa in lines 18-25 which have no, or very weak positive or negative correlations with the physicochemical parameters of cluster-1 and negative correlations with the physicochemical parameters of cluster-2 (strong with pH and low to moderate with PO_4^{3-}). Cluster-4 which include the last five taxa have moderate negative correlations with parameters of cluster-1 and positive correlations with the physicochemical parameters of cluster-2. In addition, the genus *Telmatobacter* revealed a dissimilar aspect: very weak or no correlations with all physicochemical parameters, probably due to its presence in relatively high abundances in just two sediment samples from water bodies with

1 highly distinct physicochemical characteristics: 2.4% in SS2, 0.6% in SS7 and $\leq 0.1\%$ in the
2 other. This genus has bacteria able to degrade plant-derived biopolymers under micro-oxic or
3 anoxic conditions (Pankratov et al. 2012) and therefore its abundance is more likely related to
4 the presence of degrading plant tissue in the sampled sediments than with the level of AMD
5 contamination. Nevertheless, this analysis allowed us to confirm the correlation between the
6 impact of AMD contamination in the water bodies' sediments and the taxa identified in Table
7 ESM-2. The abundancies of the first five most abundant taxa, namely, OTU_3 from class
8 *Betaproteobacteria* (which was not possible to assign to a lower taxonomic level) and
9 *Acidibacter*, *Acidobacterium*, *Acidocella*, and *Acidiphilium* genera, increase as the AMD
10 contamination raises. The latter four genera were also among the taxa previously identified
11 by Ettamimi et al. (2019) as being correlated with AMD contamination in the surface waters
12 from the same sites studied in this work.

13

14 **4. Conclusions**

15 As a first meta-taxonomic study of sediment prokaryotes from water bodies in the São
16 Domingos mining area, this work contributes to better understand the impact of AMD on
17 these ecosystems. It reveals that differences in the prokaryotic communities of the water
18 bodies' sediments can distinguish the sites that are highly contaminated with AMD (pH ≈ 2)
19 from sites with intermediate levels of contamination (pH ≈ 3 to 6.5) and from sites without
20 any AMD impact (pH ≈ 7.5). In addition, by comparison with a previous work, it is shown that
21 the prokaryotic diversity richness and evenness in the sediments of such sediments is higher
22 than in the waters above them. Finally, this work corroborates the importance of archaea from
23 class *Thermoplasmata* and bacteria from family RCP1-48 to aquatic ecosystems highly
24 affected by AMD and is one more evidence that they may have some role on the formation of
25 this type of pollution.

26

27 **5. References**

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30

1 **Captions**

2 **Figure 1.** Prokaryotic phyla with high relative abundances ($\geq 1\%$) in sediments from different sampling
3 sites at the São Domingos mining area during (a) the winter and (b) the summer seasons. “Others”
4 represents the sum of all taxa that scored relative abundancies below 1%.

5 **Figure 2.** Principal Component Analysis of taxonomic profiles with prokaryotic OTU abundances higher
6 than 0.1%, in sediment samples collected at São Domingos mining area in (a) winter and (b) summer
7 season.

8 **Figure 3.** Heat map and dendrograms showing correlations between physiochemical characteristics of
9 the sampled water bodies at São Domingos mining area in winter and the 30 most abundant
10 prokaryotic taxa in their sediments. Colors indicate R values correlations between physicochemical
11 parameters (columns) and bacterial taxa (rows).

12 **Table1.** Location and description of the São Domingos water bodies sampled sites, and designation of
13 sediments samples.

14 **Table 2.** Physicochemical characterization of waters above the sediments at sampled sites (adapted
15 from Ettamimi et al. 2019).

16 **Table 3.** Shannon and Simpson diversity indexes calculated for all sediments samples with (a) non-
17 normalized OTUs tables and (b) normalized OTUs tables, the pH of samples is also shown (in the first
18 row). Colour scales were obtained by conditional formatting each row independently using the Excel
19 software (Microsoft Office).

20 **Figure ESM-1.** Portuguese military map showing the São Domingos mining area and the sampling sites
21 (see coordinates in Table 1).

22 **Figure ESM-2.** Principal component analysis of water’s physicochemical characteristics at sampled
23 sites, using normalized data (adapted from Ettamimi et al. 2019).

1 **Table ESM-1.** Number of sequence reads and operational taxonomic units OTUs retrieved from each
2 sediment sample.

3 **Table ESM-2.** Relative abundances (%) of the 30 most abundant prokaryotes among all the sediment
4 samples collected at São Domingos mine during winter. The names are shown based on the phylum
5 and the genus assignment or the phylum and the lowest possible taxonomic assignment (class (c__),
6 order (o__) or family (f__)) together with the OTU number.

7 **Table ESM-3.** Relative abundances (%) of the 30 most abundant prokaryotes among all the sediment
8 samples collected at São Domingos mine during summer. The names are shown based on the phylum
9 and the genus assignment or the phylum and the lowest possible taxonomic assignment (class (c__),
10 order (o__) or family (f__)) together with the OTU number.

11

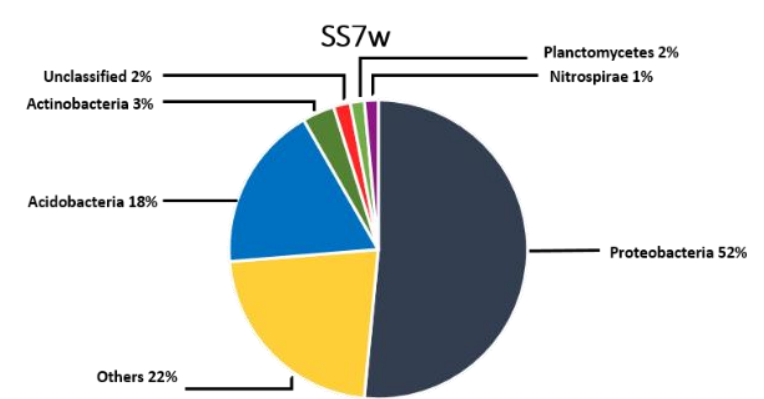
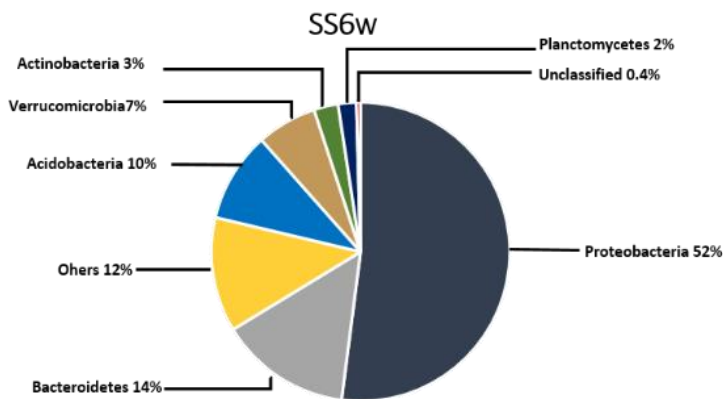
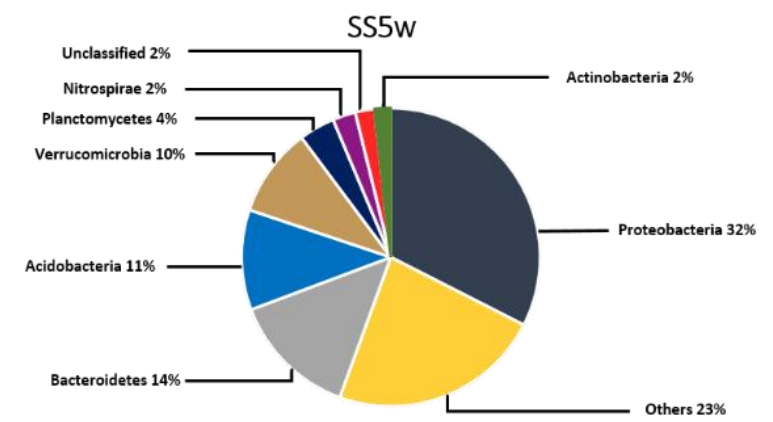
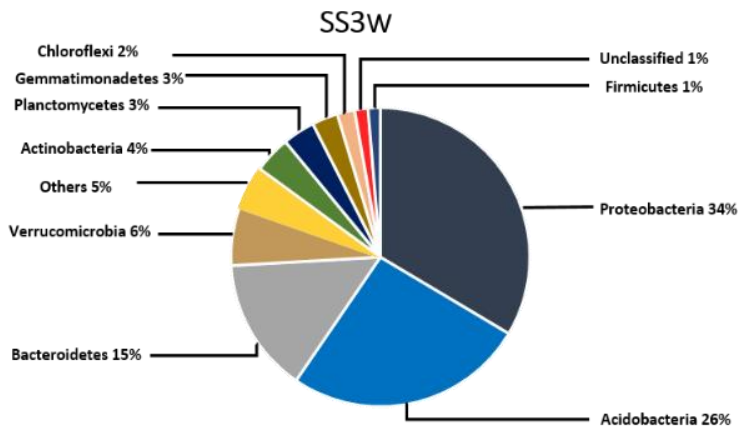
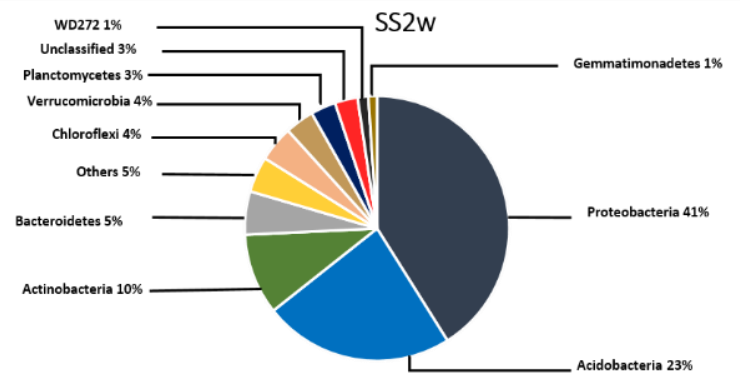
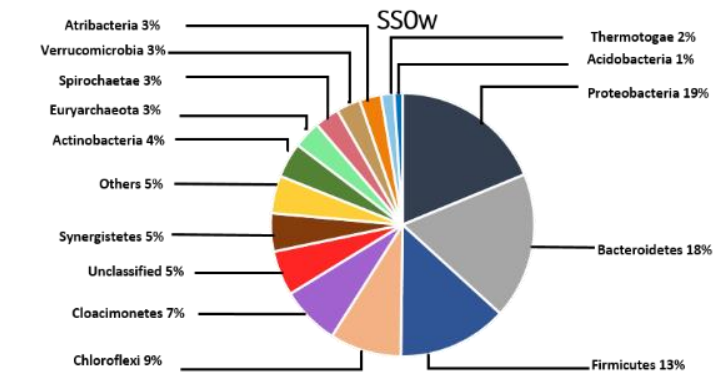


Fig. 1a

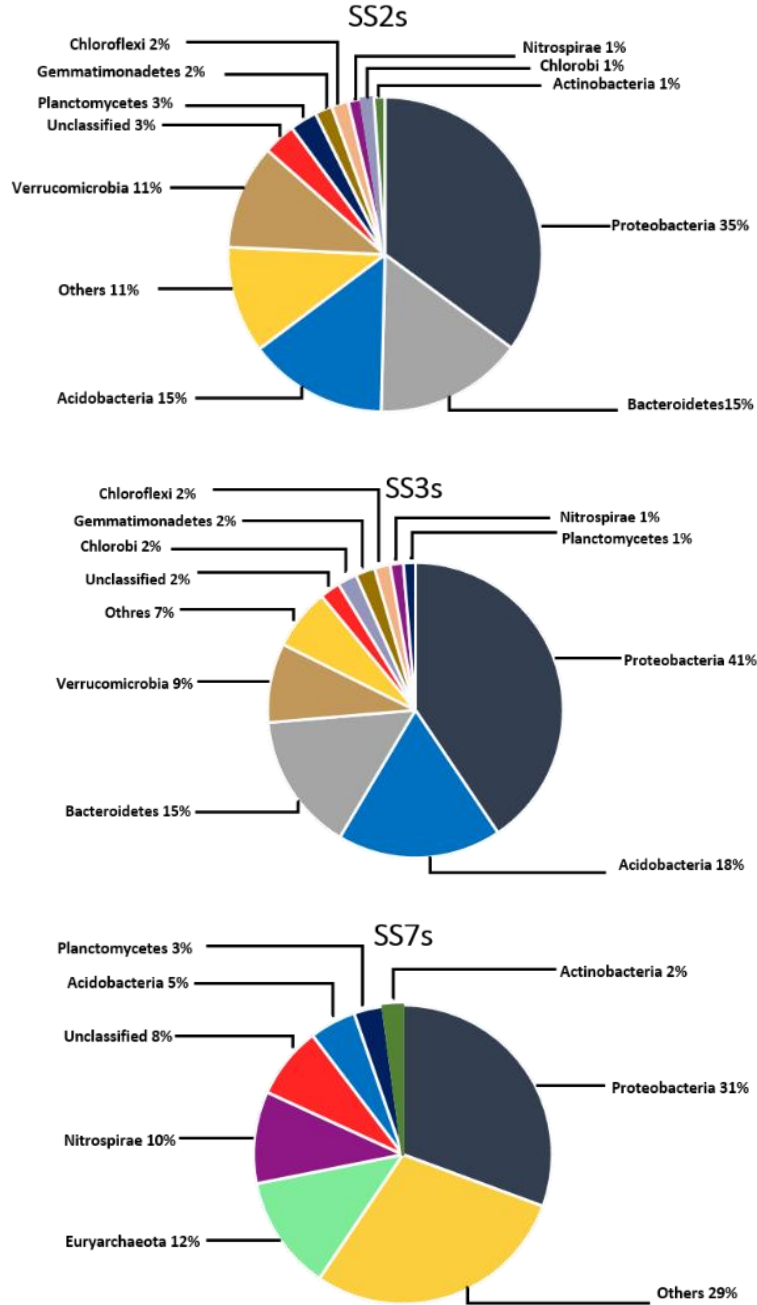


Fig. 1b

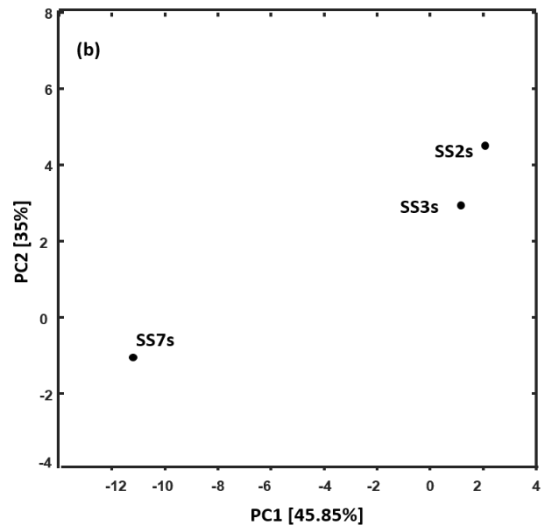
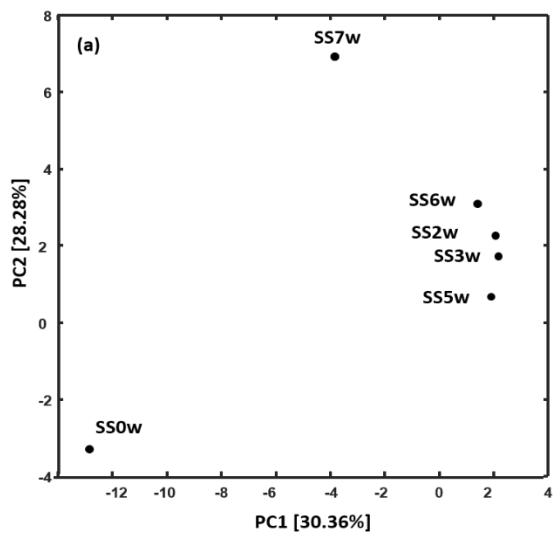


Fig. 2

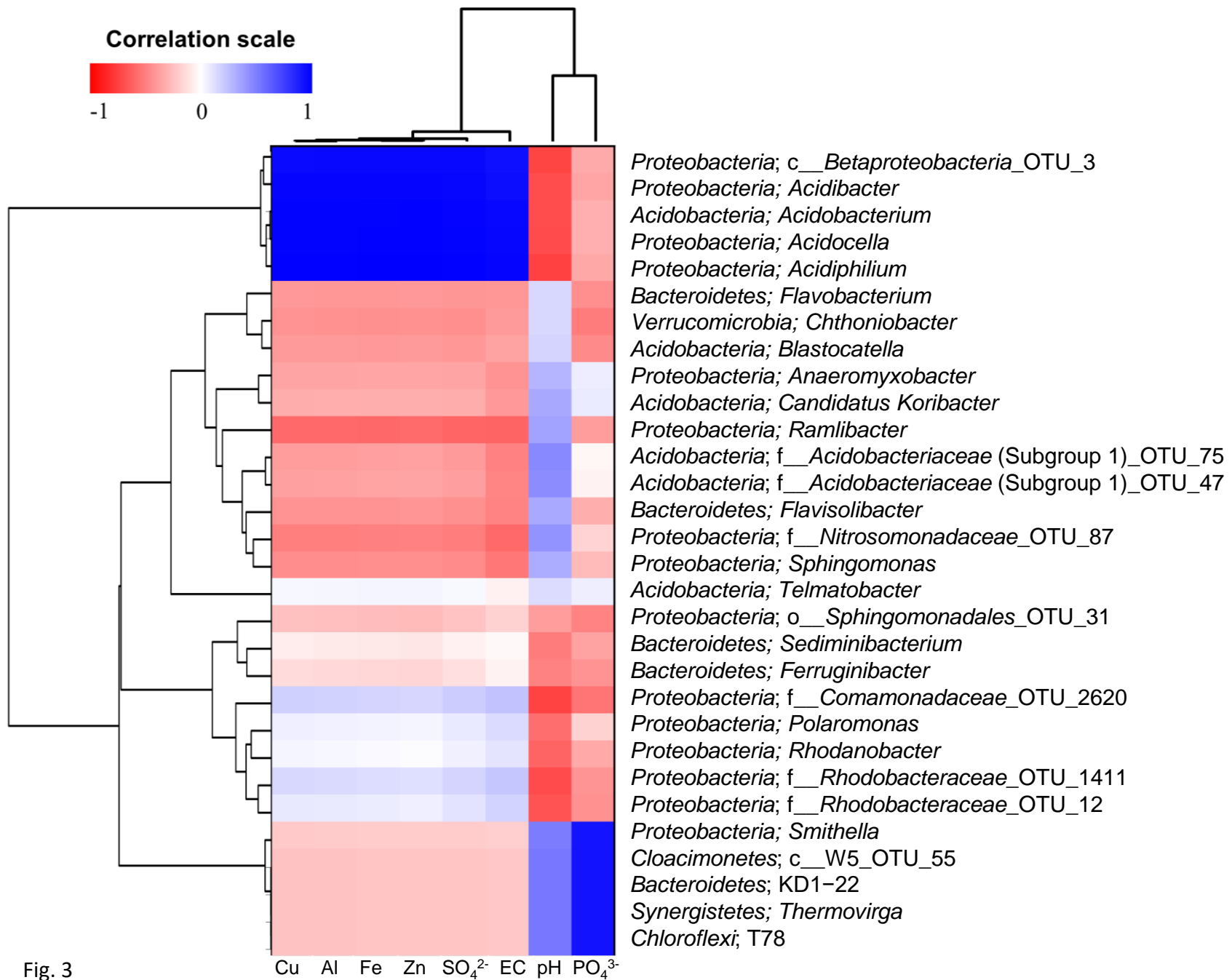


Fig. 3

Table 1.

Site ID	Sediment sample		Site name	Latitude/ longitude	Brief description of the site
	winter	summer			
S0	SS0w	-	<i>Mosteirão stream</i>	37.635849, -7.516451	Mosteirão stream - a small brook (dry in the summer). Not impacted by AMD until it merges with the São Domingos stream, thus used as reference.
S2	SS2w	SS2s	<i>Chança reservoir</i>	37.612376, -7.494921	Large reservoir with dammed water from Chanca's river into which the contaminated water flows. Low AMD contamination.
S3	SS3w	SS3s	<i>Upstream Chança reservoir</i>	37.624352, -7.514251	Transition zone between the contaminated stream and Chança's reservoir. Low to moderate AMD contamination.
S5	SS5w	-	<i>Telheiro</i>	37.636529, -7.513189	Site where the water coming from Site 0 is mixed with the water coming from Site 6 (dry in the summer). A mixture of uncontaminated and AMD contaminated water.
S6	SS6w	-	<i>São Domingos stream</i>	37.635274, -7.513640	A small stream downstream site 7, after merging with several non-contaminated water streams (dry in the summer). Moderate to high AMD contamination.
S7	SS7w	SS7s	<i>Tapadinha</i>	37.659461, -7.505471	Medium-sized dammed reservoir containing reddish brown acidic water. Very high AMD contamination.

Table 2.

	Site ID	T (C°)	pH	EC (µs/cm)	DO (mg/L)	SO ₄ ²⁻ (mg/l)	PO ₄ ³⁻ (mg/l)	Fe (mg/l)	Zn (mg/l)	Cu (mg/l)	Al (mg/l)
Winter	S0	16.6	7.59	369	8.04	61	12.64	<LOD	<LOD	<LOD	<LOD
	S2	14.1	6.65	173	8.73	36	4.85	<LOD	<LOD	<LOD	0.32±0.03
	S3	14.5	6.40	205	9.35	35	1.22	<LOD	0.26±0.01	0.121±0.002	0.34±0.01
	S5	16.8	5.63	557	8.55	122	0.17	3.2±0.4	0.78±0.01	0.781±0.005	6.6±0.1
	S6	17.1	3.06	795	8.39	514	0.28	14.6±0.7	2.98±0.03	2.80±0.03	22.9±0.5
	S7	17.7	2.25	2883	9.00	3477	0.10	144 ±1	31.8±0.2	23.15±0.04	202±2
	S0	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry
Summer	S2	26.9	7.65	267	7.50	34	0.07	0.265±0.001	<LOD	<LOD	<LOD
	S3	28.2	7.50	267	8.10	38	0.04	0.299±0.003	<LOD	<LOD	<LOD
	S5	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry
	S6	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry	Dry
	S7	32.1	2.26	6750	7.48	6256	0.61	770±4	194.2±0.8	81±1	810±8

*Limits of detection (LOD) for metals analysis: Copper = 0.034 mg/L; Iron = 0.211 mg/L; Zinc = 0.122 mg/L; Aluminum = 0.098 mg/L

Table 3. Shannon and Simpson diversity indexes calculated for all sediments samples with **(a)** non-normalized OTUs tables and **(b)** normalized OTUs tables, using the R package RAM - R for Amplicon-Sequencing-Based Microbial-Ecology (Chen et al, 2018). The pH of samples is also shown (in the first row). Colour scales were obtained by conditional formatting each row independently using the Excel software (Microsoft Office).

	Winter samples						Summer samples		
	SS0w	SS2w	SS3w	SS5w	SS6w	SS7w	SS2s	SS3s	SS7s
pH	7.59	6.65	6.40	5.63	3.06	2.25	7.65	7.50	2.26
a) raw OTUs table									
Shannon	4.98770	5.74562	5.90112	6.24430	5.67346	4.41475	6.31123	6.19973	2.75324
Simpson	0.98237	0.99259	0.99299	0.99566	0.98944	0.97693	0.99642	0.99555	0.83890
b) normalized OTUs table									
Shannon	4.98464	5.74509	5.90186	6.24401	5.67245	4.41475	6.31123	6.20008	2.75429
Simpson	0.98233	0.99259	0.99301	0.99565	0.98940	0.97693	0.99642	0.99555	0.83909

Prokaryotic diversity in stream sediments affected by acid mine drainage

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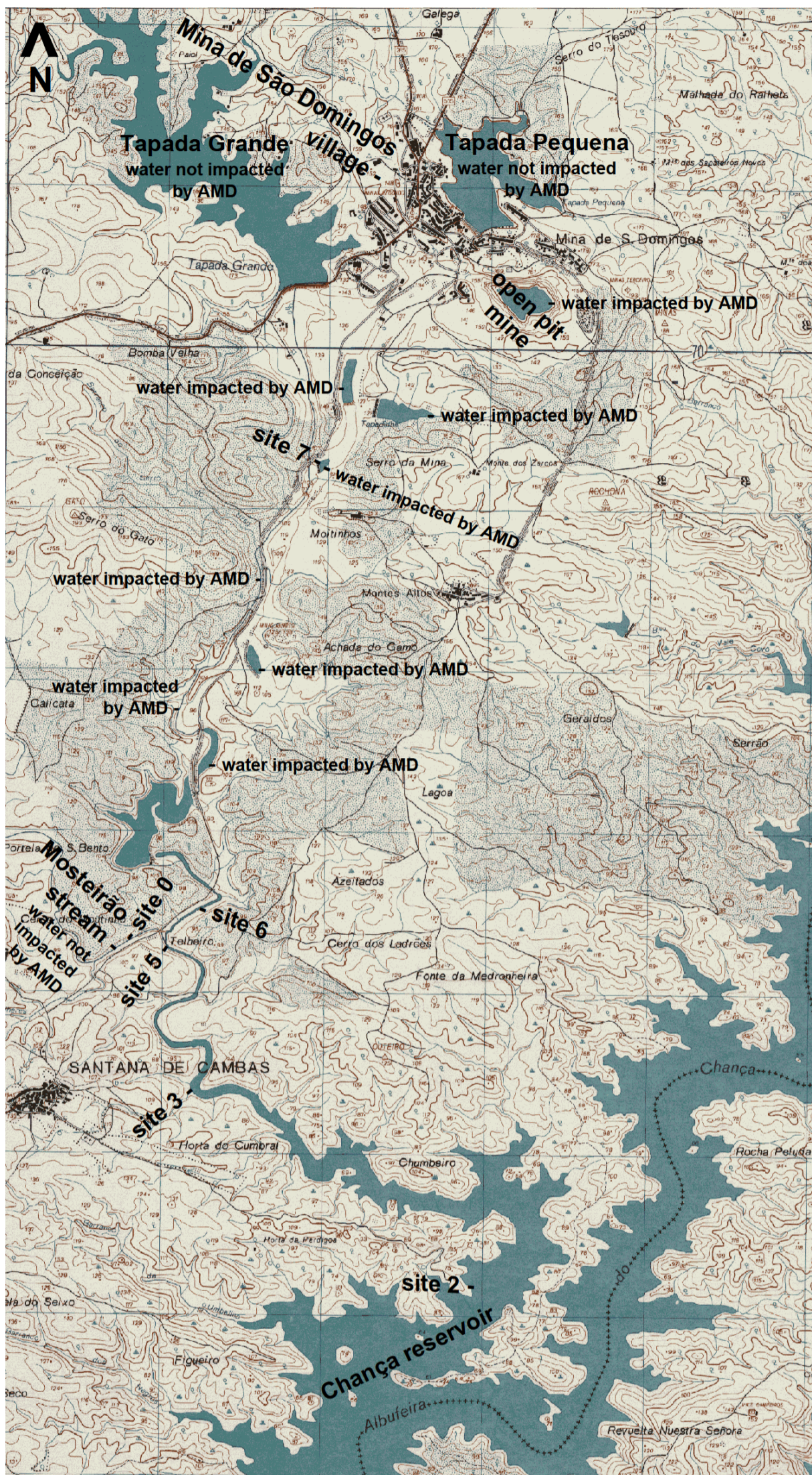


Figure ESM-1. Portuguese military map showing the São Domingos mining area and the sampling sites (see coordinates in Table 1).

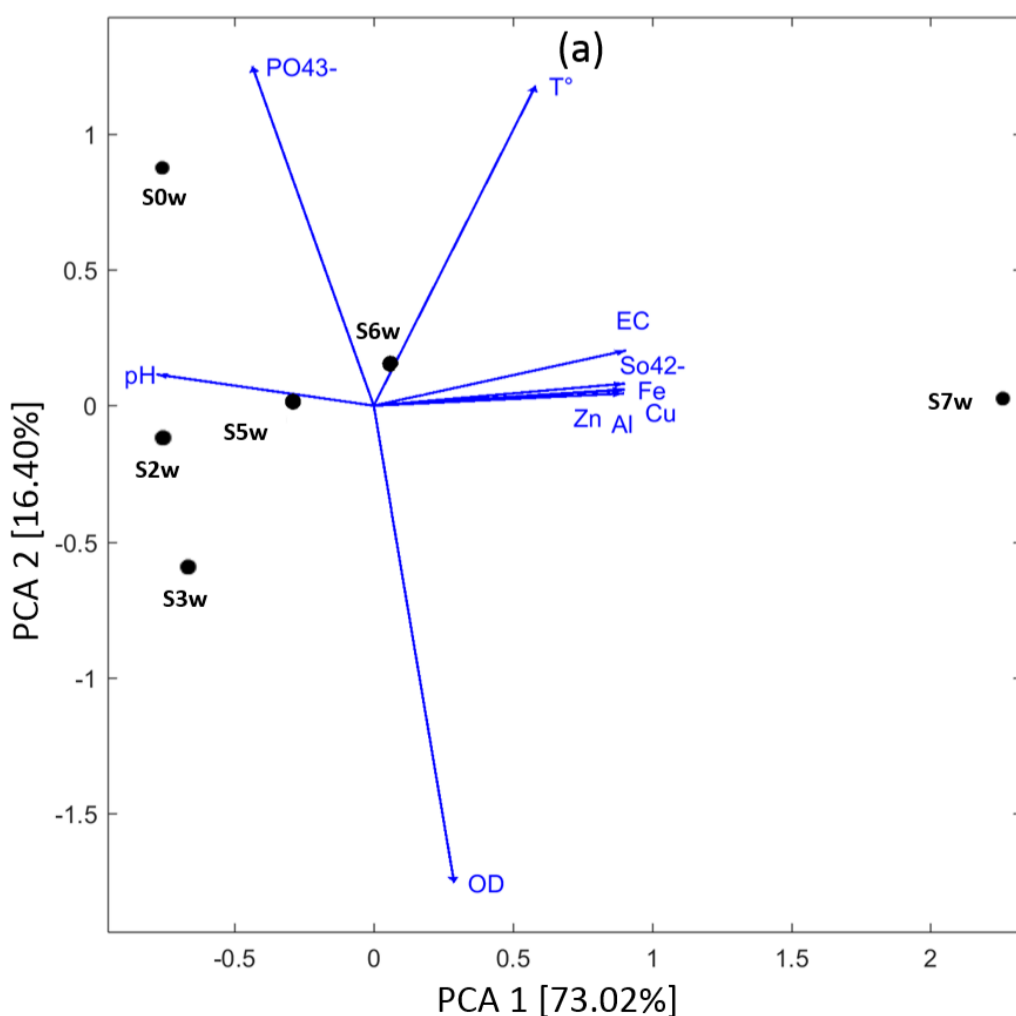


Figure ESM-2. Principal component analysis of water's physicochemical characteristics at sampled sites, using normalized data (adapted from Ettamimi et al. 2019).

Table ESM-1. Number of sequence reads and operational taxonomic units OTUs retrieved from each sediment sample.

	Sediments	Sequencing ID	N. ^{er} of reads	N. ^{er} of OTUs
Winter	SS0w	16SAMP-16290	32932	273
	SS2w	16SAMP-16293	33591	387
	SS3w	16SAMP-16292	35791	372
	SS5w	16SAMP-16291	31550	404
	SS6w	16SAMP-16294	36057	290
	SS7w	16SAMP-16289	8775	177
	Summer	SS0s	Dry	-
SS2s		MG171016-5	19381	1282
SS3s		MG171016-6	19244	1273
SS5s		Dry	-	-
SS6s		Dry	-	-
SS7s		MG171016-7	26940	186

Table ESM-2. Relative abundances (%) of the 30 most abundant prokaryotes among all the sediment samples collected at São Domingos mine during winter. The names are shown based on the phylum and the genus assignment or the phylum and the lowest possible taxonomic assignment (class (c___), order (o___) or family (f___)) together with the OTU number.

Site name	SS0w	SS2w	SS3w	SS5w	SS6w	SS7w
Proteobacteria; Acidiphilium	0.0	0.2	0.1	0.1	1.3	14.9
Proteobacteria; Acidocella	0.0	0.0	0.0	0.0	0.1	5.8
Acidobacteria; Acidobacterium	0.0	0.1	0.0	0.0	0.1	5.4
Proteobacteria; Acidibacter	0.0	0.7	0.3	0.2	0.3	4.3
Proteobacteria; c__Betaproteobacteria_OTU_3	0.0	0.9	0.0	0.0	0.6	3.8
Proteobacteria; f__Rhodobacteraceae_OTU_12	0.1	0.1	0.2	1.0	5.7	1.3
Proteobacteria; f__Comamonadaceae_OTU_2620	0.1	0.5	0.5	0.4	1.9	0.8
Proteobacteria; f__Rhodobacteraceae_OTU_1411	0.0	0.1	0.1	0.2	2.6	0.7
Acidobacteria; Telmatobacter	0.0	2.5	0.1	0.0	0.0	0.7
Proteobacteria; Rhodanobacter	0.0	0.2	0.0	0.0	3.5	0.5
Proteobacteria; Polaromonas	0.5	0.2	0.2	0.2	1.7	0.5
Bacteroidetes; Ferruginibacter	0.3	0.0	0.6	0.8	2.2	0.3
Proteobacteria; Sphingomonas	0.0	3.2	3.6	1.1	1.3	0.3
Bacteroidetes; Sediminibacterium	0.0	0.7	0.2	0.2	2.0	0.3
Proteobacteria; o__Sphingomonadales_OTU_31	0.0	0.8	0.2	1.0	1.7	0.2
Proteobacteria; Smithella	3.4	0.0	0.0	0.0	0.0	0.1
Bacteroidetes; KD1-22	7.8	0.0	0.0	0.0	0.0	0.0
Cloacimonetes; c__W5_OTU_55	3.6	0.0	0.0	0.0	0.0	0.0
Acidobacteria; Blastocatella	0.2	0.0	3.2	3.8	1.1	0.0
Chloroflexi; T78	3.7	0.0	0.0	0.0	0.0	0.0
Proteobacteria; Ramlibacter	0.1	2.6	2.7	3.2	1.2	0.0
Acidobacteria; f__Acidobacteriaceae (Subgroup 1)_OTU_47	0.0	3.8	4.5	0.0	0.0	0.0
Proteobacteria; f__Nitrosomonadaceae_OTU_87	0.0	2.4	2.1	0.8	0.6	0.0
Bacteroidetes; Flavobacterium	0.1	0.1	2.7	1.4	1.0	0.0
Bacteroidetes; Flavisolibacter	0.0	0.8	2.5	0.8	0.4	0.0
Verrucomicrobia; Chthoniobacter	0.0	0.3	1.6	2.1	0.7	0.0
Synergistetes; Thermovirga	4.2	0.0	0.0	0.0	0.0	0.0
Acidobacteria; Candidatus Koribacter	0.0	3.1	0.6	0.2	0.3	0.0
Acidobacteria; f__Acidobacteriaceae (Subgroup 1)_OTU_75	0.0	2.1	2.0	0.1	0.0	0.0
Proteobacteria; Anaeromyxobacter	0.1	2.6	0.4	0.3	0.6	0.0

Table ESM-3. Relative abundances (%) of the 30 most abundant prokaryotes among all the sediment samples collected at São Domingos mine during summer. The names are shown based on the phylum and the genus assignment or the phylum and the lowest possible taxonomic assignment (class (c___), order (o___) or family (f___)) together with the OTU number.

Site name	SS2s	SS3s	SS7s
Proteobacteria; f__RCP1-48_OTU_6	0.0	0.0	36.4
Nitrospirae; Leptospirillum	0.0	0.0	13.6
k__Unclassified_OTU_12; k__Unclassified_OTU_12	0.0	0.0	9.9
Euryarchaeota; Thermoplasma	0.0	0.0	9.1
Acidobacteria; f__Acidobacteriaceae (Subgroup 1)_OTU_45	0.0	0.0	4.6
Euryarchaeota; f__Ferropasmaceae_OTU_36	0.0	0.0	4.6
Planctomycetes; o__CPla-3 termite group_OTU_38	0.0	0.0	1.8
Proteobacteria; Acidibacter	0.0	0.0	1.4
Acidobacteria; Bryobacter	2.3	1.8	0.0
Acidobacteria; Blastocatella	2.7	1.3	0.0
Bacteroidetes; f__Cytophagaceae_OTU_51	1.1	2.8	0.0
Bacteroidetes; f__Cytophagaceae_OTU_219	1.8	1.8	0.0
Proteobacteria; f__Comamonadaceae_OTU_142	2.0	0.7	0.0
Proteobacteria; Anaeromyxobacter	0.6	1.6	0.0
Proteobacteria; f__Comamonadaceae_OTU_468	0.9	1.4	0.0
Verrucomicrobia; Opitutus	1.2	1.0	0.0
Proteobacteria; Sulfuritalea	1.0	1.2	0.0
Proteobacteria; f__M20-Pitesti_OTU_65	0.5	1.3	0.0
Acidobacteria; Geothrix	0.0	1.7	0.0
Acidobacteria; f__Acidobacteriaceae (Subgroup 1)_OTU_91	0.4	1.2	0.0
Proteobacteria; c__Betaproteobacteria_OTU_86	0.3	1.2	0.0
Bacteroidetes; f__WCHB1-53_OTU_74	0.3	1.1	0.0
Proteobacteria; f__Rhodocyclaceae_OTU_97	1.2	0.3	0.0
Proteobacteria; c__Betaproteobacteria_OTU_88	0.9	0.6	0.0
Bacteroidetes; f__Cytophagaceae_OTU_176	1.3	0.1	0.0
Verrucomicrobia; c__S-BQ2-57 soil group_OTU_113	0.9	0.5	0.0
Proteobacteria; f__Nitrosomonadaceae_OTU_130	0.5	0.8	0.0
Proteobacteria; f__Nitrosomonadaceae_OTU_2413	0.4	0.9	0.0
Proteobacteria; A0837	0.6	0.7	0.0
Acidobacteria; Candidatus Koribacter	0.3	0.7	0.0