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### Higher sun exposure in the first trimester is associated with reduced preterm birth

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- 1 Higher sun exposure in the first trimester is associated with reduced preterm birth; a
- 2 Scottish population cohort study using linked maternity and meteorological records
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- 22
- 23 Keywords: sunlight, ultraviolet radiation, preterm birth, pregnancy, cohort,
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#### 28 Abstract:

<u>Background:</u> Preterm birth (birth at less than 37 weeks gestation) is the leading cause of
death in children under five years old and prevention is a global public health issue. Seasonal
patterns of preterm birth have been reported, but factors underlying this have been poorly
described. Sun exposure is an important environmental variable that has risks and benefits for
human health, but the effects of sun exposure on pregnancy duration and preterm birth are
unknown.

35 <u>Objectives:</u> To determine the association between available sun exposure and preterm birth.

36 <u>Methods:</u> We performed a population-based data-linkage study of 556 376 singleton births

37 (in 397,370 mothers) at or after 24 weeks gestation, in Scotland between 2000-2010.

38 Maternity records were linked to available sun exposure from meteorological records, by

39 postcode. Logistic regression analysis was used to explore the relationship between available

40 sunshine and preterm birth at less than 37 weeks gestation. Exploratory analyses included a

subgroup analysis of spontaneous and indicated preterm births and a sibling analysis in sibpairs discordant for preterm birth.

43 <u>Results:</u> The rate of preterm birth was 6.0% (32 958/553 791 live births). Increased available 44 sun exposure in the first trimester of pregnancy was associated with a reduced risk of preterm birth, with evidence of a dose response. Compared to the lowest quartile of sun exposure, the 45 highest quartile of sun exposure was associated with a reduced odds ratio (OR) of preterm 46 birth of 0.90 (95% Confidence Interval (CI) 0.88 – 0.94 p <0.01) on univariable analysis and 47 OR 0.91 (95% CI 0.87, 0.93 p < 0.01) after adjustment for second trimester sunlight exposure, 48 49 parity, maternal age, smoking status and deprivation category. No association was seen between preterm birth and second trimester available sun exposure or combined first and 50 second trimester exposure. Similar patterns were seen on sibling analysis and within both the 51 52 indicated and spontaneous preterm subgroups.

53	Discussion: Available sun exposure in the first trimester of pregnancy is associated with a
54	protective effect on preterm birth less than 37 weeks gestation. This opens up new
55	mechanisms, and potential therapeutic pathways, for preterm birth prevention.
56 57	

58

#### 59 Introduction

60

Preterm birth (birth at less than 37 weeks gestation) is a leading cause of neonatal morbidity and mortality, and deaths in children under five years old worldwide (1). The contribution of environmental factors to preterm birth is not well studied, (2) however, understanding the impact of the natural environment on pregnancy may present novel pathways for intervention.

66

67 Sunlight is a component of the natural environment that is necessary for human health (3). Vitamin D production, nitric oxide production and activity of the immune system are all 68 influenced directly by sunlight with implications for disease manifestation (4). These 69 pathways are central to the establishment and maintenance of pregnancy, with the early 70 71 pregnancy state establishing risk for later outcomes (5, 6). However, sun exposure in 72 pregnancy remains mainly incidental and unconsidered. Although there have been relatively 73 few studies, a systematic review of sun exposure and pregnancy outcomes found associations 74 with fetal growth restriction, blood pressure and preterm birth rates (7, 8), with higher first 75 trimester sunlight correlating with higher fetal birth weights and less hypertensive complications in the third trimester (8). The postulated mechanisms were related to vitamin D 76 77 generation by sun exposure – deficiency of which in pregnancy is associated with low birth weight, preterm birth and hypertensive complications of pregnancy (9). 78

79

80 Only one US based study has explored preterm birth rates and sunlight exposure however,

81 this study did not address whether UV light exposure influenced preterm birth or low

82 birthweight, but aimed to assess whether variation in UV light–induced vitamin D synthesis

might contribute to racial disparities in birth outcomes in the United States, using statewide 83 84 estimates. To specifically examine the effects of available sunlight on preterm birth requires 85 consideration of exposure periods and individual level adjustment of other maternal data. 86 Using high granularity environmental data applied to an individual pregnancy allows 87 modeling of overall risk related to sun availability and modeling of exposure periods. As 88 latitude increases, the variation offered by larger alterations in length of day over the calendar 89 year offer a natural experiment in which to examine effects of available sun exposure. 90 Scotland has high-quality maternity data, and high latitude with variability in sunshine both 91 across and between years, making it an ideal place to study the effects of available sunshine 92 on pregnancy. The objective of this population cohort study was to determine whether there 93 is an association between available sunlight and preterm birth by linking geographically 94 mapped sunlight data to pregnancy and birth records.

95

#### 96 Methods

97 The study was approved by the Privacy Advisory Service for National Services Scotland
98 approval number PAC91/147. Data available for analysis were pseudo-anonymized and
99 analyzed within a trusted research environment (the NHS Scotland Safe Haven). Findings are
100 reported in accordance with RECORD checklist for observational studies using routinely
101 collected health data(10).

102

### 103 *Study population*

We used the Scottish Morbidity Record 02 (SMR02) which records information on all women admitted to Scottish maternity hospitals (11). It contains information on maternal and infant characteristics, clinical management, and obstetric complications (11). During the period studied this does not include homebirths, but these are less than 2% of all Scottish births and ethnicity was poorly (<40%) recorded in the 2008-9 review (11). Regular detailed quality</li>
assurance of the SMR02 is performed, the 2008-9 review is most relevant to this dataset and
this report confirmed the completeness (>90%) and accuracy of the fields we used in this study
(11).

112

#### 113 Inclusion and exclusion criteria

We extracted data from SMR02 data on all liveborn infants born in Scotland between Jan 1, 114 115 2000, and Dec 31st 2010, inclusive. We restricted our analysis to singleton births at or beyond 24 weeks gestation as a feature of the SMR02 database (11). We excluded births with 116 117 congenital anomalies. We also excluded cases based on *a priori* thresholds of plausibility. 118 Births were excluded if gestational length was more than 46 weeks, birth weight greater than 7000g or less than 350g and maternal age less than 13 years. Finally, we excluded women with 119 120 missing gestation at birth, parity, smoking status or who we could not link with available sunshine exposure. 121

122

#### 123 *Definitions*

Preterm birth was considered as a categorical variable, defined as birth before 37 weeks gestation. Ultrasound in the first half of pregnancy is routinely used in Scotland to determine gestational age(12). We imputed date of conception from date of delivery, minus gestational age at delivery plus two weeks. Trimester 1 we defined as the first 12 weeks of pregnancy from conception and trimester 2 as weeks 13 to 28. In the sensitivity analysis we also used completed gestational weeks as a continuous variable.

130

131 The mean daily sunlight exposure was calculated for each trimester, and a whole pregnancy132 for each individual woman. We did not use data on available sun exposure during third

trimester of pregnancy, because most preterm births occur during the third trimester which complicates the exposure duration of available sunlight during this period. To represent cumulative sunlight exposure, a value was calculated for the mean of trimester 1 and 2 to represent this called 'average trimester 1 and 2' exposure.

137

We defined 'spontaneous preterm births as women who gave birth <37 weeks gestation who did not have an elective caesarean section or an induction of labour. We defined 'provider initiated' preterm births as women who gave birth <37 weeks gestation who had an elective caesarean section or an induction of labour.

142

Postcodes of residence, which are highly geographically specific, were used to link to 143 meteorological data in 5 x 5km grid squares, generated from two sources - the UK 144 145 Meteorological (Met) office (13) and EUMETSAT (14). The Met office is the United Kingdom's weather observation and prediction service funded under the Department for 146 Business Innovation and Skills (13). Met office data is freely available including monthly 147 average sunlight hours over a grid of Scotland with each grid value referencing a 5x5km surface 148 149 area of Scotland. EUMETSAT includes geostational meteorological satellites covering Europe. 150 Included within freely available EUMETSAT data is the Meteosat series of satellites, which provide daily values for surface solar insolation at a spatial resolution of 1 degree of latitude 151 152 and longitude. Met office and Meteosat data were combined to provide mean sunlight hours a 153 day for each 5 x 5 km grid square across Scotland, for every day of the exposure period (1<sup>st</sup> 154 January1999 to 31st December 2010).

155

#### **156** *Potential Confounders*

We took a first principles approach to identifying confounders of the sunlight and preterm birth 157 158 relationship utilizing directional acyclic graphs (DAGs) to determine our primary modeling 159 approach (Supplementary Figure 1). Available sunlight and pregnancy outcome are at low risk 160 of confounding using this approach, as very little is deterministically associated with available 161 sunlight. We considered adjustment for season of conception as available sunlight in the 162 northern latitudes is highly correlated with season and season of conception has been variably associated with preterm birth). However, it is likely that season acts as a proxy for seasonal 163 164 reproductive behaviour, variation in temperature, the burden of winter influenza, seasonal 165 changes in pollen counts and particulate air pollution all of which have the potential to be 166 mediated by available sunlight. We also note the approach recommended by Weinberg et al, who demonstrated that if measures of social confounding are available, preferentially modeling 167 these instead of utilizing season as a surrogate is more analytically rational (12). As such our 168 primary logistic regression model did not include season of conception, but did include 169 170 sociodemographic variables including maternal age at birth (categorized as  $\leq 18, 19-29, 30-34,$  $35-39, \geq 40$  years of age), smoking in pregnancy (yes/no), parity and socioeconomic deprivation 171 172 (derived from Scottish Index of Multiple Deprivation (SIMD) Quintiles, allocated by postcode 173 (15). (model 1). We did include season of conception in an additional model (model 2) recognizing the potential for over adjustment in this model. We defined season of conception 174 meteorologically with December-February as winter, March-May as spring, June-August as 175 176 summer and September-November as autumn.(15)

177

For the 'trimester 1' and 'trimester 2' exposure models we adjusted for the alternative trimester
of exposure – available sunlight exposure in the preceding trimester (for second trimester
exposure) or subsequent trimester (for first trimester exposure) - for both model 1 and model
2. The 'average trimester 1 and 2' exposure was not adjusted for any other exposure variable.

182

#### **183** *Statistical Analysis*

For descriptive statistics of continuous variables, we used mean and standard deviation (sd)
for normally distributed data, and median and interquartile range (IQR) for non-parametric
data. Categorical data were presented as percentages with 95% confidence Intervals (CI). We
modelled odds ratios of preterm birth using logistic regression, before and after adjustment
for confounders. p values lower than 0.05 were taken to be statistically significant.

189

#### 190 *Sensitivity analysis*

We undertook the primary analysis described above and also controlled for within-mother effects using conditional fixed effects regression by using the national identifier (Community Healthcare Index [CHI] to identify mothers within the dataset. We also modeled available sun exposure with gestational age at delivery in completed weeks as a continuous variable using linear regression with univariate and multivariate models as described for the primary analysis.

197

We did a sibling analysis as a sensitivity analysis to explore the effect of any potential
residual confounding. Mothers of both a term and preterm birth were identified and utilising
conditional logistic regression with mother-level fixed effects we modelled the effect of
difference in sun exposure between the pregnancies. In the sibling analysis we compared
available sunlight exposure during pregnancy in sib-pairs discordant for preterm birth. We
analysed the whole group, as well separate analyses to adjust for season of conception and for
maternal age, smoking status, SIMD category and parity.

205

206 Subgroup analysis

207	In order to explore potential underlying mechanisms we performed a subgroup analysis of
208	spontaneous preterm births <37 weeks and indicated preterm births < 37 weeks
209	
210	All analyses were done with Stata (version 14).
211	
212	Results
213	
214	Between Jan 1, 2000, and Dec 31, 2010 there were 553 791 live singleton births recorded in
215	Scotland. Of these births, we excluded 81 417 (figure 1). The analysis cohort consisted of
216	472 374 births to 395 588 mothers. Of these births 32 958 (6.0%) were preterm. The
217	characteristics of the cohort are described in Table 1, stratified by quartile of available sun
218	exposure in trimester 1.
219 220	Over the study period the mean sunlight exposure hours per day ranged from 1.59 in winter
221	months to 6.71 hours in summer months (Supplementary Table 1). The annual distribution
222	was unimodal with a summer peak. Variation in exposure between years was evident
223	primarily to differences in available summer sunlight. An indication of spatial variation is
224	given in Supplementary Figure 2 with a map showing variation in average trimester 1
225	exposure for births delivered in 2001 across 5 x 5 km areas in Scotland.
226	
227	Relationship between available sun exposure and preterm birth
228	Available sun exposure in trimester 1 of pregnancy was inversely associated with preterm
229	birth in univariable and multivariable models with evidence of a dose dependent effect (Table
230	2). Compared to the lowest quartile of exposure, the highest quartile of exposure was
231	associated with a reduced odds ratio (OR) of preterm birth of 0.90 (95% Confidence Interval
232	(CI) 0.88 – 0.94 p < 0.01) on univariable analysis with a small attenuation of effect size in the

233	adjusted models but a persistent significant dose dependent protective effect (model 1 OR
234	0.91 (95% CI 0.87, 0.93 p <0.01)) (Table 2). However, available sun exposure in trimester 2
235	was not associated with preterm birth OR 1.02 (OR 0.99, 95% CI 1.06 p 0.12). The average
236	trimester 1 and 2 exposure had a weakened but similar effect to the trimester 1 exposure,
237	confirming the persistence of the trimester 1 effect regardless of trimester 2 with the highest
238	quartile of exposure associated with a reduced OR of preterm birth of 0.95 (95% CI 0.92,
239	0.99 p0.01) and in the adjusted model 1 OR 0.96 (95% CI 0.93, 1.0 p0.04). The results were
240	unchanged controlling for within mother effects (Supplementary Table 2). Using linear
241	regression for gestational length, increasing available sun exposure was associated with
242	increasing gestational length with the highest exposure quartile of exposure $\beta$ Coefficient
243	0.07 (95% CI 0.05-0.08 p<0.01) (Supplementary Table 3).
244	
245	The sibling analysis included 9054 sibling pairs and showed an inverse relationship between
246	preterm birth and sun exposure in the first trimester similar to the full cohort (Table 3).
247	
248	This outcome was seen in the spontaneous and indicated preterm birth analysis with
249	persistent dose dependent effect sizes for the inverse relationship between available sunlight
250	in trimester 1 and preterm birth (Table 4 and 5).
251	
252 253	Discussion
254	We found a robust association between available sunlight in the first trimester and a
255	reduction in the risk of preterm birth. That this effect appears dose dependent, is minimally
256	attenuated by increasing adjustment and is borne out in the sibling, spontaneous and indicated
257	preterm birth subgroups all support the strength of this relationship.
258	

Only one other study has examined sunlight and preterm birth risk. Thayer et al (16) in the 259 260 United States investigated the role of sunlight in accounting for differences in preterm birth 261 rates between white and non-Hispanic black populations. Their methodology used a state 262 wide average measure of the UV index as the exposure variable and aggregated state wide 263 data and found that as average annual UV increased, the disparity in preterm birth rates 264 between white and non-Hispanic black women increased concluding that in the United States 265 the socioeconomic factors co-vary with the UV index and that sunlight availability (which 266 they considered an instrument for photosynthesis of Vitamin D) were not responsible for the 267 race based disparities in preterm birth. Our methodology strives to refine the limitations of 268 Thayer's study using highly granular environmental data in both space and time, linked at an 269 individual level, alongside a less racially varied study population, which may account for our 270 significantly different findings (8). That the effect of an annual average UV index alone does not overcome patterning of births related to social disparity, does not contradict our finding 271 272 that available early pregnancy sunlight may be protective for preterm birth.

273

274 As explored in our DAG (Supplementary Figure 1) sunlight availability is an environmental 275 exposure variable that is quite protected from confounding and measurement error bias as this 276 is unlikely to be introduced by satellite data. Our main potential confounder is season of conception which represents a clustering of biological, social, behavioral and environmental 277 278 factors rather than a discrete entity. In methodological reproductive work, numbers of conceptions and therefore births vary by season which may account for some of the observed 279 280 seasonal variation in gestational length - utilizing season of conception as we have done rather than season of birth accommodates this (17, 18). Adjusting for measurable aspects of 281 'season' - such as markers of deprivation and behavior - reduces 'seasonal' variation in 282 preterm birth outcomes - in Weinburg's work in Norway (17), adjusting for season of 283

conception and maternal characteristics ameliorated seasonal variation in gestational length.
Curie (18) took a 'within mothers' sibling design approach to address seasonal variation in
birth outcomes – specifically gestational length and birth weight – and showed that even
adjusting within siblings, a May conception (or spring in the northern hemisphere) remained
associated with a shorter gestational length and hypothesized that this may be attributable to
seasonal influenza.

290

We prefer the approach that season of conception is not a discrete entity, and adjusting for season in addition to maternal confounders is overadjustment that hypothetically would then attenuate effect. Our data supports this hypothesis, with an increasing reduction in effect size with addition of season of conception in to the model. That the effect remains, even if reduced in size, supports the strength of the relationship between first trimester sunlight and preterm birth.

297

298 Season of conception has been previously associated with preterm birth and in a London 299 cohort winter birth was associated with a 10% increased risk of preterm birth (19). However, 300 whether this observation was due to a biological pathway or due to potential methodological limitations of the study is unclear. Weinberg (17) demonstrated that seasonal influences on 301 preterm birth are weakened by taking a 'fetus at risk' approach. This is because assessment of 302 preterm birth that does not include consideration of the population of fetuses at risk will bias 303 the data to appear as though more preterm births occur at a time when more women are 304 305 pregnant – ie a greater number of fetuses are at risk. Adjusting for season of conception 306 ameliorates this bias. Weinberg(17) also demonstrated that seasonal effects on preterm birth are stronger in unplanned rather than planned conceptions. Unplanned pregnancy, smoking, 307 low levels of education and non-married status are risk factors for preterm birth and also bias 308

pregnancy dating by recalled last menstrual period (LMP). Weinberg (17) concluded that is
measures of social confounding are available, preferentially modeling these instead of
utilizing season as a surrogate is more analytically rational. We have followed this approach
within our study.

313

314 It is biologically plausible higher sunlight availability in trimester 1 has downstream effects 315 on gestational length and therefore preterm birth by improving implantation or early 316 placentation. The determination of gestational length is complex and poorly understood, with 317 maternal age, body mass index (BMI) and previous genetic predisposition interacting with 318 intrinsic pregnancy factors (20). The essential component of sunlight, ultraviolet radiation, 319 reduces blood pressure potentially by stimulating nitric oxide release and also modulates the immune system (4, 21, 22) – these are essential physiological mediators in the process of 320 implantation, early placentation and thus tolerance of pregnancy (23-25). Subtle deficits in 321 322 early placentation become apparent in later pregnancy and can manifest as both spontaneous and iatrogenic preterm birth due to the classic obstetric complications of pre-eclampsia and 323 324 fetal growth restriction (25, 26). These conditions are placentally mediated and are significant 325 contributors to iatrogenic preterm birth in either the fetal or maternal interest but often coexist with spontaneous onset of preterm labour (25). That we see similar effects in both 326 spontaneous and iatrogenic preterm birth models suggest a pathophysiological role for higher 327 328 available sunlight promoting conditions for more favorable implantation or placentation and thus reducing preterm birth. 329

330

This large epidemiological study increases our understanding of the protective effect of early
pregnancy sunlight on gestational length in a high latitude country. As preterm birth remains
the leading contributor to neonatal death understanding environmental influences opens novel

research pathways to investigate strategies to reduce preterm birth and hence childhoodmorbidity and mortality.

336

337 Conclusion:

338 In Scotland, higher environmental sunlight availability in the first trimester of pregnancy has

339 significant dose dependent protective effects on preterm birth that are applicable to the whole

340 singleton pregnancy population. This effect is seen in spontaneous and indicated preterm

births, suggesting a likely early pregnancy effect on the maternal vascular and immunological

342 adaptation to pregnancy. This opens novel research pathways to explore both mechanisms

343 and interventions to reduce preterm birth.

344

345

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- 417 Captions
- 418 Figure 1: Inclusion and exclusion flow chart for study population
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