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Seismic performance of a novel self-sustaining beam-column connection for precast concrete moment-resisting frames

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Abstract

In this paper, a novel prefabricated reinforced concrete (PC) self-sustaining beam-column connection for moment-resisting frames was developed to achieve the targets of short erection time, high construction efficiency, low-cost and satisfactory seismic performance. The connection design eliminates the need of temporary supports for the PC beams and slabs during the assembly process in site, and reduces the amount of lateral supports for PC multi-storey columns and formwork for cast-in-place concrete. As the designed thickness of PC U-shells at the beam ends was about 1/3 of the beam width, there could be a marked effect on the achieved integrity of such connections, especially under seismic loading. To investigate the seismic performance of this PC connection, five large-scale PC self-sustaining beam-column connections specimens and one reference conventional RC connection were designed and tested under reverse cyclic loading. The test parameters included the length and area of the flexural reinforcing bars placed at the bottom of PC U-shells, and the anchorage measures (stirrups) inside the PC U-shell. The five precast specimens exhibited similar crack distributions and failure patterns due to the gap-opening between the PC beams and column surface, which was attributed to the reduced effective width and depth of beam cross-section. The test results showed that the use of longer flexural reinforcing bars had little influence on the load-carrying capacity, but contributed to the initial stiffness and energy dissipation capacity. The load-carrying capacity increased by 24% when the area of flexural reinforcing bars increased by 50% in the U-shell region. The incorporation of stirrups in the overlapping region of beam flexural reinforcing bars and longitudinal rebars improved their bond-slip behaviour in specimen PC-S. Compared with specimen PC-C, the energy dissipation capacity of specimen PC-S was improved by 16.5%. Finally, the failure pattern and load-carrying capacity of

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28 the PC specimens were analysed and discussed using a simplified mechanical model.

29 **Keywords:** precast concrete; beam-column connection; self-sustaining connection; large-scale experiment; seismic
30 performance; mechanical model.

31

32 **1. Introduction and background**

33 Over the past few decades, the industrialization of new building technologies based on prefabricated reinforced
34 concrete (PC) structures has become a strategic subject due to its advantages of less manual labour, improved
35 standardization and quality control, reduced environmental impact, high construction efficiency and good economic
36 performance [1]. However, the seismic performance and integrity of a PC structure are essentially governed by the
37 properties of the connections between various prefabricated units [2-4]. In the prefabricated reinforced concrete (PC)
38 moment-resisting frames, the beam-column connections play an even more critical role in determining the overall
39 structural performance. The damage and collapse of PC buildings caused by the failure of PC beam-column connections
40 have been reported in many experimental studies and field observations after earthquake [5, 6]. From the structural point
41 of view, an ideal PC beam-column connection should have the ability to transfer complex forces, maintain the integrity
42 and prevent the collapse of the structure when used in the medium- and high-seismic regions [7-9]. Additionally, the
43 assembly construction of the beam-column connections in site is complex, and this further resulted in the current practice
44 of using heavily-distributed reinforcing bars in a rather confined space around a connection. Therefore, improving the
45 connection technologies is a key to ensuring satisfactory seismic performance and constructability of PC frames [10, 11].

46 Various types of PC beam-column connections have been proposed and their seismic performance evaluated in the
47 literature. These beam-column connections can be divided into two different categories based on their structural behaviour
48 in comparison with their traditional cast-in-place (CIP) counterparts, namely, emulative beam-column connections and
49 ductile connections [12-14]. In most cases, the ductile connections were semi-rigid and their rotational stiffness was
50 smaller compared with cast-in-place connections. The energy dissipation capacity of ductility connections has been
51 deemed to require enhancement with energy dissipation devices when used in seismic zones [10, 15]. For example,
52 Ozturan et al. [16] tested the seismic performance of four types of ductile beam-column connections. The test results
53 showed that the modified bolted connections had the advantages of easy fabrication and a good seismic performance. For
54 the dry beam-column connection proposed by Vidjeapriya and Jaya [17], the prefabricated reinforced concrete (RC)
55 columns and beams were connected with the cleat angle with different amounts of stiffener. Lacerda et al. [18]
56 experimentally investigated the performance of a semi-rigid beam-column connection, in which the PC beams were

57 supported on the corbel of PC columns with continuity rebars.

58 On the other hand, in PC emulative beam-column connections the structural integrity of the beam-column
59 connections is obtained through connecting the longitudinal reinforcing bars (or steel strand) of beams on two opposite
60 sides of a connection crossing the columns and the casting concrete at the beam ends and joints. Such connections typically
61 exhibit rigid behaviour which could closely match that of their cast-in-place counterparts. Thus, the conventional design
62 methodologies and standards developed for RC structures may be appropriate for the emulative PC structures with
63 minimal modification [19-21]. The emulative beam-column connections have been well accepted and widely used around
64 the world. Various emulative beam-column connection technologies have been proposed and their seismic performance
65 compared with the RC connections in the literature, as briefly summarised in the following.

66 Park and Bull [22] tested the performance of large-scale exterior beam-column connections consisting of PC columns
67 and composite beams with U-shaped shells. Kim et al. [23] presented an experimental study about the developed
68 cruciform PC beam-column connection. In this beam-column method, a PC beam shell was also adopted, and straight
69 reinforcing bars were used for easy construction. The seismic performance of the precast connections was demonstrated
70 to be acceptable. Parastesh et al. [24] reported the test results of interior and exterior beam-column connections suitable
71 for PC frames located in high seismic zones. The precast concrete beams were designed with a hollow U-shaped cross-
72 section, and the longitudinal reinforcing bars were spliced at the beam bottom before casting concrete. No slippage
73 between PC components and the cast-in-place concrete was observed in spite of the smooth surface of the U-shaped
74 section. The PC connections were demonstrated to be comparable with monolithic specimens in the aspects of flexural
75 strength, ductility and energy dissipation. Hyeong et al. [25] conducted a cyclic loading test on large-scale emulative
76 beam-column connections based on the previous research. In their research, the yield stiffness of the PC connection
77 decreased by 10% and energy dissipation decreased by 36%, compared with the RC connection, because the PC beam
78 shells were not completely integrated with the cast-in-place concrete and bond-slip in reinforcing bars occurred there. It
79 was suggested that the thickness of the PC beam shell and the seating length be decreased to increase the effective cross-
80 sectional area of the beam core and the depth of the joint. Considering the weakness of the PC beam-column connections,
81 Eom et al. [26] proposed a plastic hinge relocation approach, including two strengthening methods and one weakening
82 method, to improve the earthquake resistance of the beam-column connections by. Some researchers proposed different
83 methods to connect the reinforcement of the opposite beams and these technologies were proved effective. Guan et al.
84 [27] proposed a novel precast concrete beam-column connection, in which the precast beam with a U-shaped hollow area
85 was also used. Pre-tensioned strands were used as the beam bottom reinforcement and connected through the bulb anchors

86 at the beam ends. Moreover, the additional bars inside the U-shaped hollow area were deemed necessary to provide
87 adequate structural connections. From the literatures and discussion above, the use of U-shells at the PC beam ends has
88 been found to be beneficial for an easy assembly construction and achieving an adequate flexural strength. However, the
89 PC U-shells decrease the effective depth and width of the beam cross-section, and hence could be prone to an adverse
90 impact on the integrity of the connections due to the difficulty in ensuring satisfactory bonding behaviour between the PC
91 concrete and cast-in-place concrete. Moreover, the detailed continuity and anchorage measures for the arrangement of the
92 reinforcement at the beam ends also could result in a significant effect on the seismic behaviour of the PC connections.

93 In some types of PC emulative beam-column connections, the PC beams were cast without the U-shell, and the
94 connection and anchorage of the beam longitudinal reinforcing bars were achieved by different alternative methods. Xue
95 and Zhang [28] developed a hybrid beam-column connection consisting of composite concrete beams and cast-in-place
96 columns. Both the exterior and interior connections were demonstrated to behave similarly to the monolithic connections.
97 In the experimental study conducted by Alcocer et al. [29], the continuity of the beam bottom reinforcement was achieved
98 through the overlapping of U-shaped prestressing strands at the joint in one connection specimen. In the other connection
99 specimen, the continuity of the reinforcement was obtained by placing the 90-degree hooks at the joint, and these hooks
100 were tied in place and enhance by hoops around. Ha et al. [30] also used the U-shaped strands in their research to obtain
101 an effective stress transfer mechanism. According to the test results, the proposed connections with transverse
102 reinforcements were sufficient to use in moderate seismic regions. Yuksel et al. [31] studied the seismic performance of
103 two different types of exterior beam-column connections, namely an industrial type and a residential type. Both
104 connections showed high energy dissipation up to a 2% structural drift ratio.

105 Summarising the above overview, although various technologies of PC beam-column connections have been
106 developed, they are generally complex and require much in-situ work to avoid unacceptable construction errors. Moreover,
107 stabilising temporary supports for the prefabricated components and formwork for in-situ concrete casting are usually
108 required, crippling the advantages of PC structures [32].

109 In this study, the proposed self-sustaining beam-column connections are aimed to be applied in conjunction with
110 multi-storey precast columns with corbels. The connections are composed of PC beams with U-shells at beam ends,
111 additional straight flexural reinforcing bars and cast-in-place concrete. The proposed PC self-sustaining beam-column
112 connections were different with the existing PC connections in the larger thickness of PC U-shell and the corbel with
113 sufficient strength on the PC column. The PC columns and beams can play the role of the vertical temporary supports, so
114 no additional supports are required for the PC beams and slabs during the assembly construction on site. However, the

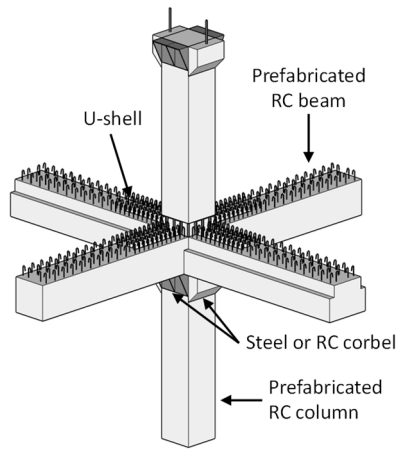
115 thickness of the PC U-shell are made markedly larger, and this introduced potential issue concerning the seismic behaviour
116 of the PC connections. In the experimental study, additional straight reinforcing bars were placed inside the U-shell at the
117 beam ends, through the beam-column joint and extended into the opposite beam as a remedial measure of the increased
118 shell thickness. The cracking pattern and failure mode, load-carrying capacity, stiffness and strength degradation, ductility,
119 strain distribution of reinforcement and concrete, and energy dissipation capacity of the PC connections were investigated
120 to assess their seismic performance and compared with the cast-in-place specimen.

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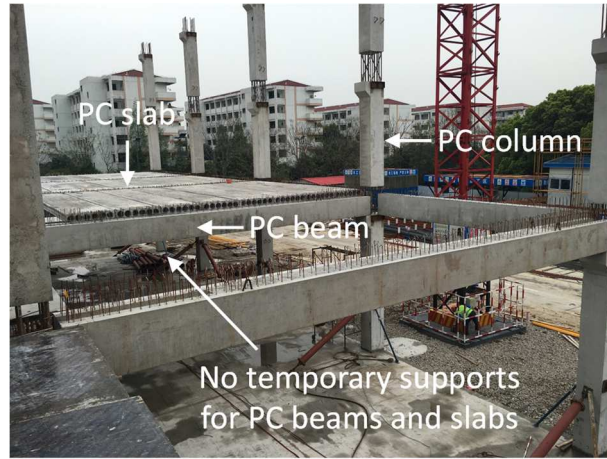
122 **2. Description of the developed PC self-sustaining beam-column connections**

123 The details of the PC self-sustaining beam-column connections are depicted in Fig. 1(a), and a photo of an actual
124 project with this connection is shown in Fig. 1(b). It can be seen that the PC multi-storey columns were connected with
125 the foundation through grouted sleeves and fixed with lateral supports. The precast beams with U-shells were directly
126 seated on the corbels of the multi-storey precast column. The corbels of the PC columns and PC U-shells at beam ends
127 were designed to carry both the self-weight of the PC components and the construction load. Similarly, the precast
128 prestressed hollow core slabs were also designed to be seated on the PC composite beams (Fig. 1(b)). Overall, no
129 temporary supports were required for the precast beams and slabs during the assembly process, that's why the proposed
130 PC connections named "PC self-sustaining beam-column connection".

131 The PC beam-column connections were easy to be completed after the prefabricated components were installed.
132 Straight flexural reinforcing bars were placed at the bottom of the U-shell through the beam-column joint and extended
133 into the opposite beam, and then concrete was cast at the joints and beam cores. The usage of straight reinforcing bars
134 was convenient for assembly construction in site and avoided the congestion of reinforcing bars at the connection zone.
135 There were no complex operations necessary for connecting the beam longitudinal reinforcing bars with mechanical
136 splices or welding. Furthermore, the PC U-shells were used as permanent formworks for conveniently pouring concrete
137 in site. Similarly, the assembly construction of the panel-to-structure (beam) connections contained connecting reinforcing
138 bars protruding from PC hollow core slabs and casting concrete. Compared with various types of existing PC connections,
139 the PC frames with this type of self-sustaining beam-column connections are also advantageous in terms of reduced
140 erection time and good economic performance. However, it is understandable that the seismic performance of this
141 connection would be influenced by some important parameters, including the length and area of flexural bars placed
142 inside the U-shell, as well as the anchorage measures of the flexural reinforcing bars.



(a) Schematic



(b) Photograph of an actual project

Fig. 1 The PC self-sustaining beam-column connections

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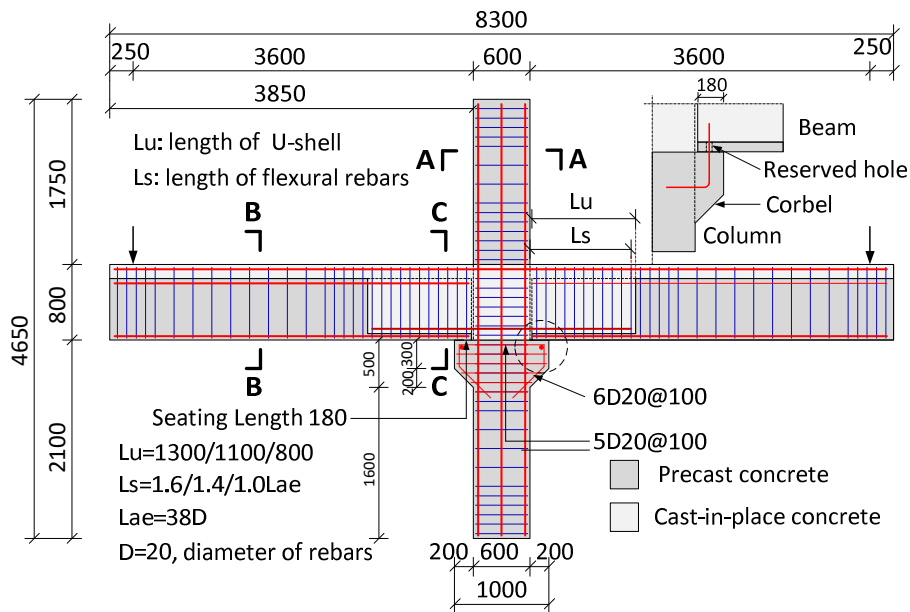
147 3. Design and fabrication of test specimens

148 3.1 Description of test specimens

149 The five large-scale PC beam-column connections and the reference CIP specimen were re-designed based on the
 150 bottom storey of a pilot project in China (Fig. 1(b)). The prototype structure was a 4-storey building, in which the two
 151 levels at the bottom were used as an exhibition centre, and the two levels at the top were designed for office rooms. The
 152 storey heights of the first and second floors were 6 and 4.5 meters, respectively, and the dimensions of the column cross-
 153 section were 600×600 mm for both floors. The spans of the main beams were 8 and 12 meters in the longitudinal (along
 154 the length of the building) and transverse (along the width of the building) directions, respectively, to meet the requirement
 155 of large space. Considering a normal depth-span ratio of RC beams is $1/8-1/12$ [33], the range of the beam depth was
 156 approximately 700-1000 mm. It should be mentioned that the precast prestressed hollow slabs (with a thickness of 200
 157 mm) were placed upon the precast composite beams with the seating length of 75 mm. The side thickness of the PC U-
 158 shell was approximately 200 mm on average, therefore, the width of the precast beam was 600 mm, consistent with the
 159 width of the column.

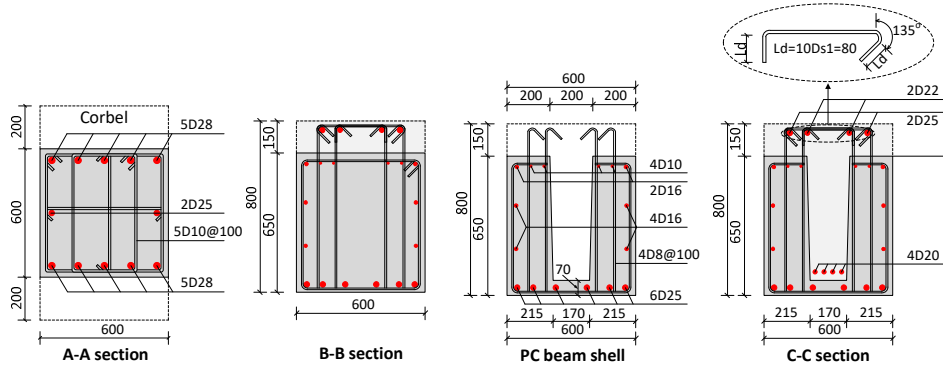
160 The configurations, dimensions and reinforcement details of the test specimens are shown in Fig. 2. Each PC
 161 specimen was composed of one precast column without concrete at the joint, and two precast composite beams with U-
 162 shells. The cross-section was 600×600 mm for the PC columns and 600×800 mm for the composite beams after casting
 163 concrete. The PC beams were 3830 mm in length and the height of precast columns was 4650 mm, which were
 164 approximately the half lengths of the beams and columns in the prototype structure. The seating length of the PC beams
 165 on the PC column corbels was 180 mm (Fig. 2(a)). The longitudinal reinforcing bars of the PC columns were

166 10D28+2D25 mm, providing a reinforcement area of 7140 mm² and a reinforcement ratio of 1.98%. In the PC specimens,
 167 6D25 and 2D16+4D10 rebars were placed at the bottom and top of the PC composite beams, respectively. However, all
 168 these bars were cut off at the beam ends and did not extend into the beam-column connections. The side thickness of the
 169 U-shell was 200 mm at top and 215 mm at bottom, and the bottom thickness was 70 mm (Fig. 2(b)). The inclined surface
 170 of the U-shell was designed to facilitate the removal of the formwork when the PC beams were fabricated. The flexural
 171 reinforcing bars were in straight without anchorage measures for convenient assembly construction. The lengths of the
 172 flexural reinforcing bars (4D20) inside the PC U-shell L_s were L_{ae} , $1.4L_{ae}$ and $1.6L_{ae}$ for specimens PC-L1, PC-C and PC-
 173 L2, respectively, with $L_{ae}=38D$ according to Chinese code [34], where L_{ae} is the anchorage length of tensioned reinforcing
 174 bars in concrete when components are under earthquake, and D is the diameter of the flexural reinforcing bars. In
 175 specimen PC-S (Fig. 2(c)), the flexural reinforcing bars and the rebars at the PC beam bottoms were colligated together
 176 with small U-stirrups. The small U-stirrups were 2D6 with a spacing of 100 mm. In specimen PC-R (Fig. 2(d)), 6D20
 177 reinforcing bars were placed inside the U-shell, meaning that the reinforcement ratio of flexural reinforcing bars inside
 178 the U-shell was increased by 50%. In specimen CIP (Fig. 2(e)), 2D25 + 1D18 rebars were used as the longitudinal
 179 reinforcing bars at the bottom of RC beams, representing almost the same reinforcement area with the PC specimens. The
 180 depth of RC beams was 900 mm, considering the contribution of cast-in-place slabs. The test parameters and properties
 181 of the six specimens are summarized in Table 1. It should be mentioned that the influence of panel-to-structure
 182 connections was not considered in this research, and they might not adequate to allow for large seismic displacement
 183 demands and affected the overall system performance of the PC structures. [35, 36].

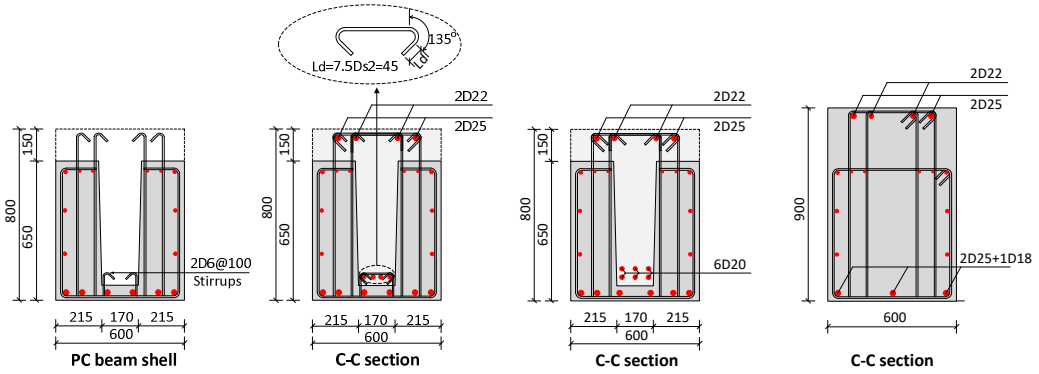


(a) Geometry of five PC specimens

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(b) Specimen PC-C, PC-L1 and PC-L2



(c) Specimen PC-S

(d) Specimen PC-R

(e) Specimen CIP

Fig. 2. Configurations and reinforcement details of the test specimens (Dimensions in mm).

Table 1. Test parameters and properties of the test specimens

| Specimen | Test parameter | L_s (mm) | L_u (mm) | Flexural rebars area |
|----------|----------------------------------|--------------------------|------------|---------------------------------|
| PC-C | Control specimen | $1.4L_{ae}$, 1065 mm | 1100 mm | 4D20, 1257 mm ² |
| PC-L1 | Decreased flexural rebars length | L_{ae} , 760 mm | 800 mm | 4D20, 1257 mm ² |
| PC-L2 | Increased flexural rebars length | $1.6L_{ae}$, 1220 mm | 1300 mm | 4D20, 1257 mm ² |
| PC-S | Stirrups inside U-shell | $1.4L_{ae}$, 1065 mm | 1100 mm | 4D20, 1257 mm ² |
| PC-R | Increased flexural rebars area | $1.4L_{ae}$, 1065 mm | 1100 mm | 6D20, 1885 mm ² |
| CIP | RC | -- | -- | 2D25+1D18, 1491 mm ² |

Notes: L_s is the length of flexural reinforcing bars inside the U-shell; L_u is the length of the PC U-shell at the beam ends.

The flexural rebars in specimen CIP were the longitudinal reinforcing bars at the bottom of the beam crossing the joint.

3.2 Assembly construction of PC connections

The specimen CIP and prefabricated components were made in a prefabrication factory and then transported to the

198 laboratory after the concrete strength met the requirement. Special attention was paid to the size and location of the U-
199 shell at beam ends when the templates were made and the concrete was cast (Fig. 3). The assembly of five PC specimens
200 were carried out in the laboratory. The main steps and key technical points during the assembly process are summarized
201 as follows.

202 Step 1: all the surfaces of the precast concrete that made contact with the cast-in-place concrete were roughened and
203 cleaned, including the surface inside the PC U-shell, the top surfaces of composite beams, and the top and bottom surfaces
204 of the joint (Fig. 4(a) and (b)). This measure was beneficial for the adhesion strength between the precast concrete and
205 cast-in-place concrete, ensuring the integrity of the connections and the composite beams after casting concrete. The
206 roughness of the precast concrete surface was quantified by a parameter named the peak-to-valley height R_z , which
207 represents the average of the maximum valley-to-peak-deviations within a certain number of assessment lengths [37]. In
208 this experimental research, the R_z was more than 6 mm and classified as very rough.

209 Step 2: the precast column was lifted vertically and fixed on strong ground with lateral temporary supports. Then,
210 the precast beams were lifted and installed upon the corbels of the PC column (Fig. 4(c)). The distance between the beam
211 ends and the column surface was 20 mm. Vertical temporary supports were used under the precast beams, and these
212 supports had enough strength and stiffness to resist the sum of the component weight and construction load. The locations
213 of the precast beams and columns were checked with a laser horizontal instrument before the next step.

214 Step 3: the flexural reinforcing bars were placed at the bottom of the U-shell, crossing the joint and extending into
215 the PC U-shell of the opposite beam. Meanwhile, the longitudinal reinforcing bars and stirrups were placed at the top of
216 the PC composite beams. Finally, non-shrinkage fine aggregate concrete was cast in the beam-column joint and the top
217 of composite beams. The two consecutive PC beams located at two sides of the PC column were connected with the joint
218 consisted of the flexural reinforcing bars and cast-in-place concrete.

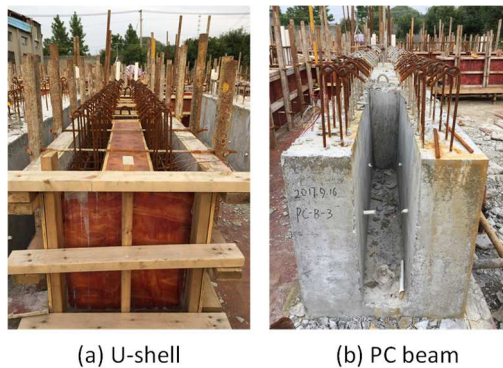


Fig. 3. Prefabricated RC beams with a U-shell

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220
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(a) PC columns (b) PC beams (c) Assembly construction

Fig. 4. Fabrication of the PC beam-column connection

3.3 Properties of concrete and reinforcement

Ready-mixed C40 grade concrete was used for the CIP specimen and the prefabricated components of the five PC specimens. The concrete had a 28-day specified cube compressive strength (f_{cu}) of 40 MPa. The cast-in-place concrete used in PC specimens was ready-mixed non-shrinkage fine aggregate concrete with a higher compressive strength (that was C45 grade), having high flow characteristics. For both types of concrete, six 150 mm concrete cubes were moulded and cured in the same environment as the specimens. The concrete strength was tested under compression at 28 days and at the time when the connections were tested, respectively. For the C40 grade concrete, the average cube compressive strength at 28-days and at the time when the specimens were tested were 39.6 MPa and 43.5 MPa, respectively. For the C45 grade concrete, the average cube compressive strength at 28 days and at the time the specimens were tested were 46.2 MPa and 50.8 MPa, respectively. According to Chinese code GB50010 [33], the concrete axial compressive strength f_u was evaluated on the relationships as follow: $f_c = 0.88\alpha_{c1}\alpha_{c2}f_{cu}$, where α_{c1} is the ratio between axial compression and standard cube compression, for both the C40 and C45 grade concrete, and $\alpha_{c1}=0.76$. α_{c2} is the brittleness reduction factor of high strength concrete. For the C40 grade concrete, $\alpha_{c2}=1.0$; for the C45 grade concrete, $\alpha_{c2}=0.98$.

Hot rolled steel bars (HRB500) with a specified yield strength of 500 MPa were used for the longitudinal reinforcing bars in the beams and columns, also for the flexural reinforcing bars inside the U-shell. Hot rolled steel bars (HRB400) with the specified yield strength of 400 MPa were used as stirrups. Tension tests of the samples representing all types of rebars were conducted. The mechanical properties of the reinforcing bars are summarized in Table 2.

Table 2. Properties of the reinforcing bars

| Diameter (mm) | D28 | D25 | D22 | D20 | D18 | D16 | D10 | D8 | D6 |
|-------------------------|--------|-----|-----|-----|-----|-----|--------|-----|-----|
| Types | HRB500 | | | | | | HRB400 | | |
| Area (mm ²) | 616 | 491 | 380 | 314 | 254 | 201 | 79 | 50 | 28 |
| Yield strength (MPa) | 548 | 552 | 549 | 556 | 551 | 552 | 487 | 474 | 446 |

| | | | | | | | | | |
|-------------------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Ultimate strength (MPa) | 714 | 721 | 728 | 741 | 727 | 725 | 614 | 631 | 602 |
| Elastic modulus (GPa) | 205 | 208 | 202 | 202 | 204 | 205 | 201 | 196 | 198 |

243

244 4. Test setup and loading procedure

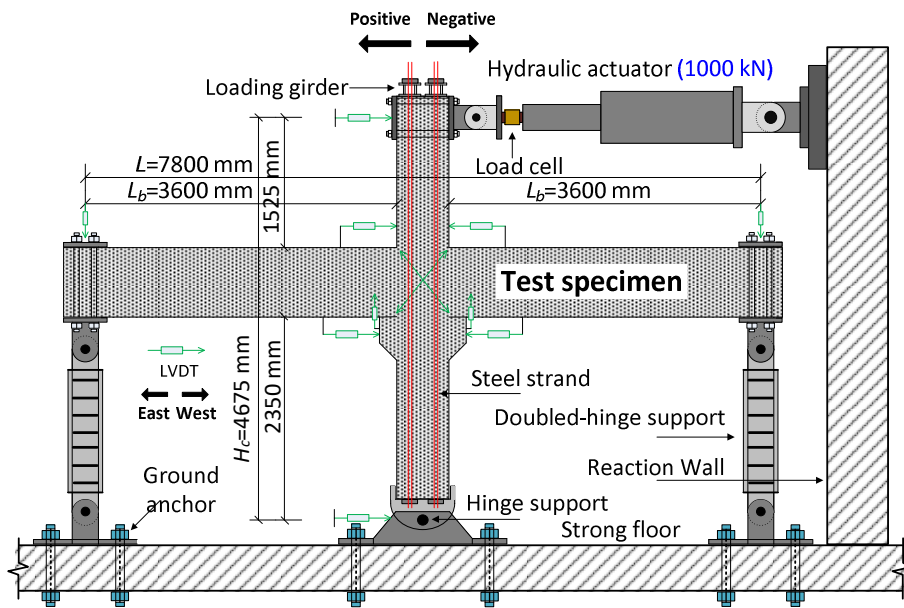
245 The test setup and boundary conditions of test specimens are illustrated in Fig. 5 and Fig. 6. The adopted geometry
 246 of the tested specimens was determined by the spans of the beams and columns in the prototype structures, the loading
 247 space, as well as the stroke of the actuator and the distance between the ground anchors in the laboratory.

248 To simulate the roller boundary condition, both beam ends were attached to strong ground with long double-hinged
 249 links, also called roller supports. The double-hinged links at the beam ends allow horizontal translation and free rotation
 250 (zero moment), but restrict the vertical translation. The column bottom was attached to a hinge to achieve zero-moment,
 251 which can be regarded as the contra-flexural points of columns. The hinge was anchored on the strong floor with six D65
 252 prestressed screws and restricted the horizontal movement during the loading process. Sixteen D15.2 prestressed steel
 253 strands (specified yield strength of 1860 MPa) were divided into four tendons to apply a constant vertical load of 3000
 254 kN at the top of the column. The axial compressive ratio μ was approximately 0.43, which meets the requirement of
 255 Chinese Code, and is consistent with the prototype structure and common for multi-storey buildings [28, 33]. The axial
 256 compressive ratio μ is defined as $\mu = N/(A \cdot f_c)$, in which N is the applied axial load, A is the area of the column cross-
 257 section, and f_c is the axial compressive strength of the concrete. One hydraulic actuator with a 500 mm maximum stroke
 258 and ± 1000 kN loading capacity was used to apply the reverse cyclic loading at the top of the column. The clear span of
 259 the beam L_b , which is the length from the roller support to the column surface, was 3600 mm. The distance L between
 260 two roller supports at two beam ends was 7800 mm. The net length of the column H_c was 4675 mm, which is measured
 261 from the centre of the hinge at the column bottom to the lateral loading point (Fig. 5).

262 Three LVDTs (linear variable differential transformers) were placed at the bottoms of the supports under the column
 263 and beam ends to monitor any lateral movement of the support during the test. LVDTs were also installed at the top and
 264 bottom surfaces of the beam to measure the relative rotation between the column and beams. Reinforcement strain gages
 265 (gauge length 2 mm) and concrete strain gages (gauge length 100 mm) were used to measure the strain of the rebars and
 266 concrete at the beam ends and joints.

267 As shown in Fig. 7, a trail lateral drift ratio of 0.05% (approximately 2 mm) and a lateral load of 20 kN were applied
 268 once to check the operating condition of the test setup, loading system and the data acquisition before the formal cyclic
 269 loading. Based on the recommendations of ACI374.2-R13 [38] and FEMA-461 [39], the lateral loading protocol was

270 controlled by the lateral displacement [25, 26, 30]. Before a 1% lateral drift ratio, the applied lateral drift ratio was
 271 increased by a 0.25% increment. The increment was then increased to 0.5% in the loading process beyond 1% drift. Each
 272 displacement level was performed with three cycles to ensure stable crack propagation of the specimens. At each loading
 273 (displacement) step of each loading cycle, the hydraulic actuator was paused to observe the development of cracks,
 274 record the data of the applied displacement and load, and check the applied vertical load upon the column. The vertical
 275 compressive force was continually monitored and adjusted by the jacks and load cells attached to the four tendons of steel
 276 strands, so it was at the specified level with negligible variation during the test. The test was terminated when the applied
 277 load reduced to 85% of the ultimate lateral load, at which point the specimen was considered to have failed.



278
 279 Fig. 5. Schematic of the test setup
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 282 Fig. 6. Photograph of the test setup
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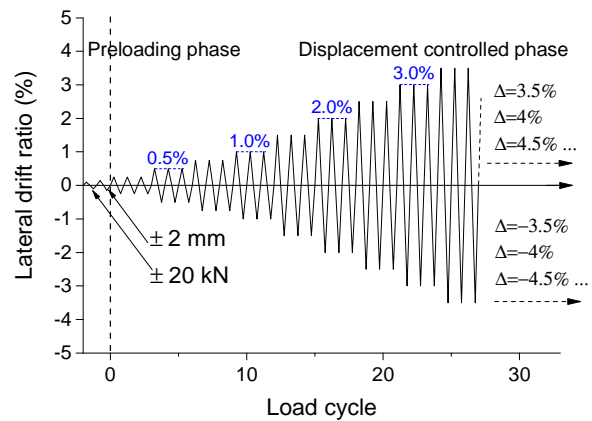


Fig. 7. Cyclic loading procedure

284 5. General behaviour of test specimens

285 5.1 Crack distributions and failure patterns

286 The concrete crack distributions and failure patterns of the six specimens are shown in Fig. 8. The five PC specimens
287 exhibited similar crack progression during the loading process and failure patterns at the end of test, which were distinctly
288 different from the CIP connection.

289 The failures of the five PC specimens were mainly attributed to the gap-opening between PC beams and the column
290 surface, and a combination of the concrete crushing, rebar yielding and bond-slip at the beam ends and joints (Fig. 8(a)
291 to (e)). For all the five PC specimens, a vertical crack between the precast beam end and column surface across the whole
292 section was observed after a 0.5% lateral drift ratio. That was caused by the poor adhesion strength between the PC
293 concrete and cast-in-place concrete despite the rough surface of PC concrete and higher strength of cast-in-place concrete
294 [37]. Before the 1.5% lateral drift ratio, the gap between the PC beams and column surface opened and closed repeatedly
295 under the reverse cyclic loading, resulting in concrete crushing. The range of the gap width was approximately 15-30 mm
296 for the different PC specimens when the specimens failed. The gaps occurred at the beam ends was mainly attributed to
297 the reduced effective depth and width of the beam cross-section due to the precast U-shell, as well as the large plastic
298 strain of the reinforcing bars and the excessive bond-slip between the concrete and reinforcing bars. Compared with other
299 existing PC beam-column connections, the decreased effective depth and width of the composite beam cross-section
300 resulted in their different failure patterns, and no obvious plastic hinges occurred at beam ends in the five PC specimens.
301 Vertical cracks and the spalling of the concrete cover occurred in the corbel under the large compression force after the
302 2% lateral drift ratio in the PC specimens, except specimen PC-R where no significant damage occurred in the corbel. In
303 specimen CIP, the failure was attributed to the severe diagonal shear cracking and bond-slip of the beam longitudinal
304 reinforcing bars at the joint (Fig. 8(f)). The serious joint damage was attributed to the large depth of the beam, which was
305 1.5 times of the column width and resulted in the “strong-beam and weak column”.

306 Specimen PC-S is taken as an example to show the development of cracks under increased reverse cyclic loading
307 and the failure pattern (Fig. 8(d)). During the three cycles of the 0.25% lateral drift ratio, the vertical cracks between the
308 PC beams and column surface developed quickly and nearly crossed the whole section. Meanwhile, two vertical flexural
309 cracks appeared at the top of the beam at the distances of 350 mm and 600 mm from the column surface. The flexural
310 cracks were with the lengths of approximately 150 mm, just equal to the thickness of the cast-in-place concrete. At the
311 first cycle of the 0.5% lateral drift ratio, several distributed flexural cracks occurred along the beams at both the top and
312 bottom within the distance of 1400 mm from the column surface, with a spacing of about 250-350 mm. When the lateral

313 drift ratio reached 0.75%, the gaps between the precast beam and corbel were observed, indicating the PC beams rotated
314 along the column. An across-section vertical flexural crack was observed at a distance of 1100 mm from the column
315 surface, just at the end of the PC U-shell. At the lateral drift ratio of 1%, the gaps at both beam ends became obvious, with
316 the largest width of 3.5 mm, and closed after unloading. Meanwhile, several diagonal shear cracks were observed at the
317 joint. The width of the gaps at beam ends increased to approximately 8 mm at the third cycle of the 1.5% lateral drift ratio.
318 When the lateral drift ratio reached 2%, a horizontal crack with a length of approximately 180 mm was observed at the
319 top of the cast-in-place joint. It should be noted that the horizontal crack there did not cross the whole section of the
320 column until the end of test. At the first cycle of the 2.5% lateral drift ratio, the diagonal shear cracks at the joint developed
321 quickly, and vertical cracks were observed on the column corbels. At the lateral drift ratio of 3%, the width of the gap
322 between the PC beam and column surface was approximately 22 mm, with many small cracks around the gap. Meanwhile,
323 pieces of concrete were dropped from the gap, indicating the concrete was crushed under compression inside the beam.

324 A sound indicating a bar fracture was noticed at the top of the PC beams at the third cycle of the 3.5% lateral drift
325 ratio, accompanied with the sudden drop of the applied load (Fig. 9(d)). The specimen PC-S failed because of a low-cycle
326 fatigue fracture of the reinforcing bars at top of the beam [40]. This failure pattern was explained as follows. After the 2%
327 lateral drift ratio, buckling of the reinforcing bars at the top of the beam occurred due to the increased compression
328 strength, crushing of concrete and local damage of the stirrups there. Under the reverse cyclic loading, the reinforcing
329 bars suffered repeated bending and tension deformation and finally fractured at the end of test. It was observed that the
330 corbel under the PC beams to some extent restricted the rotation along the column downwards, reducing the deformation
331 ability of the beam-column connection.

332 In spite of the similar development of cracks, there was still some difference in the failure patterns of the five PC
333 specimens. In specimens PC-L2 and PC-R, the obvious horizontal cracks were observed at the top of composite beams,
334 which was caused by the poor adhesion strength between the PC concrete and cast-in-place concrete. The diagonal shear
335 cracks in the PC-R specimen were more serious than the other four PC specimens; however, the damage of the corbel in
336 the PC-R specimen was minor. The width of the gap at the beam ends in the PC-L1 specimen was approximately 30 mm
337 on average when the specimen failed, which is larger than that of the other four specimens. Additionally, the corbel
338 damage, diagonal shear cracks at the joint and concrete crushing at beam ends in the specimen PC-L1 were more serious
339 compared with the specimens PC-C and PC-L2.

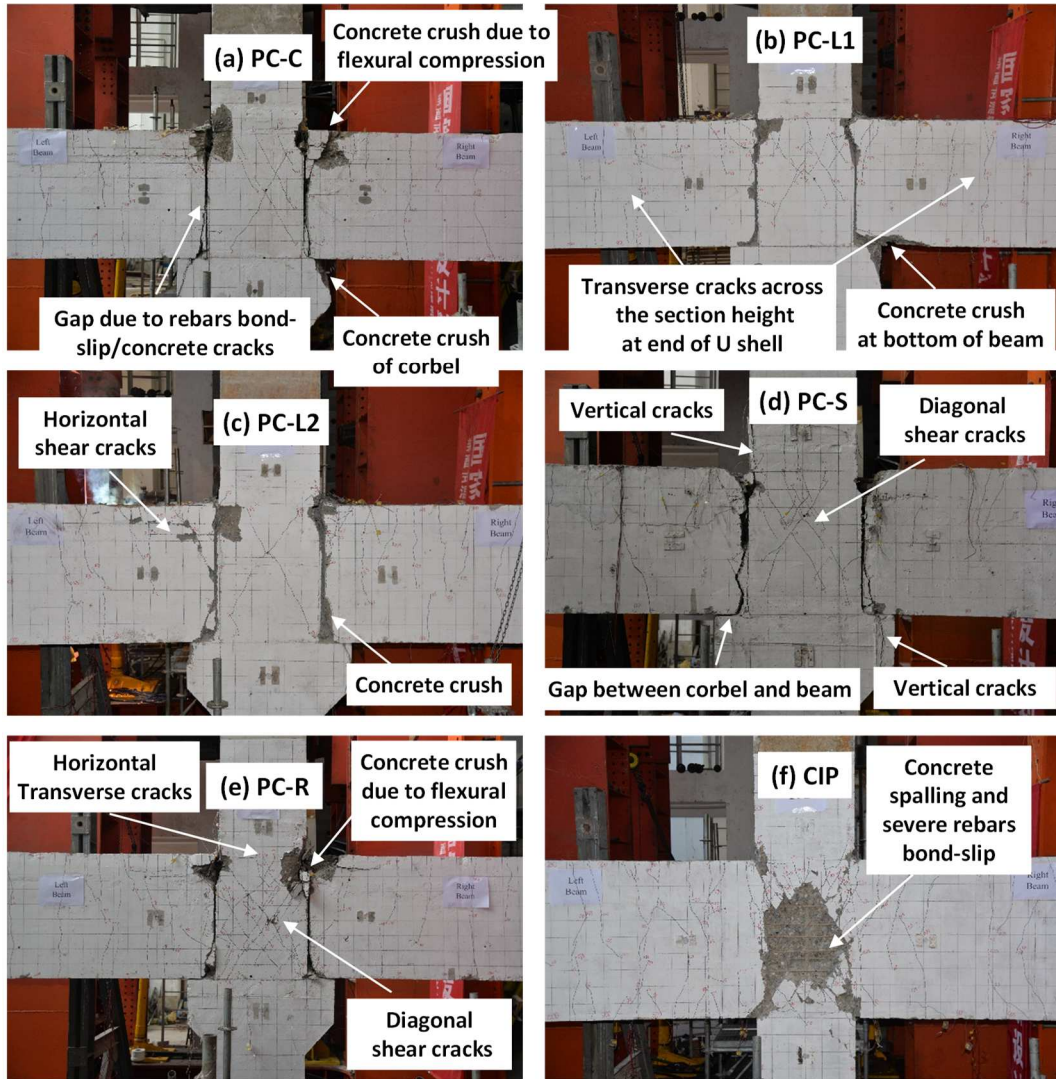


Fig. 8. Crack distributions and failure patterns of the test specimens

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343 5.2 Lateral load-drift ratio relationships

344 The lateral load-displacement (drift ratio) relationships of the six tested specimens are shown in Fig. 9(a) to (f). The
 345 envelop curves of the hysteresis loops are plotted in Fig. 9(g). The lateral drift ratio was calculated by dividing the lateral
 346 displacement by the effective height of the column ($H_c = 4675$ mm, Fig. 5). In this research, the yielding strength was not
 347 obvious and involved higher uncertainty due to the displacement controlled loading procedure. The yield lateral drift ratio
 348 Δ_y and ultimate lateral drift ratio Δ_u were defined by using the equivalent elasto-plastic energy principle proposed by Park
 349 [41]. As shown in Fig. 9(h), when the area A_1 is equal to the area A_2 , the position of point H can be determined. Then, the
 350 yield point Y was obtained by the intersection of line HG (parallel to the vertical axis) and the envelop curves. The ultimate
 351 lateral drift ratio Δ_u was defined as the post-peak lateral drift ratio when the load dropped to 85% of the maximum strength
 352 P_{max} .

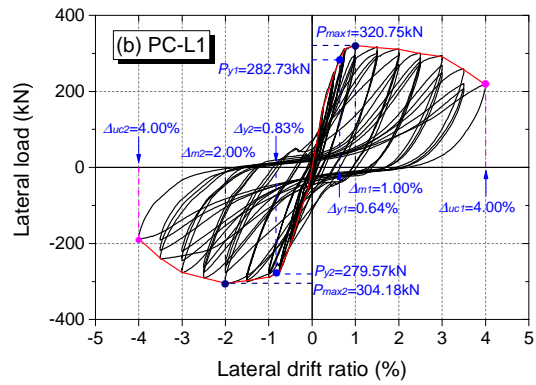
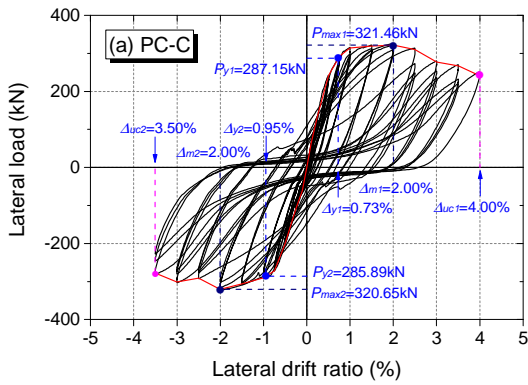
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The yield lateral ratio Δ_y , yield strength P_y , maximum strength P_{max} , corresponding drift ratio Δ_m , ultimate drift ratio

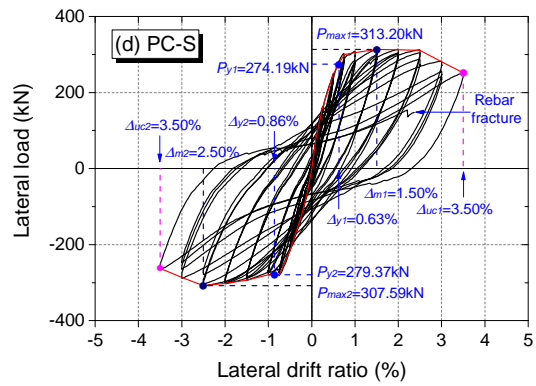
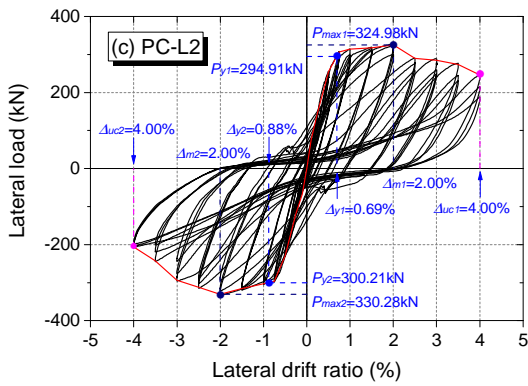
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Δ_u , and ductility μ of the test specimens in both the positive and negative directions are summarized in Table 3.

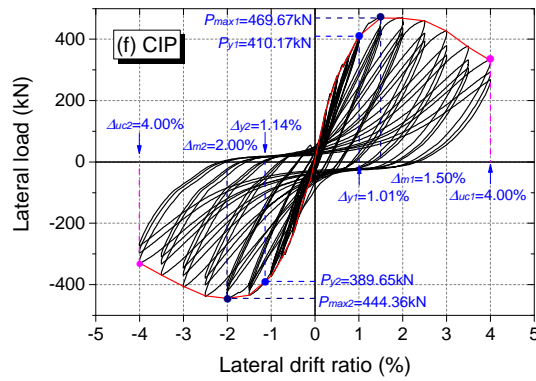
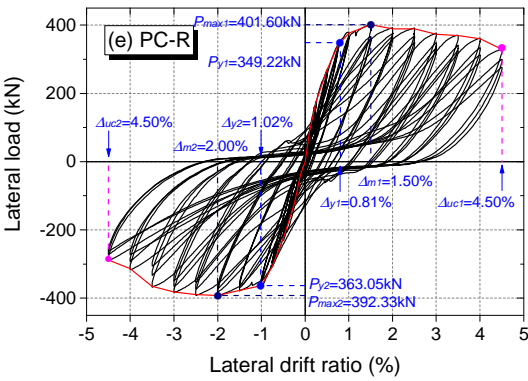
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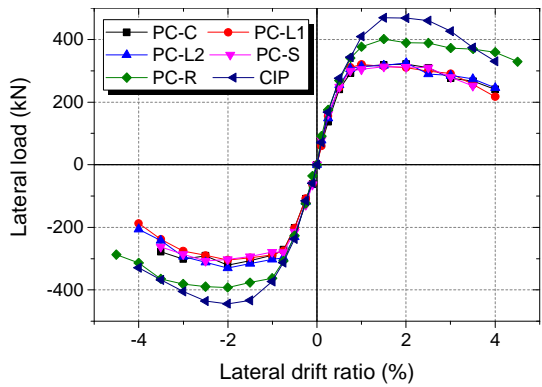
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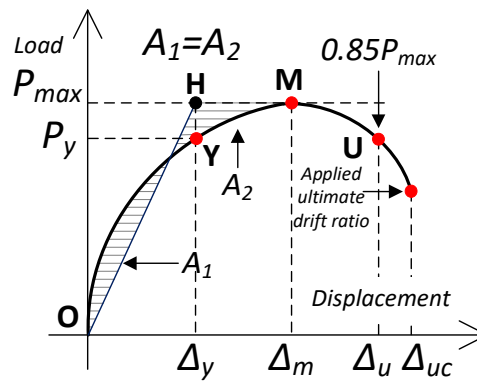
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(g) Envelopes curves



(h) Equivalent elasto-plastic energy principle

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Fig. 9. Lateral load-drift ratio relationships of the test specimens

Notes: Δ_{uc} in Fig. 9(a) to (f) represented the ultimate lateral drift ratio of the applied loading cycle, and the corresponding load was less than 85% of the normal maximum load.

In Fig. 9(a) to (c), the specimens PC-C, PC-L1 and PC-L2 showed similar overall cyclic behaviour despite of their different lengths of the U-shells and flexural reinforcing bars. Yielding of the specimens PC-C, PC-L1 and PC-L2 occurred at the 0.64%-0.95% lateral drift ratio in the positive direction and negative directions. After the peak load, the load-carrying capacities of the specimens PC-C, PC-L1 and PC-L2 decreased gradually and failed at the lateral drift ratio of 3.01%-3.37%. Taking the negative direction for example, the yield strength of specimen PC-L2 was 300.2 kN at a lateral drift ratio of 0.88%, while the yield strength of specimen PC-L1 was 279.6 kN at a lateral drift ratio of 0.83%. The yield strength of specimen PC-L2 was larger than the PC-L1 specimen, which was attributed to the decreased bond-slip between the flexural reinforcing bars and the cast-in-place concrete due to the longer anchorage length. However, the PC-C, PC-L1 and PC-L2 specimens exhibited little difference in the peak strength, which was attributed to the failure patterns described in Fig. 8(a) to (c).

The hysteretic loops of specimen PC-S (Fig. 9(d)) were less pinching compared with the control specimen PC-C, indicating that more energy was dissipated. This finding revealed that the stirrups inside the U-shell enhanced the anchorage performance of the flexural reinforcing bars and increased the yielding length of the flexural reinforcing bars at the beam ends and joint. After yielding, the load-carrying capacity of specimen PC-S was maintained. The maximum strength of specimen PC-R was 401.6 kN and 392.3 kN in the positive and negative directions, respectively (Fig. 9(e)), showing an improvement by approximately 24% on average as compared with specimen PC-C. Moreover, the yielding lateral drift ratio Δ_y and the ultimate lateral drift ratio Δ_u also improved. The CIP specimen had a higher load-carrying capacity compared with the five PC specimens (Fig. 5 (f)); however, the hysteretic loop showed more pinching. After the maximum strength, the strength of the CIP specimen decreased quickly, exhibiting poor ductility. The poor energy dissipation capacity and ductility were attributed to the serious shear damage at the joint.

Table 3. Test results of the test specimens

| Specimen | Load direction | Δ_y (%) | P_y (kN) | Δ_m (%) | P_{max} (kN) | Δ_u (%) | μ |
|----------|----------------|----------------|------------|----------------|----------------|----------------|-------|
| PC-C | positive | 0.73 | 287.2 | 2.00 | 321.5 | 3.20 | 4.39 |
| | negative | 0.95 | 285.9 | 2.00 | 320.7 | 3.01 | 3.17 |
| PC-L1 | positive | 0.64 | 282.7 | 1.00 | 320.8 | 3.27 | 5.11 |

| | | | | | | | |
|--------------|----------|------|-------|------|-------|------|------|
| | negative | 0.83 | 279.6 | 2.00 | 304.2 | 3.22 | 3.88 |
| PC-L2 | positive | 0.69 | 294.6 | 2.00 | 325.0 | 3.37 | 4.88 |
| | negative | 0.88 | 300.2 | 2.00 | 330.3 | 3.13 | 3.56 |
| PC-S | positive | 0.63 | 274.2 | 1.50 | 313.3 | 3.01 | 4.78 |
| | negative | 0.86 | 279.4 | 2.50 | 307.6 | 2.99 | 3.48 |
| PC-R | positive | 0.81 | 349.2 | 1.50 | 401.6 | 4.02 | 4.96 |
| | negative | 1.02 | 363.1 | 2.00 | 392.3 | 3.81 | 3.74 |
| CIP | positive | 1.01 | 410.2 | 1.50 | 469.7 | 3.28 | 3.25 |
| | negative | 1.14 | 389.7 | 2.00 | 444.4 | 3.39 | 2.97 |

386 Notes: The ductility $\mu = \Delta_u / \Delta_y$ was calculated by the ratio of the ultimate lateral drift ratio Δ_u to the yielding lateral drift ratio Δ_y .
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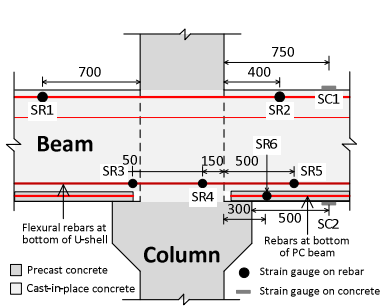
388 5.3 Strain of reinforcement and concrete

389 Because of the similar failure patterns of the five PC specimens, specimen PC-S is taken as an example to examine
390 the strain distributions of the rebars and concrete. Fig. 10 shows the measured strain of the concrete and rebars during the
391 loading process, including the longitudinal reinforcing bars at the top and bottom of the beams, the flexural reinforcing
392 bars inside the U-shell, and the concrete at beam ends. The lateral displacement plotted in the x-axes in all the plots in
393 Fig. 10 (b) and (c) was the applied lateral displacement at the loading point. In each plot, the vertical blue dotted line and
394 number represented the applied lateral drift ratio.

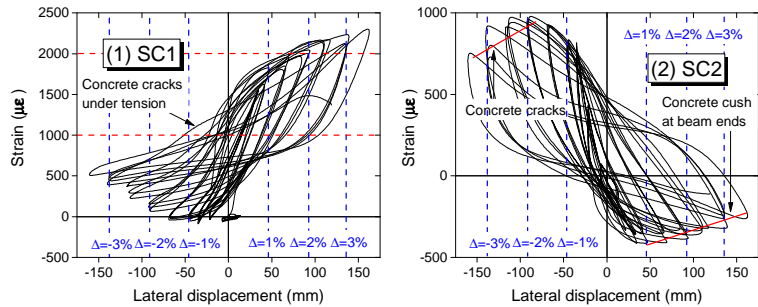
395 SC1 represented the measured strain of the concrete at a distance of 750 mm from the column surface, and SC2 was
396 at a distance of 700 mm from the column surface (Fig. 10(a) and (b)). The strain of SC1 was under tension during the
397 application of both positive and negative load and reached more than 2000 $\mu\epsilon$ at a 2% lateral drift ratio in the positive
398 direction. Combined with the vertical transfer cracks there, it was evident that the bond-slip of the longitudinal rebars in
399 the beams occurred there. SC2 showed that the concrete in this area was under compression with the positive load and
400 under tension with the negative load. The longitudinal reinforcing bars at the top of the beam at a distance of 400 mm
401 from the column surface (SR2 in Fig. 10(c)) reached the yield strain (approximately 2500 $\mu\epsilon$) at a 1.5% lateral drift ratio.
402 Then, the strain of reinforcing bars there dropped quickly, which was caused by the bond-slip of the rebars, crushing of
403 concrete, and rebar buckling at different loading stages. This finding was also confirmed by the increasing strain of the
404 same rebars (SR1 in Fig. 10(c)), which remained at approximately 2000 $\mu\epsilon$ until the end of test.

405 SR3, SR4, SR5 (Fig. 10 (c)) represented the strain of the flexural reinforcing bars inside the PC U-shell at different
406 locations. The flexural reinforcing bars at the beam ends, with a distance of 50 mm from the column surface (SR3 in Fig.

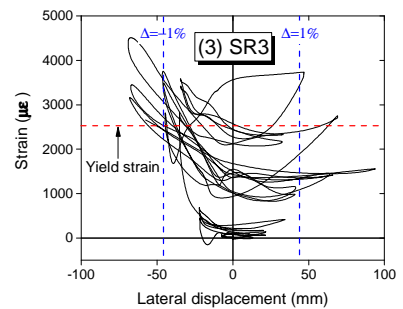
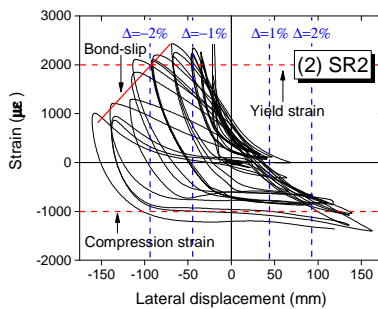
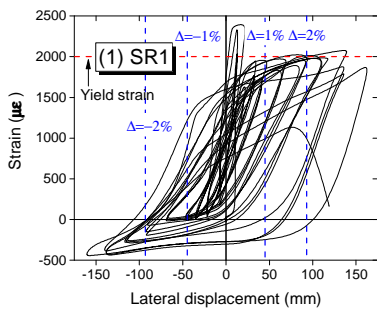
407 10(a)), developed large tensile strain under the reverse cyclic loading. The flexural reinforcing bars there yielded at the
 408 0.75% lateral drift ratio with the strain of more than 2500 $\mu\epsilon$, and then reached approximately 4500 $\mu\epsilon$ at the 1.5% lateral
 409 drift ratio. The development of the strain in the flexural reinforcing bars was accompanied with the concrete cracking and
 410 gap-opening at the beam ends. With the increasing of applied lateral drift ratio, the bar yielding penetrated into the joint.
 411 The flexural reinforcing bar at the joint, with a distance of 150 mm from the column surface (S4 in Fig. 10(a)), developed
 412 large plastic tension and compression strain under the reverse cyclic loading and yielded at the 2% lateral drift ratio. These
 413 results indicated that yielding and bond-slip of the flexural reinforcing bar occurred at the joint, which was also proved
 414 by the diagonal shear cracks (Fig. 8). The tension strain of the flexural reinforcing bar reached approximately 2000 $\mu\epsilon$ at
 415 the 2% lateral drift ratio at a distance of 500 mm from the column surface (S5 in Fig. 10(a)), and then declined rapidly
 416 due to rebar bond-slip and the concrete crushing at the bottom of the beam. It should be noted that the PC beam bottom
 417 longitudinal reinforcing bars (S6 in Fig. 10(a)), developed large compression strain of approximately 1300 $\mu\epsilon$ (remained
 418 elastic) at the 2% lateral drift ratio at the beam end. Then, the compression strain of the reinforcing bars decreased because
 419 of the concrete crushing and bond-slip.



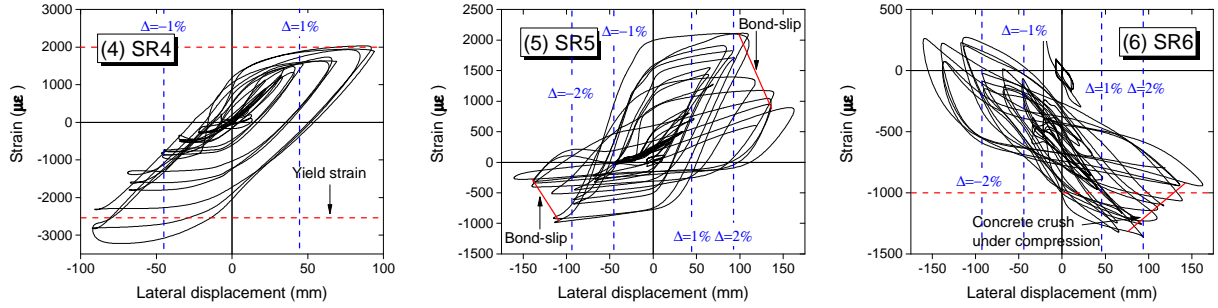
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421 (a) Location of strain gauges



(b) Strain of concrete



422



(c) Strain of reinforcing bars

Fig. 10. Measured strain of the concrete and rebars in specimen PC-S

5.4 Simplified mechanical model and load-carrying capacity

According to the crack distributions and failure patterns (refer to Fig. 8(a) to (e)), as well as the hysteretic loops and load-carrying capacity, the failures of all the five PC connections exhibited a beam yielding mechanism and the critical region was located at the beam ends. Therefore, the load-carrying capacity of the PC self-sustaining beam-column connections under considerations depended on the moment-carrying capacity of the critical beam cross-sections. On the basis of the test results discussed above, a simplified mechanical model for the precast connection involving a U-shell precast beam was established, as shown in Fig. 11, to calculate the load-carrying capacity. According to the schematic shown in the test setup (Fig. 5), the relationship between the applied load at the top of column P_n and the moment-carrying capacity at the critical regions (M_{bL} and M_{bR}) can be calculated as Eq. (1).

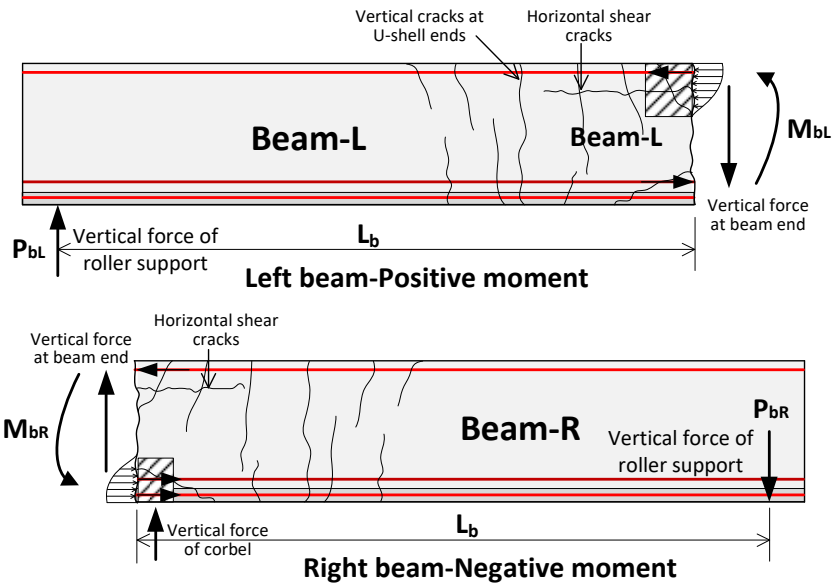
$$M_{bL} + M_{bR} = (P_{bL} + P_{bR}) \cdot L_b = \frac{2P_n H_c}{L} \cdot L_b \quad (1)$$

As shown in Fig. 11(a), without considering the contribution of corbels for the negative moment, $M_{bL} = P_{bL} \cdot L_b$, $M_{bR} = P_{bR} \cdot L_b$. M_{bL} and M_{bR} represented the moments at the left and right beam ends, respectively. P_{bL} and P_{bR} were the vertical reaction forces of the roller supports at the left and right beam ends, respectively. Before 1.5% lateral drift ratio, the moments M_{bL} and M_{bR} increased rapidly, resulting in the increasing of the applied lateral load P_n (shown in Fig. 9), causing the concrete cracking and flexural reinforcing bars yielding. After that, the moment M_{bL} and M_{bR} decreased due to the serious concrete crushing, bond-slip of flexural reinforcing bars and longitudinal rebars, and large gap at beam ends, as shown in Fig. 8.

Combined with the failure pattern, deformation, and strain distributions of concrete and reinforcing bars, a simplified beam yielding mechanical model for the moment-carrying capacity at the left and right beam ends can be established, as shown in Fig. 11(b) and (c). When calculating the positive moment M_{bL} , the thickness of the U-shell was taken as the effective depth and width of the beam. Additionally, the reinforcing bars (6D25) at the bottom of the PC beams had no

448 contribution to the moment capacity because these bars had no tension stress (refer to SR6 in Fig. 10(c)). However, in the
449 calculation of the negative moment M_{bR} , the contribution of the reinforcing bars (6D25) at the beam bottom and the PC
450 U-shell should be considered. It should be noted that the corbels also contributed to the negative moment capacity M_{bR}
451 because the corbels restricted the relative rotation of the right beam along the column, changing the area and height of the
452 compression zone at the bottom of the beam, also providing the vertical force at beam end. However, the corbels had no
453 influence on the positive moment capacity M_{bL} because there was a small gap-opening observed between the PC beam
454 and the corbel under positive moment (Fig. 8).

455 The simplified mechanical model was consistent with the crack distributions and failure patterns of the PC self-
456 sustaining beam-column connections. When the specimen was under positive load, the tension reinforcing bars at the top
457 of the right beam were anchored to the compression zone of the left beam because of the gap at the beam end and the
458 bond-slip of the rebars in the joint region (refer to SR1 and SR2 in Fig. 10 (c)). Therefore, the compression zone at the
459 left beam end was subjected to the combination of compression and anchorage forces (Fig. 11(b)). At that time, the
460 reinforcing bars at the top of the right beam were anchored in the cast-in-place concrete, where distributed vertical cracks
461 occurred. Under reverse cyclic loading, horizontal shear cracks were observed between the cast-in-place and PC concrete
462 at the tops of the beams with the lengths of 200-550 mm for the different PC specimens. In specimen PC-R, there were
463 more flexural reinforcing bars at the bottom of the U-shell, which meant a larger A_{sI} when calculated the positive moment
464 M_{bL} at the critical region (Fig. 11(b)). Hence, the local damage and concrete crushing at the top of the beam at the critical
465 region was more serious (Fig. 8(e)). Meanwhile, more diagonal shear cracks at the joint and longer horizontal shear cracks
466 at the top of the PC composite beam were observed due to the increased shear force and severe bond-slip of the rebars at
467 the joint.



(a) Positive and Negative moment at beam ends

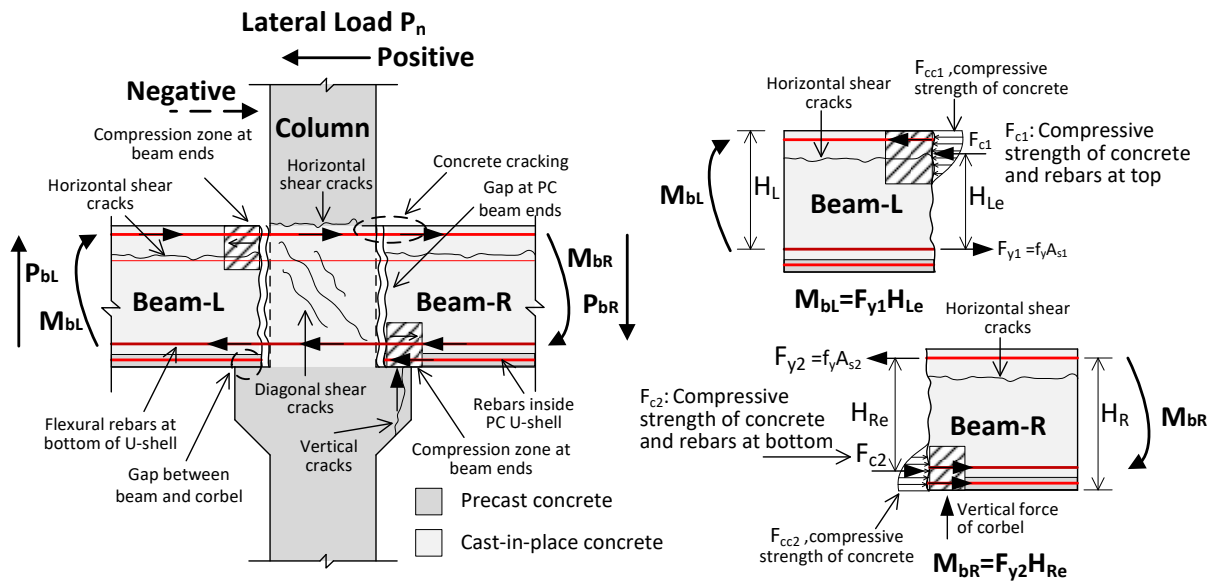


Fig. 11. Simplified mechanical model of the PC connection.

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Take specimen PC-C for an example to verify the mechanical model. The actual material strength and rectangular concrete stress block of ACI 318-08 [42] were used in the calculation. For the different diameters of reinforcing bars (refer to Table 1), the ultimate tensile stress $f_y = 720$ MPa was used to simplify the calculation. In the calculation of the positive moment at the left beam ends, $H_{Le} = 610$ mm was obtained from the equation: $F_{y1} = F_{c1}$. Hence, the positive moment can be calculated as $M_{bL} = F_{y1} \cdot H_{Le} = 1257 \text{ mm}^2 \times 720 \text{ MPa} \times 610 \text{ mm} = 560 \text{ kN}\cdot\text{m}$. Due to the complex stress at the right beam ends, the contribution of the corbel to the negative moment M_{bR} was not considered to simplify the calculation. The

477 distance H_{Re} between the tension force of the rebars at the top of the beam and the centre of the compression force of the
478 rebars and concrete was 550 mm. The negative moment at the right beam ends was calculated as $M_{bR} = F_{y2} \cdot H_{Re} = 1742$
479 $\text{mm}^2 \times 720 \text{ MPa} \times 550 \text{ mm} = 689 \text{ kN}\cdot\text{m}$. The theoretical load-carrying capacity of the PC-C specimen was calculated as P_n
480 $= (M_{bL} + M_{bR}) \cdot L / L_b / H_c / 2 = 289 \text{ kN}$. The tested load of the PC-C specimen was 320 kN on average, which was 10.7% larger
481 than the calculation. This was attributed to the exclusion of the contribution of the corbel for the negative moment-carrying
482 capacity and the reinforcing bars at the top of the PC U-shell (2D16+4D10) for the positive moment-carrying capacity.
483 For specimen PC-R, a similar conclusion was also obtained, which was about 12% less than the test results.

484

485 **6. Cyclic performance indicators of test specimens**

486 **6.1 Stiffness and strength degradation**

487 The magnitudes of the secant stiffness of the six specimens at each loading lateral drift ratio, i.e. the stiffness
488 degradation [43], are compared in Fig. 12. The stiffness degradation essentially reflects the cumulative damage of a
489 structural element under seismic load and is an important factor for the evaluation of the overall response. For the five
490 PC specimens, the secant stiffness decreased continuously with the increasing applied displacement due to the cumulative
491 damage during the loading process. Before the 1.5% lateral drift ratio, the scant stiffness decreased rapidly, which was
492 attributed to the development of concrete cracking, bond-slip and yielding of the reinforcing bars. After that, the gap at
493 the beams was not closed after unloading and the stiffness degradation dropped slowly. Specimen PC-R exhibited larger
494 secant stiffness compared with the other four PC specimens, and is attributable to more reinforcing bars inside the U-
495 shell. The length of the reinforcing bars inside the U-shell had little influence on the degradation. However, the PC-L2
496 specimen possessed the largest initial scant stiffness, followed by specimen PC-S, indicating that an adequate anchorage
497 ability of the flexural reinforcing bars was beneficial to the initial stiffness.

498 The cumulative damage could also result in strength degradation during the three repeated cycles at a given lateral
499 displacement level. The strength degradation can be defined as the ratio of the cyclic load-carrying capacity at the i^{th}
500 ($i=2,3$) load cycle to that at the first load cycle, as shown in Fig. 13 and Eq. (2).

$$501 \quad \alpha_i = \frac{P_j^i}{P_j^1} \quad (2)$$

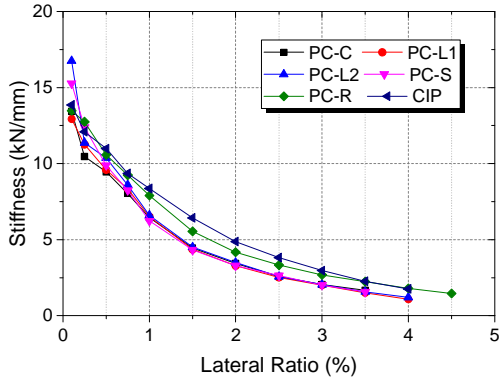


Fig. 12 Stiffness degradation

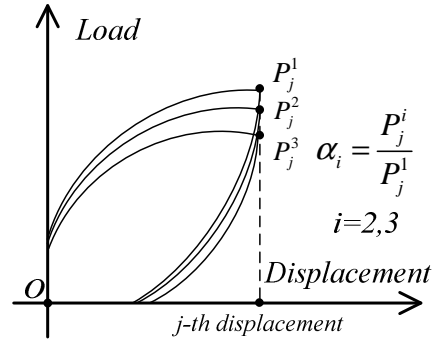
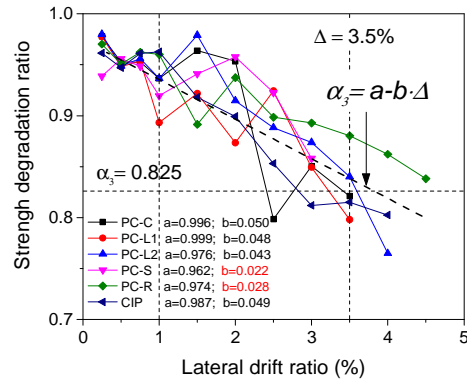
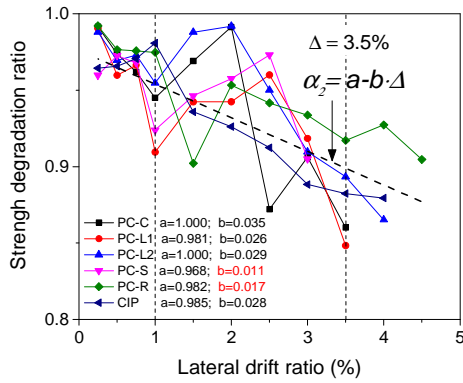


Fig. 13 Definition of the strength degradation ratio



(a) Strength degradation ratio in the second cycle (b) Strength degradation ratio in the third cycle

Fig. 14. Strength degradation ratios of the test specimens

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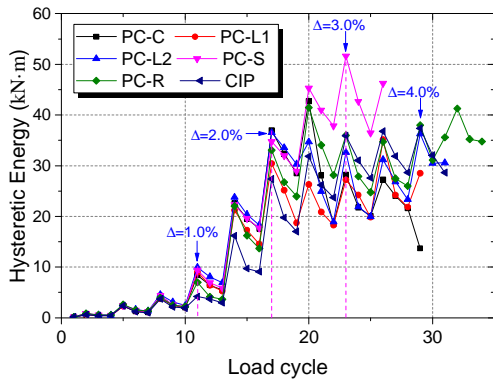
514

In the specimens PC-C, PC-L1, PC-L2 and PC-S, there was a sudden drop of the strength degradation ratios in both the second and third cycles (α_2 and α_3) at the 1% lateral drift ratio, then increased at the 1.5% and 2% lateral drift ratios (Fig. 14(a) and (b)). The sudden drop of the strength degradation ratio also occurred in specimen PC-R at the 1.5% lateral drift ratio. The sudden drop was attributed to the large gap-opening at the beam ends. Although the load-carrying capacity was similar, the strength degradation in specimen PC-L1 was more serious compared with the specimens PC-C and PC-L2, indicating that the shorter length of the flexural reinforcing bars increased the cumulative damages. In specimens PC-C, PC-L1, PC-L2, the strength degradation ratios α_2 and α_3 decreased by 3.0% and 4.8% on average, at every 1% lateral drift ratio, respectively. However, the PC-S and PC-R specimens showed different strength degradation ratios α_2 and α_3 , which decreased by 1.4% and 2.5% on average, respectively. These results showed that more reinforcing bars and stirrups inside the PC U-shell were beneficial for mitigating strength degradation of the PC beam-column connections. In the five PC specimens, the strength degradation ratio α_3 at the 3.5% lateral drift ratio was approximately 0.825 on average, which was greater than 0.75 according to the acceptance criteria specified by ACI 374.1-05 [44]. For specimen CIP, the strength

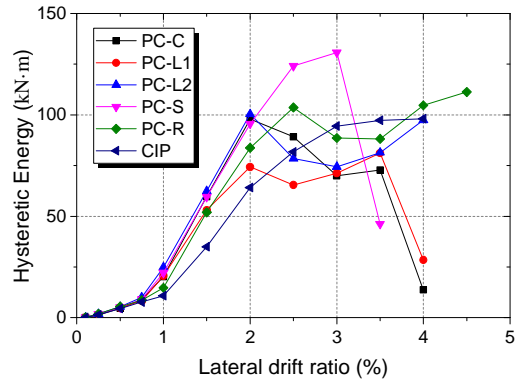
515 degradation ratios α_2 and α_3 were basically linear due to the diagonal shear crack damage at the joint region.

516 **6.2 Energy dissipation capacity**

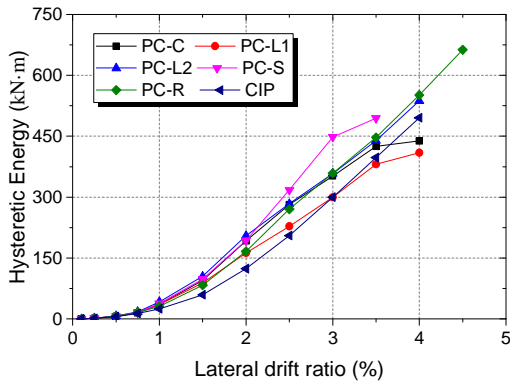
517 The energy dissipation capacity of test specimens is calculated and compared in terms of the energy dissipation per
 518 load cycle, energy dissipation per load level, cumulative energy dissipation and the equivalent viscous damping ratio, as
 519 shown in Fig. 15(a) to (d). The energy dissipation per load cycle is defined as the area enclosed by a load-displacement
 520 cycle, and the energy dissipation per load level is defined as the sum of the three load-displacement cycles at a given
 521 displacement. The cumulative energy dissipation is evaluated as the total energy dissipation up to a given draft ratio level.
 522 The definition of the equivalent viscous damping ratio ζ_{eq} is shown in Fig. 15(e) [45]. Where $S_{(ABC+CDA)}$ denotes the area
 523 enclosed by the hysteresis loop at a given displacement, $S_{(\Delta OBE+\Delta ODF)}$ denotes the sum of the area of the two right triangles
 524 OBE and ODF.



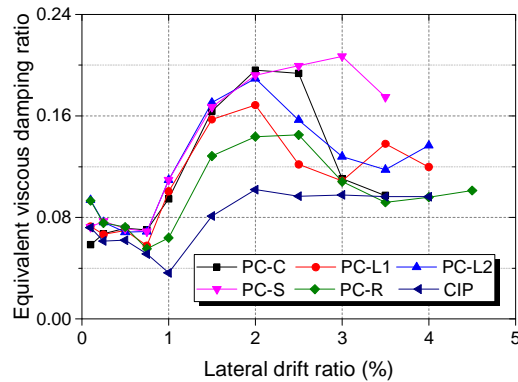
(a) Energy dissipation per load cycle



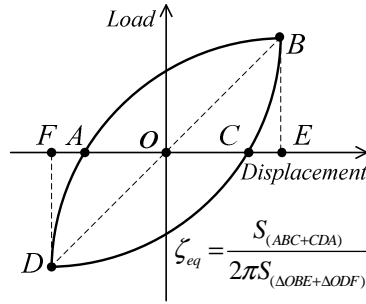
(b) Energy dissipation per load level



(c) Cumulative energy dissipation



(d) Equivalent viscous damping ratio



(e) Definition of the equivalent viscous damping ratio

Fig. 15. Energy dissipation capacity of the test specimens.

525 In specimen PC-C, the energy dissipation per load cycle reached a maximum value at the first cycle of the 2.5%
 526 lateral drift ratio, while the maximum value in specimen PC-S was achieved at the first cycle of the 3% lateral drift ratio.
 527 The equivalent viscous damping ratio and energy dissipation per load level in specimen PC-S continued to increase until
 528 a lateral drift ratio of 3% and were much larger than those of the PC-C specimen. Finally, the cumulative energy dissipation
 529 of specimen PC-S was 16.5% greater than that of specimen PC-C. Hence, in spite of their similar load-carrying capacities,
 530 the usage of stirrups inside the PC U-shell reduced the bond-slip of the flexural reinforcing bars and increased the energy
 531 dissipation capacity, demonstrating the efficiency and advantages of this anchorage measure.

532 Among the three specimens PC-L1, PC-C and PC-L2, the PC-L1 specimen exhibited the lowest amount of energy
 533 dissipation and an equivalent viscous damping ratio. The cumulative energy dissipation of specimen PC-L1 was 31.1%
 534 less than that of specimen PC-L2 at the 4% lateral drift ratio, indicating a better energy dissipation capacity of the PC
 535 beam-column connection with longer flexural reinforcing bars inside the PC U-shell. In spite of the higher load-carrying
 536 capacity and larger ultimate lateral drift ratio, the differences of energy dissipation capacity between specimens PC-R and
 537 PC-C were minor at the same lateral drift ratio before 3.5%. This finding was attributed to the significant rebar bond-slip
 538 and more damage at the joint in specimen PC-R, resulting in more pronounced pinching of the hysteretic loops and smaller
 539 equivalent viscous damping ratios (Fig. 15(d)). Due to non-ideal damage at the joint in specimen CIP, the equivalent
 540 viscous damping ratio was smaller compared to those of the PC specimens, and the energy dissipation capacity was
 541 unsatisfactory considering its higher load-carrying capacity.

542

543 7. Conclusions

544 Precast concrete frames with self-sustaining beam-column connections have been proposed and implemented in
 545 several pilot buildings in China. The proposed PC connections have great advantages in terms of high construction

546 efficiency, less manual labour, cost effectiveness, and less need for formworks and temporary supports, as have been
547 demonstrated by the test specimens in the laboratory and actual projects. Large-scale experiments were performed to
548 study the seismic performance of the PC self-sustaining beam-column connections. On the basis of the test results, the
549 following conclusions can be drawn:

550 (1) The PC self-sustaining beam-column connections showed a distinctly different failure pattern compared with
551 normal cast-in-place connections. Such a failure pattern was characterised by a gap-opening between the PC beams and
552 column, combined with concrete crushing, rebar yielding and bond-slip at the beam ends and joints. The large gap-opening
553 was attributed to the PC U-shell with large thickness at the beam ends, resulting in a decreased effective depth and width
554 of the PC beam cross-section.

555 (2) The corbels of the PC columns are key to enable easy assembly and a short overall erection time of this type of
556 PC frames in site. At the same time, the corbels also contribute to the load-carrying capacity, including the negative
557 moment-resisting capacity and shear resistance at the beam ends. However, the corbels restricted the relative rotation of
558 the beams to columns, especially in negative bending, and this effect has been shown to result in a decreased deformation
559 capacity and earlier fracture of the top longitudinal reinforcing bars at the beam top.

560 (3) The straight flexural reinforcing bars inside the PC U-shell resulted in the different failure pattern compared with
561 the existing similar PC connections. The length of the flexural reinforcing bars inside the PC U-shell had little influence
562 on the load-carrying capacity of the PC connections. Nevertheless, the longer flexural reinforcing bars in specimen PC-
563 L2 increased the energy dissipation capacity because of the reduced rebar bond-slip at the beam ends, and also improved
564 the initial stiffness.

565 (4) Compared with specimen PC-C, the load-carrying capacity of specimen PC-R improved by 24% when the area
566 of the flexural reinforcing bars was increased by 50%. In addition, the ultimate lateral drift ratio also improved by 22%
567 on average. The more flexural reinforcing bars inside the PC U-shell tended to have contributed to the stiffness and
568 strength degradation.

569 (5) In specimen PC-S, anchorage measures (stirrups) used to colligate the flexural reinforcing bars inside the U-shell
570 and the PC beam bottom longitudinal rebars together were demonstrated to be effective in enhancing the energy
571 dissipation capacity, as well as mitigating the strength degradation.

572 (6) The simplified mechanical model with the beam yielding mechanism was consistent with the crack distributions,
573 failure pattern, strains of the reinforcing bars and concrete. In the five specimens, the theoretical load-carrying capacities
574 based on the simplified mechanical models were consistent with the test results but slightly underestimate by

575 approximately 9.5%-14%. This underestimation was due to the exclusion of the constructional reinforcement at the top
576 of PC composite beams and the contribution of corbels to simplify the calculation.

577 The test results suggest that from the seismic performance point of view, the side thickness of the precast U-shell at
578 the beam ends should be smaller to ensure the load-carrying capacity and improve the integrity of the PC connections.
579 On the other hand, sufficient side thickness of the PC U-shell is required for the assembly construction in site. Hence,
580 there is a scope for optimization of the designed PC U-shell in terms of the length, thickness, inside surface and stirrups
581 in order to achieve both good constructability and satisfactory seismic performance. Furthermore, economic performance
582 between the PC connections and CIP connections should be compared and analyzed in the future.

583 The details of the self-sustaining beam-column connections in PC frames are on course to be adopted in the Chinese
584 code for the design of precast concrete structures. Further study should look into possible measures for enhancing the
585 integrity between the U-shell PC beam and the cast-in-place joint, thus avoiding large gap-opening at the beam ends.
586 Extended experimental studies are also recommended to cover a wider range of parameter variation for precast concrete
587 frames with self-sustaining beam-column connections to fully estimate the seismic behaviour of the building and increase
588 the application in moderate- and high-seismic regions.

589

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