

# 1 Taxonomic revision of the banana *Fusarium* wilt TR4 pathogen 2 is premature

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## 8 9 Abstract:

10 Taxonomic revisions for pathogens of crops should be based on robust underpinning evidence.  
11 Recently, a substantial revision was proposed for the taxonomy of the causative agent of *Fusarium*  
12 wilt on banana. We re-analysed the data on which this revision was based and discovered that the  
13 data do not robustly support the proposals. Several apparent discrepancies and errors in the published  
14 phylogenies cast further doubt on the conclusions drawn from them. Though we do not assert that  
15 the authors' conclusions are incorrect, we posit that the taxonomic changes are premature, given the  
16 data currently in the public domain.

## 17 18 Main text

### 19 The (unintended) consequences of taxonomic revisions

20 Taxonomy is not static; it must be updated in the light of new knowledge, especially new insights into  
21 evolutionary relationships. However, changes to taxonomy of phytopathogens can have adverse  
22 consequences; for example, they can make the taxonomy used in the legislation and regulation  
23 difficult to interpret and pose problems for its application (Lodovica, Peter, and Bonants Editors n.d.).  
24 This is problematic enough in a relatively wealthy territory, but financial consequences may be more  
25 dire in resource-poor countries. Revisions to the taxonomy of pathogens of tropical crops such as

26 banana should not be imposed without strong justification and robust underpinning evidence. In our  
27 view, the recently proposed changes to the taxonomy of the causal agent of Fusarium wilt disease on  
28 banana, and their adoption by the *Index Fungorum* ([www.indexfungorum.org](http://www.indexfungorum.org)) do not sufficiently  
29 stand up to scrutiny.

### 30 The importance of Fusarium wilt on banana

31 Bananas and plantains (*Musa* spp.) are enormously important for subsistence of many millions of  
32 smallholder and corporate growers in Africa, Asia and South and Central America, both for subsistence  
33 and export. The fungal pathogen *Fusarium oxysporum* f. sp. *cubense* (*Foc*) poses a global threat to  
34 banana production, causing a wilting disease formerly known as Panama Disease. In the twentieth  
35 century, decimation by *Foc* Race 1 led to the replacement of a near-monoculture of variety Gros  
36 Michel by the resistant Cavendish varieties. However, Cavendish is susceptible to *Foc* Race 4. Of  
37 particular concern are Race-4 strains known as *Foc* Tropical Race 4 (TR4) that are gaining a foothold  
38 from southeast Asia to sub-saharan Africa and recently established in Latin America, precipitating a  
39 state of national emergency in Colombia (Maymon et al. 2020; Zheng et al. 2018; Ordonez et al. 2015;  
40 Ploetz 2015; Butler 2013; Stokstad 2019; Dita et al. 2018; García-Bastidas et al. 2014; Ploetz et al.  
41 2015; O'Neill et al. 2016; Ordoñez et al. 2016; Chittarath et al. 2018; Hung et al. 2018; Damodaran et  
42 al. 2019; Thangavelu et al. 2019; Aguayo et al. 2021; Hermanto et al. 2011).

### 43 Recent taxonomic revisions around the Fusarium wilt pathogen

44 It is against this backdrop of new and longstanding threats to banana production in low- and middle-  
45 income tropical nations that a substantial revision was proposed for the taxonomy of the causative  
46 agent, *Foc* (Maryani et al. 2019). It has long been known that *Foc* is not a single monophyletic group  
47 but rather a heterogeneous collection of lineages within the *Fusarium oxysporum* species complex  
48 that have independently converged upon pathogenicity in banana (Koenig, Ploetz, and Kistler 1997;  
49 Gordon and Martyn 1997; O'Donnell et al. 1998; Ploetz 2006; Fourie et al. 2009). The number of known  
50 independent lineages has been increased to nine following extensive sampling of isolates in Indonesia,  
51 a center of diversity of both host plant and pathogenic fungus (Maryani et al. 2019). The authors of  
52 that study went further than simply describing the lineages and formally proposed lineages as new  
53 species. The *Fusarium oxysporum* species complex is conventionally divided into three major clades  
54 (O'Donnell et al. 1998). Maryani's lineages 1, 2 and 3 fall within O'Donnell's clade 1, while lineages 4  
55 – 9 fall within clade 2. They also propose a clade 5 (that is distinct from lineage 5).

56 The authors of the recent *Foc* taxonomic revision did not explicitly state a rationale for proposing these  
57 new species. However, they used phylogeny and morphological characteristics as the basis and

58 claimed that each new species represented a monophyletic lineage (Maryani et al. 2019). The  
59 supporting evidence for these monophyletic lineages consisted of phylogenetic trees based on  
60 molecular sequences for several genetic loci including *tef1a*, *rpb1* and *rpb2*. However, when we  
61 attempted to replicate these phylogenetic trees, we discovered that the data do not robustly support  
62 the monophyly of the proposed new species. We also identified several apparent discrepancies and  
63 errors in the published phylogenies that cast further doubt on the conclusions drawn from them.

64 It is possible that the proposed new species do in fact correspond to a biological reality; after all, some  
65 of the species appear to bear some distinct characteristic phenotypic features. However, the  
66 taxonomic revisions are not strongly and robustly supported by the molecular sequence data that are  
67 currently in the public domain. Therefore, considering the administrative burden imposed on those  
68 attempting to manage the disease and the potential for confusion in the research community, we  
69 oppose the adoption of these taxonomic revisions until more incontrovertible evidence is published.  
70 Several previous studies have recognised that *F. oxysporum* contains at least two or three biologically  
71 meaningful species. A useful species concept for fungi is one in which recombination occurs within a  
72 species but not between different species (Taylor et al. 2000). Phylogenetic analyses implementing  
73 this concept supported the existence of two (Laurence et al. 2014) or three (Brankovics et al. 2017)  
74 phylogenetic species corresponding to O'Donnell's clades 1, 2 and 3. We also note that previous  
75 studies of the *F. oxysporum* genetic diversity did not propose to elevate the various clades and  
76 subclades to the status of separate species.

#### 77 Lack of phylogenetic support for *F. odoratissimum* and *F. purpurascens*

78 The most impactful aspect of the recent taxonomic revision (Maryani et al. 2019) is the proposal of a  
79 new species, *Fusarium odoratissimum*, which includes strains informally dubbed TR4. We were unable  
80 to replicate the phylogeny on which that proposal is based. This new species is proposed to comprise  
81 lineage 1 in Figure 6 of Maryani and colleagues' paper (Maryani et al. 2019). That figure consists of a  
82 phylogenetic tree based on concatenated sequences of *tef1* and/or *rpb1* and/or *rpb2* depending on  
83 availability of sequence data for each isolate. Each of their nine lineages, including lineage 1 (i.e., *F.*  
84 *odoratissimum*), had less than 70 % bootstrap support.

85 We attempted to replicate their phylogenetic analysis and failed to recover a clade corresponding to  
86 their lineage 1; rather, we found that members of species *F. odoratissimum* and *F. purpurascens* are  
87 intermingled, with *F. tardichlamydosporum* NRRL 36108 and *F. phialophorum* NRRL 36110 also falling  
88 within the *F. odoratissimum* – *F. purpurascens* clade (Figure 1).

89 We next generated a phylogenetic tree based solely on the *tef1* locus (Figure 2), which also has *F.*  
90 *odoratissimum* and *F. purpurascens* are intermingled, suggesting a lack of robust support for these  
91 two proposed species as monophyletic entities. Isolates NRRL 36111, 36105, 36113, 36117, 36106,  
92 36115, 36120, 36116, 36118, 36108, FocCNPFR2 and FocMal43 fall into clade 2 and NRRL 36101 fall  
93 into clade 3 according to Maryani and colleagues but they fall into clade 1 (*F. odoratissimum*) in our  
94 phylogenetic reconstruction. This throws further doubt on the monophyly of *F. odoratissimum*.

95 It is important to emphasize that we do not claim that our phylogeny is more correct than theirs;  
96 rather, we are pointing out that the underlying sequence data do not unequivocally support either  
97 phylogeny. Unfortunately, the multiple sequence alignments that underlie the phylogeny are not  
98 readily available to allow scrutiny by peer reviewers and interested readers (Vihinen 2020). Maryani  
99 and colleagues state that they submitted trees to TreeBASE (Sanderson et al. 1994) but no accession  
100 numbers were provided and we were unable to find the trees in TreeBASE.

### 101 Further concerns about the published phylogeny

102 There are further important ambiguities and discrepancies in Maryani and colleagues' Figure 6  
103 (Maryani et al. 2019) that undermine their proposed taxonomic changes. For example, lineage 3 is  
104 paraphyletic, its last common ancestor being also an ancestor of lineages 1 and 2. This error might be  
105 explained by a trivial oversight, which could be remedied by exclusion of isolates InaCC F869 and NRRL  
106 36110 from Lineage 3. The inclusion of InaCC F820 in lineage 4 seems to be similarly erroneous.  
107 Another, more serious error arises where Figure 6 falls across the page break between pages 175 and  
108 176 (Maryani et al. 2019). At the bottom of page 175, two limbs of the tree are indicated as joining to  
109 three limbs at the top of page 176. This might be explained by part of the tree having been accidentally  
110 omitted from the figure, leaving clade 2 unconnected with the rest of the tree. In any case, confidence  
111 in the phylogenetic tree is compromised.

112 The authors proposed a new species *F. grosnichelli* composed of *Foc* lineage 4, but there were several  
113 issues apparent with this clade and taxon. According to their Table 3, Isolate InaCC F820 belongs to  
114 this new species, yet in their Figure 6 it is quite clear that it does not fall within lineage 4; rather it  
115 seems to be an early-branching member of lineage 3 (*F. phialophorum*). Another problem concerns  
116 isolates InaCC F824, F988 and F938; each of these appears at two different locations in the FOSC clade  
117 in Figure 4 of Maryani *et al.* study, without explanation. Similarly, InaCC F839 appears twice in clade 1  
118 in their Figure 5. Isolates InaCC F856, InaCC F929 and InaCC F983 are also duplicated in Figure 6 of  
119 Maryani et al., InaCC F983 even falls in two completely different lineages L3 (*F. phialophorum*) and L7  
120 (*F. cugenangense*). Isolate NRRL 34939 appears in the phylogenetic tree in Figure 4 of Maryani *et al.*,  
121 though not listed in the accompanying Table 3. Similarly, isolate NRRL 36104 is included in a

122 phylogenetic tree, but is not included in the corresponding table. The most likely explanation for these  
123 latter discrepancies is a simple typographical error. Nevertheless, taken together, the constellation of  
124 errors and inconsistencies in this study combine to erode confidence in its conclusions and the  
125 taxonomic proposals based upon them.

126

## 127 What is TR4?

128 Given the threat posed by this pathogen and the ambiguity around its taxonomy, it is worthwhile to  
129 ask the question: what is *Foc* tropical race 4 (TR4)? Different authors have defined the term TR4 as “a  
130 new race” (Maymon et al. 2020), a synonym for vegetative compatibility group VCG01213 (Maryani  
131 et al. 2019; Zheng et al. 2018), a “unique genotype” (Maryani et al. 2019), a synonym for the species  
132 *F. odoratissimum* (Warmington et al. 2019) and as those isolates of Race 4 that cause disease on  
133 Cavendish banana in tropical conditions (Czislowski et al. 2018). Clearly, *F. odoratissimum* is not  
134 synonymous with *Foc* TR4 since included within this species is at least one isolate (CBS 794.70) that  
135 belongs to special form *perniciosum* rather than *cubense* (Lombard et al. 2019). So, TR4 has been used  
136 to describe such diverse entities as species, race, vegetative compatibility group, genotype and set of  
137 isolates. Most of these definitions are problematic, but the most coherent is “those isolates of race 4  
138 that cause disease on Cavendish in tropical conditions” (Czislowski et al. 2018). That is, TR4 is a subset  
139 of race 4, which in turn is defined as comprising strains pathogenic to all race 1- and 2-susceptible  
140 cultivars plus the Cavendish subgroup (Czislowski et al. 2018; Ji Su 1986; Bourne 2007). TR4 isolates  
141 are members of the *F. oxysporum* species complex, and appear to be mostly if not entirely restricted  
142 to Clade 1 *sensu* O’Donnell (O’Donnell et al. 1998). Ultimately, however, TR4 is a phenotype, not a  
143 taxonomic unit. If further data emerge that confirm *F. odoratissimum* as a discrete species, then it is  
144 very likely that strains designated as TR4 will indeed fall within that species.

## 145 Concluding Discussion

146 In summary, given the multiple issues undermining confidence in the study that underlies recent  
147 taxonomic revision (Maryani et al. 2019), we counsel against its adoption, yet. It is important to  
148 emphasise that we are not saying that those authors’ conclusions are incorrect. Maybe future  
149 publication of existing but as-yet-unavailable data (Maryani 2018) and subsequent research will  
150 confirm the monophyly of the proposed new species. Rather, we are concerned that the taxonomic  
151 changes are premature, based on the data currently in the public domain and the body of currently  
152 published knowledge. It is unclear how the newly proposed species (Maryani et al. 2019) integrate

153 with the previous framework proposed by some of the same authors that divided the species complex  
154 into 15 species (Lombard et al. 2019). There continues to be active debate and controversy around  
155 the taxonomy of *Fusarium* species; recently a letter co-authored by many prominent *Fusarium*  
156 researchers rejected a proposal to split the genus into seven genera (Geiser et al. 2020). Morphology  
157 of asexual reproductive structures was previously used to distinguish ten species within the *Elegans*  
158 division of *Fusarium*; however, these were collapsed into a single species *F. oxysporum* on the grounds  
159 that these differences are small and morphology is variable and susceptible to environmental  
160 influence (Snyder and Hansen 1940; Nelson 1991). Re-splitting would be unwise without significant  
161 improvement in our ability to distinguish the proposed species morphologically and/or genetically.  
162 The existence of monophyletic lineages is not itself sufficient justification for taxonomic revision;  
163 acceptable rationales for revision might include greater clarity or taxonomic stability, neither of which  
164 is achieved in Maryani's proposal.

165 The limited confidence in Maryani's phylogenetic analysis arises in part from the sparsity of the data.  
166 The phylogeny is based on just three loci, fewer for some isolates. Increasing the number of sampled  
167 loci might strengthen robustness of phylogenetic inferences, as seen in recent studies that considered  
168 the whole mitochondrial genome (Brankovics et al. 2017) or the entire nuclear and mitochondrial  
169 genomes (Achari et al. 2020). The latter confirmed the existence of five well-supported clades  
170 corresponding to three distinct species within the *F. oxysporum* complex. Genome-scale sequencing  
171 data for Maryani's collection of diverse Indonesian isolates may well resolve the current ambiguities.

172 Finally, we draw attention to the various conflicting uses of the term TR4 and recommend that it be  
173 used in the sense of Czislowski and colleagues (2018) and as a phenotypic rather than taxonomic  
174 designation. We look forward to publication of further research in this area that will resolve the  
175 phylogenetic and taxonomic ambiguities.

176

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287

## 288 Figures

289 **Figure 1. Maximum likelihood tree inferred from a combined dataset of *rpb1*, *rpb2* and *tef1a* from**  
 290 **215 *Fusarium* spp. isolates.** Taxa are labelled and coloured according to the species attributed by  
 291 Maryani et al. (2019). Isolates mentioned in the main text are indicated by text labels. *Fusarium*  
 292 *fujikuroi* (CBS 221.76) served as the outgroup to root the tree. Sequences were obtained from the  
 293 NCBI Entrez portal (Sayers et al. 2019) via the accession numbers provided by Maryani et al. (2019).  
 294 Sequences were aligned using MAFFT (Katoh 2002) and manually trimmed in Seaview (Gouy, Guindon,  
 295 and Gascuel 2010). Phylogenetic trees were generated using PhyML (Guindon and Gascuel 2003) from  
 296 using the command lines documented in the Extra Files. Graphics were rendered using the Interactive  
 297 Tree of Life (Letunic and Bork 2021). Bootstrap support is indicated by thickness of branches. Species  
 298 designations are coloured as blue for *duoseptatum*, brown for *grosnichelii*, green for *odoratissimum*,  
 299 white for *oxysporum*, magenta for *phialophorum*, red for *purpurascens*, cyan for

300 *tardichlamydosporum*, purple for *tardiscrecens*, orange for *kalimantense*, yellow for *sangayamense*  
301 and mercury for *cugenangense*.

302

303 **Figure 2. Maximum likelihood tree of the FOOSC inferred from *tef1a* from 234 *Fusarium* spp. isolates.**

304 Taxa are labelled and coloured according to the species attributed by Maryani et al. (2019) using the  
305 same colour coding as in Figure 1. *Fusarium fujikuroi* (CBS 221.76) served as the outgroup to root the  
306 tree. Sequences were obtained from the NCBI Entrez portal (Sayers et al. 2019) via the accession  
307 numbers provided by Maryani et al. (2019). Sequences were aligned using MAFFT (Katoh 2002) and  
308 manually trimmed in Seaview (Gouy, Guindon, and Gascuel 2010). Phylogenetic trees were generated  
309 using PhyML (Guindon and Gascuel 2003) using the command lines documented in the Extra Files.  
310 Graphics were rendered using the Interactive Tree of Life (Letunic and Bork 2021). Bootstrap support  
311 is indicated by thickness of branches.

312

## 313 Extra files

- 314 • **Multiple sequence alignment of concatenated *rpb1*, *rpb2* and *tef1a* from 215 *Fusarium***  
315 **spp. isolates.** This is the alignment from which the phylogeny in Figure 1 is derived.  
316 Sequences were obtained from the NCBI Entrez portal (Sayers et al. 2019) via the accession  
317 numbers provided by Maryani et al. (2019). Sequences were aligned using MAFFT (Katoh  
318 2002) and manually trimmed in Seaview (Gouy, Guindon, and Gascuel 2010). Phylogenetic  
319 trees were generated using PhyML (Guindon and Gascuel 2003). This file serves as input for  
320 phylogenetic analysis tools such as RAxML. Filename: concatenated\_fna.txt.
- 321 • **Multiple sequence alignment of *tef1a* from 234 *Fusarium* spp. isolates.** This is the  
322 alignment from which the phylogeny in Figure 2 is derived. Sequences were obtained from  
323 the NCBI Entrez portal (Sayers et al. 2019) via the accession numbers provided by Maryani et  
324 al. (2019). Sequences were aligned using MAFFT (Katoh 2002) and manually trimmed in  
325 Seaview (Gouy, Guindon, and Gascuel 2010). Phylogenetic trees were generated using  
326 PhyML (Guindon and Gascuel 2003). This file serves as input for phylogenetic analysis tools  
327 such as RAxML. Filename: tef1\_fna.txt.
- 328 • **RAxML commands used to generate the trees illustrated in Figures 1 and 2.** Filename:  
329 run\_RAxML.pdf.

- 330       • **RAxML bipartitions tree file for concatenated *rpb1*, *rpb2* and *tef1a* from 215 *Fusarium* spp. isolates.** This is the output from RAxML in Newick format and serves as input into tree  
331 visualisation tools. Filename: RAxML\_bipartitions.concatenated\_partitions.txt.  
332
- 333       • **RAxML bipartitions tree file for concatenated *tef1a* from 234 *Fusarium* spp. isolates.** This is  
334 the output from RAxML in Newick format and serves as input into tree visualisation tools.  
335 Filename: RAxML\_bipartitions.tef1\_partitions.txt.
- 336       • **Nexus tree file for concatenated *rpb1*, *rpb2* and *tef1a* from 215 *Fusarium* spp. isolates.** This  
337 is the output from RAxML converted into Nexus format (Maddison, Swofford, and Maddison  
338 1997). Filename: concatenated-nexus.txt.
- 339       • **Nexus tree file for concatenated *tef1a* from 234 *Fusarium* spp. isolates.** This is the output  
340 from RAxML converted into Nexus format (Maddison, Swofford, and Maddison 1997).  
341 Filename: tef1-nexus.txt.

*F. duoseptatum*

*F. grosnichelli*

outgroup

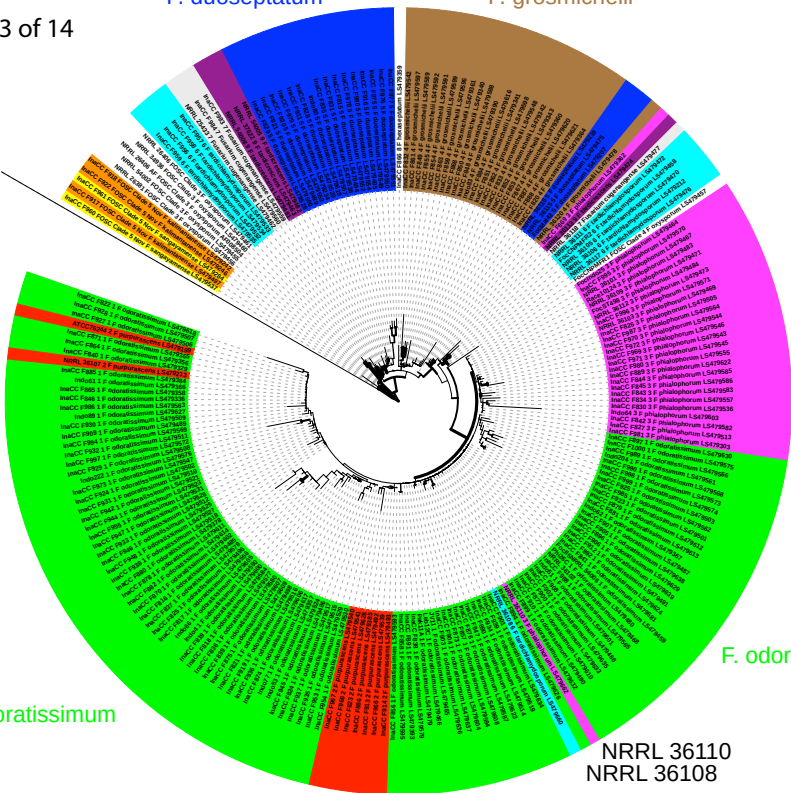
*F. phialophorum*

*F. odoratissimum*

*F. odoratissimum*

*F. purpurascens*

NRRL 36110  
NRRL 36108



outgroup

