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# 1 Long Term Friction: from Stick-Slip to Stable Sliding

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6 **Abstract.** We have devised an original laboratory experiment where we investigate  
7 the frictional behaviour of a single crystal salt slider over a large number of  
8 deformation cycles. Because of its physical properties, salt, [an analogue](#) for natural  
9 faults, allows for frictional [processes](#) plastic deformation and pressure solution creep  
10 to [operate](#) on the same timescale. During the same experiment, we observe a  
11 continuous change of the frictional behaviour of the slider under constant conditions  
12 of stiffness, temperature and loading velocity. The stick-slip regime is progressively  
13 vanishing, eventually reaching the stable sliding regime. Concomitantly, the contact  
14 interface, observed under the microscope, develops a striated morphology with contact  
15 asperities increase in length and width, arguing for an increase in the critical slip  
16 distance  $d_c$ . Complementary experiments including velocity jumps show that the  
17 frictional parameters of the rate and state friction law,  $a$  and  $b$ , progressively vanish  
18 with [accumulated](#) slip. [The](#) ultimate stage of friction is therefore rate and state  
19 independent [under our experimental conditions](#).

## 20 1. Introduction

21 Macroscopic solid friction obeys simple empirical laws, known as Amontons-  
22 Coulomb friction laws [*Amontons*, 1699; *Coulomb*, 1785]. They state the existence of  
23 a static threshold in friction and that friction depends on the normal load and not on

24 the apparent contact surface area. Secondary effects have been reported since these  
 25 laws were first proposed. At rest, the static friction coefficient  $\mu_s$  increases with the  
 26 logarithm of time [Dieterich, 1972]. This increase is contemporary to the plastic  
 27 deformation of microscopic contact asperities under stress [Dieterich and Kilgore,  
 28 1994]. When sliding has begun, friction drops to a dynamic level  $\mu_d$ , which value is  
 29 governed by the loading velocity and the material properties. The most complete  
 30 description of friction is encapsulated in the empirical rate and state friction laws  
 31 [Dieterich, 1979; Rice, 1983; Ruina, 1983]. These laws stipulate that friction depends  
 32 on the slip velocity through two parameters  $a$  and  $b$ ; and on a state variable  $\theta$  that  
 33 accounts for a mean-field description of memory effects of the interface:

$$34 \quad \mu = \mu_0 + a \ln(V/V_0) + b \ln(V \theta/d_c),$$

35 where  $\mu_0$  is a reference friction at  $V_0$ ;  $V_0$  is a reference velocity;  $V$  is the slider  
 36 velocity;  $d_c$  is a critical slip distance, akin to the mean size of asperities for instance.  
 37 Experimental studies have shown the existence of a stable ( $a-b$  positive) and an  
 38 unstable ( $a-b$  negative) sliding regime, the latter known as the stick-slip mode. The  
 39 observation of one or other of these modes is reported to depend on the stiffness of the  
 40 experimental apparatus and on the loading velocity [Dieterich, 1978, 1979; Heslot *et*  
 41 *al.*, 1994; Marone, 1998; Shimamoto, 1986]. As noticed by [Shimamoto and Logan,  
 42 1984], most of the empirical friction laws are based on short-term experiments and  
 43 their extrapolation to the geological time scale (long term) is highly speculative  
 44 because ductile processes (e.g. slow relaxation, pressure-solution, stress corrosion) are  
 45 active within the upper crust [e.g. Gratier *et al.*, 1999].

46 In the following, we present results from friction experiments, original in two ways:  
 47 (i) we use a monocrystal of salt, both brittle and ductile at the laboratory timescale; (ii)  
 48 the evolving contact interface is observed under the microscope during deformation.

49 We first describe the experimental apparatus. Second, we report on observations of a  
50 continuous change from stick-slip to stable sliding as slip accumulates under constant  
51 conditions of sliding velocity, normal load and temperature. Third, we show that the  
52 microstructure of the contact interface evolves from randomly rough to some striated  
53 morphology. This process of ageing, not observed in plastic or elastic multicontact  
54 friction experiments, is driven in our experiments by salt pressure solution creep  
55 (PSC). Complementary friction experiments show that the frictional parameters  $a$  and  
56  $b$  are decreasing as the slip cumulates. Finally, we propose a physical interpretation to  
57 the transition from unstable to stable slip as controlled by the physico-chemical ageing  
58 of the initially rough sliding surface.

## 59 2. Experimental Method

60 A cleaved monocrystal of halite (NaCl), roughened with sandpaper, is held under  
61 constant normal load and let in contact with a glass window (Figure 1). Salt is used  
62 because (i) it is transparent and allows for a direct observation of the contact interface;  
63 (ii) it behaves both in a brittle and a ductile way at low stress at ambient temperature  
64 and humidity [Shimamoto, 1986]; (iii) the plastic deformations are effective over the  
65 duration of the friction experiments. Using a salt slider allows for the brittle and  
66 ductile deformation to be effective on the time scale of our experiments, aimed to  
67 serve as an analogue for natural faults deforming in the brittle and ductile regimes.

68 The salt slider is mounted on an inverted microscope in order to observe the contact  
69 interface. Since halite is transparent, we image the contact asperities at the sliding  
70 surface using a high resolution camera located below the halite sample and focused at  
71 the slider interface undergoing shear. Doing so, we are able to track any visible  
72 deformation at the sliding surface. The slider is subjected to a constant normal load  
73 (1186,1g or 2651,4g) and is in contact with a glass or PMMA flat surface. Five

74 displacement encoders record the plate movement in horizontal and vertical directions  
75 (LE/12/S IP50, Solartron). A force sensor (AEP TCA 5kg) records the shear force  
76 exerted to move the slider (Figure 1). For all experiments the interface is subjected to  
77 ambient humidity. Under these conditions, a thin layer of water is adsorbed on the salt  
78 that promotes dissolution-crystallization reactions [*Foster and Ewing, 2000*]. A first  
79 set of experiments is conducted at constant velocity. A second set of experiments  
80 imposes velocity jumps to the slider in order to estimate the frictional parameters  $a$   
81 and  $b$ . All experiments are gouge-free, conducted with bare roughened salt sliders.

### 82 **3. A Continuous Change from Stick-slip to Stable Sliding**

#### 83 **3.1 Changes in the Frictional Behaviour of the Slider**

84 Figure 2A plots the continuous variation in slip of a salt slider against glass, from  
85 stick-slip to stable sliding over several hundreds of deformation cycles. At the  
86 beginning of the experiment, the slider experiences regular stick-slip oscillations with  
87 35  $\mu\text{m}$  amplitude and 300 s waiting time (Figure 2B). The amplitude and waiting time  
88 gradually decrease as the slider enters the episodic stable sliding regime (Figure 2C,  
89 2D and 3A). The waiting time decreases from 300 s to 60 s after 500 cycles, while the  
90 slip amplitude decreases from 35  $\mu\text{m}$  to 10  $\mu\text{m}$ . Most of this change occurs during the  
91 first 100 cycles. The process continues even when the stick-slip regime has  
92 disappeared and once the episodic stable sliding regime is established, with a  
93 decreasing period from 60 to 50 s and slip amplitude decreasing from 10 to 8  $\mu\text{m}$ .

94 The robustness of this change of frictional behaviour is tested with series of  
95 experiments conducted with different imposed velocity, initial roughness, and  
96 different materials in contact (see auxiliary materials).

### 97 **3.2 Changes in the Contact Interface Roughness**

98 The change from stick-slip to stable sliding is concomitant with the ageing of the  
99 contact interface. The roughness of the slider surface is measured before and after the  
100 experiment using white light interferometry (Figure 2A – colour insets). Initially, the  
101 surface root mean square (rms) of the roughness is close to  $13.40 \pm 0.05 \mu\text{m}$ . Contact  
102 asperities are separated by grooves caused by the roughening process. Their mean size  
103 in width and length is about  $30 \mu\text{m}$ . This initial surface is representative of a  
104 multicontact interface [Baumberger and Caroli, 2006]. By the end of the experiment,  
105 for a cumulated slip of 0.6 cm, the sliding surface exhibits a roughness rms of  $7.80 \pm$   
106  $0.05 \mu\text{m}$ . Contact asperities have grown and adopted an elongated shape in the  
107 direction of slip of dimensions 0.5 by 0.2 mm, giving the interface a striated  
108 morphology (Figure 2A – colour insets). The drastic change in surface morphology is  
109 accompanied by a downward vertical displacement of the slider. Inset in Figure 3 plots  
110 the power law relaxation of the vertical displacement with time. It is consistent with a  
111 deformation by pressure solution creep of the interface [Dysthe *et al.*, 2002]. The  
112 emergence of a strongly anisotropic morphology cannot be explained by the elasto-  
113 plastic ageing of the contact interface that leads to an isotropic growth of the contact  
114 asperities [Berthoud *et al.*, 1999; Dieterich and Kilgore, 1994]. The observed  
115 anisotropy arises from the coupling of pressure solution creep and horizontal  
116 displacement. Indeed, the change in topography is related to the development of the  
117 striated morphology of the contact interface. The matter dissolved from each contact  
118 area precipitates in the stress shadow of each asperity, leading to the observed  
119 anisotropic pattern.

120

### 121 **3.3 Changes in Frictional Parameters $a$ and $b$**

122 The two parameters  $a$  and  $b$  measure the velocity dependence of friction and the  
123 increase of static friction with hold time [Dieterich, 1979]. The difference  $(b-a)$   
124 whenever positive implies a velocity weakening behavior, leading to stick-slip as  
125 observed at the beginning of our experiments. We conducted a series of experiments  
126 with velocity cycles (jumps from 1 to 10  $\mu\text{m/s}$ ) in order to measure these secondary  
127 effects of friction. Figure 3B plots three measures of  $a$  and  $b$ , for different cumulated  
128 slips. Both parameters are markedly decreasing with the cumulated slip. After a few  
129 centimeters of slip, the velocity jumps are hardly noticeable in the frictional behavior.  
130 During this final stage, the change in friction with velocity, if any, is insignificant.  
131 Therefore we cannot resolve whether the  $(b-a)$  difference changes sign. The slider has  
132 evolved from velocity weakening to velocity neutral.

133 Velocity stepping experiments may be used to infer the value of  $d_c$  [Dieterich, 1979;  
134 Dieterich and Kilgore, 1994]: it is defined as the width of the direct friction effect  
135 pulse. In the experiment presented in Figure 3B, the estimate of  $d_c$  gives a  $22.34 \pm 5.86$   
136  $\mu\text{m}$ , in accordance with the mean size of asperities of the initial contact interface.  
137 However, because the direct friction effect disappear after some accumulated slip, the  
138 measure of  $d_c$  is no more possible. We have to rely on the direct observation of the  
139 contact interface and on the mean size of contact asperities as a proxy to  $d_c$ .

#### 140 **4. Interpretation**

141 Stick-slip and episodic stable sliding modes are described in the rate and state  
142 friction framework [Dieterich, 1979]. At constant driving velocity, the occurrence of  
143 one or other of these two frictional behaviors is related to a simple condition on the  
144 stiffness  $K$  of the experimental apparatus [Heslot et al., 1994; Scholz, 2002]. To ensure  
145 stick-slip oscillations, one must have first  $a < b$ ; second,  $K$  must obey the following  
146 relation:

147 
$$K < K_c = W^*(b-a)/d_c \quad (1)$$

148  $W$  stands for the normal load exerted on the slider, including its own mass.  $d_c$  stands  
149 for a length scale typical of the interface, e. g. the mean size of asperities. Equation (1)  
150 arises from a stability analysis of a slider block under rate and state friction law [*Rice*  
151 *and Ruina*, 1983] and defines the value of the critical stiffness  $K_c$  below which stick-  
152 slip oscillations do exist. Changes from stick-slip to episodic stable sliding are  
153 reported in various conditions. Changes in the driving velocity, in the stiffness or in  
154 the normal load all affect the frictional behavior [*Heslot et al.*, 1994]. The presence of  
155 a developed gouge also affects the occurrence of stick-slip or stable sliding by  
156 changing the  $(b-a)$  value [*Beeler et al.*, 1996; *Marone et al.*, 1990]. Finally,  
157 temperature changes also affect the value of  $(b-a)$  [*Scholz*, 1998].

158 Our experiments are conducted under constant conditions of velocity, mass,  
159 stiffness and temperature. The low velocities we use preclude the wear of the slider  
160 and the development of a gouge. We must seek for other explanations to the observed  
161 transition from stick-slip to stable sliding. Since the normal load  $W$  is kept constant  
162 throughout the experiments, Equation (1) implies that  $K_c$  has to decrease in order to  
163 explain the observed change from stick-slip to stable sliding. Our two observations,  
164 namely (i) the  $(b-a)$  decrease with time and (ii) the contact asperity size increase with  
165 time, both contribute to such a  $K_c$  decrease with the accumulated slip. The direct  
166 observation of topography changes on the frictional interface (Figure 2A), akin to the  
167 growth of contact asperities, supports an increase in  $d_c$  with time, that is a decrease in  
168  $K_c$ . This increase in  $d_c$  also implies a stabilization of the slider because of its finite  
169 size. Indeed, as  $d_c$  increases the nucleation length increases as well, presumably up to  
170 the stability limit [*Dascalu et al.*, 2000; *Voisin et al.*, 2002]. In the conditions of the  
171 experiment, the increase in  $d_c$  is related to changes in the geometry of contact



172 asperities, the latter being driven by PSC. This mechanism is solely responsible for a  
 173 fast evolution of the interface under the low normal and shear stress conditions of our  
 174 experiments [Gratier, 1993; Karcz *et al.*, 2006].

175 Although our experiments are performed under constant conditions of temperature  
 176 and normal stress, and with no gouge, changes in  $(b-a)$  do occur. Indeed, the value of  
 177  $(b-a)$  approaches zero as both  $a$  and  $b$  vanish. The immediate consequence is that  $K_c$   
 178  $\rightarrow 0$  as slip accumulates. Since  $(b-a) = \partial\mu/\partial(\ln V)$ , the ultimate frictional behavior of  
 179 the salt slider is rate independent (Figure 4). A second consequence arises from the  
 180 definition of  $b$ :  $b = \partial\mu/\partial \log t$ . Since  $b \rightarrow 0$  as the slip cumulates, it implies that  $\mu$  does  
 181 not increase anymore at rest. The ultimate frictional behavior of the salt slider is state  
 182 independent.

183 We explain the change from stick-slip to stable sliding as a progressive decrease in  
 184  $K_c$  with the cumulative slip. Changing the stiffness of the experiment  $K$  will not  
 185 preclude this evolution to occur. Indeed since  $K_c \rightarrow 0$  with the cumulative slip, the  
 186 change from stick-slip to stable sliding would be observed whatever the stiffness of  
 187 the experiment. This hypothesis will be the concern of a future work.

## 188 5. Conclusion

189 The frictional behaviour of a single crystal salt slider is investigated under constant  
 190 conditions of normal load, driving velocity, and temperature. We observe a  
 191 progressive change from stick-slip to stable sliding with accumulative displacement.  
 192 During the experiment, all frictional parameters are evolving:  $a$  and  $b$  are decreasing  
 193 while  $d_c$  is increasing. These changes are contemporary to the morphological evolution  
 194 of the contact interface, i.e. the development of a striated pattern driven by the  
 195 coupling of PSC and slip. The increase in  $d_c$  and the decrease in  $(b-a)$  both lead to the

196 progressive vanishing of  $K_c$ , [the critical stiffness for stick-slip](#). The salt slider is  
197 therefore forced to [a mode of](#) stable sliding, with no more rate and state dependence.

198

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203

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260

## 261 **Figure captions**

262 **Figure 1.** Schematic representation of the friction experiment. The 1 cm<sup>2</sup> surface area  
263 salt sample is housed in a plate made of a nickel iron alloy (Invar©) to limit thermal  
264 perturbations. A constant continuous velocity is imposed on the plate through a  
265 brushless motor. The maximum amount of slip is limited to 1.6 cm. The loading  
266 velocity can be reversed in order to achieve larger displacements. The frictional  
267 behaviour as well as its overall evolution is not affected when operating this way.

268

269 **Figure 2:** (A) Change in frictional behavior of a salt/glass friction experiment (loading  
 270 velocity: 0.11  $\mu\text{m/s}$ ; normal load: 0.26 MPa). The slider exhibits regular stick-slip at  
 271 the beginning with a jump amplitude of about 35  $\mu\text{m}$  and a waiting time of about 300  
 272 s. At the end of the experiment, the slider exhibits an episodic stable sliding behavior  
 273 with small oscillations of its speed around the imposed velocity. Color insets represent  
 274 the topography of the frictional interface before and after the experiment measured by  
 275 white light interferometry, with a roughness resolution of 0.05  $\mu\text{m}$  (Wyko 2000  
 276 Surface Profiler from Veeco). Column (B) stands for the beginning of the experiment.  
 277 The accumulated slip is about 500  $\mu\text{m}$ . The stick-slip behavior is clearly recorded both  
 278 in horizontal and vertical displacements. Amplitudes are of the order of 20  $\mu\text{m}$  and 0.3  
 279  $\mu\text{m}$  in horizontal and vertical directions respectively. Long phases of stress build-up  
 280 are followed by rapid force drops of up to 3 N as the slider moves abruptly. Column  
 281 (C) stands for the mid-run of the experiment, with a cumulated slip of 2500  $\mu\text{m}$ . The  
 282 stick-slip behavior is still recorded. Horizontal and vertical jumps are visible with  
 283 amplitudes of about 10  $\mu\text{m}$  and 0.1  $\mu\text{m}$  respectively. The stress drops are about 2 N.  
 284 Column (D) stands for the end of the experiment, with a cumulated displacement of  
 285 about 6000  $\mu\text{m}$ . Smooth oscillations typical of the episodic stable sliding regime are  
 286 recorded. Note that the mean force that has to be exerted for the slider to move has  
 287 increased: the friction coefficient has increased and the contact interface has  
 288 strengthened. This is consistent with the increase of the real area of contact.

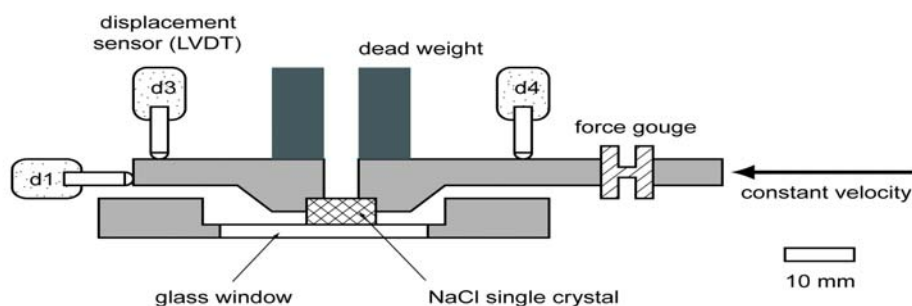
289

290 **Figure 3.** (A) Stick-slip amplitude ( $\Delta d$ ) versus waiting time ( $\Delta t$ ) for the friction  
 291 experiment PUSH057. Color codes for increasing time: magenta; blue; red; yellow;  
 292 and black. A clear trend to a decrease in amplitude and waiting time arises from the  
 293 data. All control parameters being constant, the spreading of data characterizes the

294 morphological change of the interface. The red star (left lower corner) indicates the  
 295 final state to be reached by the slider: the slider moves at the exact imposed velocity.  
 296 The inset shows the settlement of the slider during the experiment, consistent with the  
 297 PSC deformation of the interface. Second-order oscillations corresponding to stick-  
 298 slip events also decrease with time. **(B)** Velocity jump experiments for  $a$ ,  $b$  and  $d_c$   
 299 estimates. The slider is submitted to velocity cycles (1 and 10  $\mu\text{m/s}$ ). The black  
 300 rectangles indicate the periods of slow velocity. The three lines correspond to three  
 301 exerts of an experiment (constant conditions of normal stress and temperature – no  
 302 gouge development) taken at different times and cumulated displacement. Sudden  
 303 drops in friction observed at 1.53 and 4.5 cm corresponds to change in the direction of  
 304 slip. Line 1: the direct friction effect (related to  $a$ ) and the slow relaxation (related to  
 305  $b$ ) are visible. Estimates of  $a$ ,  $b$  and  $d_c$  are:  $0.1209\pm 0.0094$ ,  $0.26\pm 0.0296$  and  
 306  $22.33\pm 5.86$   $\mu\text{m}$  respectively. Line 2: the direct friction effect has disappeared.  
 307 Estimates of  $a$  and  $d_c$  following the methodology of *Dieterich and Kilgore* [1994] are  
 308 not appropriate. The change in velocity induces an immediate change in friction ( $b =$   
 309  $0.0862\pm 0.0252$ ) that is also decreasing in amplitude with the cumulated displacement.  
 310 Line 3: the change in friction is no more visible. The slider has become velocity  
 311 neutral.

312

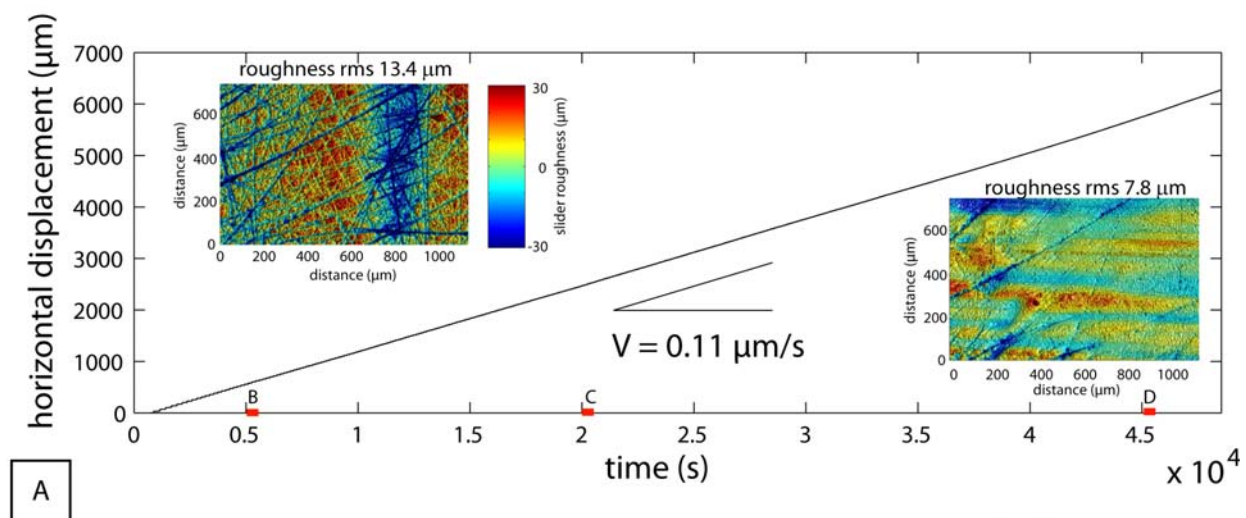
313 Figure 1



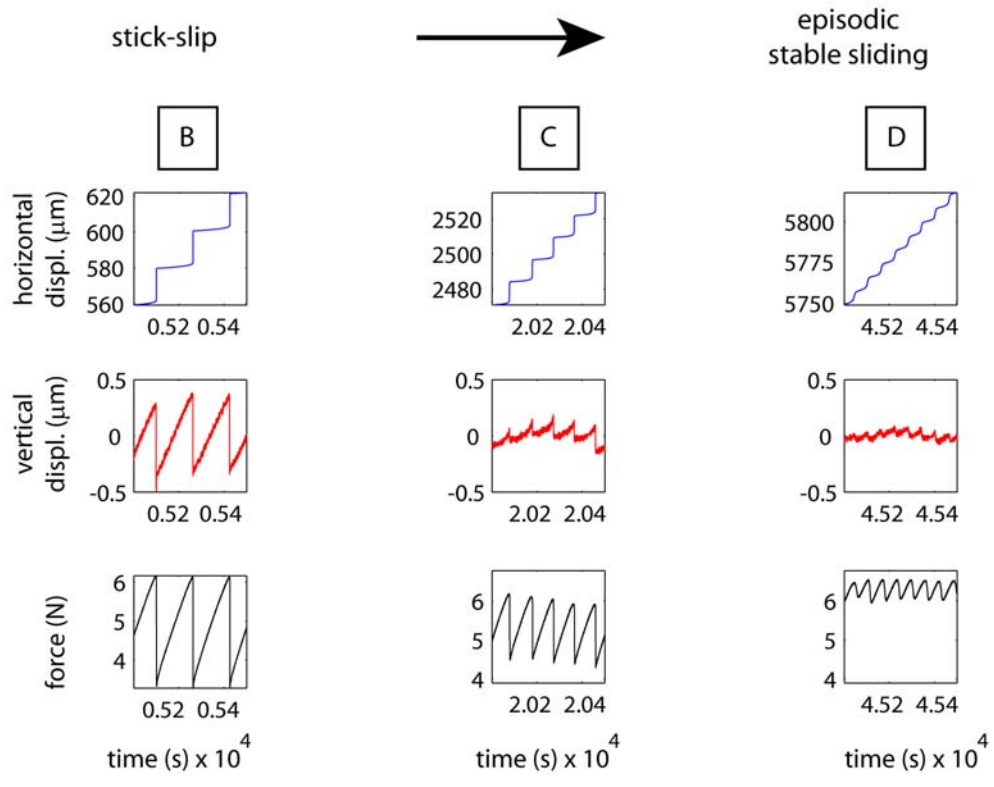
314

315

Figure 2

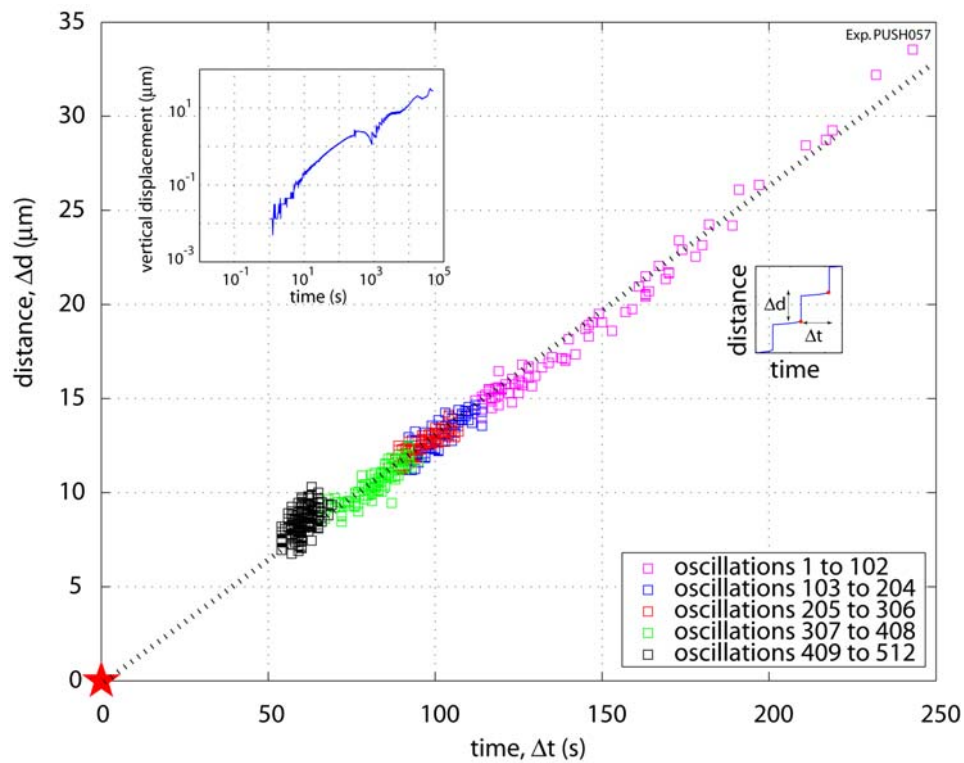
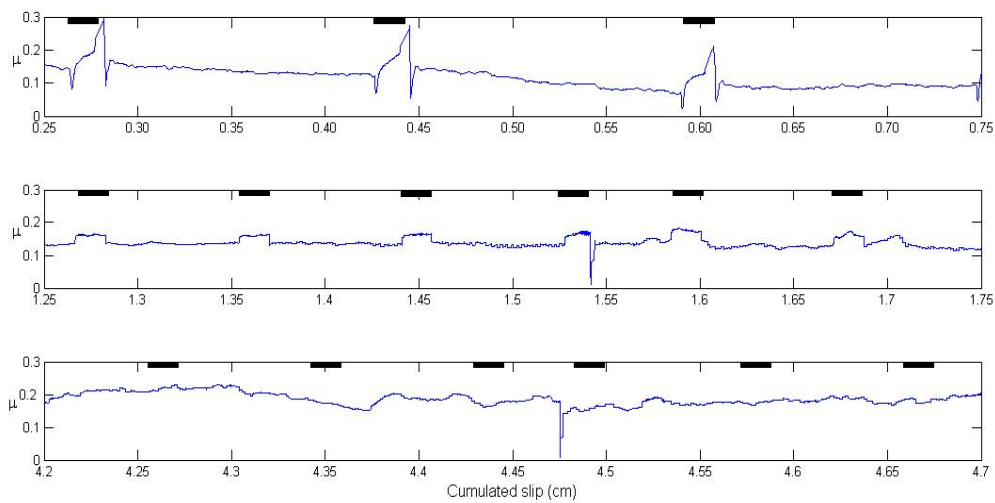


A



316  
317  
318

319 Figure 3

320  
321 A322  
323 B