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Microdiscectomy for Lumbosacral Disc Herniation and Frequency of Failed Disc Surgery

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Key words

- Disc herniation
- Failed disc surgery
- Microdiscectomy

Abbreviations and Acronyms

AKUH: Aga Khan University Hospital BMI: Body mass index CSF: Cerebrospinal fluid FDS: Failed disc surgery

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INTRODUCTION

Intervertebral disc herniation, or prolapsed intervertebral disc as a cause of sciatica, has a prevalence of 3%-4% and a lifetime incidence reaching 40%, which makes it a major worldwide health problem (15). Despite exponential progress in the understanding of the pathophysiological basis and in radiological imaging and microsurgical techniques, clinical audits consistently show failure to achieve the desired outcome in 3%-12% of patients depending on the outcome measure used (7, 12, 13, 15, 22, 29). Yorimitsu et al. (29) report a higher rate of failure than prior studies, with residual back pain in about 74% of patients and a reoperation rate of 12%. Several predictors for an unfavorable outcome of lumbar disc surgery in various populations have been identified (1, 3, 5, 6, 9, 16, 20, 21, 23-26), including sociodemographic, clinical, work-related, and psychological variables. The aim of this retrospective study was to review the demographics of the patient population presenting for surgical treatment of lumbosacral disc herniations and to review the results of lumbosacral microdiscectomy at a single university hospital in Pakistan.

BACKGROUND: Microdiscectomy for lumbosacral disc herniations is one of the most commonly performed neurosurgical procedures. The patient demographics, symptomatology, and recovery are highly variable, and surgical outcomes depend on several factors, including patient demographics. Failed disc surgery refers to failure of improvement in patient's symptoms following microdiscectomy, and has been observed to occur in up to 12% of patients. To date, no study form Pakistan has looked into patient demographics and failed disc surgery rates within the local context.

OBJECTIVE: The aim of this study was to review the demographics of the patient population presenting for surgical treatment of lumbosacral disc herniations and to review our results of lumbosacral microdiscectomy at a university hospital in Pakistan.

METHODS: This is a retrospective analysis of all adult patients admitted from January 2003 to January 2008 for symptomatic lumbosacral disc herniation requiring microdiscectomy, at the Aga Khan University Hospital, Karachi. Data were collected through our medical records, on a standardized form. Basic information about the patient population, disease process, modes of nonsurgical treatment, and details on surgery and postoperative course were recorded and analyzed using SPSS.

RESULTS: Five hundred one patients were studied, based on inclusion criteria. The mean age was 41.2 years; 347 (69%) patients were male and 154 (31%) female. Mean body mass index of the population was 26 and was higher in females. All patients primarily presented with radiculopathy, and the mean duration of these symptoms was 438 days. Mean duration of nonoperative management was 53 weeks. Fifty-one patients (10.2%) had previously undergone spine surgery. A total of 442 (88%) patients were operated at single disc level, and the rest at two levels. Sixty-six (13%) patients were operated for upper lumbar disc herniations. Mean operative time was 94 minutes, and the most common complication was dural tear. Mean length of hospital stay was 5 days (2–12 days). Mean follow-up was 48.3 weeks (4 weeks to 14 years). Complete resolution of symptoms was seen in 360 (71.9%) patients and failed disc surgery was diagnosed in 42 (8.4%) patients. Twenty-six patients (5.2%) were reoperated upon, with gradual improvement. The authors report an overall failed back surgery rate of 8.38%.

CONCLUSIONS: Overall our results were comparable to published international literature. However, the authors observed significant differences in demographics, especially in terms of age, gender distribution, and mean BMI of patient population as well as frequency of involvement of upper lumbar discs.

MATERIALS AND METHODS

This study was conducted at the Aga Khan University Hospital (AKUH), Karachi, Pakistan. The AKUH is one of the leading tertiary care facilities in the private sector, situated in a provincial capital and the most populous city of Pakistan. It caters to patients from all income groups, attracting people from all across the country to seek medical advice. The study was carried out using medical records of patients who underwent microdiscectomy and fit the inclusion criteria, from January 2003 to January 2008. Files were retrieved using codes from the International Classification of Diseases, version 9.0, Clinical Modifications. All patients, aged 18 years or older, admitted with symptomatic, medically refractory lumbosacral intervertebral disc herniation who underwent single- or multiple-level posterior microdiscectomy were included.

All surgeries were performed with the patient in general anesthesia, in prone position, placed on chest and pelvic cushions, with hips and knees flexed to improve interlaminar space. Intraoperative fluoroscopy was used to localize the level prior to incision and once again after exposure of lamina. Two- to 3-cm skin incisions were used for single-level exposure and longer in case of multilevel exposure or obese patients. After incising the fascia, standard subperiosteal dissections were used to expose the lamina all the way to the start of facet joins laterally, to the upper surface of the lower lamina inferiorly and until the pars superiorly. Level was reconfirmed on fluoroscopy, and drilling of lamina was carried out with high-speed burr under microscope. Lamina was drilled until the origin of ligamentum flavum, from where it was peeled down to its attachment at the upper surface of the lower lamina. The ligamentum flavum was also removed from lateral recesses prior to nerve root retraction. Once the thecal sac and nerve root were clearly visualized, they were retracted at the level of the disc, and using a small cruciate incision over the annulus, free disc fragments were removed using pituitary rongeurs. A steroid-soaked gel foam was placed on the exposed nerve root and standard closure was carried out.

Patients with concomitant spinal or foraminal stenosis, patients who underwent decompressive procedures other than discectomy, and patients who underwent fusion were excluded from this study. Failed disc surgery (FDS) was defined as a condition where there was either no improvement in preoperative symptoms, or worsening of symptoms following surgery. Patients were identified as overweight or obese if their body mass index (BMI) was 23 kg/m² or greater. This definition, based on the revised criteria for Asian populations (28), was lower than the conventional cutoff value of 25 kg/m² for populations of European origin and reflects the higher ratio of body fat to muscle mass in the former. Obesity was defined as a BMI of 27 kg/m² or greater.

A standardized two-page form was used to collect data on patient demographics, presenting features, physical examination, neuroradiologic information, details of presurgical conservative management, surgical procedure, postoperative course and follow-ups.

All statistical analyses were performed using SPSS, version 13.0, for Windows. All data acquired in the precoded form were entered and checked twice on two separate occasions by two different investigators. Continuous variables were expressed as mean \pm standard deviation. Categorical variables were compared using χ^2 and Fisher's exact tests, as applicable. A P value <0.05 was considered statistically significant for all analyses.

RESULTS

The study criteria were met by 501 patients with a mean age of 41.2 years, of which 347 (69%) were male and 154 (31%) female. The mean BMI of the population was 26 kg/m² and was noted to be significantly higher in females (27.3 \pm 6.5 vs. 25.6 \pm 4.5, P < 0.05). Ninety-five patients (19%) were previously diagnosed with hypertension; smoking was a feature in 111 (22%), and obesity was observed in 99 (20%) of patients. Overall, 96% of our patients were preoperative functional class I, and the rest class II. Details of clinical characteristics are outlined in **Table 1**.

All patients primarily presented with lumbosacral radiculopathy; in addition, there were symptoms of numbness in 62% and motor weakness in 54%. The mean duration of these symptoms was 438 days, with 80% of patients being affected for 3 to 12 months. The onset of pain was acute in 308 (61%) patients and insidious in 193 (30%). Fifty-one patients (10.2%) had previously undergone spine surgery, and 114 (22.8%) had a history significant of trauma to the back. The mean duration of symptoms and inconsistent nonoperative management was 53 weeks prior to presentation at the AKUH, and 4 weeks as outpatient by us. Our policy is to try optimal nonoperative management for a minimum of 6 weeks prior to operating on a patient with symptomatic lumbosacral disc herniations, unless there is progressive neurologic deficit, cauda equina syndrome, or intolerable pain refractory to the best medical measures. Surgery is also considered earlier if the patient wishes not to try 6 weeks of medical and physical therapy.

Nonoperative measures include medications (including nonsteroidal antiinflammatory drugs and opioid derivatives), short bed rest, and physiotherapy. The various other forms of nonoperative management tried by these patients either prescribed by us or prescribed prior to presentation at our institute included oral analgesics (85.4%), gabapentin analogues (34.9%), mild muscle relaxants (7%), transcutaneous electrical nerve stimulation (5%), epidural steroid injections (4.2%), traditional medicine (3.8%), and even short-term trial with oral steroids (1.8%). There was no symptomatic improvement in 248 patients (49.3%), and 61 (12.1%) actually reported feeling worse (Figure 1). The remaining 198 patients (38.5%) reported only limited improvement in symptoms after a full trial of nonoperative measures and chose to undergo surgery.

All patients presenting to the AKUH underwent preoperative magnetic resonance imaging of the lumbosacral spine, as the imaging modality of choice (**Figures 2** and **3**). Involvement of single lumbar disc level was seen in 442 patients (88%) and multiple levels in 59 (12%). Significant disc herniation with nerve root compression was identified in all 501 patients, with L4/L5 being the most commonly affected level (60%) followed closely by L5/S1 (44%). Sixty-six (13%) patients had disc involvement at levels other than L4/5 or L5/S1 levels, that is, upper lumbar disc herniations.

The average number of surgeries performed yearly during this 5-year period at the AKUH was 100. Eighty-four percent of patients were operated upon by neurospinal surgeons and the remaining by orthopedic spine surgeons, with a mean operative time of 99.3 (\pm 45) minutes. A total of 442 (88%) patients were operated at a single disc level, the rest at two levels, and none at more than two levels. The most common complications were intraoperative dural tear (n = 43, 8.6%), and postoperative superficial wound infection (n = 10, 2%). Other complications are mentioned in **Table 2**. None of the pa-

Table 1. Clinical Characteristics of the Patient Population						
	п	%				
Basic demographics						
Number of patients	501					
Male	347	69.3				
Female	154	30.7				
Age (in years)*	41.2 ± 12					
Body mass index (BMI)*	26.1 ± 5.2					
Male	25.6 ± 4.5					
Female	27.3 ± 6.5					
Marital status						
Married	423	84.4				
Unmarried	34	6.8				
Widowed	41	8.2				
Divorced	3	0.6				
Comorbid conditions						
Cigarette smoking	111	22.2				
Obesity	99	19.8				
Hypertension	94	18.8				
Ischemic heart disease	31	6.2				
Diabetes type II	42	8.4				
Depression/anxiety	8	1.6				
Peptic ulcer disease	32	6.4				
Functional class by activity						
I (no limitation)	480	96.0				
II (mild limitation)	21	4.0				
Presenting features						
Onset						
Acute	308					
Insidious	193					
Lower limb numbness	312	62.3				
Lower limb paresis	270	53.9				
Neurogenic claudication	87	17.4				
Duration of symptoms (days)*	438 ± 68					
Significant past history						
Prior spine surgery	51	10.2				
Discectomy	35					
Laminectomy	16					
Back trauma	114	22.8				
*Mean + SD						

tients in the two groups required further reoperation for their complications, and settled on conservative management, in the form of bed rest and/or lumbar drain placement for cerebrospinal fluid (CSF) leaks and antibiotics for wound infections. The mean length of hospital stay was 5 days (2–12 days).

Patients were followed in the outpatient clinic for a mean period of 48.3 weeks (4 weeks to 14 years). Complete resolution of symptoms was seen in 360 (71.9%) patients. Forty-two (8.4%) patients either did not show any improvement or expressed worsening of their symptoms after surgery. Of these, 35 patients (7%) were readmitted within 30 days, and 26 patients (5.2%) had to be reoperated upon, with gradual improvement of their symptoms. The rest were managed with conservative measures and also showed improvement with time.

DISCUSSION

To our knowledge, this is the largest consecutive series of patients reported from a single university hospital in Pakistan to date (2). Therefore, the results of this study will not only serve as important baseline information on patients undergoing microdiscectomy in Pakistan but can also be compared with local and international literature for patient demographics and surgical outcomes. The fact that the data are composed of consecutive patients also gives us valuable insight into the trends of surgical practice at our tertiary care center, which in the absence of local literature may be extrapolated to represent Pakistan, at least until further reports are published.

The mean age of our patients was 41 years, which is less than that recorded in published literature (4). We found a large discrepancy in gender distribution, with almost 70% of our patient population being male. Previous reports published on disc surgery have not had such gender disproportion (5, 6, 8, 10, 13, 20). Interestingly, our local data are rich with male predisposition for diseases not previously known to have any specific gender preferences (18, 10). Also of interest is that all 154 female patients operated at our center were nonprofessional housewives. Almost 20% of the patients who underwent surgery were overweight or obese (BMI ≥ 23 kg/m²), based on the revised criteria for Asian populations (28). The mean BMI of our patients was 26.1 kg/m² and was significantly higher in the female patient group as compared to the males (27.3 vs. 25.6 kg/m², P < 0.05). These findings are peculiar as the study comes from a low-income country, with a



Figure 1. Breakup of patients with respect to their response to conservative management and following surgical intervention.

gross national income per capita of \$800 in 2006 compared with an average income per capita of \$38,190 in developed countries (27). One would assume that in a low-economic-status country that average BMI would be less than a high economic country. As also reported in the literature, the most common levels of involvement in our study were also L4/5, followed by L5/SI (4). It may be pointed out here that our frequency of operating on upper lumbar disc herniations (13%) was significantly greater than that reported in large series in international literature (14).

Our mean operative time was almost an hour and a half. This is a little higher than would be ideally expected. At this point, it should be recalled that 12% of our patients were operated at more than one level and 10% had undergone a prior spine surgery;



Figure 2. Pre-operative axial image of L4-L5 disc fragment

both these factors significantly increase the operative time. It may also be pertinent to note that our center is involved in postgraduate training of both neurosurgery and orthopedics surgery students, and the duration of surgery is therefore expected to be longer. The mean duration of hospital stay of our patients was 5 days, which is not truly representative. Since the introduction of inpatient clinical pathways, as a routine our patients who undergo microdiscectomy are



Figure 3. Pre-operative sagittal image of L4-L5 disc fragment

discharged within 24–36 hours of surgery. In the present context, the mean hospital stay also incorporates patients who were initially admitted for inpatient pain control as well as patients who had an extended stay because of complications such as CSF leak. Moreover, our patients prefer to stay in hospital for longer than clinically indicated, as a lot of them do not have local accommodation and one is not provided by the hospital.

Despite microdiscectomy being the most common neurosurgical procedure performed worldwide, studies have reported that failure to achieve the desired outcome occurs in 10%-15% of patients (7, 12, 13, 15, 22, 29). We also report an overall FDS rate of 8.4%, varying minimally over the 5-year study period, with a reoperation rate of 5.2%. All patients who were reoperated upon, required surgery within the first 6 weeks of the index procedure, with no other patients requiring a second surgery at a mean follow-up of 48.3 weeks. The indication of reoperation in these patients was either recurrent or residual disc herniation. Microdiscectomy was thus undertaken, with no patient requiring a more extensive decompression or fusion. No intraoperative or postoperative complications were observed as a consequence of the second surgery. All patients made fair to excellent recovery after their second operation, which was usually followed by an extended course of in-patient and later out-patient physical therapy. Comparison of our FDS rate with some published series is shown in Table 3.

Microdiscectomy is generally considered a safe procedure with an extremely low incidence of postoperative complications (4, 6, 7, 11, 14, 17), with less than 10% patients on average requiring revision (11, 23, 29). The most common complications that we observed in our series were intraoperative dural tear (n = 43, 8.6%) and superficial wound infection (n = 10, 2.0%). Intraoperative dural tear was managed with intraoperative watertight closure of dura, occasionally augmented with application of fibrin glue, and postoperative immobilization for 48-72 hours. We encountered only one case of postoperative CSF leak, which required temporary lumbar drainage for another 48 hours. All superficial wound infections were successfully treated with a 1-week course of oral antibiotics as outpatient. Overall our complication rates were

Table 2. Surgical Treatment and Complications						
	п	%				
Surgery						
Single level	445	88.1				
Multiple level	60	11.9				
Operative time (in minutes)*	99.3 ± 45					
Operating surgeon						
Neurospinal surgeon		84				
Orthopedic spine surgeon		16				
Complications						
Incidental durotomy	43	8.6				
Negative exploration	7	1.4				
Bleeding from epidural plexus	2	0.4				
CSF leak	1	0.2				
Wound infection	10	2.0				
Hematoma	1	0.2				
Others	2	1.4				
Failed disc surgery						
No symptomatic improvement	33	6.6				
Worsening of symptoms	3	0.6				
Readmission within 30 days	35	7.0				
Reoperation within 6 weeks	26	5.2				
CSF, cerebrospinal fluid. *Time from incision to dressing.						

comparable with those in the published literature.

We would like to highlight a few limitations of our review. One, data were retrospectively collected and although the introduction of thorough clinical pathways has resulted in detailed patient information, the authors were still not able to evaluate certain variables that would have been of interest to readers, such as type of disc herniations, and pre- and postoperative Oswestry Disability Indices. Our study population consisted of only those patients who failed nonoperative trials, typically reported to be effective in more than 80% patients with sciatica (23). It cannot be extrapolated to represent the general population of patients with sciatica and, therefore, our statistics must be interpreted with caution.

The overall FDS rate seen in our patient population is comparable to international studies published previously. However, prior studies have reported differences in the basic demography and epidemiologic profile of Pakistan and countries of Europe and America. The authors observed that Pakistani patients undergoing lumbar microdiscectomy are younger, more likely to be males, and with a higher BMI (P < 0.05). Moreover, the mode of health care financing is in stark contrast to developed countries; that is, in Pakistan 82% of the total

Table 3. Reported Rates of Failed Disc Surgery								
Study	Year	Population	Sample size	Outcome measure	Reported FDS (%)			
Shamim et al.	2010	Pakistan	501	Same/worse symptoms	8.4			
				Reoperation rate	5.2			
Cummins et al. (4)	2006	11 U.S. states	501, of which 232 underwent discectomy	Reoperation within 2 years	5.0			
Dewing et al. (6)	2008	San Diego, USA	183	Recurrent disc herniation	3.0			
Morgan-Hough et al. (15)	2003	England	531	Reoperation rate	7.9			
Loupasis et al. (13)	1999	Greece	109	Reoperation rate	7.3			
Solberg et al. (20)	2005	Norway	180	ODI score at 12 months >39	6.7			
Gaetani et al. (8)	2004 11	Italy	403	Surgical recurrence	8.9			
				Radiological recurrence	15.1			
Keskimaki et al. (10)	2000	Finland	25,359	Reoperation rate	12.3			
den Boer et al. (5)	2006	The Netherlands	277	Intense pain (VAS score \geq 30) at 6 months postoperation	25			
Yorimitsu et al. (29)	2001	Japan	—	Reoperation rate	12.7			
FDS, failed disc surgery; ODI, Oswestry Disability Index; VAS, Visual Analog Scale								

spending on health care is privately financed, compared to organization of economic cooperation for developed countries with an average of 26%. More prominently, almost all private spending on health care in Pakistan is out-of-pocket (98%), whereas in high-income countries, the average out-ofpocket expenditure on health is 36% of the total health expenditure. In lieu of these considerations, our results may not be truly reflective of the actual scenario.

CONCLUSION

As compared to published international literature, the authors observed significant differences in demographics, especially in terms of age, BMI, gender distribution, and involvement of upper lumbar discs. Overall our results are comparable to published international literature.

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