



# Topological Complexity of omega-Powers : Extended Abstract

Olivier Finkel, Dominique Lecomte

► **To cite this version:**

Olivier Finkel, Dominique Lecomte. Topological Complexity of omega-Powers : Extended Abstract. P. Hertling, V. Selivanov, W. Thomas, W. W. Wadge, K. Wagner. Dagstuhl Seminar on "Topological and Game-Theoretic Aspects of Infinite Computations" 29.06.08 - 04.07.08, Dagstuhl, Germany. Schloss Dagstuhl - Leibniz-Zentrum fuer Informatik, Germany, 2008, Dagstuhl Seminar Proceedings. <ensl-00319447v2>

**HAL Id: ensl-00319447**

**<https://hal-ens-lyon.archives-ouvertes.fr/ensl-00319447v2>**

Submitted on 10 Sep 2008

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# Topological Complexity of $\omega$ -Powers : Extended Abstract

Olivier Finkel<sup>1</sup> and Dominique Lecomte<sup>2</sup>

<sup>1</sup> *Equipe Modèles de Calcul et Complexité  
Laboratoire de l'Informatique du Parallélisme\*\**  
CNRS et Ecole Normale Supérieure de Lyon  
46, Allée d'Italie 69364 Lyon Cedex 07, France.

`Olivier.Finkel@ens-lyon.fr`

<sup>2</sup> *Equipe d'Analyse Fonctionnelle*  
Université Paris 6  
4, place Jussieu, 75 252 Paris Cedex 05, France  
`dominique.lecomte@upmc.fr`

**Keywords:** Infinite words;  $\omega$ -languages;  $\omega$ -powers; Cantor topology; topological complexity; Borel sets; Borel ranks; complete sets; Wadge hierarchy; Wadge degrees; effective descriptive set theory; hyperarithmetical hierarchy

## 1 Introduction

The operation  $V \rightarrow V^\omega$  is a fundamental operation over finitary languages leading to  $\omega$ -languages. It produces  $\omega$ -powers, i.e.  $\omega$ -languages in the form  $V^\omega$ , where  $V$  is a finitary language. This operation appears in the characterization of the class  $REG_\omega$  of  $\omega$ -regular languages (respectively, of the class  $CF_\omega$  of context free  $\omega$ -languages) as the  $\omega$ -Kleene closure of the family  $REG$  of regular finitary languages (respectively, of the family  $CF$  of context free finitary languages) [Sta97a].

Since the set  $\Sigma^\omega$  of infinite words over a finite alphabet  $\Sigma$  can be equipped with the usual Cantor topology, the question of the topological complexity of  $\omega$ -powers of finitary languages naturally arises and has been posed by Niwinski [Niw90], Simonnet [Sim92], and Staiger [Sta97a]. A first task is to study the position of  $\omega$ -powers with regard to the Borel hierarchy (and beyond to the projective hierarchy) [Sta97a,PP04].

It is easy to see that the  $\omega$ -power of a finitary language is always an analytic set because it is either the continuous image of a compact set  $\{0, 1, \dots, n\}^\omega$  for  $n \geq 0$  or of the Baire space  $\omega^\omega$ .

It has been recently proved, that for each integer  $n \geq 1$ , there exist some  $\omega$ -powers of context free languages which are  $\Pi_n^0$ -complete Borel sets, [Fin01], and that there exists a context free language  $L$  such that  $L^\omega$  is analytic but not Borel, [Fin03]. Notice that amazingly the language  $L$  is very simple to describe and it is accepted by a simple 1-counter automaton.

---

<sup>\*</sup> UMR 5668 - CNRS - ENS Lyon - UCB Lyon - INRIA  
LIP Research Report RR 2008-27

The first author proved in [Fin04] that there exists a finitary language  $V$  such that  $V^\omega$  is a Borel set of infinite rank. It was also proved in [DF07] that there is a context free language  $W$  such that  $W^\omega$  is Borel above  $\Delta_\omega^0$ .

We proved in [FL07] the following very surprising result which shows that  $\omega$ -powers exhibit a great topological complexity: for each non-null countable ordinal  $\xi$ , there exist some  $\Sigma_\xi^0$ -complete  $\omega$ -powers, and some  $\Pi_\xi^0$ -complete  $\omega$ -powers.

We consider also the Wadge hierarchy which is a great refinement of the Borel hierarchy. We get many more Wadge degrees of  $\omega$ -powers, showing that for each ordinal  $\xi \geq 3$ , there are uncountably many Wadge degrees of  $\omega$ -powers of Borel rank  $\xi + 1$ .

We show also, using some tools of effective descriptive set theory, that the main result of [FL07] has some effective counterparts.

All the proofs of the results presented here may be found in the conference paper [FL07] or in the preprint [FL08] which contains also some additional results.

## 2 Topology

We first give some notations for finite or infinite words, assuming the reader to be familiar with the theory of formal languages and of  $\omega$ -languages, see [Tho90,Sta97a,PP04]. Let  $\Sigma$  be a finite or countable alphabet whose elements are called letters. A non-empty finite word over  $\Sigma$  is a finite sequence of letters:  $x = a_0.a_1.a_2 \dots a_n$  where  $\forall i \in [0; n]$   $a_i \in \Sigma$ . We shall denote  $x(i) = a_i$  the  $(i+1)^{th}$  letter of  $x$ . The length of  $x$  is  $|x| = n+1$ . The empty word has 0 letters. Its length is 0. The set of finite words over  $\Sigma$  is denoted  $\Sigma^{<\omega}$ . A (finitary) language  $L$  over  $\Sigma$  is a subset of  $\Sigma^{<\omega}$ . The usual concatenation product of  $u$  and  $v$  will be denoted by  $u \frown v$  or just  $uv$ .

The first infinite ordinal is  $\omega$ . An  $\omega$ -word over  $\Sigma$  is an  $\omega$ -sequence  $a_0a_1 \dots a_n \dots$ , where for all integers  $i \geq 0$   $a_i \in \Sigma$ . When  $\sigma$  is an  $\omega$ -word over  $\Sigma$ , we write  $\sigma = \sigma(0)\sigma(1) \dots \sigma(n) \dots$ . The set of  $\omega$ -words over the alphabet  $\Sigma$  is denoted by  $\Sigma^\omega$ . An  $\omega$ -language over an alphabet  $\Sigma$  is a subset of  $\Sigma^\omega$ . The concatenation product is also extended to the product of a finite word  $u$  and an  $\omega$ -word  $v$ : the infinite word  $u.v$  or  $u \frown v$  is then the  $\omega$ -word such that:  $(uv)(k) = u(k)$  if  $k < |u|$ , and  $(u.v)(k) = v(k - |u|)$  if  $k \geq |u|$ .

The prefix relation is denoted  $\prec$ : the finite word  $u$  is a prefix of the finite word  $v$  (respectively, the infinite word  $v$ ), denoted  $u \prec v$ , if and only if there exists a finite word  $w$  (respectively, an infinite word  $w$ ), such that  $v = u \frown w$ .

For a finitary language  $V \subseteq \Sigma^{<\omega}$ , the  $\omega$ -power of  $V$  is the  $\omega$ -language

$$V^\omega = \{u_1 \dots u_n \dots \in \Sigma^\omega \mid \forall i \geq 1 \ u_i \in V\}$$

We recall now some notions of topology, assuming the reader to be familiar with basic notions which may be found in [Kur66,Mos80,Kec95,LT94,Sta97a,PP04].

There is a natural metric on the set  $\Sigma^\omega$  of infinite words over a countable alphabet  $\Sigma$  which is called the prefix metric and defined as follows. For  $u, v \in \Sigma^\omega$  and  $u \neq v$  let  $d(u, v) = 2^{-l_{\text{pref}}(u, v)}$  where  $l_{\text{pref}}(u, v)$  is the first integer  $n$  such that the  $(n+1)^{\text{th}}$  letter of  $u$  is different from the  $(n+1)^{\text{th}}$  letter of  $v$ . The topology induced on  $\Sigma^\omega$  by this metric is just the product topology of the discrete topology on  $\Sigma$ . For  $s \in \Sigma^{<\omega}$ , the set  $N_s := \{\alpha \in \Sigma^\omega \mid s \prec \alpha\}$  is a basic clopen (i.e., closed and open) set of  $\Sigma^\omega$ . More generally open sets of  $\Sigma^\omega$  are in the form  $W \cap \Sigma^\omega$ , where  $W \subseteq \Sigma^{<\omega}$ .

When  $\Sigma$  is a finite alphabet, the prefix metric induces on  $\Sigma^\omega$  the usual Cantor topology and  $\Sigma^\omega$  is compact.

The Baire space  $\omega^\omega$  is equipped with the product topology of the discrete topology on  $\omega$ . It is homeomorphic to  $P_\infty := \{\alpha \in 2^\omega \mid \forall i \in \omega \exists j \geq i \ \alpha(j) = 1\} \subseteq 2^\omega$ , via the map defined on  $\omega^\omega$  by  $H(\beta) := 0^{\beta(0)}10^{\beta(1)}1 \dots$ .

We define now the **Borel Hierarchy** on a topological space  $X$ :

**Definition 1.** The classes  $\Sigma_\xi^0(X)$  and  $\Pi_\xi^0(X)$  of the Borel Hierarchy on the topological space  $X$  are defined as follows:

$\Sigma_1^0(X)$  is the class of open subsets of  $X$ .

$\Pi_1^0(X)$  is the class of closed subsets of  $X$ .

And for any countable ordinal  $\xi \geq 2$ :

$\Sigma_\xi^0(X)$  is the class of countable unions of subsets of  $X$  in  $\cup_{\gamma < \xi} \Pi_\gamma^0$ .

$\Pi_\xi^0(X)$  is the class of countable intersections of subsets of  $X$  in  $\cup_{\gamma < \xi} \Sigma_\gamma^0$ .

As usual the ambiguous class  $\Delta_\xi^0$  is the class  $\Sigma_\xi^0 \cap \Pi_\xi^0$ .

Suppose now that  $X \subseteq Y$ ; then  $\Sigma_\xi^0(X) = \{A \cap X \mid A \in \Sigma_\xi^0(Y)\}$ , and similarly for  $\Pi_\xi^0$ , see [Kec95, Section 22.A]. Notice that we have defined the Borel classes  $\Sigma_\xi^0(X)$  and  $\Pi_\xi^0(X)$  mentioning the space  $X$ . However when the context is clear we will sometimes omit  $X$  and denote  $\Sigma_\xi^0(X)$  by  $\Sigma_\xi^0$  and similarly for the dual class.

The class of **Borel sets** is  $\Delta_1^1 := \cup_{\xi < \omega_1} \Sigma_\xi^0 = \cup_{\xi < \omega_1} \Pi_\xi^0$ , where  $\omega_1$  is the first uncountable ordinal.

For a countable ordinal  $\alpha$ , a subset of  $\Sigma^\omega$  is a Borel set of rank  $\alpha$  iff it is in  $\Sigma_\alpha^0 \cup \Pi_\alpha^0$  but not in  $\cup_{\gamma < \alpha} (\Sigma_\gamma^0 \cup \Pi_\gamma^0)$ .

We now define completeness with regard to reduction by continuous functions. For a countable ordinal  $\alpha \geq 1$ , a set  $F \subseteq \Sigma^\omega$  is said to be a  $\Sigma_\alpha^0$  (respectively,  $\Pi_\alpha^0$ )-complete set iff for any set  $E \subseteq Y^\omega$  (with  $Y$  a finite alphabet):  $E \in \Sigma_\alpha^0$  (respectively,  $E \in \Pi_\alpha^0$ ) iff there exists a continuous function  $f : Y^\omega \rightarrow \Sigma^\omega$  such that  $E = f^{-1}(F)$ .  $\Sigma_n^0$  (respectively,  $\Pi_n^0$ )-complete sets, with  $n$  an integer  $\geq 1$ , are thoroughly characterized in [Sta86].

Recall that a set  $X \subseteq \Sigma^\omega$  is a  $\Sigma_\alpha^0$  (respectively  $\Pi_\alpha^0$ )-complete subset of  $\Sigma^\omega$  iff it is in  $\Sigma_\alpha^0$  but not in  $\Pi_\alpha^0$  (respectively in  $\Pi_\alpha^0$  but not in  $\Sigma_\alpha^0$ ), [Kec95].

For example, the singletons of  $2^\omega$  are  $\Pi_1^0$ -complete subsets of  $2^\omega$ . The set  $P_\infty$  is a well known example of a  $\Pi_2^0$ -complete subset of  $2^\omega$ .

If  $\Gamma$  is a class of sets, then  $\check{\Gamma} := \{\neg A \mid A \in \Gamma\}$  is the class of complements of sets in  $\Gamma$ . In particular, for every non-null countable ordinal  $\alpha$ ,  $\check{\Sigma}_\alpha^0 = \Pi_\alpha^0$  and  $\check{\Pi}_\alpha^0 = \Sigma_\alpha^0$ .

We now introduce the Wadge hierarchy, which is a great refinement of the Borel hierarchy defined via reductions by continuous functions, [Wad83,Dup01].

**Definition 2 (Wadge [Wad83]).** Let  $X, Y$  be two finite alphabets. For  $L \subseteq X^\omega$  and  $L' \subseteq Y^\omega$ ,  $L$  is said to be Wadge reducible to  $L'$  ( $L \leq_W L'$ ) iff there exists a continuous function  $f : X^\omega \rightarrow Y^\omega$ , such that  $L = f^{-1}(L')$ .

$L$  and  $L'$  are Wadge equivalent iff  $L \leq_W L'$  and  $L' \leq_W L$ . This will be denoted by  $L \equiv_W L'$ . And we shall say that  $L <_W L'$  iff  $L \leq_W L'$  but not  $L' \leq_W L$ .

A set  $L \subseteq X^\omega$  is said to be self dual iff  $L \equiv_W L^-$ , and otherwise it is said to be non self dual.

The relation  $\leq_W$  is reflexive and transitive, and  $\equiv_W$  is an equivalence relation.

The equivalence classes of  $\equiv_W$  are called Wadge degrees.

The Wadge hierarchy  $WH$  is the class of Borel subsets of a set  $X^\omega$ , where  $X$  is a finite set, equipped with  $\leq_W$  and with  $\equiv_W$ .

For  $L \subseteq X^\omega$  and  $L' \subseteq Y^\omega$ , if  $L \leq_W L'$  and  $L = f^{-1}(L')$  where  $f$  is a continuous function from  $X^\omega$  into  $Y^\omega$ , then  $f$  is called a continuous reduction of  $L$  to  $L'$ . Intuitively it means that  $L$  is less complicated than  $L'$  because to check whether  $x \in L$  it suffices to check whether  $f(x) \in L'$  where  $f$  is a continuous function. Hence the Wadge degree of an  $\omega$ -language is a measure of its topological complexity.

Notice that in the above definition, we consider that a subset  $L \subseteq X^\omega$  is given together with the alphabet  $X$ .

We can now define the Wadge class of a set  $L$ :

**Definition 3.** Let  $L$  be a subset of  $X^\omega$ . The Wadge class of  $L$  is :

$$[L] = \{L' \mid L' \subseteq Y^\omega \text{ for a finite alphabet } Y \text{ and } L' \leq_W L\}.$$

Recall that each Borel class  $\Sigma_\alpha^0$  and  $\Pi_\alpha^0$  is a Wadge class. A set  $L \subseteq X^\omega$  is a  $\Sigma_\alpha^0$  (respectively  $\Pi_\alpha^0$ )-complete set iff for any set  $L' \subseteq Y^\omega$ ,  $L'$  is in  $\Sigma_\alpha^0$  (respectively  $\Pi_\alpha^0$ ) iff  $L' \leq_W L$ .

**Theorem 4 (Wadge).** Up to the complement and  $\equiv_W$ , the class of Borel subsets of  $X^\omega$ , for a finite alphabet  $X$ , is a well ordered hierarchy. There is an ordinal  $|WH|$ , called the length of the hierarchy, and a map  $d_W^0$  from  $WH$  onto  $|WH| - \{0\}$ , such that for all  $L, L' \subseteq X^\omega$ :

$$\begin{aligned} d_W^0 L < d_W^0 L' &\leftrightarrow L <_W L' \text{ and} \\ d_W^0 L = d_W^0 L' &\leftrightarrow [L \equiv_W L' \text{ or } L \equiv_W L'^-]. \end{aligned}$$

The Wadge hierarchy of Borel sets of **finite rank** has length  ${}^1\varepsilon_0$  where  ${}^1\varepsilon_0$  is the limit of the ordinals  $\alpha_n$  defined by  $\alpha_1 = \omega_1$  and  $\alpha_{n+1} = \omega_1^{\alpha_n}$  for  $n$  a non negative integer,  $\omega_1$  being the first non countable ordinal. Then  ${}^1\varepsilon_0$  is the first fixed point of the

ordinal exponentiation of base  $\omega_1$ . The length of the Wadge hierarchy of Borel sets in  $\Delta_\omega^0 = \Sigma_\omega^0 \cap \Pi_\omega^0$  is the  $\omega_1^{th}$  fixed point of the ordinal exponentiation of base  $\omega_1$ , which is a much larger ordinal. The length of the whole Wadge hierarchy of Borel sets is a huge ordinal, with regard to the  $\omega_1^{th}$  fixed point of the ordinal exponentiation of base  $\omega_1$ . It is described in [Wad83,Dup01] by the use of the Veblen functions.

There are some subsets of the topological space  $\Sigma^\omega$  which are not Borel sets. In particular, there exists another hierarchy beyond the Borel hierarchy, called the projective hierarchy. The first class of the projective hierarchy is the class  $\Sigma_1^1$  of **analytic** sets. A set  $A \subseteq \Sigma^\omega$  is analytic iff there exists a Borel set  $B \subseteq (\Sigma \times Y)^\omega$ , with  $Y$  a finite alphabet, such that  $x \in A \leftrightarrow \exists y \in Y^\omega$  such that  $(x, y) \in B$ , where  $(x, y) \in (\Sigma \times Y)^\omega$  is defined by:  $(x, y)(i) = (x(i), y(i))$  for all integers  $i \geq 0$ .

A subset of  $\Sigma^\omega$  is analytic if it is empty, or the image of the Baire space by a continuous map. The class of analytic sets contains the class of Borel sets in any of the spaces  $\Sigma^\omega$ . Notice that  $\Delta_1^1 = \Sigma_1^1 \cap \Pi_1^1$ , where  $\Pi_1^1$  is the class of co-analytic sets, i.e. of complements of analytic sets.

The  $\omega$ -power of a finitary language  $V$  is always an analytic set because if  $V$  is finite and has  $n$  elements then  $V^\omega$  is the continuous image of a compact set  $\{0, 1, \dots, n-1\}^\omega$  and if  $V$  is infinite then there is a bijection between  $V$  and  $\omega$  and  $V^\omega$  is the continuous image of the Baire space  $\omega^\omega$ , [Sim92].

### 3 Topological complexity of $\omega$ -powers

We now state our first main result, showing that  $\omega$ -powers exhibit a very surprising topological complexity.

**Theorem 5 ([FL07]).** *Let  $\xi$  be a non-null countable ordinal.*

- (a) *There is  $A \subseteq 2^{<\omega}$  such that  $A^\omega$  is  $\Sigma_\xi^0$ -complete.*
- (b) *There is  $A \subseteq 2^{<\omega}$  such that  $A^\omega$  is  $\Pi_\xi^0$ -complete.*

To prove Theorem 5, we use in [FL07] a level by level version of a theorem of Lusin and Souslin stating that every Borel set  $B \subseteq 2^\omega$  is the image of a closed subset of the Baire space  $\omega^\omega$  by a continuous bijection, see [Kec95, p.83]. It is the following theorem, proved by Kuratowski in [Kur66, Corollary 33.II.1]:

**Theorem 6.** *Let  $\xi$  be a non-null countable ordinal, and  $B \in \Pi_{\xi+1}^0(2^\omega)$ . Then there is  $C \in \Pi_1^0(\omega^\omega)$  and a continuous bijection  $f : C \rightarrow B$  such that  $f^{-1}$  is  $\Sigma_\xi^0$ -measurable (i.e.,  $f[U]$  is  $\Sigma_\xi^0(B)$  for each open subset  $U$  of  $C$ ).*

The existence of the continuous bijection  $f : C \rightarrow B$  given by this theorem (without the fact that  $f^{-1}$  is  $\Sigma_\xi^0$ -measurable) has been used by Arnold in [Arn83] to prove that every Borel subset of  $\Sigma^\omega$ , for a finite alphabet  $\Sigma$ , is accepted by a non-ambiguous finitely branching transition system with Büchi acceptance condition. Notice that the sets of states of these transition systems are countable.

Our first idea was to code the behaviour of such a transition system. In fact this can be done on a part of  $\omega$ -words of a special compact set  $K_{0,0}$ . However we have also to consider more general sets  $K_{N,j}$  and then we need the hypothesis of the  $\Sigma_\xi^0$ -measurability of the function  $f$ . The complete proof can be found in [FL07,FL08].

Notice that for the class  $\Sigma_2^0$ , we need another proof, which uses a new operation which is very close to the erasing operation defined by Duparc in his study of the Wadge hierarchy, [Dup01]. We get the following result.

**Theorem 7.** *There is a context-free language  $A \subseteq 2^{<\omega}$  such that  $A^\omega \in \Sigma_2^0 \setminus \Pi_2^0$ .*

Notice that it is easy to see that the set  $2^\omega \setminus P_\infty$ , which is the classical example of  $\Sigma_2^0$ -complete set, is not an  $\omega$ -power. The question is still open to know whether there exists a regular language  $L$  such that  $L^\omega$  is  $\Sigma_2^0$ -complete.

Recall that, for each non-null countable ordinal  $\xi$ , the class of  $\Sigma_\xi^0$ -complete (respectively,  $\Pi_\xi^0$ -complete) subsets of  $2^\omega$  forms a single *non self-dual* Wadge degree. Thus Theorem 5 provides also some Wadge degrees of  $\omega$ -powers. More generally, it is natural to ask for the Wadge hierarchy of  $\omega$ -powers. In the long version [FL08] of the conference paper [FL07] we get many more Wadge degrees of  $\omega$ -powers.

In order to state these new results, we now recall the notion of difference hierarchy. (Recall that a countable ordinal  $\gamma$  is said to be even iff it can be written in the form  $\gamma = \alpha + n$ , where  $\alpha$  is a limit ordinal and  $n$  is an even positive integer; otherwise the ordinal  $\gamma$  is said to be odd; notice that all limit ordinals are even ordinals.)

If  $\eta < \omega_1$  and  $(A_\theta)_{\theta < \eta}$  is an increasing sequence of subsets of some space  $X$ , then we set

$$D_\eta[(A_\theta)_{\theta < \eta}] := \{x \in X \mid \exists \theta < \eta \ x \in A_\theta \setminus \bigcup_{\theta' < \theta} A_{\theta'} \text{ and the parity of } \theta \text{ is opposite to that of } \eta\}.$$

If moreover  $1 \leq \xi < \omega_1$ , then we set :

$$D_\eta(\Sigma_\xi^0) := \{D_\eta[(A_\theta)_{\theta < \eta}] \mid \text{for each } \theta < \eta \ A_\theta \text{ is in the class } \Sigma_\xi^0\}.$$

Recall that for each non null countable ordinal  $\xi$ , the sequence  $(D_\eta(\Sigma_\xi^0))_{\eta < \omega_1}$  is strictly increasing for the inclusion relation and that for each  $\eta < \omega_1$  it holds that  $D_\eta(\Sigma_\xi^0) \subseteq \Delta_{\xi+1}^0$ . Moreover for each  $\eta < \omega_1$  the class  $D_\eta(\Sigma_\xi^0)$  is a Wadge class and the class of  $D_\eta(\Sigma_\xi^0)$ -complete subsets of  $2^\omega$  forms a single *non self-dual* Wadge degree.

**Theorem 8.**

1. *Let  $1 \leq \xi < \omega_1$ . Then there is  $A \subseteq 2^{<\omega}$  such that  $A^\omega$  is  $\check{D}_2(\Sigma_\xi^0)$ -complete.*
2. *Let  $3 \leq \xi < \omega_1$  and  $1 \leq \theta < \omega_1$ . Then there is  $A \subseteq 2^{<\omega}$  such that  $A^\omega$  is  $\check{D}_{\omega^\theta}(\Sigma_\xi^0)$ -complete.*

Notice that for each ordinal  $\xi$  such that  $3 \leq \xi < \omega_1$  we get uncountably many Wadge degrees of  $\omega$ -powers of the same Borel rank  $\xi + 1$ . This confirms the great complexity of these  $\omega$ -languages.

However the problem is still open to determine completely the Wadge hierarchy of  $\omega$ -powers.

We now come to the effectiveness questions. It is natural to wonder whether the  $\omega$ -powers obtained above are effective. For instance could they be obtained as  $\omega$ -powers of recursive languages ?

In the paper [FL08] we prove effective versions of the results presented above. Using tools of effective descriptive set theory, such Kleene recursion Theorem and the notion of Borel codes, we first prove an effective version of Kuratowski's Theorem 6. Then we use it to prove the following effective version of Theorem 5, where  $\Sigma_\xi^0$  and  $\Pi_\xi^0$  denote classes of the hyperarithmetical hierarchy and  $\omega_1^{CK}$  is the first non-recursive ordinal, usually called the Church-Kleene ordinal.

**Theorem 9.** *Let  $\xi$  be a non-null ordinal smaller than  $\omega_1^{CK}$ .*

(a) *There is a recursive language  $A \subseteq 2^{<\omega}$  such that  $A^\omega \in \Sigma_\xi^0 \setminus \Pi_\xi^0$ .*

(b) *There is a recursive language  $A \subseteq 2^{<\omega}$  such that  $A^\omega \in \Pi_\xi^0 \setminus \Sigma_\xi^0$ .*

**Remark 10.** *If  $A \subseteq 2^{<\omega}$  is a recursive language, then the  $\omega$ -power  $A^\omega$  is an effective analytic set, i.e. a (lightface)  $\Sigma_1^1$ -set. And the supremum of the set of Borel ranks of Borel effective analytic sets is the ordinal  $\gamma_2^1$ . This ordinal is defined by Kechris, Marker, and Sami in [KMS89] and it is proved to be strictly greater than the ordinal  $\delta_2^1$  which is the first non  $\Delta_2^1$  ordinal. Thus the ordinal  $\gamma_2^1$  is also strictly greater than the first non-recursive ordinal  $\omega_1^{CK}$ . Thus Theorem 9 does not give the complete answer about the Borel hierarchy of  $\omega$ -powers of recursive languages. Indeed there could exist some  $\omega$ -powers of recursive languages of Borel ranks greater than  $\omega_1^{CK}$ , but of course smaller than the ordinal  $\gamma_2^1$ .*

## 4 Concluding remarks

The question naturally arises to know what are all the possible infinite Borel ranks of  $\omega$ -powers of finitary languages belonging to some natural class like the class of context free languages (respectively, languages accepted by stack automata, recursive languages, recursively enumerable languages, ...).

We know from [Fin06] that there are  $\omega$ -languages accepted by Büchi 1-counter automata of every Borel rank (and even of every Wadge degree) of an effective analytic set. Every  $\omega$ -language accepted by a Büchi 1-counter automaton can be written as a finite union  $L = \bigcup_{1 \leq i \leq n} U_i \hat{\ } V_i^\omega$ , where for each integer  $i$ ,  $U_i$  and  $V_i$  are finitary languages accepted by 1-counter automata. And the supremum of the set of Borel ranks of effective analytic sets is the ordinal  $\gamma_2^1$ . From these results it seems plausible that there exist some  $\omega$ -powers of languages accepted by 1-counter automata which have Borel



ranks up to the ordinal  $\gamma_2^1$ , although these languages are located at the very low level in the complexity hierarchy of finitary languages.

Another interesting question would be to determine completely the Wadge hierarchy of  $\omega$ -powers. A simpler open question is to determine the Wadge hierarchy of  $\omega$ -powers of regular languages. The second author has given in [Lec05] a few Wadge degrees of  $\omega$ -powers of regular languages. Notice however that even the question to determine the Wadge degrees of  $\omega$ -powers of regular languages in the class  $\Delta_2^0$  is still open.

## References

- Arn83. A. Arnold, Topological Characterizations of Infinite Behaviours of Transition Systems, Automata, Languages and Programming, J. Diaz Ed., Lecture Notes in Computer Science, Volume 154, Springer, 1983, p. 28-38.
- ABB96. J-M. Autebert, J. Berstel and L. Boasson, Context Free Languages and Pushdown Automata, in Handbook of Formal Languages, Vol 1, Springer Verlag 1996.
- Dup01. J. Duparc, Wadge Hierarchy and Veblen Hierarchy: Part 1: Borel Sets of Finite Rank, Journal of Symbolic Logic, Vol. 66, no. 1, 2001, p. 56-86.
- DF07. J. Duparc and O. Finkel, An  $\omega$ -Power of a Context-Free Language Which Is Borel Above  $\Delta_\omega^0$ , in the Proceedings of the International Conference Foundations of the Formal Sciences V : Infinite Games, November 26th to 29th, 2004, Bonn, Germany, Volume 11 of Studies in Logic, College Publications at King's College, London, 2007, p. 109-122.
- Fin01. O. Finkel, Topological Properties of Omega Context Free Languages, Theoretical Computer Science, Vol. 262 (1-2), July 2001, p. 669-697.
- Fin03. O. Finkel, Borel Hierarchy and Omega Context Free Languages, Theoretical Computer Science, Vol. 290 (3), 2003, p. 1385-1405.
- Fin04. O. Finkel, An omega-Power of a Finitary Language Which is a Borel Set of Infinite Rank, Fundamenta Informaticae, Volume 62 (3-4), 2004, p. 333-342.
- Fin06. O. Finkel, Borel Ranks and Wadge Degrees of Omega Context Free Languages, Mathematical Structures in Computer Science, Volume 16 ( 5), 2006, p. 813-840.
- FL07. O. Finkel and D. Lecomte, There Exist some  $\omega$ -Powers of Any Borel Rank. In the Proceedings of the 16th EACSL Annual International Conference on Computer Science and Logic, CSL 2007, Lausanne, Switzerland, September 11-15, 2007, Lecture Notes in Computer Science, Volume 4646, Springer, 2007, p. 115-129.
- FL08. O. Finkel and D. Lecomte, Classical and Effective Descriptive Complexities of omega-Powers, preprint, 2008, available from <http://fr.arxiv.org/abs/0708.4176>.
- HU69. J.E. Hopcroft and J.D. Ullman, Formal Languages and their Relation to Automata, Addison-Wesley Publishing Company, Reading, Massachusetts, 1969.
- Kec95. A.S. Kechris, Classical Descriptive Set Theory, Springer-Verlag, 1995.
- KMS89. A. S. Kechris, D. Marker, and R. L. Sami,  $\Pi_1^1$  Borel Sets, The Journal of Symbolic Logic, Volume 54 (3), 1989, p. 915-920.
- Kur66. K. Kuratowski, Topology, Vol. 1, Academic Press, New York 1966.
- Lec01. D. Lecomte, Sur les Ensembles de Phrases Infinies Constructibles a Partir d'un Dictionnaire sur un Alphabet Fini, Séminaire d'Initiation a l'Analyse, Volume 1, année 2001-2002.
- Lec05. D. Lecomte, Omega-Powers and Descriptive Set Theory, Journal of Symbolic Logic, Volume 70 (4), 2005, p. 1210-1232.
- LT94. H. Lescow and W. Thomas, Logical Specifications of Infinite Computations, In: "A Decade of Concurrency" (J. W. de Bakker et al., eds), Springer LNCS 803 (1994), 583-621.

- Mos80. Y. N. Moschovakis, *Descriptive Set Theory*, North-Holland, Amsterdam 1980.
- Niw90. D. Niwinski, Problem on  $\omega$ -Powers posed in the Proceedings of the 1990 Workshop “Logics and Recognizable Sets” (Univ. Kiel).
- PP04. D. Perrin and J.-E. Pin, *Infinite Words, Automata, Semigroups, Logic and Games*, Volume 141 of Pure and Applied Mathematics, Elsevier, 2004.
- Sim92. P. Simonnet, *Automates et Théorie Descriptive*, Ph. D. Thesis, Université Paris 7, March 1992.
- Sta86. L. Staiger, Hierarchies of Recursive  $\omega$ -Languages, *Jour. Inform. Process. Cybernetics* EIK 22 (1986) 5/6, 219-241.
- Sta97a. L. Staiger,  $\omega$ -Languages, Chapter of the *Handbook of Formal Languages*, Vol 3, edited by G. Rozenberg and A. Salomaa, Springer-Verlag, Berlin, 1997.
- Sta97b. L. Staiger, On  $\omega$ -Power Languages, in *New Trends in Formal Languages, Control, Coperation, and Combinatorics*, Lecture Notes in Computer Science 1218, Springer-Verlag, Berlin 1997, 377-393.
- Tho90. W. Thomas, Automata on Infinite Objects, in: J. Van Leeuwen, ed., *Handbook of Theoretical Computer Science*, Vol. B ( Elsevier, Amsterdam, 1990 ), p. 133-191.
- Wad83. W. W. Wadge. *Reducibility and Determinateness in the Baire Space*, PhD thesis, University of California, Berkeley, 1983.