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² L'Aquila earthquake inferred from cross-correlations of

ambient seismic noise

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We retrieve seismic velocity variations within the Earth's crust in the re-4 gion of L'Aquila (central Italy) by analyzing cross-correlations of more than 5 two years of continuous seismic records. The studied period includes the April 6 $6, 2009, M_W 6.1$ L'Aquila earthquake. We observe a decrease of seismic ve-7 locities as a result of the earthquake's main shock. After performing the anal-8 ysis in different frequency bands between 0.1 and 1 Hz, we conclude that the 9 velocity variations are strongest at relatively high frequencies (0.5-1 Hz) sug-10 gesting that they are mostly related to the damage in the shallow soft lay-11 ers resulting from the co-seismic shaking. 12

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1. Introduction

On April 6, 2009 a M_W 6.1 earthquake struck the central Apennines region near L'Aquila 13 (Italy) causing severe damage and more than 300 fatalities [Scoqnamiqlio et al., 2010]. 14 This area had been long recognized as seismically active [see the official seismic hazard 15 map of Italy, MPS Working Group, 2004] and an occurrence of a strong earthquake in 16 the central Apennines could not be considered as totally unexpected. Before the main 17 shock, an increase in the rate of seismicity started on September 2008 and many small size 18 events (about 300 with $M_L \leq 2.5$) occurred beneath the L'Aquila city area. This foreshock 19 sequence culminated with a $M_L = 4.1$ earthquake on March 30, 2009. In the following 20 days, the seismicity decreased until two earthquakes $(M_L = 3.9 \text{ and } M_L = 3.5)$ occurred 21 just a few hours before the L'Aquila main shock. In agreement with the extensional 22 tectonics of the central Apennines, the focal mechanism of the L'Aquila earthquake has 23 been determined to be a normal fault on a South-West dipping plane with the rupture 24 area of $\sim 20 \times 15 \text{ km}^2$ and the dipping angle of about 50 degrees [Cirella et al., 2009]. The 25 main shock was followed by an aftershock sequence that included 33 earthquakes greater 26 than $M_L = 4$. 27

In this study, we use a recently proposed monitoring technique based on ambient seismic noise. The idea of this method is to use signals reconstructed from repeated crosscorrelations of continuous seismic records as virtual seismograms generated by highly repeatable sources. In case of well distributed noise, the reconstructed virtual sources are close to point forces and the cross-correlations functions can be considered as Green functions [e.g., Weaver and Lobkis, 2001; Shapiro and Campillo, 2004; Sabra et al., 2005;

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Shapiro et al., 2005]. Highly accurate temporal monitoring can be also performed even 34 with inhomogeneous noise sources distributions when a perfect reconstruction of the Green 35 function is not achieved [e.g., Hadziioannou et al., 2009]. The changes of the travel times 36 measured from the noise cross-correlations reflect variations of the elastic properties in 37 the propagating media, i.e., in the Earth's crust. This approach has been recently applied 38 to monitor active volcanoes [e.g., Sens-Schönfelder and Wegler, 2006; Brenquier et al., 39 2008a; Duputel et al., 2009; Mordret et al., 2010] and large seismogenic faults [e.g., We-40 gler and Sens-Schönfelder, 2007; Brenquier et al., 2008b; Chen et al., 2010] and to detect 41 seasonal changes in the Earth's crust resulting from thermoelastic variations [e.g., Meier 42 et al., 2010]. 43

In a seismogram or in a correlation function, the delay accumulates linearly with the 44 lapse time when the wave speed changes homogeneously within the medium. As a con-45 sequence, a small change can be detected more easily when considering late arrivals. 46 This makes the use of coda waves particularly suited to measure temporal variations. 47 This can be done either by using the so-called stretching technique [e.g., Wegler and 48 Sens-Schönfelder, 2007] or with a method that was initially developed for repeated earth-49 quakes (doublets) by *Poupinet et al.* [1984]. Here, we use this latter approach that has 50 **been** specifically adopted to make measurements from the noise cross-correlations [e.g., 51 Clarke et al., 2011]. We apply this method to two years of continuous recordings by three 52 seismic stations located in the vicinity of the L'Aquila main shock fault (Figure 1) to 53 measure variations of crustal seismic velocities caused by this earthquake. 54

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2. Selecting and pre-processing the data and computating cross-corelations

Istituto Nazionale di Geofisica e Vulcanologia (INGV) operates two large seismologi-55 cal networks: the Italian National Seismic Network (INSN) and the Mediterranean Very 56 Broadband Seismographic Network MedNet. The INSN consists of more than 250 stations 57 with various characteristics [Amato and Mele, 2008]. MedNet consists of 22 very broad-58 band stations distributed over the Euro-Mediterranean area with 13 of them located in 59 Italy [Mazza et al., 2008]. During period of interest for our study, four broadband stations 60 operated in continuous mode within a radius of 25 km from the main shock epicenter. 61 However, records of one of these stations contained too many gaps and we finally decided 62 to use three stations: CAMP and FIAM from INSN and AQU from MedNet (Figure 1). 63 The longest period of data availability at these three stations was between March 27, 2008 64 and April18, 2010. 65

We re-sampled time series recorded at the three stations in order to get a perfect time synchronization and filled existing small gaps via a linear interpolation. Then, we preprocessed the vertical component seismograms by whitening their spectra between 0.1 and 1 Hz and by normalizing their amplitude through a one-bit normalization. In this way, the contributions arising from strong transient phenomena were reduced in both time and frequency domains [e.g., *Bensen et al.*, 2005; *Brenguier et al.*, 2008b]. Finally, we computed cross-correlations between the three pairs of stations for every hour of the available recordings.

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3. Measurement of seismic velocity variations

We adopted the Multi Window Cross-Spectrum (MWCS) analysis [e.g., Clarke et al., 74 2011]. This technique was first proposed by Poupinet et al. [1984] for retrieving the relative 75 velocity variation between earthquake doublets. Brenquier et al. [2008a, b] applied this 76 technique to the cross-correlations of the seismic noise. The main idea of the method is 77 that the noise cross-correlations computed from subsequent time windows can be analysied 78 similar to records from earthquake doublets. When analyzing long time series, we compare 79 a single reference cross-correlation with many subsequent current functions. The reference 80 cross-correlation CC^R for a particular station pair is obtained from stacking all available 81 cross-correlations for this pair and, therefore, is representative of the background crustal 82 state. The current cross-correlations CC^{C} are obtained from stacking a small sub-set of 83 cross-correlations representative of a state of the crust for a given short period of time. 84 There is a trade-off between the length of the stack required to have stable estimates of 85 the CC^{C} and the time resolution for detecting the variations. To find an optimal stacking 86 duration for the current function we tested different lengths between 10 and 100 days. For 87 each tested stacking length, we computed all possible functions CC^{C} by applying moving 88 windows shifted by two days. Then, we computed the correlation coefficient r between the 89 reference function CC^R and every CC^C . The distribution of r characterizes the similarity 90 between CC^R and CC^C for a given stacking length. We represent the overall degree of 91 similarity by the mean and the standard deviation of this distribution. Figure 2 shows 92 these parameters for the three station pairs. We observe that the degree of similarity 93

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⁹⁴ increases rapidly for short stacking durations and then it tends to stabilize. We selected
⁹⁵ a value of 50 days as stacking length for computing the current correlation functions.

The MWCS analysis consists of two computational steps [e.g., *Clarke et al.*, 2011]. In the first step, we estimate for a station pair k delay times δt_i^k between CC^R and CC^C within a set of time windows centered at t_i . In case of uniform velocity perturbations, the measured delays δt_i^k are expected to be a linear function of time t_i with a slope corresponding to the relative time perturbation:

$$\frac{\Delta t}{t} = -\frac{\Delta v}{v} \tag{1}$$

where $\frac{\Delta v}{v}$ is the relative uniform seismic velocity perturbation that can be estimated in the second step from a single station pair k via linear fitting of the following equation:

$$\delta t_i^k = -\left(\frac{\Delta v}{v}\right)_k \cdot t_i \tag{2}$$

In order to obtain one estimates representative of the entire crustal volume, we merged 103 together the delays δt_i^k measured from the three station pairs before proceeding with the 104 second step of the analysis. We computed the median value δt_i of the delays δt_i^k for every *i*-105 th window, and we inserted it into (2) to estimate of $\frac{\Delta v}{v}$ for the entire region encompassed 106 by the three stations. When performing this analysis, we removed the central part of 107 the cross-correlations containing direct waves (group velocities faster than 2.5 km/s; see 108 Table 1) because they may be sensitive to the changing position of the noise sources [e.g., 109 Froment et al., 2010. Relative velocity variations were then computed by taking into 110

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account the coda of the cross-correlation up to a length of 60 s where the signal decreases to values close to the noise level.

To estimate uncertainties of our measurements, we followed the method proposed by 113 *Clarke et al.* [2011] and performed a synthetic test on the L'Aquila noise cross-correlations. 114 We perturbed the reference cross-correlation function by stretching its waveform and 115 simulating different values of velocity variations (from 0.01% to 0.5%). Then, we added 116 a random noise with a signal-to-noise ratio of 5 (that is the mean value measured from 117 the observed cross-correlations). Finally, we applied the MWCS technique to measure the 118 apparent velocity variations $\frac{\Delta v}{v}$ between the perturbed cross-correlations and the original 119 CC^{R} . The RMS deviations between the estimated velocity variations and those introduced 120 through stretching characterize the uncertainties of our measurements. 121

To investigate the depth extent of the measured crustal velocity perturbations, we 122 performed the MWCS analysis inside three different frequency bands: [0.1-1], [0.1-0.6],123 and [0.5–1] Hz. It has been shown both theoretically and observationally that at these 124 frequencies the coda of seismograms and correlation functions are mainly composed of 125 surface waves [e.g., Hennino et al., 2001; Margerin et al., 2009]. We therefore expect that 126 the sensitivity of the coda waves to a velocity change at depth depends on their spectral 127 content with shorter periods sensitive to shallower structures and longer periods sampling 128 deeper parts of the crust. The measurement results for the three frequency bands are 129 presented in **Figure 3** and show a sudden velocity decrease at the time of occurrence of 130 the L'Aquila main shock. The amplitude of this velocity drop is largest at frequencies 131

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higher than 0.5 Hz and decreases at lower frequencies. This indicates that a large part ofthe observed variations have their likely origin within the shallow crustal layers.

4. Discussion

A limited number of available stations (only three) and the fact that only 134 one of them is located in the immediate vicinity of the earthquake fault did 135 not allow us to identify exact regions that produced the observed velocity 136 variations. Also, the dataset used in this study did not allow us to make 137 robust measurements with refined time resolution. A denser network covering 138 the source area would be required to obtain better space and time resolutions 139 [e.g., Brenquier et al., 2008a]. Therefore, we interpret here only the most 140 robust average features. 141

The results presented in our study show that the L'Aquila main shock caused a de-142 tectable reduction of seismic velocities within the surrounding crust. We observe that 143 the velocity dropped by 0.3%, which is more than 3 times larger than the fluctuations 144 observed before the main shock. Co-seismic velocity reductions can be attributed to 145 increasing crack and void densities in the shallow crustal structure and/or to reduced 146 compaction of the near-surface granular material. The presence and migration of fluids 147 can further contribute to modification of the seismic properties in the shallow crust. Our 148 results can be compared with other studies that have addressed changes of the crustal 149 parameters prior and after the L'Aquila earthquake. Amoruso and Crescentini [2010] 150 used strain measurements obtained in the Gran Sasso laboratory during the two years 151 prior to the main shock to infer that no anomalous signal was observed. They concluded 152

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that the possible earthquake nucleation zone was confined to a volume less than 100 km^3 . 153 In contrast, v_p/v_s anomalies have been reported by *Di Luccio et al.* [2010] in the weeks 154 prior to the main shock with an abrupt variation after the $M_L = 4.1$ foreshock occured 155 on March 30. Similar results were obtained by Lucente et al. [2010] who used shear wave 156 splitting in addition to v_p/v_s ratios. They attribute the velocity anomalies occurring in 157 the week prior to the main shock to a complex sequence of dilatancy-diffusion processes in 158 which fluids play a key role. Terakawa et al. [2010] inverted the stress field obtained from 159 the aftershock sequence focal mechanisms to determine the fluid pressure and to conclude 160 that the spatial pattern of the sequence is driven mainly by fluid migration. 161

Our results are based on current cross-correlation functions stacked over a 50 day period 162 and, therefore, do not have the time resolution required to identify possible short-term 163 precursory variations and to separate them from the co-seismic effect. On the other hand, 164 with stacking large data volumes our estimation of the co-seismic velocity reduction is 165 inherently very robust. The observed velocity reduction is larger at higher frequencies. 166 Therefore, we prefer the hypothesis the perturbation is mainly due to damaging of shallow 167 soft sedimentary layers by the co-seismic strong ground motion [e.g., Wu et al., 2009]. This 168 effect may be also enhanced by the presence of fluids. 169

We compare the co-seismic perturbation observed during the L'Aquila earthquakes with other cases when the co-seismic crustal velocity variations were measured from noise crosscorrelations (Table 2). The co-seismic velocity drop measured for the L'Aquila earthquake $(\sim 0.3\%)$ is significantly larger than the values measured within a similar frequency band for the M_W 6.0 Parkfield and the M_W 7.9 Wenchuan events ($\Delta v/v \sim 0.08\%$ as reported by

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Brenquier et al. [2008a] and Chen et al. [2010], respectively). At the same time, a stronger 175 variation ($\sim 0.6\%$) has been observed with the stretching technique and frequencies higher 176 than 2 Hz during the M_W 6.6 Mid-Niigata earthquake. The results of this comparison 177 suggest that the level of measured co-seismic velocity variation is not a simple function of 178 the total moment release during an earthquake but is controlled by different factors such 179 as local geological conditions and, possibly, focal mechanism and source depth. Also, the 180 frequency range used in the analysis controls the depth extent of the measured anomaly. 181 Finally, the aperture of the used seismic network (i.e., the distance between the station 182 pairs) can play an important role. So far, the velocity variations reported in this study 183 were measured over a relatively large area. Therefore, they may be less sensitive to the 184 processes occurring in the immediate vicinity of the fault, where stress-induced velocity 185 perturbations are expected to be most important. 186

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Table 1. Parameters of the three inter-stations paths used in the study. The Rayleigh wave arrival times are roughly estimated considering a group velocity of 3 km/s [*Chiarabba et al.*, 2009]). Parts of the correlation functions with group velocities faster than 2.5 km/s we excluded from the analysis to avoid the influence of the noise source variability in direct arrivals.

| stations | distance | Rayleigh arrival | cutoff |
|-----------|---------------|------------------|--------------|
| | km | \mathbf{S} | \mathbf{S} |
| AQU_CAMP | 20 | 6.67 | ± 7.5 |
| AQU_FIAM | 26 | 8.67 | ± 10 |
| CAMP_FIAM | 38 | 12.67 | ± 15 |

Table 2. Comparison between the L'Aquila event and other earthquakes where co-seismic velocity variations were measured from noise cross-correlations. Values of velocity variations are from *Brenguier et al.* [2008a], *Wegler and Sens-Schönfelder* [2007], and *Chen et al.* [2010], for the Parkfield, the Mid-Niigata, and the Wenchuan earthquakes, respectively.

| Earthquake | M_w | depth | focal mechanism | $\Delta v/v$ | frequency | stations |
|-------------|-------|---------------|------------------------------|--------------|-----------|----------|
| | | km | | % | Hz | |
| L'Aquila | 6.1 | 8.8 | normal | 0.15 | 0.1 - 0.6 | 3 |
| | | | | 0.3 | 0.1 - 1 | |
| | | | | 0.4 | 0.5 - 1 | |
| Parkfield | 6.0 | 7.9 | $\operatorname{strike-slip}$ | 0.08 | 0.1 - 0.9 | 13 |
| Mid-Niigata | 6.6 | 5 | thrust | 0.6 | > 2 | 1 |
| Wenchuan | 7.9 | 19 | thrust | 0.08 | 0.3 - 1 | > 30 |



Figure 1. Map of the central Apennines showing the location of the L'Aquila epicenter (black open star) and of the fault plane projection (black rectangle) from *Cirella et al.* [2009]. The gray triangles are the three stations considered in this study. Black thin lines indicate main tectonic faults from *Emergeo Working Group* [2010]. Light gray lines show the regional boundaries.

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Figure 2. Mean (a) and standard deviation (b) values of the correlation coefficients r between CC^{C} and CC^{R} as a function of number of days used to construct the current correlation functions CC^{C} . Mean and standard deviations were computed after a Fisher transformation that returns an almost normally distributed variable [*VanDecar and Crosson*, 1990]. Panels (c),(d), and (e) show the reference cross-correlation functions CC^{R} (blue) together with an example of 50 day current function CC^{C} (black) for the three couples of stations. Only portions of the the signal considered in the analysis are plotted (Table 1). Numbers in the bottom left corners are the respective correlation coefficients r.

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Figure 3. Relative velocity variations measured from cross-correlations of seismic noise recorded at the three stations (gaps correspond to periods when the stations were not operating simultaneously). Results obtained by analyzing the whole frequency range [0.1 1] Hz are shown with a gray color. Blue color shows the results from narrower frequency ranges: (a) [0.1 0.6] Hz and (b) [0.5 1] Hz). Vertical bars indicate the uncertainties of the measurements. The vertical red line highlights the time of occurrence of the L'Aquila main shock.

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