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# Obstructive Sleep Apnea and Metabolic Syndrome: Where is the Chicken? Where is the Egg?

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# Obstructive Sleep Apnea and Metabolic Syndrome: Where is the Chicken? Where is the Egg ?

**Vsevolod Y. Polotsky, M.D., Ph.D.**

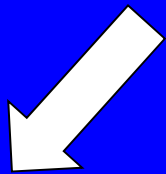
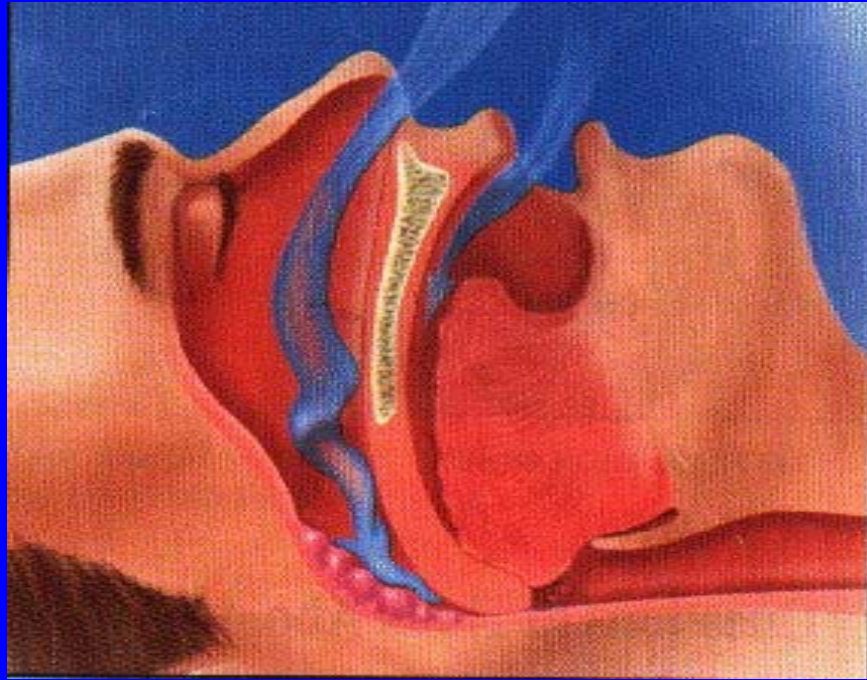
**Associate Professor of Medicine**

**Division of Pulmonary and Critical Care Medicine,  
Johns Hopkins University School of Medicine, Baltimore,  
MD, USA**

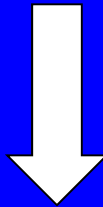
**November 5, 2014**

# DISCLOSURE

- **No financial relationships with commercial entities to disclose**
- **I will not reference an unlabeled/unapproved use of a drug or product in my presentation.**



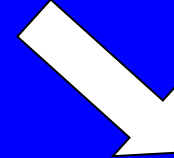
**Intermittent  
Hypoxia**



**Transthoracic  
Pressure  
Swings**

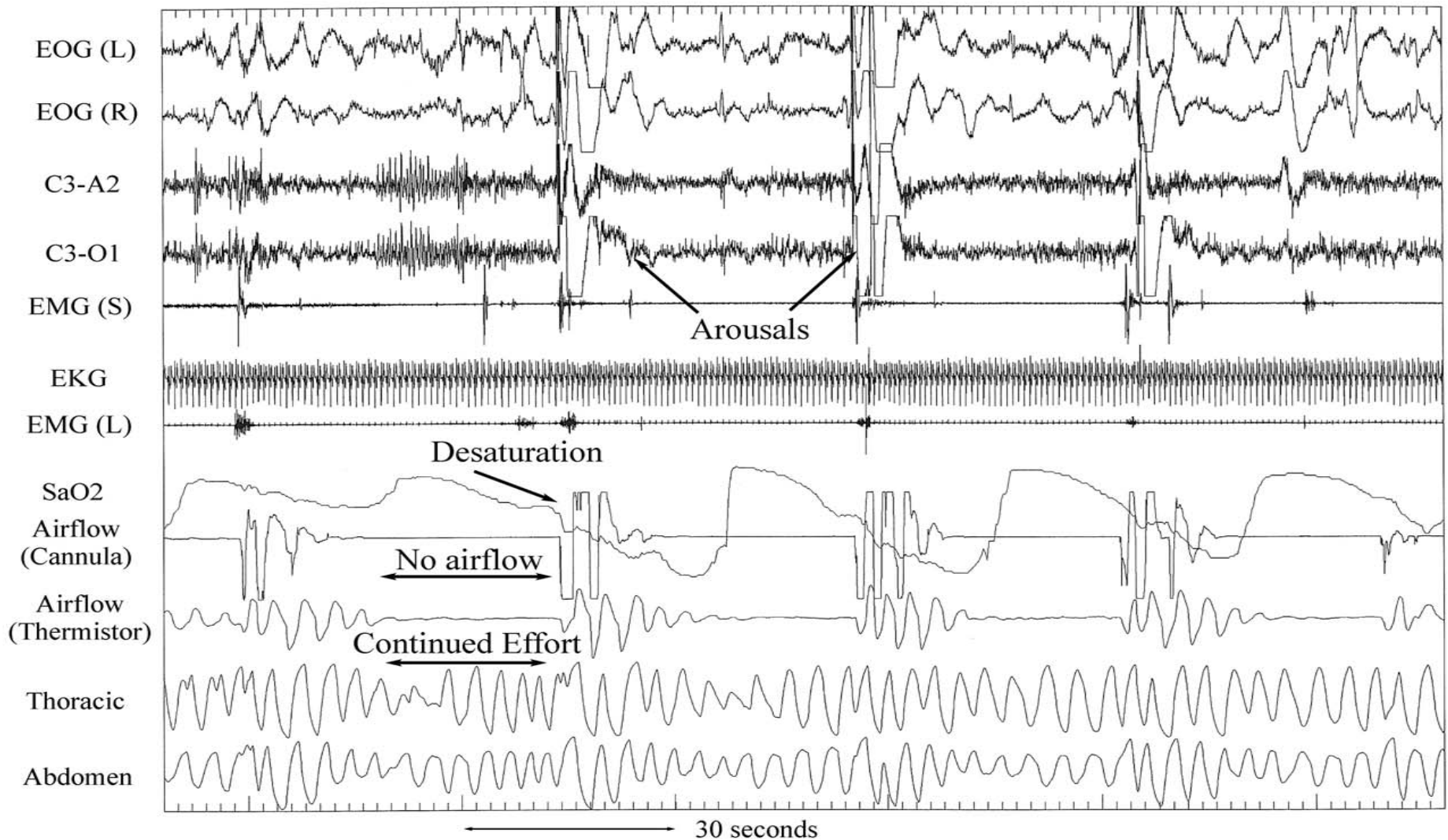


**Sleep  
Fragmentation**



**Hypercapnea**

# TRACING OF OBSTRUCTIVE APNEAS DURING SLEEP



# PREVALENCE

**AHI  $\geq$  5: 24% in men and 9% in women**

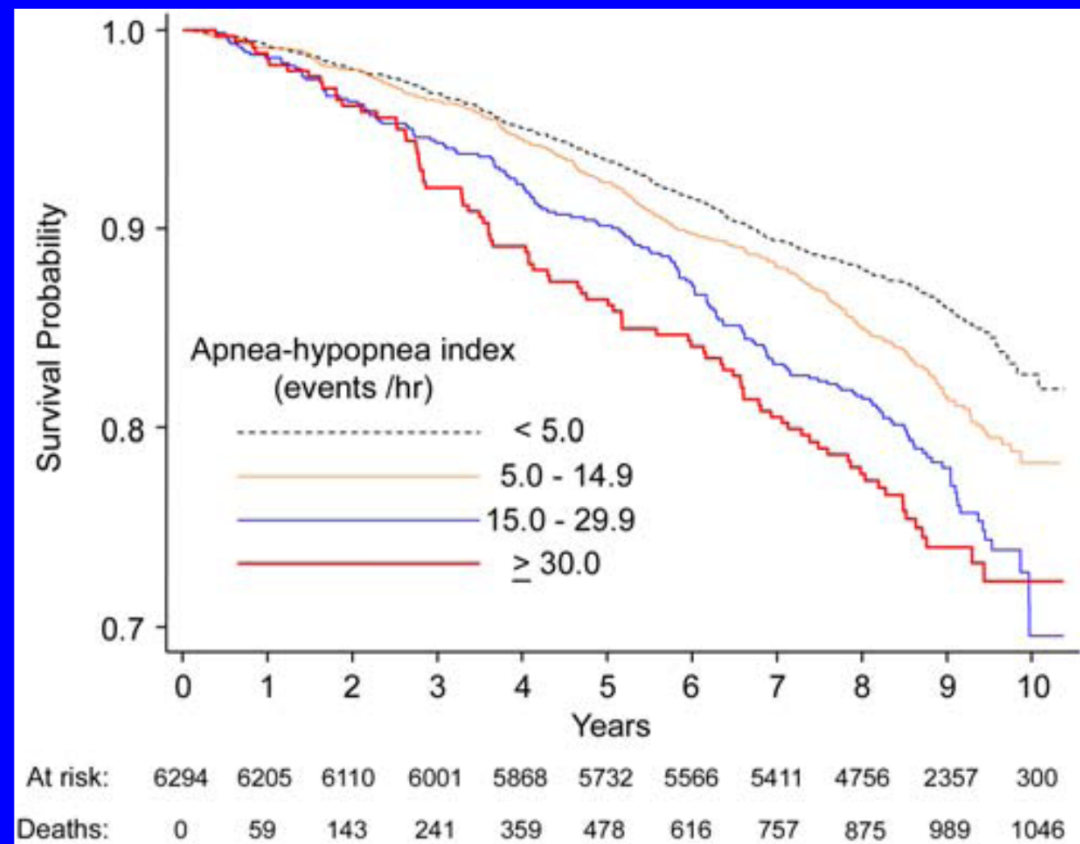
**(Young et al., 1993)**

**30-60% in obese individuals**

**(Vgontzas, 2000; Punjabi 2002; Young 1993 and 2002;  
Tufik 2010)**

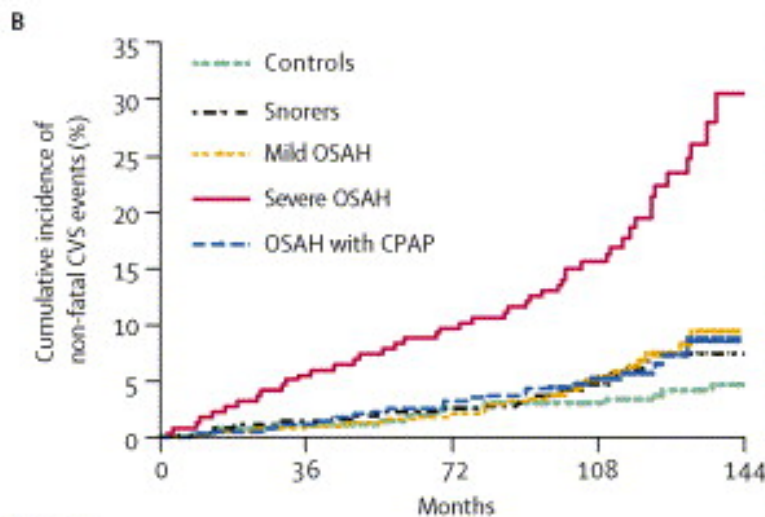
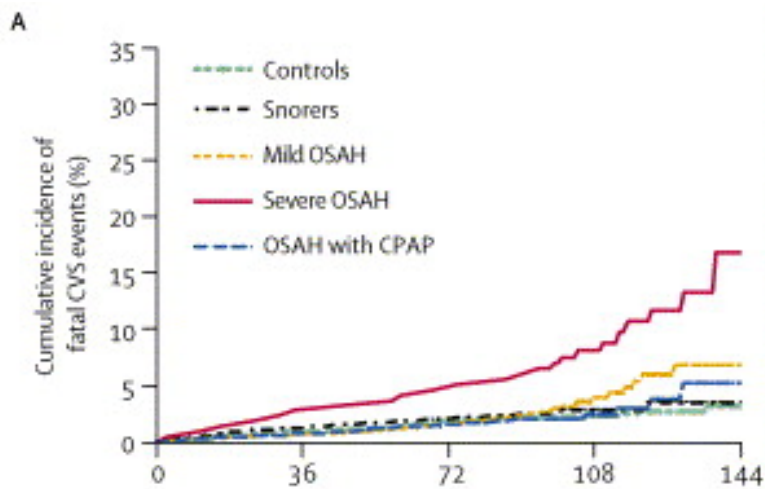


# Sleep-Disordered Breathing and Mortality: a Prospective Cohort Study



Punjabi NM et al. PLoS Med. 2009 Aug;6(8):e1000132. Epub 2009 Aug 18.





**Numbers at risk**

Controls	264	262	259	258
Snorers	377	372	361	232
Mild OSAH	403	401	392	264
Severe OSAH	235	229	221	167
OSAH with CPAP	372	364	361	229

**Marin JM, Carrizo SJ, Vicente E, Agusti AG.  
 Lancet. 2005 Mar 19-25;365(9464):1046-53.**

**Current evidence suggests that increased cardiovascular risk of OSA may be related to the increased prevalence of the metabolic syndrome in patients with OSA**

**Current evidence suggests that increased cardiovascular risk of OSA may be related to the increased prevalence of the metabolic syndrome in patients with OSA**

## **Metabolic Syndrome (NCEP, 2001 and AHA/NHLBI, 2004)**

**Any 3 of the following**

- **Abdominal obesity**
- **Serum triglycerides  $> 150$  mg/dl**
- **HDL-C  $< 40$  mg/dl in men and  $< 50$  mg/dl in women**
- **BP  $> 130/85$  (or treated for HTN)**
- **Fasting blood glucose  $> 100$  mg/dl (or Medx)**

**+ Non-alcoholic fatty liver disease**

- **Obstructive Sleep Apnea, Insulin Resistance and Type 2 Diabetes**
- **Obstructive Sleep Apnea and Dysregulation of Lipid Metabolism**
- **Obstructive Sleep Apnea and Fatty Liver**

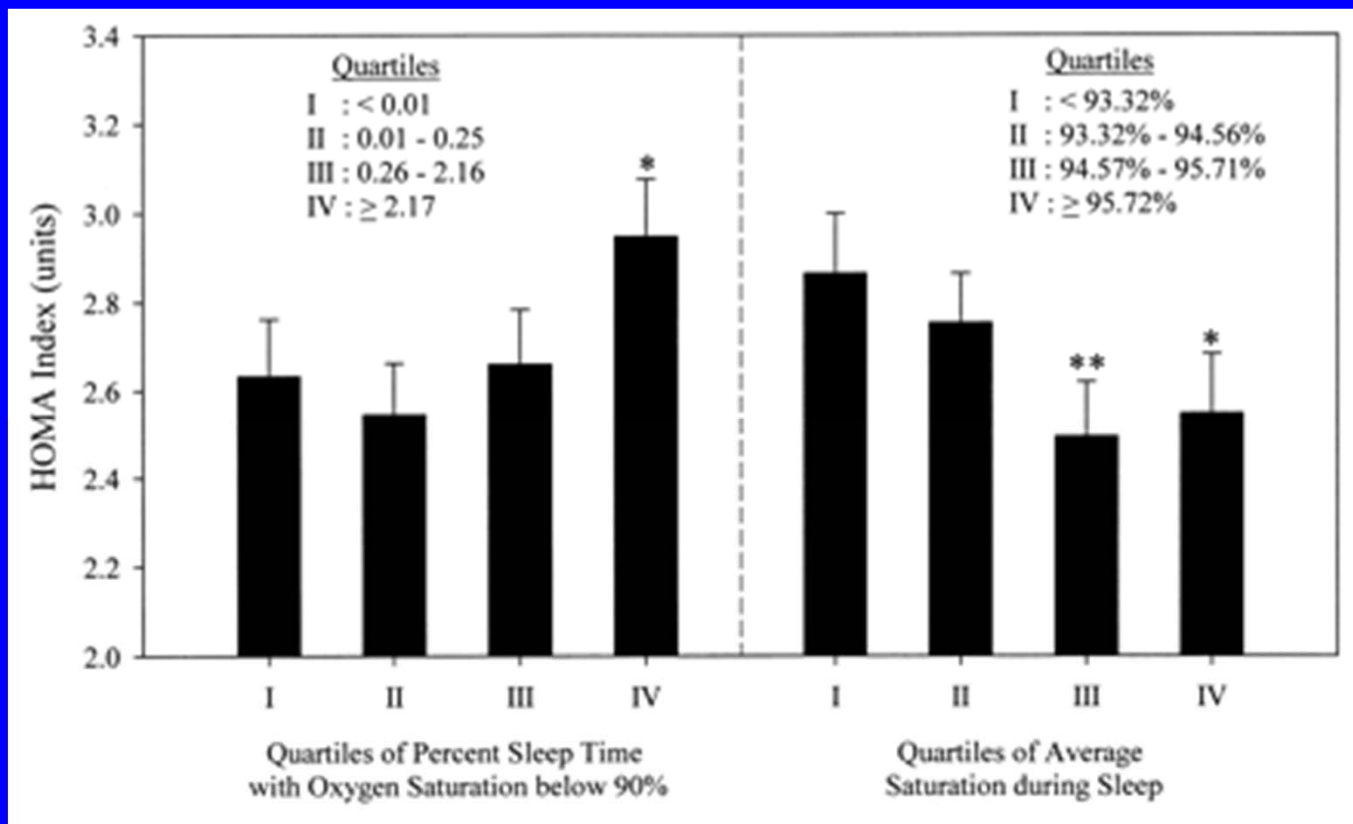
- **Obstructive Sleep Apnea, Insulin Resistance and Type 2 Diabetes**
- **Obstructive Sleep Apnea and Dysregulation of Lipid Metabolism**
- **Obstructive Sleep Apnea and Fatty Liver**

## **Obstructive Sleep Apnea Is Independently Associated with Insulin Resistance**

MARY S. M. IP, BING LAM, MATTHEW M. T. NG, WAH KIT LAM, KENNETH W. T. TSANG, and KAREN S. L. LAM  
*Am J Respir Crit Care Med* Vol 165. pp 670–676, 2002

## **Sleep-disordered Breathing and Insulin Resistance in Middle-aged and Overweight Men**

NARESH M. PUNJABI, JOHN D. SORKIN, LESLIE I. KATZEL, ANDREW P. GOLDBERG, ALAN R. SCHWARTZ,  
and PHILIP L. SMITH  
*Am J Respir Crit Care Med* Vol 165. pp 677–682, 2002



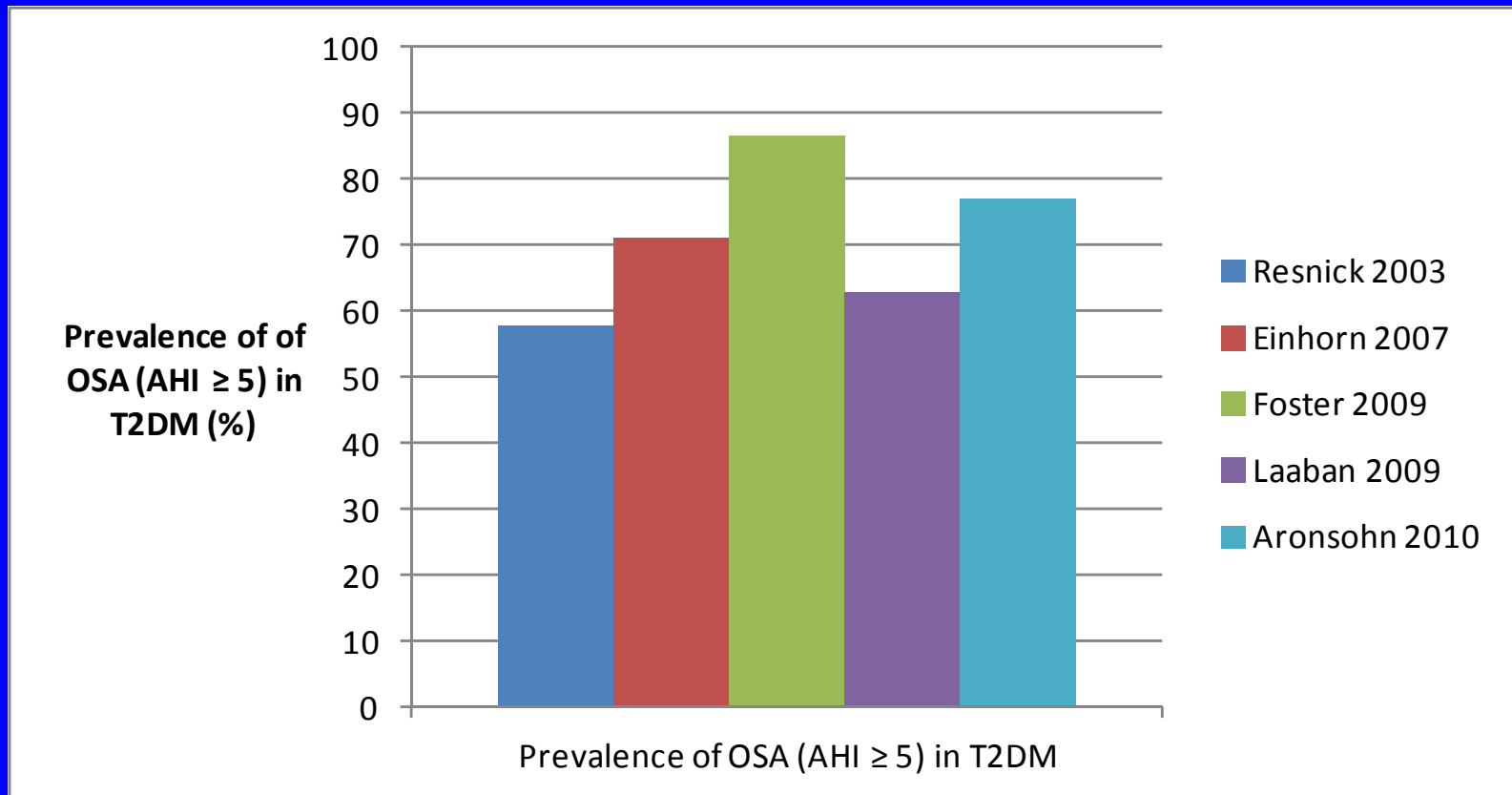
$$\text{HOMA} = G_0 \times I_0 / 22.5$$

*Punjabi et al. Sleep-disordered breathing, glucose intolerance, and insulin resistance: the Sleep Heart Health Study. Am J Epidemiol. 2004 Sep 15;160(6):521-30.*



# Prevalence of OSA in T2DM

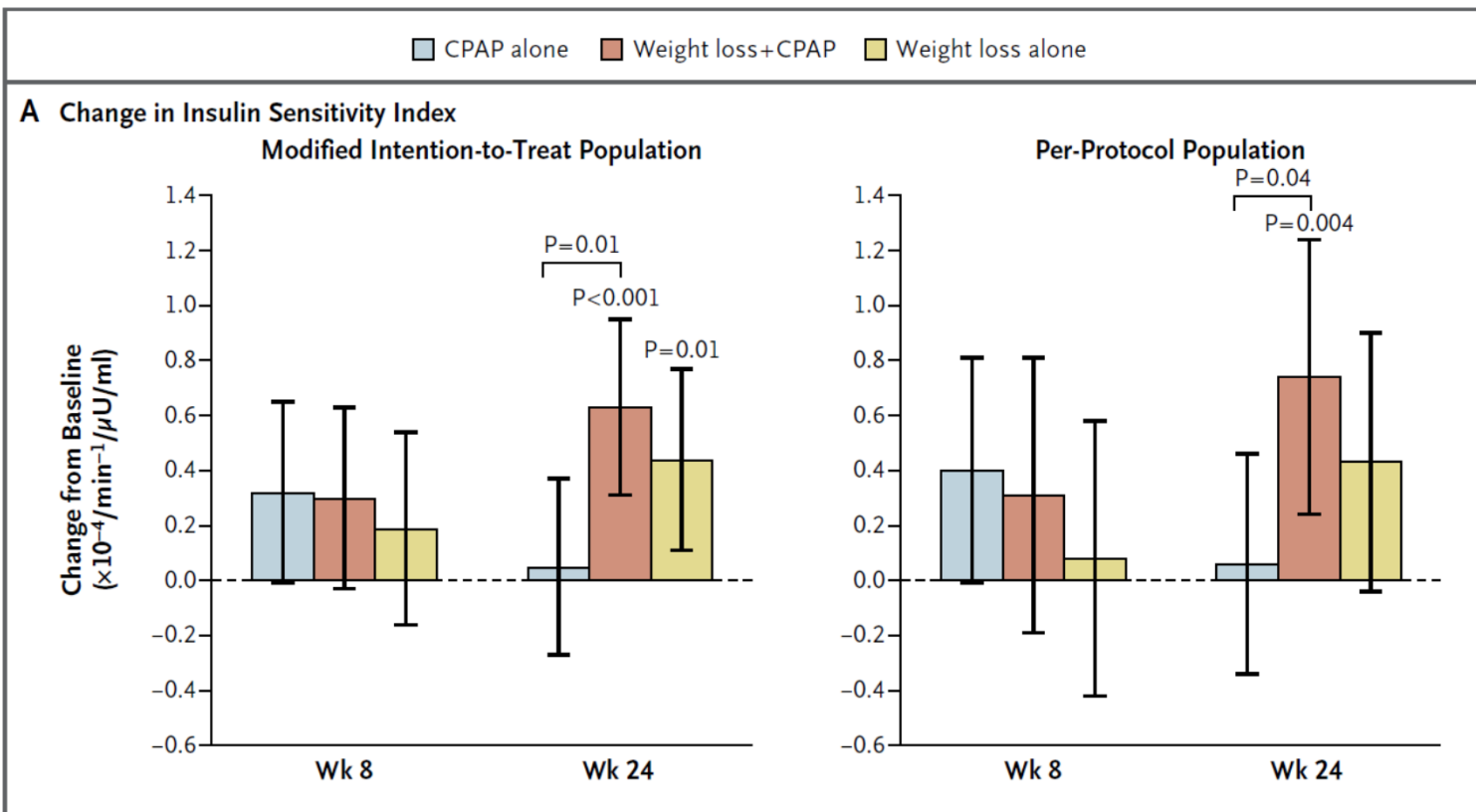
(adapted from Pamidi and Tasali,  
Front Neurol. 2012;3:126. Epub 2012 Aug 13)



# CPAP effect

- **9 small RCT (1 – 12 wks)**
- **in different population of apneics**
- **Different outcomes (SI, HbA1C, fasting blood glucose and insulin)**
- **4 studies showed some improvement , 5 showed none**

# CPAP effect



Chirinos et al.

N Engl J Med 2014;370:2265-75.

# CPAP effect

**New data from Punjabi and Tasali presented at ATS 2014 indicate significant improvement of insulin resistance with CPAP**

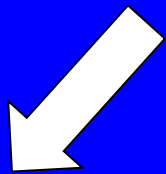
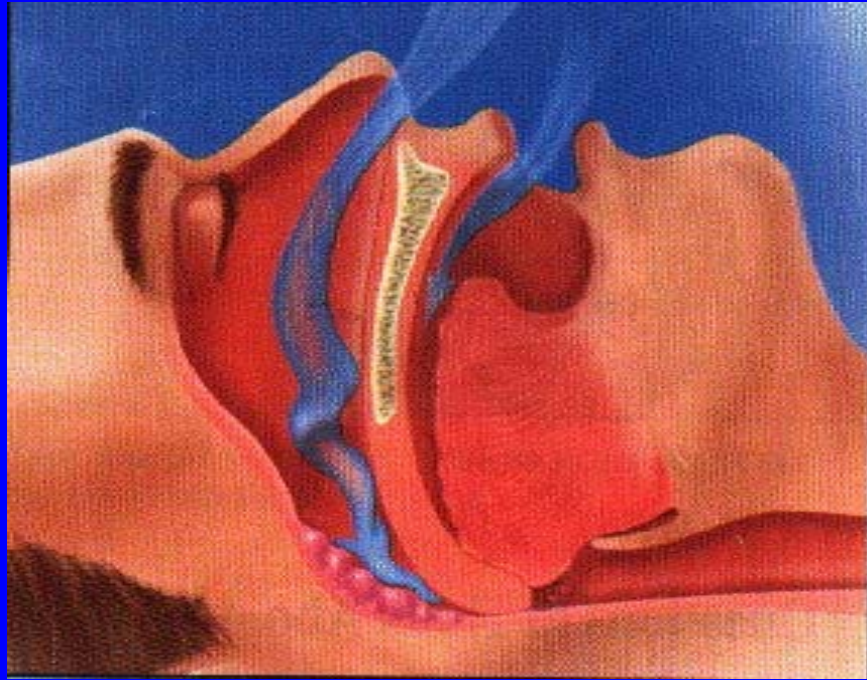
**What are the mechanisms ?**



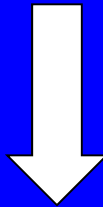
**i has a cheezie**



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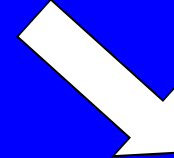
**Intermittent  
Hypoxia**



**Transthoracic  
Pressure  
Swings**



**Sleep  
Fragmentation**



**Hypercapnea**

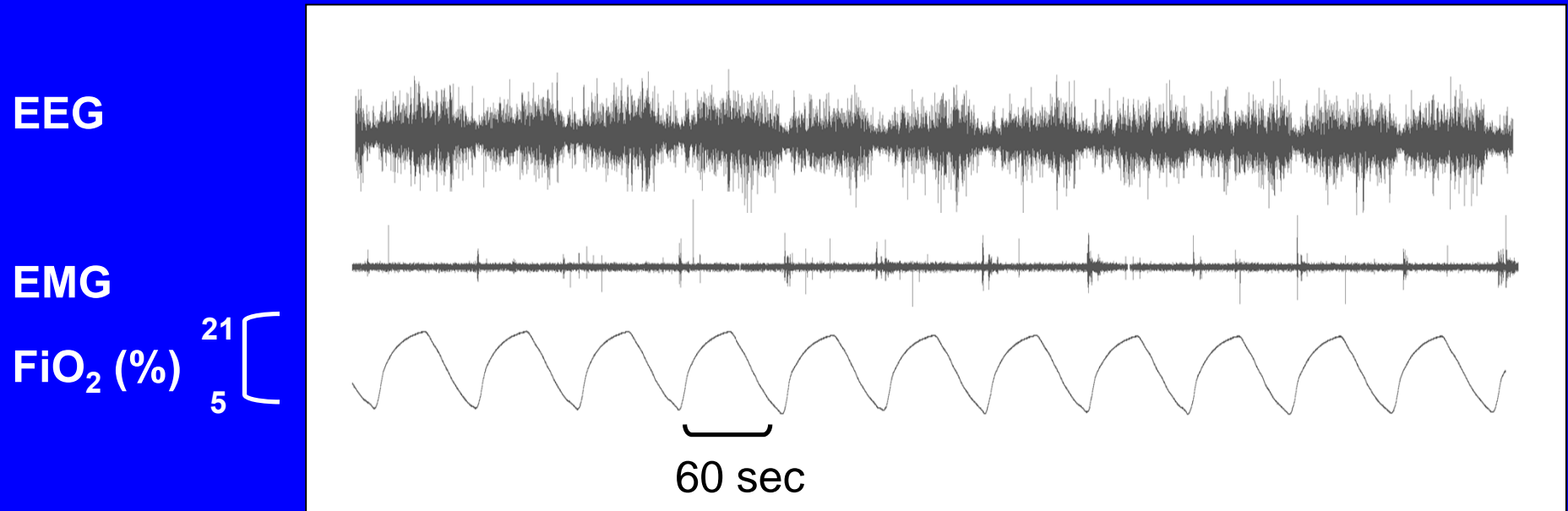
# Mouse Model of Intermittent Hypoxia





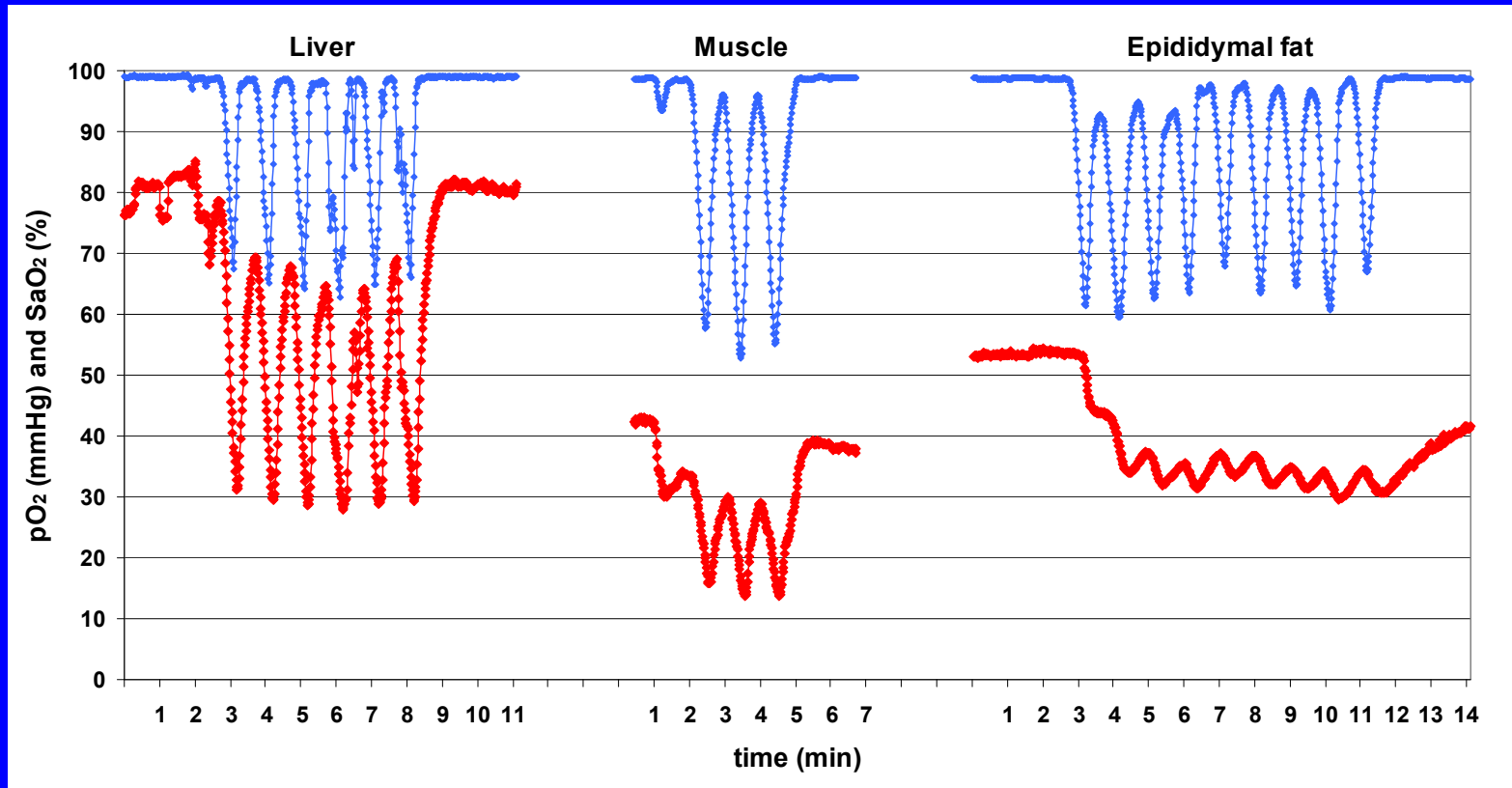


# Intermittent Hypoxia is a Complex Stimulus: IH Events Fragment Sleep



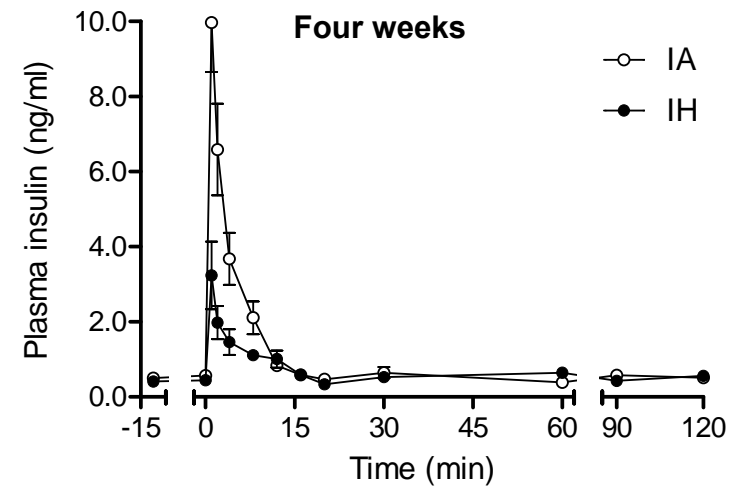
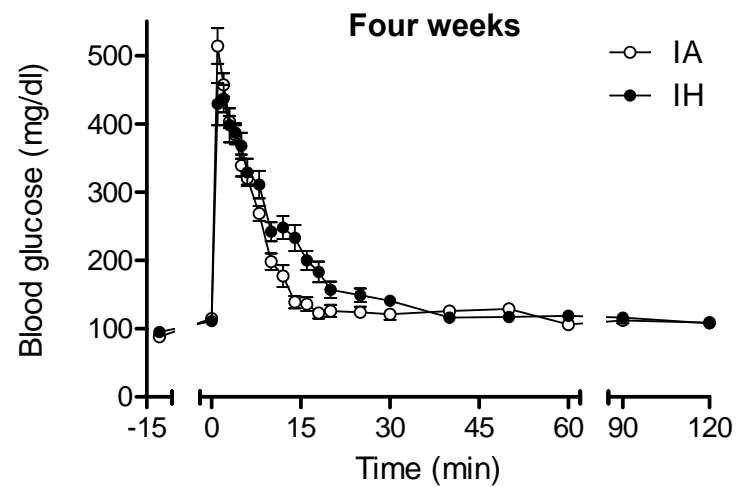
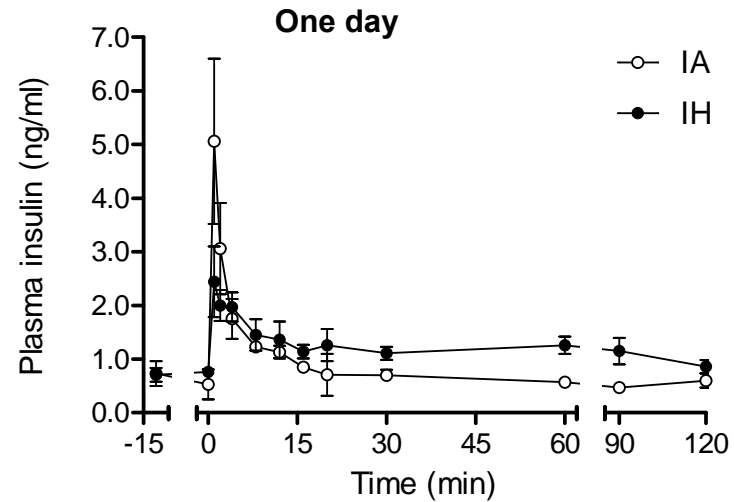
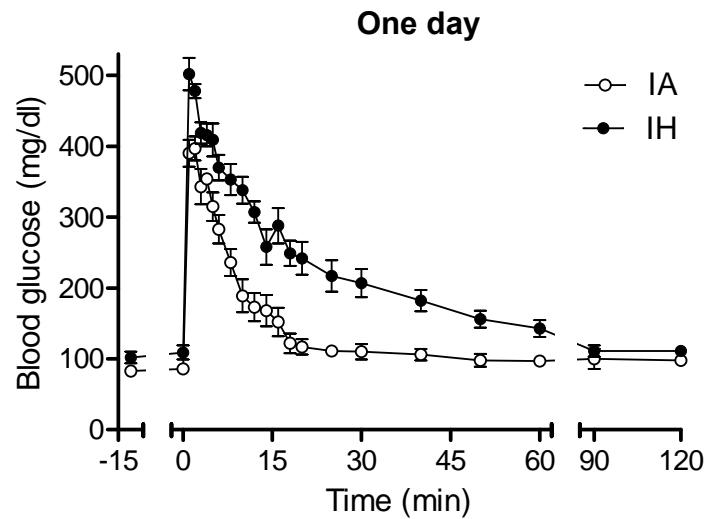
Polotsky et al. Sleep Med. 2006 Jan;7(1):7-16.

# Mouse Model of Intermittent Hypoxia



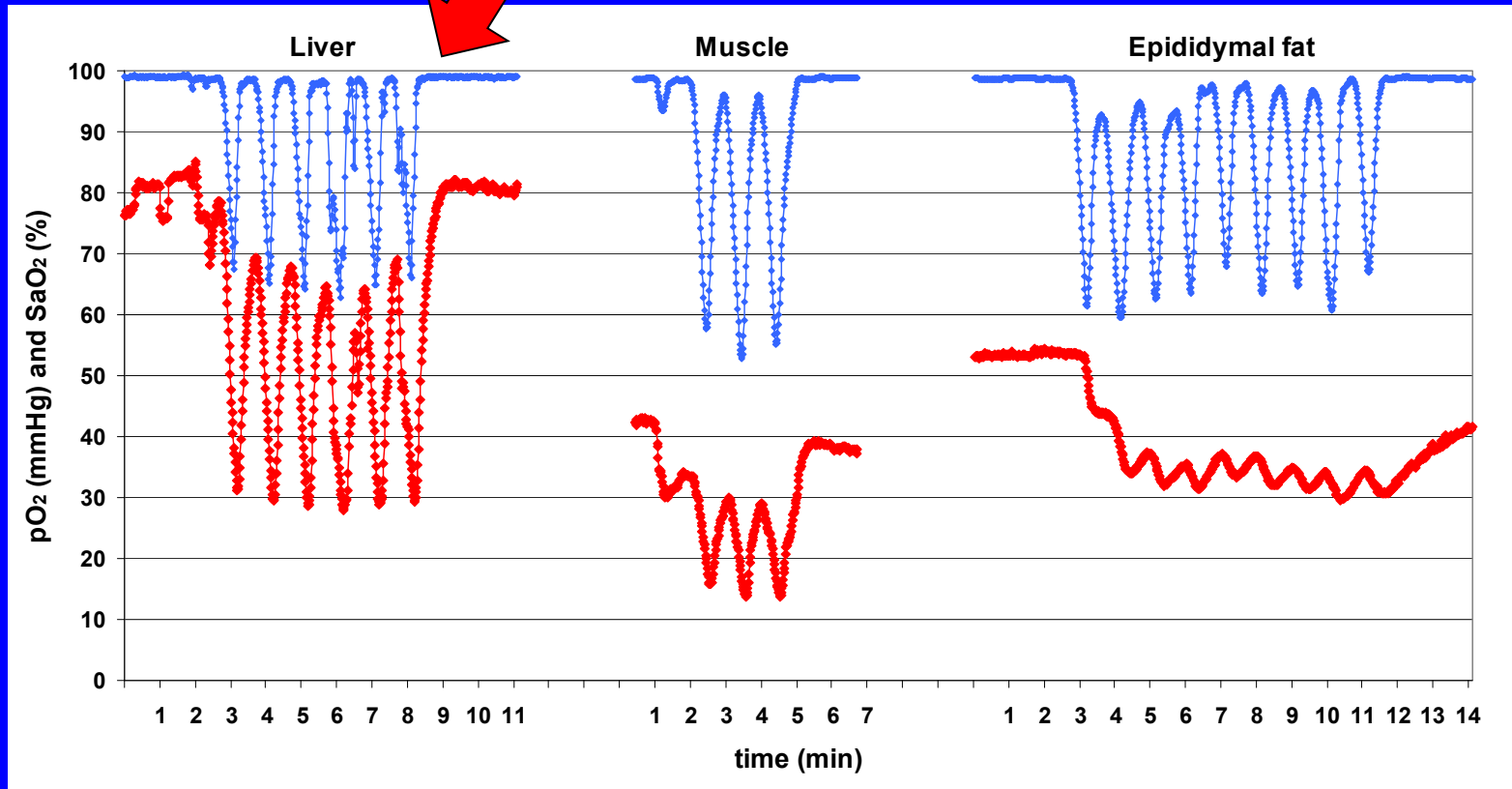
Reinke et al. J Appl Physiol 2011, 111:881-90

# Intermittent Hypoxia Increases Insulin Resistance and Suppresses Insulin Secretion



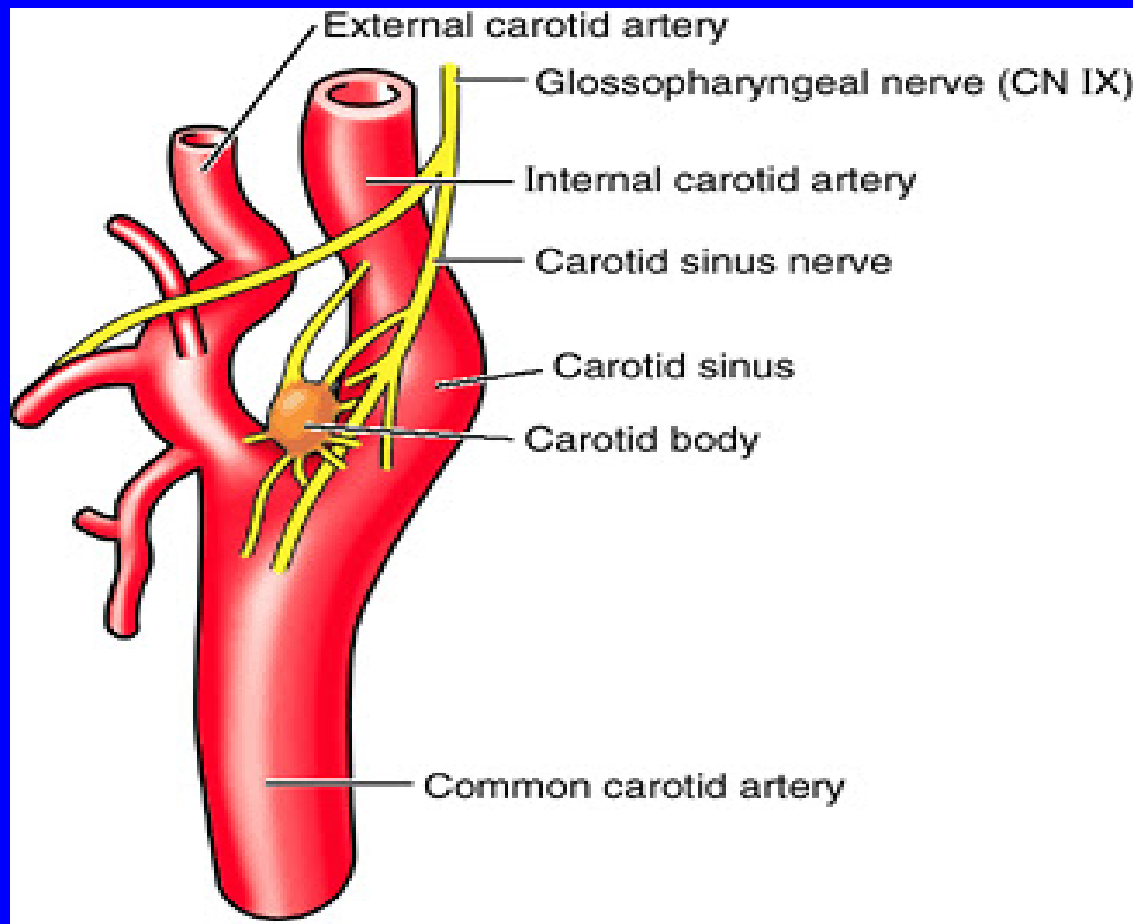
# Mouse Model of Intermittent Hypoxia

Systemic Effects (Carotid bodies, SNS)

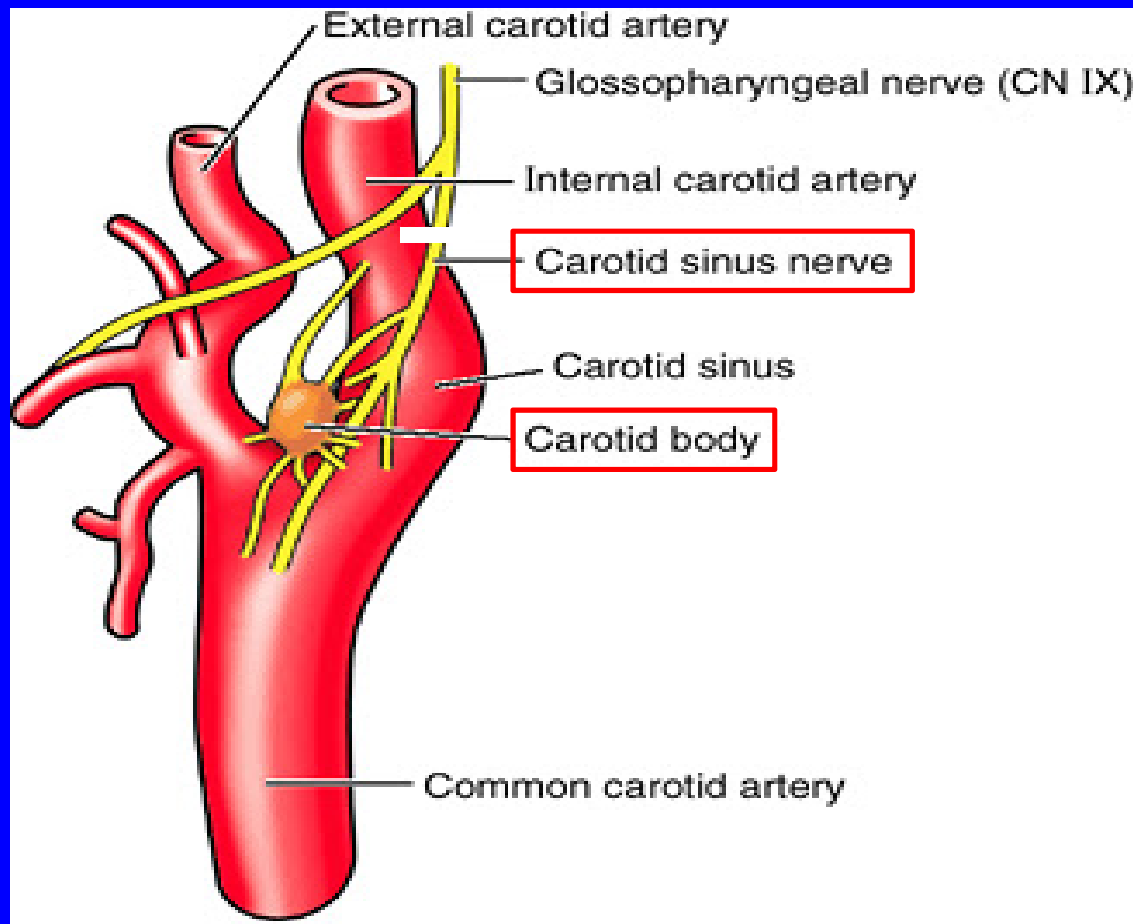


Reinke et al. J Appl Physiol 2011, 111:881-90

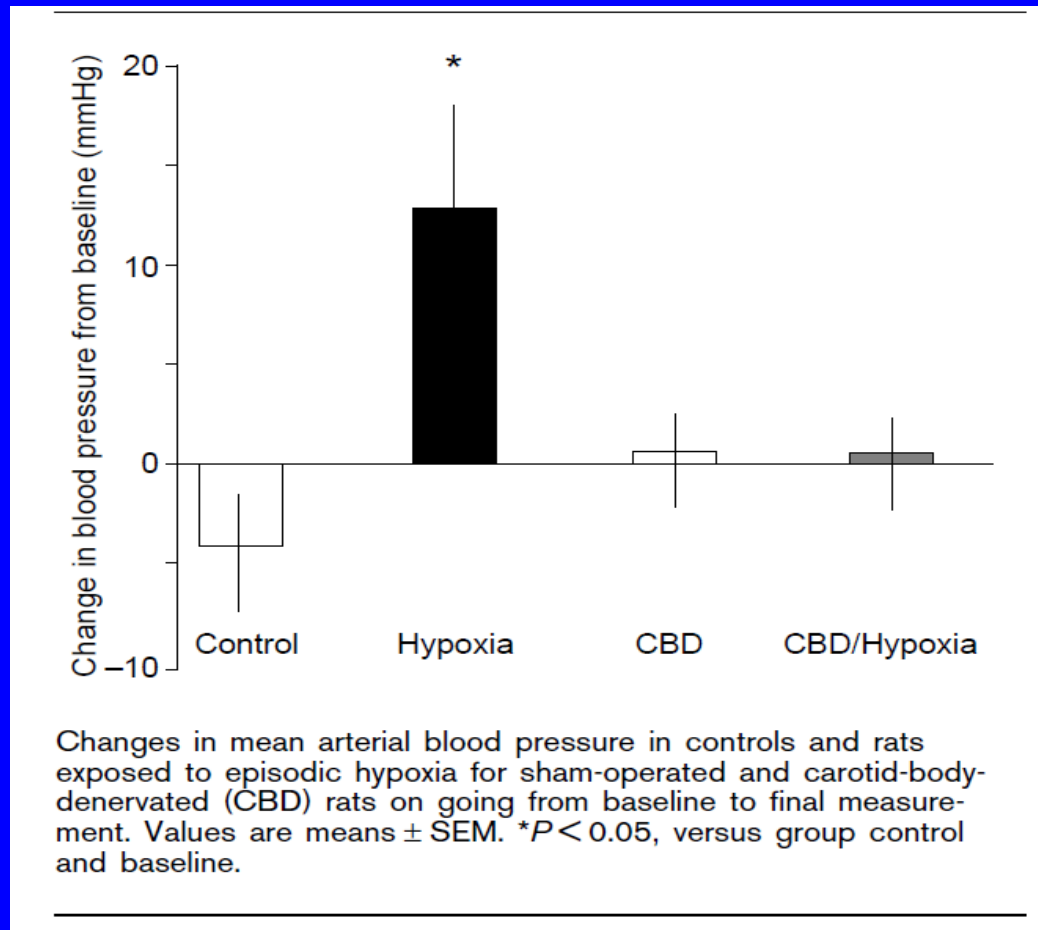
# Carotid Body governs systemic responses to Intermittent Hypoxia



# Carotid Sinus Nerve Dissection

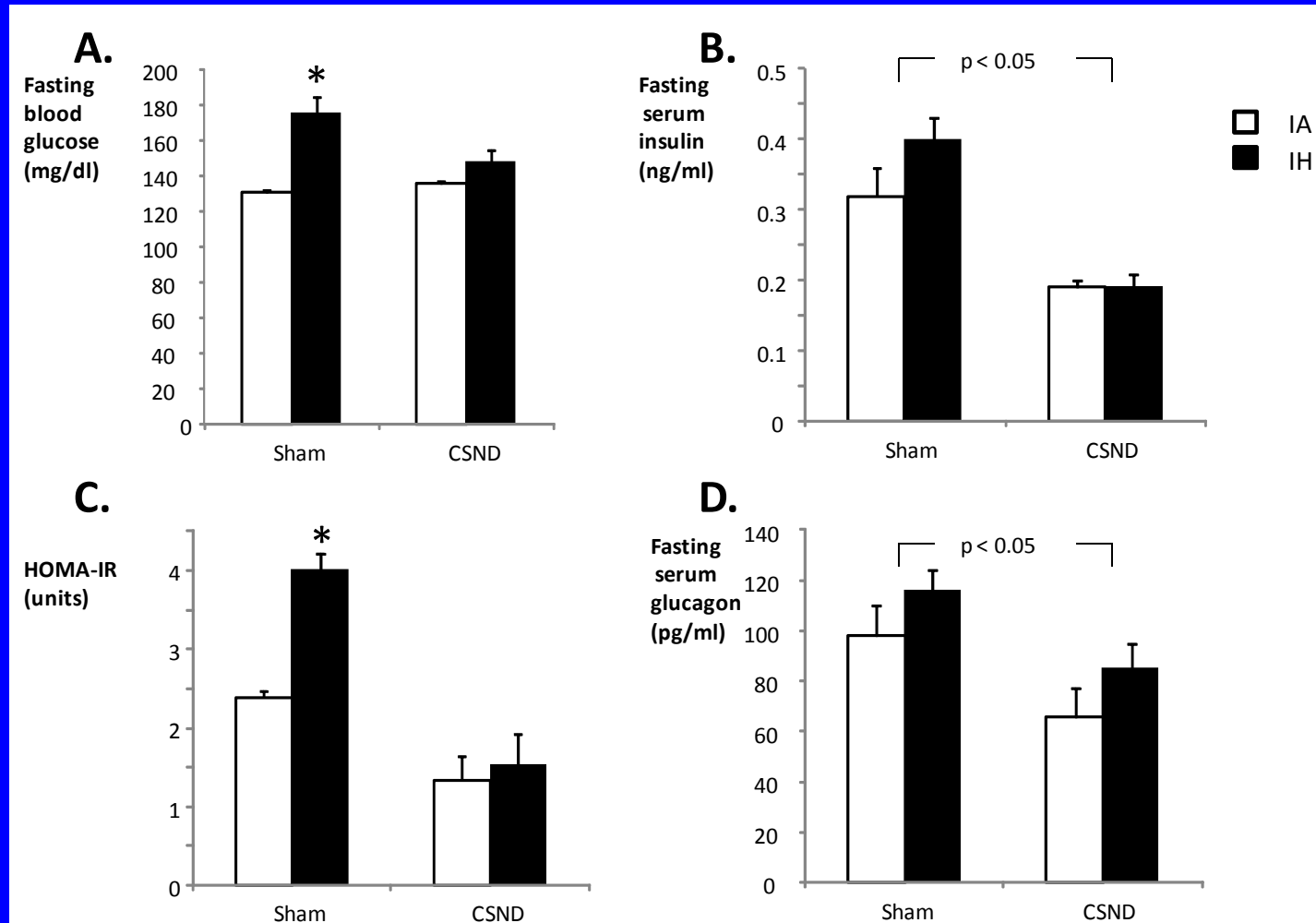


# Carotid Body governs systemic responses to Intermittent Hypoxia



Leske et al. Journal of Hypertension. 1997. 15:1593-1603.

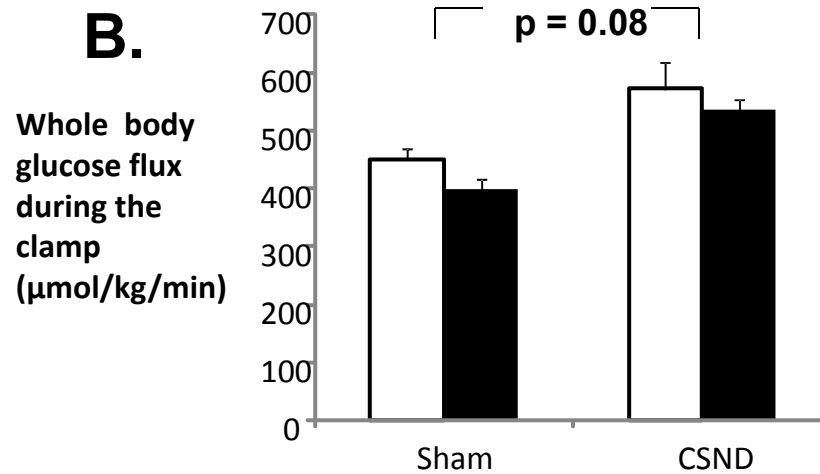
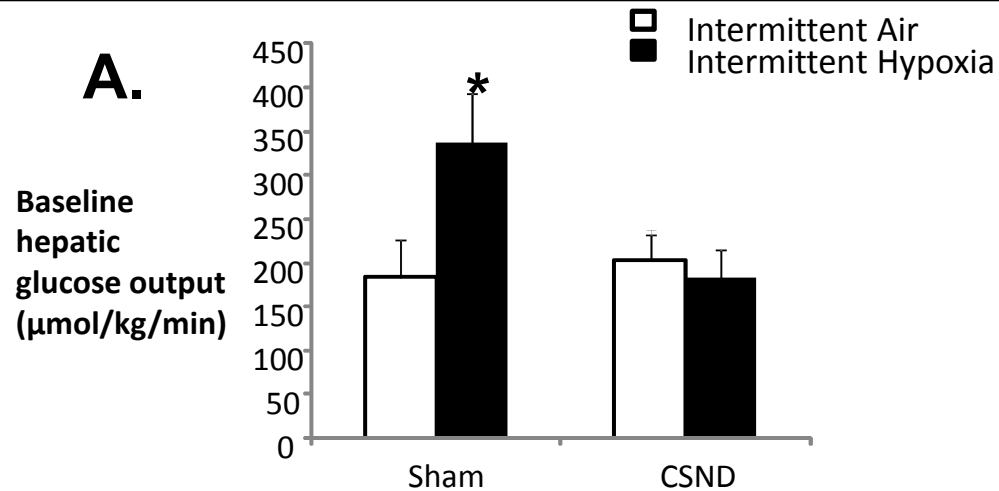
# Carotid Sinus Nerve Dissection (CSND) prevents IH-induced fasting hyperglycemia



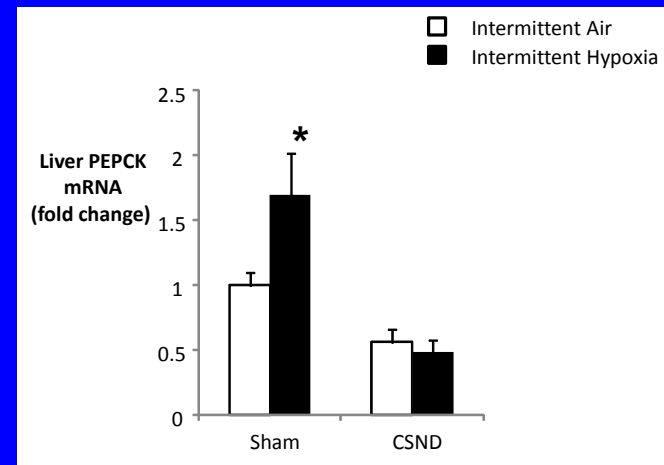
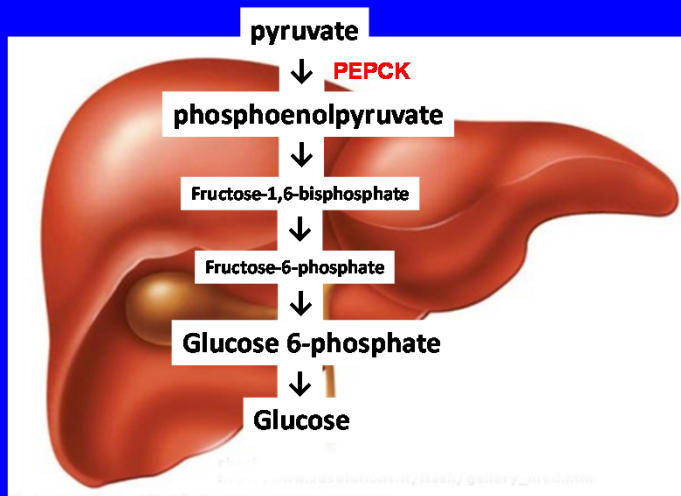
Shin et al. J Appl Physiol. 2014 Oct 1;117(7):765-76.



# Carotid Sinus Nerve Dissection (CSND) prevents an IH-induced increase in hepatic glucose output (hyperinsulinemic euglycemic clamp)

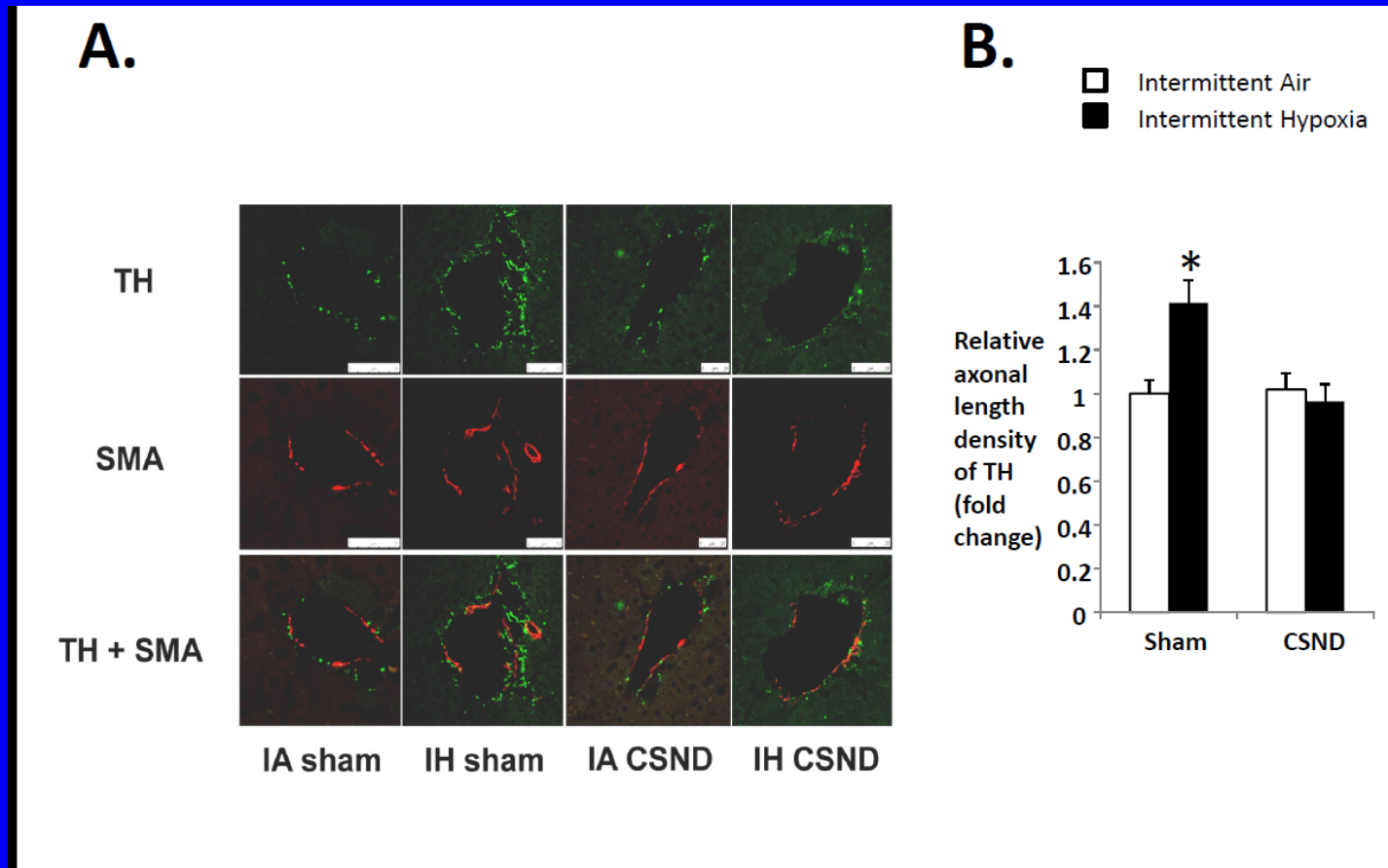


# Carotid Sinus Nerve Dissection (CSND) prevents an IH-induced increase in gluconeogenesis



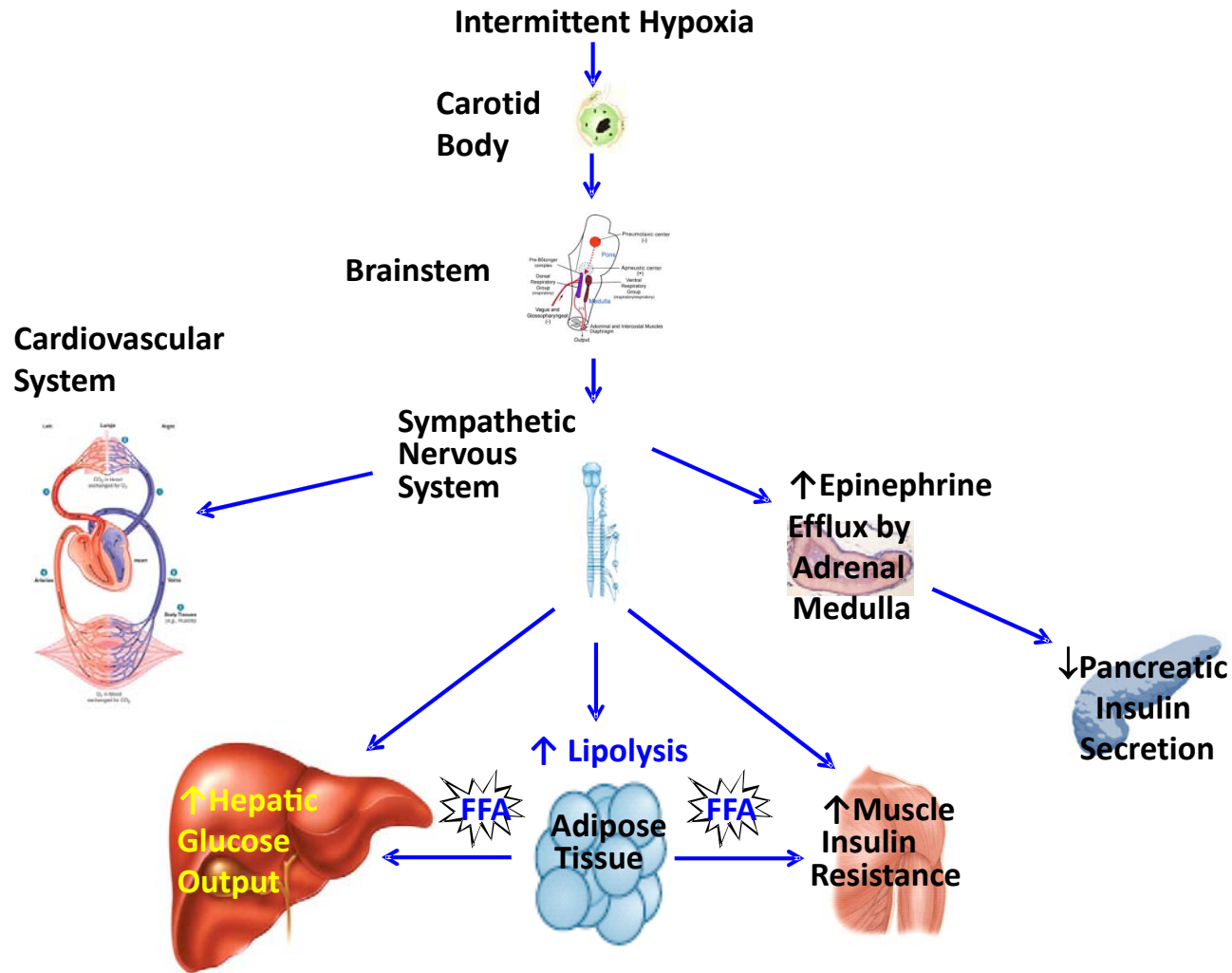
Shin et al. J Appl Physiol. 2014 Oct 1;117(7):765-76.

# CSND abolishes sympathetic activation in the liver



Shin et al. J Appl Physiol. 2014 Oct 1;117(7):765-76.

# Carotid Body Governs Systemic Responses to Intermittent Hypoxia



- **Obstructive Sleep Apnea, Insulin Resistance and Type 2 Diabetes**
- **Obstructive Sleep Apnea and Dysregulation of Lipid Metabolism**
- **Obstructive Sleep Apnea and Fatty Liver**

# OSA is Associated with Dyslipidemia

**TABLE 5. Cholesterol, HDL\* cholesterol, and triglycerides by quartiles of RDI\* in SHHS\* participants at risk for incident CVD\* (n = 4,991), United States, October 1995 to February 1998**

	RDI				Overall	p value	
	0-<1.25	1.25-<4.0	4.0-<10.7	≥10.7-115.6		F test (general)	Trend
Cholesterol (mg/dl; mean (SD*))							
Men							
<65 years	197.2 (37.2)	202.8 (39.3)	204.9 (40.3)	206.0 (37.8)	203.4 (38.8)	0.043	0.0078
≥65 years	196.3 (32.4)	194.4 (36.0)	198.3 (39.7)	200.4 (35.1)	198.1 (36.4)	0.312	0.0984
Women							
<65 years	203.8 (40.9)	209.3 (39.3)	210.6 (38.8)	205.8 (37.4)	206.8 (39.6)	0.052	0.1272
≥65 years	214.0 (38.3)	217.9 (35.9)	215.8 (39.2)	211.6 (32.3)	215.1 (36.7)	0.239	0.397
HDL cholesterol (mg/dl; mean (SD))							
Men							
<65 years	46.7 (14.5)	42.8 (11.9)	42.7 (12.6)	41.0 (12.3)	42.8 (12.8)	<0.0001	<0.0001
≥65 years	47.0 (14.0)	44.6 (11.2)	44.9 (11.4)	45.3 (13.2)	45.3 (12.4)	0.4544	0.5425
Women							
<65 years	57.7 (16.8)	55.1 (15.8)	51.2 (15.4)	49.0 (16.7)	54.6 (16.6)	<0.0001	<0.0001
≥65 years	60.0 (17.1)	57.5 (15.9)	55.6 (14.7)	54.4 (14.8)	56.9 (15.8)	0.0006	<0.0001
Triglycerides (mg/dl; mean (SD))							
Men							
<65 years	135.6 (130.4)	158.8 (114.9)	166.2 (160.4)	178.9 (131.2)	163.4 (136.6)	0.0023	0.0002
≥65 years	125.1 (65.5)	146.5 (85.5)	137.5 (72.5)	144.5 (88.2)	140.5 (81.0)	0.1068	0.1424
Women							
<65 years	128.5 (83.9)	136.1 (76.8)	163.1 (125.4)	164.9 (95.6)	142.2 (94.4)	<0.0001	0.0001
≥65 years	136.3 (69.1)	147.6 (88.2)	152.0 (100.2)	152.9 (88.6)	147.2 (87.8)	0.1325	0.0305

**Relation of Sleep-disordered Breathing to Cardiovascular Disease Risk Factors: The Sleep Heart Health Study. Newman et al. Am J Epidemiol 2001;154:50-9.**

# CPAP and Plasma Lipids: Randomized Studies

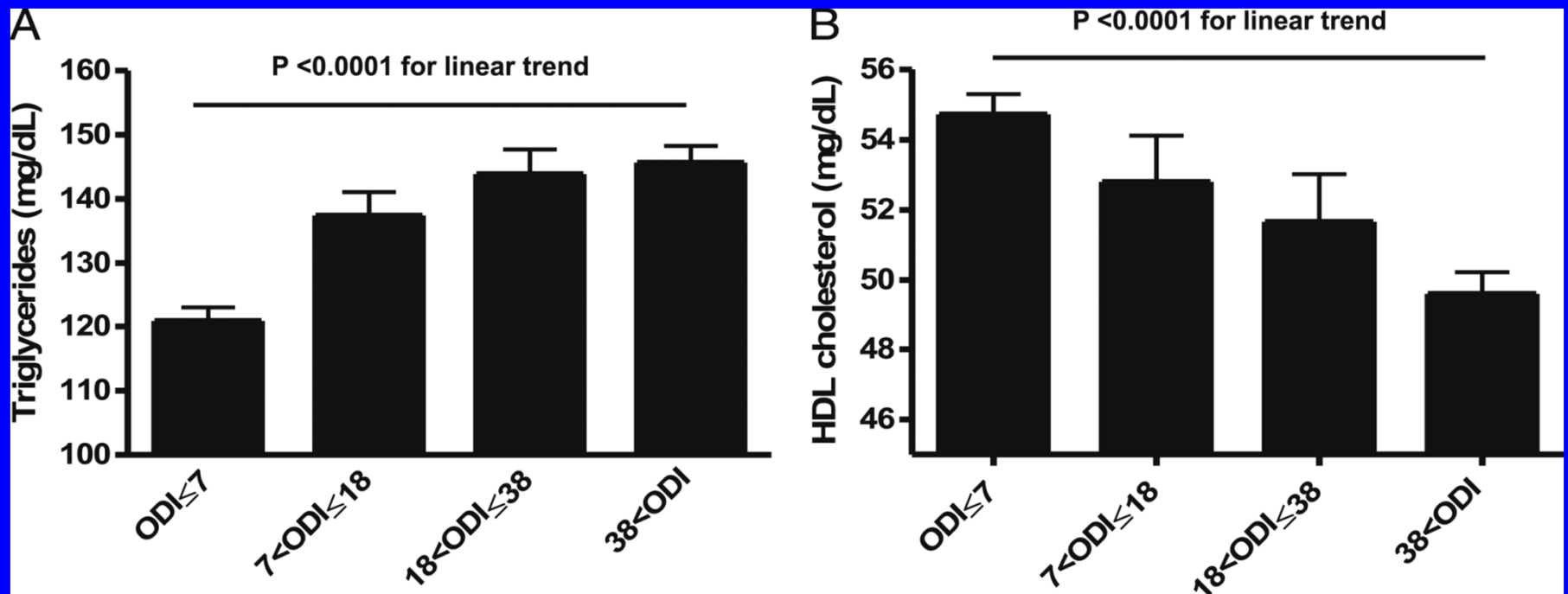
	Therapeutic CPAP		Subtherapeutic CPAP	
	Day 0 (SD)	Day 30 change (CI)	Day 0 (SD)	Day 30 change (CI)
<b>Total cholesterol</b>				
<b>mg/dl</b>	<b>220 (43)</b>	<b>-10.8 (-17.4 to -4.2)</b>	216 (43)	-2.7(-2.3 to 8.1)
<b>mmol/l</b>	<b>5.7 (1.1)</b>	<b>-0.28 (-0.45 to -0.11)</b>	5.6 (1.1)	-0.07(-0.06 to 0.21)
<b>Tryglicerides</b>				
<b>mg/dl</b>	230 (168)	-21.2 (-24.8 to 67.3)	292 (221)	-4.4 (-39.8 to 32)
<b>mmol/l</b>	2.6 (1.9)	-0.24 (-0.28 to 0.76)	3.3 (2.5)	-0.05 (-0.45 to 0.36)

Circulating cardiovascular risk factors in obstructive sleep apnoea: data from randomised controlled trials

G V Robinson, J C T Pepperell, H C Segal, R J O Davies, J R Stradling

.....  
*Thorax* 2004;59:777-782. doi: 10.1136/thx.2003.018739

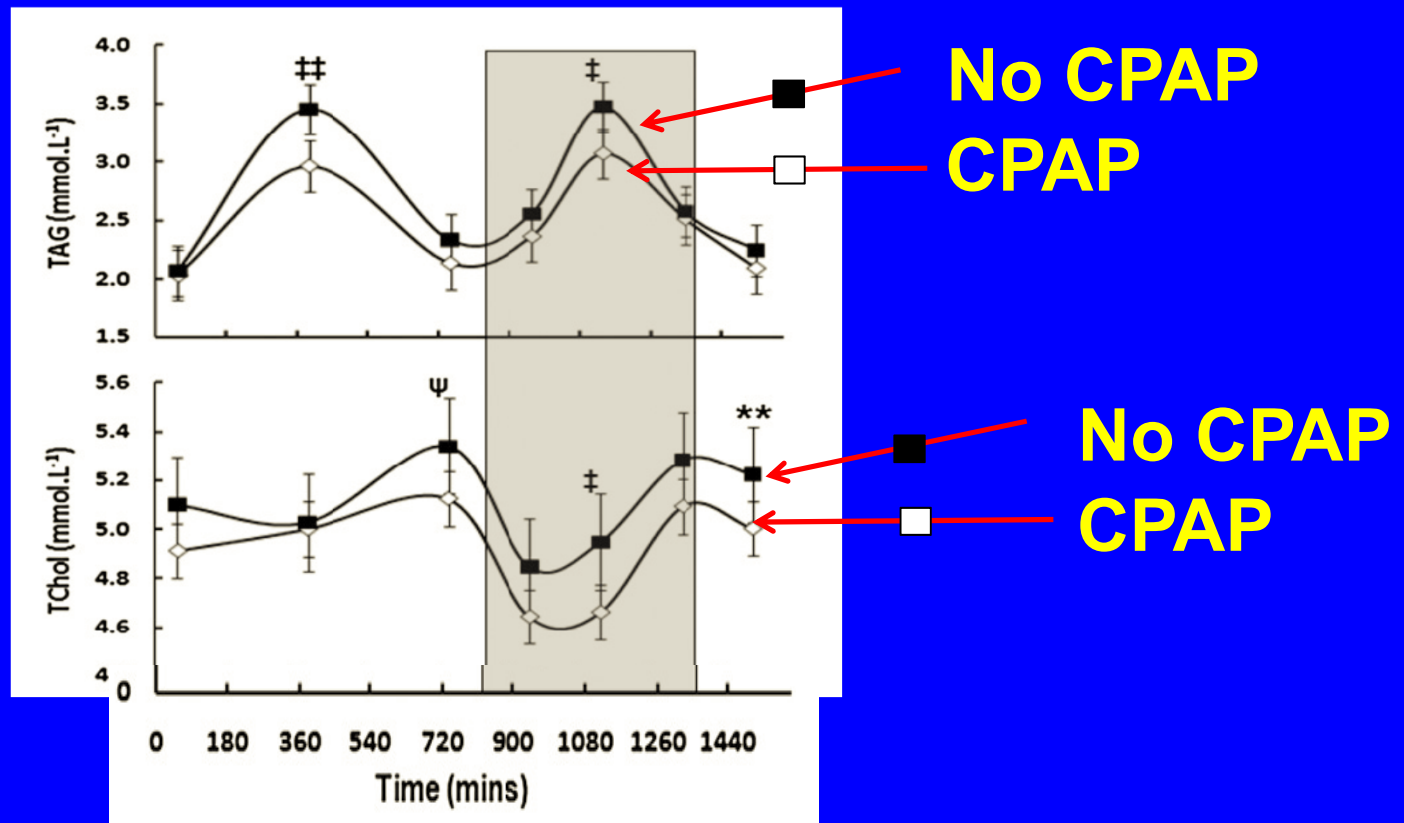
# Independent Association Between Nocturnal Intermittent Hypoxemia and Metabolic Dyslipidemia in Obstructive Sleep Apnea



Trzepizur et al. Chest . 2013; 143:1584-9.



# CPAP (2 months) and Postprandial Plasma Lipids: a Cross-over Randomized Placebo-Controlled Study



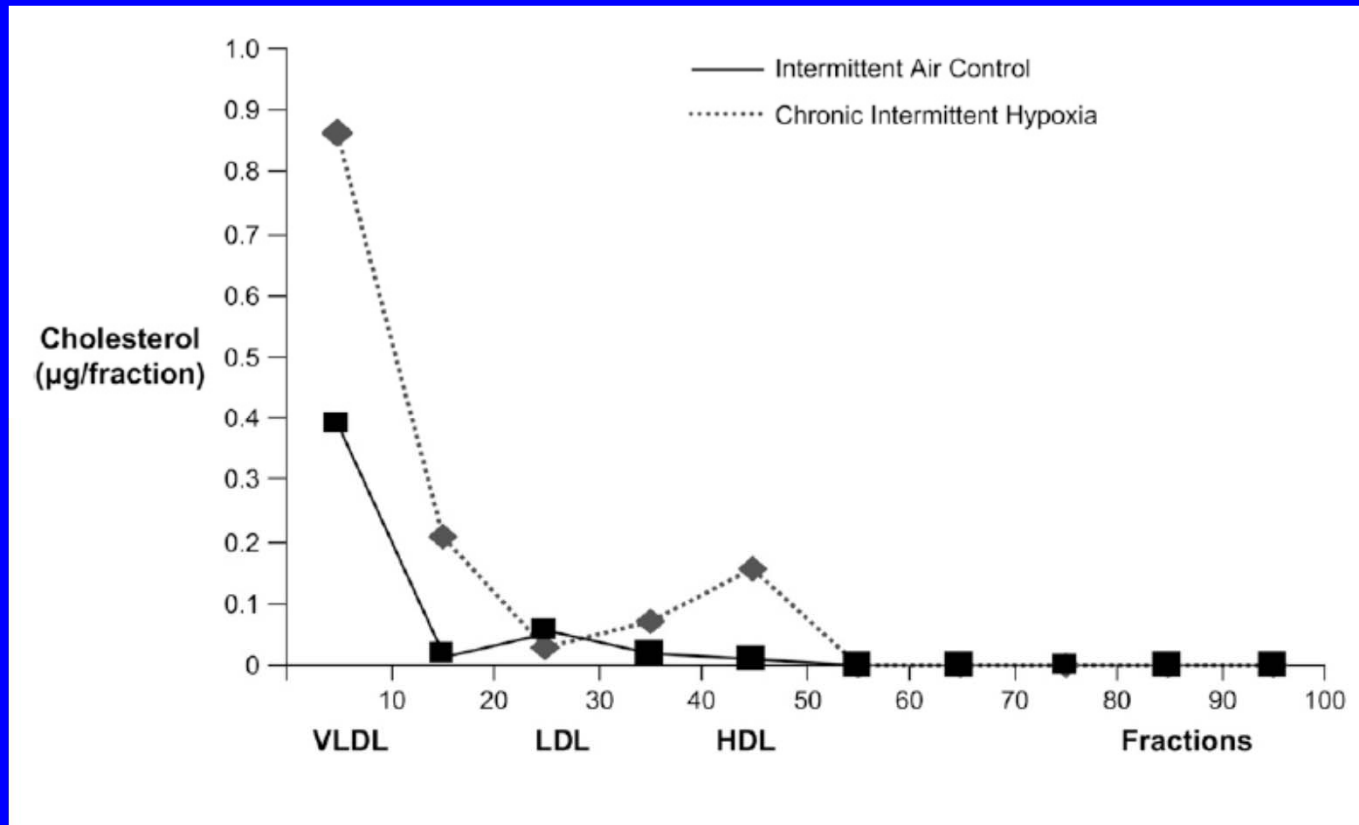
**i has a cheezie**



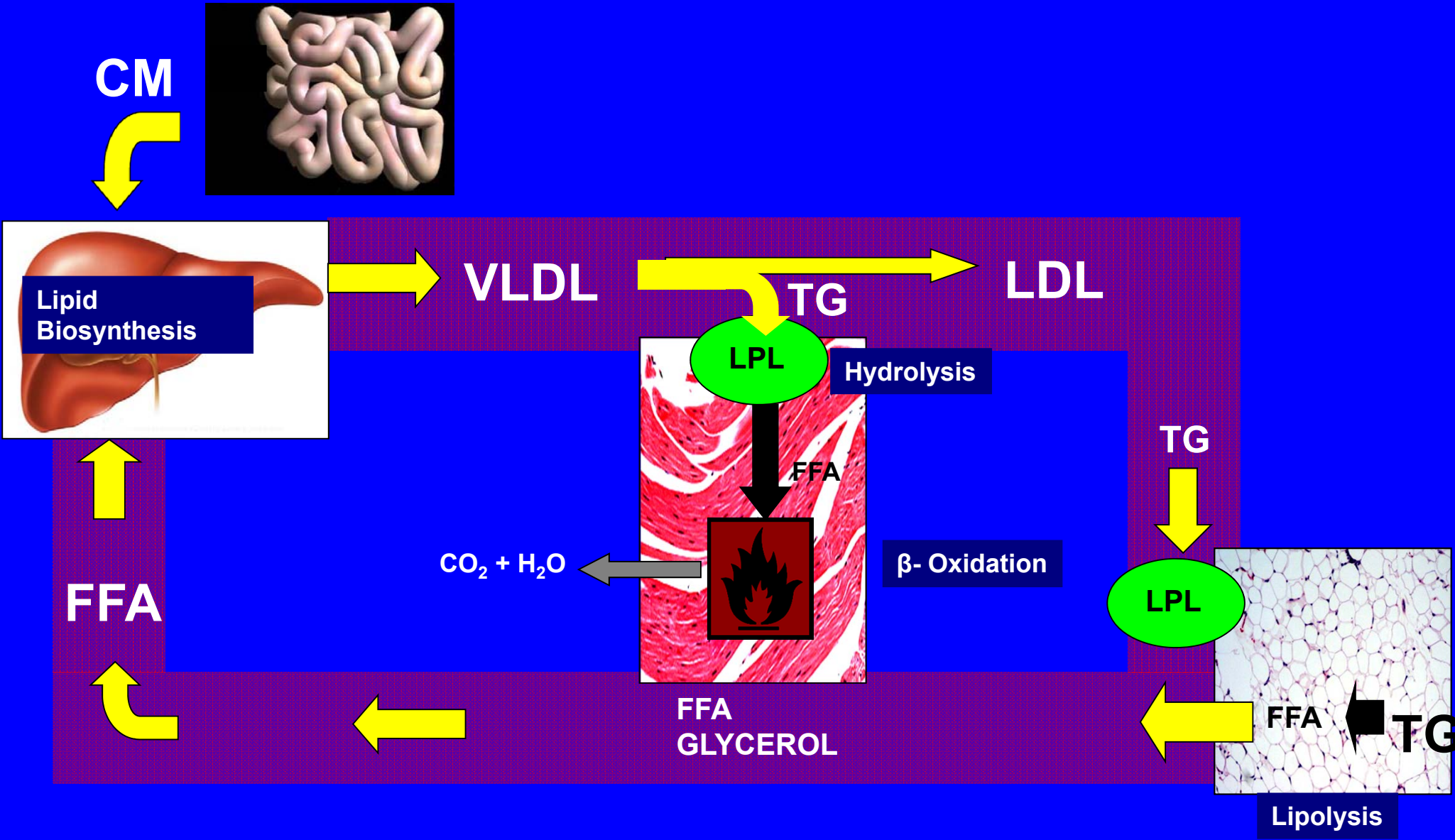
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# Intermittent Hypoxia increases VLDL levels

CIH for 12 weeks in C57BL/6J mice



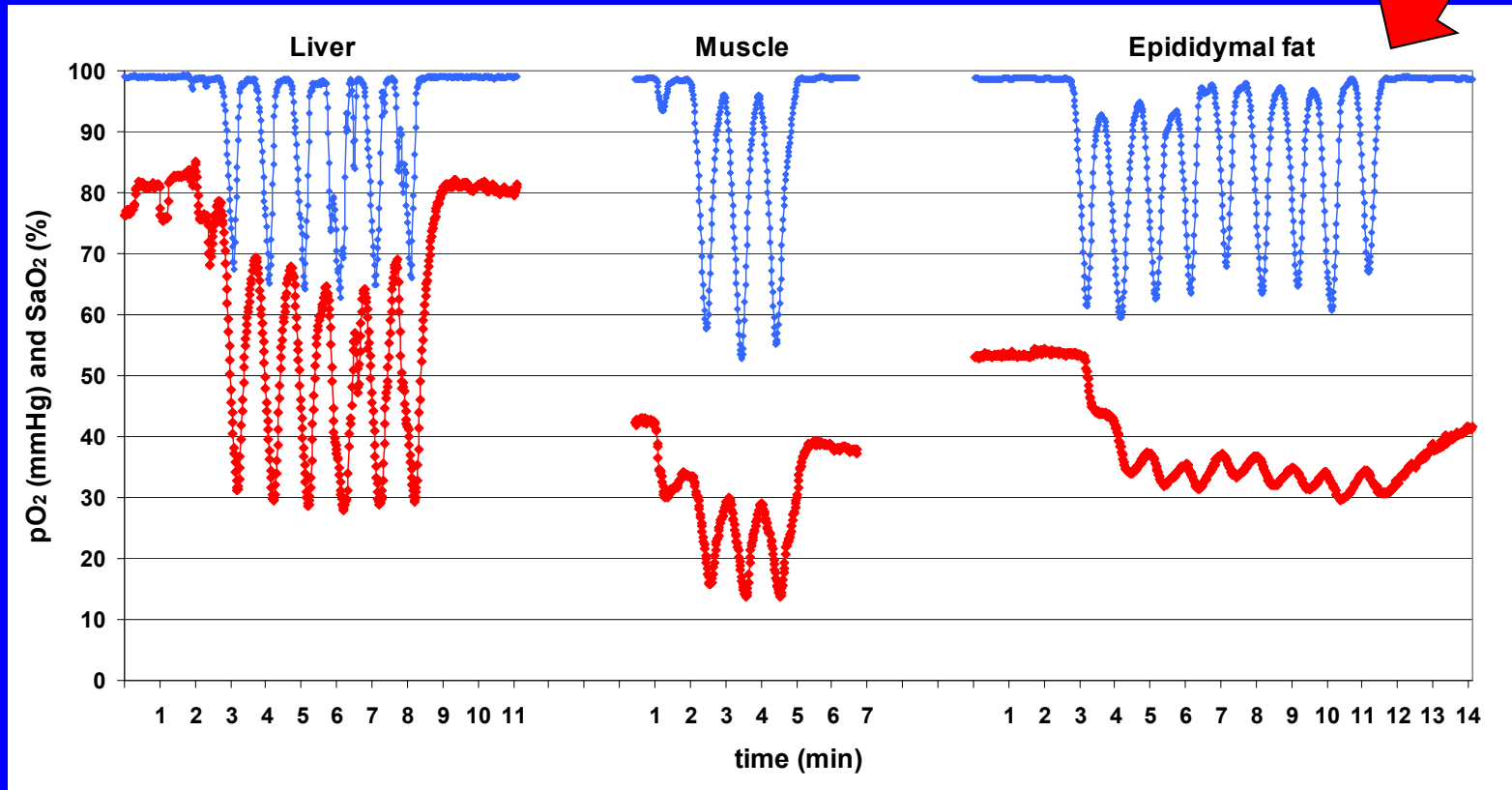
Savransky V, Nanayakkara A, Li J, Bevans S, Smith PL, Rodriguez A, Polotsky VY. 2007. Am J Resp Crit Care Med. 175: 1290-7



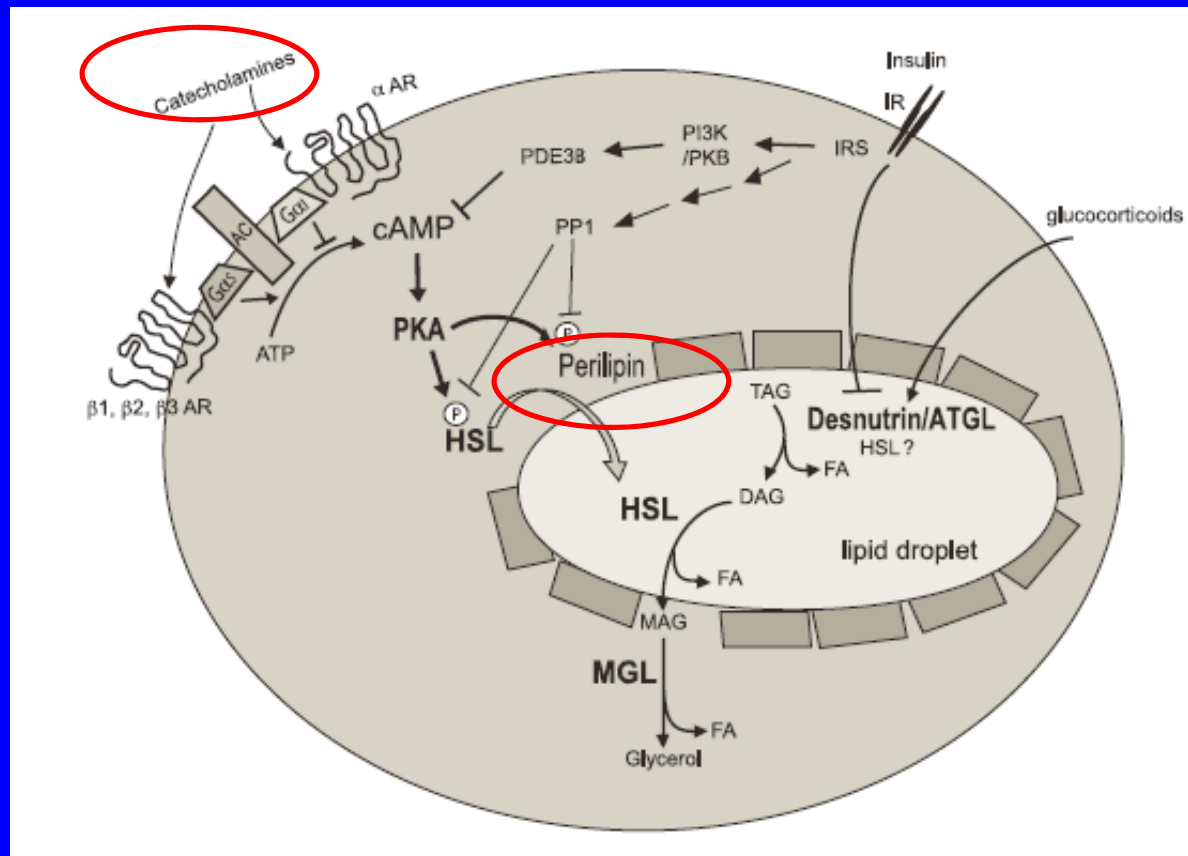
# **Intermittent Hypoxia and Adipose Tissue Lipolysis**

# Mouse Model of Intermittent Hypoxia

Systemic Effects (Carotid bodies, SNS)



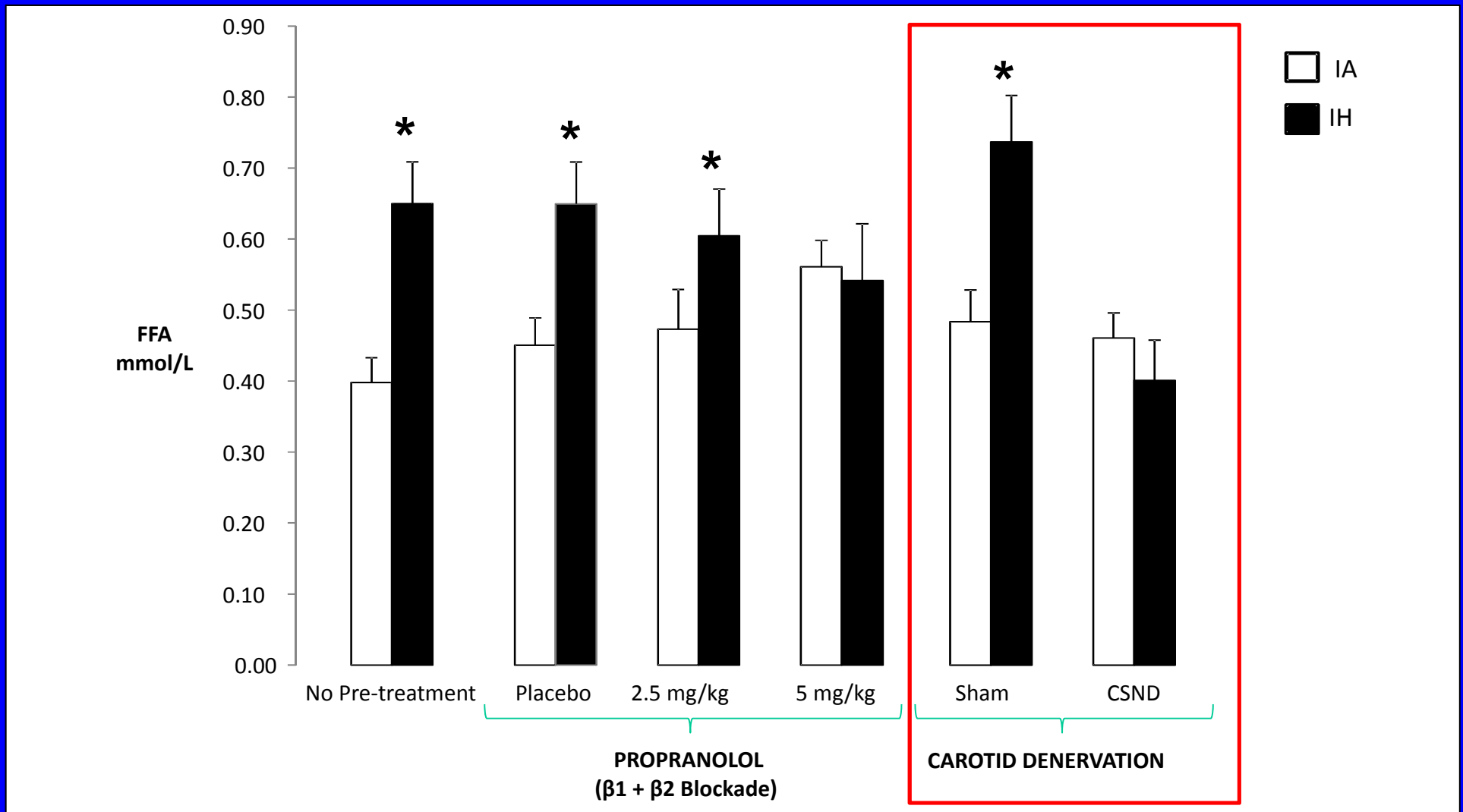
# Lipolysis in Adipose Tissue



**Kathy Jaworski, Eszter Sarkadi-Nagy, Robin E. Duncan, Maryam Ahmadian and Hei Sook Sul**

*Am J Physiol Gastrointest Liver Physiol* 293:1-4, 2007. First published Jan 11, 2007;  
doi:10.1152/ajpgi.00554.2006

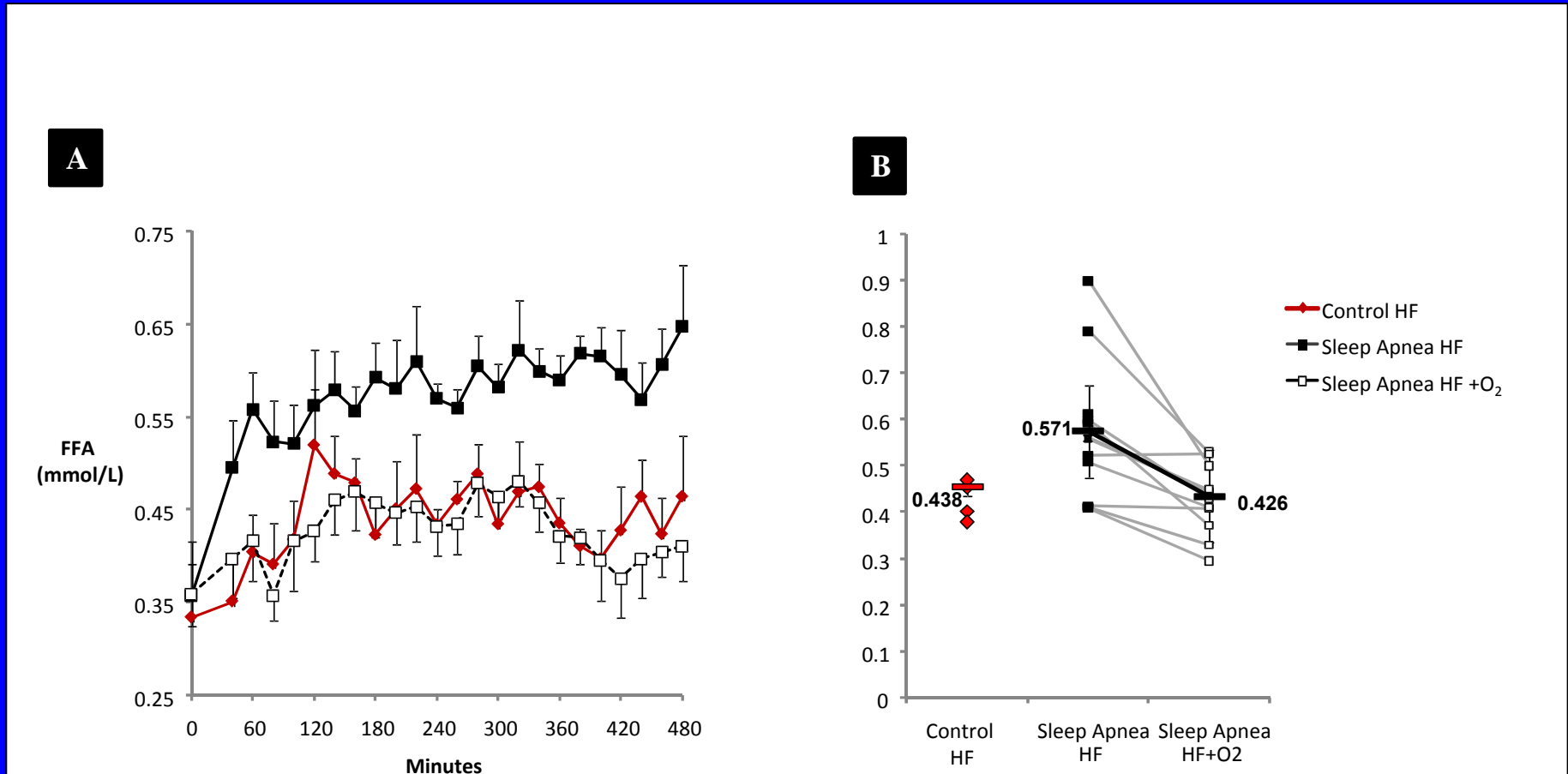
# Intermittent Hypoxia induces lipolysis via the carotid body and downstream sympathetic efferent pathways



Jun et al. Am J Physiol Endocrinol Metab. 2014 Oct. [Epub ahead of print]



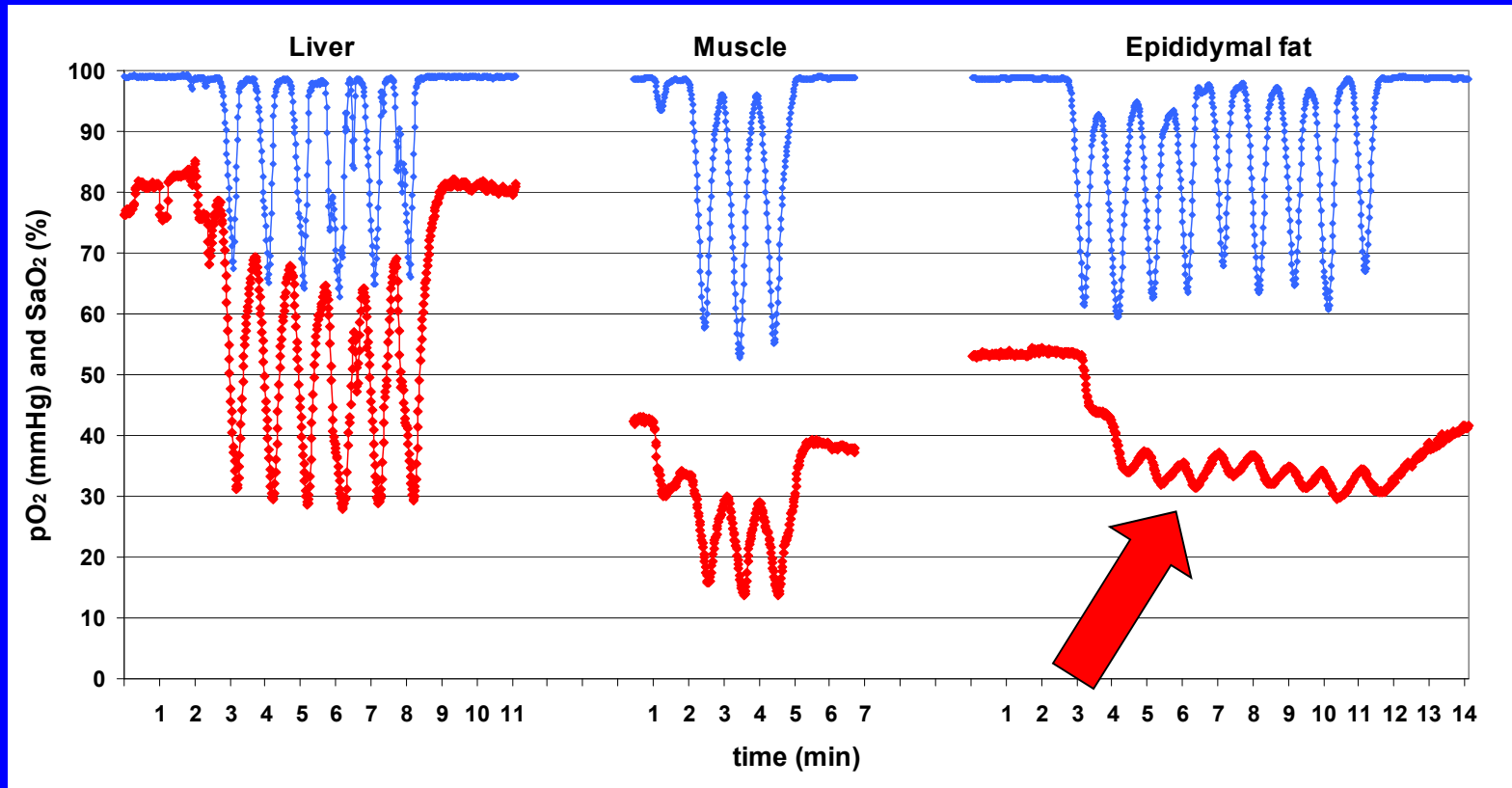
# In patients with sleep apnea nocturnal elevation of plasma FFA levels is caused by IH



Jun et al. Sleep. 2011; 33:783-90.

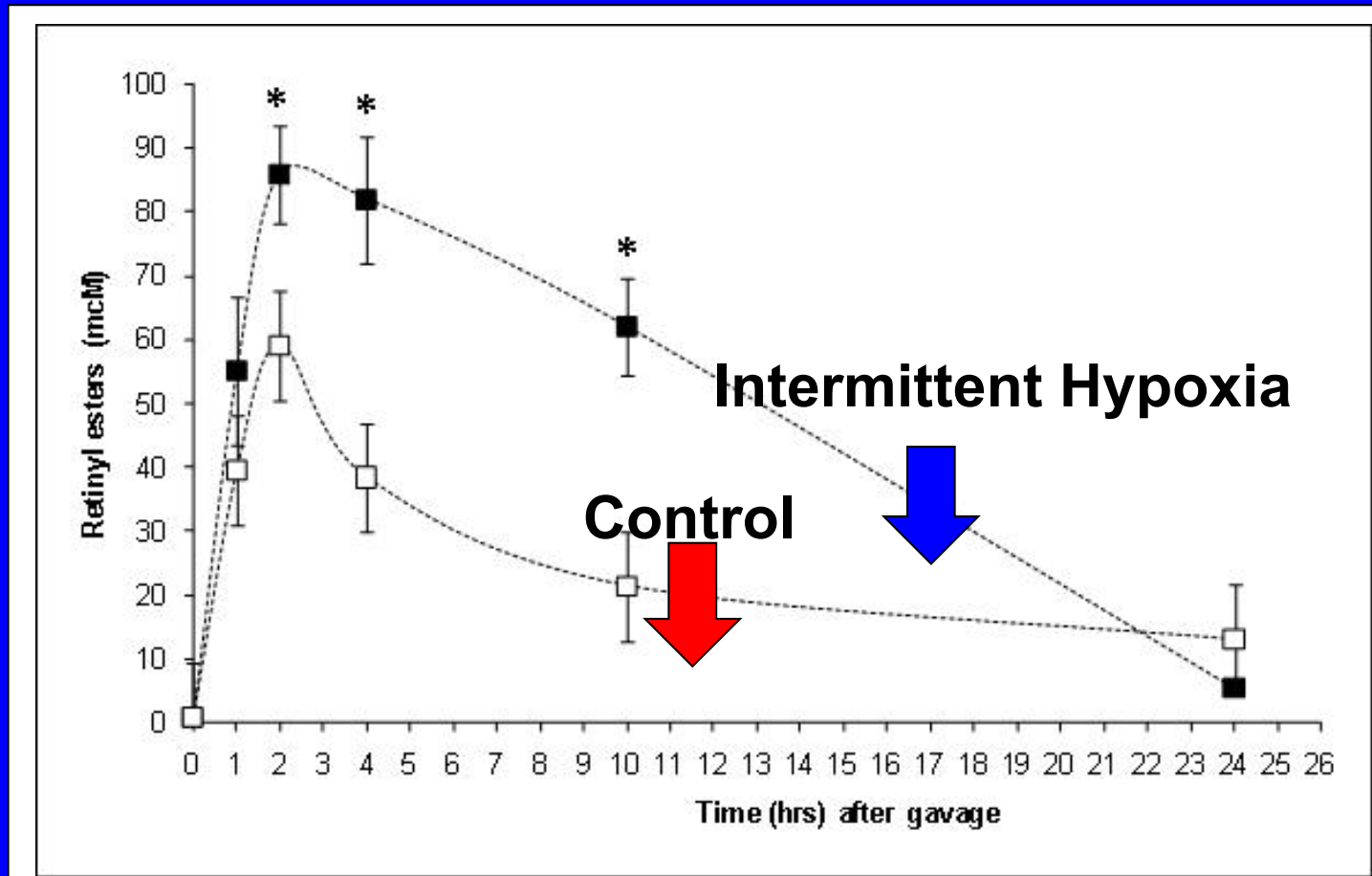
# **Intermittent Hypoxia and Lipoprotein Clearance**

# Mouse Model of Intermittent Hypoxia



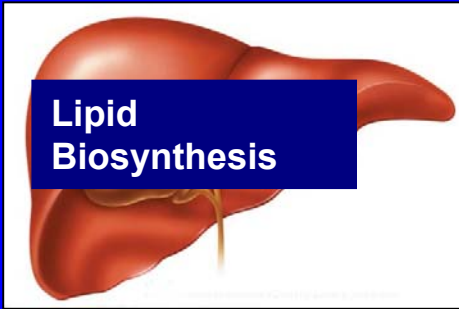
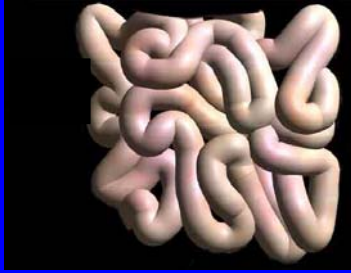
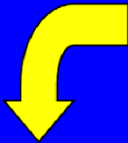
Tissue Specific Effects (Hypoxia inducible factors, etc)

# Intermittent Hypoxia Decreases Chylomicron Clearance



Drager et al. Eur Heart J . 2012; 33:783-90.

CM



VLDL



LDL

TG



Hydrolysis

FFA

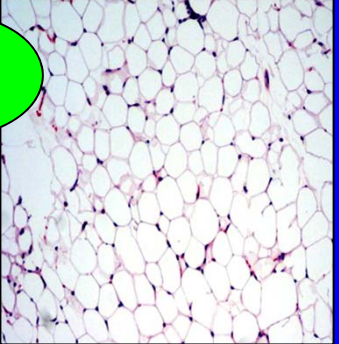
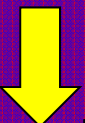


$\beta$ - Oxidation

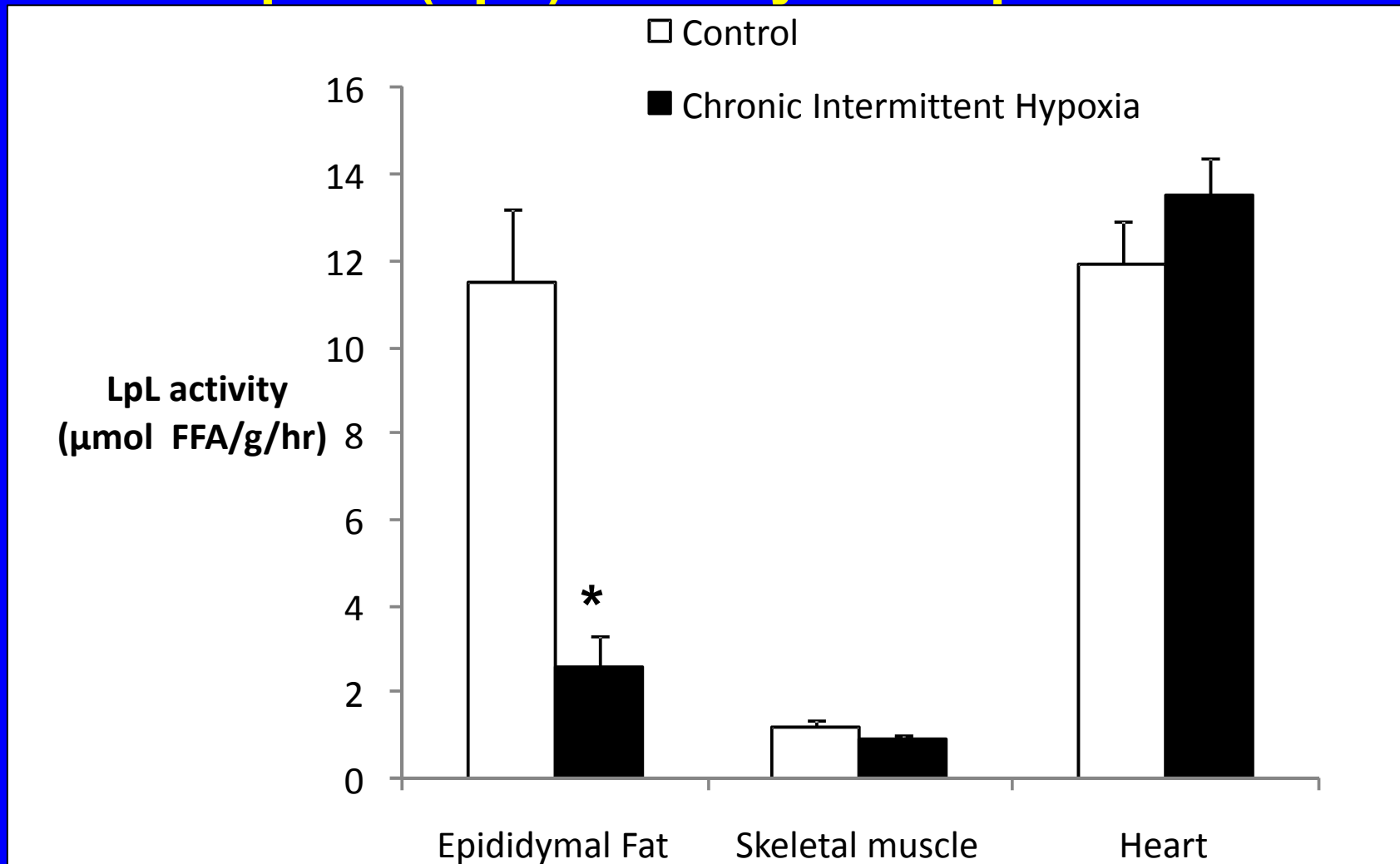
$CO_2 + H_2O$



TG

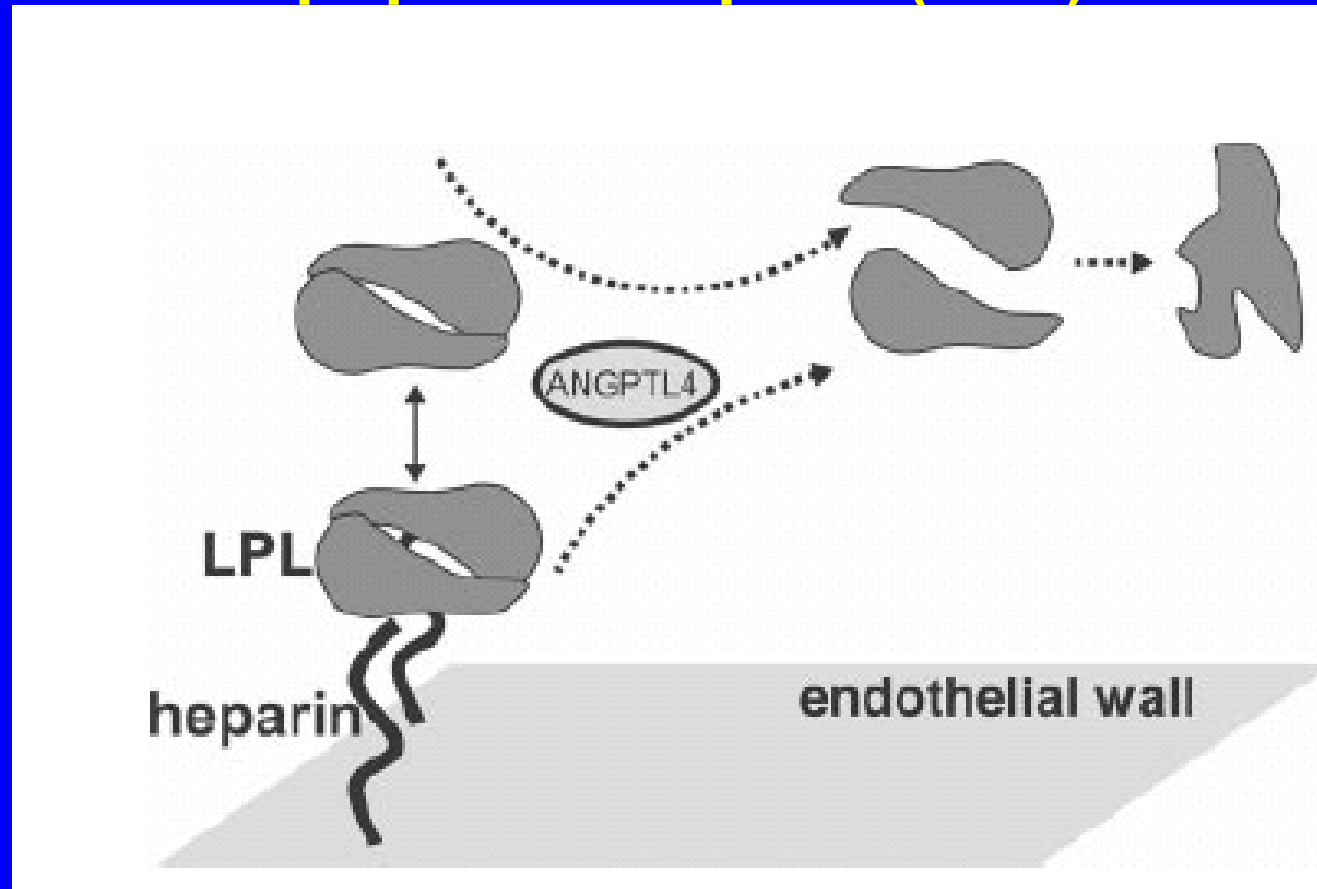


# Intermittent Hypoxia Decreases Lipoprotein Lipase (LpL) Activity in Adipose Tissue



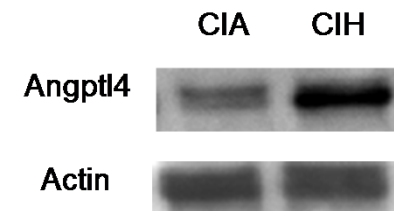
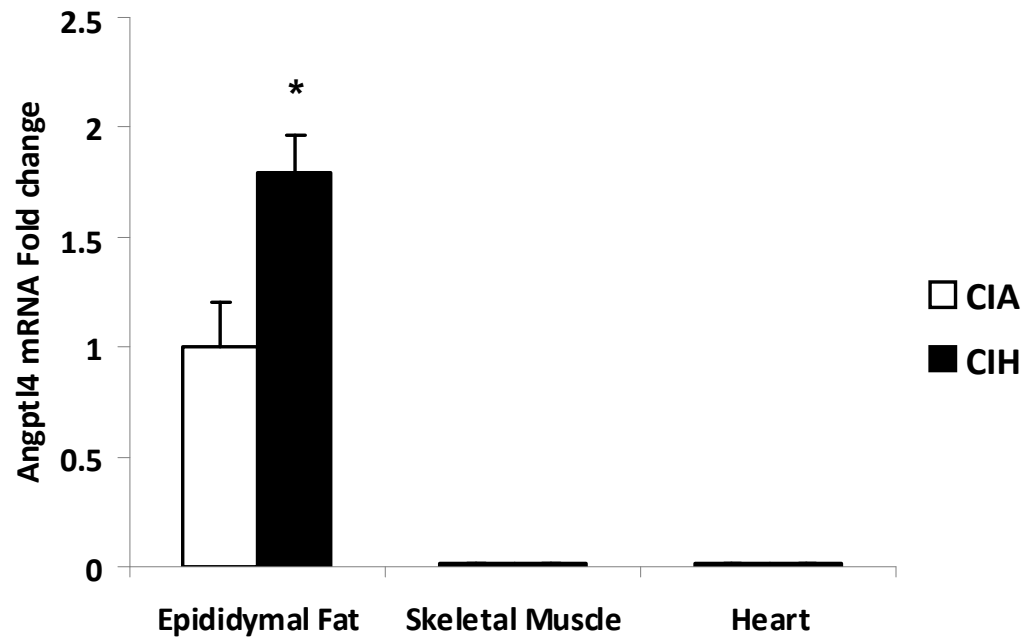
Drager et al. Eur Heart J . 2012; 33:783-90.

# Angiopoietin-like Protein 4 Rapidly Inactivates Lipoprotein Lipase (LPL)



Shan L, Yu XC, Liu Z, Hu Y, Sturgis LT, Miranda ML, Liu Q. J Biol Chem. 2009 Jan 16;284(3):1419-24

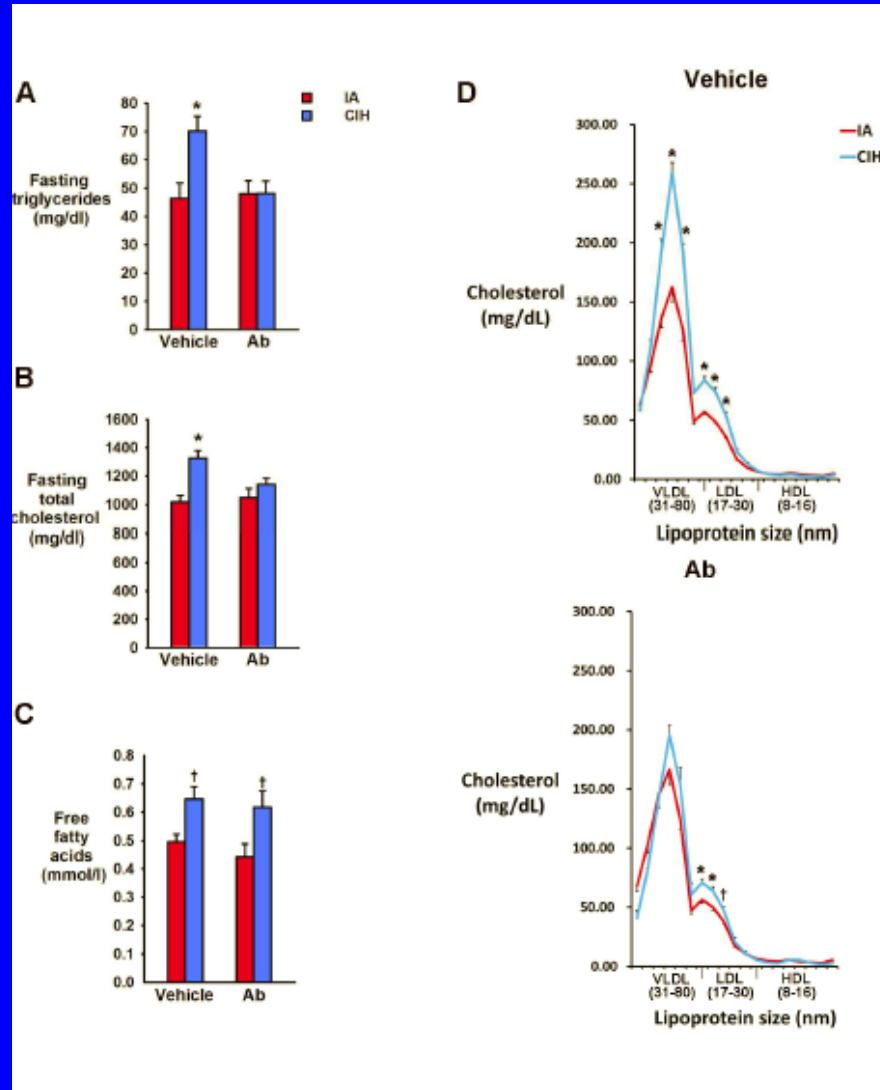
# Intermittent Hypoxia Increases Adipose Angptl4



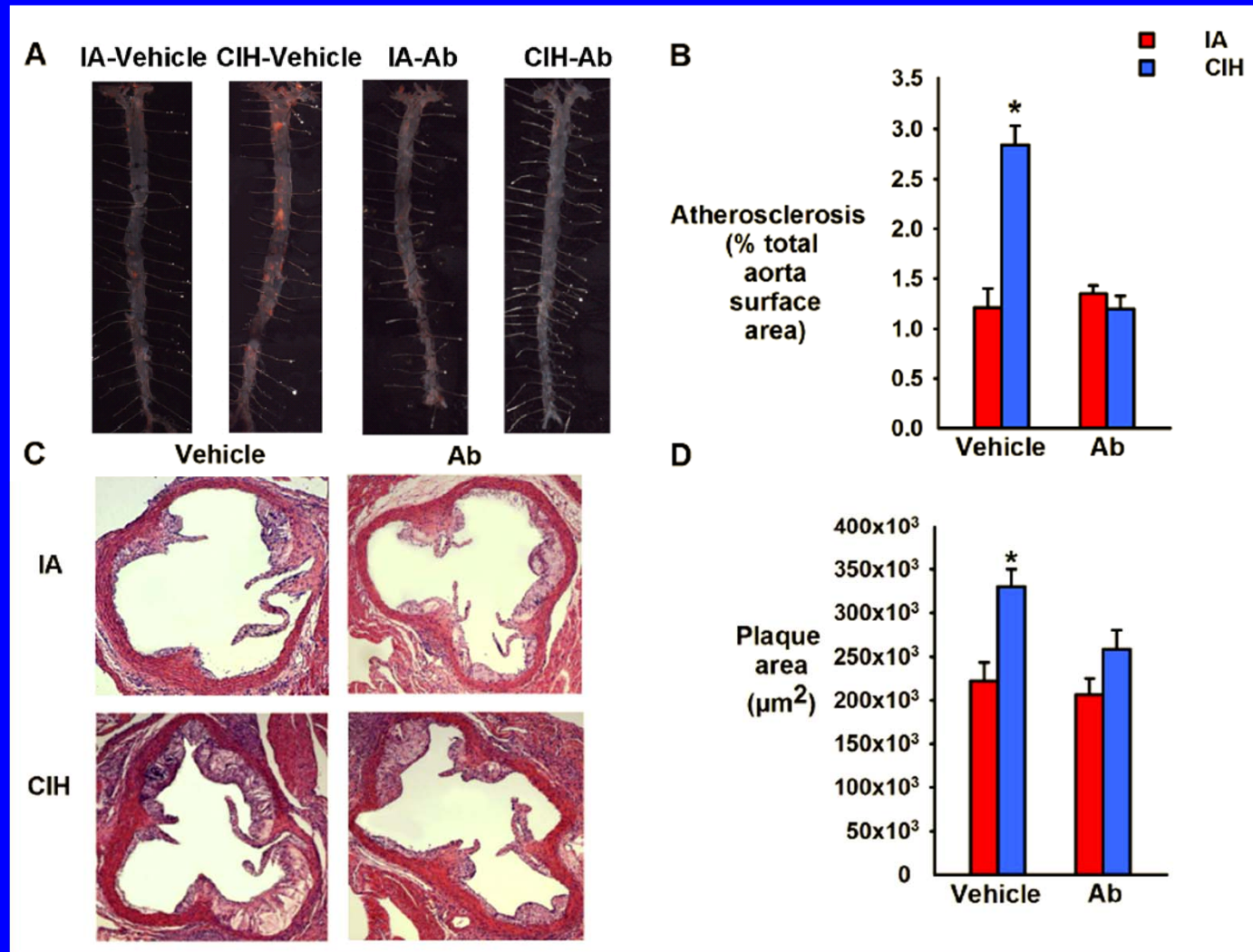
Drager et al. Eur Heart J . 2012; 33:783-90.



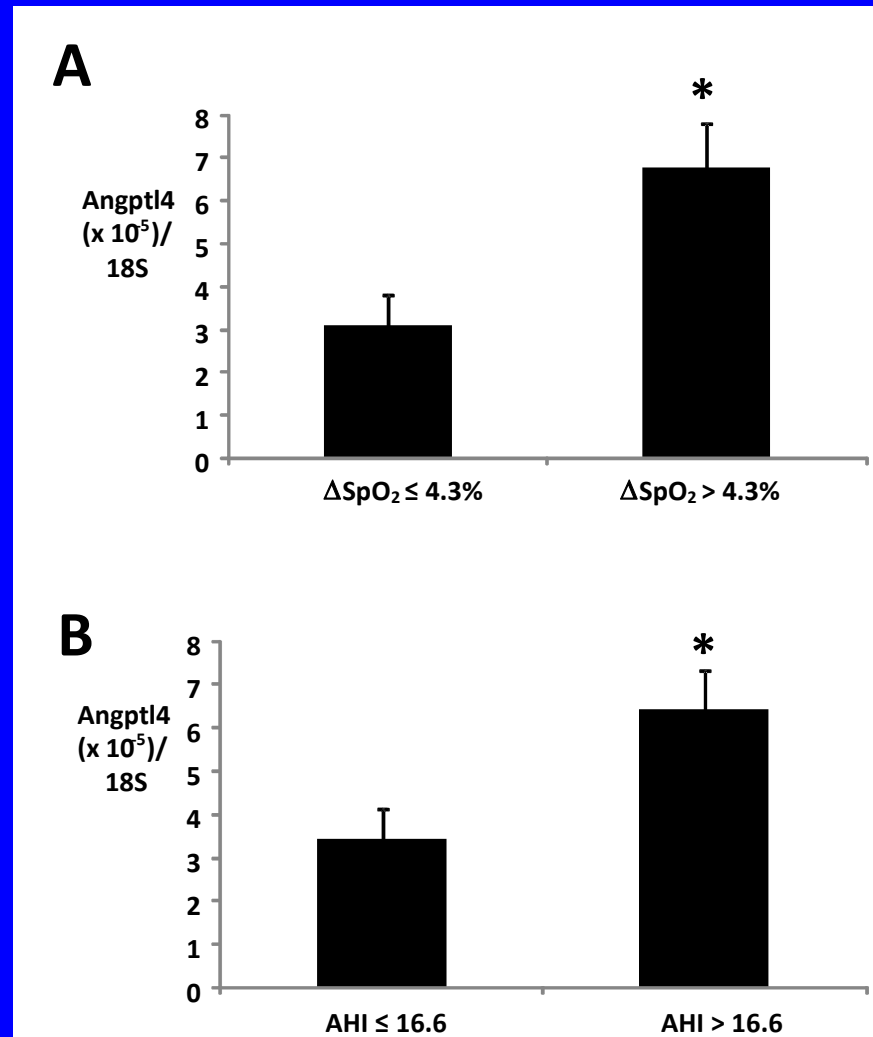
# Angptl4 Ab prevent CIH-induced dyslipidemia



# Angptl4 Ab prevent CIH-induced atherosclerosis



# In obese patients, expression of Angptl4 in subcutaneous fat depended on the severity of hypoxemia and OSA

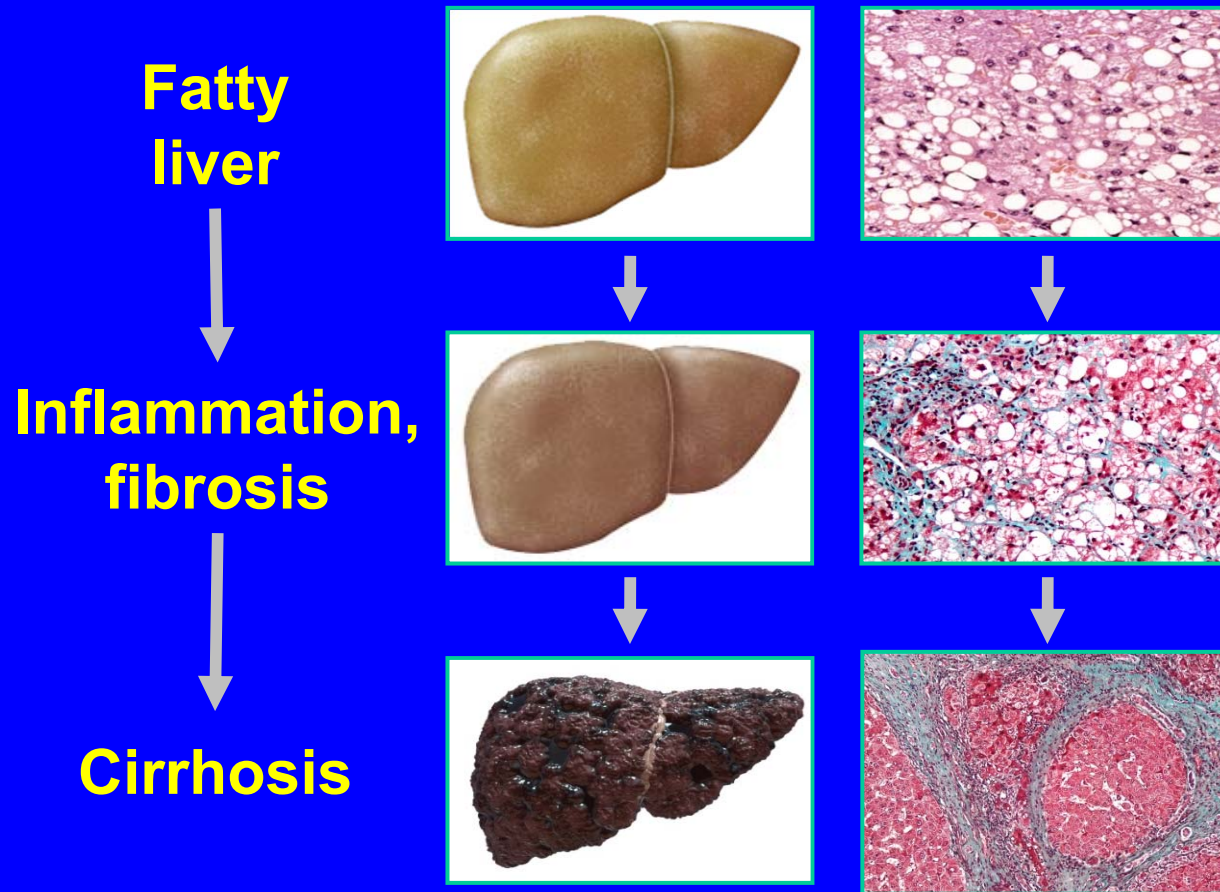


## Intermittent Hypoxia and Lipoprotein Clearance

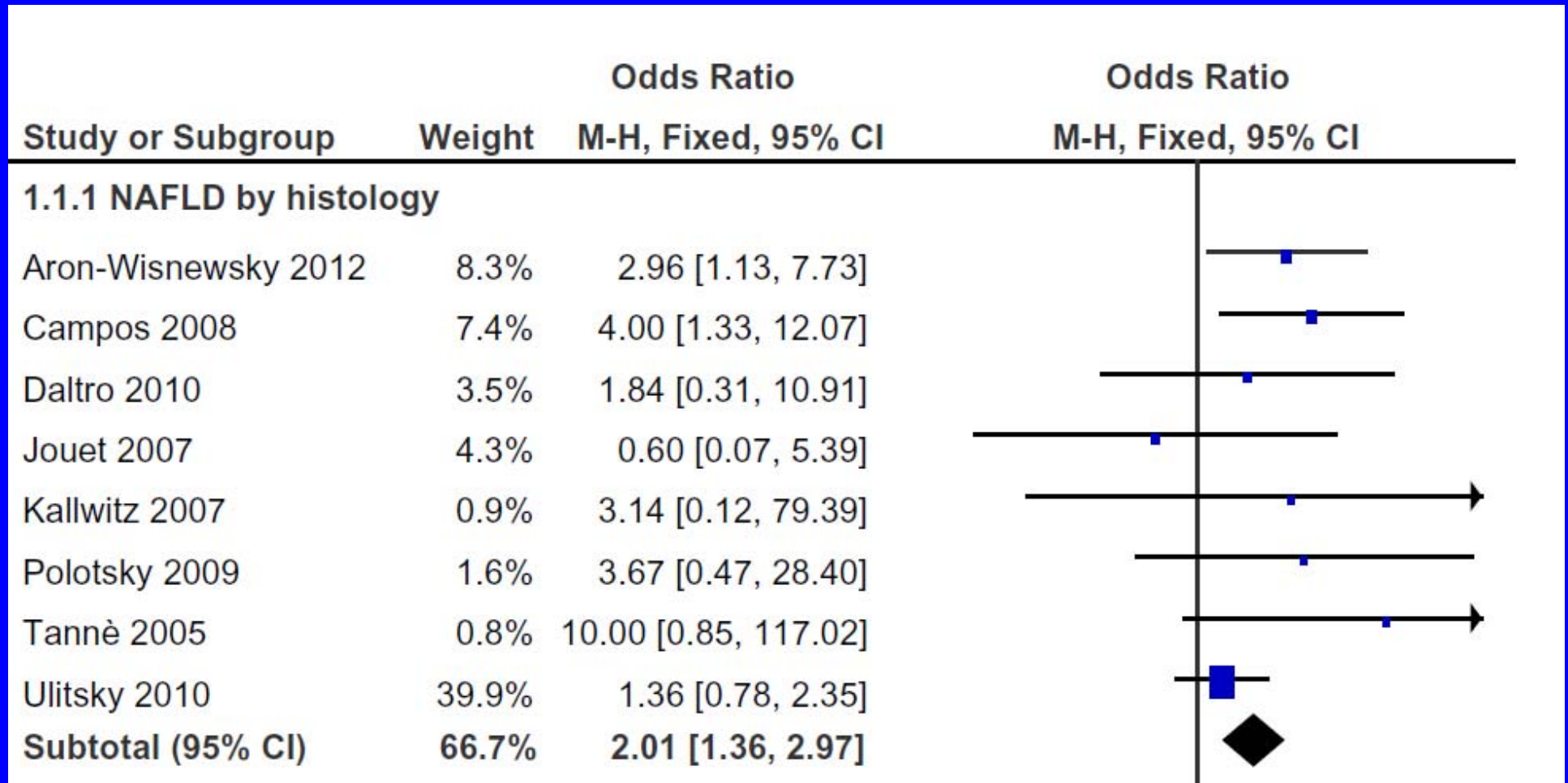
- ↓ Lipoprotein clearance by up-regulating adipose Angptl4, a potent inhibitor of lipoprotein lipase
- Adipose tissue hypoxia may play a role in cardiovascular morbidity and mortality of OSA

- **OSA and Intermittent Hypoxia**
- **Intermittent Hypoxia, Insulin Resistance and Type 2 Diabetes**
- **Intermittent Hypoxia and Dysregulation of Lipid Metabolism**
- **Intermittent Hypoxia and Fatty Liver**

# Non-alcoholic fatty liver disease

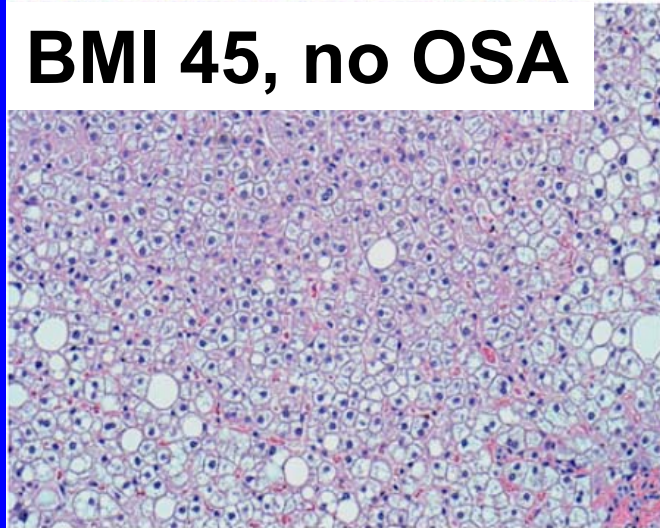


# OSA and Non-Alcoholic Fatty Liver

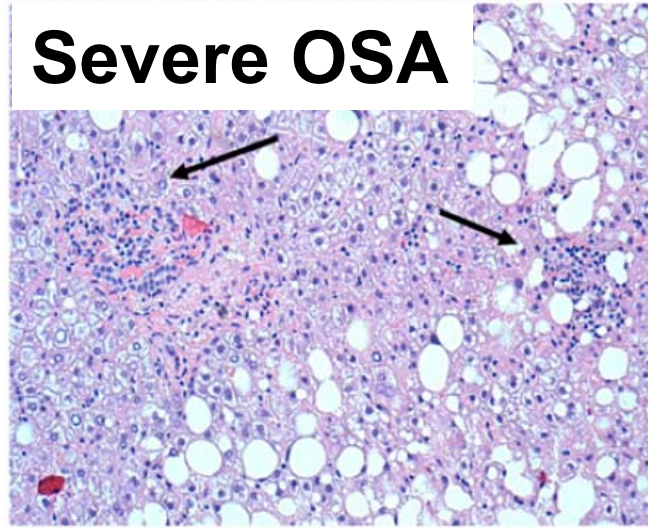


# OSA and Non-Alcoholic Fatty Liver

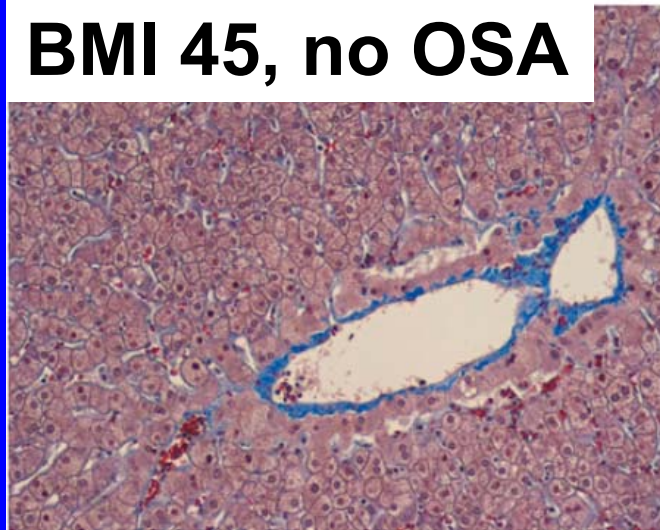
**BMI 45, no OSA**



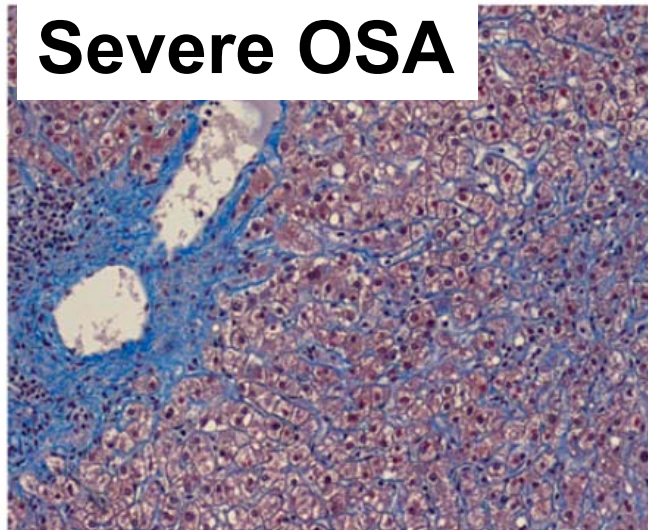
**Severe OSA**



**BMI 45, no OSA**

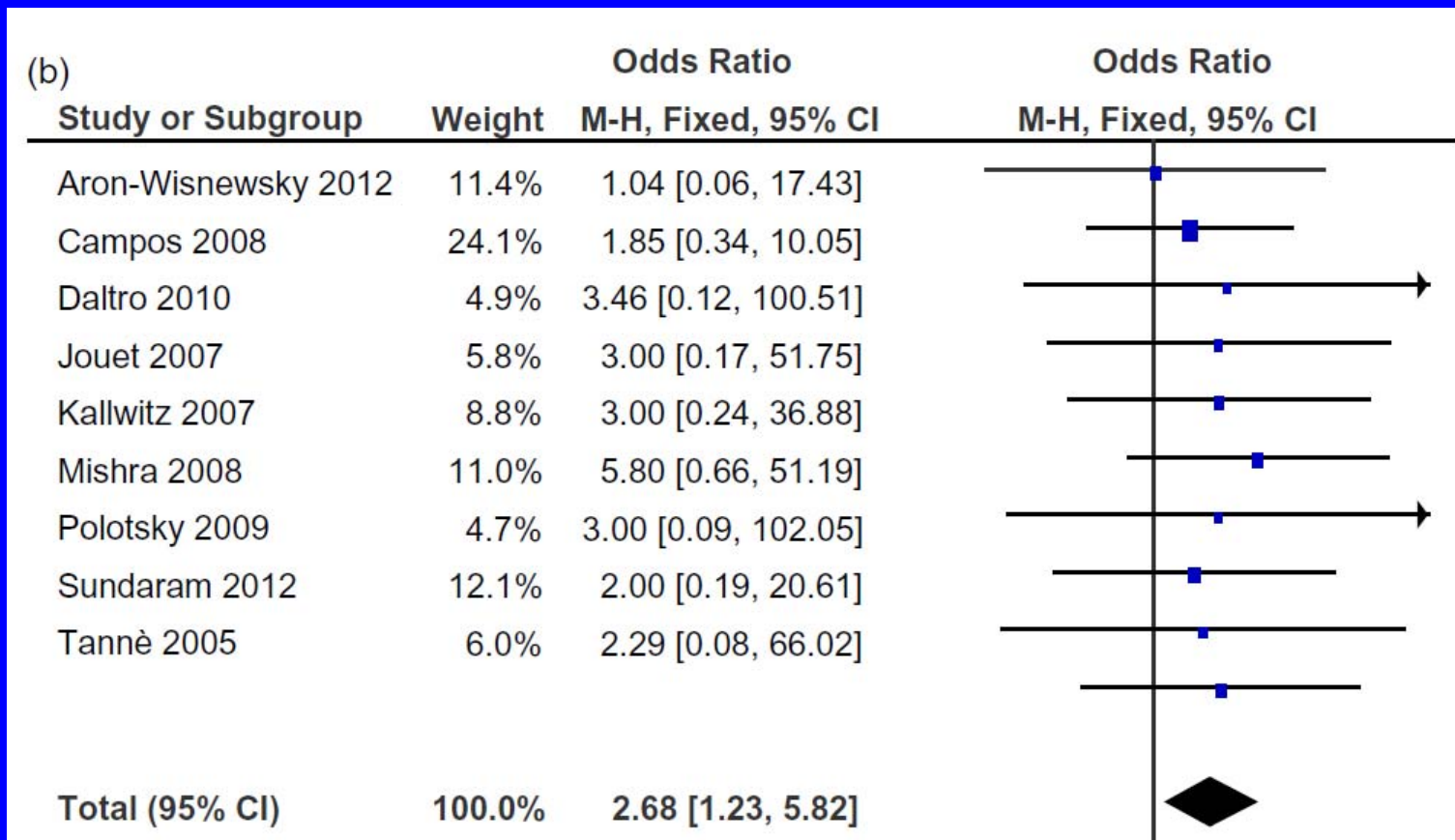


**Severe OSA**





# OSA and Non-Alcoholic Fatty Liver: Liver Fibrosis

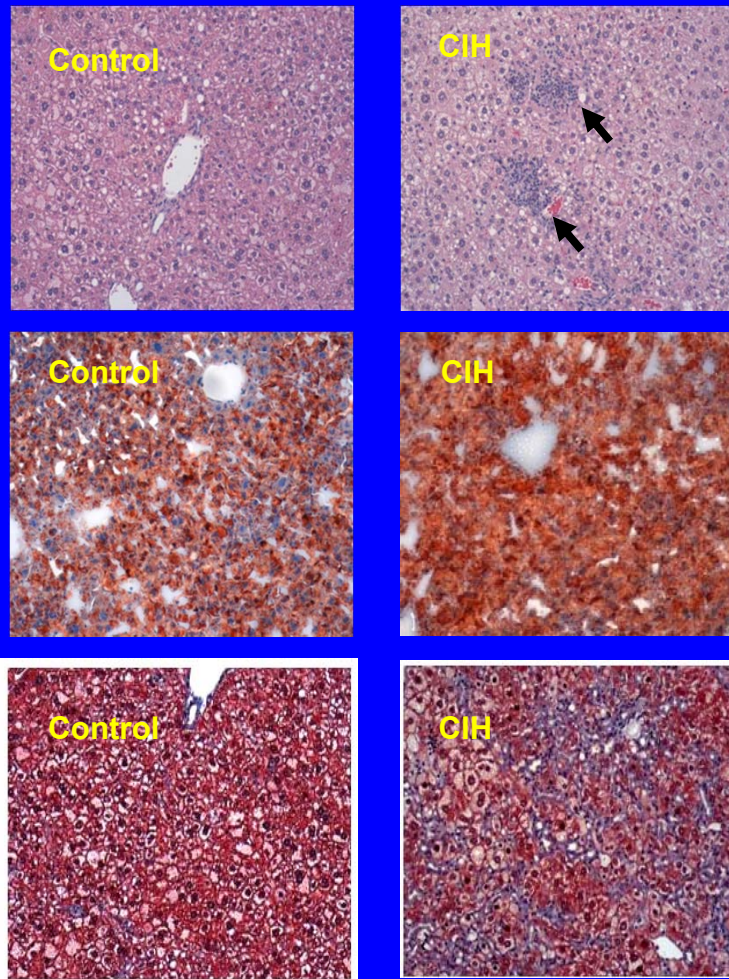


**i has a cheezie**



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# Intermittent Hypoxia Causes Steatohepatitis and Liver Fibrosis



**What are the mechanisms ?**

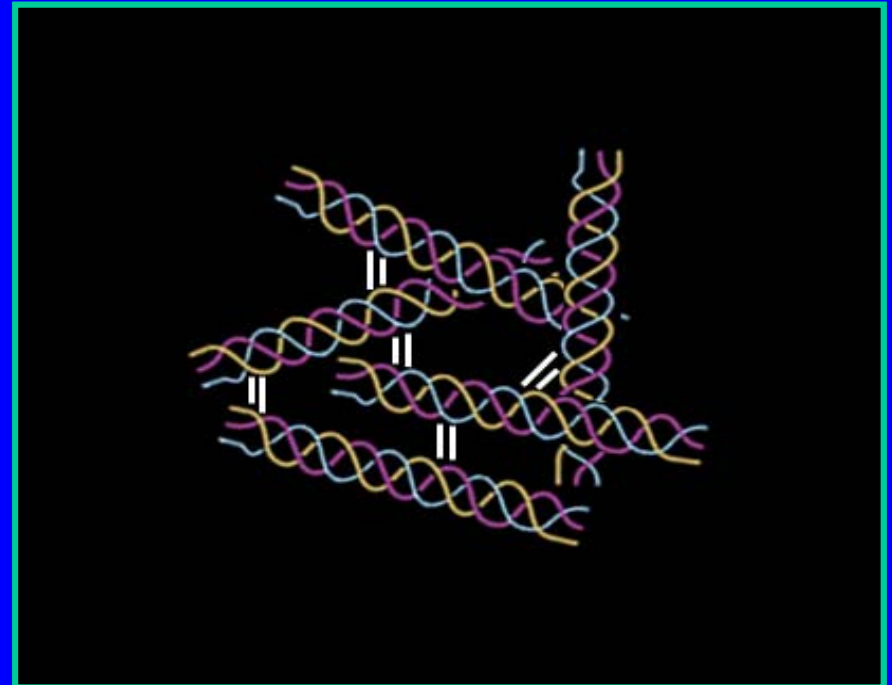


# Lysyl oxidase (LOX)

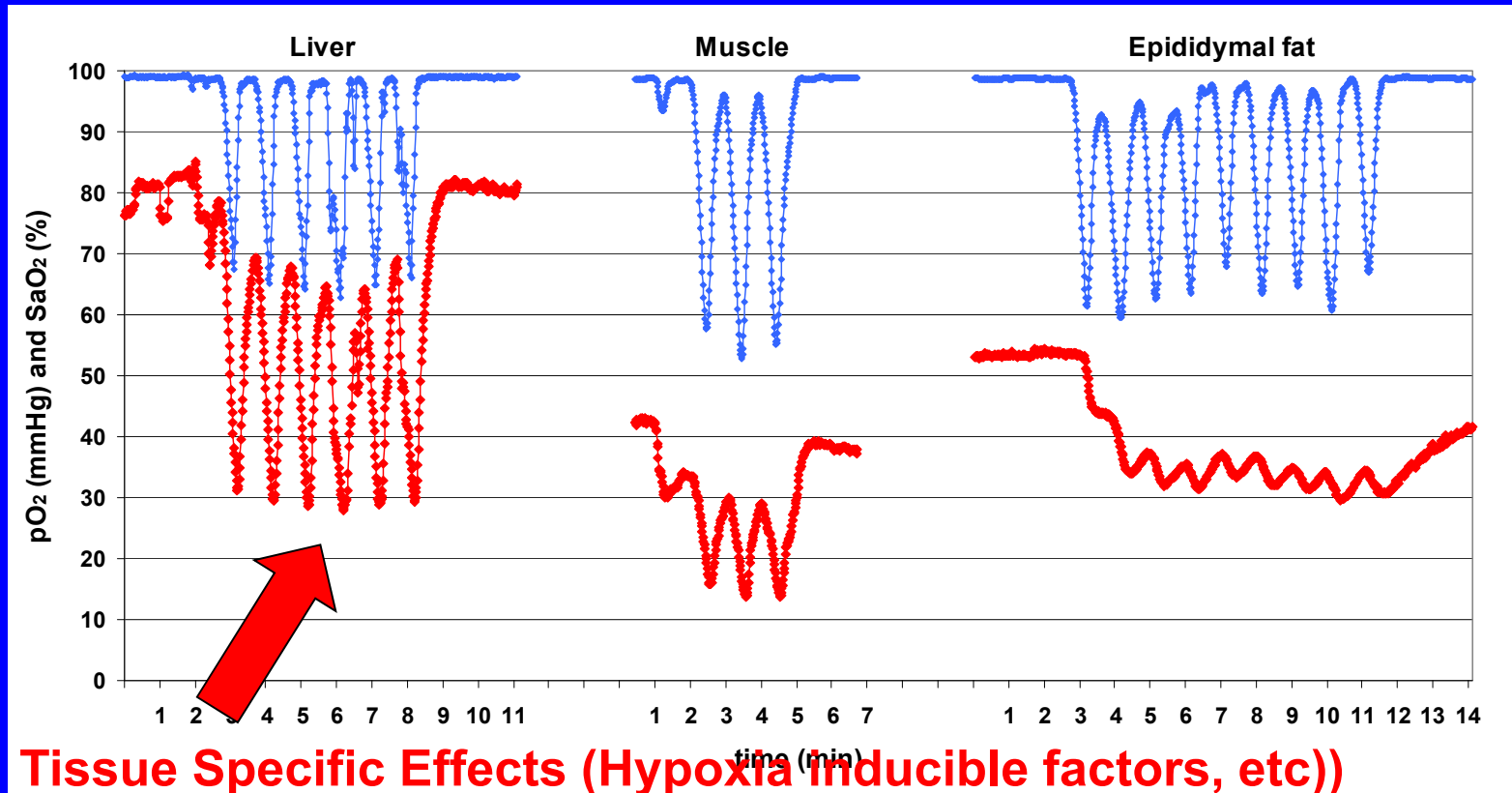
- Secreted amine oxidase.
- Catalyzes formation of covalent bonds between collagen fibers.
- Tissue hypoxia (via HIF-1) increases expression of LOX.

Kagan et al., 2003.

Erler et al., 2006; Higgins et al., 2007.

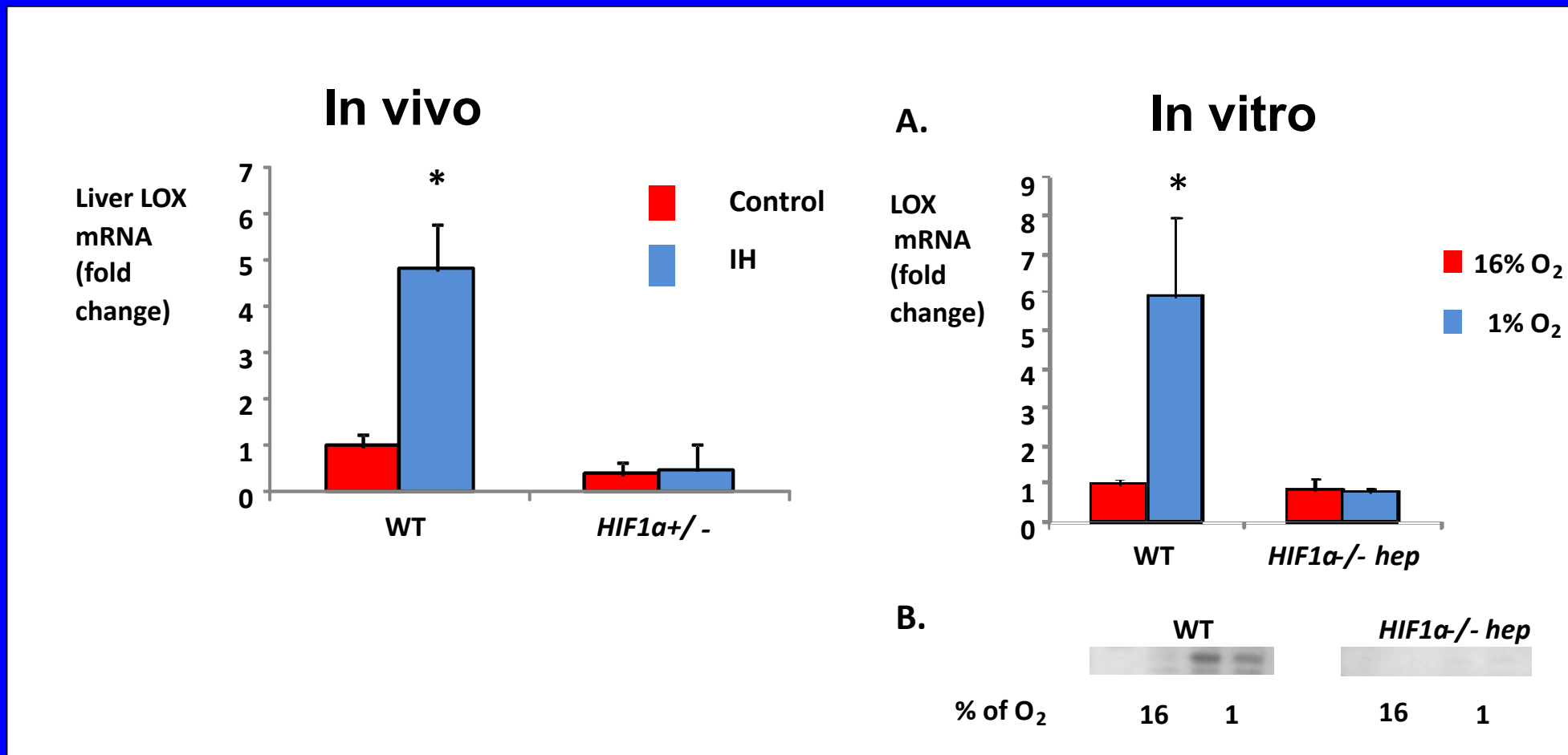


# Mouse Model of Intermittent Hypoxia

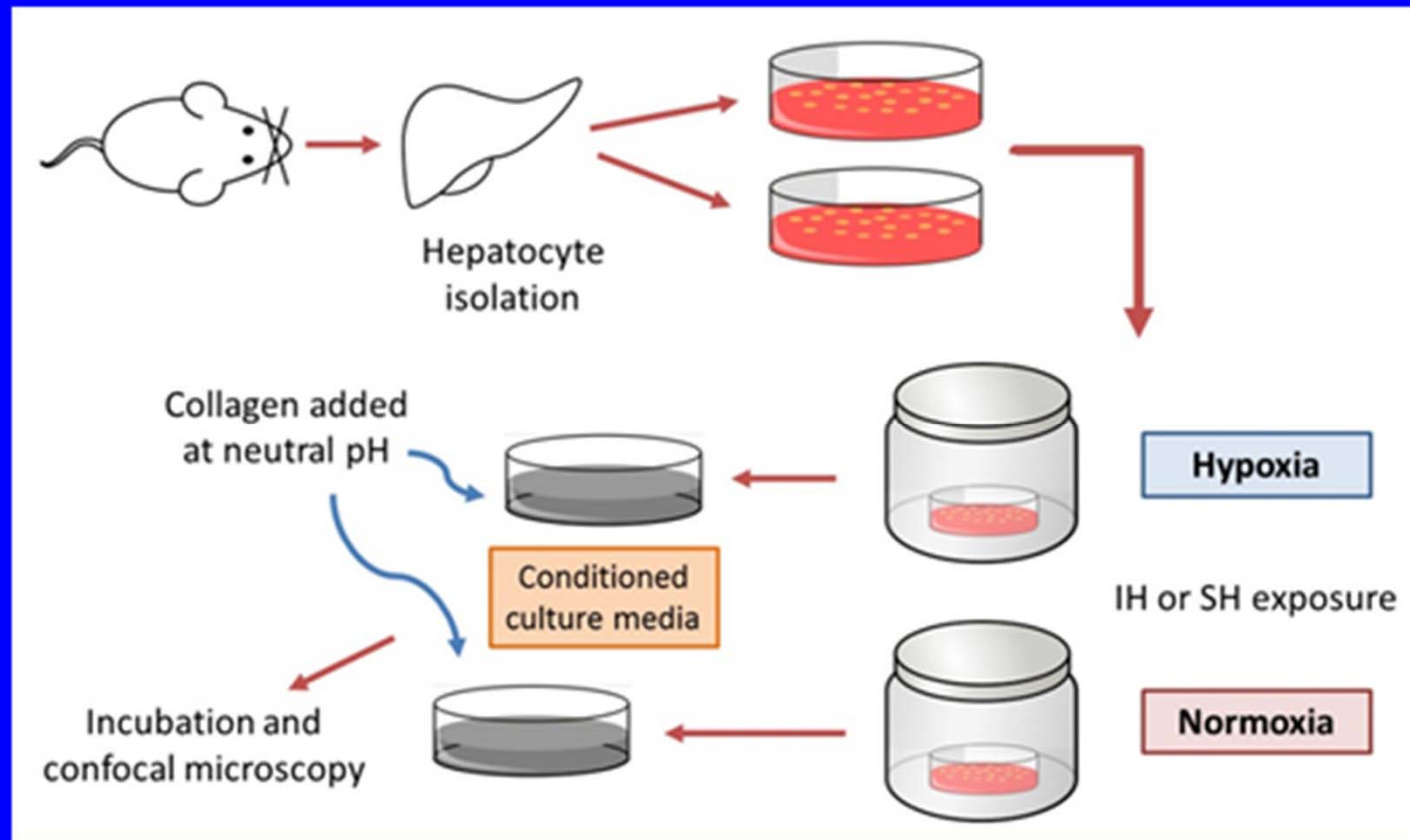


Tissue Specific Effects (Hypoxia inducible factors, etc)

# Intermittent hypoxia up-regulates collagen cross-linking enzyme lysyl oxidase in hepatocytes via hypoxia inducible factor 1 $\alpha$

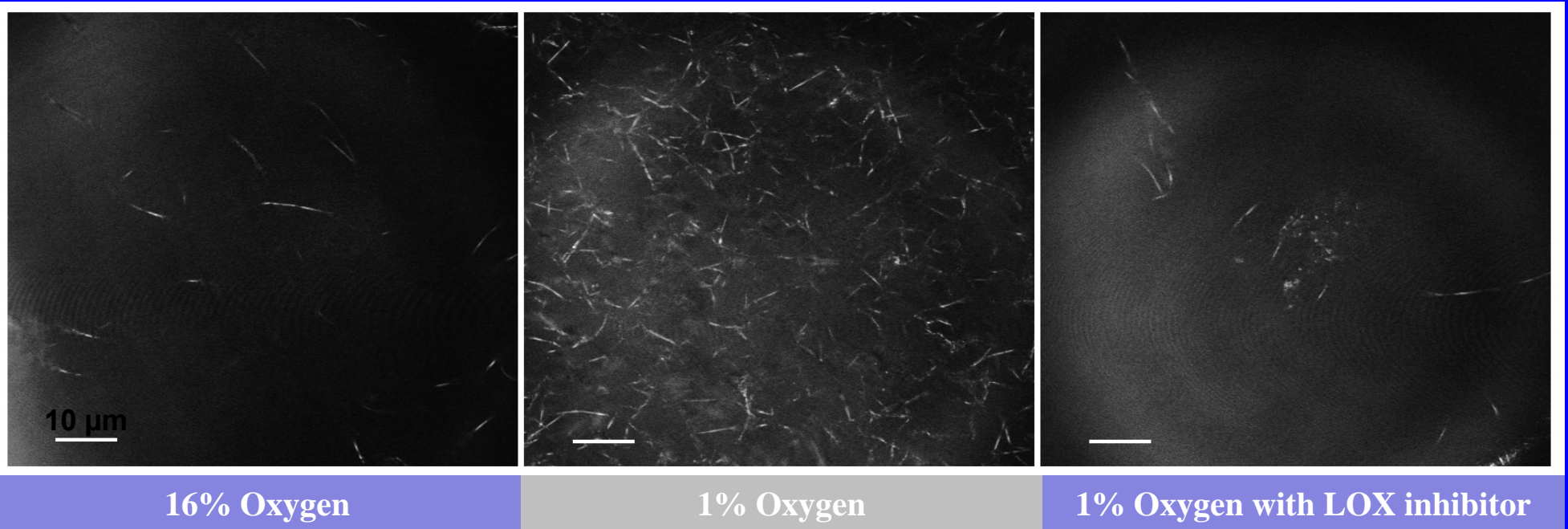


# LOX secreted by hepatocytes in hypoxic cross-links collagen





# LOX secreted by hepatocytes in hypoxic cross-links collagen



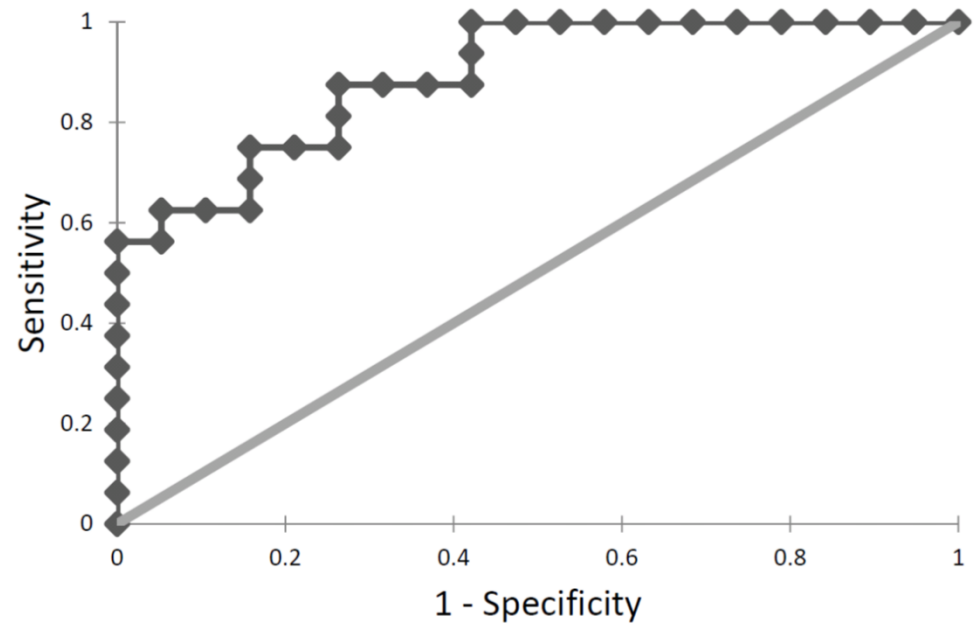
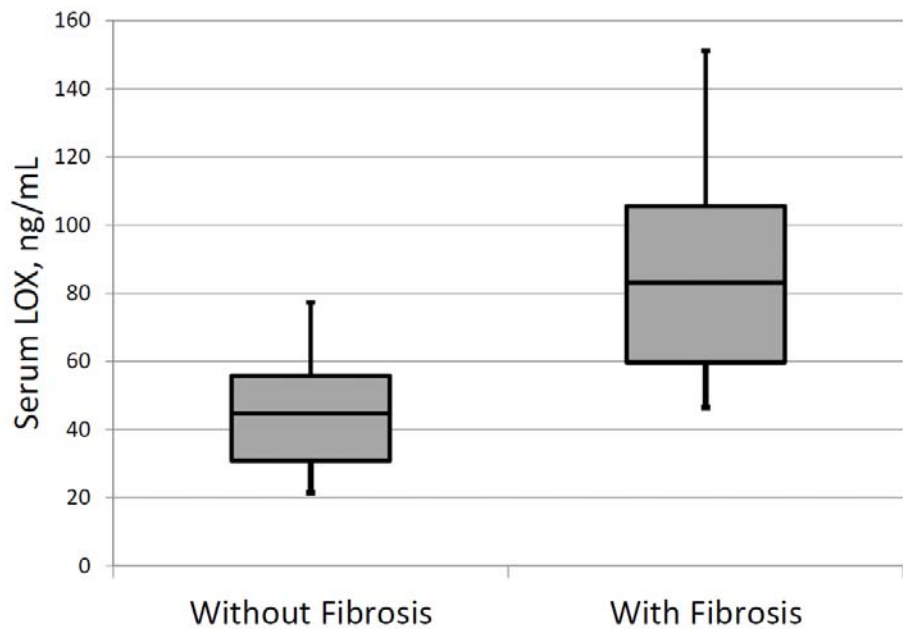
Mesarwi et al. Manuscript in preparation

## Study design

- 35 consecutive patients recruited from the Bariatric Surgery clinic at Johns Hopkins Bayview Medical Center.
- Polysomnogram
- Serum LOX checked the morning after PSG.
- Liver biopsies analyzed for steatosis, fibrosis, and NAFLD activity score.
- Patients categorized by presence/absence of hepatic fibrosis.

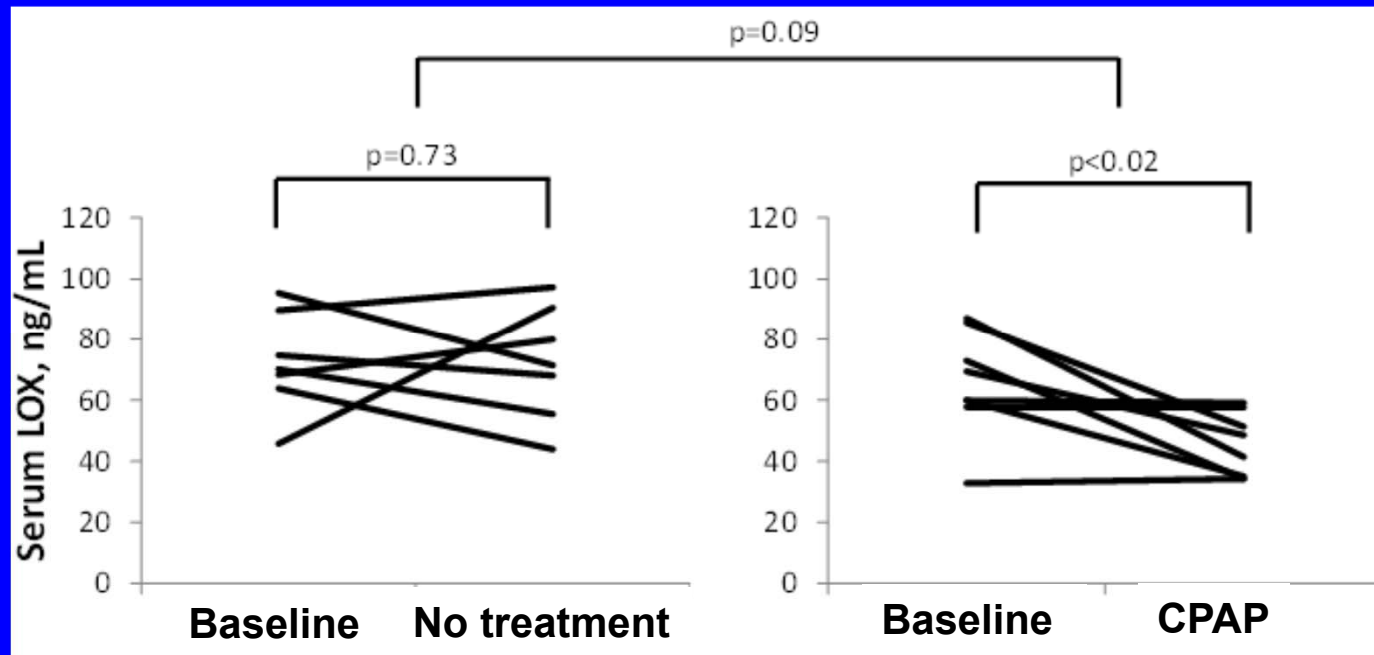


**OSA → IH → LOX → Liver Fibrosis**



**Mesarwi et al. Manuscript in preparation**

**OSA → IH → LOX → Liver Fibrosis**



Mesarwi et al. Manuscript in preparation

## **Mechanisms of Liver Fibrosis during IH: Hypothesis**

- 1. IH induces liver tissue hypoxia**
- 2. Liver tissue hypoxia up-regulates HIF-1 $\alpha$**
- 3. HIF-1 $\alpha$  up-regulates LOX**
- 4. LOX cross-links collagen resulting in liver fibrosis**

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Ms. Ashika Nanayakkara-Bind



**NIH:** HL080105, HL068715, P50 HL084945,  
**AHA:** 10GRNT3360001  
**ResMed Foundation**