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**Low Rate of Infection Control in Enterococcal Periprosthetic
Joint Infections**

4 **Abstract**

5

6 *Background*

7 Enterococcal periprosthetic joint infections (PJIs) are rare after joint arthroplasty. These cases
8 are usually reported in series of PJIs caused by other pathogens. Because few studies have
9 focused only on enterococcal PJIs, management and control of infection of these cases have not
10 yet been well defined.

11 *Questions/Purposes*

12 We asked (1) what is the proportion of enterococcal PJI in our institutes; and (2) what is the rate
13 of infection control in these cases?

14 *Methods*

15 We respectively identified 22 and 14 joints with monomicrobial and polymicrobial PJI,
16 respectively, caused by enterococcus. The diagnosis of PJI was made based on the presence of
17 sinus tract or two positive intraoperative cultures. PJI was also considered in the presence of one
18 positive intraoperative culture and abnormal serology. We determined the proportion of
19 enterococcal PJI and management and control of infection in these cases. Minimum follow-up
20 was 1.5 years (mean, 3.2 years).

21 *Results*

22 The proportion of monomicrobial enterococcal PJI was 2.3% (22 of 955 cases of PJI). Mean
23 number of surgeries was two (range, 1–4). Initial irrigation and de'bridement was performed in
24 10 joints and eight patients needed reoperation. Seven of the 16 joints were initially managed
25 using two-stage exchange arthroplasty and did not need further operation. Six patients had a
26 definitive resection arthroplasty. Salvage surgeries (fusion and above-knee amputation) were
27 performed in three cases (8%). The infection was ultimately controlled in 32 of the 36 patients.

28 *Conclusions*

29 Management of enterococcal PJI is challenging and multiple operations may need to be
30 performed to control the infection.

31 *Level of Evidence*

32 Level IV, therapeutic study. See Guidelines for Authors for a complete description of levels of
33 evidence.

34

35 **Introduction**

36 Periprosthetic joint infection (PJI) is one of the most challenging complications of joint
37 arthroplasty [17]. PJI with an incidence of 1% to 4% after primary TKA [2, 15, 16] and 1% to 2%
38 after primary THA [10, 11] is one of the main causes of failure after joint arthroplasty [1, 4].
39 Given the increasing number of primary joint arthroplasties being performed annually, an
40 increasing number of joint arthroplasties complicated by PJI has been reported [5, 7, 19].

41 Although in patients with PJI the most frequently cultured microorganisms are coagulase-
42 negative Staphylococci and Staphylococcus aureus, which are seen in 30% to 43% and 12% to
43 23% of cases, respectively [21], it is estimated that Enterococcus species are responsible for
44 approximately 3% of all PJIs [3]. Enterococcus is a Gram-positive, facultatively anaerobic
45 organism, which used to classify as Group D Streptococcus. Enterococcus species cause various
46 types of infections, mainly nosocomial infection, endocarditis, urinary tract infection as well as
47 intraabdominal and pelvic infections [8]. Although it is not a common pathogen for orthopaedic
48 infections, there is a growing number of reports showing an increase in frequency of orthopaedic
49 infection caused by Enterococcus [14, 18]. Probably as a result of the low frequency of
50 enterococcal PJIs, these cases are usually reported in series of PJIs caused by other pathogens

51 [3]. Two studies [3, 18] focused on the treatment of patients with enterococcal PJI. The first
52 study was performed by El Helou et al. [3] in which 50 episodes of enterococcal PJI were
53 evaluated. They found no difference between outcome of patients with enterococcal PJIs
54 receiving combination therapy and those receiving monotherapy [3]. They also estimated
55 survival free of treatment failure for various surgical approaches in the studied patients at 2 and 5
56 years [3]. In the second study, management and control of infection in two vancomycin-resistant
57 enterococcal PJIs were reported [18]. Studied patients had a complex course; one needed joint
58 fusion and the other underwent resection arthroplasty. Despite these two studies, frequency,
59 management, and control of infection in patients with enterococcal PJI have not yet been well
60 known.

61 In the present study, we therefore asked (1) what is the proportion of enterococcal PJI in
62 our institutions; and (2) what is the rate of infection control in these cases?

63

64 **Patients and Methods**

65

66 We retrospectively reviewed the electronic infection databases of two institutes to
67 identify patients with a diagnosis of enterococcal PJI. The study covered the time period from
68 2000 to 2010. PJI diagnosis was considered based on the new definition of PJI provided by the
69 Musculoskeletal Infection Society [13]. Briefly, a diagnosis of PJI was made based on presence
70 of sinus tract or two positive intraoperative cultures. PJI was also considered in the presence of
71 one positive intraoperative culture and abnormal serology including erythrocyte sedimentation
72 rate and C-reactive protein. Usually three to five intraoperative samples are taken in patients with
73 suspicious PJI undergoing surgery in our institutes. During the study period we identified 955
74 joints with PJI. For this study we included patients who met the definition for PJI and had at least

75 one positive intraoperative culture for any species of Enterococcus isolated either from solid
76 medium or broth. Patients with positive culture for methicillin-resistant S aureus (MRSA) were
77 excluded. According to the definition, we identified 36 total joint arthroplasties (19 hips and 17
78 knees) with a diagnosis of PJI from two institutions. Enterococcus had been isolated at least from
79 one culture in all patients. In 22 of the 36 cases, Enterococcus was the only isolated
80 microorganism. Vancomycin-resistant Enterococcus (VRE) was found in 12 cases (33%) (Table
81 1).

82 The cohort consisted of 22 females and mean age of the studied patients at the time of
83 first revision surgery was 66.1 years (range, 34–85 years). The minimum followup was 1.5 years
84 (mean, 3.2 years; range, 1.5–10.5 years). No patients were lost to followup. No patients were
85 recalled specifically for this study; all data were obtained from medical records. Institutional
86 Review Boards of both institutes approved the protocol for this study.

87 Initial irrigation and debridement was performed in 11 joints, one-stage revision in six
88 joints, and two-stage exchange arthroplasty in 16 joints. Two more joints were scheduled to
89 undergo two-stage exchange arthroplasty; however, at the time of the study, the reimplantation
90 stage was not performed. Definitive resection arthroplasty was performed in one patient as the
91 initial treatment.

92 In all patients, appropriate intravenous antibiotics were administered based on sensitivity
93 test results for 4 to 6 weeks. Ampicillin, gentamicin, and vancomycin were antibiotics prescribed
94 for non-VRE PJIs. Linezolid and daptomycin were administered for management of cases with
95 VRE. In some patients we did not have details on chronic antibiotic suppression therapy and
96 local antibiotic administration (Table 2).

97 Patients were followed at 4 to 6 weeks, 6 months, and 1 year. AP and lateral radiographs
98 of the joint were routinely obtained at each followup session. Patients were followed up by
99 serology and if necessary aspiration and bone scan performed.

100
101
102 **Results**

103 Of 955 joints with PJI, 36 patients had at least one culture with isolated Enterococcus
104 spp. In 22 patients, the PJI was monomicrobial. The overall proportion of monomicrobial
105 enterococcal PJI in the studied cohort was 2.3% (22 patients of 955 joints with PJI in both
106 institutions).

107 The mean number of operations for management of PJI without considering number of
108 operations performed for management of wound problems was 1.6 (range, 1–4). Irrigation and
109 debridement was performed in 11 patients as the initial treatment; however, eight of these 11
110 patients needed reoperation to control the infection. In the six patients in whom a one-stage
111 revision was the initial treatment, the components were still in place at latest followup but one
112 patient needed later irrigation and debridement. Seven of the 16 patients initially managed using
113 two-stage exchange arthroplasty did not need further surgery to control the infection. Two more
114 patients were scheduled for two-stage exchange arthroplasty in that the second stage
115 (reimplantation) was not performed during the study period. One of these patients needed spacer
116 exchange. Definitive resection arthroplasty was performed as the initial management in one joint
117 because the patient was not considered to be an appropriate candidate for twostage exchange.
118 Five more patients ultimately needed definitive resection arthroplasty because the initial
119 treatment failed to control the infection. Three patients had salvage surgery after failure of the
120 initial treatment, including one fusion and two above-knee amputations (Table 2). Three patients

121 (8%) had died at the time of latest followup. Mean time from first revision to death was 4.4 years
122 (range, 1.9–6.2 years). In one patient, Clostridium difficile colitis developed as a result of
123 antibiotic therapy. One patient developed Stevens-Johnson syndrome resulting from chronic
124 antibiotic suppression therapy.

125

126 **Discussion**

127

128 PJI is one of the major causes of failure after joint arthroplasty [17]. Although S aureus
129 and coagulase-negative Staphylococci are traditionally the main pathogens for PJI, there are other
130 microorganisms that can result in PJI. Enterococcus species are among the infrequent pathogens
131 that are known to result in orthopaedic infections including PJI. Enterococcus is a Gram-positive,
132 facultatively anaerobic organism, which used to be classified as Group D Streptococcus.
133 Enterococcus causes various types of infections, mainly nosocomial infection, endocarditis,
134 urinary tract infection as well as intraabdominal and pelvic infections [8]. As mentioned earlier,
135 there are only two studies that merely focused on management and control of infection in
136 patients with enterococcal PJI [3, 18] and frequency, management, and control of this type of PJI
137 has not yet been well known in these patients. Our experience suggested that patients with
138 enterococcal PJI were challenging to manage and the rate of infection control was lower in
139 patients infected with nonenterococcal infections compared with other infections. This study
140 aimed to determine the proportion of enterococcal PJI and to assess management and control of
141 this type of PJI in two referral institutes.

142 Before discussing our results, it should be stated that this study has a few limitations.
143 First, we encountered missing data on chronicity of PJIs (acute versus chronic), symptoms of
144 patients at the time of first presentation, and antibiotic suppression therapy. Second, given the

145 complex course of these patients and lack of a universally accepted definition for success of
146 surgical treatment of PJI, we did not perform survival analysis in our cohort. The majority of the
147 patients in this study had multiple surgeries and depending on the definition, survival free of
148 failure was low in our study and much lower than the cohort reported by El Helou et al. [3]. In
149 the majority of patients in our cohort, PJI was ultimately controlled but at the expense of
150 performing a considerable number of resection arthroplasties and salvage surgeries with or
151 without chronic suppression antibiotic therapy that cannot be considered a favorable outcome.

152 The overall proportion of monomicrobial enterococcal PJIs in our cohort was in
153 agreement with the reported incidence for enterococcal PJIs in the literature, which varies from
154 2.5% to 3% [3]. To be able to compare the proportion of enterococcal PJI with a previous study
155 [3], we considered only monomicrobial enterococcal PJIs to calculate the proportion of
156 enterococcal PJI in our institutions. However, we included polymicrobial PJIs in the final report
157 on management and control of infection in these patients. We believe excluding patients with
158 polymicrobial infections gives the opportunity for more accurate evaluation of enterococcal PJIs;
159 it results in missing some polymicrobial PJIs in which *Enterococcus* is the major isolated
160 pathogen. Moreover, it seems a considerable number of enterococcal PJIs are indeed
161 polymicrobial PJIs [17].

162 There are a number of treatment options available for patients with PJI in general that
163 also apply to patients with enterococcal infections. These include a combination of medical
164 (antibiotic therapy) and surgical treatments [12]. Regarding the antibiotic therapy, intravenous
165 ampicillin, gentamicin, or vancomycin is sufficient for non-VRE species [8]. However, medical
166 management of infected cases with VRE is more challenging and needs to be treated by either
167 linezolid or daptomycin [20]. Irrigation and debridement, one-stage exchange arthroplasty, two-

168 stage exchange arthroplasty, and salvage surgeries (fusion and amputation) are available surgical
169 options for management of PJI. Among these options, two-stage exchange arthroplasty is the
170 preferred method of treatment of patients with chronic PJI in North America [12]. The exact
171 success rate of these interventions is not well known and varies widely depending on what is
172 considered a success. One study from the Mayo Clinic evaluated the outcome of treatment for 50
173 episodes of enterococcal PJI in 47 patients [3]. Among them, two-stage exchange arthroplasty
174 was performed in 17 (34%) and irrigation and debridement with retention of prosthesis in five
175 patients (10%). There was a relatively large cohort of patients (23 patients [46%]) who
176 underwent resection arthroplasty without reimplantation. One patient in that series required
177 amputation. They estimated 2-year survival free of treatment failure as 94% for patients treated
178 with two-stage exchange arthroplasty, 76% for patients treated with resection arthroplasty, and
179 80% for patients treated with debridement and retention of the components. In another study,
180 management and control of infection in two PJIs after TKA were reported [18]. The outcome in
181 this small case series was poor because one patient needed resection arthroplasty and the other
182 underwent fusion to control the infection.

183 There are a number of reasons that may explain the results of our study that is less
184 optimal than a previous report by El Helou et al. [3]. We included patients with polymicrobial
185 PJI, which may have confounded the outcome because some of these patients could have had
186 sinus tract [6] and soft tissue defects, compromising outcome [21]. To minimize the effect of
187 confounders, we excluded all patients in whom MRSA had been isolated at the same time
188 because control of infection in patients with MRSA PJI is so challenging [9]. The other reason
189 for higher failure is that fewer patients in our cohort underwent resection arthroplasty as the
190 initial treatment. Nearly half of the patients in the Mayo Clinic series had resection arthroplasty

191 as their original operation [3]. The latter indicates those investigators had a higher threshold and
192 were more selective in subjecting patients to two-stage exchange arthroplasty. Another
193 explanation for our low rate of infection control may be the high percent of VRE-positive cases
194 (33%) in our series because control of infection in these cases reportedly is so difficult [18].
195 Finally, the difference in the medical management between our institutions and the Mayo Clinic
196 [3] may have also influenced the outcome.

197 Surprisingly, the six patients in our series who underwent one-stage exchange all had the
198 prostheses in place at the last followup with infection well controlled. We assume that these
199 patients had acute PJI with a short interval from development of PJI and performing the surgery,
200 although we do not have accurate data in this regard to confirm our hypothesis.

201 Our data suggest that although enterococcal PJI is not frequent, management of these
202 patients is challenging. These patients usually require multiple operations to control their
203 infection and a considerable number of cases will ultimately undergo salvage surgery or
204 resection arthroplasty. Performing more studies to determine the incidence of enterococcal PJI
205 and to determine best management and control of infection in these patients is recommended.
206 The current study highlights the shortcomings of the current treatment strategy and the desperate
207 need for future developments.

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218 **References**

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