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# Outcomes and risk factors associated with endophthalmitis after intravitreal injection of antivascular endothelial growth factor agents.

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- 1
- Key Words: endophthalmitis, anti-VEGF injection, bevacizumab, ranibizumab, AMD, risk factor
- 2 3

- 1 **Objective:** Describe outcomes of and risk factors for endophthalmitis following
- 2 intravitreal anti-VEGF injection.
- 3
- **Design:** Single-center, consecutive, case series and retrospective case-control study
- 4 5
- **Participants:** Between 1/1/09 and 5/31/10, 16 vitreoretinal surgeons administered a total
- 7 of 27,736 injections. During this period, twenty-three cases of presumed infectious
- 8 endophthalmitis occurred. Each surgeon used their own preferred injection technique.

#### 10 Intervention:

11 Vitreous and/or aqueous tap with intravitreal antibiotic injection and subsequent topical

- 12 antibiotic and steroid drops.
- 13
- 14 Main Outcome Measures: Visual acuity, bladed lid speculum use, conjunctival
- 15 displacement, hemisphere of injection, bevacizumab vs. ranibizumab, and infectious
- 16 organism.
- 17
- 18 **Results:** Seven of 23 cases were culture-positive; three grew coagulase negative
- 19 Staphylococcus. All cases presented with pain and vitritis on average 3.4 days (range 1 –
- 20 6) after injection, with no difference between culture-positive and culture-negative
- 21 groups. Eighteen of 23 cases (78%) had a hypopyon. 16 of 23 cases returned to baseline
- vision (+/- 2 lines) within three months. Neither lid speculum use (0.10% vs. 0.066% in
- the no use group, p = 0.27), conjunctival displacement (0.11% vs. 0.076% no
- 24 displacement, p = 0.43), hemisphere of injection (0.11% superior vs. 0.079% inferior, p =
- 25 0.56), or bevacizumab vs. ranibizumab (0.11% vs 0.066%, p = 0.21) affected risk.
- 26 Analysis of only culture positive results yielded similar results. There was no statistically
- 27 significant difference between the proportion of culture-negative cases after bevacizumab
- 28 (83%) versus ranibizumab injection (55%, p = 0.13).
- 29

30 **Conclusion:** Most patients who develop presumed infectious endophthalmitis after anti-

- 31 VEGF injection regained baseline vision after treatment. Bladed lid speculum use,
- 32 conjunctival displacement, hemisphere of injection, and type of anti-VEGF agent did not
- 33 affect risk. We did not detect a difference in culture-negative endophthalmitis rates after
- 34 bevacizumab versus ranibizumab injection. Neither the presence of pain, vitritis,
- 35 decreased vision, or hypopyon, nor the interval between injection and development of
- 36 symptoms, differentiated culture-positive from culture-negative cases. As a subgroup of
- 37 patients have poor outcomes, a low threshold for vitreous tap with intravitreal antibiotic
- 38 injection may be warranted.
- 39
- 40

#### 1 Introduction:

- 2 Intravitreal anti-vascular endothelial growth factor (anti-VEGF) agents have
- 3 revolutionized the treatment of neovascular age-related macular degeneration (AMD).
- 4 The use of these medications continues to increase as their indications expand, including  $\frac{1}{2}$
- 5 for diseases such as retinal vein occlusions<sup>1, 2</sup>, neovascular glaucoma<sup>3</sup>, and diabetic 6 macular edema<sup>4</sup>.
- 7 Infectious endophthalmitis remains one of the most feared complications of
- 8 intravitreal injections. Endophthalmitis can lead to apoptosis of ganglion cells, bipolar 9 cells, and photoreceptors<sup>5</sup>, or to retinal detachment, which can all lead to significant
- 10 vision loss or to loss of the eye.
- 11 Few clinical studies describe visual outcomes after post-injection
- 12 endophthalmitis<sup>6-8</sup> or identify modifiable risk factors to prevent infection. Further, there
- 13 is debate regarding the clinical distinction between infectious and non-infectious
- 14 endophthalmitis, with some authors positing that absence of pain supports a non-
- infectious etiology<sup>9, 10</sup>. This study evaluates a large series of endophthalmitis cases
- 16 developing after anti-VEGF injection and assesses outcomes and risk factors.
- 17

#### 18 Patients and Methods

#### 19 Overview:

- 20 Institutional Review Board approval was obtained from Wills Eye Institute.
- 21 During an infection surveillance program, the authors prospectively recorded cases of
- 22 endophthalmitis occurring after intravitreal injection of bevacizumab or ranibizumab
- 23 between January 1, 2009 and May 31, 2010. Charts from these cases were
- 24 retrospectively reviewed at the conclusion of the surveillance period. All injections were
- 25 performed at a single, retina-only practice by 16 different vitreoretinal specialists with 16
- 26 different offices. The total number of intravitreal bevacizumab and ranibizumab
- 27 injections was determined using billing data, allowing a retrospective case-control
- 28 analysis for risk factors.
- 29

#### 30 Injection technique:

- 31 All eyes were prepped in a standardized fashion. Briefly, eyes were anesthetized
- 32 with topical drops (e.g., proparacaine 0.5% [Ophthetic, Allergan, Inc.]), a topical
- 33 antibiotic (e.g., ofloxacin 0.3% [Ocuflox, Allergan, Inc.]), topical 5% povidone-iodine
- 34 (Betadyne, Alcon Labs), viscous anesthetic (e.g., tetracaine solution 0.5% [TetraVisc,
- 35 OCuSoft, Inc.]), and another drop of topical 5% povidone-iodine prior to injection.
- 36 Rarely, subconjunctival lidocaine 2% was substituted for viscous anesthesia. The
- eyelashes were not prepped and a sterile drape was not used. Pre-injection antibioticswere not used.
- 39 Each vitreoretinal specialist administered anti-VEGF injections through the pars
- 40 plana, 3.5 4.0 mm from the limbus with a 30- or 31-gauge needle using his or her
- 41 preferred technique. Physicians were asked to consistently use his or her preferred
- 42 injection technique for the duration of the infection surveillance period, and periodic
- 43 monitoring was performed to ascertain whether there was identifiable change in
- 44 technique. Variables included bladed lid speculum use, conjunctival displacement with a
- 45 sterile cotton tip applicator prior to injection, and superior versus inferior hemisphere of
- 46 injection. Physicians not using a lid speculum employed variable techniques to expose

1 the globe, including gloved or ungloved fingers to open the lids, an assistant's gloved or

2 ungloved fingers, or simply instructed patients to open their eyelids widely. Those not

3 displacing conjunctiva with a cotton tip applicator injected straight through conjunctiva

4 and sclera into the vitreous. Patients were prescribed a topical antibiotic to use four times

5 a day for four days post-injection. The specific antibiotic was per the preference of the

6 injecting physician.7

#### 8 Tap and inject protocol:

9 All eyes that developed presumed infectious endophthalmitis were sent to Wills 10 Eye Institute for immediate tap of the vitreous through the pars plana with injection of intravitreal antibiotics (tap and inject). No patients were treated at satellite offices. The 11 12 vitreous tap consisted of insertion of a 25-gauge needle into the vitreous cavity with attempted aspiration of vitreous in all patients. If adequate vitreous fluid was unable to 13 14 be obtained, an aqueous tap was performed. All samples were sent to the department of microbiology at Thomas Jefferson University Hospital, Philadelphia, PA, for gram stain, 15 cultures, and sensitivities. Patients then received intravitreal vancomycin (1 mg/0.1 mL) 16 and intravitreal ceftazidime (2 mg/0.1 mL). Penicillin allergic patients received 17 18 intravitreal amikacin (400 mcg/0.1 mL) instead of intravitreal ceftazidime. All patients 19 were then placed on fortified vancomycin (25 mg/mL), fortified tobramycin (15 mg/mL), 20 and prednisolone acetate 1% drops every hour, as well as atropine sulfate 1% drops twice 21 a day. Patients were followed daily until they had evidence of clinical improvement, at which time the drops were slowly tapered and examination intervals were gradually 22

23 extended. Antibiotic drops also were modified based on culture sensitivity data.

24

#### 25 Inclusion and exclusion criteria:

All eyes with presumed infectious endophthalmitis warranting tap and inject were

included in this case series. The criteria for tap and inject were dependent on the
 judgment of individual vitreoretinal specialists, but universally included decreased visual

29 acuity, the presence of pain, and the presence of vitritis within one week of intravitreal

30 anti-VEGF injection. Patients not included in this case series were those with mild post-

31 injection anterior chamber inflammation (1+ or less), who improved on topical

32 corticosteroid and antibiotic drops without undergoing tap and inject.

#### 33 24 E

### 34 Endophthalmitis surveillance log:

35 One researcher (CPS) recorded data for all patients undergoing tap and inject in 36 an infection surveillance log. These data included the presence of pain, vitritis, and/or

37 hypopyon, visual acuity before the causative injection and at time of tap and inject 28 (Snallen coulty not host corrected) data of councting arti VECE injection data of the

(Snellen acuity, not best corrected), date of causative anti-VEGF injection, date of tap
 and inject, office location, injecting vitreoretinal surgeon, type of anti-VEGF injection

and inject, office location, injecting vitreoretinal surgeon, type of anti-VEGF injection (bevacizumab versus ranibizumab), lot number, underlying retinal diagnosis, number of

40 (bevacizumab versus ranibizumab), lot number, underlying retinal diagnosis, number of 41 prior anti-VEGF injections, lens status, source of tap (vitreous or aqueous), identified

41 prior anti-vEGF injections, iens status, source of tap (vitreous of aqueous), identified 42 organism, and antibiotic specificities. At the end of the surveillance period, charts were

- 43 retrospectively reviewed to collect follow-up data.
- 44

#### 45 Analysis of case series and case-control study:

1 Clinical variables of presumed infectious endophthalmitis were analyzed using 2 Excel (Microsoft, Redmond, WA). These features included the presence of pain, 3 hypopyon, vitritis, decreased vision, and duration between causative anti-VEGF injection and tap and inject. Outcome data included return of baseline visual acuity (plus or minus 4 5 two lines of Snellen acuity, not best-corrected) and need for pars plana vitrectomy. 6 To evaluate risk factors for developing endophthalmitis, the authors conducted a 7 retrospective case-control analysis. The total number of bevacizumab and ranibizumab 8 injections administered was determined using billing data. The number of anti-VEGF 9 injections was also stratified by office location and injecting vitreoretinal surgeon. 10 Several risk factors for presumed infectious endophthalmitis after anti-VEGF injection 11 were examined. These included bladed lid speculum use, conjunctival displacement with 12 a sterile cotton tip applicator prior to injection, superior versus inferior hemisphere of 13 injection, the use of bevicizumab versus ranibizumab, office location, injecting 14 vitreoretinal specialist, and lot number of the specific anti-VEGF agent. A two-sample test of proportion was performed using Stata 9 (College Park, TX). Analysis was done 15 for all cases of presumed infectious endophthamitis and further stratified for culture-16 17 positive and culture-negative cases. 18

#### 19 Results

## 2021 Clinical Features

22 During the 17-month study period, a total of 27,736 consecutive intravitreal anti-23 VEGF injections were administered, including 10,958 bevacizumab and 16,778 24 ranibizumab injections. Twenty-three of these cases underwent emergent tap and inject 25 for presumed infectious endophthalmitis (0.083%, 95% confidence interval 0.049% to 0.12%). Twenty-one of these eyes received anti-VEGF injection for neovascular AMD, 26 27 while two were treated for macular edema secondary to branch retinal vein occlusion. 28 All cases of presumed infectious endophthalmitis presented with pain, vitritis, and 29 decreased visual acuity. Most cases had a hypopyon at time of tap and inject (18 of 23

eyes, 78%). Five of seven culture-positive cases presented with hypopyon (71%,
 p=XXX).

There was an average of 3.4 days (range 1 to 6 days) between administration of anti-VEGF injection and emergent tap and inject. This average was similar between culture-negative (3.5 days, range 1 to 6 days) and culture-positive cases (3.1 days, range 1 to 5 days, p = 0.54). One culture-negative case presenting 17 days after injection was excluded from this analysis because the patient's nursing home delayed seeking medical attention.

Vitreous tap was performed in all cases, and an adequate specimen was obtained in 14 of 23 cases. When the vitreous tap was unsuccessful, an aqueous tap was performed successfully in the remaining 9 of 23 cases. An infectious organism was identified from vitreous and/or aqueous biopsy in 30.4% of patients (7 of 23), for a culture-positive endophthalmitis rate of 0.025% per injection. Causative organisms included three cases of coagulase negative staphylococci, and one case of each Staphylococcus aureus, Streptococcus viridans, Streptococcus mitus, and Enterococcus faecalis.

46 Visual Outcomes

1 Most cases (16 of 23, 70%) returned to baseline vision (+/- 2 lines) within three 2 months (see Table 1, available at http://aaojournal.org). Four more cases returned to 3 baseline vision at six months; a total of 83% of cases had recovery of baseline vision. Specifically, the three eyes that did not return to baseline were as follows: the vision of 4 5 one patient dropped from 20/300 to no light perception after retinal detachment with 6 subsequent retinal detachment repair, one from 20/40 to counting fingers after retinal 7 detachment repair, one from 20/400 to counting fingers, and one from 20/50 to 20/100. 8 Four of 23 cases (17%) underwent pars plana vitrectomy three days to 3 weeks after 9 initial tap and inject for retinal detachment, vitreous hemorrhage, or worsening

9 Initial tap and inject for retinal detachment, vitreous nemo;
 10 endophthalmitis.

Of the seven culture-positive cases, four returned to baseline vision by three
 months and an additional case returned by six months (71%). Of the two culture-positive
 eyes not returning to baseline vision, both underwent subsequent pars plana vitrectomy
 for retinal detachment. These eyes grew Streptococcus viridans and Streptococcus mitus,
 respectively.

16 Of the 16 culture-negative cases, 13 returned to baseline vision by three months 17 with another two returning by six months (94%). There was no significant difference in 18 the visual recovery rate between culture positive and culture-negative cases (p = 0.14).Of 19 note, one patient developed pain, decreased vision, and hypopyon twice after sequential

20 bevacizumab injection (patient's third and fourth injections). During the first episode, the

21 patient underwent tap and inject three days after causative bevacizumab injection and

22 improved to baseline visual acuity at six weeks. During the second episode, the patient

23 was treated initially with hourly prednisolone acetate drops and had continued worsening

24 of inflammation. The patient underwent tap and inject three days after causative

bevacizumab injection, and did not regain baseline visual acuity at six months. This eyewas counted twice, once for each episode.

#### 27

28 Risk Factors

Cases of endophthalmitis occurred in nine of 16 offices by nine of 16 injecting vitreoretinal surgeons. There were no clusters of endophthalmitis with any individual treating physician or in any particular office location. There were no trends associated with lot numbers of bevacizumab or ranibizumab injections.

33 No modifiable risk factors were identified (see Table 2). Neither lid speculum use [0.10% (13 of 12,500) vs. 0.066% (10 of 15,236) in the no use group, p = 0.27,95%34 35 confidence interval of the difference -0.031 to 0.11%], conjunctival displacement [0.11%] (6 of 5,421) vs. 0.076% (17 of 22,315) no displacement, p = 0.43, 95% confidence 36 37 interval of the difference -0.061 to 0.13%],], hemisphere of injection [0.11% (4 of 3,683) superior vs. 0.079% (19 of 24,053) inferior, p = 0.56, 95% confidence interval of the 38 39 difference -0.082 to 0.14%],], or bevacizumab (0.11%, 12 of 10,958) vs. ranibizumab 40 (0.066%, 11 of 16,778, p = 0.21, 95% confidence interval of the difference -0.030 to 41 0.12%],) affected risk. Results were similar with analysis of only culture-positive cases 42 [0.032% (4 of 12,500) vs. 0.020% (3 of 15,236) in the no speculum group (p = 0.52),0.018% (1 of 5,421) vs. 0.027% (6 of 22,315) in the no conjunctival displacement group 43 44 (p = 0.73), 0.054% (2 of 3,683) superior vs. 0.021% (5 of 24,053) inferior hemisphere of 45 injection (p = 0.23), and 0.018% (2 of 10,958) post-bevacizumab vs. 0.030% (5 of

46 16,778) post-ranibizumab (p = 0.55)]. The proportion of culture-negative cases was

1 similar after bevacizumab (83%, 10 of 12) and ranibizumab injection (55%, 6 of 11, p = 0.13).

Power calculations revealed that 101,958 injections evenly split between two
groups would be needed to detect a difference between 0.05% and 0.10% with an alpha
of 0.05 and a beta of 0.20.

#### 7 Discussion

6

8 This large, single-center cases series and case-control study evaluated cases with 9 presumed infectious endophthalmitis occurring after intravitreal anti-VEGF injection. 10 Overall, we detected 23 cases of endophthalmitis after 27,736 injections for an incidence 11 of 0.083%. All cases presented with pain, decreased visual acuity, and vitritis three to 12 four days after intravitreal anti-VEGF injection; most eyes had hypopyon. These features 13 did not help distinguish between culture-positive and culture-negative cases. Most cases 14 returned to baseline visual acuity within three to six months, though some suffered 15 significant visual loss. There were no modifiable risk factors for post-injection endophthalmitis, including the use of a bladed lid speculum, conjunctival displacement 16 17 with a sterile cotton tip applicator, superior versus inferior hemisphere of injection, and 18 the use of bevacizumab versus ranibizumab. 19 The reported rates of endophthalmitis after intravitreal anti-VEGF injection vary 20 between institutions, study designs, and definitions of endophthalmitis. Our rate is 21 consistent with other large prospective trials. The Minimally Classic/Occult Trial of the 22 Anti-VEGF Antibody Ranibizumab in the Treatment of Neovascular AMD (MARINA) study reported an endophthalmitis incidence of 0.05% (5 cases per 10,443 injections)<sup>11</sup>, 23 24 identical to the rate reported in the Anti-VEGF Antibody for the Treatment of Predominantly Classic Choroidal Neovascularization in AMD (ANCHOR) study<sup>12, 13</sup> (3 25 cases per 5,921 injections). However, 14 patients in the MARINA trial and 10 patients in 26 27 the ANCHOR trial experienced 2+ to 4+ inflammation on slit-lamp examination and 28 were not treated for presumed endophthalmitis. In contrast, at our institution, nearly all 29 patients who develop vitritis, or who develop significant anterior chamber cellular 30 reaction, would be given intravitreal antibiotics. Including these untreated patients, the

31 clinically presumed endophthalmitis rate increases to 0.18% in the MARINA trial and

32 0.22% in the ANCHOR trial. It is possible that our study includes eyes with post-

injection inflammation that would have been observed in the MARINA and ANCHORtrials.

Endophthalmitis rates in retrospective studies vary tremendously. Fintak and 35 colleagues<sup>14</sup> identified cases of endophthalmitis from billing records at four institutions, 36 37 reporting a rate of 0.02% (6 of 26,905 injections). All injecting physicians used a lid speculum and 5% to 10% topical povidone-iodine drops to disinfect the ocular surface; 38 39 some physicians used 10% povidone-iodine soaked swabs to clean the eyelid skin, 40 eyelashes, and lid margin. Pilli and colleagues<sup>8</sup> also reported a similarly low rate of postinjection endophthalmitis in an office setting (0.029%, 3 of 10.254 injections). In this 41 42 study, the authors retrospectively collected endophthalmitis cases by reviewing case 43 notes and from conversations with referral sources and other vitreoretinal groups in the 44 area. Patients were prepped with 5% povidone-iodine drops. A lid speculum was used 45 based on the surgeon's discretion. In both of these studies, the retrospective study design

46 could have missed endophthalmitis cases, underestimating the incidence of this rare

1 complication. At the other end of the spectrum, Fong and colleagues<sup>15</sup> reported a 10-fold

2 higher rate of endophthalmitis in a retrospective study of intravitreal bevacizumab and

ranbizumab injections (0.26%, 4 of 1,553 total injections), collecting cases from an AMD
 registry amassed from injection logs. Details were not given regarding the injection

5 technique.

6 Non-infectious endophthalmitis, or uveitis, has been reported after intravitreal 7 anti-VEGF injection, particularly after bevacizumab injection<sup>9, 10, 16, 17</sup>. In our study,

8 however, the proportion of culture-negative—and possibly non-infectious—

9 endophthalmitis cases was similar after bevacizumab and ranibizumab injections. 10 Prior studies have offered clinical criteria to distinguish between culture-positive and culture-negative endophthalmitis. Ness and colleagues<sup>9</sup> reported 10 cases of uveitis, 11 12 termed toxic vitritis, after bevacizumab injection. They felt the timing and severity of 13 pain helped distinguish it from infectious endophthalmitis. All toxic vitritis cases presented within 48 hours with mild to no pain. A hypopyon was not a distinguishing 14 15 feature; six cases of toxic vitritis presented with hypopyon. The authors attributed these 16 cases to a toxic reaction from the brand of syringe used for injection. Georgopoulos and colleagues<sup>10</sup> reported eight cases of non-infectious endophthalmitis after bevacizumab. 17 All cases presented within two days of injection without hypopyon. Only one patient had 18 19 pain. Mezad-Koursh and colleagues found that later presentation, pain, keratic 20 precipitates, fibrin, hypopyon, and anterior synechiae were more typical of culture

21 positive endophthalmitis<sup>18</sup>.

22 In contrast, our study suggests that one cannot clinically distinguish between 23 culture-positive and culture-negative endophthalmitis after anti-VEGF injection. All 24 cases in our series had pain, decreased vision, and vitritis. Both culture-positive and 25 culture-negative cases presented an average of three to four days after injection. Most 26 patients in both groups had a hypopyon. Anecdotally, one case of endophthalmitis due to 27 Streptococcus viridans with a final visual acuity of no light perception initially presented 28 two days after injection with 3+ cell and no hypopyon. Another patient presented with 29 sequential hypopyon endophthalmitis after bevacizumab. The first episode resolved to 30 baseline visual acuity six weeks after tap and inject. The second episode did not improve 31 with hourly topical prednisolone acetate, and required tap and inject to control the 32 inflammation; the vision never returned to baseline visual acuity at six months. We 33 suggest that presumed infectious endophthalmitis should be considered in all instances 34 with post-injection inflammation in the vitreous cavity greater than 1+ cell, and strong 35 consideration should be given to treating these cases with emergent tap and injection of 36 intravitreal antibiotics. 37 Although most cases with endophthalmitis after intravitreal anti-VEGF injection

38 returned to baseline visual acuity within three to six months, 17% lost more than two 39 lines at final follow-up. These outcomes are similar to those reported by Klein and

lines at final follow-up. These outcomes are similar to those reported by Klein and
 colleagues<sup>6</sup>, and worse than those in other smaller studies<sup>8, 19</sup>. There was no significant

41 difference in rates of visual recovery between culture-positive and culture-negative cases.

42 Only a small percentage of cases (17%) required pars plana vitrectomy.

43 Several authors have emphasized the role of specific aspects of prepping
 44 technique to prevent endophthalmitis after intravitreal injection. The only proven
 45 endophthalmitis prophylaxis remains topical povidone-iodine to sterilize the ocular

46 surface  $^{20, 21}$ . It is important to sterilize the ocular surface with povidone-iodine before

applying a viscous anesthetic; viscous gel can form a barrier preventing povidone-iodine 1 from coming in contact with conjunctival bacteria<sup>22, 23</sup>. Further, physicians and patients 2

3

should avoid talking, coughing, and sneezing during anti-VEGF injection administration to prevent contamination with oral flora<sup>24, 25</sup>. Streptococcus species isolates, bacteria 4

commonly found in oral flora and isolated in two of our cases, occur three to four times 5

6 more frequent in endophthalmitis after intravitreal injection than after intraocular

surgery<sup>24, 25</sup>. 7

The VEGF Inhibition Study in Ocular Neovascularization (VISION) trial<sup>26</sup> 8 9 investigators felt the risk of post-injection endophthalmitis could be modified by 10 vigilance to an aseptic injection technique. Their initial endophthalmitis rate was 0.18%11 per injection (13 cases in 7,171 injections). After amending the injection protocol to 12 include a sterile drape and an additional pre-injection antibiotic or povidone-iodine flush, 13 rates decreased to 0.04% (2 of 4,465) at centers adopting the amended protocol. They attributed 75% of cases (9 of 12) to the failure of using a lid speculum. Many authors 14 recommend use of a bladed lid speculum<sup>27-30</sup>, though this recommendation is based on 15 the theoretical benefit of covering the evelashes and evelids from touching the needles 16 and injection site, and not on empiric evidence. Others argue that insertion of a lid 17 18 speculum can massage secretions from meibomian glands, thus contaminating the ocular surface<sup>30</sup>. Mason and colleagues<sup>31</sup> recently reported in a prospective masked randomized 19 trial of 174 patients undergoing intravitreal injection that lid speculum use did not result 20 21 in an increase in conjunctival bacterial counts (paired t-test, p=0.9455). Our study found 22 no difference in endophthalmitis rates when comparing injections administered with and 23 without a bladed lid speculum. All of the studies to date, including ours with a relatively 24 large sample size, are underpowered to detect smaller differences in the rate of 25 endophthalmitis due to the low incidence of endophthalmitis. Over 100,000 injections 26 would need to be administered in order to find a difference in endophthalmitis rate of 27 0.05% and 0.10%. 28 There is some debate as to the whether hemisphere or quadrant of injection affects 29 endophthalmitis rates. Superior hemisphere injections tend to be covered by the upper 30 eyelid, away from a potentially contaminated lid margin and meibomian glands. 31 Additionally, this location allows masking of incidental subconjunctival hemorrhage by 32 the upper eyelid. The disadvantage of superior hemisphere injections is the difficulty of 33 administering the injection when patients attempt to squeeze their eyes with resultant 34 Bell's reflex and supraduction. Those who inject in the inferior hemisphere often find

35 good exposure. Further, the upward gaze required by inferior hemisphere injection thins

the inferior tear film, theoretically decreasing the concentration of bacteria<sup>8</sup>. On the other 36

37 hand, other ocular surgeries, such as inferiorly placed trabeculectomies, carry an

increased risk of endophthalmitis compared to those placed superiorly<sup>32, 33</sup>, a finding attributed to the bacteria-rich tear film<sup>34</sup>. Roth and colleagues<sup>35</sup> reported a greater risk of 38

39 40 endophthalmitis after inferior hemisphere injection compared to those in the superior

41 hemisphere among 10,834 consecutive injections. Our study found no difference in

42 endophthalmitis risk between superior and inferior hemisphere injections, suggesting

43 either hemisphere is acceptable.

44 Some vitreoretinal specialists displace the conjunctiva with a sterile cotton tip 45 applicator when injecting through the pars plana in an effort to avoid a straight tract for bacteria to enter through the conjunctiva and sclera into the vitreous cavity<sup>36</sup>. Others 46

argue it is best to minimize manipulation of the ocular surface to decrease risk of
 potential contamination. In our study, there was no difference in endophthalmitis risk

3 between those who do and do not displace conjunctiva while injecting.

4 There was no difference in endophthalmitis risk after bevacizumab or 5 ranibizumab injection in our study, similar to the findings of other studies<sup>6, 8</sup>. Given the 6 wide confidence intervals, however, we cannot draw strong conclusions from this result.

Wide confidence intervals, nowever, we cannot draw strong conclusions from this result.
 Our study has several limitations. Although we identified and recorded
 endophthalmitis cases prospectively with an infection surveillance program, a method we

9 feel is more accurate than retrospective identification, it is possible that we

10 underestimated risk of endophthalmitis. We retrospectively reviewed charts at the end of

11 the surveillance period, which could have introduced certain biases and inaccuracies. For

example, our study utilized Snellen acuity, which is not as accurate as best-correctedvisual acuity. Also, we were unable to assess other relevant risk factors, such as degree of

blepharitis, because this was not systematically documented in the charts. Our culture-

15 positivity rate of 30.4% was lower compared to other studies. For example, the

16 Endophthalmitis Vitrectomy Study  $(EVS)^{37}$  reported that 66% of cases (138 of 202)

17 undergoing tap and inject for endophthalmitis after cataract surgery were confirmed

18 culture-positive. Their higher rate of culture-positivity may be related to their

19 methodology; they collected vitreous samples by either single port vitrectomy or needle

aspiration whereas we only used needle apiration. In our study, nine of 23 cases had an

21 unsuccessful vitreous biopsy and thus had aqueous biopsy alone, and in the EVS,

22 aqueous biopsy was associated with a lower confirmed laboratory infection rate (26.9%)23 compared to undiluted vitreous  $(58.9\%)^{38}$ .

Another possible reason our culture-positivity rate was low could be that we included cases of presumed non-infectious endophthalmitis. Intraocular inflammation is a known possible sequeale of intravitreal anti-VEGF injection<sup>10, 39</sup>. Our standard practice is to administer intravitreal antibiotics whenever the examing physician feels that the case is more likely then non-infectious endophthalmitis.

Because of the low incidence of endophthalmitis, our risk factor analysis is underpowered to find small differences. It is possible that our risk factor results are subject to misclassification bias if the injecting vitreoretinal specialists deviated from their preferred injection technique during some injections. Further, there may have been undocumented variations in prepping technique in cases developing endophthalmitis.

34 In summary, the risk of endophthalmitis after intravitreal anti-VEGF injection is 35 low. The accuracy of reported rates in the literature, in part, depends on individual study 36 designs and the study's definition of "endophthalmitis". Visual outcomes are good for 37 most cases, with 83% to baseline visual acuity within three to six months. However, a 38 subgroup of infected eyes will have devastating visual outcomes. The presence or 39 absence of pain, vitritis, decreased vision, or hypopyon, and the interval between 40 injection and presentation, does not help distinguish culture-positive from culture-41 negative cases. Thus, we recommend vitreoretinal specialists have a low threshold to perform emergent tap and injection of intravitreal antibiotics. This study did not identify 42

43 any modifiable risk factors to prevent endophthalmitis. The incidence endophthalmitis

44 does not appear to be affected by use of a lid speculum, conjunctival displacement,

45 hemisphere of injection, or use bevacizumab or ranbizumab.

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