

1 **Molecular analysis distinguishes metastatic disease from**  
2 **second cancers in patients with retinoblastoma**

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16 **Abstract**

17 The pediatric ocular tumor retinoblastoma readily metastasizes, but these lesions can masquerade  
18 as histologically similar pediatric small round blue cell tumors. Since 98% of retinoblastomas  
19 have *RBI* mutations and a characteristic genomic copy number “signature”, genetic analysis is an  
20 appealing adjunct to histopathology to distinguish retinoblastoma metastasis from second  
21 primary cancer in retinoblastoma patients. Here, we describe such an approach in two  
22 retinoblastoma cases. In patient one, allele-specific (AS)-PCR for a somatic nonsense mutation  
23 confirmed that a temple mass was metastatic retinoblastoma. In a second patient, a rib mass  
24 shared somatic copy number gains and losses with the primary tumor. For definitive diagnosis,  
25 however, an *RBI* mutation was needed, but heterozygous promoter→exon 11 deletion was the  
26 only *RBI* mutation detected in the primary tumor. We used a novel application of inverse PCR to  
27 identify the deletion breakpoint. Subsequently, AS-PCR designed for the breakpoint confirmed  
28 that the rib mass was metastatic retinoblastoma. These cases demonstrate that personalized  
29 molecular testing can confirm retinoblastoma metastases and rule out a second primary cancer,  
30 thereby helping to direct the clinical management.

31 **Keywords**

32 retinoblastoma; metastasis; mutation detection; inverse PCR; differential diagnosis; second  
33 primary tumor

34 **Introduction**

35 Retinoblastoma is the most common pediatric eye cancer with an incidence of 1/16000 to  
36 18000 worldwide [1]. Retinoblastoma results from biallelic mutation of the *RB1* gene  
37 (OMIM:180200), with a rare exception [2]. One *RB1* mutation is germline and heritable in  
38 50% of patients [3]. Thousands of somatic and germline mutations have been identified in  
39 *RB1* in retinoblastoma tumors and patients, ranging from single nucleotide alterations to  
40 large chromosomal deletions (<http://rb1-ldb.d-lohmann.de>).

41 When retinoblastoma is diagnosed early, >95% of cases are effectively treated [4]. However,  
42 some patients (2%) develop metastases [5, 6]. Retinoblastoma can invade optic nerve, sclera,  
43 uvea, extend extraocularly into orbit and brain, and/or metastasize through blood, especially to  
44 bone marrow [7, 8]. Survival from metastatic retinoblastoma is poor.

45 In addition to risk for metastasis, patients with heritable retinoblastoma also have increased risk  
46 of developing second primary cancers, particularly if treated with external beam radiation [9,  
47 10]. These include soft tissue sarcomas, osteosarcoma, glioblastoma, melanoma, and brain  
48 tumors [11].

49 Distinguishing between metastatic disease and secondary cancer can be difficult in young  
50 retinoblastoma patients [12]. Metastatic retinoblastoma may have cytomorphologic features that  
51 overlap with other small round blue cell tumors, such as rhabdomyosarcoma, lymphoma, or  
52 nephroblastoma [13]. Making this distinction is important as the clinical management for  
53 metastatic retinoblastoma differs from the management of other cancers. Here, we demonstrate  
54 the utility of molecular testing for diagnosis of retinoblastoma metastases.

55 **Materials and methods**

56 *RBI Mutation Detection*

57 *RBI* mutations in eye tumors were identified by sequencing, AS-PCR for recurrent mutations (as  
58 seen in Patient A), and/or quantitative multiplex PCR (QM-PCR) for *RBI* and copy number of  
59 genes characteristic of retinoblastoma. These techniques were performed as previously described  
60 [14-16].

61 *aCGH*

62 Tumor DNA of Patient B was extracted from ten 25  $\mu$ m rib tumor tissue sections, using the  
63 QIAamp DNA FFPE Tissue kit (Qiagen, Valencia, CA, USA). Array comparative genomic  
64 hybridization (aCGH) was performed on this DNA hybridized with same-sex normal reference  
65 DNA (Kreatech, Amsterdam, Netherlands), using the CytoSure ISCA 8x60K v2.0 array platform  
66 (Oxford Gene Technology, Tarrytown, NY, USA), followed by data analysis with CytoSure  
67 Interpret software v4.7.13. All nucleotide coordinates are based on the GRCH37/hg19  
68 assemblies.

69 *Inverse PCR*

70 By examination of the QM-PCR and aCGH results, Patient B's breakpoint was determined to lie  
71 between the exon 11 QM-PCR primers and the right flanking, 2-copy aCGH probe, at  
72 g.48942813 and g.48945286, respectively. This corresponds to positions g.69931 and g.72404 of  
73 *RBI* (GenBank accession number NG\_009009.1). *Eco* RI was chosen for restriction digestion as  
74 it does not cut within this normal sequence and 2 kbp upstream. Thus, fragments <5 kbp would  
75 not be found in normal DNA.

76 Tumor or normal DNA (1 µg) was digested with *Eco* RI, 3 h, 37°C, then 450 ng was self-ligated  
77 in a 450 µL reaction volume with T4 DNA ligase, 16°C overnight. After clean up, 100 ng of  
78 ligated DNA or unligated control DNA were used in a 50 µL PCR reaction containing KOD  
79 buffer, 0.5 µL KOD polymerase, 200 µM dNTPs, 2 mM MgSO<sub>4</sub>, 1.25 M Betaine, and 1 µM  
80 each primer. Inverse PCR primers were chosen in the normal sequence just downstream of the  
81 putative deletion region: F (72763-72784) CAACGATAGTGGTGGGAATGAA, R (72645-  
82 72665) CTCAGTGGGAATGGGACACAAA. The PCR protocol was 95°C 2 min, then 35 cycles  
83 of 95°C 20 s, 58°C 10 s, 70°C 2 min, then 10 min at 70°C. Samples were analyzed by agarose  
84 gel electrophoresis and excised bands cycle sequenced using the same PCR primers (GenScript,  
85 Piscataway, NJ, USA).

86 To confirm specificity, nested PCR was performed using similar conditions, with 1 µL of the  
87 first round PCR reaction as template and primers F (72773)  
88 GGTGGGAATGAAGGAACAATAAC, R (72565) GGTTAAGAACCACTGAGACAGAC.

### 89 *Patient-specific AS-PCR*

90 AS-PCR primers unique to Patient B's deletion were designed and optimized using methods  
91 previously described [17]. Specific conditions included 33 cycles, an annealing temperature of  
92 55°C, and primers F CATCAAGACGCCAAATCTCTG, R TAATCGAACCTAAGAGGTGTC.

## 93 **Results**

### 94 *Patient A: Temple Tumor*

95 A 19 month old female presented with unilateral retinoblastoma (Group D, diffuse seeding of  
96 tumor below retina or into vitreous, International Intraocular Retinoblastoma Classification

97 [IIRC] [18]). The eye was enucleated and histopathology was interpreted to be pT2b (tumor  
98 superficially invades optic nerve head but does not extend past lamina cribrosa and exhibits focal  
99 choroidal invasion [19]), with no high risk features such as “massive” choroidal invasion (which  
100 would be pT3) (Figure 1A). Genetic analysis revealed a germline c.62delC (p.Pro21ArgfsTer43)  
101 *RBI* mutation, and a somatic c.763C>T (p.Arg255Ter) mutation. A temple mass appeared four  
102 months later and was biopsied. Multiple CNS and bone marrow masses were then discovered on  
103 imaging (Figure 1B). Although location and histology of the temple mass was suggestive of  
104 metastatic retinoblastoma (Figure 1C), molecular analysis was employed for confirmation. AS-  
105 PCR enabled confirmation of the somatic mutation in the mass (Figure 1D). Re-review of the  
106 pathology and serial sections of the whole eye revealed a focus of tumor within a scleral blood  
107 vessel (Figure 1A), which still would not be designated “high risk” according to the 2010 AJCC  
108 Cancer Staging Manual [19], where sclera is not mentioned. However, tumor invasion into the  
109 sclera has been suggested to indicate high risk [20]. With retinoblastoma metastasis confirmed,  
110 high dose systemic chemotherapy followed by autologous bone marrow transplant (BMT) was  
111 performed but with poor response. The child was started on palliation and died 25 months after  
112 initial diagnosis.

### 113 *Patient B: Chest Wall Tumor*

114 A 24 month old male presented with unilateral retinoblastoma (IIRC, group D [18]). The eye was  
115 enucleated, and histopathology revealed no high risk features (pT2a, focal choroidal invasion  
116 [19]) (Figure 2A, B). Our standard *RBI* mutation detection workflow [14] identified a deletion,  
117 promoter→exon 11, in the primary tumor. No second, tumor-specific *RBI* mutation was found,  
118 nor any constitutive *RBI* mutation. The child was followed in clinic every three months. A year  
119 later the child experienced night pains and fever, initially misdiagnosed as Kawasaki’s disease

120 until a paravertebral mass (Figure 2C) was detected on MRI; fine needle aspiration cytology  
121 revealed a small round cell tumor (Figure 2D). The differential diagnosis included a second  
122 primary such as Ewing's sarcoma, or metastatic retinoblastoma, which was considered unlikely  
123 due to the absence of histopathological features indicating risk for metastases. Serial sections of  
124 the whole eye again confirmed pT2a with focal choroidal invasion, not considered to indicate  
125 high risk for metastasis.

126 Given the histopathologic uncertainty, we again employed molecular analysis to characterize this  
127 mass. We analyzed DNA from the rib mass and the primary tumor for the "hotspot" copy  
128 number change profile characteristic of retinoblastoma [16]. Both tumors shared the same pattern  
129 of common copy number changes of retinoblastoma (Figure 2E). Moreover, aCGH of rib mass  
130 DNA confirmed a pattern of genome-wide copy number changes consistent with those seen  
131 commonly in retinoblastoma (Figure 2F) [21]. This shared genomic "fingerprint" suggested that  
132 the rib mass and the primary tumor shared the same origin.

### 133 *Inverse PCR Identifies a Deletion Breakpoint*

134 To monitor this tumor, the identity of the unique deletion breakpoint was needed to enable AS-  
135 PCR. aCGH confirmed a deletion of  $\geq 238$  kbp spanning the 5' end of *RBI* in the primary tumor  
136 (Figure 2G). Due to wide spacing of aCGH oligonucleotide probes around the deletion, a higher-  
137 resolution approach was required to identify the precise deletion breakpoint. We turned to  
138 inverse PCR for this task. Based on the known, flanking two-copy QM-PCR primer and aCGH  
139 probe locations, we designed primers for inverse PCR (Figure 2H). These primers yielded a 2.6  
140 kbp band specific to tumor DNA that had undergone ligation (Figure 2I).

141 The 3' ends of both the 2.6 kbp inverse PCR product and a confirmatory 2.5 kbp nested PCR  
142 product (data not shown) contained sequence that mapped to the *RBI* gene, as far upstream as  
143 g.71606. However, the 5' ends of these PCR products mapped to sequence upstream of the  
144 *HNRNPAIL2* gene, confirming the breakpoint location. This gene lies ~4 Mbp telomeric of *RBI*,  
145 suggesting an unbalanced inversion. Using the breakpoint sequence, we designed primers that  
146 were specific for tumor DNA. This primer set could detect one part tumor DNA in 1000 parts  
147 normal DNA (Figure 2J), indicating a reasonably sensitive assay for minimal residual disease  
148 detection. The patient's rib mass and pre-treatment bone marrow were both strongly positive,  
149 while post-treatment bone marrow was negative (Figure 2J). With metastatic retinoblastoma  
150 diagnosis confirmed, the child received systemic chemotherapy followed by high dose  
151 chemotherapy with autologous BMT. The child remained in remission for 12 months, then brain  
152 and meningeal recurrences reappeared. The child died 18 months after presentation with  
153 metastasis, 30 months after initial retinoblastoma diagnosis.

## 154 **Discussion**

155 We describe two patients originally diagnosed with retinoblastoma who subsequently developed  
156 additional tumors. After inconclusive histology, to ascertain if these were metastases, we  
157 employed molecular genetic strategies, including a novel use of inverse PCR to develop an AS-  
158 PCR assay for the breakpoints of a large deletion.

159 In both cases, the *RBI* mutation originally found in the eye tumor was also present in the  
160 subsequent extraocular tumor, confirming that the disease was metastatic. In both cases,  
161 anatomic pathology failed to indicate risk of metastasis; both tumors behaved in an unusually  
162 aggressive manner that warrants further research. This report illustrates the value of innovative,



- 163 personalized molecular techniques in the differential diagnosis and management of metastatic  
164 retinoblastoma patients.

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169 **Conflict of Interest**

170 HR, DM, DR and BP are employees of Impact Genetics. BLG is an unpaid consultant to Impact  
171 Genetics. The other authors declare no conflict of interest.

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228

229 **Figure legends**

230 **Figure 1** Molecular confirmation of retinoblastoma metastatic to temple and humerus. (A) No  
231 features scored for high risk on pT2b eye pathology (H&E stained section of eye; blue box:  
232 retinal pigment epithelium upper right corner, with artifactual implantation of loose tumor  
233 between choroid and sclera; green box: tumor in a blood vessel in sclera; red box: tumor invasion  
234 of optic disc anterior to lamina cribrosa, yellow line). (B) Clinically apparent temporal mass  
235 (arrowhead) involving orbit and extradural space (arrow). (C) Histology of the temple mass  
236 invading muscle (H&E stained biopsy) is suggestive of retinoblastoma. (D) Agarose gel of AS-  
237 PCR product confirms the presence of the somatic *RBI* mutation in the temple mass, but not in  
238 the cerebrospinal fluid (CSF).

239 **Figure 2** Clinical features and molecular characterization of retinoblastoma metastatic to the  
240 ribs. (A) No features scored for high risk on pT2a eye pathology: green box shows small round  
241 blue cells; blue box shows intact retinal pigment epithelium and no invasion of sclera; (B)  
242 separate section of whole eye shows optic nerve dragged into the eye with no optic nerve  
243 invasion past cribriform plate. (C) MRI reveals a paravertebral mass (arrow). (D) Histology of  
244 paravertebral mass is inconclusive. (E) Quantitative multiplex PCR indicates gene gains and  
245 losses, common in retinoblastoma, shared between primary tumor and rib mass: three copies of  
246 *KIF14* (1q32) and *E2F3* (6p22), four copies of *DEK* (6p22), and one copy of *CDH11* (16q22),  
247 although *MYCN* (2p24; commonly gained) was two-copy. (F) Whole genome aCGH profile of  
248 the rib mass DNA confirms a retinoblastoma-like pattern of genomic gains and losses: large  
249 gains at chromosomes 1q, 6p, 9q, 13q and 17q, and large losses at chromosomes 1p, 13p and  
250 16q. (G) aCGH defines a partial deletion of the *RBI* gene: arr[hg19] 13q14.2(48703647-  
251 48941658)x1. (H) Inverse PCR strategy for sequencing the breakpoint. (I) Successful

252 amplification of an inverse PCR product. T, tumor DNA; N, normal blood DNA. (J) Agarose gel  
253 of AS-PCR product confirms the presence of this deletion in the rib mass and in bone marrow  
254 (BM) DNA prior to therapy, and absence on indicated days after therapy.