

Survey Instrument  
for  
Survey of IUPUI Faculty Attitudes About Scholarly Communication

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# Scholarly Communications

Please complete the survey below.

Thank you!

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**Thank you for responding to the invitation to participate in this survey of faculty attitudes and practices regarding scholarly publishing. The data collection is anonymous.**

## First, a few questions about you:

My faculty rank is:

- Full Professor or Full Librarian
- Associate Professor or Associate Librarian
- Assistant Professor or Assistant Librarian
- Clinical Faculty
- Lecturer
- Other

Other

\_\_\_\_\_

My current position:

- is not tenure track
- is tenure track
- is as a tenured professor/librarian

In which department is your primary university appointment?

\_\_\_\_\_

What is your discipline, defined as the discipline of your highest degree?

\_\_\_\_\_

In which of the following areas do you publish or disseminate your work at the present time? (Select all that apply.)

- Humanities
- Social sciences
- Life sciences
- Health or Medical sciences
- Mathematics or Computer science
- Physical sciences
- Engineering
- Law
- Management, Business or Finance
- Information sciences, Library science, or Museum studies
- Art, Architecture or Design
- Music
- Other

Other

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If your work is not well described in terms of these general categories please briefly describe your field.

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## YOUR CURRENT PRACTICES

**Practices and norms vary across disciplines. The next questions ask about the ways in which you disseminate your work.**

**Reflecting on the past 5 years, please indicate all the ways in which you have disseminated your work, and estimate the number of items in each category.**

	None	1-5 items	6-10 items	More than 10 items
Published a monograph	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Edited a collection of original material	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributed a chapter to an edited book	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published an article in a peer-reviewed journal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published a peer-reviewed conference paper in a conference proceedings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published a dataset in a journal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Published an item in a magazine or periodical read by members of the university community, e.g., Chronicle of Higher Education	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Presented my research in the media	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Created a scholarly website or blog	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Created a learning object or other multi-media resource that can be used and re-used	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Had work in an adjudicated competition, exhibition, or installation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Posted a journal article on a personal or departmental website	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Submitted a scholarly work to an institutional repository	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Submitted a scholarly work to a disciplinary repository	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gave a formal presentation at a conference	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Was invited to give a guest lecture, or keynote a conference	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment on your dissemination practices, if desired:

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What is the norm in terms of authorship when you publish or disseminate your work?

- The majority of my publications/works are co-authored
- Some of my publications/works are co-authored
- None of my publications/works are co-authored
- Other (please specify):  
(Select one)

Other (please specify):

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**When considering where to submit your work for publication, how important to you are each of the following factors?**

	Very important	Important	Not important
Reputation of the book or journal publisher	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reputation of the journal title	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Being able to submit my manuscript online	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality of the peer review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Weight of the publication venue in tenure and promotion considerations in my department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Readership or audience	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Journal impact factor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Speed of publication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A paper issue or print volume is produced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
A digital version is available	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The full-text is accessible online to anyone who finds it	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My ability to retain some of the rights (i.e. copyright)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The ability to self-archive my work (i.e., to upload to a personal or institutional website)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment on your publishing choices, if desired:

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**To what extent do you agree or disagree that the existing tenure, merit and promotion processes in your department or faculty ...**

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
lead me to publish in print publications, rather than electronic-only forms of dissemination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
cause me to forego using alternative forms of dissemination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
encourage new forms of high-quality (peer-reviewed) scholarly communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
are keeping up with the evolution of scholarly communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on promotion and tenure processes in your department or faculty:

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With new forms of digital production emerging, are scholars in your field re-evaluating what constitutes a research contribution for purposes of tenure, merit and promotion? (Examples might include: the contribution of executable code to a collaborative database in genomics, the design of a serious game in education, a digital interactive model of a historic site, etc.)

- Yes, this is already happening
- Yes, we are talking about it
- I don't know
- No

Please comment, if desired, on changes in promotion and tenure related to digital scholarship:

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**SCHOLARLY PUBLISHING****Including Copyright and Peer Review**

How do you approach the copyright terms in your publication contracts?

- I don't examine the copyright terms of the contract -- I just sign it as is.
- I examine the copyright terms of the contract and usually sign it as is.
- I modify the copyright terms of the contract before signing.

In what ways have you modified the terms in your contracts with publishers?

- I have replaced the publisher's contractual terms with my own.
  - I have attached an addendum such as the CIC Addendum or the SPARC Author Addendum.
  - I have replaced the entire agreement with one of my choosing.
- (Select all that apply)

Please comment, if desired, on the practice of modifying publishing contracts:

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Are there instances in which you have refused to sign a publication contract because of concern about the copyright terms, thereby foregoing the opportunity to publish in that journal?

- Yes
- No

Please comment, if desired, on your decisions regarding refusals to sign a publication contract:

\_\_\_\_\_

In cases where you would prefer to retain some rights but do not negotiate with publishers to do so, what is the most important factor that prevents you from doing this?

- It's too much trouble to negotiate with the publisher.
- I don't have the knowledge to negotiate.
- I need to publish in the journal to get tenure, merit increases, or promotion and I am concerned that raising this issue could lead the publisher to refuse to publish my work.
- I haven't thought about this issue.
- Other (please specify)

Other factors relevant to decisions to not negotiate with publishers:

\_\_\_\_\_

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**To what extent do you agree or disagree that scholars' management of copyright is ...**

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
an important factor in the evolution of scholarly communication?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
an important factor in my own scholarly publishing?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
a topic colleagues need to discuss?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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**Are you concerned that transferring copyright to a publisher may limit your ability to ...**

	Concerned	Not concerned	I haven't thought about it
put the materials on a departmental website or in an institutional repository?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
use the materials in a class that you or others are teaching without asking for permission from the publisher?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
make the materials available for course management systems (such as Oncourse) without asking for permission from the publisher?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
use or submit the materials to an anthology?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
create a derivative work based on the material, e.g., a multi-media work?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, if you have other concerns about how transferring copyright limits the use of your work:

What factors would help you the most in negotiating or modifying the copyright terms of a publication contract? (Select up to three.)

- 
- If I had precise instructions and examples of how to do it.
  - If I had the advice and support of my institution.
  - If I had the advice and support of my funder.
  - If I knew I would not be penalized for refusing to sign the standard contract.
  - Other (please specify):

Other (please specify):

Which of the following activities would you be willing to undertake? (Select all that apply.)

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- I would be willing to encourage the publication boards of the societies/associations to which I belong to make their copyright policy more author-friendly.
  - I would be willing to encourage the societies/associations to which I belong to seek alternative sources of revenue, rather than relying on subscription fees to support society activities.
  - Before signing a publishing contract, I would be willing to strikeout and modify its language to change the contract from granting "exclusive" rights to the publisher to granting "non-exclusive" rights to the publisher.
  - I would be willing to submit my scholarly output solely to publishers who require only the right of first publication and no other right.
  - I would be willing to encourage publishers to experiment with business models in order to reduce or eliminate barriers, including subscription costs, to readers.
  - None of the above.
  - Other (please specify):

Other activities:

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**To what extent do you agree or disagree with the following?**

	Strongly Agree	Agree	Disagree	Strongly Disagree	I don't know
I am happy to be a reviewer for journals/conferences or presses that I value.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Serving as a reviewer is part of my responsibility as a member of the community.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
I am receiving too many requests to review papers today.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are too many graduate students doing peer review in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The traditional peer review process is working well for me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open peer review systems where both authors and reviewers are identified result in high quality peer reviews in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are serious problems with the peer review system today.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the state of peer review:

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Are you aware of any experiments underway in your discipline/field that explore questions of peer review?

- Yes
- No

If yes, could you please describe these experiments briefly?

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## OPEN ACCESS REPOSITORIES, POLICIES AND MANDATES

**With widespread access to digital publishing and communication technologies and to the internet, alternative ways to disseminate scholarship are emerging. The next questions have to do with open access.**

**"Open access (OA) literature is digital, online, free of charge, and free of most copyright and licensing restrictions. .... OA is entirely compatible with peer review." - Peter Suber, A Very Brief Introduction to Open Access**

Have you heard of Open Access (OA)?

- Yes  
 No

Have you made any of your publications available on an open access basis?

- Yes, through self-archiving (i.e., posting to a website or submitting to an institutional or discipline repository)  
 Yes, through publishing in an OA journal  
 Yes, both through self-archiving and publishing in OA journals  
 No

If no, why not?

\_\_\_\_\_

Are you aware of whether there are open access (OA) journals being published in your field?

- Yes  
 No

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**To what extent do you agree or disagree with the following statements?**

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
Open access will dramatically change scholarly communications in my discipline or field in the next two years.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Making my work openly accessible to everyone with access to the internet - not only to those whose universities can afford the licensing fees - is a benefit to me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access is likely to lead to an increase in the number of citations to my work.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The principle of free access for all to the results of publicly funded research is important to me.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access threatens commercial publishers in my field.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open access threatens the survival of my scholarly society(ies).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Library subscriptions are a critical source of revenue for scholarly societies and associations.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the role and impact of open access:

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A repository is a digital collection of publicly accessible research outputs from an institution, discipline or community. Have you heard of IUPUI's research repository, IUPUIScholarWorks?

- Yes
- No

Is any of your work in IUPUIScholarWorks?

- Yes
- No
- I don't know

What does or would motivate you to have your scholarly works included in IUPUIScholarWorks? (Select up to three reasons.)

- If it increases exposure of my previously-published work (e.g., post-prints).
- If it provides exposure for work not previously published (e.g., seminar papers, working papers).
- If it broadens the dissemination of academic research generally.
- If it is the norm in my academic department/faculty/unit.
- If it increases an academic institution's ability to negotiate with commercial publishers.
- If it increases my own commercial publishing opportunities.
- If it increases my promotion and tenure prospects.
- Other (please specify):  
(Select up to three reasons.)

Other (please specify):

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Have you ever come across citations to items in IUPUIScholarWorks in the process of doing your research?

- Yes
- No

Harvard University, MIT, Stanford University, University of Kansas and faculty at more than 100 other U.S. institutions have passed mandates or policies for faculty to routinely grant a limited, non-exclusive license to place their scholarly publications in a non-commercial publicly-accessible online repository. To what extent are you aware of these types of mandates and policies? (Select one.)

- Not aware
- Aware, but don't know much about them
- Knowledgeable
- Am actively involved in this issue

Should IUPUI faculty consider implementing a similar policy?

- Strongly agree
- Agree
- Disagree
- Strongly disagree
- I don't know

Why or why not? \_\_\_\_\_

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## COSTS

**The following questions address the issue of costs associated with scholarly communications.**

Have you ever paid page charges, processing fees or other charges, e.g., for illustrations, to have your peer-reviewed article or book published?

- Yes
- No

Where do you get the funds to pay these charges? (Select all that apply)

- From my research grant
- My department/faculty pays these charges
- I pay them myself
- Other (please specify):

Other (please specify): \_\_\_\_\_

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**Scholarly communication costs money. There will be costs associated with every option. To what extent do you agree or disagree with the following statements about scholarly publishing?**

	Strongly agree	Agree	Disagree	Strongly disagree	I don't know
There are new opportunities in the digital era to simultaneously reduce costs and increase access to the scholarly literature.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is need for greater transparency in terms of the costs of publishing and disseminating scholarly books and journals.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
New business models for scholarly communication should be explored.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Scholars need to play a greater role in shaping the future of scholarly communications.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is need to reform the scholarly communications system.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please comment, if desired, on the costs of scholarly communications:

\_\_\_\_\_

Who do you think should be responsible for the costs associated with maintaining the scholarly communication system? (Select all that apply)

- Publishers
- Those who fund the research
- The university should establish a special fund
- The university library through institutional subscriptions and licensing agreements (i.e., current model)
- Your department/faculty
- You, the author
- You, the reader
- Other (please specify):

Other (please specify):

\_\_\_\_\_

Additional comments on who should be responsible for the costs of scholarly communications:

\_\_\_\_\_

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**FINAL QUESTIONS**

**IUPUI offers many different dissemination services to the academic community. Which of the statements below best characterizes your level of awareness about each of these services?**

	I haven't heard of this	I've heard of this, but I'm not involved	I'm actively involved in this
Digitizing and providing online access to historical and cultural collections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Depositing Electronic Theses & Dissertations (ETDs)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Journal publishing software, e.g., OJS: Open Journal System	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Hosting and archiving services for audio/visual materials	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mediated deposit of faculty publications in the institutional repository, IUPUIScholarWorks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Data management planning and data sharing support	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal public access policy support and consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Digital humanities support and consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Author's rights and copyright consultations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Which of the following would be the most effective sources for keeping you updated about changes in scholarly communication? (Select up to three.)

- JagNews
- Departmental and faculty meetings
- Higher education press (e.g., Chronicle of Higher Education)
- Campus library/librarians
- Discipline-specific literature
- Ad hoc conversation with colleagues
- Academic conferences
- Other (please specify):  
(Select up to three.)

Other (please specify): \_\_\_\_\_

Overall, how would you characterize the general state of the scholarly communications system in the fields in which you primarily disseminate your research?

- We are experimenting with a number of new ideas and forms
- There is considerable resistance to change
- The system works fine as it is
- Other (please specify): \_\_\_\_\_

Other (please specify): \_\_\_\_\_

Please add any comments about this survey or the issues it raises.  
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Thank you for completing this survey! For more about IUPUI's Digital Scholarship services, please visit:  
<http://www.ulib.iupui.edu/digitalscholarship>