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1 **RESEARCH ARTICLE**

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3 **Performance of the MODIS FLH algorithm in estuarine waters: A multi-year (2003-2010)**
4 **analysis from Tampa Bay, Florida (USA).**

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6 Max J. Moreno-Madriñán ^{a,*} Andrew M. Fischer ^{b,1},

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8 ^a *Department of Environmental Health Science, Richard M. Fairbanks School of Public Health,*
9 *Indiana University, 714 N. Senate Ave., Indianapolis, IN 46202*

10 ^b *National Centre for Marine Conservation and Resource Sustainability, University of Tasmania,*
11 *Locked Bag 1370, Launceston, Tasmania, 7250, Australia*

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16 Although satellite technology promises great usefulness for consistent monitoring of chlorophyll- α
17 concentration in estuarine and coastal waters, the complex optical properties commonly found in these
18 types of waters seriously challenge the application of this technology. Blue-green ratio algorithms are
19 susceptible to interference from water constituents, different to phytoplankton, that dominate the
20 remote sensing signal. Alternatively, modelling and laboratory studies have not shown a decisive
21 position on the use of near-infrared (NIR) algorithms based on the sun induced chlorophyll
22 fluorescence signal. In an analysis of a multi-year (2003-2010) *in situ* monitoring data set from
23 Tampa Bay, Florida (USA), as a case, this study assess the relationship between the fluorescence line
24 height (FLH) product from the Moderate Resolution Imaging Spectrometer (MODIS) and
25 chlorophyll- α .

26 The determination coefficient (r^2) at individual sites ranged between 0.67 ($n = 28$, $p < 0.01$) and
27 no relationship. Overall, there was no good relationship between *in situ* chlorophyll- α and the FLH
28 product ($r^2 = 0.20$, $n=507$). Nevertheless, the low determination coefficient obtained was still eight
29 times higher than that between *in situ* chlorophyll- α and OC3M, the standard product traditionally
30 used to estimate chlorophyll- α in ocean waters, which is based on the blue-green section of the
31 spectrum. A better relationship of $r^2=0.4$ ($n=93$) was obtained by using only sites located at least 5
32 km from shore and bridges and with depths ≥ 3.2 m. Although these results from Tampa bay did not
33 demonstrate a consistent spatial applicability of MODIS FLH on estuarine waters, a few good
34 determination coefficients found in particular sites ($r^2 = 0.67$, 0.64 and 0.49; $n = 28$, 11 and 13,
35 respectively) show that good relationships can be achieved.

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38 **1. Introduction**

39 Monitoring and assessment of water quality is critical for managing and improving the environment.
40 Water quality monitoring requires timely and accurate data at regular intervals over sustained periods
41 to adequately understand processes, phenomena and characteristics for regional and local water
42 quality monitoring and management. A major factor integral to monitoring coastal water quality is
43 phytoplankton concentration (Willen, 2007; Stoermer, 1978) and satellite imagery has proven to be a
44 more cost effective method to provide comprehensive spatial and temporal coverage and to detect
45 trends at a variety of geographic scales and time periods.

46 Several studies have proposed the use of the near-infrared (NIR) bands for estimating
47 chlorophyll- α over the tradition blue green ration algorithms (Aiken et al., 1995; Brown et al., 2008;
48 Evans and Gordon, 1994; McClain et al., 2004; O'Reily et al., 2000; Carder and Steward, 1985;

*Previous affiliation: NASA Postdoctoral Program fellowship, SERVIR and the Global Hydrology
and Climate Center, MSFC/NASA 320 Sparkman Drive, Huntsville, AL 35805, USA

¹ Corresponding author. E-mail: andy.fischer@utas.edu.au.

49 Carder et al., 1999) due to the limitations of the blue-green algorithms when used to retrieve
50 chlorophyll- α (Gitelson et al., 2007, Gons, 1999; Morel and Prieur, 1977). The advantages of using
51 the NIR wavelengths are largely based on the assumption that no water constituents other than
52 chlorophyll fluoresces at 683nm, so the signal at that wavelength shouldn't be affected by the presence
53 of other optically active constituents, and the effect of those constituents on the water-leaving
54 radiance can be neglected or considered only as small correction terms (Ruddick et al., 2006, Gitelson
55 et al., 2009; Gitelson, 1992; Gons, 1999). This is in contrast to the visible wavelengths where it may
56 be necessary to consider the absorption properties of dissolved organic matter and suspended
57 particulate matter in addition to phytoplankton. Also addressing these limitations, algorithms to
58 estimate chlorophyll- α in Tampa Bay have been recently developed using a band ratio of red-to-green
59 Le et al, (2013a, 2013b, 2013c).

60 Complex processing techniques may limit the applicability of remote sensing data to specialized
61 personnel, not commonly at the disposition of local management programs in many coastal areas of
62 the world. The possibility of having friendly use remote sensing products would be highly desirable,
63 consequently potential products using wavelengths less susceptible to interference caused by common
64 constituents of coastal waters would be ideal. The FLH algorithm, which utilizes the NIR bands, is a
65 product which can be derived from the Moderate Resolution Imaging Spectrometer (MODIS). The
66 MODIS sensors are equipped with several bands that are specifically designed to measure the solar
67 stimulated fluorescence of phytoplankton living in surface waters, bands 13, 14, and 15 (centered at
68 665.1, 676.7, and 746.3 nm, respectively, with a 10 nm bandwidth). A baseline is first formed
69 between radiances for Bands 13 and 15, and then subtracted from Band 14 radiance to obtain the FLH
70 (Letelier and Abbott. 1996).

71 The purpose of this study is to assess the prospect of using this MODIS Aqua FLH product to
72 monitor chlorophyll- α concentration in coastal waters, using Tampa bay as a case study. This work
73 can test in the field what has been suggested from modeling and works developed under laboratory
74 conditions. We focus on assessing the MODIS FLH product against an eight year *in situ* sampling
75 dataset from Tampa Bay Florida, USA. Potential linear regressions are explored.
76

77 2. Background

78 The usefulness of the FLH algorithm to determine chlorophyll concentrations has been extensively
79 reported in the literature (Ryan et al., 2009; Gower and King , 2007; Ahn et al., 2007; Hu et al., 2005;
80 Letelier and Abbott, 1996; Fischer and Kronfeld, 1990; Hoge and Swift, 1987; Gower and Borstad,
81 1981; Neville and Gower , 1977). However, there are also some concerns due to the inconstancy in
82 the relationship between fluorescence and chlorophyll- α . Some studies have found that the slope of
83 the approximate linear relationship between FLH and chlorophyll concentration varies by a factor of
84 2.5, Gower (1999) and the height of the fluorescence peak has been found to be affected by the
85 concentrations of colored dissolved organic matter (CDOM) and suspended particles in the water
86 (McKee et al., 2007; Gower et al. 1999).

87 In an analysis of data from the MEdium Resolution Imaging Spectrometer (MERIS) , Gower
88 (1999) concluded that using the band at 753.75nm for the baseline would lead to significant
89 overestimation of FLH at higher levels of suspended material due to the increasing difference in
90 reflectance between 700nm and 750nm. Gilerson *et al.* (2006) showed that most of the emergent
91 radiation from coastal waters is a result of scattering rather than fluorescence. He concluded, through
92 a series of laboratory analyses, that extracting fluorescence using the baseline method could strongly
93 overestimate values in coastal waters. Conversely, McKee et al. (2007) suggested, based on
94 HydroLight modeling, a raised background radiance levels resulting in an estimated FLH of about
95 only 30% of the true value of FLH. This would be due to a break down in the MODIS FLH signal
96 when mineral suspended solids (MSS) concentrations are equal or greater than 5 mg l^{-3} . The authors
97 showed that the MODIS FLH algorithm is relatively unaffected by increasing CDOM. Hliang *et al.*
98 (2008), noted the best correlations between FLH and chlorophyll- α when the *in situ* chlorophyll- α
99 concentration was lower than $4 \mu\text{g l}^{-1}$ and total suspended matter concentration was greater than $4 \mu\text{g}$
100 l^{-1} .

101 Other possible source of uncertainty in fluorescence baseline algorithms is a result of the
102 physiological processes of the phytoplankton. Fluorescence yield is a function of photosynthesis and
103 can vary as a function of physiological status (Falkowski and Kiefer, 1985; Kiefer et al., 1989;

104 Chamberlin et al., 1990; Babin *et al.*, 1996; Letelier *et al.*, 1997, 2000; Laney *et al.*, 2005).
105 Laboratory and field studies have shown that fluorescence is influenced by the nutrient stress (Kiefer,
106 1973a; Cleveland Perry, 1987; Abbott *et al.*, 2000, Kiefer, 1973b; Letelier et al., 1997; Letelier *et al.*,
107 2000), chlorophyll concentration, pigment packaging effects (Bisset et al. 1997) on light absorption,
108 and light-dependent energy-quenching processes (Behrenfeld *et al.*, 2009). Babin *et al.* (1996)
109 assumed that nutrient stress would increase the susceptibility of phytoplankton to excess irradiance,
110 leading to inactivation of reaction centers and reduced fluorescence yield. Additionally,
111 phytoplankton undergoes diurnal variations and there appears to be a midday depression in FLH
112 emission (Falkowski and Kolber, 1995), which could be a limiting factor if coinciding with satellite
113 time visit.

114 Given both the benefits and disadvantages of using fluorescence-based algorithms it is timely
115 to conduct a definitive empirical analysis of the applicability of this algorithm for chlorophyll
116 determination in estuarine waters. Furthermore, in most of the experiments above, the fluorescence
117 emission studies have been activated by artificial light supplied by the experimenter or by using
118 numerical models that simulate natural conditions. Before the present work, few studies had looked at
119 the empirical validity of fluorescence algorithms in coastal waters using a comprehensive long term *in*
120 *situ* data set.

121

122 **3. Materials and Methods**

123 **3.1 Study Area**

124 Tampa Bay is located on the gulf coast of the Florida Peninsula in the southeastern United States
125 between 27.5–28.08°N and 82.36–82.75°W (Figure 1). With a subtropical climate, air temperatures in
126 the area range between about 4 °C in the winter and 39 °C in the summer. About 60 percent (approx.
127 76 cm) of the annual precipitation occurs during summer (Jun to September) (Lewis and Whitman,
128 1982). Tampa Bay is the largest open-water estuary in the state of Florida; covering about 1,000 km²
129 at high tide and comprising the coastlines of Hillsborough, Manatee and Pinellas counties. Lewis and
130 Whitman (1982) defined seven sections within the bay, however, all the monitoring sites used in this
131 study are located in the four largest and more commonly understood to compose the entire bay: Old
132 Tampa Bay (OTB), Hillsborough Bay (HB), Middle Tampa Bay (MTB), and Lower Tampa Bay
133 (LTB). The sub-regions in Tampa Bay (Figure 1) were defined by Lewis and Whitman, 1982 mainly
134 based on geometrical relationships between areas and shoreline lengths.

135 The average 3.4 m water depth of Tampa Bay constitutes a concern for possible reflectance
136 contamination from shallow bottom especially at the blue and green sections of the spectrum. Chen et
137 al (2007a) considered a diffusion attenuation coefficient (K_d) of approximately 0.33 at 645 nm for
138 waters with medium to low turbidity and colored dissolved organic matter (CDOM) in Tampa Bay.
139 The same authors chose a bottom depth threshold of 2.8 m following trial and error criteria to select *in*
140 *situ* data in Tampa Bay at that wavelength. Four major rivers comprise up to 85% of the freshwater
141 inflow (Lewis and Estevez, 1988): the Hillsborough River (HR), the Alafia River (AR), the Little
142 Manatee River (LMR), and the Manatee River (MR). This is of special interest considering the
143 potential interference to optical properties caused by CDOM and organic and inorganic detrital
144 particles, which are constituents typically brought by rivers to estuaries. Nevertheless, these
145 limitations are contributing conditions to make Tampa Bay a good study case to represent coastal
146 waters, specially, when there is such an extensive amount of *in situ* data available for comparison,
147 which makes Tampa Bay one of the most data rich water bodies in the world.

148 Along with marshes and mangroves, seagrass beds are among the bay's most crucial habitats as
149 nursery and feeding grounds for a number of species and support for the tourism industry. Area cover
150 of this important ecosystem has been used as indicative to monitor the bay water quality (TBEP,
151 2006). Due to the shading effect of high chlorophyll- α concentration blocking sun light penetration to
152 seagrass, this is a key water quality parameter regularly monitored *in situ* in Tampa Bay but rarely in
153 many coastal waters in the world where there are technical and financial limitations to support
154 sustainable field monitoring programs. Direct and indirect nutrient discharges to Tampa Bay from
155 mining, industry, and wastewater treatment, among other examples, caused a dramatic mid-century
156 decline in the bay water quality and a loss of seagrass coverage during the twentieth century.

157 Fortunately, successful watershed management efforts, among which one of the most important have
158 been the upgrade to tertiary level in the Tampa waste water treatment plant since 1979 (Garrity *et al.*,
159 1982), have improved the bay water quality by reducing point and non-point source nutrient loading
160 to the bay (TBEP, 2006).

161 A preliminary analysis of all the *in situ* data made available for this study by the EPCHC for the
162 period 2003-2010 showed ranges between 8 and 333.4 $\mu\text{g l}^{-1}$ (Mean (μ) = 8 $\mu\text{g l}^{-1}$, Standard Deviation
163 (σ) = 10.6) in chlorophyll- α concentration, between 0.1 and 8.8 m (μ = 2.1 m, σ = 1.1) in Secchi
164 depth, 0 and 70 mg l^{-1} (μ = 11.7 mg l^{-1} , σ = 6) in suspended solids (SS), 0 and 39 mg l^{-1} (μ = 0.7 mg l^{-1} ,
165 σ = 1.6) in Total Nitrogen (TN), 0 and 8.7 mg l^{-1} (μ = 0.2 mg l^{-1} , σ = 0.2) in Total Phosphorus (TP),
166 0.4 and 31 NTU (μ = 3.1 NTU, σ = 2.1) in turbidity, and between 0 and 8.8 mg l^{-1} (μ = 1.5 mg l^{-1} , σ =
167 1) in Biological Oxygen Demand (BOD). Forty-four percent of the chlorophyll- α samples registered
168 below 5 $\mu\text{g l}^{-1}$, while 82.8% of the total suspended solid measurements ranged between 5-20 mg l^{-1} .
169 Average chlorophyll- α concentration throughout all sub-regions of the Bay exceeded 4 $\mu\text{g l}^{-1}$, from
170 4.18 $\mu\text{g l}^{-1}$ near the mouth of the bay (e.g. LTB) to greater than 11 $\mu\text{g l}^{-1}$ 170 for the more inland
171 portions of the Bay (e.g. HB). Total suspended solids and turbidity (NTU) also showed a marked
172 increase inland (15.5 mg l^{-1} and 4.1 NTU) from the mouth of the bay (12.9 mg l^{-1} and 2.6 NTU).

173 Using data from The Coastal Change Analysis Program (C-CAP), the Tampa Bay watershed
174 (TBW) has been estimated to extend for 6,600 km^2 (Moreno-Madriñán *et al.*, 2012), including most of
175 the Tampa Bay Metropolitan Area, which comprises the cities of Tampa (its largest city), St.
176 Petersburg, and Clearwater. Its economy relies primarily on tourism and port operations. The Tampa
177 Bay area is notable by its high population growth and consequent rising environmental concerns. With
178 a growing population of about 2.7 million inhabitants (US Bureau of Census, 2007), Tampa Bay
179 metropolitan area is respectively the second and 21th most populous metropolitan area of Florida and
180 the United States.

181

182 **3.2 Satellite Data**

183 Eight years (2003–2010) of daily MODIS Aqua L1A data (1 km resolution) were downloaded from
184 the L1 and Atmospheric Archive Distribution System (LAADS Web) at the Goddard Space Flight
185 Center. The criterion used to choose the Aqua satellite as opposed to Terra (both carrying a MODIS
186 sensor in a near polar sun-synchronous orbit with 98° of inclination) was given by the daily time
187 range during which the *in situ* data, initially available for matching, was collected. The local
188 equatorial crossing time of Aqua is approximately 1:30 pm while that of Terra is 10:30 am.
189 The *in situ* data initially available for comparison was collected between 9:00 am and 4:00
190 pm. Consequently, the Aqua time-visit better approximate an equitably division in time thus
191 reducing the range between *in situ* and satellite measurements for the near range-limit
192 matchup pairs.

193 The MODIS data were processed from Level 1A using the SeaDAS software (version
194 6.2), by applying calibrations for ocean remote sensing developed by the MODIS Ocean Biology
195 Processing Group (Fu *et al.*, 1998). The Ocean Biology Processing Group (OBPG) is responsible for
196 the production and distribution of the ocean color data products from the MODIS sensor on the Aqua
197 satellite and optimizes MODIS ocean color data by updating SeaDAS look up tables (LUTs). The
198 LUTs are derived from analysis of a variety of measurements aboard the MODIS sensor (solar
199 diffuser measurements, lunar observations, and onboard lamps). Additional improvements in the data
200 products result from enhancements in the sensor calibration, atmospheric correction, and improved
201 bio-optical algorithms.

202 Over 3,242 files were downloaded from the LAADS web and processed to level 1A. Files
203 containing contamination by cloud edges, severe distortion and extensive cloud cover were eliminated
204 from the analysis using a manual QA/QC procedure (Fischer, 2009). The remaining images were
205 processed to mapped Level 3 chlorophyll fluorescence products (Abbott and Letellier, 2003) and the
206 standard blue-green algorithm chlorophyll- α product (OC3M) (Campbell, 2003; Carder *et al.*, 2003).
207 For the atmospheric correction required to derive products (FLH, OC3M), we applied the SeaDAS
208 default atmospheric correction algorithm (Gordon and Wang, 1994), with the addition of NIR
209 correction for non-zero water-leaving radiance (Strumpf *et al.*, 2003). The default masks of land,
210 cloud and saturated radiance were applied between L1A and L2 processing. Resulting image data

211 were mapped to a cylindrical projection. The true resolution of FLH and OC3M images are at best ~ 1
212 km at nadir; bilinear interpolation was used to generate 500 m resolution images. Images were further
213 quality controlled, and those images containing cloud contamination or severe distortion were again
214 removed from the analysis (Fischer, 2009).

215

216 **3.3 In Situ Data**

217 All *in situ* data used in this study were collected and provided by the Environmental Protection
218 Commission of Hillsborough County (EPCHC). Data were collected monthly as part of routine water
219 quality monitoring programs from fixed sampling sites throughout the entire Tampa Bay (Figure 1).
220 Our analysis considered the time interval between years 2003 and 2010 to cover the period elapsed
221 since the first full year of MODIS Aqua until the last full year of the *in situ* data available at the time
222 of starting this study.

223 Analysis of *in situ* chlorophyll-*a* were determined by Standard Methods (SM) 10200 H (APHA,
224 1998), using acetone and a tissue grinder in the chlorophyll-*a* analysis. All *in situ* data used in this
225 study were drawn from samples collected at mid-depth using a beta sampler. Sample containers were
226 brown high density polyethylene bottles and lab analysis was performed in low lighting. All *in situ*
227 data were obtained according to QA/QC rules of the National Environmental Laboratory
228 Accreditation Program (NELAP).
229

230 **3.4 Satellite and in situ matchups**

231 Over 18,000 and 19,000 data points representing the biophysical parameters of fluorescence (FLH)
232 and chlorophyll-*a* (OC3M), respectively, were derived from the MODIS imagery and were initially
233 available for comparison with over 7,552 data points from the *in situ* data set. 507 Matchup pairs of
234 data with a bottom depth equal or greater than 2.8 m and within a time window of ± 6 hours were
235 selected for an overall coefficient of determination (r^2) between the predictor variables (satellite
236 algorithm) and the single common dependent variables (*in situ*) chlorophyll-*a*. The 2.8 m threshold
237 criterion was chosen following a trial and error procedure. This criterion was also used by Chen *et al.*
238 (2007a, 2007b) and Moreno-Madriñán *et al.* (2010). Both of these studies showed no further
239 improvement satellite/*in situ* comparisons by including samples with shallower bottom depths. As
240 mentioned earlier, Secchi depth ranged between 0.1 and 8.8 m ($\mu = 2.1$ m, $\sigma = 1.1$). Chen *et al.*
241 (2007a) used the same 2.8 m threshold to estimate turbidity of Tampa Bay water with MODIS surface
242 reflectance at 645 nm. The authors found this value to be very close to the light penetration depth as
243 predicted from a diffuse attenuation coefficient (K_d) of approximately 0.33 m^{-1} at 645 nm. This depth
244 was not expected to interfere the signal at the 665.1-746.3 nm wavelength used by the FLH algorithm
245 since the depth of light penetration is lower at longer wavelengths in this section of the spectrum
246 (Botha *et al.*, 2013) due to the strong absorption of light by water molecules (Pope and Fry, 1997).
247 Similar trial and error procedure was followed to choose the ± 6 h time window criterion, since further
248 decreasing this time window did not improve the relationship. Le *et al.* (2013a, 2013b) used time
249 windows of 3 and 24 h for chlorophyll-*a* estimation using the algorithms based on the blue/green band
250 ratios (Le *et al.* 2013a, 2013b, 2013c).

251 *In situ* chlorophyll-*a* value were log transformed, as chlorophyll-*a* concentration tend to be log
252 normally distributed (Campbell et al., 2003). A long term (2003-2010) annual mean was calculated
253 for each of the MODIS products (FLH and OC3M) along with the chlorophyll-*a* *in situ* data. Areas
254 which had less than 25% satellite coverage were excluded from the final mapped products. Lastly,
255 correlations between *in situ* chlorophyll-*a* and the remotely sensed products FLH and OC3M were
256 compared.

257

258 **4. Results**

259 **4.1 Satellite and in situ matchups**

260 No satellite data could be generated for 15 out of the 54 *in situ* sampling sites (Figure 1). Most of
 261 these sites were located adjacent to the coastline. Out of the 39 sites that generated satellite data, 35
 262 had dates matching *in situ* chlorophyll- α data collection. The chlorophyll- α concentration of the
 263 matched *in situ* data ranged from 0.3 to 37.5 $\mu\text{g l}^{-1}$ ($\mu = 4.6 \mu\text{g l}^{-1}$, $\sigma = 3.7$). Sixty-nine percent of the
 264 chlorophyll- α samples matched with satellite data registered below 5 $\mu\text{g l}^{-1}$. Twenty-two sites
 265 showed statistically significant relationships when the FLH product was matched with *in situ*
 266 chlorophyll- α data but only two sites had coefficients of determination (r^2) greater than 0.6 (Table 1).

267 The r^2 within sites ranged between 0.67 (n=28, p<0.01) (Figure 2a) and no correlation. There was
 268 one unexplained case (station LTB96) where the relationship was negative, $r^2 = -0.45$ (n=12, p=.016).
 269 Sites that exhibited the best relationships included MTB14 ($r^2=0.67$, p<0.01, n=27), HB7 ($r^2=0.64$,
 270 p<0.01, n=11) OTB68 ($r^2=0.49$, p<0.01, n=13) and MTB32 ($r^2=0.48$ p<0.01, n=28) (Figure 2a, b, c,
 271 respectively). While the HB sub-region had only one statistically significant station for the 12 *in situ*
 272 sampling locations, MTB, OTB and LTB had 8, 7, and 6 significant sites, for the 13, 18 and 11 sites
 273 in the respective sub regions. The percentage of sites by sub-region that produced statistically
 274 significant results was 8, 61, 39, and 55% for HB, MTB, OTB and LTB, respectively. The mean
 275 determination coefficients (r^2) of the statistically significant sites by sub-region were 0.64, 0.40, 0.38
 276 and 0.16, for HB, MTB, OTB and LTB, respectively.

277 While the average distance to shoreline (including bridges) for the statistically significant stations
 278 was 3,386 m and 2,160 m, respectively, the average distance for the non-statistically significant
 279 stations was 1,309 m and 813 m, respectively. The average bottom depth for the statistically
 280 significant sites was 5.0 m while that of the non-significant sites was 4.3 m. Overall, including all
 281 matchups pairs available in all sites, and after removing matching pairs with a bottom depth less than
 282 2.8 m, there was no important relationship between FLH and *in situ* chlorophyll- α concentrations ($r^2 =$
 283 0.21, n = 507, p < 0.01) (Figure 3a). Nevertheless it was 8 times greater than that between FLH and
 284 the standard MODIS blue-green ratio (OC3M) product ($r^2=0.0272$, n=507, p<0.01. This seems to
 285 corroborate studies showing that the global MODIS empirical algorithm (OC3M) breaks down in
 286 coastal waters producing an overestimate ranging from 50% to as much as 20 fold (Wozniak and
 287 Stramski, 2004).

288 The poor overall correlation found between FLH and *in situ* chlorophyll- α ($r^2 = 0.2$) seems to
 289 support the modeling results of McKee *et al.* (2007) according to which the FLH signal breaks down
 290 in turbid waters where mineral suspended solids are greater than 5 mg l^{-3} . The authors explained this
 291 to be caused by the raised background radiance levels created by the suspended material. Their study
 292 further states that the FLH signal detected by satellite based sensors reaches only 30 % of the true
 293 value of FLH. In addition, Hlaing *et al.* (2008) noticed a noticeable spatial structure correlation
 294 between satellite-based chlorophyll and fluorescence maps for areas with chlorophyll concentration
 295 lower than 4 $\mu\text{g l}^{-1}$. Average *in situ* chlorophyll- α and total suspended solids measurements in Tampa
 296 Bay exceeded respectively 4 $\mu\text{g l}^{-1}$ and 5 mg l^{-1} . However, no improvement was obtained after
 297 considering only matching pairs with *in situ* chlorophyll- α concentration lower than 4 $\mu\text{g l}^{-1}$ and total
 298 suspended matter concentration greater than 4 $\mu\text{g l}^{-1}$. Some improvement in the relationship was
 299 achieved when the analysis was limited to matching pairs from sites located at least 5 km from
 300 shoreline and bridges ($r^2=0.4$, n=93, P< 0.01) (plot closer to linear regression, Figure3b). Some of
 301 these bridges have four lanes in each direction besides the shoulders of the road and abundant
 302 vegetation along the sides of the embankment to both ends. Thus these structures may have an impact
 303 contaminating the pixels.

304
 305

306 4.2 Spatial patterns

307 The spatial patterns of the long term means (2003-2010) for the FLH and OC3M product are shown in
 308 Figure 4 along with that of the *in situ* data for comparison. The blue-green ratio OC3M product mean
 309 displayed a higher chlorophyll- α concentration in OTB and in general increasing eastward throughout
 310 the full extent of OTB, MTB, and LTB. Similar eastward pattern was observed on water turbidity
 311 estimation using the surface reflectance MODIS Terra product (MOD09GQ, 620-670nm) (Moreno-
 312 Madriñán *et al.*, 2010) and appears to be related to river discharge as the four major rivers (HR, AR,
 313 LMR and MR) discharge their waters at the east side of Tampa Bay. A possible direct association
 314 between higher OC3M estimation of chlorophyll- α and water turbidity may be explained by the

315 associated concentration of CDOM discharged by these four rivers and the high absorption of CDOM
316 in the blue wavelength. Since coastal waters commonly have high concentrations of CDOM, this
317 aligns with studies showing that the OC3M algorithm can overestimate measurements in coastal
318 waters by as much as 50% to 20 fold (Wozniak and Stramski, 2004). No major river discharges into
319 OTB but a number of minor streams and storm water runoff from watershed scale precipitation can be
320 important sources of CDOM for this bay sub-region.

321 Conversely, the FLH product, estimated higher concentration of chlorophyll- α westward
322 throughout all sub-regions of Tampa Bay. Both remote sensing products showed a decreasing spatial
323 trend in chlorophyll- α from the upper bay sub-regions to the lower sub-regions. This trend agrees with
324 the *in situ* data and may be associated with adjacent more dense urban areas influencing the northern
325 side of the bay (Xian *et al.*, 2007; Moreno-Madriñán *et al.*, 2012) transitioning southwards to the
326 influence of the clear waters from the Gulf of Mexico at the south (Weisberg and Zheng, 2006) as also
327 suggested by Le *et al.* (2013b).

328 Similarly to the FLH product and contrary to the OC3M product, the geographical distribution of
329 the *in situ* data (Figure 4) confirmed that the chlorophyll- α concentration was in fact higher toward the
330 western portion of OTB. For both the OC3M and FLH products, lower satellite coverage for HB
331 (<25%) produced a limited data set. Therefore, not enough matchup data points were available to
332 analyze spatial distribution trends in HB as it can be appreciated from the large proportion of masked
333 area covering this sub-region of the bay in Figure 4. Due to the absence of monitoring sites adjacent
334 to the western shoreline of MTB, it was not possible to confirm if the pattern of the *in situ* data would
335 coincide with the FLH product showing higher chlorophyll- α concentration along that shoreline.
336

337 5. Discussion

338 This analysis utilizes a long-term *in situ* data set from Tampa Bay, Florida (USA) and assesses the
339 validity the MODIS FLH algorithm to monitor chlorophyll- α concentrations in coastal/estuarine
340 waters. The *in situ* data set contains a range of values from multiple water quality parameters that
341 characterize an optically complex estuarine body of water and provides the opportunity to assess
342 algorithm performance across a range of variables and conditions. Despite the fact that the overall
343 correlation between the FLH product and *in situ* chlorophyll- α measurements was about 8 times
344 greater than that between OC3M (blue-green ratio) algorithm and *in situ* chlorophyll- α , it was still not
345 useful.

346 Stray light contamination from the brighter, adjacent land pixels, may have contributed to the
347 overall poor FLH-*in situ* relationships within Tampa Bay whose width is only ~16.5 km at its widest
348 point. The impact of this adjacency effect over inland and coastal water pixels can be very strong in
349 the NIR channels, for which water is very dark and land pixels normally present a high reflectance,
350 and can even be noticed in visible channels under certain conditions (Odermatt *et al.* 2008). As a
351 result, a significant portion of the recorded signal from the MODIS sensor can originate from outside
352 the area represented by that pixel. In addition, artifacts introduced by the along-scan transition of
353 AQUA from bright (land) to dark (ocean) pixels compromises the reflectance signal in coastal areas
354 less than 5 km from the coast (Chuanmin Hu, pers. comm.).

355 It is important to mention that the 93 matchup pairs from sites at 5 km or more from shoreline and
356 bridges ($r^2 = 0.4$) (Figure 3b) had bottom depths ≥ 3.2 m. As a matter of fact, the site with the second
357 best relationship between the FLH product and *in situ* chlorophyll- α (HB7, $r^2 = 0.64$) (Figure 2b) was
358 located ~1.3 km from the shoreline with an average bottom depth of 3.6 m. This good relationship
359 was followed by $r^2 = 0.49$ in OTB68 (Figure 2c) and $r^2 = 0.48$ in MTB32 (Figure 2d) with ~1.5 and ~3
360 km from shore, respectively. Average bottom depths for both sites were respectively 4.8 and 7.5 m.
361 This suggests that the timid improvement in the relationship achieved with increasing distance from
362 shore may be also helped with increasing depth. Confirming both hypotheses (bottom depth and
363 distance from shore), the best relationship (MTB14) was observed at > 5 km from shore and with a
364 deep bottom of 7.4 m (Figure 2a). Remarkably, the three monitoring sites with the best relationships
365 observed between the MODIS Aqua FLH product and *in situ* chlorophyll- α were also reported with

366 the best relationships between the MODIS Terra surface reflectance product (MOD09GQ) and *in situ*
367 water turbidity (Moreno-Madriñán et al, 2010). The coefficients of determination observed in that
368 study were 0.86, 0.77 and 0.66 respectively for OTB68, MTB14 and HB7. The fact that each one of
369 these monitoring sites is located in a different sub-region of the Bay, suggests the usefulness of using
370 them in representation of their respective sub-region to monitor water quality with remote sensing.

371 To understand if the low relationships between FLH and chlorophyll- α could be explained by
372 turbidity interfering with the FLH signal, a determination coefficient between the FLH product and
373 water turbidity was computed for 417 available matchups. This resulted in an $r^2 = 0.06$, which does
374 not seem to support turbidity as the determining factor for a low relationship between the FLH
375 product and *in situ* chlorophyll- α . Lastly, given that the near polar, sun-synchronous orbit of the
376 Aqua satellite crosses the equator at approximately 1:30 pm and since emission of chlorophyll- α
377 fluorescence is depressed at noon (Falkowski and Kolber, 1995) roughly coinciding with the satellite
378 time visit to Tampa Bay, this could be argued as a strong contributor to explain the low relationships
379 found in most of the sites. The average *in situ* sampling time for the 507 matchup pairs with bottom
380 depth ≥ 2.8 m was 12:33 pm. As mentioned earlier, this shorter range between *in situ* and satellite
381 measurements was the criterion to choose Aqua as opposed to Terra. However, the average sampling
382 time for the sites with the best observed relationship MTB14, HB7, OTB68 and MTB32, were
383 respectively 11:14 am, 10:24 am, 1:51 pm and 11:10 am; only one of them closer to Aqua time visit
384 as compared to Terra. Nevertheless, even in the case that the time of satellite visit could explain low
385 relationships between FLH and *in situ* chlorophyll- α determinations, the question remains about why
386 there are still few sites with good relationships. Good part of the answer to this question may come
387 from undertaking similar study based on data generated by the MODIS sensor on Terra. It is
388 important to mention, however, that 10:30 am would be still within the period of low FLH
389 fluorescence signal and alternatively fluorescence measurements taken at night would require night *in*
390 *situ* sampling for comparison.

391

392 6. Conclusions

393 Overall, these results line up with the lab and modeling studies suggesting that the FLH product may
394 have difficulties to quantify *in situ* chlorophyll- α concentration and/or water quality for estuarine
395 waters. Nevertheless, it is suggested a possible role played by the time of satellite visit to the sites.
396 Although in a broad sense, and based solely on this Tampa Bay case and satellite visit time, these
397 results are not favourable to recommend the use of MODIS FLH algorithm for the measurement of
398 chlorophyll- α concentration in estuarine waters. Yet they show that in particular sites this product can
399 draw good estimations, which exposes the need for further research addressing the factors that
400 determine this difference between bad and good sites. An approach to use this product in estuarine
401 waters would imply an initial period of *in situ* monitoring to identify sites with good determination
402 coefficients. Once these sites are identified, a monitoring program with satellite technology could
403 continue. This approach would allow temporal consistency in water quality monitoring, although
404 would be deprived of consistent spatial distribution for analysis across larger areas of the estuary.

405 It can be reasonably deduced that improvements regarding spatial consistency can be made when
406 simultaneously considering certain conditions like distance between monitoring sites and shore along
407 with bottom depth. The low but still better relationship between *in situ* chlorophyll- α and the FLH
408 product as compared with the blue-green ratio OC3M, confirms possibilities for continuing search for
409 improvements using the fluorescence signal and the NIR section of the spectrum to estimate
410 chlorophyll- α in estuarine waters. It would be valuable to perform a similar study using the data
411 generated from MODIS Terra, given the earlier daily time visit of the Terra satellite, thus avoiding the
412 fluorescence emissivity depression of chlorophyll- α at midday.

413

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424

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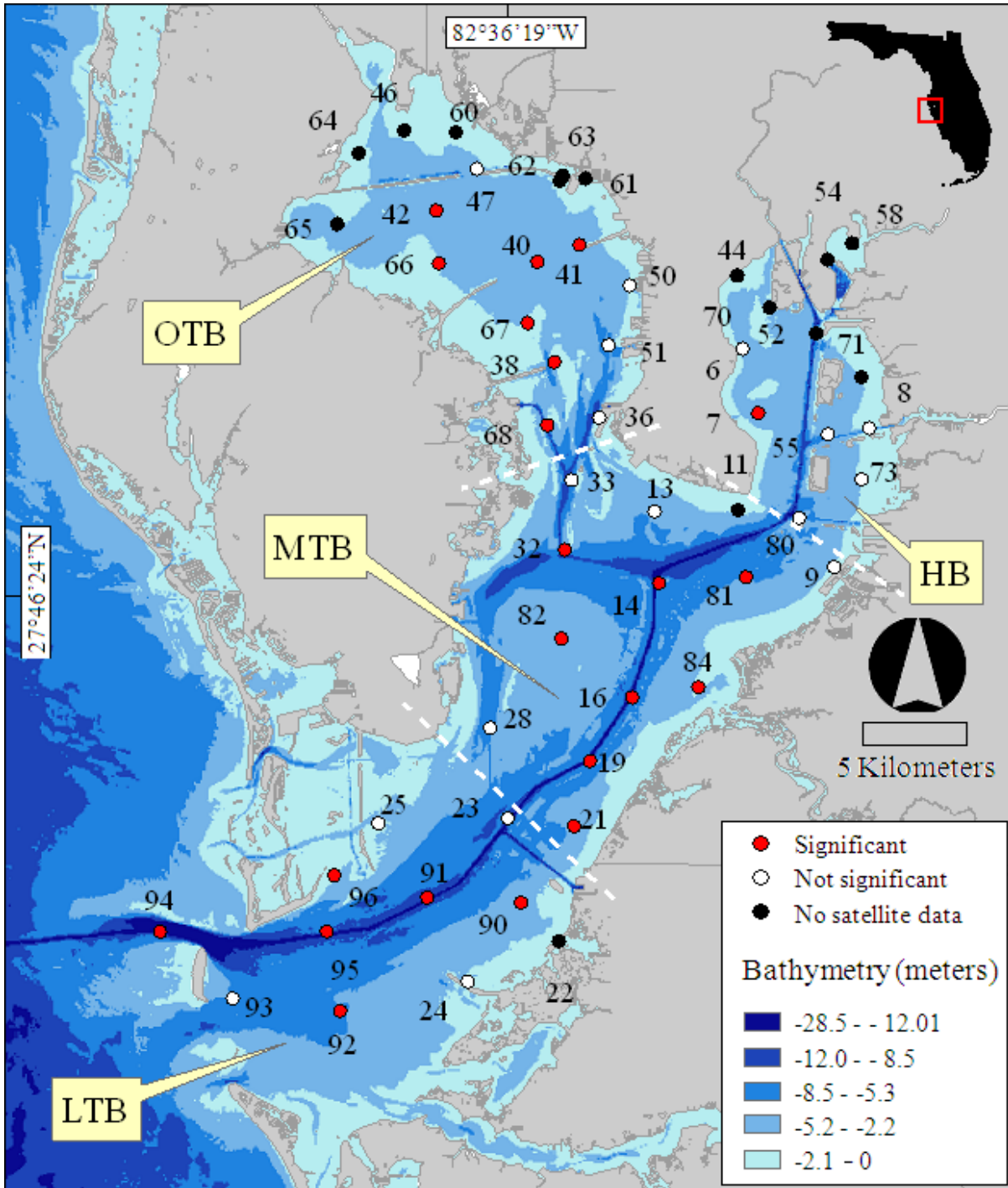
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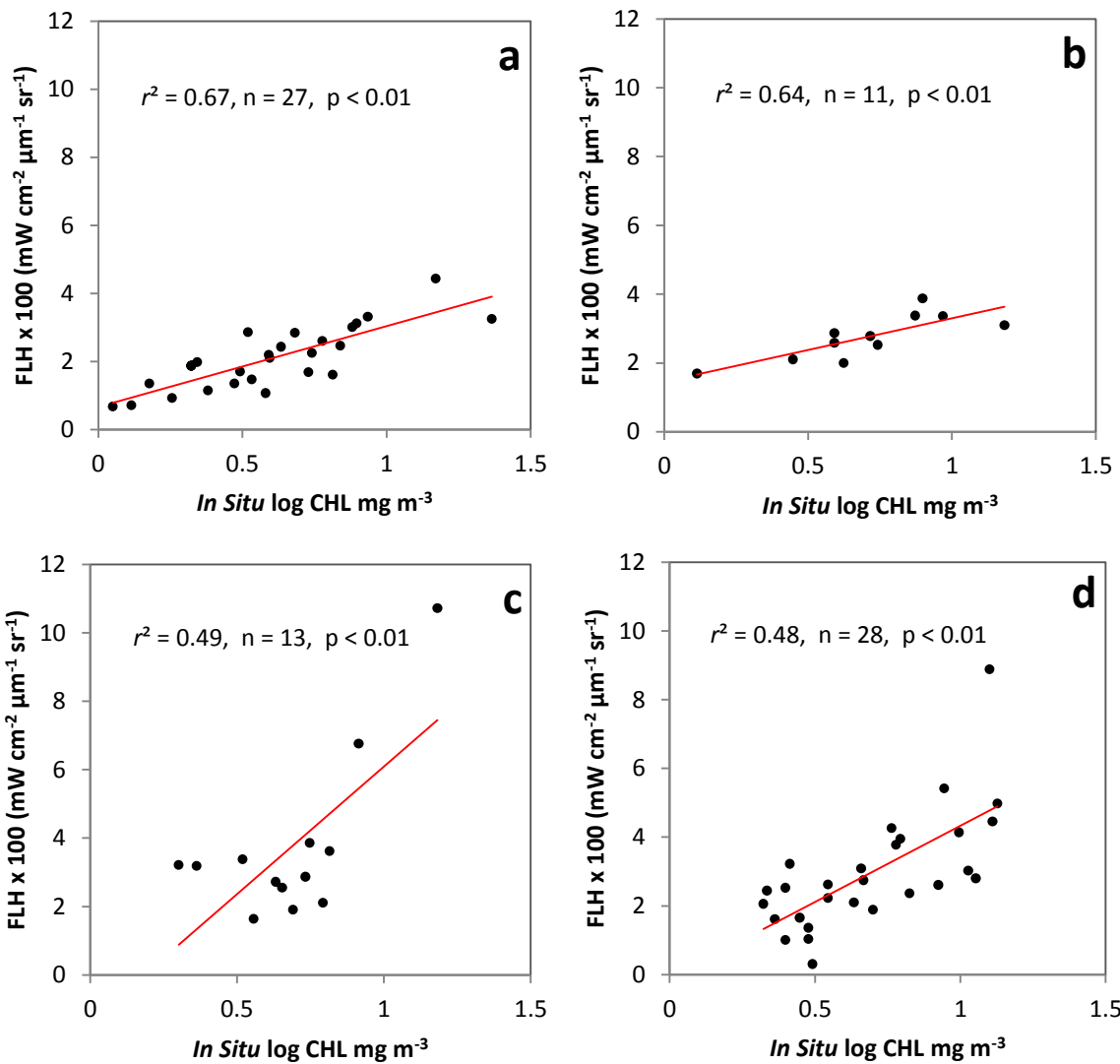
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636

637 Figure 1. Map of Tampa Bay, U.S.A., showing the 54 sites monitored by the Environmental
 638 Protection Commission of Hillsborough County (EPCEC) used in this study, the four main sub-
 639 regions of the bay and an inset showing the location of this estuary in the state of Florida. The sub-
 640 regions are Old Tampa Bay (OTB), Hillsborough Bay (HB), Middle Tampa Bay (MTB), and Lower
 641 Tampa Bay (LTB). Monitoring sites marked in red (22 sites) were those having a statistical
 642 significant relationship between satellite data (FLH) and *in situ* chlorophyll-*a* ($p \leq 0.05$). Sites marked
 643 in white (17 sites) either had not significant relationships between satellite data and chlorophyll-*a* ($p >$
 644 0.05 , 13 sites) or did not present match ups between both parameters (4 sites). Those sites marked in
 645 black denote those for which no satellite data was obtained (15 sites).

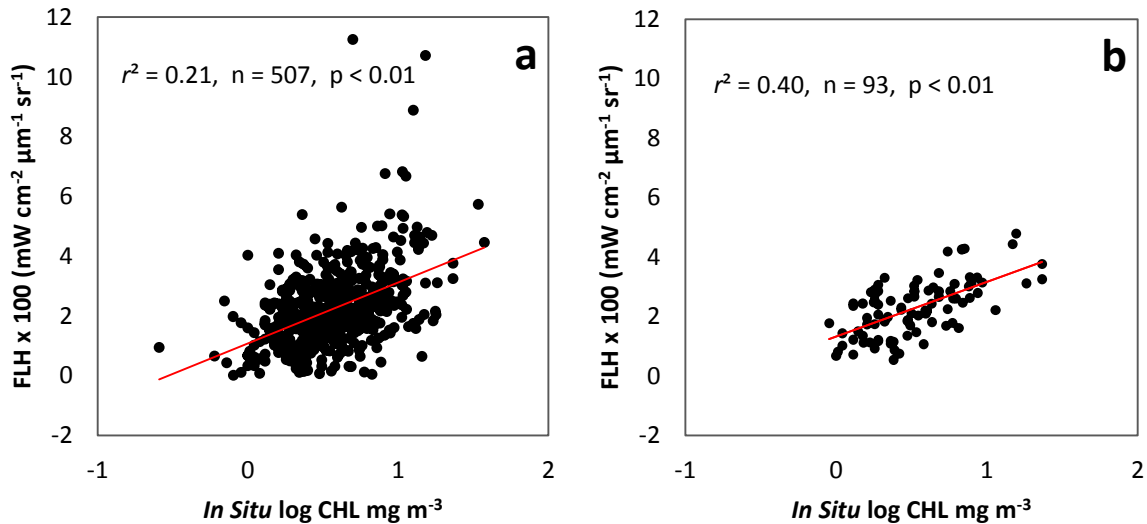
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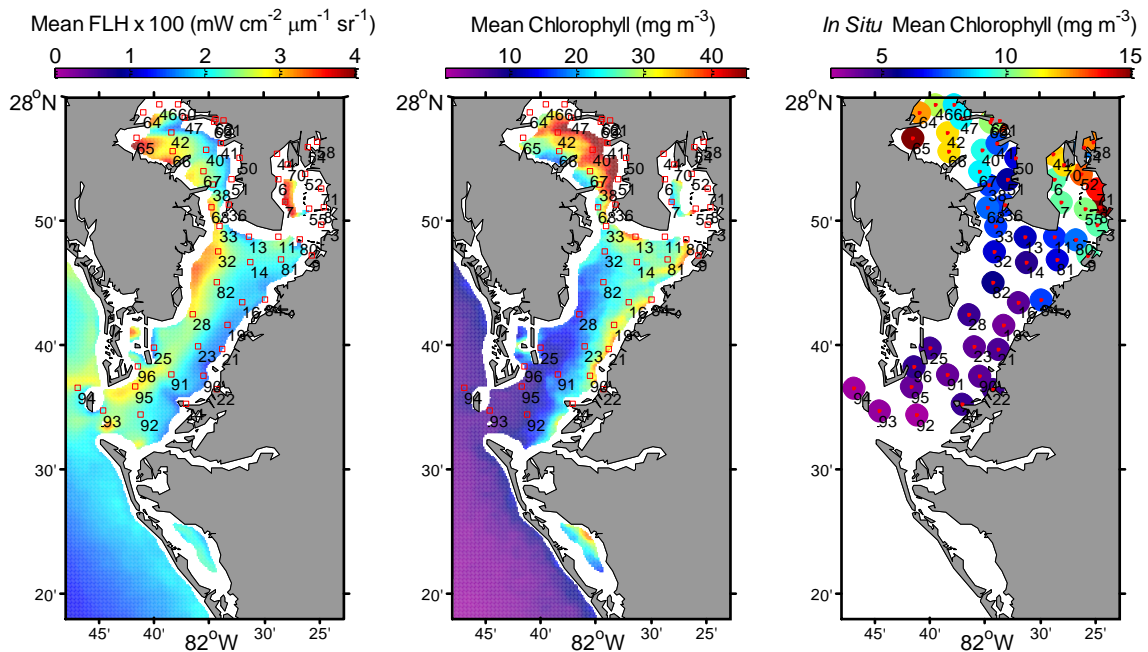
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649 Figure 2. Relationship between *in situ* log chlorophyll- α and satellite based FLH measurements for
650 monitoring sites: (a) MTB14, (b) HB7, (c) OTB68, and (d) MTB32.
651



652

653 Figure 3. Overall relationship between *in situ* log chlorophyll-*a* and satellite based FLH
 654 measurements for all available *in situ* sampling sites according to the following criteria: (a) all
 655 matchup pairs associated to a bottom depth ≥ 2.8 m and (b) all matchup pairs from sites located ≥ 5
 656 km from shore and bridges and associated bottom depth ≥ 3.2 m.
 657



658

659 Figure 4. The long term mean FLH (left) and chlorophyll (OC3M) (middle) MODIS products from
 660 2003-2010 in Tampa Bay, Florida (USA), along with mean log-chlorophyll (right) for individual *in*
 661 *situ* sampling sites for the same time period. The red squares or dots indicate the *in situ* sampling
 662 sites with the associated sites numbers.

663

664 Table 1. Summary of determination coefficients (r^2) between the MODIS FLH and OC3M products
 665 x *in situ* chlorophyll-*a* concentrations, average site depth and distance from shore, sorted by
 666 decreasing correlation between FLH x chlorophyll-*a*, for the 35 sampling sites with matchup data in
 667 Tampa Bay, Florida (USA) throughout the sampling period (2003-2010), n = matchup pairs, *
 668 $P < 0.05$, ** $P < 0.01$, † = inverse correlation.

Sampling Site	Depth (m)	Distance to shore or bridge (m)	r^2 (FLH x chl- α)	n	r^2 (OC3M x chl- α)	n
MTB14	7.4	5600	0.67**	28	0.01	26
HB7	3.5	1180	0.64*	11	0.36†	9
HB55	5.3	1130	0.57	3	0.47†	4
OTB68	4.8	1530	0.49**	13	0.28†	11
MTB32	7.5	3000	0.48**	28	0	28
LTB96	2.3	624	0.46*†	12	0.15	15
OTB40	4.8	72	0.44**	28	0	24
OTB41	3.5	110	0.43**	15	0.24	12
OTB67	2.5	72	0.42**	26	0	25
OTB38	2.3	590	0.40**	23	0.03	25
MTB81	7.5	3520	0.38**	23	0.27*	21
MTB82	3.8	5130	0.37**	34	0.08	32
LTB21	4.9	1765	0.35*	16	0	18
MTB19	7.8	2800	0.34*	21	N/A	0
LTB92	5.8	5080	0.34**	32	0.06	38
LTB90	4.4	1860	0.31*	16	0	21
MTB84	1.8	1150	0.29*	15	0.05	13
MTB16	7.5	2800	0.29**	28	0.01	27
LTB94	3.6	800	0.28*	38	0.14*	41
LTB95	8.2	1890	0.26*	25	0.52	25
OTB42	3.4	1638	0.26*	18	N/A	0
OTB36	5.5	100	0.24	5	0	8
LTB91	9.1	4290	0.23*	33	0.19*	34
OTB66	2.6	2010	0.23*	25	0.04	23
OTB51	4.8	112	0.06	11	0	9
LTB93	5.8	1208	0.06	7	0.32	10
MTB13	4.0	2100	0.04	7	0.24	7
MTB33	8.4	2130	0.03	9	0.39	6
LTB23	9.0	3900	0.01	29	0.08	32
LTB25	2.4	915	0.01	7	0	7
MTB28	3.5	2990	0.00	16	0	17
HB73	2.3	1800	N/A	2	0.29†	4
HB80	3.5	142	N/A	1	0.01	3
OTB47	4.40	187	N/A	1	N/A	0
HB6	2.2	312	N/A	1	N/A	0