

# Nanoscale Changes in Collagen are Reflected in Physical and Mechanical Properties of Bone at the Microscale in Diabetic Rats

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## Highlights:

- Multiscale analysis of changes in diet-induced Type 2 diabetes in rats
- Atomic Force Microscopy, Raman Spectroscopy, Reference Point Indentation used
- Nanoscale morphology, mineral:matrix ratios, microscale mechanics altered
- Colocalized Raman and Reference Point Indentation were significantly correlated
- Mineral:matrix changes reflect stiffening of matrix, possibly due to glycation

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## 1     **ABSTRACT**

2             Diabetes detrimentally affects the musculoskeletal system by stiffening the collagen  
3     |     matrix due to increased advanced glycation end products (AGEs). In this study, tibiae and tendon  
4     |     from Zucker diabetic Sprague-Dawley (ZDSD) rats were compared to Sprague-Dawley derived  
5     |     controls (CD) using Atomic Force Microscopy. ZDSD and CD tibiae were compared using  
6     |     Raman Spectroscopy and Reference Point Indentation (RPI). ZDSD bone had a significantly  
7     |     different distribution of collagen D-spacing than CD ( $p=0.015$ ; ZDSD n=294 fibrils; CD n=274  
8     |     fibrils) which was more variable and shifted to higher values. This shift between ZDSD and CD  
9     |     D-spacing distribution was more pronounced in tendon ( $p<0.001$ ; ZDSD n=350; CD n=371).  
10     |     Raman revealed significant increases in measures of bone matrix mineralization in ZDSD ( $PO_4^{3-}$   
11     |      $v1/Amide\ I\ p=0.008$ ;  $PO_4^{3-}\ v1/CH_2\ wag\ p=0.047$ ; n=5 per group) despite lower bone mineral  
12     |     density (aBMD) and ash fraction indicating diabetes may preferentially reduce the Raman  
13     |     signature of collagen. Decreased indentation distance increase ( $p=0.010$ ) and creep indentation  
14     |     distance ( $p=0.040$ ) measured by RPI (n=9 per group) in ZDSD rats suggest a matrix more  
15     |     resistant to indentation under the high stresses associated with RPI at this length scale. There  
16     |     were significant correlations between Raman and RPI measurements in the ZDSD population  
17     |     (n=18 locations) but not the CD population (n=16 locations) indicating that while RPI is  
18     |     relatively unaffected by biological noise, it is sensitive to disease-induced compositional  
19     |     changes. In conclusion, diabetes in the ZDSD rat causes changes to the nanoscale morphology of  
20     |     collagen that result in compositional and mechanical effects in bone at the microscale.

## 21     **KEYWORDS**

22     |     Zucker diabetic Sprague-Dawley, Atomic Force Microscopy, Raman Spectroscopy, Reference  
23     |     Point Indentation, D-spacing, Advanced Glycation End Products

## 1 1. INTRODUCTION

2 Diabetes is a widespread problem affecting over 25.8 million people in the United States  
3 alone (8.3% of the US population), with 90-95% of cases in adults being type 2 diabetes mellitus  
4 (non-insulin-dependent diabetes mellitus, T2DM) [1]. T2DM has detrimental impacts on  
5 multiple organ systems including the renal, circulatory and nervous systems. There are  
6 destructive effects on the musculoskeletal system as well, although studies are limited in  
7 comparison to other systems. T2DM patients have a higher fracture risk despite having a higher  
8 BMD [2]. The increased fracture risk can partially be explained by increased fall risk as a result  
9 of complications from T2DM such as peripheral neuropathy and impaired vision [3]. Reductions  
10 in bone quality may also play a role, and while studies in humans are limited, intrinsic  
11 mechanical properties of bone in animal models of diabetes are reduced [4, 5].

12 The reduction in bone quality with T2DM is likely the result of chronic hyperglycemia  
13 increasing the presence of advanced glycation end products (AGEs) [6] which form when  
14 reducing sugars react with free amino groups in proteins. Permanent non-enzymatic crosslinks in  
15 collagen form when sugars react with Lysine or Hydroxylysine residues and the subsequent  
16 Amadori product reacts with neighboring Lysine or Arginine residues [7]. In contrast to  
17 enzymatic crosslinks which are beneficial to bone quality and are produced in a tightly regulated  
18 process, non-enzymatic crosslinks form randomly [7]. The non-enzymatic crosslinks presumably  
19 reduce bone quality by inhibiting collagen fibril slip [8-10], which is theorized to be a major  
20 toughening mechanism for bone at higher length scales [10, 11]. However, few studies have  
21 directly investigated the collagen ultrastructure in diabetic tissues and made attempts to link  
22 these changes to compositional and mechanical changes at higher length scales.

1           The purpose of this work was to study the nanoscale morphology of Type I collagen in  
2 mineralized and non-mineralized tissues from normal and diabetic rats, and to investigate  
3 possible effects of diabetes on mineral chemistry and tissue mechanical properties. A novel  
4 mechanical testing technique known as Reference Point Indentation (RPI) was utilized to probe  
5 the mechanical effects at the microscale. Raman spectroscopy was used to investigate alterations  
6 to the chemical composition. In a subset of samples, Raman spectroscopy was co-localized with  
7 RPI to investigate correlations between composition and the RPI device BioDent Hfc. We  
8 hypothesized that diabetes-induced effects would be detected through alterations in the nanoscale  
9 morphology of collagen, changes in bone Raman signatures, increased matrix stiffness and  
10 increased indentation depth increase in diabetic rats.

11

## 2. MATERIALS AND METHODS

### 2.1 Animals

Male Sprague-Dawley-derived control rats (CD: Charles River Laboratory, Wilmington, MA) and male Zucker diabetic Sprague-Dawley rats (ZDSD: PreClinOmics, Indianapolis, IN) were used with prior approval. At 17 weeks of age, all animals were switched from regular chow (Purina 5008) to a high fat test diet (Test diet 5SCA) for two weeks to induce T2DM in the ZDSD rats generally by 18 weeks of age. At 19 weeks of age, all animals were switched back to regular chow until sacrifice by CO<sub>2</sub> inhalation at 30 weeks of age. Weekly glucose monitoring following the initiation of the high fat diet and blood serum analysis at 30 weeks of age verified that each ZDSD rat maintained a blood glucose level that was above the diabetic threshold (>250 mg/dl) after returning to regular chow. Following sacrifice, right tibiae and tails were harvested, wrapped in saline-soaked gauze and stored at -20°C until needed (n=9 per group).

### 2.2 Atomic Force Microscopy

From the tibia (n=4 per group), a 12 mm section of the diaphysis surrounding the tibia-fibula junction (TFJ) was removed using a low-speed sectioning saw, mounted anterior-side up to a steel disk with cyanoacrylate glue, and polished using a 3 µm diamond suspension to create a flat face parallel to the anterior surface. Each section was treated for 20 minutes with 0.5M ethylenediaminetetraacetic acid (EDTA) at a pH of 8.0 followed by sonication for 5 minutes in water. This process was repeated 4 times. Samples were imaged using a BioScope Catalyst AFM in peak force tapping mode (Bruker, Santa Barbara, CA). Images were acquired from 4-5 locations in each bone using a silicon cantilever with a silicon probe (tip radius ~ 8 nm). At each location, 10-15 fibrils were analyzed in 3.5 µm x 3.5 µm error images (~70 fibrils per bone)

1 using 2D Fast Fourier Transforms (2D FFT) as previously described [12-15]. Briefly, 2D FFTs  
2 were performed on individual fibrils and the first harmonic peak from the power spectrum was  
3 used to determine the D-periodic spacing for that fibril.

4 From the tail of each rat (n=4 per group), ~75 mm lengths of individual tendon fascicles  
5 were removed and placed in phosphate buffered saline (PBS). Each fascicle was placed on a  
6 glass slide and gently flattened with curved forceps. The fascicle was allowed to dry just long  
7 enough to adhere to the glass before imaging in air. Samples were imaged using a BioScope  
8 Catalyst AFM in peak force tapping mode. For at least three fascicles per tail sample, images  
9 were acquired from 1-2 locations using a silicon nitride cantilever with a silicon probe (tip radius  
10 ~ 2 nm). At each location, 10-15 fibrils were analyzed in 5  $\mu\text{m}$  x 5  $\mu\text{m}$  error images (~90 fibrils  
11 per tail) using 2D FFTs.

### 12 ***2.3 Raman Spectroscopy***

13 From the remaining tibia (n=5 per group), a 12 mm section surrounding the TFJ was  
14 created, mounted anterior-side up to a steel disc and stripped of the periosteum along the  
15 periosteal surface by scraping with a scalpel. Reference marks were added to the proximal and  
16 distal ends of the section. The relative distance between each reference mark was recorded to  
17 allow for registration with Reference Point Indentation (RPI). Five locations were imaged, ~1-2  
18 mm apart, along the native anterior surface of bone while the rest of the bone was submerged in  
19 a PBS bath. Raman spectroscopy was performed using a LabRAM HR 800 Raman Spectrometer  
20 (HORIBA Jobin Yvon, Edison, NJ) with an integrated BX41 microscope (Olympus, Tokyo,  
21 Japan). A 660 nm laser was focused on the surface using a 50X objective (NA=0.75) to a spot  
22 size of ~10  $\mu\text{m}$  and five 20 sec acquisitions were averaged at each location. After a five point

1 | linear baseline correction in LabSpec 5 (HORIBA Jobin Yvon), OriginPro 8.6 (OriginLab,  
2 Northampton, MA) was used to fit a single Gaussian peak to the phosphate  $\text{PO}_4^{3-}$   $\nu_1$  peak and to  
3 integrate the peaks corresponding to  $\text{PO}_4^{3-}$   $\nu_1$ ,  $\text{CO}_3^{2-}$   $\nu_1$ , Amide III,  $\text{CH}_2$  wag, and the Amide I  
4 | envelope (Figure 1). Wavenumber ranges for each peak were kept standard across all spectra and  
5 were chosen based on previous literature [16, 17] and to reduce contributions of either other  
6 peaks or noise. Type B carbonate substitution was found by the band area ratio of  $\text{CO}_3^{2-}$   $\nu_1$ /  
7  $\text{PO}_4^{3-}$   $\nu_1$ . The mineralization of the matrix was determined by the band area ratios  $\text{PO}_4^{3-}$   
8  $\nu_1$ /Amide I,  $\text{PO}_4^{3-}$   $\nu_1$ /  $\text{CH}_2$  wag, and  $\text{PO}_4^{3-}$   $\nu_1$  /Amide III. Crystallinity/maturity was determined  
9 by 1/full width at half maxima (FWHM) of the  $\text{PO}_4^{3-}$   $\nu_1$  peak.

#### 10 ***2.4 Reference Point Indentation***

11 RPI was performed using a BioDent Hfc (Active Life Scientific, Santa Barbara, CA) with  
12 Bone Probe 3 (BP3) in the region proximal to where the 12 mm sections were excised for either  
13 Raman or AFM imaging (n=9 per group). Starting at least 2 mm from the distal end of the  
14 section, five locations 1-2 mm apart were indented using the Bone Probe 3 (BP3) along the  
15 anterior surface of the bone while in a PBS bath. Ten cycles of a 5 N indentation force were  
16 applied at a frequency of 2 Hz. A custom Matlab (Mathworks, Natick, Massachusetts) program  
17 was used to calculate the 1<sup>st</sup> cycle indentation distance (ID 1<sup>st</sup>), 1<sup>st</sup> cycle energy dissipation (ED  
18 1<sup>st</sup>), 1<sup>st</sup> cycle unloading slope (US 1<sup>st</sup>), 1<sup>st</sup> cycle creep indentation distance (CID 1<sup>st</sup>), indentation  
19 distance increase (IDI), total indentation distance (TID), total energy dissipation (ED Tot),  
20 average creep indentation distance (CID Avg), average energy dissipation from cycles 3-10 (ED  
21 | Avg), and average unloading slope (US Avg) [18]. At the start of each indentation day, a  
22 standard block of polymethyl methacrylate (PMMA) was indented with the above protocol to  
23 serve as an internal standard.

1 In a subset of bones (n=4 per group), additional RPI testing was performed in order to  
2 regionally co-localize indents with previously acquired Raman spectra (n=16 locations in CD,  
3 n=18 locations in ZDSD). Due to the update to the BioDent Hfc from the previous Biodent  
4 system mid-study, one bone from each group was excluded from the original five per group for  
5 Raman. In addition, six locations between both groups were slightly off center causing the  
6 reference probe to slip during testing and were excluded yielding n=16 and n=18 locations in CD  
7 and ZDSD, respectively. Using a custom stage and the reference marks at the end of each  
8 section, a VMS-004D microscope (Veho, Hampshire, UK) mounted to the BioDent, and the  
9 relative geometry between each location and the reference marks, RPI measurements were  
10 registered to within approximately 200-400  $\mu\text{m}$  of the Raman locations. Indentations were  
11 performed using the same indentation protocol as above while the samples were kept hydrated in  
12 a PBS bath.

### 13 ***2.5 Statistical Analysis***

14 Mean comparisons between CD and ZDSD were analyzed with a Student's t-test.  
15 Locations within the same sample were averaged to yield a single sample value for mean  
16 comparisons between groups. If normality was violated (determined by a Shapiro-Wilk test with  
17 a  $p < 0.05$ ), a Wilcoxon rank-sum test was performed. If homoscedasticity was violated  
18 (determined by a Brown-Forsythe test with a  $p < 0.05$ ), a t-test was performed using the  
19 Satterthwaite approximation. In the RPI dataset with n=9 per group, the day indentation was  
20 performed was a suspected nuisance factor. To overcome the increased variability associated  
21 with this factor, blocking was implemented with a two-way ANOVA with indentation day as a  
22 fixed effect for all RPI parameters for mean comparison. Violations of normality or  
23 homoscedasticity were overcome with a Poisson's transformation when needed. To compare the



1 distribution of fibril D-spacing from AFM, a Kolmogorov–Smirnov test (KS test) was employed.  
2 For examining correlations between the co-localized Raman and RPI measures, a Pearson  
3 product-moment correlation was calculated for each group and tested for significance. For all  
4 tests, two-sided tests were used and  $p < 0.05$  was considered significant. SAS 9.3 (SAS, Cary,  
5 NC) was used to perform all tests. All data are presented as mean  $\pm$  standard deviation (SD).

6

## 3. RESULTS

### 3.1 Animal Information

All ZDSD rats had a blood glucose level above 250 mg/dl while no CD rat was above 250 mg/dl. As seen in Table 1, ZDSD had a significantly lower body weight ( $p<0.001$ ), a significantly higher glucose level ( $p<0.001$ ), and a significantly higher percentage of glycated hemoglobin (Hb1Ac;  $p<0.001$ ) than CD. ZDSD had lower mineralization as measured by aBMD from DEXA ( $p<0.001$ ) and ash fraction ( $p<0.001$ ) compared to CD (Table 1).

### 3.2 Atomic Force Microscopy

Measurements within each sample were averaged to yield the mean fibril spacing for that sample. In bone, the overall mean D-spacing was  $66.1 \pm 0.8$  nm for CD and  $66.5 \pm 1.5$  nm for ZDSD with no statistical difference between group means ( $p=0.584$ ). Fibril D-spacing distributions were significantly different between groups (Figure 2a,  $n=274$  in CD,  $n=294$  in ZDSD,  $p=0.015$ ). In tendon, the overall mean D-spacing was  $68.4 \pm 0.2$  nm for CD and  $68.6 \pm 1.2$  nm for ZDSD with no statistical difference between group means ( $p=0.699$ ). Fibril D-spacing distributions were significantly different between groups (Figure 2b,  $n=371$  in CD,  $n=350$  in ZDSD,  $p<0.001$ ). While mean D-spacing was not different between groups in either tissue type, ZDSD had a significantly different distribution of D-spacing values in both tissues indicating that collagen morphology is altered in this model of T2DM.

### 3.3 Raman spectroscopy

Mineral chemistry was not different between groups for either crystallinity/maturity ( $0.0545 \pm 0.001$  for CD and  $0.0548 \pm 0.001$  for ZDSD,  $p=0.492$ ) or type B carbonate substitution

1 (0.246 ± 0.016 for CD and 0.257 ± 0.011 for ZDSD, p=0.260). As seen in Figure 3, PO<sub>4</sub><sup>3-</sup>  
2 v1/Amide I and PO<sub>4</sub><sup>3-</sup> v1/ CH<sub>2</sub> wag were significantly increased in ZDSD (p=0.008 and p=0.047,  
3 respectively) while PO<sub>4</sub><sup>3-</sup> v1/Amide III maintained the same trend but failed to reach significance  
4 (p=0.064).

### 5 ***3.4 Reference Point Indentation***

6 Using the BioDent Hfc system and a custom Matlab code [18], ten parameters were  
7 extracted from the cyclic indentation force displacement curves and of these ten, the only two  
8 that were significantly different between ZDSD and CD were IDI and CID 1<sup>st</sup> (Table 2). IDI and  
9 CID 1<sup>st</sup> were significantly decreased by 8.8% and 9.7% from CD, respectively, in ZDSD. The  
10 effect of indentation day was successfully blocked and its effect was significant at the p<0.001  
11 level for all parameters except Avg CID and Avg ED which were significant at the p<0.05 level.

### 12 ***3.5 Correlations between Raman Spectroscopy and Reference Point Indentation***

13 Pearson product-moment correlations were calculated for each group to avoid  
14 correlations being driven by distinct population differences between CD and ZDSD, but at the  
15 cost of reduced degrees of freedom. In the CD group, all correlations were weak and  
16 nonsignificant. In the ZDSD group, there were several significant correlations between Raman  
17 and RPI (Table 3). Type B carbonate substitution had significant negative correlations with ID  
18 1<sup>st</sup>, ED 1<sup>st</sup>, TID, and ED Tot. All three parameters used to capture relative mineralization of the  
19 matrix (PO<sub>4</sub><sup>3-</sup> v1/Amide I, PO<sub>4</sub><sup>3-</sup> v1/CH<sub>2</sub> wag, and PO<sub>4</sub><sup>3-</sup> v1/Amide III) had significant positive  
20 correlations with ID 1<sup>st</sup>, ED 1<sup>st</sup>, TID, and ED Tot. Most correlations in ZDSD compared to CD  
21 were much stronger and in most cases in the reverse direction as highlighted by the drastically  
22 different correlations between ED 1<sup>st</sup> and PO<sub>4</sub><sup>3-</sup> v1/Amide I (Figure 4).

#### 4. DISCUSSION

This study investigated the morphological, chemical, and mechanical effects of a T2DM condition in the ZDSD rat. The ZDSD rat gradually develops overt hyperglycemia due to dietary manipulation, as opposed to the leptin receptor defect in ZDF or chemical treatment with streptozotocin (STZ), more closely mimicking the conditions of T2DM in humans [19]. While it is widely believed that AGEs are the driving mechanism behind changes observed in bone from T2DM patients and animal models of the disease [19], AGE levels were not quantified here but the early glycation product Hb1Ac was significantly increased over 2.5 times that of CD (Table 1). However, Sprague-Dawley rats treated with STZ [20], spontaneously diabetic WBN/Kob rats [21], and spontaneously diabetic BB/Wor/Mol\BB rats [22] all indicate that rodent models of diabetes have significantly increased AGE accumulation in bone [20, 21] and in tendon [22]. Therefore, this study was designed under the assumption that AGE accumulation is also present in the bones of ZDSD rat.

D-spacing changes have been observed with estrogen deficiency [12, 13] and with genetic changes in collagen leading to Osteogenesis Imperfecta [14, 23]. Changes in collagen under these disease conditions are not restricted to bone [13, 23]. No differences in D-spacing distribution were noted between different tissue types in healthy mice [24], or between the bones of healthy male and female mice (unpublished result). Alternatively, differences in D-spacing may arise from strain specific effects due to the development of the ZDSD model from lean Zucker diabetic fatty (ZDF) *fa*/+ and Sprague-Dawley derived parent strains. However, comparing mixed background mice to a parent strain from two previous studies did not indicate strain specific changes in D-spacing after accounting for different system calibrations. Therefore, we are confident that the structural changes in collagen in the bone and tendon of diabetic rats

1 noted here are real manifestations of the disease. In bone, the D-spacing distribution is wider and  
2 | shifted higher in ZDSD as previously observed by Odetti in the tendons of another diabetic rat  
3 model [22]. For the CD bone fibril population, 70% of all fibrils fell within the mean  $\pm$  1 SD  
4 (63.6 nm to 68.6 nm) while only 67% of the ZDSD fibrils fell within this same range. The ZDSD  
5 population was shifted to higher D-spacings, with 17% of fibrils above this range versus 14% in  
6 CD fibrils. In order to separate the impact of mineralization from the D-spacing analysis, tendon  
7 fibrils from each group were also investigated to verify that observed shifts in the ZDSD  
8 population were inherent to collagen and not due to mineral or the interaction between organic  
9 and inorganic components. The wider and higher-shifted distributions in ZDSD bone were more  
10 pronounced in tendon as can be clearly observed in Figure 2. In CD tendons, almost 74% of all  
11 fibrils fall within the mean  $\pm$  1 SD (67.3 nm to 69.4 nm) while only 55% of the ZDSD fibrils fall  
12 within this same range. The ZDSD tendon fibrils were shifted higher, with 27% of fibrils above  
13 this range versus 12% in CD fibrils. The shifts in bone were more subtle, likely due to the  
14 presence of intrafibrillar mineral masking the dramatic effect observed in the non-mineralized  
15 tendon collagen fibrils.

16 Altered D-spacing was likely driven by AGE-induced non-enzymatic crosslinks within  
17 the collagen matrix, and although pentosidine was not directly measured in this study, it has been  
18 shown to increase in other rat models of diabetes [20-22]. These non-enzymatic crosslinks could  
19 induce internal stress within the collagen matrix causing fibrils to locally unwind or whole fibrils  
20 | to stretch/compress, resulting in the D-spacing changes here and the previously altered radius  
21 and gap depth observed [22]. Altered collagen morphology could affect tissue mechanics  
22 independently or in addition to overall collagen matrix stiffening and altered mineralization  
23 nucleation and growth. Although a previous study did not report changes in ovine bone due to *in*

1 *vitro* glycation [25], other studies study showed shifts in both diabetic [22] and glycated samples  
2 [8, 22]. We observed shifts in both bone and tendon that were consistent with the latter studies  
3 supporting our conclusion that collagen nanoscale morphology is altered as a manifestation of  
4 diabetes and this is likely due to the presence of AGEs.

5 Ash fractions and bone mineral density measurements performed on femora from the  
6 animals used in this study revealed lower mineralization in ZDSD samples (Table 1). However,  
7 Raman spectroscopy revealed an increase in matrix mineralization based on all three  
8 mineral/matrix metrics here (Figure 3). This discrepancy could be attributed to differences  
9 between sampling volume for the two techniques. Confocal Raman microscopy was largely  
10 restricted to the first ~50  $\mu\text{m}$  in depth on the surface whereas ash weight fractions were a global  
11 measurement of the entire bone. Another possibility is that as a consequence of AGE-induced  
12 crosslinks, the vibrational freedom of the collagen structure was reduced thereby decreasing the  
13 Raman signatures of the matrix (Amide I, Amide III, and  $\text{CH}_2$  wag bands). If AGEs reduce  
14 matrix band signatures, thereby increasing mineral to matrix parameters, the greatest change  
15 would be expected in the  $\text{PO}_4^{3-}$   $\nu_1$ /Amide I ratio and the mildest effect in  $\text{PO}_4^{3-}$   $\nu_1$ /Amide III  
16 because of Amide I's sensitivity and Amide III's relative stability [26]. This expected trend was  
17 seen in the data presented here. Experiments are underway to verify that the increase in mineral  
18 to matrix ratios observed here were due to the presence of AGEs. The potential reduction of the  
19 matrix bands in bone caused by AGEs was an important finding because as in other tissues [27,  
20 28], Raman spectroscopy could be developed as means to validate the presence of AGEs in bone  
21 without the destructive processing of traditional colorimetric [29] or mass spectroscopy-based  
22 assays [30].

1           The lower maximum indentation forces used here (5 N versus the previously used 10 N)  
2 may be an important reason why previous RPI data in ZDSD femora and L3 vertebral bodies  
3 showed a significant increase in IDI [31] compared to our observed decrease in the tibiae.

4           Decreasing indentation force from 10 N to 5 N reduces indentation depth which presumably  
5 indents less bone that was formed before the initiation of the diabetic condition. The lower IDI  
6 suggests that the matrix of the ZDSD bones is more resistant to indentation, presumably due to  
7 the AGE-induced crosslinks. The cyclic indentation used by RPI likely captures elastic  
8 deformation, plastic deformation, and fracture toughness. The changes observed here are likely  
9 due to a combination of increased resistance to plastic deformation and altered fracture  
10 toughness because metrics related to stiffness, i.e. resistance to elastic deformation, are not  
11 changed as seen in Table 2 for US 1<sup>st</sup> and Avg US. The increased resistance to plastic  
12 deformation in ZDSD is supported by the decrease in CID 1<sup>st</sup> and the nonsignificant trends in  
13 CID, ID 1<sup>st</sup>, TID, ED 1<sup>st</sup>, supporting that ZDSD resists plastic deformation and indentation better  
14 than CD at this length scale. AGEs are shown to increase stiffness of demineralized collagen  
15 matrix [29], presumably by reducing collagen fibril slip [8-10] which is believed to be a major  
16 intrinsic toughening mechanism in bone [11]. Reduced fibril slip may account for the resistance  
17 to indentation observed here at the microscale and likely influences the bone's macroscale  
18 fracture toughness.

19           The disparity between length scales and the abnormally high levels of stress induced by  
20 RPI could explain why a diabetic model with known decreases in failure force and stiffness  
21 using traditional bending tests [31] could exhibit properties indicative of a stiffer bone matrix  
22 using RPI. Only the outer 40-60  $\mu\text{m}$  of the cortex in a  $\sim 100 \mu\text{m}$  area is investigated with the  
23 BioDent system compared to a several millimeter cross section of the whole bone using bending.

1 RPI also exerts very high stresses on the bone in the range of 10 GPa-690 MPa from indentation  
2 distances 10-40  $\mu\text{m}$  compared to the 200 MPa maximum bending stresses from traditional tests  
3 [32]. These major dissimilarities could explain the differences observed between RPI and  
4 traditional bending tests as the ZDSD bone benefits from reduced fibril slip to resist indentation  
5 using RPI but the loss of this intrinsic toughening mechanism [11] drives the reduced mechanical  
6 properties typically associated with AGE accumulation observed at higher length scales and  
7 lower stresses [33].

8 RPI was used to assess the effects of the diabetic condition on indentation parameters in  
9 the proximal end of the tibia. Testing for this portion of the study took place over a three day  
10 period, but all indentations used the same probe assembly. During these indentations, the IDI of a  
11 standard PMMA block was used as a system check at the start of each day. It was noted that IDI  
12 was reduced in the PMMA sample for the last day. This effect was likely due to the probe  
13 nearing the end of its 150 indent lifespan. For the analysis of this set of RPI data, the indentation  
14 day was included as a fixed effect on the RPI parameters. Examining the data from all  
15 indentations confirmed that the changes occurred uniformly across all samples indented on that  
16 day. If the data from the last day were removed yielding n=6 per group, the percentage change  
17 between groups for all parameters was uniformly shifted up by 1-2% with slightly higher p-  
18 values for CID 1st (p=0.037) and IDI (p=0.068) attributable to the lower sample size. To  
19 minimize the potential for this effect in the future, care should be taken to indent over as few of  
20 days as possible and to monitor the lifespan of the probe assembly.

21 RPI is an emerging technique in bone research, but the mechanical parameters associated  
22 with the technique can be difficult to interpret. Although indentation distance increase has been  
23 found to inversely correlate with toughness [31], correlations with other established techniques



1 in bone research such as Raman are needed. It is clear that while Raman and RPI were not  
2 correlated in CD animals, there were many strong and significant correlations in ZDSD animals  
3 (Table 3). The lack of parity between CD and ZDSD as demonstrated in Figure 4 indicates that  
4 RPI is largely unaffected by biological variation in normal tissue but proportionally reflects  
5 compositional changes due to variations of disease severity. The strong relationships between the  
6 Raman parameters affected by the possible increased AGE accumulation and RPI parameters  
7 indicate that RPI might reveal changes associated with level of glycation, opening new research  
8 avenues utilizing this novel technique. However, the small sample size (n=4 per group) for the  
9 distal tibiae sections did not allow for mean comparison between groups to verify these changes.  
10 The correlations to RPI for  $\text{CO}_3^{2-}$  v1/  $\text{PO}_4^{3-}$  v1 in ZDSD demonstrated that RPI is sensitive to  
11 subtle changes in mineral chemistry.

12 As opposed to the approach taken for indentations performed in the proximal tibia, day of  
13 indentation was not considered for the correlation analysis in the distal tibia. On the three days of  
14 indentation used for the correlation analysis, no differences were noted in the standard PMMA  
15 indentations. The effect noted in the proximal tibia was likely absent here because the indents at  
16 these two locations were performed on different days. Additionally, the probe assembly used for  
17 the correlation analysis was different than the probe assembly used for the group analysis and the  
18 total number of indents for the correlations was less than half of the total number of indents for  
19 comparisons between groups. A potential limitation of our correlation analysis was that our  
20 locations were only co-localized to within 200-400  $\mu\text{m}$  of each other due to registration  
21 difficulties while visualizing the reference marks during RPI. Despite this spatial co-localization  
22 error, the many highly significant and reasonably strong correlations in ZDSD suggest that

1 Raman and RPI are regionally correlated in this disease model and this correlation could  
2 potentially be strengthened with increased spatial resolution in the co-localization.

3

1     **5. CONCLUSIONS**

2             In the ZDSD model of T2DM, the nanoscale morphology of Type I collagen was altered  
3 in bone and tendon. RPI detected mechanical effects at the microscale from diabetes suggests a  
4 matrix more resistant to plastic deformation presumably due to non-enzymatic crosslinks  
5 toughening the matrix against the high stresses caused by RPI. Reductions in the mineral to  
6 matrix band area ratios as measured by Raman spectroscopy may be able to detect the stiffening  
7 of the collagen matrix and should be explored as a possible test to verify the presence of AGEs in  
8 bone and other tissues. Raman spectroscopy and RPI had many strong correlations in ZDSD  
9 bone but not in CD samples indicating RPI metrics are a function of disease-induced  
10 compositional changes but are largely unaffected by biological noise in healthy tissues. These  
11 correlations will be explored further in future studies. Diabetes in the ZDSD rat causes  
12 morphological changes to the collagen matrix which are reflected in the composition and  
13 mechanics of the tissue.

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18

1 **FIGURE CAPTIONS**

2 **Figure 1.** Band area ratios for Raman spectroscopy. The  $\text{PO}_4^{3-}$   $\nu_1$  peak was fit with a Gaussian  
3 curve centered on  $\sim 960 \text{ cm}^{-1}$ . Each peak's area was found by integrating from  $930\text{-}980 \text{ cm}^{-1}$  for  
4 the  $\text{PO}_4^{3-}$   $\nu_1$  peak, from  $1055\text{-}1090 \text{ cm}^{-1}$  for the  $\text{CO}_3^{2-}$   $\nu_1$  peak, from  $1220\text{-}1300 \text{ cm}^{-1}$  for the  
5 Amide III peak, from  $1410\text{-}1490 \text{ cm}^{-1}$  for  $\text{CH}_2$  wag peak, and from  $1550\text{-}1720 \text{ cm}^{-1}$  for the  
6 Amide I envelope. Band area ratios were calculated from the integrated area. Crystallinity was  
7 found as the inverse of the FWHM from the fitted  $\text{PO}_4^{3-}$   $\nu_1$  peak.

8 **Figure 2.** Cumulative distribution functions for CD and ZDSD in bone and tendon. ZDSD had  
9 significantly different distributions of collagen D-spacing in bone ( $n=274$  in CD,  $n=294$  in  
10 ZDSD,  $p=0.015$ ) and tendon ( $n=371$  in CD,  $n=350$  in ZDSD,  $p<0.001$ ) compared with CD rats.  
11 The ZDSD distribution is wider and shifted higher in both tissues with differences more  
12 pronounced in tendon.

13 **Figure 3.** Mineral to matrix ratios increase in ZDSD.  $\text{PO}_4^{3-}$   $\nu_1$ /Amide I was significantly  
14 increased ( $p=0.008$ ) by a margin nearly double that of  $\text{PO}_4^{3-}$   $\nu_1$ / $\text{CH}_2$  wag and  $\text{PO}_4^{3-}$   $\nu_1$ /Amide III.  
15  $\text{PO}_4^{3-}$   $\nu_1$ / $\text{CH}_2$  wag was significantly increased ( $p=0.047$ ) while  $\text{PO}_4^{3-}$   $\nu_1$ /Amide III was not  
16 significantly increased ( $p=0.064$ ).  $n=5$  per group \*  $p<0.05$

17 **Figure 4.** ED 1st to  $\text{PO}_4^{3-}$ /Amide I correlation. The ZDSD and CD populations have markedly  
18 different correlations. ZDSD  $\text{PO}_4^{3-}$ /Amide I had a significant moderately strong positive  
19 correlation with 1st cycle energy dissipation ( $n=18$ ,  $R^2=0.625$ ,  $p<0.001$ ) whereas CD had a mild  
20 negative and non-significant correlation ( $n=16$ ,  $R^2=0.168$ ,  $p=0.114$ ).

21

Figure 1

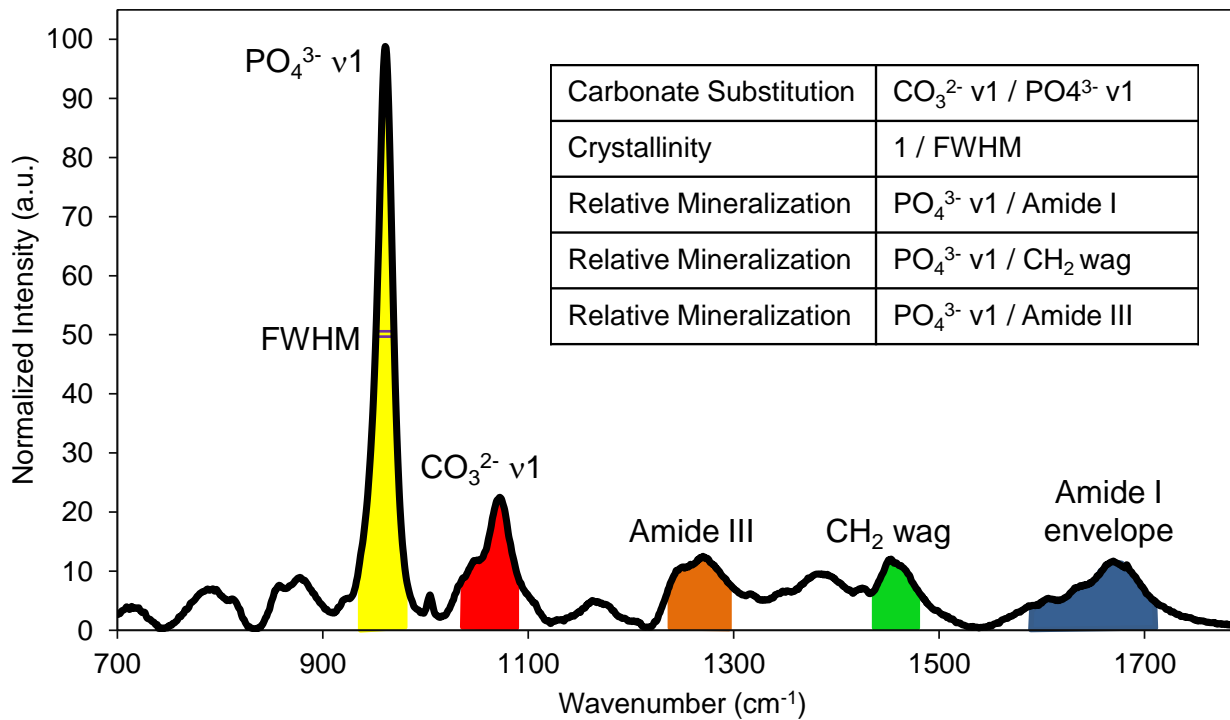


Figure 2

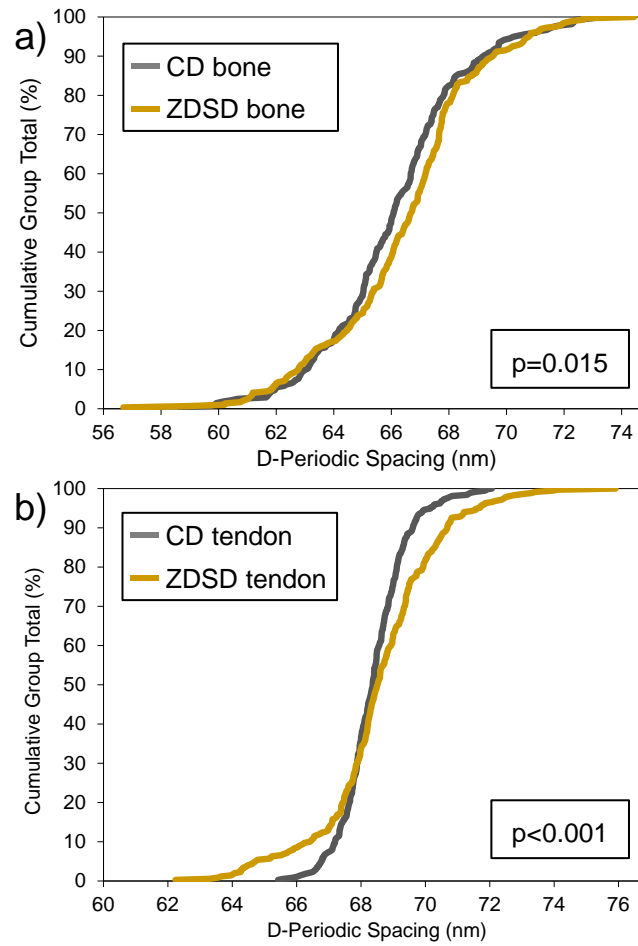


Figure 3

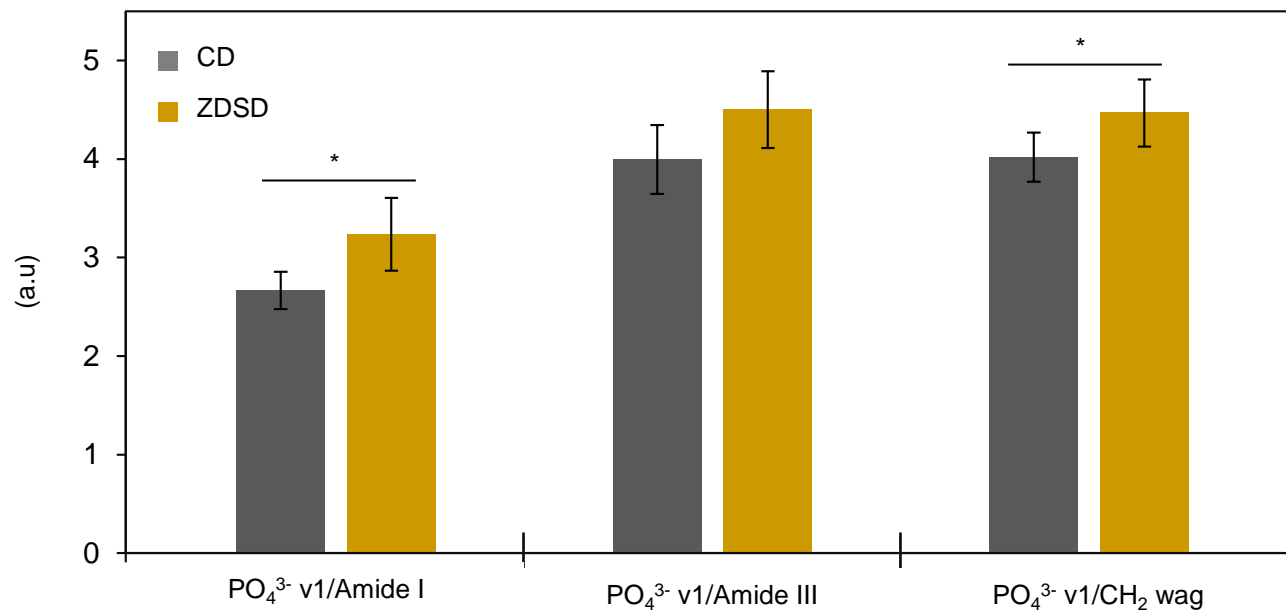
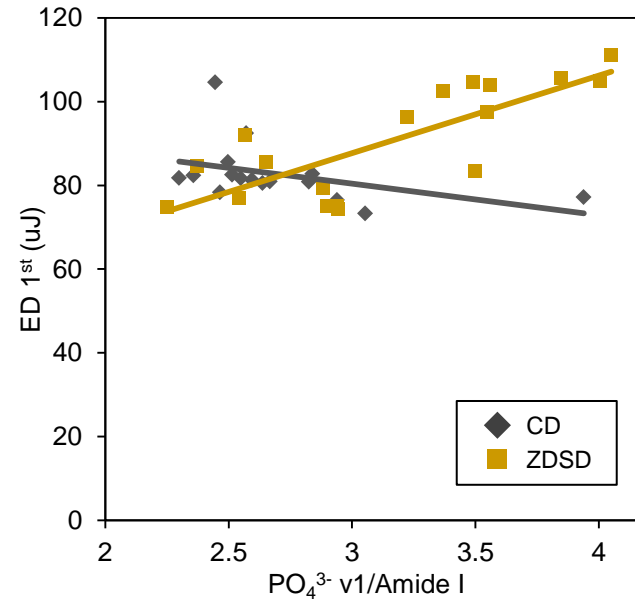


Figure 4





1

2 **TABLES**3 **Table 1.** Animal information at 30 weeks of age.

|                           | CD                 | ZDSD               | p-value          |
|---------------------------|--------------------|--------------------|------------------|
| Glucose (mg/dl)           | 139 ± 8            | 633 ± 90           | <b>&lt;0.001</b> |
| Weight (g)                | 652 ± 48           | 490 ± 25           | <b>&lt;0.001</b> |
| Hb1Ac (%)                 | 3.32 ± 0.27        | 8.7 ± 0.72         | <b>&lt;0.001</b> |
| Ash Fraction (%)          | 63.33 ± 0.96       | 59.81 ± 0.69       | <b>&lt;0.001</b> |
| aBMD (g/cm <sup>2</sup> ) | 0.2557 ±<br>0.0124 | 0.2093 ±<br>0.0082 | <b>&lt;0.001</b> |

Values presented as mean ± SD. n=9 per group

4 **Table 2.** RPI measurements from proximal tibia.

|                     | CD            | ZDSD          | ZDSD<br>vs CD | Blocking<br>By Day |
|---------------------|---------------|---------------|---------------|--------------------|
| ID 1 <sup>st</sup>  | 43.4 ± 5.3    | 42.1 ± 5.0    | 0.316         | <b>&lt;0.001</b>   |
| ED 1 <sup>st</sup>  | 80.6 ± 12.8   | 77.1 ± 11.8   | 0.188         | <b>&lt;0.001</b>   |
| US 1 <sup>st</sup>  | 0.421 ± 0.040 | 0.423 ± 0.060 | 0.862         | <b>&lt;0.001</b>   |
| CID 1 <sup>st</sup> | 3.57 ± 0.57   | 3.23 ± 0.38   | <b>0.010</b>  | <b>&lt;0.001</b>   |
| IDI                 | 6.87 ± 1.22   | 6.27 ± 0.92   | <b>0.040</b>  | <b>&lt;0.001</b>   |
| TID                 | 47.5 ± 6.0    | 45.9 ± 5.5    | 0.253         | <b>&lt;0.001</b>   |
| ED Tot              | 195.2 ± 25.6  | 189.2 ± 21.2  | 0.418         | <b>&lt;0.001</b>   |
| Avg CID             | 1.30 ± 0.14   | 1.22 ± 0.10   | 0.122         | <b>0.002</b>       |
| Avg ED              | 11.8 ± 1.7    | 11.6 ± 1.2    | 0.730         | <b>0.047</b>       |
| Avg US              | 0.437 ± 0.043 | 0.438 ± 0.064 | 0.964         | <b>&lt;0.001</b>   |

Values presented are mean ± SD for groups and p-values for comparisons. P-values are from a two-way ANOVA with group and day of indentation as the fixed effects. n=9 per group.

1 **Table 3.** Raman to RPI correlations in ZDSD.

|   | ID 1 <sup>st</sup> | ED 1 <sup>st</sup> | US 1 <sup>st</sup> | CID 1 <sup>st</sup> | IDI    | TID            | ED Tot         | Avg<br>CID | Avg<br>ED | Avg<br>US |
|---|--------------------|--------------------|--------------------|---------------------|--------|----------------|----------------|------------|-----------|-----------|
| Crystallinity   | -0.023             | -0.142             | -0.349             | -0.046              | 0.161  | 0.010          | 0.291          | 0.175      | 0.429     | -0.250    |
| CO <sub>3</sub> <sup>2-</sup> v1/<br>PO <sub>4</sub> <sup>3-</sup> v1 | <b>-0.653*</b>     | <b>-0.559*</b>     | -0.202             | 0.076               | 0.460  | <b>-0.609*</b> | <b>-0.475*</b> | -0.039     | -0.268    | -0.239    |
| PO <sub>4</sub> <sup>3-</sup> v1/<br>Amide I                          | <b>0.770**</b>     | <b>0.791**</b>     | 0.146              | 0.347               | -0.199 | <b>0.742**</b> | <b>0.490*</b>  | 0.377      | 0.124     | 0.157     |
| PO <sub>4</sub> <sup>3-</sup> v1/<br>CH <sub>2</sub> wag              | <b>0.654*</b>      | <b>0.674*</b>      | 0.046              | 0.284               | -0.067 | <b>0.647*</b>  | <b>0.511*</b>  | 0.375      | 0.216     | 0.073     |
| PO <sub>4</sub> <sup>3-</sup> v1/<br>Amide III                        | <b>0.647*</b>      | <b>0.612*</b>      | 0.124              | 0.104               | -0.261 | <b>0.623*</b>  | <b>0.604*</b>  | 0.215      | 0.375     | 0.181     |

Values presented are the Pearson product moment correlation coefficients (r). n=18 locations

\* p<0.05, \*\* p<0.001

2

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