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Designing for Dual Submission of ETDs

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DESIGNING FOR DUAL SUBMISSION OF ETDS:

Finding What Works

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USetdA 2013
Claremont, California

OVERVIEW

- 1. Short Bio of FIU
- 2. History of ETDs/ProQuest at FIU
- 3. Perspective
- 4. Implementing, Problems, and Adjustments
- 5. Submission Logistics
- 6. Demonstration
- 7. Recommendations

BIO OF FIU

Florida International University
Public Research University

Miami, FL



2012 Degrees awarded
Master 94 theses
Doctorate 156 dissertations

HISTORY OF ETDS

- Shipped to ProQuest until Spring 2012
- Optional ETD Submission through 2011
- Implemented UMI ETD Administrator Fall 2011
- Dual Submission Spring 2012

HISTORY OF ETDS

ProQuest Decision
Mandatory

vs.

Optional



HISTORY OF ETDS

Optional ProQuest for PhD/EdD

- Time
- Preservation
- Proven Process



All Quality Assurance done through DigitalCommons



Submission by Graduate School only



University of Miami

- ProQuest mandatory for doctoral students
- Students must make both submissions





- ProQuest mandatory for doctoral students
- Students submit to ProQuest wait 3-6 months for ETDs in repository

	FIU	UM	FSU
Two submissions by one party?	No	Yes	No
Delayed IR deposit?	No	No	Yes
Catalog Delay?	No	No	Yes
Mandatory or Optional PQ?	Optional	Mandatory	Mandatory
Staff time (Rank 1=most)	1	3	2

IMPLEMENTING, PROBLEMS, AND ADJUSTMENTS



- Initiated Summer 2011
- Mid-September requested Administrator
- Late-September template site up
- Scheduled walkthrough October
- Live in February 2012

IMPLEMENTING, PROBLEMS, AND ADJUSTMENTS

- Department Fields
 - New Programs
 - Small Programs
- Agreement
 - Pulled agreement out for students
- Sending to ProQuest
 - Backend System Hurdles

IMPLEMENTING, PROBLEMS, AND ADJUSTMENTS

General Counsel

- FERPA
- ProQuest Agreement

Doctoral Students Only (Optional)

The University Graduate School will submit your dissertation (in full-text) to ProQuest's Dissertations & Theses Database. Submissions to this database ensure your research is visible to other researchers via myriad commercial databases. Any embargo that you wish to apply to your DigitalCommons ETD will apply to the ProQuest submission.

By signing below, you agree that you have reviewed and accepted ProQuest's terms available at: http://libquides.fiu.edu/content.php?pid=233174&sid=2464966

		Date
Student	Signature	



SUBMISSION LOGISTICS

- Students submit final paperwork by deadline
- Once paperwork is reviewed, students receive email with instructions for uploading to DigitalCommons
- Upload the file(s)
- Graduate School performs final check

SUBMISSION LOGISTICS

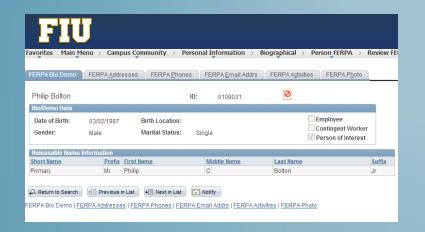
- Assigns Library staff ETD
- Library Staff performs conversion
- Posts Final ETD (continues more processes)
- Library staff sends PDF/As to Grad School
- Grad School makes ProQuest submissions

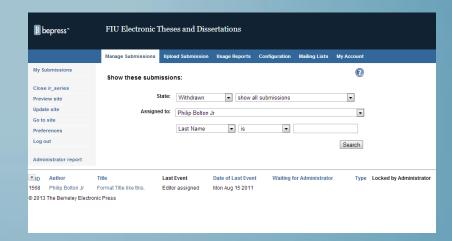
SUBMISSION LOGISTICS

FIU Graduate School Submission to ProQuest

- Opt-in rate = 85%
- 7-9 minutes per submission (max 45)=
 5.25-6.75 hours of staff time

DEMONSTRATION







RECOMMENDATIONS

- Philosophy
 - Dual submission (can inform OA policy logistics)
- Number of submissions per semester
- Existing Policies
 - Optional/Mandatory
 - Mailing in
 - Payment
- Staff (grad school/college vs library)
 - Centralized Graduate School or colleges as facilitators
 - Ability to add duties/time to staff
- Student and support faculty input

RECOMMENDATIONS

Figure out top 2-3 values and build off that.

For FIU that was:

- OPTIONAL submission to ProQuest
- Retaining IR-centric processes & PRESERVATION
- MINIMIZING tasks for students near graduation

Questions, Concerns, Complaints?