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Research article

Modern machine—made washi and the implications for contemporary conservation practice

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Keywords

Materials, properties and behaviour of machine-made oriental papers; clarity in terminology, Takaokashiki Kensuishiki

Abstract

Japanese paper, commonly known as washi, is an important commercial commodity and intrinsic to both Western and Eastern conservation techniques due to its strength, transparency and excellent ageing properties. With modern technology and the slow decline in hand-made papermaking, much of the paper produced in Japan today is machine made-essentially a hybrid of traditional Asian techniques and European influences. How this paper is made and the materials used in its construction are often a closely guarded secret for obvious commercial reasons. Newly developed sizing agents, chemical treatments and the substitution of high quality bast fibres for inferior wood furnishes, are a concern for the conservation profession in regards to possible changes to the papers long- term behaviour, and immediate physical alterations due to the fibres strong orientation towards the machine-grain direction. The article collates and compares the materials and methods used in producing hand and machine-made washi

and considers the potential risks and benefits resulting from current innovations.

The study goes into the heart of paper production and distribution in Japan and as a consequence provides new knowledge to Western audiences. It also serves to clarify certain key technical terms which are currently open to a wide variety of interpretations.

Acknowledgments

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Introduction

During November 2014 UNESCO added Hosokawashi, from Saitama Prefecture, Honminoshi, from Gifu Prefecture and Sekishubanshi, from Shimane Prefecture to its Intangible Cultural Heritage list in a move to boost global awareness of Japanese traditional papermaking which over the years has witnessed a steady decline in favour of machine-made papers. Despite this incentive, the number of handmade paper mills is still decreasing and countless face economic difficulties. Diminishing financial returns has forced many producers to make compromises in the choice and processing of materials which has led to wider variations in quality. In contrast, commercial manufacturers are on the rise having capitalised on the consistency and ability to replicate some of the innate characteristics of handmade papers making distinction between the

two challenging. The broad similarities of terms used by both set of producers also create misunderstandings. For example the term washi, which to the majority of conservators signify high quality Nagashizuki³ paper exclusively made from domestically grown bast fibres, cooked in wood-ash to which neri is added, is now commonly used to describe any paper made in Japan including those composed of chemically bleached⁵ unspecified pulp formed on a machine or via the *Tamezuki* method (Figs. 1&2). Similarly it applies to paper made outside of Japan providing the mill broadly adopts domestic materials and techniques. In addition, names for papers can no longer be relied upon to indicate an entirely traditional, handmade locally produced product. For example Sekishu, which owes its strength and beauty to the unique method of retaining the epidermis of the home-grown kozo bark through careful cooking and hand beating, is just as likely to be composed of machine beaten sulphite pulp and Thai kozo producing an altogether softer and less durable paper. Tengujo/Tenjuto/Tengucho/Tengujoshi, an extremely thin handmade kozo originating in Mino (Gifu) or Tosa (Kochi) prefecture and much exported, is another case in point and could equally be made anywhere in Japan from 100% Manilla hemp or machine- made 'chlorine free' bleached fibres.

Similar or alternative spellings, as noted in the case of *Tengujo*, also add to the confusion. For obvious reasons the conservator would not wish to confuse *Sekushi* of any type, with *Sukushi*, described typically as a partially deinked recycled paper. Whilst these subtleties in terminology may present few issues in the country of origin, conservators, unacquainted with the language, are less likely to be confident in their product knowledge particularly in regards to machine-made papers whose working properties and long-term behaviour are relatively unknown, therefore access to clear and consistent information, via on-line or printed catalogues, is essential. This however, relies on the cooperation of manufacturers and distributors and their willingness to

share and provide technical information in a competitive market. Whilst companies, such as the renowned Awagami and Hidakawashi factories⁹ were open to discussion and helped enormously in understanding the complexities of machine—made papers, this was not always the authors' experience. On the contrary, during other fieldwork visits significant opposition was met to a point that releasing technical information into the public domain was expressly prohibited. Resistance was most keenly felt when discussing chemical sizes/formation aids, bleaches and other reagents added to improve high speed production. Protecting novel commercial applications is understandable and the difficulties suppliers face in providing consumers with up-to-date information is acknowledged, but the absence in declaring important constituents that may have a bearing on the ageing of the paper is a genuine concern for professionals as indeed is the inaccessibility or dearth of published material relating to the impact the machine-made process has on the physical behaviour of washi. In an attempt to address this imbalance and help frame future research questions, an overview of current practices is presented which also takes into account some of the risks and benefits arising out of this relatively new innovation.



Figs. 1 & 2: Handmade Tamezuki process, Awagami Factory, To overcome the bias of causing fibres to orientate themselves parallel to the short side of the mould, the vatman shakes the screen between two perpendicular directions. This produces a sheet with no apparent directionality in contrast to *Nagashizuki* method Process and technical development of machine-made Japanese paper

The machine-made papermaking process

Fibre selection

For high quality machine-made papers, *kozo*, *mitsumata* and *gampi* are employed much in the same way as traditionally produced *washi*. Since 1975 however, a growing number of alternative and imported bast fibres have been introduced, most of which form mid-range papers commonly exported abroad or used in Japan for repairing archive collections. This development was initially in response to domestic over harvesting and the importation of more competitively priced fibres from Thailand, Philippines, Paraguay and China. Today, it is estimated that only 5-10% of the raw material is produced in Japan. Imported *kozo* often has different characteristics when compared with domestic varieties. For instance, Thai *kozo* contains more gum and resin which has a tendency to aggregate and forms lumps on the surface of the sheet also requires stronger alkalis in the form of cooking liquor to break down the fibres.

Soft and hardwood pulps, hemp and other alternative fibres such as reeds and rice straw are now added with increasing regularity to a point that papers contain less than 20% bast fibres although still referred to as *washi*. Awareness of the fibre source is important to conservators as it can indicate aspects of the papers behaviour. Keller 14 discusses the differences between 'never dried' wood pulps, which remain wet from tree through to pulping/bleaching preparation, or 'wet pulp lap' which the mill receives as bales of wet sheets. In both cases, the fibres remain swollen and flexible providing good bonding and sheet strength but they also have high shrinkage potential. In contrast, when chemical pulps are dried, the pore structure collapses and internal hydrogen bonding occurs making these papers notoriously difficult to rehydrate. Hornification, as it is known, is likely to impact on many conservation treatments where exposure to moisture, the release of internal stresses and the swelling of fibres due to exposure to moisture, is key to the process.

Pulp preparation

The commercial processing/stripping of bast fibres in preparation for washi sheet formation, commonly involves alkalis such as sodium hydroxide (caustic soda), sodium carbonate (soda ash) and calcium hydroxide (slaked lime) in favour of the traditional potash. This is due to their commercial viability, ease of preparation and increased ability to whiten fibres. These reagents are more caustic than potash and have a greater propensity to negatively affect fibre polymerization resulting in an increase in oxidation and degradation. ¹⁵ They also have a stronger influence on fibre swelling, water absorption and interaction with other alkaline agents applied during conservation treatments. 16 In the case of chemical wood pulp, these alkalis, along with bleaching agents, are used in the cooking cycle to reduce the plant material to a fibrous mass and remove the high proportions of hygrophobic lignin and some of the hygroscopic hemicelluloses that connect fibre tissues together. As with traditional bast fibres, this increases the fibres amorphous regions, alters the cation-activity of the fibre and generates new functional groups that influence the sheets reaction to water. 17 Further, the tensile and burst strength of the paper is significantly reduced as the percentage of hemicelluloses are depleted. Acidic sulphite processes are particularly known to decrease these vital functional groups when compared with the Kraft alkaline process producing altogether a stronger paper.

Bleaching

After cooking, the fibres are frequently chemically bleached to even out the natural tones of the material making the paper more uniform in colour.

Hidaka, which manufacture *Tengu*, the world's thinnest *kozo washi* (2.0 gm-2), record on their informative website that most companies producing machine-made *kozo* papers systematically bleach their fibres with chlorine.¹⁸ In response to this trend and to avoid the

adverse effects associated with this reagent, Hidaka has developed a new and sustainable method endorsed by the Shikoku Bureau of Economy, Trade and Industry. Like most pioneering techniques, this information has yet to reach the conservation domain. One hypothesis is the implementation of Thiourea Dioxide (TUDO), also known as formamidine sulfinic acid, which is a novel reducing bleach originally introduced to brighten textiles. In recent years TUDO has gained importance, particularly in Europe and China, in decreasing the yellowing in mechanical and chemical softwood pulps. Its advantages are it performs well in alkaline conditions, is fast acting and has been shown to slow down celluloses photo-oxidation reactions. Equally Hidaka could be bleaching its fibres via the *Totally Chlorine-free* (TCF) sequence introduced in the mid-1990s. This involves treating pulp with oxygen (from hydrogen peroxide), then ozone which is then washed with sodium hydroxide followed by an alkaline peroxide and sodium dithionite sequence. Oxygen bleaching has the advantage over chlorine in that it does not release highly carcinogenic dioxins into the environment and removes the threat of lingering chlorine molecules in the paper. ²¹

Optical brightening agents

Although seldom reported in connexion with Japanese papers, fluorescent optical brightening agents (OAB) or fluorescent whitening agents (FWA) are occasionally encountered adding to the overall chemical complexity of the paper. A pilot study at Northumbria University on the ultraviolet (UV) characteristics of Japanese papers revealed eight out of the ten machine-made samples studied exhibited a distinct fluorescence or bright flecking in contrast to the high quality handmade sheets examined (For examples see Fig.3). This may be a simple indication that optical brighteners or other fluorescing agents are present.

The most common OAB used in conjunction with papermaking are di- and tetra-sulfonated triazole-stilbenes and di-sulfonated stilbene-biphenyl. These chemical compounds absorb light in the ultraviolet region of the electromagnetic spectrum (340-370 nm) and re-emit light in the blue region typically 420-470 nm. Due to the formation of optically inactive stilbene cis-isomers found at the centre of these molecules, excessive exposure to UV and oxygen will eventually result in fading, cancelling out the brightening affect it originally had on the paper. The long—term impact these relatively light reactive chemicals may have on the paper and artist materials, has yet to be established.

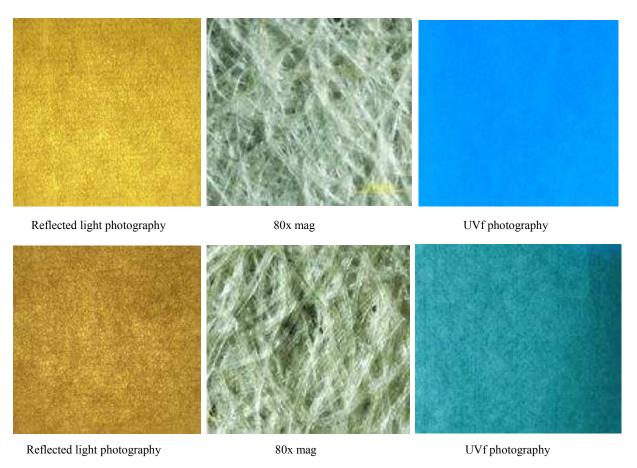


Fig.3: Above: Straw, pulp and recycled machine made *washi* with faux chain-lines (Dandyroll). Below: Handmade Mino from Otayahachirou studio. The UV appearance of the handmade sheet is characteristic of many sampled during the pilot study at Northumbria. This is in contrast to the bright fluorescence exhibited by the machine-made paper.

Paper dyes

'Natural' or 'Toned' papers are relatively common descriptive terms appearing in suppliers catalogues and refer to papers made from unbleached fibres or derived from organic or synthetic organic dyes added after the pulping process. Depending on the type of colorant, they may exhibit differences in appearance from the norm when viewed under varying wavelengths of light as seen in the case of both samples in figure 4, another example from the Northumbria pilot study, which exhibits a strong absorption under UV indicative of a metal based mordant dye.

Evidence of dyes being used is not surprising as there exists a long tradition in Japan of using substantive dyes- a compound that forms a direct chemical bond with the fibre- or mordanted dyes to colour paper. The most common mordant encountered in the East is alum (aluminium sulphate) or wood-lye (aku), although other metal ions such as tin (stannous chloride), chrome (potassium bi-chromate) and iron (iron acetate or ferrous sulphate) may be used. These form chelated complexes with the colorant unlike substantive dyes which form direct chemical bonds with the fibres. Mordants can significantly affect the longevity of the paper as revealed in a comprehensive study by Yoshida et al (1999) which focused on the effects of thermal aging of traditional paper dyes. The authors noted that alum retarded a drop in pH but all samples mordanted with iron acetate showed a significant decline and greater propensity to hydrolyse and discolour paper.²³ Whilst a few smaller mills may still use traditional colorants, cationic direct dyes, based on azo compounds, are more likely to be encountered due to their convenience, high concentration and improved health and safety record. The presence of dyes, which are rarely disclosed in suppliers catalogues, may have a tendency to migrate when wet which might explain the release of a 'bright yellow [colouring] matter' witnessed by Nicolson & Page 24 during one of the few comparative studies published on the expansion and contraction of Oriental papers. This

reaction occurred on a machine-made *kozo* roll, two sheets of *gampi* and one sheet of handmade *kozo*. Other anecdotal accounts relating to the movement of colorants in Japanese papers have also been observed during lining procedures by the current authors.

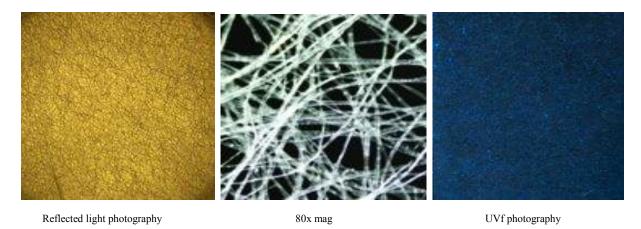


Fig.4: A machine- made *washi* paper described as 'natural shade' exhibiting strong UV absorption suggesting the presence of a colorant

Beating

Beating, now commonly performed using a Hollander, is a vital step in influencing the fibres plastic and elastic characteristics necessary for a good sheet formation. The shearing and compressive forces exerted on the fibres by the beater roll and stationary bedplate encourages faster interfibre bonding and the alignment of fibres within the sheet. Hollanders however, are more aggressive, compared with traditional stampers or hand-beating techniques, resulting in an increase in dimensional instability and fibre reactivity to moisture. Chemical pulps are particularly affected. Over fibrillation also makes the paper more susceptible to environmental factors that contribute to accelerated ageing.25

Formation aid or *neri*

Making washi using long bast fibres is problematic for both machine and handmade papers, so

typically a formation aid is added to assist drainage and prevent fibres from entanglement.²⁶ It also serves to reduce the presence of shives, small bundles of fibres that have failed to separate completely during the pulping process. The traditional material known as *neri*, extracted from the roots of tororoaoi aogiri, ginbaiso or the bark of noriuzuki, remains an important ingredient in some commercial mills although it is now commonly preserved in an agricultural disinfectant such as Cresol soap (methyl phenol) or formalin.²⁷Whilst convenient and available all year round, particularly during the hot summer months, the preserved neri's viscosity, elasticity and its (positive) cross-linking abilities are understood to be adversely affected.²⁸ In response to this dilemma, chemical mucilage's have been developed and widely adopted including sodium polyacrylate, polymer phosphate and more recently the polyethyleneoxy acrylamide and polyacrylamide dispersion known as Acryperse®, patented by the Mitsubishi Rayon Company.²⁹ Whist undoubtedly these agents offer producers reliability at competitive prices, the long-term effects on paper and artist materials remain unknown. There are also far less opportunities in a commercial setting to mature and condition papers over a period of time in an attempt to optimise the peak performance of the mucilage, which translates into increased durability and development of the desirable tone and patina associated with quality handmade papers.³⁰

Forming and drying the sheet

There are several types of papermaking machines producing *washi* style paper in Japan today including the *Fourdrinier*, ³¹ *Cylinder*, ³² *Tanmo*, *Kensui-shiki* and *Takaokashiki Kensuishiki* - each an improvement on the last (Figs.5 & 6). Although individually every machine produces subtly different styles of paper, all models consist of four independent units: the forming, press, dry-end operations and calendaring sections.

In the case of the *Fourdriner*, the forming section begins by injecting pulp from a headbox onto moving wires. The type and composition of the wire significantly affects the grain direction of the fibres, directionality of the paper and ultimately its reaction to moisture. A single wire, for example, has a strong tendency to induce curl in a sheet when rewetted due to one side expanding more than the other.

Dewatering the continuous web of pulp is achieved through gravity, suction mechanisms and compression through felt lined pressing rolls, all of which encourage internal hydrogen bonding and consolidation of the fibre structure. It also controls the bulk, stiffness, opacity, web strength, surface texture of the sheet and reduces the moisture content by 50%. The paper web is then passed through the drying section where multi-steam heated cylinders, heat radiation or blow-drying techniques are used to speed up the remaining water evaporation. When the desired moisture content is reached the surface texture and properties of the paper is further improved through calendaring. The calendaring section involves passing the sheet through a stack of highly polished metal rolls. It completes the drying process, smooths, and compacts the fibres and holds the sheet under tension. This process is significant in determining the expansion and contraction behaviour of the sheet of paper when rewetted and dried.

In contrast to the *Fourdriner*, the *Cylinder* machine comprises of a slowly rotating cylinder mould which picks up the paper stock from the vat. The paper is then deposited onto a continuously moving woollen felt. Fibres lie more randomly across the sheet, whereas, *Fourdriner* fibres tend to orientate themselves in one direction making the sheet weakest in the machine direction (MD). Cylinder papers, as with all other machine-made papers, have two distinctly different sides known as 'felt' and 'mould' sides. The 'felt' side corresponds to the upper surface of the paper, previously in contact with a natural or synthetic felt, and the 'mould' side refers to the

surface in contact with the wire mesh. The 'felt' side is considered superior as it retains a more random pattern whilst the 'mould' side has a more regular surface from the imprint of the wire mesh. The *Cylinder* machine copes better with long, higher quality fibres and as a consequence produces more durable papers with a reduced tendency towards web shrinkage both with and across the machine grain direction.

Advances in both *Fourdriner* and *Cylinder* models has resulted in a number enhancements. For example, developments to the *Fourdriner* dewatering system allows for moisture to be removed evenly from both sides of the sheet resulting in physical improvements and the ability to form thin papers. To the *Cylinder* machine, the introduction of the *Yankee Dryer* significantly increases the strength of the paper, reduces rates of contraction and in addition, very thin, smooth, glossy papers can be formed as a consequence of its chrome surface.³³ The *Yankee Cylinder* along with *Multi Cylinder*, another recent drying innovation, is the most common drying method in Japan.

The compact *Tanmo* paper machine, invented by Kyuzou Sano in 1951 is an improvement of the earlier *Fourdrinier* model, the difference being the shaking action of the felt in the press section. By controlling speed and drainage, the difference between the front and back surfaces of the sheet is minimised and its texture is made to resemble more closely handmade *washi*. The advantage of the *Tanmo* is its reduced grain direction and reduction in expansion across the grain compared with earlier processes.³⁴

In the latest line of improvements is the *Kensui-shiki* or *Takaokashiki Kensuishiki* ³⁵machine based on the *Tanmo* and *Yankee Dryer*. It is described as the first suspended system in which the whole wire or fibre web is swayed in a manner similar to *Sutemizu* the defining feature of *Nagashizuki washi*. It is on these machines that high-end commercial *washi* is produced. Other

major developments include the machines ability to remove unwanted shives driving only well dispersed fibres onto the wire.³⁶ These added functions produce a far superior quality product described by one account as 'so refined, that it is often mistaken for handmade paper.'³⁷

Understanding how machine—made paper is made is significant in understanding its response to liquid water or water vapor (humidification). Dry-end operations, for instance, optimize the mechanical strength of paper but they also serve to freeze stresses into the sheet upon cooling. Release of these internal strains through exposure to moisture during the course of conservation treatments not only results in the swelling of fibres, surface roughening and out of plane deformations (cockling /curling) but also induces significant dimensional changes particularly in the cross grain direction.³⁸ This makes working with machine-made papers in an aqueous context more problematic when compared with handmade *washi*.



Fig. 5: Hidakawashi Company, Kensui shiki machine, Calendaring and drying section

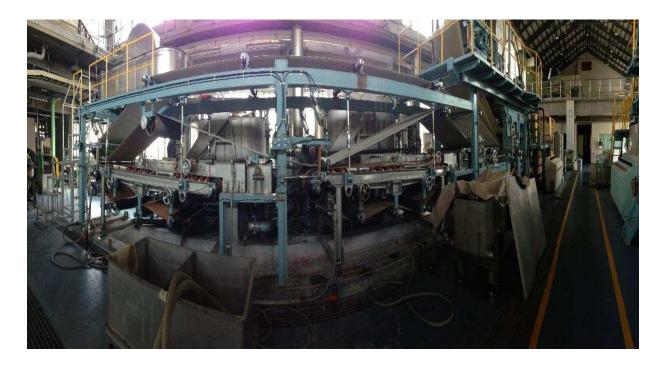


Fig. 6: A large Takaokashiki Kensuishiki paper machine capable of producing thousands of sheets per day. Kochi
Paper Technology Centre ©

Properties and behaviour of machine-made paper

Despite the commonality and enduring application of Japanese papers, there have been very few investigations focusing on the chemical and physical properties of machine *washi* other than the seminal work by Inaba³⁹ and the ongoing research by the Kouchi Prefectural Paper Technology Centre whose challenge is to analyse upwards of a 1000 collected samples.⁴⁰

One of the few studies in English devoted to reviewing Japanese papers for Conservation purposes is Murphy and Rempel 1985 article.⁴¹ Basing their findings on pH readings and fibre composition, eighty four samples were surveyed fourteen of which were described as machine-made. Whist the authors agreed that the majority of the papers were of good quality, a number of interesting observations were recorded. In the group of papers identified as 100% *kozo*

[amount not specified] many were reported to contain unwanted lignified shive material-debris naturally occurring in bast and other vascular plants. Twenty three papers had wood fibre added, nineteen of which contained treated soft (Douglas fir) and/or hardwoods and four contained anywhere from 5-30% untreated softwood fibres. In three of the machine-made papers, pineapple leaf fibres and a form of Chinese miscanthus grass called *ryususo*, later confirmed by the distributor Paper Nao, were discovered. Unsurprisingly, the presence of wood fibres and the amount of lignified shives, which the authors linked to reduced efficiency in the beating and cooking, were highlighted as a concern and believed to be a threat to permanency.

In the slightly later article by Nicholson & Page 42, the tensile strength, moisture response and directionality of rolls of machine-made kozo papers were compared with traditional handmade washi. The authors found that on the first wetting, rolls of kozo exhibited a noticeable expansion across the grain yet corresponding contractions rates on first drying were both marked and varied. Some showed pronounced contraction with the cross-grain whilst others displayed greater similarities in both parallel and perpendicular directions to the grain. In response to this the authors recommended pre-wetting and drying machine-made papers before use, particularly in a lining context in order to minimise differential expansion and contraction rates. This was in complete contrast to their recommendations for handmade Japanese papers which was not to pre-wet due to their continued propensity to contract during subsequent wet and drying tests. Data relating to the tensile strength of the same set of papers also revealed that *kozo* rolls in general had higher strength in both grain and cross directions compared with handmade washi of a similar thickness, and medium weight kozo rolls were noteworthy in their high cross-direction strength yet variations in their machine-direction strength. In addition, thin kozo rolls had four times machine-direction strength than cross-direction strength.

Although it is clear more work is needed in this area, conservator could consider the implications of this study more closely in supporting or controlling curl on artworks produced on wilful and damaged European machine-made papers by perhaps aligning their lining papers in a cross-grain direction. Or replace handmade supports with much thinner machine-made papers due to their intrinsic strength which weight by weight exceed that of traditionally made *washi*.

In summary

The lack of consistency in nomenclature and transparency in disclosing information on synthetic forming aids, bleaching processes and other agents added to machine-made paper is of particular concern for practitioners. The implications these new process have on the long-term physical and chemical behaviour of *washi*, its response to moisture, and their interactions with potentially sensitive artist materials has resulted in a resistance, in some quarters, for conservators to include them positively in their treatments.

Although the apparent disadvantages are tangible, it is also important to note the unique advantages of machine-made papers and the close parallels some have with high-end handmade production. The industry is now capable of creating paper with many of the distinctive characteristics associated with *Nagashi-zuki* but at an increased rate of production implying affordability, availability and ultimately more objects treated globally. The machine process can produce imperceptibly thin papers impossible to form by hand which serve to reduce the bulk of linings and repairs whilst maintaining an objects flexibility and structural integrity. Amongst other advantages are their closer directionality and expansion alignment with modern and contemporary art papers, and their unlimited length which allows greater ease when working with oversized Western artefacts, maps and architectural drawings.

More research on their characteristics and independent quality checks are however needed which will raise the consciousness of the conservation community and in turn pressurise manufactures to disclose more information and simplify the ever increasing confusing market of conservation supplies.

Endnotes

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- ⁴ A generic term for the natural mucilage/formation aid, typically tororoo–aoi (Abelmoschus manihot, Medicus or Hibiscus manihot), Nori-utsugi Hydrangea paniculata Sieb, a deciduous tree of the Saxifragaceae family. Other mucilages were also used, reference: Hyejung Yum, Traditional Korean Papermaking: History, Techniques and Materials (PhD diss., Faculty of Arts, Design and Social Studies, Northumbria University, 2008).
- ⁵ Chemical bleaching replaces the traditional kawazarashi (stream) yukizarashi (snow) or tenpizarashi (sunlight) bleaching.
- ⁶ The major difference between *Nagashizuki* and *Tamezuki* lies in the former three step sheet forming process known as *ubumizu*, *choshi* and *sutemizu*. *Sutemizu* is regarded as the most important stage as it significantly affects the papers even thickness, texture and unique characteristics. The mould is tilted to an angle of 30 degrees so that the pulp slurry flows over the surface of the sheet and excess slurry is tossed off the end of the mould. This not only helps align the fibres in one direction but serves to remove impurities floating on the surface vat such as dust, dirt and *chiritori*. *Tamezuki* is a method similar to the Western style handmade papermaking and a more direct adaptation of the ancient Chinese craft where formation aids or mucilage's are absent. Fumihiko Onabe et al., *Kami No Bunka Jiten*, [Trans. *The Encyclopaedia of Paper*] (Tokyo: Asakura Shoten, 2006): 327-328.
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