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Conformational Heterogeneity of Unbound Proteins Enhances Recognition in Protein—Protein Encounters

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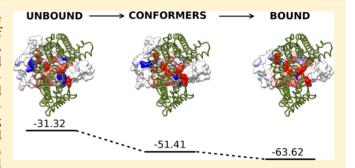
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ABSTRACT: To understand cellular processes at the molecular level we need to improve our knowledge of protein—protein interactions, from a structural, mechanistic, and energetic point of view. Current theoretical studies and computational docking simulations show that protein dynamics plays a key role in protein association and support the need for including protein flexibility in modeling protein interactions. Assuming the conformational selection binding mechanism, in which the unbound state can sample bound conformers, one possible strategy to include flexibility in docking predictions would be the use of conformational



ensembles originated from unbound protein structures. Here we present an exhaustive computational study about the use of precomputed unbound ensembles in the context of protein docking, performed on a set of 124 cases of the Protein—Protein Docking Benchmark 3.0. Conformational ensembles were generated by conformational optimization and refinement with MODELER and by short molecular dynamics trajectories with AMBER. We identified those conformers providing optimal binding and investigated the role of protein conformational heterogeneity in protein—protein recognition. Our results show that a restricted conformational refinement can generate conformers with better binding properties and improve docking encounters in medium-flexible cases. For more flexible cases, a more extended conformational sampling based on Normal Mode Analysis was proven helpful. We found that successful conformers provide better energetic complementarity to the docking partners, which is compatible with recent views of binding association. In addition to the mechanistic considerations, these findings could be exploited for practical docking predictions of improved efficiency.

INTRODUCTION

31 Proteins are key components in the cell and function through 32 intricate networks of interactions that are involved in virtually 33 all relevant biological processes, such as gene expression and 34 regulation, enzyme catalysis, immune response, or signal 35 transduction.^{2,3} Understanding such interactions at the 36 molecular level is essential to target them for therapeutic or 37 biotechnological purposes. X-ray crystallography and NMR 38 techniques have produced a wealth of structural data on 39 protein-protein complexes, which has largely extended our 40 knowledge on molecular recognition and protein association 41 mechanism and has fostered drug discovery. However, such 42 structural data covers only a tiny fraction of the estimated 43 number of protein-protein complexes formed in cell, 4 and, 44 therefore, computational approaches that can complement such 45 experimental efforts are strongly needed. In recent years, a 46 variety of protein-protein docking methods have been 47 reported, based either on template modeling 5-7 or on ab initio 48 algorithms. Geometry-based methods try to find the best 49 surface complementarity between interacting proteins, using 50 simplified structural models and approximate scoring functions.

A popular strategy is to discretize the proteins into grids and 51 use Fast Fourier Transform (FFT) algorithms⁸ to accelerate 52 search on the translational space, such as in FTDock, PIPER, 10 53 GRAMM-X,¹¹ ZDOCK,¹² or on the rotational space, as in 54 Hex¹³ or FRODOCK.¹⁴ Another strategy to explore surface 55 complementarity is geometric hashing, as used in PatchDock. 15 56 Docking methods based on energy optimization use a variety of 57 sampling strategies based on molecular mechanics, such as 58 molecular dynamics in HADDOCK, 16 or Monte Carlo 59 minimization in RosettaDock¹⁷ or ICM-DISCO.¹⁸ The 60 function used to identify the best orientations is an important 61 aspect of docking, and dedicated scoring schemes have been 62 developed, based on energy terms, such as in pyDock, ¹⁹ or on ₆₃ statistical potentials as in SIPPER²⁰ or PIE. ²¹ The Critical ₆₄ Assessment of PRediction of Interactions (CAPRI; http:// 65 www.ebi.ac.uk/msd-srv/capri/) experiment has indeed shown 66 that accurate models can be produced by docking in many of 67

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68 the cases. 22 However, there are other cases in which all docking 69 methods systematically fail, typically the most flexible ones. ^{23,2} Thus, one of the major challenges in docking is how to deal 71 with molecular flexibility and conformational changes that 72 happen upon association. ^{23,24} A major hurdle is the computa-73 tional cost of integrating docking and conformational search, 74 aggravated by our limited knowledge of the protein-protein 75 association mechanism. Different mechanisms for flexible 76 protein-protein binding have been proposed. Perhaps the 77 most widespread view is the induced-fit mechanism, in which 78 the interacting partners are involved in initial encounters that 79 evolve toward the final specific complex by adjusting their 80 interfaces. Most of the reported methods for flexible docking 81 try to mimic this mechanism, typically using an initial rigid-82 body search followed by a final refinement of the interfaces as 83 in ICM-DISCO,²⁵ HADDOCK,¹⁶ RosettaDock,¹⁷ or Fiber-84 Dock²⁶ or by integrating small deformations of the global 85 structures during the sampling based on normal modes as in 86 ATTRACT^{27,28} or SwarmDock.²⁹

An alternative mechanism is conformational selection, which 88 was initially proposed for systems in which the ligand 89 selectively binds one of the conformers of the dynamically 90 fluctuating receptor protein. This was generalized to the 91 "conformational selection and population shift" concept, which 92 postulated that flexible proteins in solution naturally sample a 93 variety of conformational states, and the ligand protein 94 preferentially binds to a pre-existing subpopulation of such 95 conformers, thus adjusting the equilibrium in favor of 96 them. 32-34 Recently, the conformational selection model has 97 been extended to include different mutual conformational 98 selection and adjustment steps,³⁵ so that the unbound 99 conformational states that are available for mutual selection 100 might not be initially in the bound conformation. The 101 conformational selection model has been largely supported by 102 several structural studies including MD, NMA, X-ray 103 crystallography, and NMR experiments, 35-39 and later strongly 104 confirmed by theoretical analysis based on the correlation 105 between complex association/dissociation rates and several 106 molecular descriptors detailing specific features of protein 107 intrinsic flexibility and complex formation. 40 This mechanism 108 can be implemented in a computational docking strategy by 109 using precomputed ensembles of unbound proteins, which 110 ideally contain conformers that are suitable for binding the interacting partner. However, to date this strategy has not been 112 really used for practical protein-protein docking predictions. 113 Most of the prior studies were limited to the use of a few 114 selected conformers and/or applied to specific cases of 115 interest. 41-43 Unexpectedly, the few systematic analyses 116 published so far 44-46 failed to improve the structural prediction 117 of protein complexes with respect to the unbound structure. 118 This could be related to an unrealistic representation of the 119 motions occurring in the time scale of molecular association or 120 to the use of only a few conformers. 44-46 Indeed, for small 121 proteins like ubiquitin it is possible to obtain more 122 representative ensembles, based on RDC data, which are 123 definitely useful in docking predictions.⁴⁷ However, this 124 approach is difficult to generalize for large scale predictions 125 due to experimental limitations. Therefore, it would be 126 important to find practical ways of generating ensembles that 127 include conformers that improve binding. This could help not 128 only to improve docking predictions but also to advance toward 129 a better understanding of flexible protein-protein association 130 mechanism. With this purpose in mind, here we used three

different computational approaches to represent the conformational heterogeneity of the unbound proteins and tested them 132 on a standard protein-protein docking benchmark. Our 133 analysis clearly shows that a simple molecular mechanics 134 minimization approach provides sufficient conformational 135 heterogeneity to improve docking predictions in medium- 136 flexible cases, which are the most likely to follow the 137 conformational selection mechanism.

METHODS

Generation of Protein Conformational Ensembles. We 140 used three different computational techniques to generate 141 conformational ensembles starting from the unbound protein 142 structures: MODELER minimization (MM), molecular dy- 143 namics simulations (MD), and Normal Modes Analysis 144

Conformational search based on the optimization of a 146 molecular probability density function (PDF) was performed 147 with the comparative modeling program MODELER version 148 9v10,⁴⁸ using as template the unbound X-ray structure of the 149 same protein, and default parameters. Cofactors and small- 150 molecule ligands, if present in the template structure, were 151 taken into account during the modeling procedure. MODELER 152 minimization (MM) is based on an optimization step using the 153 variable target function method (VTFM) with restrained 154 conjugate gradients (CG), followed by a refinement step 155 using short (a few ps) molecular dynamics (MD) and simulated 156 annealing (SA), with CHARMM parameters and distance- 157 dependent dielectric constant.

Conformational search based on Molecular Dynamics (MD) 159 was performed by a 10 ns long explicit solvent unrestrained 160 MD simulation on the unbound structure using the force field 161 AMBER parm99⁴⁹ and the AMBER8 package.⁵⁰ As a first 162 preparation step, all the missing loops in the protein structures 163 were modeled using the MODELER program. The para- 164 metrization of each system was performed using AMBER's 165 module LEAP, whereas the cofactor and small-molecule ligand 166 libraries, when needed, were written with the AMBER modules 167 ANTECHAMBER and LEAP. Each system was then 168 minimized, solvated, and equilibrated at similar conditions to 169 those previously described for the MoDEL database, 51 as 170 follows. First, original PDB coordinates were stripped of 171 hydrogen atoms, monovalent ions, and all water molecules. 172 Noncovalent ligands were kept and parametrized with the 173 GAFF force-field using standard procedures,⁵² and missing 174 side-chain atoms and hydrogen atoms were added from 175 AMBER residue libraries using the LEAP AMBER tool. Each 176 system was relaxed by a short restrained energy minimization 177 (20 steps steepest descent, 80 steps conjugate gradient, 178 restraining all the heavy atoms with a 20 kcal/mol Å² to the 179 initial structure) to relieve highly unfavorable sterical clashes. 180 Then each minimized structure was immersed in a periodic 181 truncated octahedron box containing a 12 Å buffer of TIP3P 182 water molecules, and Na+ and Cl- counterions were added to 183 the solvent bulk to maintain neutrality of the system and reach 184 50 mM NaCl ionic strength.

Each solvated system underwent a short solvent minimiza- 186 tion and five-step equilibration protocol. First, a 500-cycle 187 steepest descent and a 500-cycle conjugate gradient mini- 188 mization were performed, applying harmonic restraints with a 189 force constant of 50 kcal/(mol·Å²) to all protein atoms in order 190 to minimize the solvent molecules. Then, the five-step 191 equilibration was performed by applying periodic boundary 192

193 conditions and computing long-range electrostatics by the 194 particle-mesh Ewald method. At each stage, the integration 195 time step was set to 2 fs, the system pressure to 1 atm, and the 196 nonbonding cutoff distance to 12 Å. The five steps are

Step 1: A 40 ps MD simulation was run applying harmonic restraints to all the protein atoms with a force constant of 25 kcal/(mol·Å 2), raising the temperature to 300 K by Langevin dynamics approach with a collision frequency of 1 fs.

Step 2: Å 20 ps step was performed, setting the temperature 202 to 300 K and reducing system restraints to 10 kcal/(mol·Å²). Step 3: Another 20 ps simulation was run with 10 kcal/(mol- 204 Å²) restraints only to the protein backbone atoms.

Step 4: A further 20 ps simulation was performed, decreasing protein backbone restraints to 5 kcal/(mol· $Å^2$).

207 Step 5: A final 100 ps unrestrained MD simulation was run 208 without any restraint.

Finally, a 10 ns MD simulation was performed in isothermal—isobaric ensemble, setting pressure to 1 atm and temperature to 300 K. From each MD simulation, two conformational ensembles were created by extracting trajectory snapshots every 10 or 100 ps. Additionally, a random subset of 11 benchmark cases (1ACB, 1AY7, 1D6R, 1E6J, 1GCQ, 1IRA, 1JMO, 1PXV, 2HRK, 2CFH, 2C0L) was selected for longer simulations. Each protein underwent two 100 ns long explicit solvent unrestrained NPT-MD simulations, at the temperatures of 300 and 340 K, respectively, using the same force field as above.

Conformational search based on Normal Mode Analysis 221 (NMA) was performed by an in-house protocol on a small 222 subset of the 6 flexible benchmark cases that show strong 223 binding affinity (in which potential errors in the docking 224 scoring function have a minimal impact). NMA is a powerful 225 modeling technique that allows for a fast and accurate 226 description of the intrinsic movements of biomolecules. 227 Modern interpretations of the procedure use the elastic 228 network model (ENM), first described by Tirion as an all-229 atom version⁵³ and later reformulated as coarse-grained.⁵⁴ In the ENM, the biomolecule is represented as a network of connected atoms, where each node is connected to all the atoms within a cutoff, and the springs represent the interactions between the nodes. Here we used the Anisotropic Network 234 Model⁵⁴ that describes the protein as a $C\alpha$ model, and we assigned the spring constants by a term that assumes an inverse exponential relationship with the distance, 55 analog to that from 237 Hinsen. 56 We tried to enhance the conformational space by 238 introducing an iterative exploratory search. The proposed method is called eNMA (enhanced NMA) and creates enriched structurally diverse ensembles. The algorithm works as follows:

Step 1: Starting from the unbound $C\alpha$ atoms, we created 100 discrete Cartesian conformers from random combinations of displacements along the first 10 normal modes (as described elsewhere). The average $C\alpha$ displacement with respect to the original structure was set to \sim 1 Å.

Step 2: The resulting conformers were then clustered hierarchically via average linkage method (as implemented in 248 ptraj10)⁵⁰ to obtain 100 diverse conformations.

Step 3: Each conformer from the cluster was sent to Step 1, 250 and the whole cycle was started.

Step 4: The process was ended up after 10 iterations.

In total, around 100,000 intermediate structures were created per protein, but we only kept the ones resulting from the clustering (i.e., 100×10 iterations = 1,000 discrete conformers). The final structures underwent a last optimization

step with MODELER 9.10. All-atom models were rebuilt by 256 adding missing atoms and side-chains and were atomically 257 refined with MODELER (using the original $C\alpha$ model as 258 template) to fix incorrect bond distances. In addition, 100 259 discrete conformers were randomly selected, and for each of 260 them 10 MODELER models were built. The whole procedure 261 took ~2 h per protein (ranging from 40 min for 1ACB ligand, 262 with 70 $C\alpha$ atoms, to 5 h for 1IBR ligand, with 440 $C\alpha$ atoms) 263 on 1 core of an Intel Xeon 3.5 GHz CPU (16GB RAM) Linux 264 workstation. Note that our conformational search was 265 unguided, but it could be also guided in future applications 266 (i.e., selecting the combination of models that provides the best 267 score on a given fitness function).

Docking Simulations. For all the dockings experiments, 269 the FTDock docking program was used to generate 10,000 270 rigid-docking poses based on surface complementary and 271 electrostatics at 0.7 Å grid resolution, and then, each docking 272 solution was evaluated by the energy-based pyDock scoring 273 scheme, 19 based on desolvation, electrostatics, and van der 274 Waals energy contributions. All energy values are shown as 275 arbitrary units. Cofactors, small-molecule ligands, and ions were 276 excluded during the sampling and the scoring calculations in 277 docking.

Benchmark. In order to validate the approach proposed 279 here, we used the protein-protein docking benchmark 3.0,59 280 comprising a total of 124 test cases in which the structures of 281 both the free components and the complex are known. We 282 have classified these cases according to the conformational 283 variation of the proteins from the unbound to the bound state 284 (based on the RMSD of $C\alpha$ atoms of the interface residues as 285 defined in the mentioned protein-protein benchmark 3.0), 286 which resulted in the following categories: "rigid" (I-RMSD $_{C\alpha}$ < 287 0.5 Å), "low-flexible" (0.5 Å < I-RMSD_{Ca} < 1.0 Å), "medium- 288 flexible" (1.0 Å < I-RMSD_{Ca} < 2.0 Å), "flexible" (2.0 Å < I- 289 $RMSD_{C\alpha}$ < 3.0 Å), and "highly flexible" (I-RMSD_{C\alpha} > 3.0 Å). 290 The quality of the docking predictions was evaluated according 291 to the ligand protein Cα-RMSD with respect to the complex 292 crystal structure (after superimposing the $C\alpha$ atoms of the 293 receptor molecules). A docking experiment was considered 294 successful if a near-native solution (a docking pose with ligand 295 $C\alpha$ -RMSD < 10 Å) was ranked among the top 10 predictions 296 according to the pyDock scoring function. Structural analyses 297 of proteins, including RMSD and clashes calculations, were 298 performed using the ICM program⁶⁰ (www.molsoft.com).

RESULTS

Unbound Conformational Ensembles from Molecular 301 Mechanics Contain Conformers with Better Binding 302 Capabilities than the Unbound Structure. Here we 303 explored in a systematic way whether a minimal description 304 of the conformational heterogeneity of the interacting proteins 305 could significantly improve their binding capabilities. For that 306 purpose, we generated conformational ensembles from the 307 unbound proteins of the complexes in the protein-protein 308 benchmark 3.0.⁵⁹ Ensembles of 100 conformers were initially 309 generated by using two distinct molecular mechanics 310 procedures: a fast restricted conformational optimization, as 311 implemented in MODELER, and a much more computation- 312 ally demanding molecular dynamics method, as implemented in 313 the AMBER package (see Methods). Figure 1 shows examples 314f1 of the typical conformational heterogeneity (at backbone and 315 side-chain level) generated by MODELER minimization 316 (MM). The deviation of the interface atoms from the initial 317

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Figure 1. Representative conformational ensembles generated by MODELER minimization. 100 conformers independently generated by MODELER for receptor and ligand proteins are shown for two benchmark cases: (A) 1PXV and (B) 1ACB. Conformers were superimposed onto the corresponding molecules in the reference complexes for visualization. Only interface side chains are shown for the sake of clarity.

318 unbound structure was 1.2 Å RMSD on average (ranging from 319 0.6 Å for the 1R0R receptor to 7.7 Å RMSD for the 2QFW 320 receptor).

We compared the unbound models to their corresponding 321 322 structures in the complex to structurally characterize these 323 conformers and to estimate their capabilities for binding. In 324 order to do that, we first structurally superimposed each model 325 into the corresponding native complex structure (considering 326 only the $C\alpha$ atoms) and then computed the following 327 parameters: (i) the RMSD for all $C\alpha$ atoms ($C\alpha$ -RMSD) 328 with respect to the complex structure; (ii) the RMSD for all 329 interface atoms (Int-RMSD) after superimposing only those 330 interface atoms; (iii) the pyDock binding energy (au) with the 331 bound partner; (iv) the pyDock binding energy (au) with the 332 unbound partner; and (v) the number of clashes with the 333 bound partner. The values for these parameters in the different 334 conformers generated by MODELER are randomly distributed 335 following a Gaussian function (Figure S1). Except for a few 336 cases, such as the viral chemokine binding protein M3 (1ML0 337 receptor), there is no significant correlation between the 338 binding energy of the different conformers in the native 339 orientation and their similarity with respect to the bound structure (Figure 2). Perhaps the main reason for this is that, in general, due to the limited sampling used here, these 342 conformers are not exploring the vicinity of the bound state. Indeed, only 20% of the benchmark proteins contain conformers within 1.0 Å Int-RMSD from the bound state (and in virtually all of these cases the unbound state already had Int-RMSD < 1.0 Å from bound).

Ensembles generated by short MD trajectories showed larger states conformational variability, but in general they were not closer to the bound state (Figure S1 and S2). Increasing the number of conformers to 1,000 (Figure S3) did not significantly modify the range of conformational variability for either sampling method.

We next aimed to identify which conformers of the ensemble seemed more promising for binding. Thus, we selected the best conformers of the ensemble according to the criteria analyzed in the previous paragraphs. Figure 3 shows the best conformers for receptor and ligand proteins identified according to each

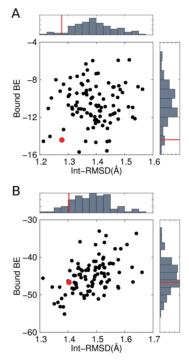


Figure 2. Distribution of geometrical and energetic values for ensemble conformers. The correlation between the full atom interface RMSD (Int-RMSD) with respect to the bound state and the pyDock binding energy (au) toward the bound partner in the native orientation (bound BE) for all conformers in MODELER ensembles are shown for two benchmark cases: (A) 2F0R (1S1Q receptor) and (B) 1MKF (1ML0 receptor). Distribution of Int-RMSD and bound BE values are shown as histograms. Data for the unbound X-ray structure are shown in red.

parameter as compared to the unbound receptor and ligand 358 structures for all benchmark cases. Regarding the RMSD with 359 respect to the complex structure, only in a few cases (21% and 360 6%, according to $C\alpha$ -RMSD and Int-RMSD, respectively) the 361 best pair of conformers were significantly better (i.e., more than 362 10% change; averaged for receptor and ligand proteins) than 363 the unbound X-ray structures. These cases were not particularly 364 enriched in conformers with Int-RMSD < 1.0 Å. Actually, in 365 some cases (14% and 36%, according to $C\alpha$ -RMSD and Int- 366 RMSD, respectively) the best conformers were even farther 367 from the bound structure than the unbound one.

Interestingly, we found a much higher number of cases in 369 which the best conformers showed significantly better binding 370 energy (in 46% and 51% of cases, when considering the bound 371 or unbound structure as partner, respectively) or fewer clashes 372 (in 69% of cases) than the unbound X-ray structure. It is 373 remarkable that the improvement in binding energy was 374 independent of the structural similarity to the bound structure. 375 Again, one of the reasons is that in the majority of cases the 376 limited conformational sampling used here does not permit 377 reaching the vicinity of the bound state, and, therefore, in such 378 unbound minima any small improvement toward the bound 379 state is not relevant in terms of binding energy.

Although MD ensembles showed larger conformational 381 variability (Figures S1 and S2), the percentage of cases with 382 conformers that became significantly better than the unbound 383 state according to each of the above-mentioned criteria (12%, 384 7%, 37%, 62%, and 69%, respectively) was very similar to those 385 observed for the MODELER ensembles. However, the 386

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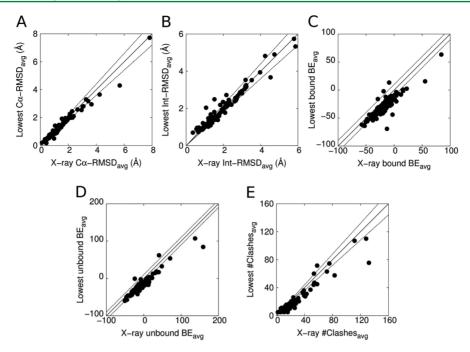


Figure 3. Best ensemble conformers according to quality criteria based on the complex native orientation. For each benchmark case, it is shown the best pairs of receptor and ligand conformers in the conformational ensemble according to the following criteria: (A) $C\alpha$ -RMSD, (B) Int-RMSD, (C) pyDock binding energy with the bound partner (au), (D) pyDock binding energy with the unbound partner (au), (E) number of clashes with respect to the bound partner. The above-described descriptors were calculated independently for the best receptor and ligand conformers and then averaged. These are compared to those of the unbound X-ray structures. Dashed lines represent the (arbitrary) range of variation that we used to consider a change as significant, and it was defined as 10% in the RMSD- and clash-based criteria or 10 au in the energy-based criteria.

387 ensembles generated from the short MD trajectories showed even more limited conformational sampling in the vicinity of the bound state, since less than 4% of the benchmark proteins 389 had conformers with Int-RMSD < 1.0 Å with respect to the bound state (as compared to 20% in MODELER). Moreover, in most of the cases (74% and 71%, according to $C\alpha$ -RMSD and Int-RMSD, respectively) the best conformers from MD 393 were even farther from the bound structure than the unbound one. This could be related to the limited sampling of the short MD simulations used here, as well as to the fact that the protein structures in solution typically deviates 1-2 Å RMSD from that in the crystal.⁶¹ Indeed, this is what we observe in our MD ensembles (Figures S1 and S2). Of course, we should not disregard possible inaccuracies in the force-field, but they are usually more relevant in very long trajectories but not so much in the suboptimal sampling used here.⁶²

Selected Conformers Can Yield Significantly Better 403 404 Docking Results than Unbound Subunits. The fact that in the majority of cases the conformational ensembles contained conformers that showed better binding energy capabilities than the unbound X-ray structure encouraged us to evaluate their use for docking. Since the systematic cross-docking of all conformers for receptor and ligand proteins would be 410 impractical, we tried instead to estimate the expected performance of the unbound ensembles for docking in the 412 best-case scenario. Therefore, based on the native orientation. 413 we selected those conformers that seemed the best candidates 414 to improve the docking predictions according to the criteria described in the previous section: (i) the lowest $C\alpha$ -RMSD 416 with respect to the bound state, (ii) the lowest Int-RMSD, (iii) 417 the best binding energy with the bound partner, (iv) the best 418 binding energy with the unbound partner, and (v) the smallest 419 number of clashes with the bound partner. These conformers

were used in protein–protein docking as described in the 420 Methods section.

Figure 4A shows the docking success rates for the top 10 422 f4 predictions when using these selected conformers, with all the 423 details in Table 1. Interestingly, the results do not significantly 424 tl change when using a larger number of conformers (1,000) 425 generated by MODELER (and applying the same procedure for 426 selecting the best expected conformers), or when conformers 427 were generated by short MD trajectories, either using 100 or 428 1,000 conformers (Figure S4). Strikingly, when we used the 429 best conformers based on C α - or Int-RMSD with respect to the 430 complex structure, the docking results were slightly worse than 431 those of unbound docking, as can be seen in Figure 4A (the 432 results did not significantly change when selecting only those 433 cases in which the best conformer had significantly better $C\alpha$ - 434 or Int-RMSD than that of the unbound structure). This can be 435 due to the fact that either MODELER minimization or a short 436 MD trajectory cannot generally sample too far from the 437 unbound structure, and therefore cannot reach the vicinity of 438 the bound state in most of the cases. However, when using the 439 conformers that would give the best binding energy or the 440 smallest number of clashes when in the native orientation, the 441 docking results significantly improved with respect to those of 442 the unbound structures, as can be seen in Figure 4A. Again, this 443 did not correspond to an improvement in geometrical terms. 444 Indeed, in 99% of the cases in which the best-energy conformer 445 improved the docking predictions, such conformer did not have 446 significantly better Int-RMSD from the complex structure than 447 the unbound conformation. For comparison, we show the 448 success rates that we would obtain when using the bound 449 structures, which establishes the upper limit for the expected 450 docking success with this approach. The success rates of the 451 selected conformers based on binding energy are more than 452

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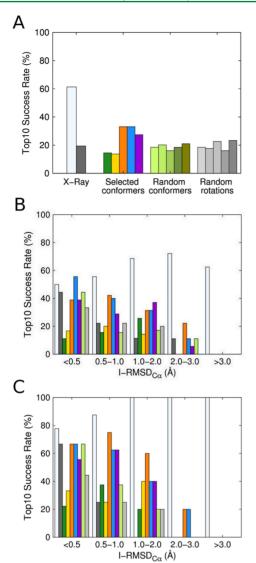


Figure 4. Docking performance for selected conformers. (A) Docking success rates for the top 10 predicted models on the protein-protein docking benchmark when using selected conformers according to specific criteria: Cα-RMSD (green), Int-RMSD (yellow), binding energy toward the bound partner (orange), binding energy toward the unbound partner (blue), number of clashes with respect to the bound partner (magenta). For comparison, the docking success rates for bound (white) and unbound (dark gray) X-ray structures are also shown. To show the significance, docking rates for five random conformers pairs (green gradations) and five random initial rotations of the unbound docking partners (gray gradations) are also shown. (B) Docking success rates according to the conformational variability between the unbound and bound structures for selected conformers (same color code as above). For comparison, docking success rates for the bound and unbound X-ray structures, as well as for one random pair of conformers (light green) and one random initial rotation of the unbound docking partners (light gray) are also shown. (C) Docking success rates according to unbound-bound conformational variability on the 28 cases of the benchmark with reported high affinity (ΔG < -12.0 kcal/mol) when using selected conformers, as well as the bound and unbound X-ray structures, one random pair of conformers and one random initial rotation of the unbound docking partners (same color code as above).

453 half of the maximum expected success rates when using the 454 bound structures. It is important to mention that this approach 455 only proves the existence of some conformers that can provide better docking predictions than the unbound structures. 456 However, in a realistic scenario, it would be impossible to 457 accurately identify these optimal conformers from the unbound 458 ensemble. The success rates obtained in this section can be 459 considered as a rough estimation of the potential predictive 460 rates that could be obtained if all ensemble conformers were 461 used in a docking strategy. One could hypothesize that if these 462 conformers are able to find docking orientations with good 463 scoring, such orientations would automatically appear well- 464 ranked in a general docking pool, even if they include docking 465 poses obtained with other conformers. Preliminary tests show 466 that merging the results of 100 docking runs with one 467 conformer from the receptor protein and another from the 468 ligand protein, each time a different one, would provide similar 469 success rates than the ones obtained here when using the best- 470 energy (with the unbound partner) conformers (data not 471 shown). There could be other strategies that can be devised for 472 using all unbound conformers in docking. However, the 473 systematic evaluation of these different approaches in a practical 474 docking procedure is beyond the scope of this work. The 475 findings here show some potentiality for future developments 476 in ensemble protein-protein docking, but the practical 477 problem is still unsolved.

In order to provide a statistical significance for these results, 479 we randomly selected five conformers from the conformational 480 ensemble. The results for each random conformer were similar 481 (within experimental error) to those of the unbound structure 482 (Figure 4A) and show that the conformers selected according 483 to the optimal binding energy improved significantly the 484 docking results with respect to the randomly chosen con- 485 formers. An alternative possible explanation for the docking 486 improvement when using the ensemble conformers might be 487 related to the limited discrete sampling in FTDock derived 488 from the fix number of ligand rotations (which makes coarser 489 surface sampling for large proteins) and the grid resolution of 490 0.7 Å (which introduces inaccuracies in the atomic 491 coordinates). This creates a stochastic dependence of the 492 FTDock docking algorithm on the initial rotation of the 493 interacting subunits, given that each initial rotation of the 494 interacting proteins could be mapped on different cells of the 495 3D grids. This is a limitation of any FFT-based algorithm, and it 496 was already shown that performing parallel docking runs using 497 several initial rotations provided more consistent docking 498 results than using just a single one. 14 To evaluate the possibility 499 that the extensive sampling in the atomic positions provided by 500 the use of unspecific conformers prior to docking could 501 compensate the suboptimal grid-based sampling of FTDock, we 502 performed five different docking runs with random initial 503 rotations for the unbound receptor and ligand proteins. The 504 results from the individual random rotations were similar, 505 within experimental error, to the unbound docking results 506 (Figure 4A).

These results suggest that the selected conformers according 508 to specific criteria (i.e., optimal binding energy, number of 509 clashes) were more beneficial for docking than just a random 510 selection of conformers or initial rotations. Overall, this clearly 511 shows that conformational heterogeneity in the interacting 512 subunits can improve the binding capabilities of the unbound 513 X-ray structures.

A Simple Description of Conformational Heteroge- 515 neity Is Particularly Beneficial for Low- and Medium- 516 Flexible Cases. We have analyzed whether the docking 517 improvement when using ensembles depends on the conforma- 518

Table 1. Docking Performance of Conformers Selected from MODELLER Ensembles^a

PDB	bound	unbound	$C\alpha$ -RMSD	Int-RMSD	bound BE	unbound BE	clas
	$D_{C\alpha} < 0.5 \text{ Å}$) (18						
IAVX	2	102		33	40	1	23
IFSK	3	3	39	34	1	1	51
1GHQ	7455			6528		1878	
IIQD	1	8	64	3	6	6	3
IKLU	18	1246	6002	4468	2587	6498	16
1KTZ	48	3725	6333				309
1NCA	14	7	1269		1332	7	1
1NSN	405	500	254	5587	33	33	10
1PPE	28	6	12	2	5	1	4
1R0R	1	3	258	230	9	17	37
1SBB	161	298	73	230	,	17	37
	1	274	5	456	64	2	0
IWEJ			16				9
2JEL	1	42		25	12	2	1
2MTA	2	78	61	187	48	3	554
2PCC	12	6	91	12	6	4	11
2SIC	1	8	3378	1	2	249	1
2SNI	1	3	1	16	1	1	1
2UUY	69	4472	4801	64	159	11	199
	$(I-RMSD_{C\alpha} 0.5-1$						
1AHW	1043	4049	6796	838	431	836	29
1AY7	1	24	130	118	4	2	7
1AZS	1	30			6	6	
1BJ1	9				18	9	25
1BUH	71	66	209	426	36	24	11
1BVN	1	2	2	1	1	1	68
1DQJ	216	604	261	3363	75	25	22
1E96	113	1	59	168	73	5	13
1EAW	8	622	297	86	42	25	1
1EFN	6	166	197	1684	203	97	17
1EWY	4	8	200	5	10	10	1
1F34	1	139	174	226	52	280	2
1F51	2	7	13	375	1505	130	8
1FQJ	14	309	396	482	218	438	10
1GCQ	274	1091	574	1540	5	5	36
1GLA	61	50		12	6	21	13
1GPW	1	1	1	1	1	1	1
1HE1	1	3958	102	4506	2425	523	26
1HE8	138	2917	2612	1503	277	242	34
1IJK	16	1309	69	61	493	487	38
1J2J	46	19	303	18	2	3	5
1JPS	709	481		2135	1	2	
1K4C			3036	3369	2275		23
1K74	150	14	172	82	1	1	24
1KAC	4737	1286	3545	990	107	19	91
1KXQ	1	250	8	4	4	1	1
1MAH	1	19	2	4	4	1	1
1MLC	2	37	50	10	1	97	14
1N8O	3	53			5		90
1QA9	3253	7378	5902	6152	1546	37	79
1QFW	81	239	234	0132	26	21	72
IQFW IRLB	1319	4094	∠3 ⊤	7917	20	21	12
	1319	1211	2994	541	164	175	87
1S1Q						175	
1T6B	3	56	802	1464	2	11	3
1TMQ	1	1	27	4	54	_	4
1UDI	1	1	2	47	1	1	42
1YVB	1	19	1	2	3	21	7
1Z0K	2	8	523	57	42	11	44
1ZHI	5	3	7450		196	5	5
2AJF	5	1788		311	562	2268	21
5							

Table 1. continued

PDB	bound	unbound	$C\alpha$ -RMSD	Int-RMSD	bound BE	unbound BE	clas
	$(I-RMSD_{C\alpha} \ 0.5-1)$						
2BTF	1	33	120	26	60	9	25
2OOB	588	112	131	217	106	547	43
2VIS	64						
7CEI	1	19	11	1	1	1	20
Medium-Flexil	ole (I-RMSD $_{C\alpha}$ 1.	0-2.0 Å) (35 Cases)					
1A2K	36	114	5641	284			78
1AK4	2420	2040	3983	3619		2721	10
1AKJ	89	656	345	261	204	162	11
1B6C	1	3	6	11	1	1	21
1BGX	1						
1BVK	7	18	4	146	87	85	2
1D6R	1050	2128	227	888	669	785	10
1DFJ	6	557	2	1	1	1	4
1E6E	1	3	2	1	1	8	1
1E6J	_	33	34	3	1	2	5
1EZU	1	2048	3633	Ü	1449	1547	10
1FC2	127	2010	233	326	1256	683	17
1GP2	127		200	842	85	003	87
1GP2 1GRN	2	858	184	1184	450	23	29
1GKN 1HIA	2 99	858 40	415	42	23	166	29 7
		+∪	413		23		
1I4D	1	946	540	642		44 99	13
1I9R	15	846	568	212	2		
1K5D	1	360	85	•	2	610	
1KXP	1	16	14	1	1	1	1
1ML0	1	173	80	140	1	1	9
1NW9	1	9	181	36	43	39	18
1OPH	59	14		469			25
1VFB	37	59	86	59	128	31	95
1WQ1	4	2448	5	1077	16	6	6
1XD3	1	1	3	13	2	1	1
1XQS	1	14	55	628	1	8564	7
1Z5Y	1	16	320		4	39	17
2CFH	1	1904	202	1394	4066	43	5
2FD6	68	31				81	1
2H7V	1		734		1091		
2HLE	1	13	1	1	2	1	3
2HQS	1	30	2	30	146	146	12
2I25	1	40	443	1520	15	948	35
2O8V	1	60	5	186	220	1	
2QFW	1		19			7	73
Flexible (I-RM	$ISD_{C\alpha} \ 2.0 - 3.0 \ \text{Å})$	(18 Cases)					
1ACB	1	361	144	668	6	4	15
1BKD	2	522	157	1050	99	114	64
1CGI	1	19	98	13	1	12	5
1DE4	1			366			
1E4K	104	1215	722	148	200	4249	74
1EER	3	1821	91	21	81	37	67
1I2M	1		683	632	50	149	24
1IB1	34		2116	7028	255	2775	16
1IBR	1						
1KKL	88	49	271	176	1	2	28
1M10	1	81	5742	574	-	21	28
1N2C	1					16	
1PXV	1	2073	100	429	673	1498	23
2C0L	83	3958	1024	1589	0/3	5105	38
	2	3730	1027	1507		3103	36
2HMI	4						
2HMI 2HRK		16	23	47	93	82	2.4
2HMI 2HRK 2NZ8	49 1	16 10	23 5509	47 247	83 2	83 168	24 58

Table 1. continued

PDB	bound	unbound	Cα-RMSD	Int-RMSD	bound BE	unbound BE	clashes
Highly Flexib	ole (I-RMSD _{Cα} > 3	.0 Å) (8 Cases)					
1ATN	7	2568				665	
1FAK	41	5327			43	41	
1FQ1	6	3865	4315	7901	927		4833
1H1V	537						
1IRA	1						
1JMO	1	5325		5398	2969	5510	5547
1R8S	1					4043	
1Y64	1420					1329	

"For each case, the best rank of any near-native docking solution is shown, when docking the bound and unbound structures of the interacting proteins, or the best conformers selected from the MODELER ensembles according to the different criteria, based on the native orientation, as described in the main text. In bold are shown the high-affinity cases.

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519 tional rearrangement of the interacting proteins upon binding 520 (see Methods). The largest docking improvement when using 521 the selected conformers is observed in the low- and medium- $_{522}$ flexible cases, i.e. those with I-RMSD $_{C\alpha}$ between 0.5 and 2.0 Å 523 (Figure 4B). The ensemble success rates are particularly good 524 in the low-flexible cases, for which they reach predictive 525 docking values similar to the optimal ones when using the 526 bound structures. This could be related to the limited sampling 527 used here, which did not explore too far from the unbound (1.2 528 Å of Int-RMSD as average) and therefore they can only deeply 529 explore the vicinity of the bound state in low-flexible or rigid cases. Indeed, in the rigid cases (I-RMSD_{Ca} < 0.5 Å), the selected conformers yield similar results to the unbound structures. In these cases, unbound structures already produced optimal results, similar to the optimal success rates obtained when using the bound structures. In flexible or highly flexible sas cases (I-RMSD_{C α} > 2.0 Å), the docking results for the 536 ensembles are as poor as those for the unbound structures, very 537 far from the optimal success rates when using the bound 538 structures. Using MD or more conformers does not significantly change the results (Figure S5).

We noted that the results of bound docking are not as good 541 as one would expect, mostly due to the above-mentioned low-542 resolution FFT-based discrete searching algorithm. In this 543 method, proteins are represented in 3D grids with 0.7 Å of grid cell size, and thus the exact atomic positions of the interacting proteins are not explicitly considered during the simulations. Rotations of the ligand protein are also discretely sampled, which makes it unlikely to find the exact native orientation. 548 This would be particularly critical in low-affinity cases, in which 549 the small number of interactions would make them less tolerant 550 to small errors in the atomic positions. To minimize the impact 551 of this technical limitation in our evaluation, we have performed 552 the same analysis as above but focusing only on the 28 cases of the benchmark that have been experimentally defined as highaffinity ($\Delta G < -12.0 \text{ kcal/mol}$), for which the results of bound docking are close to optimal (Figure 4C). Under these 556 conditions, we can observe even more clearly that the selected conformers largely improved the docking success rates in the 558 low-flexible cases. This analysis helps to explain the observed 559 general improvement in docking when using the optimal 560 conformers and aims to be useful for future development of a 561 practical docking protocol. Given that it would be very difficult 562 to identify a priori which cases can be more benefitted from this 563 approach, any future docking protocol using this strategy 564 should be of general applicability to all cases.

DISCUSSION

Conformers Providing Better Binding Energy in the 566 Native Orientation Are More Likely To Improve 567 **Docking.** We have shown that a set of discrete conformers 568 representing the conformational heterogeneity of the unbound 569 structure yielded better docking results than the unbound 570 structures alone. It would be important to analyze the reasons 571 for the success of such conformers. Surprisingly, the conformers 572 that were structurally more similar to the reference structures 573 did not yield better docking results than the unbound 574 structures. More interestingly, selected conformers with the 575 best binding energy in the native orientation yielded better 576 docking results than the unbound structures. Thus, the capacity 577 to provide favorable binding energy in the native orientation 578 seems to be a major determinant for the success of docking, as 579 opposed to the criterion of structural similarity to the native 580 conformation. This might be due to the fact that in the majority 581 of our cases, ensembles are not exploring the conformational 582 space close to the bound state, because sampling is limited to a 583 region in the vicinity of the unbound.

Figure 5A shows, for each case, the best ranked near-native 585 f5 solution obtained when docking the conformers that had the 586 best native-oriented binding energy with the bound partner 587 (i.e., best near-native rank in ordinates; average native-oriented 588 energy of best pair of conformers in abscissas). As we can see in 589 Figure 5A, 90% of the successful cases (i.e., near-native solution 590 ranked within top 10) have an average conformer binding 591 energy < -20.0 au in the native orientation. Actually, 71% of 592 the docking cases with conformers with binding energy in the 593 native orientation < -40.0 au were successful. This confirms 594 that the existence of conformers with good optimal energy in 595 the native orientation is determining the success of docking. 596 Figure 5A highlights the cases that significantly improved, i.e. 597 which had a near-native ranked ≤10 when using the energy- 598 based selected conformers but not when using the unbound 599 structures. In many of these cases, the unbound structures in 600 the native orientation had binding energy < -20.0 au (Figure 601 5B) but were not successful in unbound docking. In these cases, 602 a minimal amount of conformational sampling seems to be 603 sufficient to generate conformers that significantly improve the 604 docking results.

Ensembles in Docking: Does Size Really Matter? For a 606 practical use in docking, the conformational ensembles should 607 provide a reasonable coverage of the conformational space, 608 using a minimal number of conformers. We have shown here 609 that the selected conformers (based on the reference complex 610 structure) from the 1,000-member ensembles generated by 611

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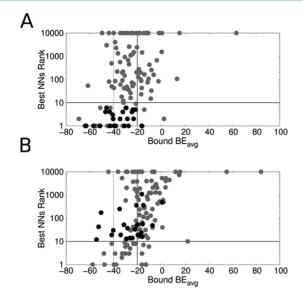


Figure 5. Docking performance dependence on energetic complementarity of the docking partners. Best rank of any near-native docking solution vs average native-oriented pyDock binding energy (au) toward the bound partner calculated for (A) best pair of conformers according to binding energy toward the bound state and (B) unbound X-ray structures. Highlighted in black are the cases that largely improve docking performance (from near-native rank >10 to rank \leq 10) using the energy-based selected conformers.

612 MODELER or MD yielded similar results to those selected 613 from the 100-member ensembles (Figure S4). This suggests 614 that the "extra" 900 conformers are exploring the same 615 conformational region and that the initial ensembles of 100 616 conformers already achieved convergence and provided 617 reasonable sampling, at least at the conditions of our 618 experiment. Perhaps the resolution of the docking or the 619 energy-based scoring is not sufficient to appreciate subtle 620 changes in the conformational ensembles, so adding more 621 models, without changing the conditions of the simulation, is 622 not going to help. This is exactly what happens in the more 623 rigid cases, in which a larger conformational ensemble does not seem to help to find better conformers to improve the docking results. However, we can observe a small improvement in the 626 flexible cases when using the larger ensembles (Figure S5). 627 Perhaps, in addition to larger ensembles, higher conformational variability would be needed in order to see further improve-629 ment in the flexible cases. In this sense, we have performed 630 longer MD simulations (100 ns), at different temperatures (300 and 340 K), on a random selection of 11 cases with no missing long loops (comprising all ranges of flexibility values). The 633 1,000-member ensembles from these extended MD simulations showed larger conformational variability as compared to the shorter simulations. However, these larger ensembles did not 636 increase the number of cases with conformers significantly 637 more similar to the bound structure, neither provided better docking success rates (S1 Table). Given the known 639 convergence issues in MD, 63 it seems that more exhaustive 640 sampling of the unbound conformational space is needed for 641 most of the cases. This could be achieved by much longer MD 642 trajectories, multiple MD runs in parallel, or enhanced sampling 643 methods like metadynamics, 64 replica-exchange, 65 or MD with 644 excited normal modes. 66 Future work on ensemble docking 645 would need to explore the use of these enhanced ensembles.

Binding Mechanism: What Can We Learn from 646 **Docking?** The different possible mechanisms that have been 647 proposed for protein-protein association could be described by 648 existing computational approaches. In this context, we can 649 consider several possible scenarios. For protein complexes 650 following a rigid association (similar to "lock-and-key" 651 mechanism), the use of rigid-body docking with the unbound 652 subunits could be a suitable approach to describe the binding 653 process and obtain good predictive models. Indeed, this seems 654 to be the case for complexes with small conformational changes 655 between the unbound and bound states (I-RMSD_{C α} < 0.5 Å), 656 in which unbound docking already gives similar success rates as 657 bound docking (Figures 4B and 4C). In these rigid cases, the 658 optimal conformers from the unbound ensembles also yielded 659 similarly good docking rates as those obtained with the 660 unbound and bound structures. Indeed, Figure 6 shows one 661 f6

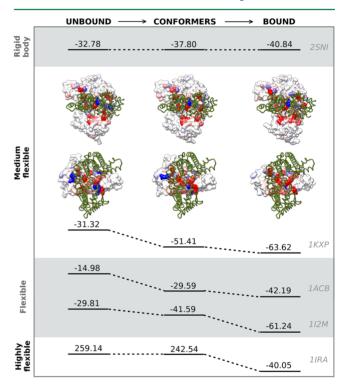


Figure 6. Average pyDock binding energy (au) of the unbound, best conformer according to native-oriented bound energy and bound conformations, in the native orientation, with the bound structure. A few examples with different degrees of unbound-to-bound conformational changes are shown. Similar binding energies for the unbound, conformer and bound structures suggest a lock-and-key binding mechanism (as in 2SNI). An average binding energy for conformers better than that of unbound structures and similar to that of bound structures suggests a conformational selection model (as in 1KXP, 1ACB). Conformer binding energy similar to that of the unbound conformation and worse than that of the bound structure could be compatible with conformational selection (1I2M; see main text) or induced fit mechanisms (1IRA).

example of rigid-body docking (2SNI) in which the unbound 662 proteins in the native orientation showed good average binding 663 energy toward the bound partner (-32.8 au), not far from that 664 of the bound structures (-40.8 au). Consistently, the average 665 binding energy of the best conformers were similar to that of 666 the unbound or bound pairs (-37.8 au). However, when 667 conformers were selected by criteria of structural similarity to 668 bound state, docking success rates were much worse than 669

Table 2. Docking Performance of Conformers Selected from NMA-Based Ensembles

PDB	bound	unbound	MM (100 conf)	MM (1,000 conf)	NMA (100 conf) * MM (1 conf)	NMA (100 conf) * MM (10 conf)	NMA (1,000 conf) * MM (1 conf)
1ACB	1	361	4 ^d	34 ^d	46 ^c	3^d	1^d
1ATN	7	2568	665 ^d		292 ^c	3245 ^a	1788 ^a
1EER	3	1821	21 ^b	13 ^c	17^d	3 ^c	3 ^b
1I2M	1		50°	13 ^c	23 ^c	$1^{c,d}$	1^d
1IBR	1			1108 ^e	87 ^a	146 ^e	88 ^a
1PXV	1	2073	100 ^a	822 ^c	168 ^d	168 ^d	232 ^d

^aCα global RMSD. ^bFull-atom interface RMSD. ^cNative-oriented binding energy with bound partner. ^dNative-oriented binding energy with unbound partner. ^eNumber of clashes with bound partner in the native orientation.

670 unbound or bound docking, because in these cases conforma-671 tional heterogeneity is more likely to produce conformers that 672 are further from the bound state than the unbound one (given 673 that the unbound was already close to the bound state). Indeed, 674 in none of these cases there were a single conformer that was 675 significantly closer (in terms of Int-RMSD) to the bound state 676 than the unbound structure.

On the other side, we know that in complexes involving 678 flexible association, rigid-body docking with the unbound 679 structures is not going to produce correct models. For such cases, different binding mechanisms have been proposed, such as conformational selection or induced fit. For cases following the conformational selection mechanism, the hypothesis is that the unbound proteins naturally sample a variety of conforma-684 tional states, a subset of which are suitable to bind the other protein. Therefore, for these cases the use of precomputed 686 unbound ensembles describing the conformational variability of the free proteins in solution should generate conformers that would improve the rigid-body docking predictions with respect 689 to those with the unbound structures. Indeed, this is the case 690 for the complexes undergoing unbound to bound transitions between 0.5 and 1.0 Å I-RMSD_{C α}. In these cases, selected 692 conformers from the unbound ensembles yielded much better 693 docking predictions than the unbound structures, virtually 694 achieving the success of bound docking (Figure 4B). For cases 695 undergoing unbound-to-bound transition between 1.0 and 2.0 696 Å I-RMSD_{Ca}, the use of unbound ensembles also improved the 697 predictions with respect to the unbound docking results, 698 although to a lesser extent (Figure 4B). Figure 6 shows one of 699 these cases, 1KXP, in which the binding energy of the selected pair of conformers in the native orientation (-51.4 au) is better 701 than that of the unbound structures (-31.3 au) and similar to $_{702}$ that of the bound structures (-63.6 au). Some residues in the best pair of conformers show better energy contribution than in the unbound state, which explains why this specific pair of conformers improves docking results. In these cases, the existence of a subpopulation of "active" conformers, i.e. with good binding capabilities toward the bound partner, would be consistent with a conformational selection mechanism. The fact that these conformers with improved binding capabilities are 710 not geometrically closer to the bound state seems counter-711 intuitive. However, recent views of binding mechanism suggest 712 that active conformers that are selected by the partner (initial 713 encounters) do not necessarily need to be in the bound state, as 714 they can adjust their conformations during the association process.³⁵ Our docking poses are likely to represent these initial 716 encounters between the most populated conformational states 717 of the interacting proteins and would be compatible with this 718 extended conformational selection view.³⁵ However, in other 719 cases the limited conformational sampling used here might not

be sufficient to explore all conformational states available in 720 solution, and therefore the specific binding mechanism cannot 721 be easily identified.

On the other extreme, in cases following an "induced-fit" 723 mechanism the bound complexes would only be obtained after 724 rearrangement of the interfaces when interacting proteins are 725 approaching to each other, in which case the use of 726 precomputed conformational ensembles in docking (even if 727 generated by exhaustive sampling) would not produce favorable 728 encounters around the native complex structure. This seems 729 the case for complexes undergoing unbound to bound 730 transitions above 3.0 Å I-RMSD_{Ca}. In all these cases, rigid- 731 body docking, either with unbound structures or with selected 732 conformers, fails to reproduce the experimental complex 733 structure. Figure 6 shows one of these highly flexible cases, 734 1IRA, in which the binding energy of the selected pair of 735 conformers is similar to that of the unbound structures and 736 much worse than that of the bound conformation. For these 737 complexes, the use of precomputed unbound ensembles does 738 not seem to be advantageous, and they would probably need to 739 include flexibility during the docking search, mimicking the 740 induced fit mechanism. However, in the flexible category (i.e., 741 unbound to bound transitions between 2.0 and 3.0 Å I- 742 $RMSD_{Ca}$), there are cases like 1ACB, which seem to follow the 743 (extended) conformational selection mechanism, since the use 744 of conformers helps to improve the docking results, and the 745 conformers show better energy than the unbound structures 746 (Figure 6). Again, there might be other complexes under this 747 category that could still follow the conformational selection 748 mechanism, but for which our conformational search is perhaps 749 not sufficient to sample the productive conformations that may 750 exist in solution. This seems to be the case of 1I2M, in which 751 the ensembles based on MODELER did not produce any pair 752 of conformers with sufficiently good binding energy in the 753 native orientation (Figure 6), but the use of extended sampling 754 based on NMA was able to improve the docking rates (see 755 later).

Obviously, the use of docking calculations to learn about the 757 binding mechanism has additional limitations. The time scale of 758 transitions between inactive and active conformers can play an 759 important role in controlling the binding mechanism. ⁶⁷ In the 760 present work, we can only assume that our ensembles are 761 formed by the most populated conformers in solution, so the 762 existence of active conformers that can be preferentially 763 selected by the bound partner would be compatible (but not 764 exclusively) with a mainly conformational selection mechanism. 765 However, in a situation in which the active conformers are not 766 highly populated, as those that would need extended sampling 767 to be identified, we could not define the type of mechanism 768 unless transition rates between conformers are considered.

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Future Perspectives: Improving Sampling with Nor-771 mal-Mode Analysis for Flexible Cases. We have shown 772 here that cases with large conformational changes after binding 773 (I-RMSD_{C α} > 2 Å) do not generally benefit from the use of 774 conformers from unbound ensembles generated by MODELER 775 or short MD simulations. These complexes could follow the 776 induced fit binding mechanism, in which the use of 777 precomputed unbound ensembles would not be appropriate to describe association. However, we should not disregard that some of these complexes could still follow a conformational 780 selection mechanism, but for some reason a dramatically larger conformational sampling would be needed to find suitable 782 conformers. One way to extend conformational sampling is by 783 using Normal Mode Analysis (NMA). When generating 100 conformers for this group of cases (strong and flexible I-785 RMSD_{Ca} > 2.0 Å) with an ad-hoc Monte Carlo sampling 786 method based on $C\alpha$ NMA and full-atom rebuilding with MODELER (Figure S6; see Methods), the results were not 788 better than those obtained with the conformers directly generated by MODELER (Tables 2 and S2). However, when generating 1,000 conformers based on NMA (either formed by 791 1,000 NMA-based conformers rebuilt with MODELER, or by 792 100 NMA-based conformers with 10 MODELER models for 793 each of them), the success rates largely improved with respect 794 to those obtained when generating conformers only with 795 MODELER (either 100 or 1,000 conformers). It is interesting 796 to analyze the flexible case 1I2M, in which docking failed when using the unbound structure or the best conformers from either MODELER or MD ensembles, but yielded successful results 799 with the 1,000-member NMA-based ensembles. This shows 800 that new sampling approaches based on NMA could produce the type of enhanced sampling needed for the most flexible 802 cases that follow a conformational selection mechanism. Our 803 findings could help to develop future strategies to integrate 804 NMA-sampling in a practical docking protocol, but this would 805 need extensive evaluation on the entire benchmark (including 806 cases in which the conformational difference between bound 807 and unbound structures is small) and algorithmic optimization, which is beyond the scope of current work.

CONCLUSIONS

810 We present here the most complete systematic study so far 811 about the potential capabilities of using precomputed unbound 812 ensembles in docking. The results show that considering 813 conformational heterogeneity in the unbound state of the 814 interacting proteins can improve their binding capabilities in 815 cases of moderate unbound-to-bound mobility. In these cases, 816 the existence of conformers with better binding energy in the 817 native orientation is associated with a significant improvement 818 in the docking predictions. It seems that protein plasticity 819 increases the chances of finding conformations with better 820 binding energy capabilities, not necessarily related to similar-to-821 bound geometries, which is compatible with the extended 822 conformational selection mechanism. Other moderately flexible cases have conformers that look promising from a binding energy perspective but do not provide good docking predictions. These cases could also follow a conformational 826 selection mechanism, but they would need extensive sampling 827 to find suitable conformers for binding. The most flexible cases 828 would show larger induced fit effects and therefore would not 829 be well described by ensemble binding. In a realistic scenario, 830 optimal conformers would not be easy to identify a priori, and, 831 as a consequence, new ways of efficiently including all

conformers in a docking protocol should be devised. This 832 work helps to set guidelines for future strategies in practical 833 docking predictions based on unbound ensembles generated by 834 molecular mechanics minimization.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the 838 ACS Publications website at DOI: 10.1021/acs.jctc.6b00204.

> Figure S1: Distribution of conformers according to 840 different quality criteria in the 100-member ensembles. 841 Figure S2: Representative conformational ensembles 842 generated by 10 ns MD simulations. Figure S3: 843 Distribution of conformers according to different quality 844 criteria in the 1,000-member ensembles. Figure S4: 845 Docking performance for the best conformers of 846 different ensembles. Figure S5: Docking performance 847 for the different ensembles according to unbound-to- 848 bound variability. Figure S6: Representative conforma- 849 tional ensembles generated by NMA-based sampling. 850 Table S1: Docking performance (best rank of any near- 851 native solution) with conformers selected from extended 852 MD ensembles. Table S2: Docking performance of 853 conformers selected from NMA-based ensembles (PDF) 854

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ABBREVIATIONS

MD, molecular dynamics; NMA, normal modes analysis; 873 eNMA, enhanced normal modes analysis; RMSD, root-mean- 874 square deviations; CAPRI, Critical Assessment of PRediction of 875 Interactions; NMR, nuclear magnetic resonance; RDC, 876 Residual Dipolar Coupling; MM, modeling minimization; BE, 877 binding energy; FFT, Fast Fourier Transform 878

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