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1 **Non-annular, hemispheric signature of the winter North Atlantic Oscillation**

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8

9 **Abstract.**

10

11 Sensitivity experiments with an atmospheric general circulation model (AGCM)
12 without a proper stratosphere are performed to locally force a North Atlantic Oscillation
13 (NAO)-like response in order to analyse the tropospheric dynamics involved in its
14 hemispheric extent. Results show that the circulation anomalies are not confined to the
15 North Atlantic basin not even within the first ten days of integration, where the
16 atmospheric response propagates downstream into the westerly jets. At this linear stage,
17 transient-eddy activity dominates the emerging, regional NAO-like pattern while zonal-
18 eddy coupling may add on top of the wave energy propagation. Later at the quasi-
19 equilibrium nonlinear stage, the atmospheric response emphasizes a wavenumber-5
20 structure embedded in the westerly jets, associated with transient-eddy feedback upon
21 the Atlantic and Pacific storm-tracks. This AGCM waveguided structure rightly projects
22 on the observational NAO-related circumglobal pattern, providing evidence of its non-
23 annular character at tropospheric levels. These findings support the view on the
24 importance of the circumglobal waveguide pattern (CWP) on the development of NAO-
25 related anomalies at hemispheric level. It could help to settle a consensus view of the
26 Arctic Oscillation, which has been elusive so far.

27

28

29 **1. Introduction**

30

31 The North Atlantic Oscillation (NAO) largely dominates atmospheric variability during
32 Euro-Atlantic winter and its associated impacts govern, likewise, climate variability in
33 the North Atlantic surrounding areas (e.g. Hurrell et al. 2003; Hurrell and Deser 2009).
34 In recent years, there has been a relevant increase in understanding the dynamics
35 involved in the NAO. It is well established that the NAO can be regarded as the main
36 integrator of fluctuations in the Atlantic storm-track and the associated eddy-driven jet
37 (e.g. Thompson et al. 2003; Vallis and Gerber 2008; Gerber and Vallis 2009; Wettstein
38 and Wallace 2010), being tied to breaking of synoptic-scale Rossby waves (e.g. Rivière
39 and Orlanski 2007; Strong and Magnúsdóttir 2008; Woollings et al. 2008) that links
40 blocking occurrence with weather regimes (e.g. Woollings et al. 2010; Davini et al.
41 2012).

42

43 The internal, regional dynamics of the NAO variability is supported by the confinement
44 of the pattern to the North Atlantic basin at lower-tropospheric levels (e.g. García-
45 Serrano et al. 2011); although, it is recognized that weaker anomalies in phase with
46 North Atlantic mid-latitudes are systematically present in the North Pacific basin (e.g.
47 Hurrell et al. 2003). This latter quasi-zonally symmetric appearance led to the paradigm
48 of the Arctic Oscillation or Northern Annual Mode (AO/NAM) (Thompson and
49 Wallace 1998, 2000, 2001). The AO/NAM variability relies on a large-scale seesaw in
50 atmospheric mass between middle and high latitudes, which is thought to have a deep
51 barotropic, annular signature throughout the atmosphere (Thompson et al. 2003). In
52 contrast, the variability associated with the NAO-AO has a distinct hemispheric pattern
53 at upper-tropospheric levels, which is wave-like (Nigam 2003; Thompson et al. 2003).

54 This hemispheric signature was identified by Branstator (2002) as embedded in the
55 circumglobal waveguide pattern (CWP), which has non-annular, zonally-propagating
56 Rossby wave dynamics (Hoskins and Ambrizzi 1993). The NAO/CWP paradigm
57 provides a quasi-zonally symmetric structure at surface (García-Serrano et al. 2011),
58 since the waveguide pattern tends to vanish at low levels over continents (Branstator
59 2002), thereby may reconcile the debate about the Pacific centre of action in the NAO-
60 related variability (e.g. Deser 2000; Wallace 2000; Ambaum et al. 2001; Dommenges
61 and Latif 2002; Wallace and Thompson 2002; Huth 2006) but maintaining a non-
62 annular behaviour (c.f. Cohen and Saito 2002; Feldstein and Franzke 2006).

63

64 In the middle troposphere (500hPa), the NAO-related atmospheric variability shows two
65 centres of action in the North Pacific and the one at North Atlantic mid-latitudes, still
66 more pronounced, elongates resembling another two centres of action (e.g. Baldwin et
67 al. 1994; Christiansen 2002; Woollings et al. 2010). The same hemispheric anomalies
68 can be found in the upper troposphere (e.g. DeWeaver and Nigam 2000a, 200b), in the
69 tropopause height (Ambaum and Hoskins 2002) or just above the tropopause level
70 (Baldwin et al. 2003). The fifth centre of action of the NAO/CWP pattern is located
71 around the Arabian Peninsula (Branstator 2002). The NAO-related wavenumber-5
72 structure at upper-tropospheric levels is better displayed in the streamfunction field or
73 the rotational (non-divergent) component of the meridional wind (e.g. Branstator 2002;
74 García-Serrano et al. 2011), presumably because its dynamics invokes Rossby wave
75 propagation into the westerly jets.

76

77 Further upward into the stratosphere, the atmospheric variability becomes more zonal
78 (e.g. Thompson and Wallace 2000) and the NAM-like variability gains more dynamical

79 significance (e.g. Vallis and Gerber 2008). Interestingly, observational and modelling
80 studies show that changes in the stratospheric polar vortex strength lead to a regional
81 NAO-like pattern at surface rather than to an AO/NAM-like signature (e.g. Baldwin and
82 Dunkerton 2001; Ambaum and Hoskins 2002; Norton 2003; Shaw et al. 2014), with
83 mid-tropospheric anomalies projecting on the CWP structure (e.g. Kindem and
84 Christiansen 2001; Christiansen 2002). The troposphere-stratosphere covariability is,
85 likewise, associated with a near-surface circulation anomaly that is most pronounced in
86 the North Atlantic basin (e.g. Perlwitz and Graf 1995; Baldwin et al. 2003), but
87 involving a non-annular, hemispheric NAO/CWP-like pattern aloft (e.g. Baldwin et al.
88 1994, 2003; Ambaum and Hoskins 2002). Hence, even considering the NAO-related
89 troposphere-stratosphere coupling as a regional phenomenon (e.g. Ambaum and
90 Hoskins 2002; Davini et al. 2014), it yields circumglobal circulation anomalies
91 apparently trapped into the westerly jets.

92

93 The physical relationship between the regional NAO and the hemispheric AO, defined
94 at surface, is not well established. The starting point of this study is the NAO/CWP
95 paradigm in the troposphere. The purpose is to explore whether tropospheric dynamics
96 can explain the establishment of NAO-related anomalies at hemispheric level,
97 particularly the Pacific centre of action in the NAO-AO-related variability. To this aim,
98 an AGCM without a proper stratosphere is used to force, regionally, a NAO-like
99 response and to analyse the development of the associated CWP-like pattern.

100

101 **2. Model and experimental setup**

102

103 The atmosphere model used in this study is the Simplified Parameterizations primitiveE-
104 Equation DYnamics (SPEEDY) model (Molteni 2003). It is an intermediate complexity
105 AGCM based on a spectral primitive equation core and a set of simplified
106 parameterization schemes, which were especially designed to work in models with just
107 a few vertical levels but are similar to those adopted in state-of-the-art AGCMs.
108 SPEEDY has a vertical resolution of seven layers and a triangular spectral truncation at
109 total wavenumber 30 (i.e. T30L7). The levels correspond to 925, 850, 700, 500, 300,
110 200, and 100 hPa. SPEEDY has a good damping parameterization in the upper-most
111 level that allows absorption of waves and prevents spurious reflection (Fred Kucharski,
112 personal communication; see also King et al. 2010). A five-layer version of the model is
113 described in detail by Molteni (2003). The seven-layer version improved climate and is
114 described and validated in Hazeleger et al. (2003) and Bracco et al. (2004); see
115 Kucharski et al. (2013) for updates.

116

117 In order to obtain sea surface temperature (SST) forcing fields for the AGCM sensitivity
118 experiments, a coupled run is firstly analysed. The coupled model SPEEDO (SPEEDY-
119 Ocean) was configured for the Atlantic basin from 45°S to 60°N, with restoring
120 conditions of the thermodynamic properties applied at the northern and southern
121 boundaries; outside the Atlantic and over land climatological surface temperature was
122 prescribed (e.g. Hazeleger and Haarsma 2005; Haarsma et al. 2005, 2008). The ocean
123 component consisted of the Miami Isopycnic Coordinate Ocean Model (MICOM)
124 version 2.7 (Bleck et al. 1992). SPEEDO was integrated for 60 years from which the
125 last 50 years are used for analysis (Haarsma et al. 2005).

126

127 A set of sensitivity experiments with SPEEDY have been performed in this study,
128 namely control (CTL) and perturbed runs, both consisting of 200-member ensembles of
129 30-day integrations for the month of January. The initial conditions for the first of
130 January were obtained from a 200-year integration with climatological SSTs. The CTL
131 transient simulations use SST climatology as boundary condition. In the perturbed
132 transient simulations, a SST anomaly pattern is prescribed in the North Atlantic with
133 climatology elsewhere. To partially separate results from the model framework, and for
134 ease of comparison, observational SSTs (ERSST; Smith et al. 2008) are also used in
135 addition to SPEEDO SSTs. The anomalous forcing fields are shown in Fig. 1 (bottom),
136 and correspond to the regression of ERSST anomalies onto the observational NAO
137 index (Fig. 1e), as given by the ERA-40 re-analysis (Uppala et al. 2005), and SPEEDO
138 SST anomalies onto the model NAO index (Fig. 1f). Principal component analysis
139 (PCA/EOF; von Storch and Zwiers 2001) has been applied upon monthly sea level
140 pressure (SLP) anomalies over 90°W-40°W/20°N-90°N to obtain the corresponding
141 NAO index; the target season is January-February (García-Serrano et al. 2011). The
142 amplitude of the SST regression maps have been amplified to reach a maximum of
143 2.5°C (Fig. 1-bottom), similar to previous studies (e.g. Kucharski and Molteni 2003), in
144 order to compensate the damping in surface heat flux as consequence of considering the
145 sea as an infinite reservoir of heat capacity (AGCM simulations). Note that the forcing
146 fields are restricted to a SST dipole in the western North Atlantic (Fig. 1-bottom, black
147 contours), with the aim of inducing changes primarily in the eddy-driven jet and
148 avoiding continuous baroclinic effects from the subtropical part (Li and Conil 2003);
149 previous works have shown efficiency of the SST dipole in triggering a NAO-like
150 response (Ferreira and Frankignoul 2005; Deser et al. 2007).

151

152 Analysis reveals that SPEEDO SST forcing yields identical atmospheric response as to
153 ERSST anomalies (not shown), indicating that small-scale details in the boundary
154 conditions are not determinant for SPEEDY; the results shown below are those from the
155 observational SST anomaly (Fig. 1e). The focus here is not on the NAO-related SST
156 feedback onto the North Atlantic atmosphere, which is well documented, but on the
157 establishment of the circumglobal pattern associated with a SST-forced NAO-like
158 response. The length of the transient simulations (30 days) is not enough to reach the
159 equilibrium stage, with a strong barotropic structure, which takes about two-three
160 months (e.g. Ferreira and Frankignoul 2005; Deser et al. 2007; see Fig. S5). Results
161 additionally reveal that the atmospheric response is quite linear and does not
162 substantially differ in NAO⁻ (to Fig. 1e), shown and discussed throughout the
163 manuscript, from NAO⁺ (to a SST anomaly opposite in sign to Fig. 1e); the transient
164 response (until day 10 of integration) towards NAO⁺ can be found in the supplementary
165 material (Fig. S6). The size of the ensembles is 200, which ensures reducing internal
166 (chaotic) variability and obtaining robust results. The forced atmospheric anomalies,
167 hereafter referred to as *ensemble-mean response*, are estimated as the difference
168 between the ensemble-mean of the perturbed and CTL runs. All anomalies discussed
169 below are statistically significant at 95% confidence level according to both, a *t*-test of
170 equal mean (von Storch and Zwiers 2001) and a *F*-test for the signal-to-noise ratio
171 (Annamalai et al. 2007).

172

173 **3. The NAO/CWP**

174

175 The structure of the observed NAO is well known (e.g. Hurrell et al. 2003; Hurrell and
176 Deser 2009). Fig. 1c shows the global SLP regression map of the ERA-40 NAO index

177 in mid-winter (January-February; see also García-Serrano et al. 2011); note that its
178 negative phase is displayed (NAO⁻). The canonical pattern is dominated by a strong
179 meridional dipole between middle and high latitudes of the North Atlantic (i.e. the
180 NAO), but usually includes weaker anomalies in the North Pacific (see Introduction);
181 this signature is evident across different re-analyses and/or seasons considered (e.g.
182 Deser 2000; Ambaum et al. 2001).

183

184 At mid/upper-tropospheric levels, the hemispheric anomalies associated with the
185 observational NAO depicts a characteristic pattern as well; generally, with two weak
186 centres of action in the North Pacific basin and an elongated one at North Atlantic mid-
187 latitudes, projecting on two, embedded in the NAO-related dipole with high latitudes
188 (e.g. Thompson et al. 2003; Woollings et al. 2010). Fig. 1a points out this signature,
189 showing the regression map of geopotential height at 300hPa (Z300) onto the ERA-40
190 NAO⁻ index. These four apparent centres of action are distributed along the CWP
191 pattern (Branstator 2002) and may, particularly the two over the North Pacific, reflect a
192 stationary, zonally-propagating Rossby wavetrain (Hsu and Lin 1992; Hoskins and
193 Ambrizzi 1993) triggered from the North Atlantic basin (Watanabe 2004; Watanabe and
194 Jin 2004). The waveguide effect of the westerly jets is illustrated here by the collocation
195 of the anomalies within the climatological zonal wind (Fig. 1a, thick contours). The
196 fifth centre of action in the wavenumber-5 structure of the NAO/CWP pattern is located
197 around the Arabian Peninsula, which becomes more noticeable in the streamfunction
198 field (Fig. S1; Branstator 2002; García-Serrano et al. 2011).

199

200 The global SLP regression map of the model NAO⁻ index is displayed in Fig. 1d. As
201 also shown in other AGCMs, SPEEDY overestimates the amplitude of the North Pacific

202 centre of action related to the NAO at surface. At the upper troposphere, the regression
203 map of Z300 (Fig. 1b) remains showing an overestimation of the NAO-related
204 anomalies in the central-eastern North Pacific (see also the streamfunction anomalies in
205 Fig. S1). This feature might be associated with the overestimated local wind maxima
206 (Figs. 1a-b, thick contours) and more vigorous transient-eddy activity (Figs. 5b-c, 6d,
207 thick contours) there as compared to the North Atlantic (cf. Vallis and Gerber 2008;
208 Gerber and Vallis 2009). On the contrary, SPEEDY underestimates the amplitude of the
209 centre of action at high latitudes (Figs. 1b,d). This latter could be linked to the fact that
210 SPEEDY does not have a proper, active stratosphere (see Section 2), in that coupling
211 processes and feedbacks (e.g. Ambaum and Hoskins 2002) are underrepresented.

212

213 Beyond biases, SPEEDY rightly captures both the NAO-related quasi-zonally
214 symmetric signature at surface (Fig. 1d) and the wavenumber-5 structure at the upper
215 troposphere (Fig. 1b), which projects on the observational one (Fig. 1a; spatial
216 correlation of 0.73). The hypothesis here is that the hemispheric scale of the NAO is
217 non-annular in origin, namely the NAO/CWP could be at the basis of the AO
218 development, implying that tropospheric dynamics is key for its circumglobal extent.
219 This is assessed in Section 4.

220

221 The two lobes per basin of the NAO/CWP pattern collocate with the entrance- and exit-
222 region of the corresponding jetstream. The basis of this configuration is beyond the
223 scope of this study, and a more theoretical framework to address the question is
224 required. It is noted, however, that the centres of action at the exit-regions, where the
225 barotropic processes are more intense (e.g. Vallis and Gerber 2008; Gerber and Vallis
226 2009), are those penetrating to the surface (Figs. 1a-d); whereas the centres of action at

227 the entrance-regions, where the baroclinic processes are dominant, are closer to
228 continents (Branstator 2002). The fifth centre of action is at the interplay between the
229 North African and Asian jets (Figs. 1a,b), where there is a relative maximum in the
230 Eady growth rate (e.g. Vallis and Gerber 2008; Gerber and Vallis 2009).

231

232 **4. Model circumglobal response**

233

234 The transient and quasi-equilibrium ensemble-mean circulation anomalies towards
235 NAO⁻ in response to SST anomalies in Fig. 1e are shown and discussed in the following
236 (see Section 2).

237

238 At day 1 of integration (Figs. S2a,b), there is already a baroclinic response, albeit weak,
239 at the core-region of the North Atlantic jet. This baroclinic structure, with positive
240 geopotential height anomalies at lower levels (Z925) and negative ones at upper levels
241 (Z300), remains until day 10 of integration but increasing in amplitude and extent (Fig.
242 2). The available energy into the system is indeed provided by the baroclinic processes
243 associated with changes in the meridional temperature gradient induced by the
244 anomalous SST forcing (Fig. 1e); here illustrated with the Eady growth rate at 850hPa
245 (Fig. 3-right), which measures baroclinic instability and governs the amplitude of the
246 atmospheric perturbations (e.g. Hoskins and Valdes 1990). The ensemble-mean
247 response at day 2 of integration covers the whole Atlantic basin, from the Gulf of
248 Mexico to offshore Iberian Peninsula (Figs. 2a,b). At day 4, the circulation anomalies
249 penetrate into Europe, with the upper-tropospheric anomalies (Fig. 2c) going slightly
250 ahead than the lower-tropospheric anomalies (Fig. 2d). When the atmospheric
251 anomalies are well developed over Europe at day 6 of integration, particularly for Z300

252 (Fig. 2e), the ensemble-mean response shows a barotropic anomaly at the exit-region of
253 the North Pacific jet (Figs. 2e,f). This is striking, as no clear geopotential height
254 anomalies propagating downstream, thus connecting the two regions, have been shown.
255 It is to note, however, that one day before (day 5) the atmospheric response displays a
256 cyclonic anomaly over the eastern North Pacific for Z300 (Fig. S2c) but not for Z925
257 (Fig. S2d), illustrating that the atmospheric teleconnection is firstly established at upper
258 levels (e.g. Ambrizzi and Hoskins 1997), where it likely triggers the feedback from the
259 transient-eddy activity (e.g. Trenberth et al. 1998). Latitudinal shifts of the westerly jets
260 may make the zonally-oriented Rossby waves undistinguishable (e.g. Lu et al. 2002);
261 hence, an analysis is performed by subtracting the zonal-mean in the ensemble-mean
262 response for geopotential height (Fig. 3-left) and streamfunction (Fig. S3) at 300hPa. It
263 is shown that the zonally-asymmetric circulation anomalies effectively propagate
264 downstream into the westerly jets, thereby discarding that Rossby wave energy
265 propagates upstream to the North Pacific. Wave activity flux diagnostic confirms that
266 the energy propagation is eastward, off the North Atlantic jet (Fig. S4). The
267 waveguiding time-scale in SPEEDY, of less than a week, is consistent with previous
268 evidence from observational (Watanabe 2004), linear baroclinic model (Watanabe and
269 Jin 2004), and comprehensive AGCM (Li 2006) results. Fig. 4a summarizes how the
270 wave perturbation at 40°N propagates downstream and amplifies with integration time,
271 whereas Fig. 4b shows that the propagating zonally-asymmetric circulation anomalies
272 are not an artefact of the residual operator.

273

274 At day 8 of integration (Figs. 2g,h), two centres of action over the North Pacific basin
275 are noticeable in the ensemble-mean response at the upper troposphere. The barotropic
276 centre of action at the exit-region of the North Pacific jet has increased in amplitude (cf.

277 to Figs. 2e,f), indicating that it is not only sustained against dissipation but amplified, by
278 likely extracting energy from the mean-flow on top of the wave energy propagation
279 (Fig. S4f). Two days after (day 10), it keeps growing (Figs. 2i,j). Recall that the
280 baroclinic processes in the North Atlantic (Fig. 3j) are the main source for the
281 conversion of available potential energy into kinetic energy. In the Euro-Atlantic sector
282 the ensemble-mean atmospheric anomalies are overall stronger. The upper-tropospheric
283 circulation anomaly over Europe has further displaced eastward and the centre of action
284 at North Atlantic mid-latitudes has apparently splitted in two (Fig. 2i); note that these
285 anomalies correspond to the zonal propagation/advection of the baroclinic perturbation
286 (Figs. 2j, 5c). Together, the wavenumber-5 structure of the ensemble-mean response for
287 Z300 embedded in the westerly jets at day 10 of integration (Fig. 2i) is already
288 reminiscent of the quasi-equilibrium response (see Fig. 6a) and projects on the
289 simulated NAO/CWP pattern (Fig. 1b; spatial correlation of 0.41).

290

291 Besides, the ensemble-mean response yields positive circulation anomalies at high
292 latitudes of the North Atlantic, pointing out the first hint of a barotropic NAO-like
293 pattern (Figs. 2i,j). This anticyclonic anomaly is located over the Greenland-blocking
294 region, usually associated with wave-breaking on the poleward side of the storm-
295 track/jetstream and negative NAO regime (e.g. Woollings et al. 2008, 2010; Davini et
296 al. 2012). This mechanism could be at play according to the Eady growth rate anomalies
297 that identify there a region of increasingly unstable flow over the days 8-10 of
298 integration (Figs. 3h,j; Rivière and Orlandi 2007), since this perturbation would break
299 and dissipate leading to local barotropic energy conversion (e.g. Strong and
300 Magnusdottir 2008). The analysis of the transient-eddy heat flux, which is proportional
301 to the vertical component of the Eliassen-Palm flux (e.g. Andrews et al. 1987), confirms

302 that there is an injection of wave activity over the region (Fig. 5c; Magnusdottir and
303 Haynes 1996). The emerging barotropic structure at day 10 is accompanied by a
304 southward displacement of the eddy-driven jet (Fig. 5a), consistent with the settling of
305 the negative NAO phase (e.g. Woollings et al. 2010). In agreement with this latitudinal
306 shift in the zonal wind, there are negative anomalies of transient-eddy momentum flux
307 over the western North Atlantic (Fig. 5b), indicating that the westerly flow is being
308 decelerated. The positive anomalies of transient-eddy momentum flux over the eastern
309 North Atlantic (Fig. 5b) depict westerly momentum deposition that tends to accelerate
310 the North African jet (Fig. 5a). Likewise, the dipole-like anomaly of transient-eddy heat
311 flux at the core-region of the North Atlantic jet (Fig. 5c) implies that the storm-track is
312 weakened and shifted to the south, as also expected during the negative NAO phase
313 (e.g. Hurrell et al. 2003). Interestingly, the ensemble-mean response at day 10 of
314 integration does not show large anomalies of transient-eddy/mean-flow interaction in
315 the North Pacific basin, in agreement with the dominant linear, barotropic Rossby wave
316 propagation (e.g. Ambrizzi and Hoskins 1997). Even so, other dynamics may be at work
317 in the interaction between the NAO-related anomalous Rossby wavetrain and the mean-
318 flow. Fig. 3-left shows that the zonally-asymmetric Z300 anomalies are out-of-phase
319 with the climatological wave pattern over Eurasia and the western-central North Pacific,
320 as well as over the North Atlantic. The associated zonal-eddy momentum flux (Fig. 5d;
321 e.g. DeWeaver and Nigam 2000a) shows overall negative anomalies in the three areas,
322 and in particular over the former. This suggests that zonal-eddy coupling could
323 contribute, against dissipation, to the remote atmospheric response by extracting energy
324 from the mean-flow.
325

326 At the quasi-equilibrium stage, average of days 15-30 of integration, the circumglobal
327 response is fully established, and the amplitude of the ensemble-mean Z300 anomalies
328 has increased substantially (cf. Figs. 4a, 6a; spatial correlation with Fig. 1b of 0.59).
329 Note that the two centres of action along the North Atlantic jet are stronger than any
330 other at the upper troposphere. The wavenumber-5 structure of the ensemble-mean
331 response, showing a non-annular pattern trapped into the westerly jets, is better
332 illustrated by the meridional component of the wind at 300hPa (Fig. 6b). The
333 waveguided circulation yields a deep barotropic anomaly at the exit-region of the North
334 Pacific jet, which represents the stronger centre of action of Z925 at mid-latitudes (Fig.
335 6c); this is collocated with a relative maximum of the perturbation kinetic energy (Fig.
336 6d), which encapsulates transient-eddy activity in the storm-tracks (e.g. Hoskins et al.
337 1983). The quasi-equilibrium response for Z925 over the North Atlantic (Fig. 6c) does
338 not entirely resemble the model NAO pattern (Fig. 1d), because of the shortness of the
339 integration (see Section 2; Fig. S5), but transient-eddy activity/feedback (Fig. 6d) has
340 been efficient enough to start setting the barotropic dipole-like pattern, as compared to
341 day 10 (Figs. 2i,j), particularly over eastern North America-Iberian Peninsula at mid-
342 latitudes and over Greenland-Scandinavian Peninsula at subpolar latitudes (Figs. 6a,c).

343

344 **5. Summary and discussion**

345

346 The temporal development of the NAO-related circumglobal response to extratropical
347 North Atlantic SSTs, with the transition from a linear Rossby wave response towards a
348 nonlinear response including transient-eddy feedbacks, has been investigated with
349 SPEEDY-AGCM simulations under perpetual January conditions.

350

351 The results shown here support the hypothesis that the hemispheric signature of the
352 winter NAO at surface, particularly the associated circulation anomalies over the North
353 Pacific basin, could be explained by tropospheric dynamics (without the need of
354 interaction with the stratosphere) involving a Rossby wavetrain channelized into the
355 westerly jets, which is consistent with the CWP pattern at the upper troposphere (e.g.
356 García-Serrano et al. 2011). The resemblance of this waveguided, non-annular
357 teleconnection to the observational and model NAO-related upper-tropospheric
358 wavenumber-5 structure suggests that NAO/CWP-like variability may represent a
359 natural mode of atmospheric variability (Branstator 2002; Branstator and Selten 2009).

360

361 The findings also imply that the mid-latitude North Pacific and North Atlantic centres of
362 action in the hemispheric NAO signature do not fluctuate in phase, in agreement with
363 the idea that no longitudinal coherence in transient-eddy activity is expected (e.g. Vallis
364 and Gerber 2008; Gerber and Vallis 2009; Wettstein and Wallace 2010); thereby, the
365 NAO/CWP paradigm is in contrast with the AO/NAM paradigm, where a large-scale,
366 hemispheric seesaw between middle and high latitudes is postulated. However, the
367 NAO/CWP-like variability does not revoke the AO/NAM pattern, but instead provides
368 a dynamical framework to consistently understand its quasi-zonally symmetric
369 appearance at surface. From this perspective, the AO would correspond to the
370 circumglobal extension of the more regional NAO (c.f. Kimoto et al. 2001). The
371 NAO/CWP could also explain why the mode of variability in the Northern Hemisphere
372 appears to be dominated by atmospheric variability in the Atlantic sector (e.g.
373 Thompson et al 2003). It could well help to settle a unifying view of the NAO-AO
374 variability, which is much-needed due to its important environmental impacts and
375 implication for climate forecasting.

376

377 Targeted modelling efforts are required to provide further support to the dynamical
378 framework discussed here. Exploration could point at analysing the downstream
379 propagation of NAO-related atmospheric anomalies, in the North Atlantic, induced by
380 tropospheric mean-flow instabilities (e.g. Watanabe 2009) and/or changes in the
381 stratospheric polar vortex strength (e.g. Garfinkel et al. 2013). Theoretical
382 understanding of the predominance of wavenumber-5 in the CWP might also be subject
383 of research (see last paragraph in Section 3).

384

385

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387

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396

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619

620 **Figure captions**

621

622 **Figure 1:** Regression map of ERA40 (a, c) and SPEEDY-MICOM (SPEEDO; b,d)
623 Z300 (m; top) and SLP (hPa; middle) anomalies in January-February onto the
624 corresponding NAO index. Overplotted in top panels is the corresponding
625 climatological zonal wind at 300hPa ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1}). Contours in bottom
626 panels delimit the forcing fields (SST anomalies; $^{\circ}\text{C}$) of the AGCM runs.

627

628 **Figure 2:** [shading/thin contours] Ensemble-mean response for geopotential height at
629 300hPa (Z300, m; left) and 925hPa (Z925, m; right) every two days of integration.
630 [thick contours] Overplotted is the ensemble-mean monthly-mean zonal wind at 300hPa
631 ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1}) from the control run.

632

633 **Figure 3:** [shading/thin contours] Ensemble-mean response for the asymmetric part, i.e.
634 departure from zonal-mean, of geopotential height at 300hPa (Z300*, m; left) and Eady
635 growth rate at 850hPa (σ_{E850} , day^{-1} ; right) every two days of integration; σ_{E850}
636 has been computed from potential temperature and zonal wind at 925hPa and 700hPa. [thick
637 contours] Overplotted in each panel is the ensemble-mean monthly-mean of the
638 corresponding field from the control run: Z300* ($c_i=50\text{m}$; left) and σ_{E850} ($c_i=0.3\text{day}^{-1}$;
639 right).

640

641 **Figure 4:** Ensemble-mean response for geopotential height at 300hPa (Z300, m; a) and
642 its asymmetric part (Z300*, m; b), i.e. departure from zonal-mean, at 40°N every day of
643 integration from 1 (purple) to 10 (orange), plus the averaged over the days 15-30 (red).

644

645 **Figure 5:** [shading/thin contours] Ensemble-mean response at day 10 of integration for
646 zonal wind at 300hPa (U300, ms^{-1} ; a), transient-eddy momentum flux at 300hPa
647 ($u'v'300$, m^2s^{-2} ; b), transient-eddy heat flux at 850hPa ($v'T'850$, ms^{-1}K ; c), and zonal-
648 eddy momentum flux at 300hPa ($u_c^*v_a^*+u_a^*v_c^*300$, m^2s^{-2} ; d); the latter computed from
649 the climatological stationary wave (u_c^* , v_c^*) and daily anomalous zonal-eddy
650 components (u_a^* , v_a^*). All eddy covariances have been averaged over the days 8-10 of
651 integration. [thick contours] Overplotted in each panel is the ensemble-mean monthly-
652 mean of the corresponding field from the control run: U300 ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1} ;
653 a), $u'v'300$ ($c_i=5\text{m}^2\text{s}^{-2}$; b), $v'T'850$ ($c_i=2.5\text{ms}^{-1}\text{K}$; c), and $u_c^*v_c^*300$ (at ± 20 , ± 60 ,
654 $\pm 100\text{m}^2\text{s}^{-2}$; d); with $u'v'300$ and $v'T'850$ computed from filtered daily data using the
655 24h-difference filter (e.g. Wallace et al. 1988; Chang and Fu 2002).

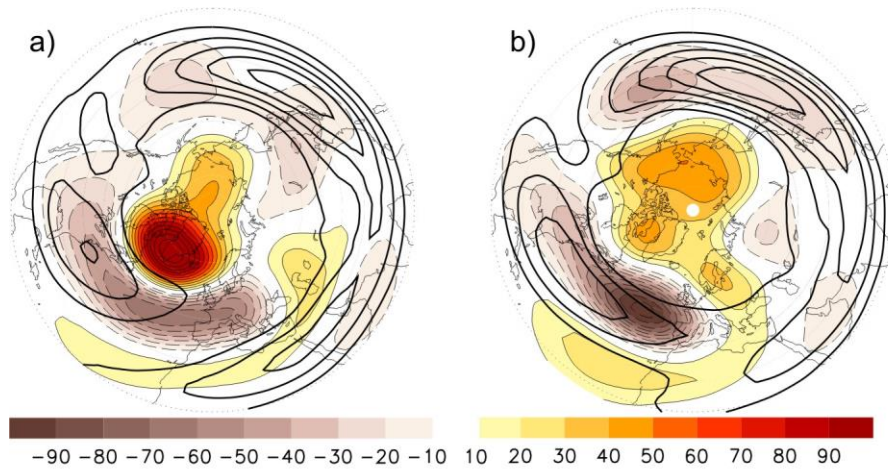
656

657 **Figure 6:** [shading/thin contours] Ensemble-mean response averaged over the days 15-
658 30 of integration for geopotential height at 300hPa (Z300, m; a), meridional wind at
659 300hPa (V300, ms^{-1} ; b), geopotential height at 925hPa (Z925, m; c), and perturbation
660 kinetic energy at 300hPa ($\text{PKE300}=(u'u'+v'v')/2$, m^2s^{-2} ; d); the eddy covariances have
661 been averaged over the days 15-30 of integration. [thick contours] Overplotted is the
662 ensemble-mean monthly-mean zonal wind at 300hPa ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1} ; a-c)
663 and PKE300 ($c_i=45\text{m}^2\text{s}^{-2}$; d) from the control run; the latter computed from filtered
664 daily data using the 24h-difference filter (e.g. Wallace et al. 1988; Chang and Fu 2002).

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NAO x Z300 / ERA40 (JF)

NAO x Z300 / SPEEDO (JF)



NAO x SLP / ERA40 (JF)

NAO x SLP / SPEEDO (JF)

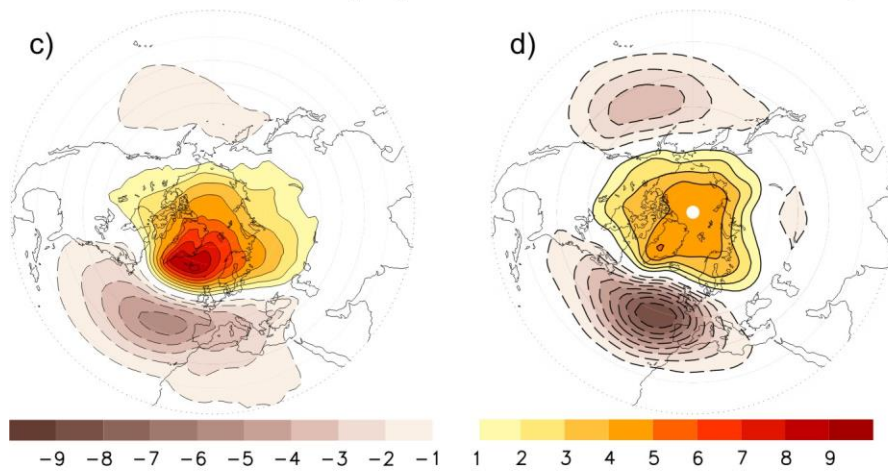
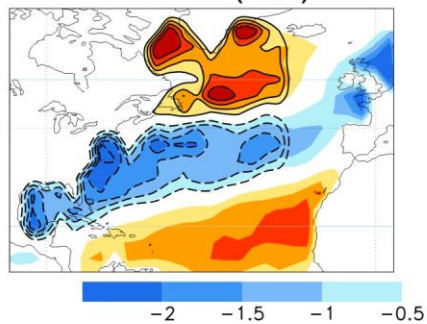
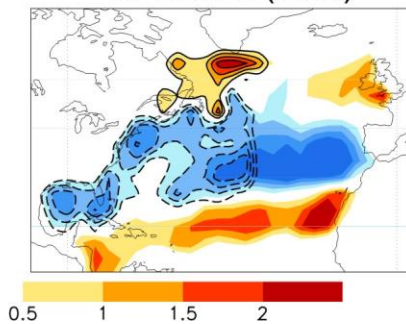
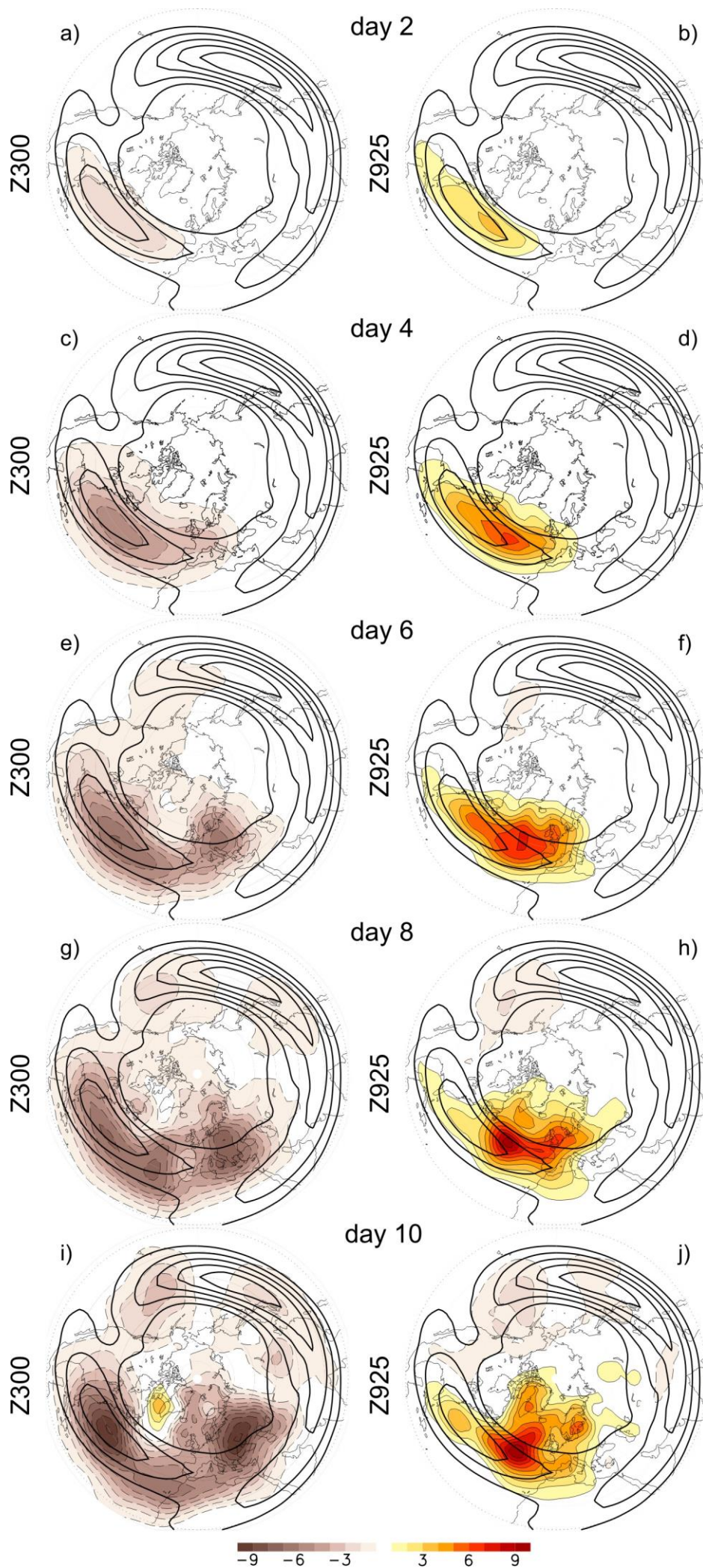
e) boundary conditions
ERSST (obs)f) boundary conditions
SPEEDO (mod)

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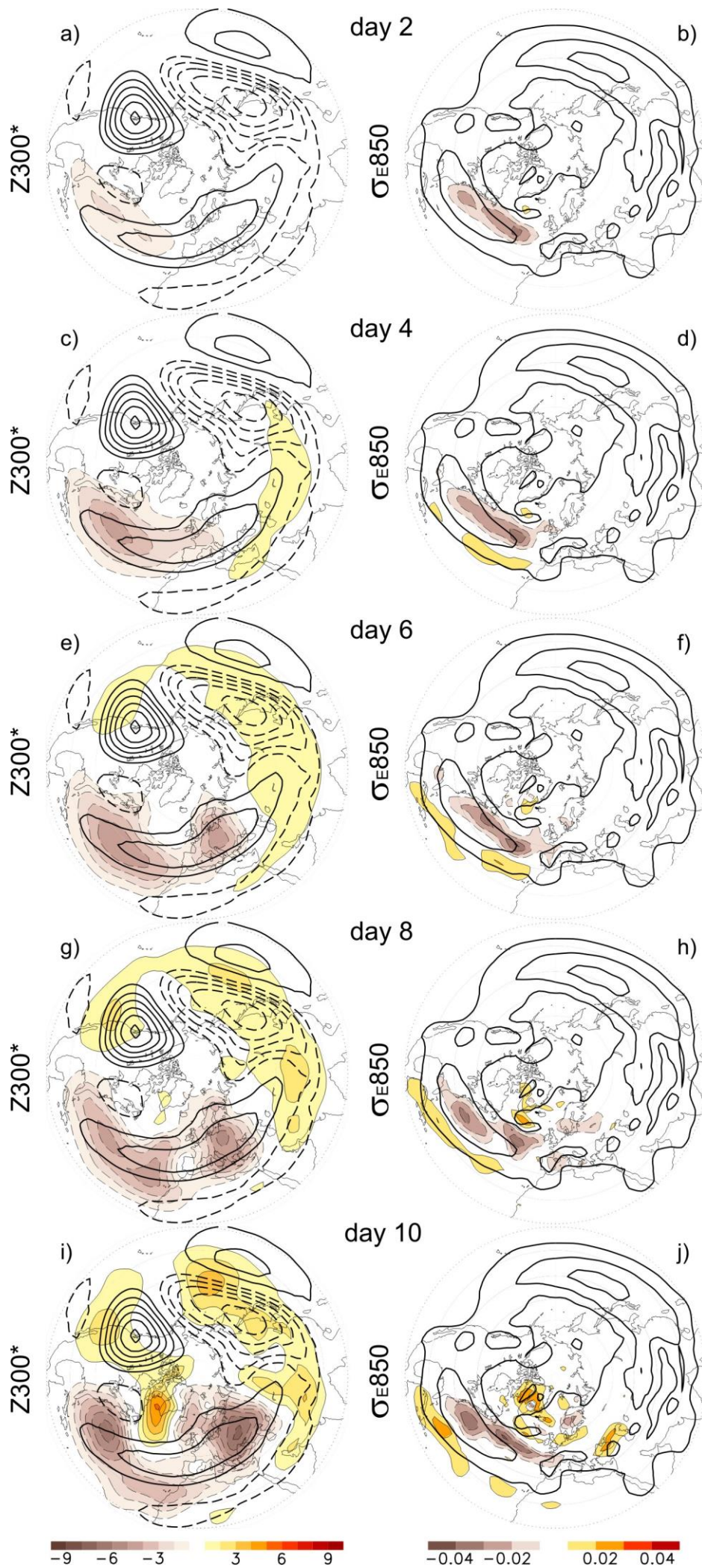


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Figure 2: [shading/thin contours] Ensemble-mean response for geopotential height at 300hPa (Z_{300} , m; left) and 925hPa (Z_{925} , m; right) every two days of integration. [thick contours] Overplotted is the ensemble-mean monthly-mean zonal wind at 300hPa ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1}) from the control run.

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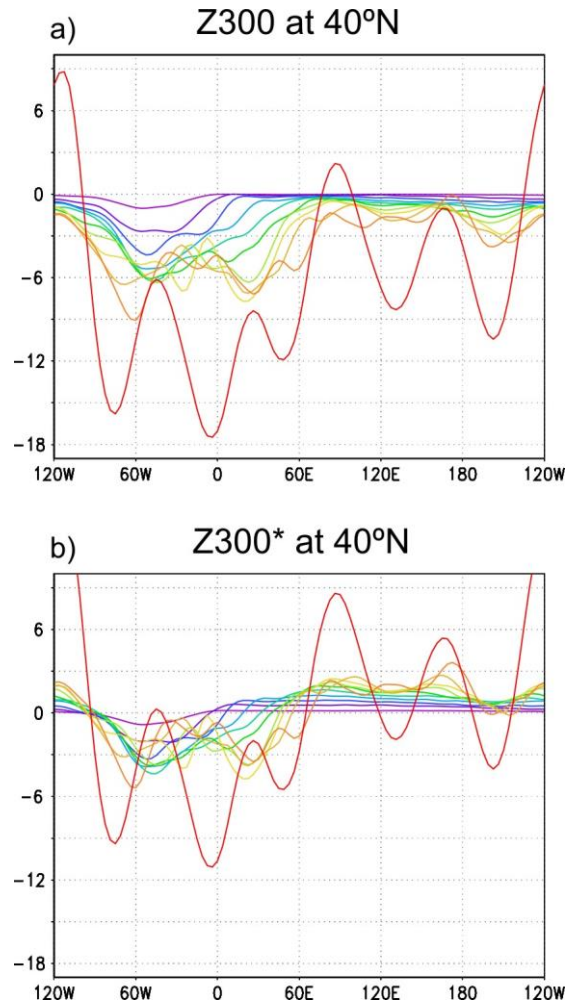


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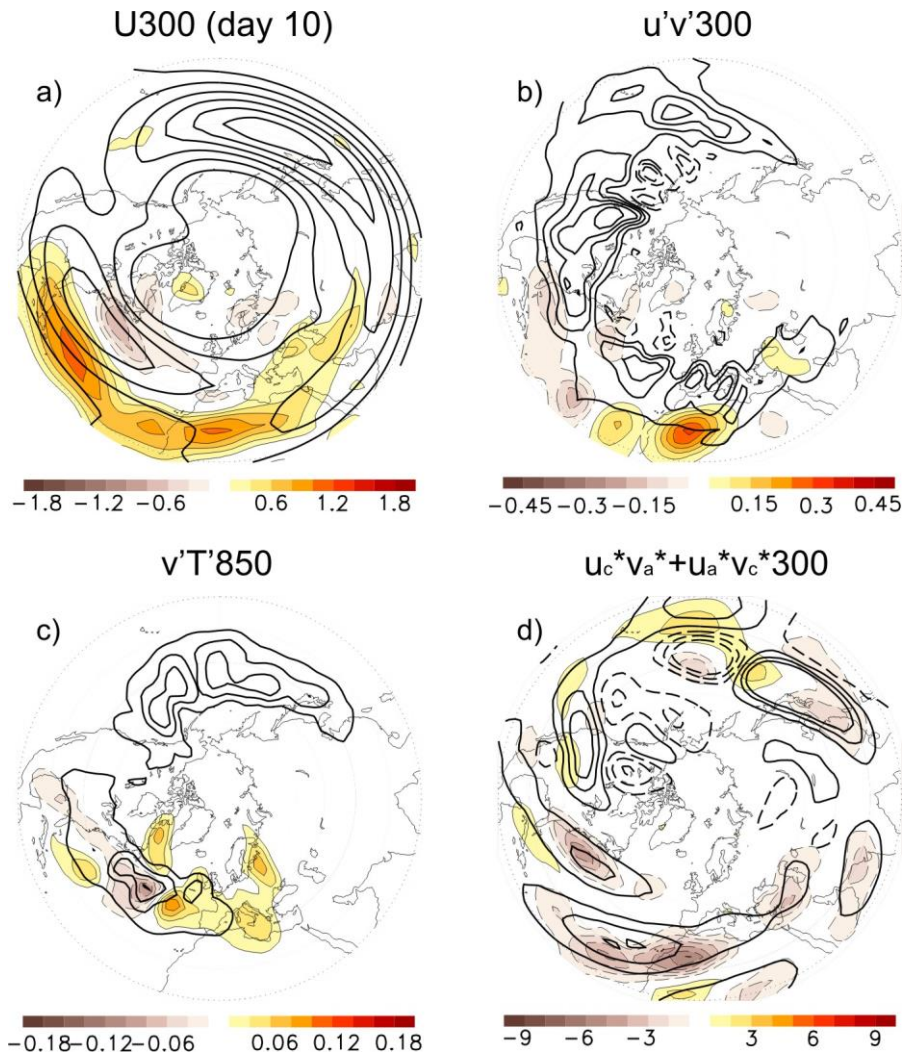


Figure 5: [shading/thin contours] Ensemble-mean response at day 10 of integration for zonal wind at 300hPa ($U300$, ms^{-1} ; a), transient-eddy momentum flux at 300hPa ($u'v'300$, m^2s^{-2} ; b), transient-eddy heat flux at 850hPa ($v'T'850$, ms^{-1}K ; c), and zonal-eddy momentum flux at 300hPa ($u_c*v_a*+u_a*v_c*300$, m^2s^{-2} ; d); the latter computed from the climatological stationary wave (u_c* , v_c*) and daily anomalous zonal-eddy components (u_a* , v_a*). All eddy covariances have been averaged over the days 8-10 of integration. [thick contours] Overplotted in each panel is the ensemble-mean monthly-mean of the corresponding field from the control run: $U300$ ($c_i=10\text{ms}^{-1}$ starting in 20ms^{-1} ; a), $u'v'300$ ($c_i=5\text{m}^2\text{s}^{-2}$; b), $v'T'850$ ($c_i=2.5\text{ms}^{-1}\text{K}$; c), and u_c*v_c*300 (at ± 20 , ± 60 , $\pm 100\text{m}^2\text{s}^{-2}$; d); with $u'v'300$ and $v'T'850$ computed from filtered daily data using the 24h-difference filter (e.g. Wallace et al. 1988; Chang and Fu 2002).

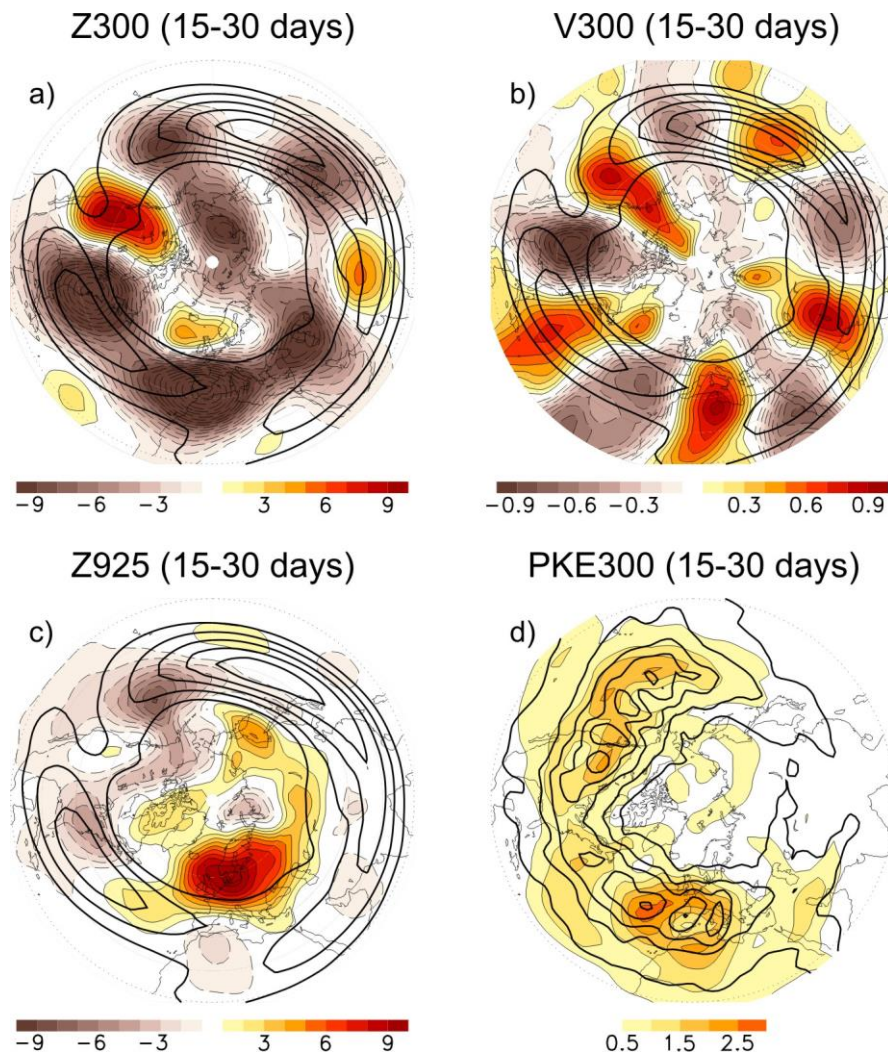


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