

# 1        **Comparison of two PV array models for the simulation of PV** 2        **systems using five different algorithms for the parameters** 3        **identification**

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## 21        **Abstract**

22                    Simulation is of primal importance in the prediction of the produced power and  
23                    automatic fault detection in PV grid-connected systems (PVGCS). The accuracy of  
24                    simulation results depends on the models used for main components of the PV system,  
25                    especially for the PV module. The present paper compares two PV array models, the five-  
26                    parameter model (5PM) and the Sandia Array Performance Model (SAPM). Five different  
27                    algorithms are used for estimating the unknown parameters of both PV models in order to

28 see how they affect the accuracy of simulations in reproducing the outdoor behavior of  
29 three PVGCS. The arrays of the PVGCS are of three different PV module technologies:  
30 Crystalline silicon (c-Si), amorphous silicon (a-Si:H) and micromorph silicon (a-Si:H/ $\mu$ c-  
31 Si:H).

32 The accuracy of PV module models based on the five algorithms is evaluated by means  
33 of the Root Mean Square Error (RMSE) and the Normalized Mean Absolute Error  
34 (NMAE), calculated for different weather conditions (clear sky, semi-cloudy and cloudy  
35 days). For both models considered in this study, the best accuracy is obtained from  
36 simulations using the estimated values of unknown parameters delivered by the ABC  
37 algorithm. Where, the maximum error values of RMSE and NMAE stay below 6.61% and  
38 2.66% respectively.

39

40

41 **Keywords:** PV Modeling, Simulation, Parameter extraction, Metaheuristic algorithms.

42

## 43 **1. Introduction**

44 The photovoltaic (PV) market has grown rapidly in recent years worldwide, especially  
45 in developed countries, where this growth has been exponential. One of the main reasons  
46 for the high growth of the PV industry is the reduction of the cost of PV generation as well  
47 as the improvement of the quality and performance of the electronics associated with these  
48 generation systems. The monitoring and regular performance supervision on the  
49 functioning of grid-connected PV systems is basic to ensure an optimal energy harvesting  
50 and reliable power production at competitive costs. Detecting faults in PV systems can  
51 minimize generation losses by reducing the time in which the system is working below its  
52 point of maximum power generation. In this context, the development of accurate  
53 automatic fault detection procedures is crucial [1–3]. Main faults in PV systems are caused  
54 by short circuits or open circuits in PV modules, inverter disconnections and the presence  
55 of shadows on the PV array plane [4–6].

56 On the other hand, the integration of grid-connected PV systems also requires the  
57 capability of managing the uncertainty related to the fluctuating energy output inherent to  
58 these generation plants. For this purpose, it is very important to develop accurate  
59 forecasting models in order to achieve an easy integration of PV generation plants into  
60 traditional power distribution systems [7,8].

61 Simulation plays a crucial role in both outdoor behavior forecasting and automatic fault  
62 detection of grid-connected PV systems. The precision of simulation results depends on the  
63 models used for the main components of the PV system, especially the PV module models  
64 [9,10]. Moreover, the accuracy of the PV module models is strongly affected by the way of  
65 extracting their unknown parameters. Several research works discussed the topic of PV

66 model parameters estimation, by applying different methods based on analytical [11],  
67 numerical [12,13] and bio-inspired optimization solution [14–20].

68 Previous works investigated the accuracy of PV module models focusing on the I-V  
69 curve of the PV module [21–24] or on the I-V characteristic of a PV array [25]. The  
70 objective of this study is to compare two PV array models to analyze the simulation of grid-  
71 connected PV systems in real conditions of work. The accuracy of the simulations in  
72 reproducing the actual behavior of the PV system is evaluated by means of the results  
73 obtained from different parameter extraction techniques based on five algorithms:  
74 Levenberg–Marquardt algorithm (LMA), genetic algorithm (GA), particle swarm  
75 optimization (PSO), differential evolution (DE) and artificial bee colony (ABC) algorithm.

76 The two PV array models included in this study are the five-parameter model (5PM)  
77 [26,27] and the Sandia Array Performance Model (SAPM) developed by [28]. Three real  
78 grid-connected PV systems are included in the study to validate the accuracy of the models.  
79 Each one of the PV systems is formed by PV modules of different technologies: Crystalline  
80 silicon (c-Si), amorphous silicon (a-Si:H) and micromorph silicon (a-Si:H/ $\mu$ c-Si:H) in order  
81 to outline differences in the prediction due to solar cell type.

82 The remainder of the paper is organized as follows: In section 2, the PV systems  
83 included in the study are described. The PV array models and the parameters extraction  
84 techniques used in this study are summarized in sections 3 and 4 respectively. Results  
85 obtained are shown in section 5. Finally, conclusions are detailed in section 6.

86

## 87 **2. Description of the PV systems**

88 Three grid connected PV systems formed by PV modules of different technologies were  
89 used in this study.

90 The first PV system is located in San Sebastián (Spain). The PV array is formed by 30  
 91 c-Si PV modules with a peak power of 4.8 kWp connected to a single phase inverter.  
 92 The other two PV systems are sited in Jaén (Spain). Each PV array is connected to single  
 93 phase inverter with AC nominal powers of 1.2kW. One of the PV arrays is formed of 15 a-  
 94 Si:H PV modules, rated 60-W peach, and the second PV array consists of 8 micromorph  
 95 PV modules, rated 110-Wp each. Main characteristics of the PV systems and PV modules  
 96 forming the arrays are given in Table 1 and Table 2 respectively.

Main Parameters	PV system 1	PV system 2	PV system 3
PV Module	c-Si	a-Si:H/ $\mu$ c-Si:H	a-Si:H
Location	San Sebastián (Spain) Latitude: 43° 17' 9.8" N Longitude: 1° 59' 55.4 " W Altitude: 41 m.	Jaén (Spain) Latitude: 37° 47' 14.35" N Longitude: 3° 46' 39.73 " W Altitude: 511 m	
Nominal power	4.8 kWp	880 Wp	900 Wp
Modules per inverter	30	8	15
Modules in series ( $N_{sg}$ )	15	4	3
Strings in parallel ( $N_{pg}$ )	2	2	5
Tilt - Orientation	20° - 9° East	30° - 0° South	35° - 0° South
Inverter	Ingecon SUN 5 Single-phase inverter 5kW	Sunny Boy SB1200 Single-phase inverter 1.2 kW	

97 Table 1. PV systems description.

PV module Parameters	PV system 1	PV system 2	PV system 3
Isc (A)	9.46	2.5	1.19
Voc (V)	22.2	71	92
Current at Maximum Power Point: $I_{mpp}$ (A)	8.65	2.04	0.9
Voltage at Maximum Power Point: $V_{mpp}$ (V)	18.5	54	67
Temperature Coefficient of Voc $\beta_{Voc}$ (V/°C)	- 0.084	-0.248	-0.280
Temperature Coefficient of Isc $\alpha_{Isc}$ (A/°C)	$4.60 \cdot 10^{-3}$	$1.4010^{-3}$	$0.89 \cdot 10^{-3}$

99 Table 2. Main parameters of PV modules.

100

101 The following parameters were monitored in the three PV arrays: Current, voltage,  
 102 power (DC and AC), cosine ( $\phi$ ), frequency, irradiance and module temperature with a  
 103 sampling rate of 5 min.

104 In the PV system located in San Sebastián, the irradiance was measured by using a  
105 calibrated solar cell installed in the plane of the modules. The module temperature was  
106 measured using a Pt100 sensor fitted to the back of the module, in the middle of a cell. The  
107 internal data acquisition card of the inverter recorded both parameters.

108 The monitoring system included in the PV arrays located in Jaén consists of three SMA  
109 Sunny SensorBox devices, installed in the same plane as the PV generators, capable to  
110 measure solar radiation, module and ambient temperatures together with wind speed. Two  
111 Pt100 RTD were pasted to the rear surface of the modules under test to measure the cell  
112 temperature in each PV array. An anemometer and a temperature probe were also available.  
113 All sensors were supplied by SMA and connected to three Sunny SensorBox devices. An  
114 additional irradiance sensor, aKipp & Zonen CMP11pyranometer, was also installed and  
115 connected to one of the latter devices. The three of them were serially connected to the  
116 inverters via a RS-485 bus and then to a Sunny Webbox, from which environmental and  
117 operation could be retrieved.

118

### 119 **3. PV array models**

120 As it has been previously mentioned, the two PV array models included in this study  
121 are the 5PM [26,27,29] and the SAPM developed by [28].

122 The 5PM, also called one diode model, is one of the most used in simulation of PV  
123 modules and arrays. Moreover, root mean square errors (RMSE) of 4.26% [3], 4.39 % [30]  
124 and 5.12 % [31] were reported in the estimation of the energy produced by grid-connected  
125 PV systems in simulations of dynamic behavior of c-Si PV generators by using this model.  
126 On the other hand, simulations of a-Si PV arrays by using the SAPM model have obtained  
127 errors below 4.1% on sunny days [32]. In our approach, the model parameters are

128 calculated by means of parameter extraction methods having as main input data daily actual  
129 profiles of module temperature, irradiance on the PV array plane and output voltage and  
130 current of the PV array.

131

### 132 **3.1 Five-parameter model**

133 The 5PM of a solar cell includes a parallel combination of a photogenerated controlled  
134 current source  $I_{ph}$ , a diode, described by the well-known single-exponential Shockley  
135 equation [33], a shunt resistance  $R_{sh}$  and a series resistance  $R_s$  modeling the power losses.

136 The I-V characteristic of a solar cell is given by an implicit and nonlinear equation as  
137 follows:

$$138 \quad I = I_{ph} - I_o \left( e^{\left( \frac{V+R_s I}{nV_t} \right)} - 1 \right) - \left( \frac{V+R_s I}{R_{sh}} \right) \quad (1)$$

139

140 where  $I_o$  and  $n$  are the reverse saturation current and ideality factor of the diode respectively  
141 and  $V_t$  is the thermal voltage.

142 Eq. (1) can also be written as follows,

$$143 \quad I = I_{ph} - I_d - I_{sh} \quad (2)$$

144

145 where  $I_d$  and  $I_{sh}$  are the currents across the diode and shunt resistance respectively.

146 The photogenerated current can be evaluated for any arbitrary value of irradiance,  $G$ ,  
147 and cell temperature,  $T_c$ , by using the following equation:

$$148 \quad I_{ph} = \frac{G}{G^*} I_{sc} + k_i (T_c - T_c^*) \quad (3)$$

149

150 where  $G^*$  and  $T_c^*$  are respectively the irradiance and cell temperature at standard test  
 151 conditions (STC): 1000 W/m<sup>2</sup> (AM1.5) and 25°C,  $ki$  (A/°C) is the temperature coefficient  
 152 of the current and  $I_{sc}$  (A) is the solar cell short circuit current at STC.

153 Some PV modules are formed by parallel strings of solar cells connected in series.  
 154 However, most PV modules include one single string of solar cells. Therefore, the model of  
 155 the solar cell can be scaled up to the model of the PV module using the following equations  
 156 (4) – (8):

$$157 \quad I_M = N_p I \quad (4)$$

$$158 \quad I_{scM} = N_p I_{sc} \quad (5)$$

$$159 \quad V_M = N_s V \quad (6)$$

$$160 \quad V_{ocM} = N_s V_{oc} \quad (7)$$

$$161 \quad R_{sM} = \frac{N_s}{N_p} R_s \quad (8)$$

162

163 Where subscript M stands for ‘Module’,  $N_s$  is the number of solar cells connected in  
 164 series and  $N_p$  is the number of parallel branches of solar cells forming the module.

165 Then, the output current of the PV module,  $I_M$ , is obtained rewriting Eq. (2) as follows:

$$166 \quad I_M = N_p (I_{ph} - I_{dM} - I_{shM}) \quad (9)$$

167

168 The diode current,  $I_{dM}$ , included in Eq (9) is given by:

$$169 \quad I_{dM} = I_{oM} \left[ e^{\left( \frac{V_M + I_M R_{sM}}{n N_s V_t} \right)} - 1 \right] \quad (10)$$

170

171 where  $V_M$  (V) and  $I_M$  (A), are the output voltage and current of the PV module respectively.



172 The saturation current of the diode  $I_{oM}$  (A) depends strongly on temperature and it is  
 173 given by:

$$174 \quad I_{oM} = \frac{I_{scM} e^{\left(\frac{E_{go}}{V_{to}} - \frac{E_g}{V_t}\right)} \left(\frac{T_c}{T_c^*}\right)^3}{N_p \left(e^{\left(\frac{V_{ocM}}{n N_s V_{to}}\right)} - 1\right)} \quad (11)$$

175

176 where  $I_{scM}$  and  $V_{ocM}$  are the short-circuit current and the open-circuit voltage of the PV  
 177 module respectively,  $V_{to}$  is the thermal voltage at STC,  $E_g$  the energy bandgap of the  
 178 semiconductor and  $E_{go}$  is the energy bandgap at T=0 K.

179 The value of the energy bandgap of the semiconductor at any cell temperature  $T_c$  is  
 180 given by:

$$181 \quad E_g = E_{go} - \frac{\alpha_{gap} T_c^2}{\beta_{gap} + T_c} \quad (12)$$

182

183 where  $\alpha_{gap}$  and  $\beta_{gap}$  are fitting parameters characteristic of the semiconductor.

184 Finally, the current  $I_{shM}$ , also included in Eq. (9) is given by the following equation:

$$185 \quad I_{shM} = \frac{V_M + I_M R_{sM}}{N_p R_{shM}} \quad (13)$$

186

187 The same procedure can be applied to scale up the model of the PV module to the  
 188 model of a PV array by taking into account the number of PV modules connected in series  
 189 by string,  $N_{sg}$ , and the number of parallel strings in the PV array,  $N_{pg}$  [27].

190

### 191 3.2 SAPM Model

192 The SAPM model is an empirical model defined by the following equations [28]. The  
 193 PV array power at the maximum power point (MPP),  $P_{mp}$  (W), is evaluated as follows:

$$194 \quad P_{mpg} = I_{mpg} \times V_{mpg} \quad (14)$$

195

196 where,  $Impg$  (A) and  $Vmpg$  (V) are the coordinates of the MPP of the PV array.

197 The model uses the normalized irradiance,  $Ee$ , defined as follows,

$$198 \quad Ee = \frac{G}{G^*} \quad (15)$$

199

200 Then, the current and voltage of the MPP of the PV array can be calculated by using the  
201 following equations:

$$202 \quad Impg = N_{pg} \left[ Impo(C_0 Ee + C_1 Ee^2) \left( 1 + \alpha_{Imp}(T_c - T_c^*) \right) \right] \quad (16)$$

$$203 \quad Vmpg = N_{sg} \left[ Vmpo + C_2 N_s \delta(T_c) \ln(Ee) + C_3 N_s (\delta(T_c) \ln(Ee))^2 + \beta_{Vmp} Ee (T_c - T_c^*) \right] \quad (17)$$

$$204 \quad \delta(T_c) = nk(T_c + 273.15)/q \quad (18)$$

205

206  
207 where,  $Impo$  (A) and  $Vmpo$  (V) are the PV module current and voltage of the MPP at STC,  
208  $C_0$  and  $C_1$  are empirically determined coefficients (dimensionless) which relate  $Imp$  to the  
209 effective irradiance,  $C_0 + C_1 = 1$ ,  $\alpha_{Imp}$  ( $^{\circ}C^{-1}$ ) is the normalized temperature coefficient for  
210  $Imp$ ,  $C_2$  (dimensionless) and  $C_3$  ( $V^{-1}$ ) are empirical coefficients which relate  $Vmp$  to the  
211 effective irradiance,  $\delta(T_c)$  is the thermal voltage per cell at temperature  $T_c$ ,  $q$  is the  
212 elementary charge,  $1.60218 \cdot 10^{-19}$  (coulomb),  $k$  is the Boltzmann's constant,  $1.38066 \cdot 10^{-23}$   
213 (J/K) and  $\beta_{Vmp}$  ( $V/^{\circ}C$ ) is the temperature coefficient for module  $Vmp$  at STC.

214 The models contain several coefficients and parameters that must be calculated because  
215 are not routinely provided by the PV module's manufacturer. For this purpose, we used the  
216 parameter extraction technique described in the following section.

217

218

#### 219 4. Parameter extraction techniques

220 The parameter extraction techniques employed in this study are based on five  
221 optimization algorithms that evaluate the model parameters of the two PV array models in  
222 real conditions of work, using as inputs daily profiles of solar irradiance and cell  
223 temperature together with monitored DC output current and voltage.

224 For the five-parameter model of the PV module, the model parameters:  $I_{ph}$ ,  $I_o$ ,  $n$ ,  $R_s$ ,  
225 and  $R_{sh}$  are evaluated by using Eqs. (3) – (13) and actual daily profiles of monitored current  
226 and voltage at the DC output of the three PV arrays included in the study, together with  
227 actual daily profiles of  $G$  and  $T_c$  at the specific locations detailed in section 2.

228 Regarding the SAPM, the same idea is considered for the estimation of the empirical  
229 coefficients of the model parameters:  $C_0$ ,  $C_1$ ,  $C_2$ ,  $C_3$ ,  $n$ ,  $\alpha_{Imp}$  and  $\beta_{Vmp}$  using Eqs. (15) – (18).

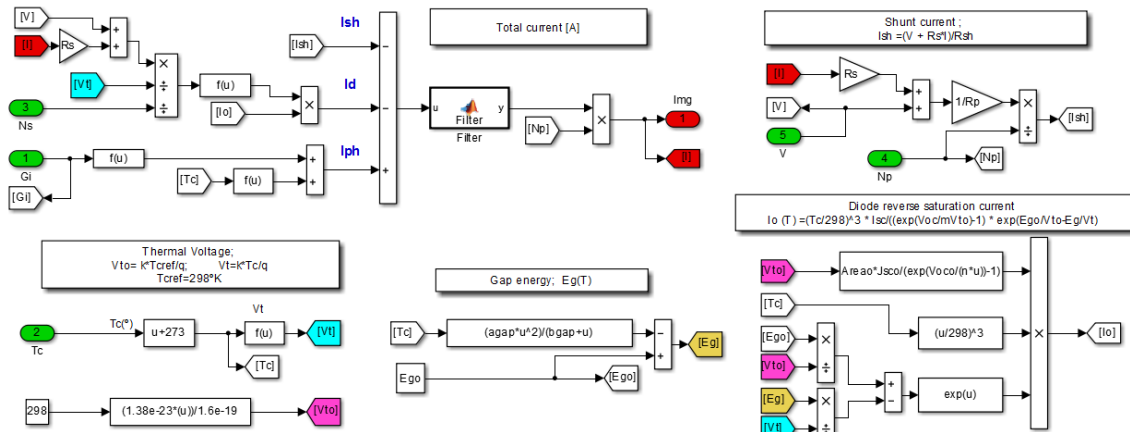
230 The objective function for optimization using metaheuristic algorithms is defined as  
231 the RMSE of the error of all data points given by Eq. (19) [19,34], where the  $N$  represent  
232 the number of measured data,  $V_i$  and  $I_i$  represent the measured voltage and current of the  
233 data point  $i$ .

$$234 \quad S(\theta) = \sqrt{\frac{1}{N} \sum_{i=1}^N [I_i - I(V_i, \theta)]^2} \quad (19)$$

235

236 where  $\theta = f(I_{ph}, I_o, n, R_s, R_{sh})$  for the five parameter model and  $\theta = f(C_0, C_1, C_2, C_3, n, \alpha_{Imp},$   
237  $\beta_{Vmp})$  for the SAPM.

238 The parameter extraction algorithms implemented in MATLAB/Simulink environment  
239 are executed until function  $S(\theta)$ , given by Eq. (19), is minimized. Figs. 1 and 2 show the  
240 Simulink block diagram of the 5PM and SAPM used in the parameter extraction  
241 procedures. Thus, the result of the parameter extraction algorithms is a set of PV module  
242 parameters for the 5PM and a set of empirical parameters for the SAPM that allow the best  
243 approach to the real daily evolution of DC output current and voltage of the PV arrays.

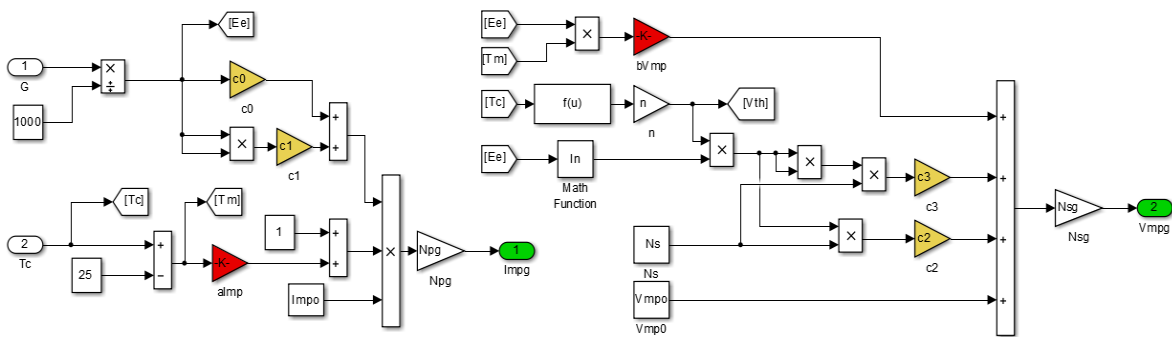


244

245

Fig. 1. Simulink block diagram for the 5PM.

246



247

248

Fig. 2. Simulink block diagram for the SAPM.

249

250 Two parameter extraction methods are used in this study. The first method is a  
 251 numerical solution based on Levenberg–Marquardt algorithm (LMA) detailed in a previous  
 252 work [12]. The second method is based on different metaheuristic algorithms (GA, DE,  
 253 PSO and ABC) which are described below.

#### 254 4.1 Genetic algorithm

255 The Genetic Algorithm (GA) developed by John Holland in the 1970s is a technique  
 256 for solving constrained and unconstrained optimization problems inspired from the  
 257 biological evolution.

258 The optimization function is encoded as arrays of binary character strings representing  
 259 the chromosomes. The fitness of chromosomes in the population is evaluated by the  
 260 objective function for each iteration. Fitter chromosomes are stochastically selected in  
 261 terms of the elitist strategy, which ensures the progeny chromosomes inherit the best  
 262 possible combination of the genes of their parents. Some of the chromosomes in the  
 263 population are modified via genetic operators like crossover and mutation, forming new  
 264 chromosomes for the next generation. The reason why GA applies crossover and mutation  
 265 may lie in their capability of avoiding local optima in the searching process. Several  
 266 researches applied GA to extract the parameters of a PV model from measured I–V curves  
 267 [17,35].

268 In this paper, the genetic algorithm available in the Global Optimization toolbox of  
 269 MATLAB has been used for minimizing the objective function Eq. (19) [17].

## 270 4.2 Differential evolution

271 Differential evolution (DE) was proposed by Rainer Storn and Kenneth Price in 1997  
 272 [36]. Similar to other evolutionary algorithms, DE is a population based, derivative-free  
 273 function optimizer. An advantage of DE over GA is that DE treats possible solutions as  
 274 real-number strings, and thus encoding and decoding are not required.

275 The target vector  $x = [x_1, x_2, \dots, x_i]$  where  $i = 1, 2, \dots, NP$  represents a population of  $NP$   
 276 random candidate solutions. The vector of the  $i$ th particle,  $x_i$  indicates a series of parameters  
 277 to be extracted, e.g.  $x_i = [I_{ph}, I_o, n, R_s, R_{sh}]$  for the one-diode model and  $x_i = [C_0, C_1, C_2, C_3, n,$   
 278  $\alpha_{Imp}, \beta_{Vmp}]$ . For a  $D$ -dimension optimization problem, a random candidate solution is given  
 279 by:

$$280 \quad x_j^{low} \leq x_{i,j} \leq x_j^{up} \quad (20)$$

281

282 where  $x_j^{low}$  and  $x_j^{up}$  are the lower and the upper limits of the  $j^{\text{th}}$  vector component  
 283 respectively,  $i = 1, 2, \dots, NP$  and  $j = 1, 2, \dots, D$ .

284 After the initialization DE enters a loop of evolutionary operations: mutation, crossover  
 285 and selection considering the maximum number of generations  $t_{max}$ , where  $t = 1, 2, \dots, t_{max}$ .

286 In the mutation step, for each  $x_i$  at generation  $t$ , three vectors  $x_{r0}$ ,  $x_{r1}$  and  $x_{r2}$  are chosen  
 287 randomly from the set  $\{1, 2, \dots, NP\} \setminus \{i\}$  to generate a donor vector by:

$$288 \quad v_i^{t+1} = x_{r0}^t + F(x_{r1}^t - x_{r2}^t) \quad (21)$$

289

290 where  $F$  is a differential weight, known as scaling parameter, usually ranges in the interval  
 291  $[0, 1]$ .

292 The crossover operation is used to decide whether to exchange with donor vector. By  
 293 generating a random integer index  $J_r \in [1, D]$  and a randomly distributed number  $k_i \in [0,$   
 294  $1]$ , the  $j^{\text{th}}$  dimension of  $v_i$ , namely  $u_{i,j}$ , is updated according to:

$$295 \quad u_{i,j}^{t+1} = \begin{cases} v_{i,j}^{t+1}, & k_i \leq CR \text{ or } i = J_r \\ x_{i,j}^t, & k_i > CR \text{ and } i \neq J_r \end{cases} \quad (22)$$

296

297 where  $CR$  is a crossover probability in the interval  $[0, 1]$ . The crossover scheme  
 298 formulated by Eq. (22) used in the present work is called binomial strategy.

299 The selection operation, selects the best one from the parent vector  $x_i^t$ , and the trial  
 300 vector  $u_i^{t+1}$  solution with the minimum objective value, using the following expression:

$$301 \quad x_i^{t+1} = \begin{cases} u_i^{t+1}, & f(u_i^{t+1}) \leq f(x_i^t) \\ x_i^t, & \text{otherwise} \end{cases} \quad (23)$$

302

303 where  $f(x)$  is the fitness function to be minimized. Therefore, if a particular trial vector is  
 304 found to result in lower fitness value, it will replace the existing target vector; otherwise,  
 305 the target vector is retained.

306

### 307 **4.3 Particle swarm optimization**

308 Particle swarm optimization (PSO) is a population based stochastic optimization  
309 technique developed by Kennedy and Eberhart [16] and is inspired by the social behavior  
310 of bird flocking or fish schooling.

311 PSO search possible solution in a search space by adjusting the trajectories of particles.  
312 The best position encountered of the particle  $i$  is designed by  $pbest_i$ . In a swarm of particles,  
313 there are  $N$  local best positions, and the best solution is denoted by  $gbest$ .

314 The velocities and positions of particles, as well as the algorithm parameters (inertia  
315 weight  $w$  and learning parameters  $\alpha, \beta$ ) are firstly initialized. In an iteration  $t$ , the fitness of  
316 particles is evaluated individually by the objective function. By attracted toward  $pbest_i$  and  
317  $gbest$ , the particle moves according to the following expression:

$$318 \quad x_i^{t+1} = x_i^t + v_i^{t+1} \quad (24)$$

319

320 where  $v_i^{t+1}$  is the velocity, expressed as:

$$321 \quad v_i^{t+1} = wv_i^t + \alpha\epsilon_1(x_i^t - gbest^t) + \beta\epsilon_2(x_i^t - pbest_i^t) \quad (25)$$

322

323  $\alpha = 1.5, \beta = 2$ . The random vectors  $\epsilon_1$  and  $\epsilon_2$  are in the range  $[0, 1]$ . The  $w$  is the inertia  
324 weight, used to balance global and local search abilities, it is considered constant and set  
325 equal to 0.9.

326 Finally, lower and upper boundaries are set to ensure that particles are within the  
327 predetermined range. The PSO will continue to search for better solutions until it meets the  
328 stopping criterion.

### 329 **4.4 Artificial bee colony algorithm**

330 The artificial bee colony algorithm (ABC) is an optimization algorithm inspired by the  
331 natural foraging behavior of honey bees. It was successfully applied in the parameter  
332 extraction of solar cell models [19,34]. In the ABC, there are food sources representing the

333 solutions of optimization problems and honey bees (classified into employed bees,  
 334 onlooker bees and scout bees) representing the operations to the solutions. The employed  
 335 bees investigate potential food sources and share information with onlooker bees. The food  
 336 sources of higher quality will have higher possibility to be selected by onlooker bees. If the  
 337 quality of the employed bees' food sources is relatively low, they will change to scout bees  
 338 to randomly explore new potential food sources. Consequently, the exploitation is  
 339 promoted by employed and onlooker bees while the exploration is performed by scout bees.  
 340 The implementation of the ABC algorithm in MATLAB is carried out by following the  
 341 same steps of given in the previous works [19,34,37].

342

### 343 5. Results

344 The results of simulation of grid-connected PV systems in real conditions of work were  
 345 obtained under different weather conditions: clear sky, semi-cloudy, and cloudy weather.  
 346 The two PV array models described above were used for forecasting the output power of  
 347 the three different PV systems using the extracted parameters delivered by the five  
 348 algorithms.

349 The adjustable parameters chosen for the GA, DE, PSO and ABC algorithms and the  
 350 lower and upper boundaries selected for each parameter are summarized in Table 3 and 4.

Algorithm parameters	GA	PSO	DE	ABC
Population (colony) size, ( $NP$ )	100	100	100	100
Inertia weight, ( $w$ )	–	0,9	–	–
$\alpha$ and $\beta$	–	1.5 and 2	–	–
Crossover probability ( $CR$ )	–	–	0.4	–
Number of onlooker bees	–	–	–	50
Limit of scout bees	–	–	–	420
Maximum number of iteration	1000	1000	1000	1000

351

Table. 3 Selected parameters of each algorithm

$C_0$	[0 – 2]	$I_{ph}$ [A]	[0 – 10]
$C_1$	[-1– 1]	$I_o$ [A]	$[10^{-7} - 10^{-11}]$
$C_2$	[-10 – 10]	$n$	[1 – 2]
$C_3$	[-10 – 100]	$R_s$ [ $\Omega$ ]	[0 – 20]
$\alpha_{Imp}$ [ $^{\circ}C^{-1}$ ]	$[10^{-4} - 10^{-2}]$	$R_{sh}$ [ $\Omega$ ]	$[50 - 10^5]$
$\beta_{Vmp}$ [V/ $^{\circ}C$ ]	[-1 – 0]		

352

Table. 4 Lower and upper boundaries selected for each PV module model parameter.



353 The optimization algorithms used in the parameter extraction techniques evaluate the  
 354 model parameters of the PV module;  $I_{ph}$ ,  $I_o$ ,  $n$ ,  $R_s$ ,  $R_{sh}$ , in case of the 5PM, and  $C_0$ ,  $C_1$ ,  $C_2$ ,  
 355  $C_3$ ,  $n$ ,  $\alpha_{Imp}$ ,  $\beta_{Vmp}$ , in case of SAPM.

356 In the case of using the extraction method based on LMA, an average number of 10  
 357 iterations are needed in order to find a set of solar cell model parameters for an input data  
 358 set corresponding to one day of real operation of the PV array. On the other hand, for the  
 359 extraction method relied on the metaheuristic algorithms (GA, PSO, DE and ABC) the  
 360 average number of iterations is much higher, by around 500 iterations are needed.

361 Moreover, the parameter extraction methods were applied for each sample day  
 362 separately, in order to get the optimal set of parameters of the two PV models that allows  
 363 reproducing the real behavior of the PV systems with best accuracy. As the extracted  
 364 parameters values obtained by the different algorithms are very close to each other, it is  
 365 decided to show the mean value of each extracted parameter. The set of the extracted  
 366 parameters are listed in Tables 5 and 6.

367

PV system	Day	Weather conditions	$R_s$ [ $\Omega$ ]	$R_{sh}$ [ $\Omega$ ]	$I_o$ [A]	$I_{ph}$ [A]	$n$
1	09/12/2013	Clear sky	0.662	660.011	$1.07 \cdot 10^{-8}$	8.7268	1.191
	18/12/2013	Semi cloudy	0.701	651.880	$1.14 \cdot 10^{-8}$	8.7366	1.192
	20/12/2013	Cloudy	0.701	651.894	$1.14 \cdot 10^{-8}$	8.7366	1.192
2	05/07/2012	Clear sky	5.771	$25.96 \cdot 10^3$	$2.32 \cdot 10^{-7}$	2.2055	1.223
	12/05/2012	Semi cloudy	7.321	$20.34 \cdot 10^3$	$4.90 \cdot 10^{-7}$	2.2462	1.290
	12/11/2012	Cloudy	8.010	$21.31 \cdot 10^3$	$1.20 \cdot 10^{-7}$	2.2462	1.289
3	07/08/2011	Clear sky	12.354	$3.358 \cdot 10^3$	$8.82 \cdot 10^{-9}$	1.0751	1.343
	12/05/2012	Semi cloudy	17.915	$2.365 \cdot 10^3$	$7.92 \cdot 10^{-9}$	1.0627	1.351
	12/11/2012	Cloudy	19.796	$2.865 \cdot 10^3$	$1.36 \cdot 10^{-9}$	1.0686	1.351

368 Table. 5 Mean values of the main PV module parameters obtained from the parameter  
 369 extraction algorithms for the 5PM.

370

371

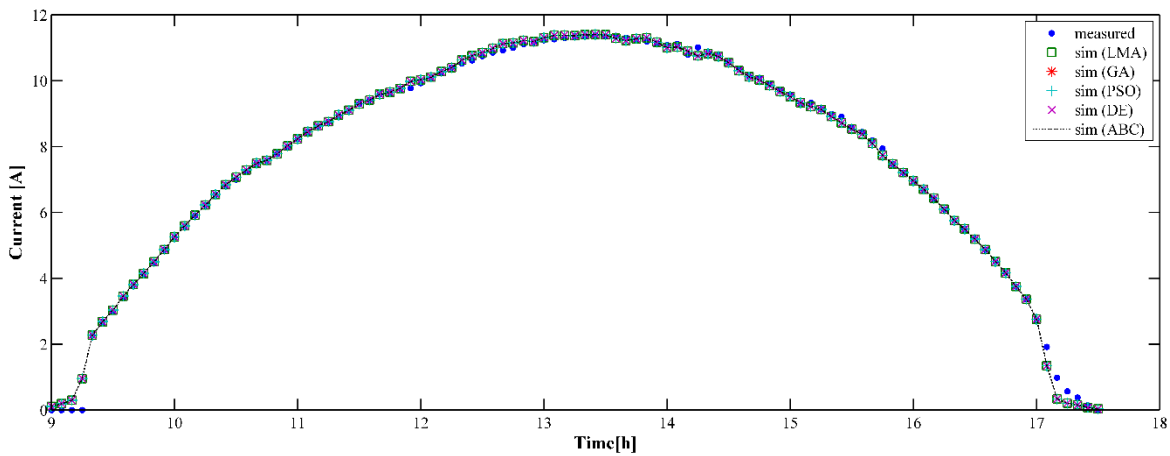
PV System	Day	Weather conditions	$C_0$	$C_1$	$C_2$	$C_3$	$n$	$\alpha_{Imp}$ [ $^{\circ}\text{C}^{-1}$ ]	$\beta_{Vmp}$ [ $\text{V}/^{\circ}\text{C}$ ]
1	09/12/2013	Clear sky	1.0438	-0.2000	2.0686	21.2425	1.1619	$4.32 \cdot 10^{-3}$	-0.1067
	18/12/2013	Semi cloudy	0.9138	-0.0552	1.6104	10.9348	1.1613	$4.32 \cdot 10^{-3}$	-0.1168
	20/12/2013	Cloudy	0.9762	-0.1468	2.0351	12.7702	1.162	$4.32 \cdot 10^{-3}$	-0.0554
2	05/07/2012	Clear sky	0.8887	0.0662	2.575	31.7208	1.2177	$5.8 \cdot 10^{-4}$	-0.2819
	12/05/2012	Semi cloudy	0.9237	0.0500	2.995	43.1182	1.2459	$5.8 \cdot 10^{-4}$	-0.2692
	12/11/2012	Cloudy	0.9208	0.0608	2.4241	20.0134	1.2466	$5.8 \cdot 10^{-4}$	-0.4632
3	07/08/2011	Clear sky	0.8229	0.0500	2.1346	18.999	1.3162	$7.52 \cdot 10^{-3}$	-0.2467
	12/05/2012	Semi cloudy	0.7973	0.0400	2.7898	27.9781	1.3537	$7.52 \cdot 10^{-3}$	-0.3299
	12/11/2012	Cloudy	1.0010	-0.1086	1.7077	7.8209	1.2941	$7.52 \cdot 10^{-3}$	-0.4998

372 Table. 6 Average values of main parameters obtained from the parameter extraction  
373 algorithms for the SAPM.

374

375 In order to present the best variety of results, and see the performance of the two  
376 models using real conditions of solar irradiance and cell temperature, it was chosen to  
377 display the **DC output current** evolution over the course of a clear sky day for PV system 1,  
378 a semi-cloudy day for PV system 2 and a cloudy day for PV system 3.

379



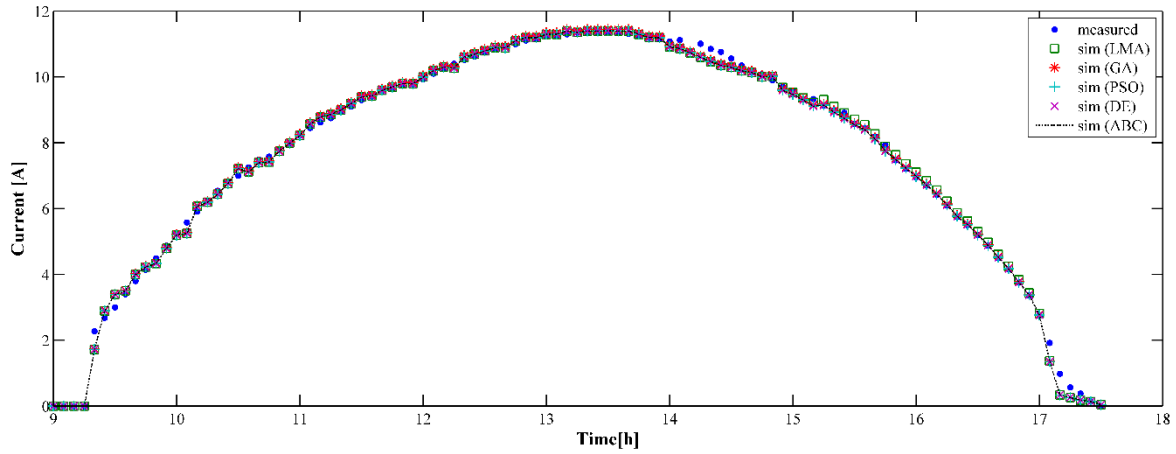
380

381 **Fig. 3. Evolution of the DC-current of the PV system 1 using SAPM for clear sky day**

382 **(December 09<sup>th</sup>, 2013).**

383

384



385

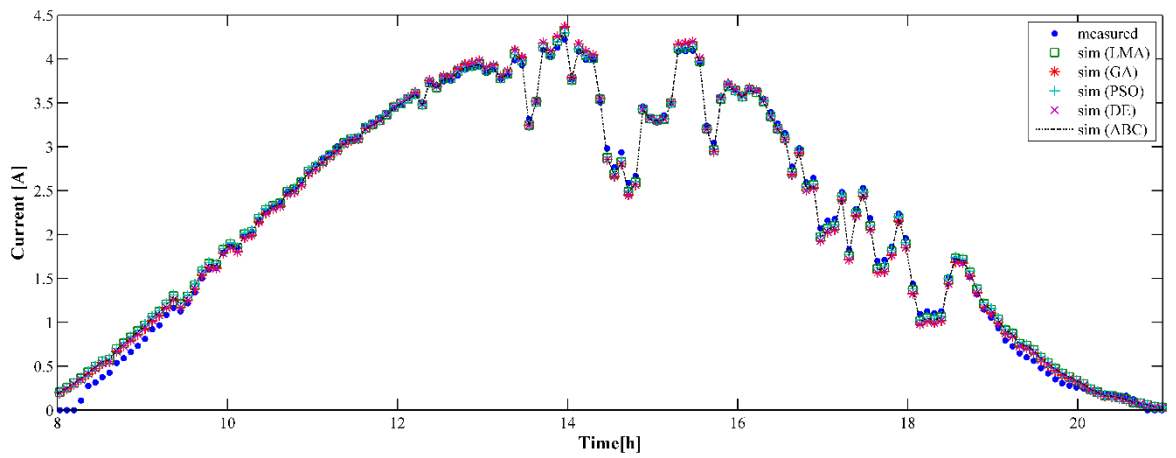
386 **Fig. 4. Evolution of the DC-current of the PV system 1 using 5PM for clear sky day**

387

**(December 09<sup>th</sup>, 2013).**

388

389



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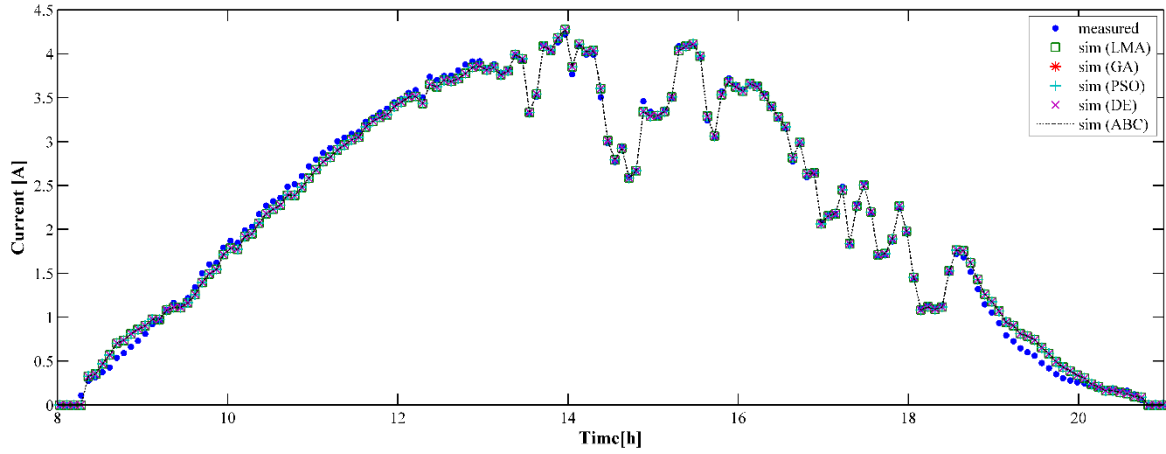
391 **Fig. 5. Evolution of the DC-current of the PV system 2 using SAPM for semi-cloudy day**

392

**(May 12<sup>th</sup>, 2012).**

393

394



395

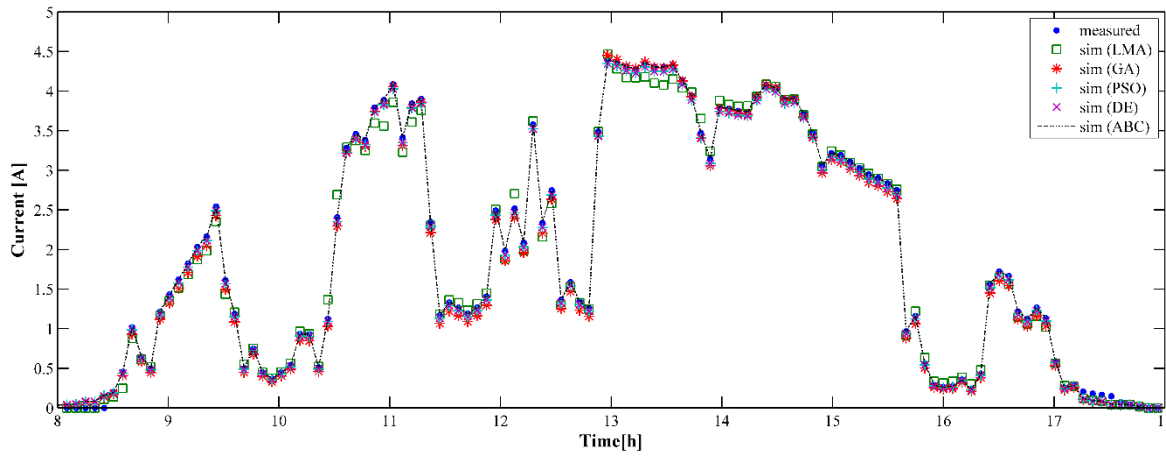
396 **Fig. 6. Evolution of the DC-current of the PV system 2 using 5PM for semi-cloudy day**

397

**(May 12<sup>th</sup>, 2012).**

398

399



400

401 **Fig. 7. Evolution of the DC-current of the PV system 3 using SAPM for cloudy day**

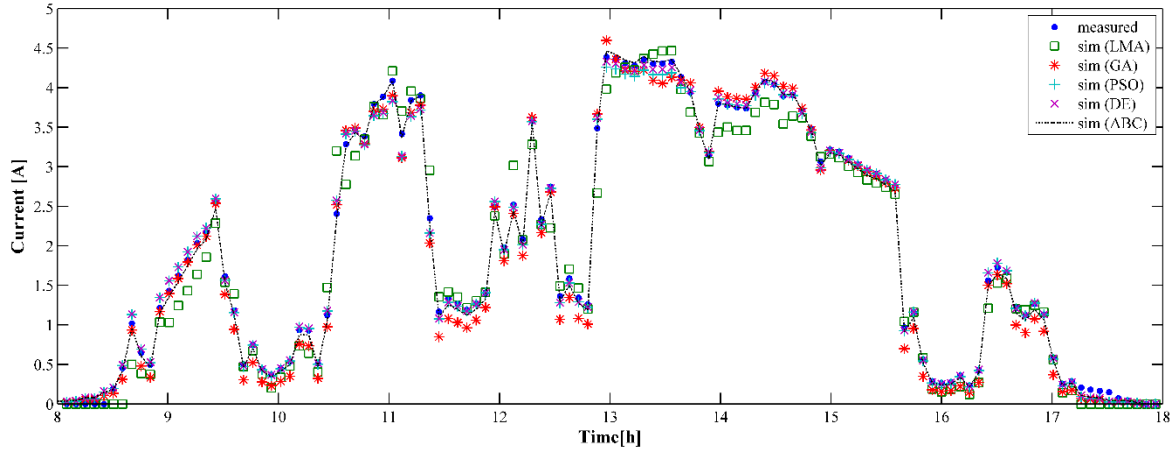
402

**(November 12<sup>th</sup>, 2012).**

403

404

405



406

407 **Fig. 8. Evolution of the DC-current of the PV system 3 using 5PM for cloudy day**

408 **(November 12<sup>th</sup>, 2012).**

409

410 **Figs. 3 – 8 show the measured DC output current** of the three PV systems, compared  
 411 with the simulation results obtained with the two PV array models using the extracted set of  
 412 parameters estimated by the five optimization algorithms considered in this study.

413 As it can be seen in the figures, a good agreement is always found between the  
 414 measured data and the SAPM simulation curves, while the curves obtained with the 5PM  
 415 are less close to the real monitored curve. Moreover, it is found that a better agreement  
 416 between real and simulated curve is always reached in clear sky days rather than in cloudy  
 417 days. It is qualitatively noted that the worse the weather conditions, the more difficult is for  
 418 the models to approximate real data as expected.

419 By comparing the optimization algorithms used for the estimation of the unknown  
 420 parameters of the two PV array models, it can be clearly seen that the metaheuristic  
 421 algorithms provide good results compared to the LMA in all weather conditions and for  
 422 both PV models.

423 These considerations are confirmed by values of errors calculated for the two PV  
 424 models given in Table 7 and 8. The values quantify discrepancies between measured data  
 425 (DC output current, voltage and power) versus simulated ones predicted by the two PV  
 426 array models using the five algorithms (LMA, GA, PSO, DE and ABC). Two metrics were  
 427 used: The Root Mean Square Error (RMSE) [32] and the Normalized Mean Absolute  
 428 Error (NMAE) [10]. For the error calculation an irradiance filter was applied to the data set.  
 429 Only the data corresponding to irradiance values above 200 W/m<sup>2</sup> were considered, since  
 430 the inverters start working in these conditions. Below this irradiance value, the PV systems  
 431 are in an open circuit configuration, and the resulting values are misleading.

432 The DC output power of the PV array is obtained as a product of current and voltage in  
 433 both real and simulated results.

434

PV system	Day	Weather	Error [%]	LMA			GA			PSO			DE			ABC		
				I	V	P	I	V	P	I	V	P	I	V	P	I	V	P
1	09/12/2013	clear sky	RMSE	0.64	2.09	1.72	0.64	1.26	1.18	0.64	0.84	1.00	0.65	0.84	0.99	0.65	0.71	0.63
			NMAE	0.27	1.43	0.77	0.25	0.97	0.58	0.26	0.62	0.45	0.26	0.62	0.45	0.27	0.48	0.25
	18/12/2013	semi cloudy	RMSE	2.91	4.09	2.87	2.51	2.98	2.68	2.50	2.98	2.63	2.50	2.90	2.59	2.50	2.89	2.59
			NMAE	1.29	2.11	1.12	0.86	1.83	0.97	0.83	1.84	0.94	0.83	1.70	0.89	0.83	1.69	0.91
	20/12/2013	cloudy	RMSE	6.37	5.06	6.02	6.41	4.90	5.84	6.36	4.91	5.77	6.35	4.87	5.79	6.37	4.91	5.78
			NMAE	2.43	3.51	2.40	2.54	3.34	2.35	2.44	3.34	2.26	2.44	3.32	2.27	2.44	3.35	2.26
2	05/07/2012	clear sky	RMSE	1.33	1.42	1.55	1.29	0.82	1.14	1.31	0.81	1.14	1.29	1.02	1.06	1.27	0.84	1.03
			NMAE	0.46	1.48	0.78	0.53	1.23	0.70	0.47	1.29	0.58	0.51	1.73	0.55	0.53	1.47	0.52
	12/05/2012	semi cloudy	RMSE	1.54	1.13	1.55	1.52	0.98	1.53	1.52	1.11	1.41	1.75	1.49	1.36	1.53	1.11	1.32
			NMAE	0.62	1.67	0.88	0.59	1.50	0.88	0.59	1.90	0.87	0.75	2.68	0.85	0.61	1.89	0.83
	12/11/2012	cloudy	RMSE	2.75	3.50	3.51	2.78	3.32	3.17	2.76	3.22	3.15	2.76	3.22	3.15	2.76	3.31	3.13
			NMAE	0.70	5.91	1.84	0.68	4.59	1.65	0.69	4.32	1.62	0.68	4.31	1.61	0.69	4.57	1.61
3	07/08/2011	clear sky	RMSE	1.37	0.92	1.43	1.04	0.95	1.17	1.04	0.88	1.10	1.04	0.77	0.99	1.04	0.76	0.98
			NMAE	1.25	0.56	0.78	0.90	0.64	0.66	0.90	0.56	0.59	0.91	0.64	0.51	0.90	0.61	0.48
	12/05/2012	semi cloudy	RMSE	1.91	0.89	2.20	1.23	0.81	1.10	1.24	0.90	0.93	1.24	0.82	1.07	1.23	0.89	0.91
			NMAE	1.70	0.81	1.07	1.05	0.68	0.49	1.08	0.82	0.43	1.07	0.68	0.48	1.07	0.81	0.41
	12/11/2012	cloudy	RMSE	2.67	2.39	4.00	2.40	1.87	2.16	2.42	1.62	1.98	2.42	1.68	2.07	2.25	1.62	1.42
			NMAE	2.12	3.27	1.86	1.75	2.34	1.09	1.79	2.04	0.66	1.75	2.08	1.06	1.75	2.04	1.01

435 Table 7. Calculated RMSE (%) and NMAE (%) for the SAPM.

436

437

438

PV system	Day	Weather	Error [%]	LMA			GA			PSO			DE			ABC		
				I	V	P	I	V	P	I	V	P	I	V	P	I	V	P
1	09/12/2013	clear sky	RMSE	1.78	1.39	2.29	1.76	1.39	2.23	1.75	1.39	2.22	1.75	1.38	2.21	1.75	1.38	2.21
			NMAE	0.89	0.98	1.05	0.88	0.98	1.05	0.88	0.98	1.05	0.87	0.97	1.04	0.87	0.96	1.04
	18/12/2013	semi cloudy	RMSE	3.42	3.93	4.96	3.37	3.84	4.88	3.37	3.80	4.05	2.84	3.82	3.72	2.55	4.84	3.69
			NMAE	1.38	2.48	2.19	1.35	2.48	2.13	1.34	2.45	1.94	1.28	2.46	1.80	0.97	3.08	1.74
	20/12/2013	cloudy	RMSE	10.34	4.92	13.55	9.34	5.80	11.23	7.73	4.87	6.96	6.41	6.29	7.79	5.60	4.91	6.60
			NMAE	4.37	3.63	5.30	4.30	3.51	4.12	3.63	3.32	2.91	3.17	4.76	2.99	2.14	3.62	2.67
2	05/07/2012	clear sky	RMSE	1.35	2.07	2.43	1.34	2.07	2.42	1.34	2.06	2.41	1.34	2.06	2.40	1.34	1.38	2.09
			NMAE	0.48	3.03	1.59	0.48	3.02	1.59	0.48	3.03	1.59	0.47	3.01	1.57	0.47	2.47	1.45
	12/05/2012	semi cloudy	RMSE	1.60	2.98	3.51	1.60	2.92	3.41	1.60	2.28	3.13	1.60	2.27	3.13	1.61	2.12	3.07
			NMAE	0.64	5.40	2.50	0.65	5.24	2.42	0.65	3.71	2.10	0.65	3.70	2.10	0.64	3.72	2.08
	12/11/2012	cloudy	RMSE	4.13	3.24	5.01	3.16	3.25	4.86	2.44	2.98	3.98	3.70	3.24	4.60	3.50	3.14	3.64
			NMAE	1.53	5.83	3.87	1.15	5.83	3.17	0.87	5.09	2.54	1.27	5.83	2.72	1.16	5.29	2.06
3	07/08/2011	clear sky	RMSE	1.91	2.44	3.32	1.90	2.43	3.31	1.91	2.16	1.57	1.83	1.92	2.12	0.85	2.31	1.28
			NMAE	1.61	1.77	1.71	1.60	1.75	1.73	1.61	1.59	1.69	1.09	0.89	1.01	0.79	1.88	0.67
	12/05/2012	semi cloudy	RMSE	1.66	2.68	3.53	1.72	2.09	3.36	1.67	1.97	3.34	1.65	1.95	3.17	1.66	1.95	3.02
			NMAE	1.51	2.49	1.78	1.52	1.74	1.67	1.52	1.76	1.66	1.51	1.74	1.60	1.51	1.75	1.53
	12/11/2012	cloudy	RMSE	5.36	5.10	6.99	3.44	5.10	4.84	2.53	2.36	2.63	2.12	2.52	1.89	2.09	2.53	1.78
			NMAE	4.25	3.22	3.29	2.76	3.21	2.44	1.89	2.18	1.42	1.60	2.24	0.91	1.51	2.26	0.80

Table 8. Calculated *RMSE* (%) and *NMAE* (%) for the 5PM.

439

440

441 As a general trend, the errors obtained in the case of SAPM model were smaller than in  
442 the case of the 5PM for all PV systems and weather conditions regardless of the solar cell  
443 technology. Similarly, for each PV system the error decreases with improving weather  
444 conditions: The error for clear sky day was smaller than for semi-cloudy day, while for  
445 cloudy day the largest discrepancy was always found, as anticipated from the inspection of  
446 Figs. 3 – 8.

447 The maximum values of *RMSE* and *NMAE* obtained for the output power using the  
448 SAPM model were 6.02 % and 2.40 % respectively. These values were provided by  
449 simulations based on LMA of the PV system 1 with c-Si PV modules in a cloudy day.  
450 Nevertheless, for the PV systems 2 and 3 based on different PV module technologies, the  
451 *RMSE* and *NMAE* errors obtained for DC output power were below 4 % and 1.86 %.

452 On the other hand, in the simulations based on the 5PM the maximum values of *RMSE*  
453 and *NMAE* obtained regarding the DC output power were increased up to 13.55 % and

454 5.30 % for PV system 1 based on LMA. However, for the PV systems 2 and 3, even based  
455 on the LMA, the obtained values of RMSE and NMAE were 6.99 % and 3.29 %.

456 The accuracy of the PV module models in reproducing the behavior of the PV array  
457 under outdoor conditions of solar irradiance and cell temperature depends also on the used  
458 methods for parameters estimation. As it can be seen from Tables 7 and 8, the metaheuristic  
459 algorithms provide lower values of RMSE and NMAE than the numerical traditional  
460 method based on the LMA.

461 Considering the SAPM, the passage from using the LMA to GA as a main algorithm of  
462 the parameter extraction, reduces the maximum values of RMSE and NMAE of the DC  
463 output power to 5.84 % and 2.35 % taking into account all the PV systems and weather  
464 conditions. This passage from LMA to GA also affects the accuracy of the 5PM, where the  
465 maximum values of RMSE and NMAE of the DC output power were reduced to 11.23 %  
466 and 4.12 % respectively.

467 The best accuracy of simulations using the SAPM was obtained by using the ABC  
468 algorithm for the estimation of the unknown parameters. The greatest RMSE and NMAE  
469 values obtained regarding the DC power of the PV system 1 were 5.78 % and 2.26 %.  
470 Otherwise for PV system 2 the errors values don't exceed 3.13 % and 1.61 %, and for PV  
471 system 3 the best accuracy is achieved, whatever the weather condition, the RMSE and  
472 NMAE are below 1.43 % and 1.02 % respectively.

473 On the other hand, for the 5PM, the best forecasting of the DC output power of the PV  
474 systems is also obtained from simulations using the estimated parameters provided by the  
475 ABC algorithm. Considering the worst weather condition, the RMSE and NMAE values  
476 related to DC output power obtained for the PV system 1 are 6.6 % and 2.67 %. However,  
477 for the PV systems 2 and 3 the errors values remain below 3.65 % and 2.07%.



478 Finally, regarding the DC output current, the highest values of RMSE obtained in clear  
479 sky and semi cloudy day, are below 2.91% in case of SAPM and 3.42% in case of 5PM. In  
480 order to make the obtained results more comprehensive, other machines learning used for  
481 modeling the DC output current of PV arrays were considered. Ameen et al [13] reported  
482 RMSE of 5.67% in a work based on artificial neural networks for forecasting the output  
483 current of a PV array. Ibrahim et al [38] published a novel machine learning consisting in  
484 using random forests technique for modeling the output current of a PV array, the RMSE  
485 provided is of 2.74%.

486

487

## 488 **6. Conclusions**

489 Two PV array models have been compared in this work for simulation purposes: The  
490 5PM and the SAPM. These models were applied to reproduce the behavior of three grid  
491 connected PV systems with different topologies and solar cell technologies. The models  
492 parameters were obtained from daily monitored profiles of  $G$ ,  $T_c$ , and output DC current  
493 and voltage of the PV arrays using five different optimization algorithms (LMA, GA, PSO,  
494 DE and ABC).

495 The metaheuristic algorithms are more efficient than the traditional LMA algorithm in  
496 estimating the unknown parameters of both PV module models, essentially in bad weather  
497 conditions. The GA provides high values of RMSE compared to the other bio-inspired  
498 algorithms. The ABC algorithm is slightly more accurate than the DE and PSO algorithms.

499 The 5PM allowed simulating the dynamic behavior of the PV systems included in this  
500 study with an acceptable accuracy degree for applications of supervision and forecasting of  
501 energy production. The RMSE obtained in the comparison of the daily evolution of main

502 electrical parameters of the PV systems is below 8 % in all cases except the case of using  
503 LMA and GA algorithms to simulate the c-Si PV module working in cloudy conditions.  
504 This effect can be explained taking into account that the values of series,  $R_s$ , and shunt,  $R_{sh}$ ,  
505 resistances forming part of the model parameter set vary with the irradiance, whereas both  
506 parameters have been assumed constant in the performed simulations. An advantage of the  
507 5PM lies in the physical meaning of the set of model parameters that provides relevant  
508 information about the PV array and allows an easy comparison between different PV  
509 modules.

510 On the other hand, the SAPM model is an empirical model including a set of model  
511 parameters in which some of them have little physical meaning. Nevertheless, the SAPM  
512 model showed a high accuracy degree in the simulation of the PV systems behavior  
513 independently of the solar cell technology. The RMSE values obtained for the DC output  
514 power of the PV arrays in the simulations stayed below 6.05 % for the PV system 1 even in  
515 cloudy days. For the PV system 2 this error dropped below 3.52 %. However, for the PV  
516 system 3 the RMSE values are below 4 % even in cloudy days and case of using LMA. The  
517 SAPM model demonstrated best potential for the simulation of PV systems in real  
518 operating conditions; this holds even when using thin film technologies of PV modules.

519

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