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Gas migration in a cenozoic clay: Experimental results and numerical modelling

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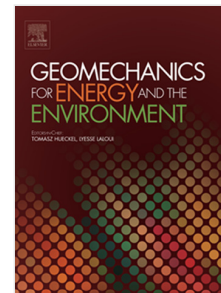
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29 **Abstract**

30 Gas migration through a potential host clay formation for the geological disposal of
31 radioactive waste in Belgium is experimentally investigated in the laboratory, and
32 numerical modelling is performed to help in the interpretation of the results. Selected
33 air injection tests under oedometer conditions on initially saturated Boom Clay samples
34 with oriented bedding planes are presented in the paper. Priority in the experimental
35 programme was given to the study of the deformation response along the injection and
36 dissipation stages, as well as to the analysis of the pore network changes, which detect
37 the opening of fissures that can act as preferential air pathways. The experimental
38 results were simulated using a fully coupled hydro-mechanical finite element code,
39 which incorporates an embedded fracture permeability model to account for the
40 simulation of the gas flow along preferential pathways. Clay intrinsic permeability and
41 its retention curve were assumed to be dependent on strains through fracture aperture
42 changes. The numerical results could reproduce upstream / downstream pressures,
43 outflow volume and soil volume change accurately. The experimental results,
44 combined with the numerical simulation, provide good insight into the role of the
45 volumetric response and of the bedding planes on the air transport properties of Boom
46 Clay samples, confirming that fracture aperture occurs during gas injection, which
47 eventually dominates further injection and pressure release stages.

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59 **Keywords:** gas generation and transport, deep clay, coupled hydro-mechanical
60 response, microstructure analysis, opening of discontinuities, preferential air flow.

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62 1. Introduction

63 Most of the research work performed on the Thermo-Hydro-Mechanical (THM)
64 behaviour of engineered barriers concerns the initial transient hydration processes under
65 the combined action of the water infiltrating from the host rock and the heat generated
66 by the canister. The experimental information concerning this transient process covers
67 only a short time period of the expected lifetime of the barrier.

68 The long-term behaviour of clay barriers has received comparatively less attention. A
69 significant issue in the long-term performance of clay buffers and the surrounding host
70 rock concerns the generation and migration of gases. Actually, in the post-closure phase
71 of a disposal system, gases can be produced as a result of the anaerobic corrosion of
72 metal canisters, radiolysis, microbial degradation of organic waste and radioactive
73 decay [1]. The pressure resulting from the gas generation in an almost impermeable
74 geological medium in the near-field of a repository will increase. Under high gas
75 pressures, the mechanical and hydraulic properties of the host rock and the barrier
76 system are expected to change significantly, which eventually may lead to the release of
77 the produced gases.

78 Belgium has been studying during the last decades the Boom Clay as a potential deep
79 and sedimentary clayey formation for the geological disposal of long-living and heat-
80 emitting radioactive waste. Research efforts have been spent on understanding gas
81 migration in sedimentary rocks [2, 3, 4, 5], and several laboratory and in-situ studies
82 have been performed on gas transport in engineering barrier systems. Within the
83 FORGE project [4, 5], consensus grew on the hypothesis that in such low permeability
84 porous media, saturated with water or close to saturation, transport of free gas occurs by
85 the creation of specific gas pathways, which, in turn, trigger further creation or
86 reactivation of discontinuities in the porous medium.

87 Marschall et al. [6] suggested different basic transport mechanisms depending on the
88 gas generation rate, based on purely phenomenological considerations. In a saturated
89 medium, the dominant process is diffusion and advection of dissolved gas for low gas
90 generation rate. A separate gas phase may develop at increasing gas generation rate, and
91 two-phase flow will occur if the gas pressure is higher than the gas entry pressure. At
92 high gas generation rate, the gas pressure may increase significantly. At constant

93 confining stress, increase in gas pressure starts affecting the mechanical response of the
94 rock: unloading will be responsible of expansion of the clay, and eventually of its
95 degradation, which in turn will affect the gas migration. Micro-fissures can be generated
96 as a result of the high gas pressures and the relatively low tensile strength of the clay
97 rock. This micro-crack network can provide additional pathways for the gas flow.

98 In spite of the interest for long term prediction of waste disposal barriers performance in
99 sedimentary rocks, careful investigation of the coupled hydro-mechanical response of
100 sedimentary formations in relation with gas migration has not received much attention,
101 until recently [7, 8, 9, 10]. The latter studies showed that migration of gas in low
102 permeability rock formations is a rather complex phenomenon, governed by different
103 coupled mechanical and hydraulic processes. Specifically, intrinsic permeability,
104 porosity and water saturation, stress state and stress history, shear strength, strain level
105 and damage are all playing a role in the response, as well as the gas generation rate and
106 the gas pressure in the near field. Therefore, coupled hydro-mechanical experimental
107 tests are mandatory, in which gas migration is investigated to adequately evaluate the
108 long-term safety of the disposal system.

109 Experimental data on Boom Clay are still poor, with the exception of the results from
110 laboratory tests within the framework of the MEGAS project [11] and recent gas
111 diffusion experiments [12, 13]. To improve the knowledge on Boom Clay response to
112 gas migration and the predictive capabilities on the long term behaviour of Boom Clay
113 as host formation, a comprehensive series of air injection tests under oedometer
114 conditions is being performed at the Universitat Politècnica de Catalunya. Relatively
115 fast volume rate air injections tests (air pulse tests) have been performed to give priority
116 to dominant single-phase air flow mechanisms associated with the opening of stress-
117 dependent discontinuities, rather than on slower two-phase flow and air diffusion
118 mechanisms through the matrix. The tests are designed specifically on samples with
119 oriented bedding planes to investigate their effects on the coupled hydro-mechanical
120 mechanisms dominating gas migration, pressurisation and release in the clay. Contrarily
121 to previous works [2, 12, 13, 14, 15], volume changes are allowed in the experimental
122 setup, and the deformation response is analysed during air injection, air pressure
123 increase and dissipation.

124 Particular attention is given to the change in the pore network in order to detect the
125 opening of fissures or discontinuities due to the air migration, by analysing the pore size
126 distribution (PSD) before and after the gas injection tests on data from mercury
127 intrusion porosimetry. The PSD data are used to show the evidence of preferential
128 pathways formed during gas injection and pressurisation and, to calibrate the hydraulic
129 laws used in the numerical simulations of the tests.

130 Results of finite element numerical simulations performed with the Code_Bright [16],
131 are compared with relevant experimental measurements. An embedded fracture
132 permeability model [17] was used to explain the gas breakthrough observations. The
133 embedded fracture permeability model allows tracking the degradation of the soil
134 sample under gas pressurisation (increasing gas permeability) and helps in the
135 comprehension of the local coupled processes dominating the response of the material.

136 **2. Soil properties and experimental methods**

137 **2.1 Boom Clay samples**

138 Boom Clay, which was deposited 36 to 30 million years ago during the Rupelian age
139 (Northwest European Tertiary Basin), is located between 160 and 270 m depth at Mol
140 (Belgium) in an almost horizontal layer with a slight 1-2% north-east dip. The samples
141 used in this study were collected at a depth of 223 m in the URL (Underground
142 Research Laboratory) HADES (borehole 2012/2 in the Connecting Gallery, Ring 70-71
143 -horizontal borehole towards the west-). The main mineralogical composition of the
144 Boom Clay is: 20-30% kaolinite, 20-30% illite, 10-20% smectite, 25% quartz and
145 feldspar. Table 1 summarises the main properties of this clay and includes the initial test
146 conditions, which replicate the *in situ* conditions. Saturated Boom Clay specimens
147 displayed high initial suction due to stress relief upon sampling. The total suction
148 measured in the laboratory was 2.5 MPa, in good agreement with the data reported in
149 [18, 19, 20]. Water retention data on drying are plotted in Figure 1, which allows
150 estimating an air-entry value of about 5 MPa (higher than the initial suction) for an
151 initial void ratio 0.61. The data were obtained using a dew point psychrometer, by
152 stepwise drying of the specimens starting from the initial suction. The data are fitted to
153 the van Genuchten's equation indicated in the figure.

154 To characterise the initial pore network, mercury intrusion porosimeter (MIP) tests were
155 performed [21]. Figure 2 presents the pore size density function – calculated based on
156 the intruded volume of mercury referred to volume of solids (non-wetting void ratio e_{nw})
157 –, which showed a mono-modal distribution with a dominant entrance pore size of 70
158 nm (Figure 2). This dominant pore size is associated with an air-entry value of 4.8 MPa
159 (Table 1).

160 **2.2 Experimental equipment and protocol**

161 A high pressure oedometer cell [20] was chosen to perform the air injection tests,
162 because of its high stiffness and the simplicity in measuring sample volume changes.
163 The soil samples, 20 mm thick and 50 mm in diameter, were placed between the top and
164 bottom caps made of concentric stainless steel rings, which operate as coarse porous
165 stones allowing the injection and recovery of water and air. A hydraulic vertical piston
166 with a maximum capacity of 10 MPa was used to apply the vertical stress. Vertical
167 displacements were measured with an external LVDT. The experimental setup includes
168 four automatic pressure/volume controllers: besides the one for the vertical stress, one
169 was adopted for air injection (upstream boundary - bottom of the sample -) and two for
170 water (injection at upstream and recovery at downstream boundaries). The cell and
171 auxiliary devices are presented in Figure 3.

172 The oedometer tests were run with the protocol described below and depicted in Figure
173 4.

174 1. Pre-conditioning path. The main objective of this initial path was to restore the *in situ*
175 effective vertical stress after pore water pressure equalisation [22]. The pre-conditioning
176 path consisted into two stages:

177 a) water undrained loading stage up to $\sigma_v = 3$ MPa at a rate of 15 kPa/min at
178 constant water content and atmospheric air pressure $p_a = 0$;

179 b) contact with synthetic Boom Clay water (SBCW) at atmospheric pressure
180 $p_w=0$ (synthetic water was prepared according to [23]).

- 181 2. Hydraulic conductivity of the intact samples was determined at steady-state under a
182 pressure gradient of 0.1 MPa (upstream and downstream water pressures were 0.6 and
183 0.5 MPa, respectively) and at constant total vertical stress ($\sigma_v = 3$ MPa).
- 184 3. Loading stage at controlled stress rate 0.5 kPa/min to ensure drained conditions, to a
185 total vertical stress $\sigma_v = 6$ MPa at constant water pressure (0.5 MPa). This maximum
186 effective vertical stress (5.5 MPa) did not exceed the yield stress of the clay (around 5.6
187 MPa), as will be later shown. At the same time, σ_v is large enough to inject air at a
188 pressure up to 4 MPa and avoid passage of air between sample and oedometer ring
189 (coefficient of lateral earth pressure at rest $K_0 = 0.84$, [24]).
- 190 4. A fast drainage of the bottom line was performed at $\sigma_v = 6$ MPa to replace water by
191 air. An initial air pressure of 0.5 MPa was applied at the upstream boundary.
- 192 5. Air injection at constant controlled volume-rate to a maximum of 4 MPa (bottom
193 cap). At this air pressure, the injection piston was stopped (shut-off) and air pressure
194 was let to decay at constant air volume of the inlet line. This maximum air pressure was
195 limited to 4 MPa to avoid exceeding the minor principal stress (radial stress σ_h in the
196 figure) and the air-entry value of the material (refer to Table 1). Tests at two different
197 injection rates were performed (namely 2 mL/min and 100 mL/min) to observe its
198 influence on the coupled hydro-mechanical response. The selected rates were however
199 relatively fast (air pulse tests), in order to minimise air diffusion mechanisms through
200 the matrix and to enhance single-phase air flow mechanisms through discontinuities.
201 During the air injection stage, the downstream lines were full of water and the controller
202 was kept at constant pressure of 0.5 MPa. This controlled downstream pressure
203 increased when the air outflow was high, since the pressure/volume controller was not
204 able to keep this constant pressure condition (a maximum pressure of 1.8 MPa was
205 prescribed by a pressure release valve).
- 206 6. Final unloading stage under undrained conditions.
- 207 After each injection test a MIP test was performed to study the changes in the pore size
208 distribution of the clay. Moreover, complementary reference oedometer tests were
209 performed, following the same stress paths but avoiding the air injection stage, so that

210 changes in the pore size distribution could be uniquely related to air injection and
211 transport.

212 **2.3 Compressibility and water permeability**

213 Figure 5 shows continuous loading results at controlled stress rate in terms of axial
214 strain (positive in compression) as a function of the vertical stress in the reference
215 oedometer tests, where the SBCW was placed in contact with the sample at a vertical
216 stress (3 MPa) approximately equivalent to the *in situ* effective stress. These reference
217 tests were carried out independently from the air injection tests, and included a drained
218 unloading path after attaining $\sigma_v = 6$ MPa. The as-retrieved samples showed high
219 stiffness at the beginning, due to the high initial suction, while the stiffness decreased
220 after contact with the SBCW. In order to evaluate the slope of the virgin compression
221 curve, some of the tests were brought to stresses higher than the estimated yield stress,
222 which is around 5.6 MPa.

223 The water permeability was determined at several loading stages of the oedometer tests,
224 at different stress states and pressure gradients in order to establish a correlation with
225 the porosity of the clay. Figure 6 presents the relation between water permeability and
226 average void ratio. The results refer to samples in which the direction of the flow was
227 parallel to the natural bedding planes, of interest for this investigation, and they are in
228 good agreement with results reported by other authors [25].

229 **3. Tests results**

230 **3.1 Air injection tests**

231 Selected results of the air injection and dissipation stages at constant vertical stress are
232 presented in Figure 7. The figure shows the time evolution of the air injection pressure
233 at the upstream boundary and the outflow pressure and volume at the downstream
234 boundary, jointly with the average axial strain, calculated based on recorded axial
235 displacement. The tests were performed on two samples with bedding planes parallel to
236 air flow, at different injection rates, 100 mL/min and 2 mL/min. The time evolutions of
237 the relevant variables display similar pattern. The air pressure at the upstream boundary
238 increased in time from 0.5 to 4 MPa (*A* to *B* in the figure), followed by shut-off (point

239 *B*) and dissipation at closed air injection line (*B* to *C*). The outflow volume rapidly
 240 increased indicating the break-through time until the downstream controller was filled
 241 (refer to the faster air injection test). At this break-through time the outflow pressure
 242 started to increase until the maximum of 1.8 MPa limited by a release valve was
 243 reached. Increase in injection pressure was accompanied by expansion (negative axial
 244 strains), followed by compression strains along the dissipation stage. The first air
 245 outflow break-through depended on the injection rate. In samples tested at 100 mL/min,
 246 the first outflow was detected during the dissipation stage, whereas in samples tested at
 247 2 mL/min it already occurred during the last phase of the injection stage.

248 Figure 8 presents the evolution of the average axial strain with a measure of the net
 249 stress at the bottom boundary, defined as the vertical stress, σ_v , minus the air pressure at
 250 the bottom injection boundary, p_a . The net stress at the bottom boundary, $\sigma_v - p_a^{bottom}$,
 251 is used as a “constitutive stress variable” to provide an initial interpretation of the
 252 deformation response of the material. Data during the air injection and dissipation
 253 stages of the two selected tests at two volume-injection rates are presented. The
 254 samples, kept at constant vertical stress (6 MPa) displayed some expansion during the
 255 early air injection stage (*A* to *B* in Figure 8), which was slightly larger at the slower air
 256 injection rate. After shut-off (point *B* in the figure), expansion continued in the sample
 257 subjected to faster air injection (*B* to *B'* in the figure) as the air pressure front
 258 propagated into the sample, inducing the fluid pressure to increase and the constitutive
 259 stress to decrease. After shut-off, no expansion was observed on the sample subjected to
 260 the slower air injection rate, since the pore pressure was nearly equilibrated during air
 261 injection. Some elapsed time later after shut-off, the air injection pressure started to
 262 decline along the dissipation stage towards point *C*. Consequently, the constitutive
 263 stress increased inducing compression on the material. The sample subjected to the
 264 slower injection rate showed a quasi-reversible volume change response along the
 265 dissipation stage.

266 Figure 9 shows a comparison of the stiffness of the soil samples during air injection
 267 with the stiffness from data of conventional drained unloading paths (refer to Figure 5).
 268 For the latter, the drained constrained modulus is estimated as the ratio between the
 269 change in effective stress of samples saturated with water and the corresponding
 270 changes in axial strain, $E'_k = \delta(\sigma_v - p_w)/\delta\varepsilon_a$. These unloading results reveal that on

271 saturated Boom Clay the drained constrained modulus drops considerably due to
272 swelling of the sample in contact with water during the drained unloading path. The air
273 injection stage, considered equivalent to an unloading stage of the material, was also
274 analysed in terms of stiffness $E'_k = \delta(\sigma_v - p_a^{bottom})/\delta\varepsilon_a$. At the beginning of the
275 unloading stage, the constrained modulus was similar to the saturated samples, but
276 during the air injection process Boom Clay displayed a higher stiffness (Figure 9).

277 *3.2 Pore size distribution changes after air tests*

278 The previous results suggested that some changes in the pore size distribution of the
279 samples could take place during injection. To better investigate the consequences of air
280 injection, MIP tests were performed on freeze-dried samples to compare the pore
281 network before (intact state) and after the air tests. Figure 10 presents the pore size
282 density functions obtained for the intact material and after the air tests. Special care was
283 taken during the unloading stage under undrained conditions (after the air tests) to
284 prevent further expansion of the material. As indicated in the figure, a new family of
285 large pores, which was not detected on intact samples, was observed after the tests. This
286 new dominant pore size at entrance sizes larger than 2 μm appeared to be associated
287 with the expansion undergone by the material during the air injection stage. Figure 10
288 also includes the pore size distribution of a sample after loading and fast undrained
289 unloading, which therefore followed the same loading path, but without the injection
290 stage. The large pores were not detected on this sample, which suggested that they were
291 actually related to the air injection / migration process. Two types of pore domains were
292 thus considered: a) small pores (micropores) associated with the clayey matrix and with
293 dominant pore size around 70 nm, and b) large pores (macropores) related to the
294 opening of fissures and with entrance sizes larger than 2 μm .

295 To better understand the nature of these pore domains, MIP data were interpreted in
296 terms of the fractal character of the porous network, admitting self-similarity of the
297 hierarchical void structure [21]. Figure 11 shows the fractal dimension D_s of the porous
298 medium, obtained from the change of the intruded pore volume (non-wetting degree of
299 saturation, $S_{r_{nw}}$, of intruded mercury referred to total pore volume) with respect to the
300 change in mercury intrusion pressure, p . The fractal analysis on intact material indicates
301 a pore domain for sizes < 100 nm with $D_s \approx 2$ and a larger size domain (typically higher

302 than $1 \mu\text{m}$) with $D_s \approx 3$. The same pattern was found in the sample tested without the air
303 injection stage. Nonetheless, the fractal analysis on samples tested after the injection
304 tests indicates a decrease of the fractal dimension $D_s \approx 2.5$ of the new family of large
305 pores developed. It appears that this macroporosity tends to a fissure-like structure,
306 consistent with the opening of fissures during the expansion undergone by the material
307 along the air injection stage. The visual observation after dismantling the tested samples
308 showed some areas drier than others in the same direction as bedding orientation. This
309 fact indicated the desaturation due to air passage through these fissures following the
310 bedding planes.

311 **4. Numerical simulation of selected results**

312 To provide further insight into the air injection process and on the consequences on the
313 deformation response, a numerical analysis was performed.

314 ***4.1 Background information***

315 In most cases, the models used to simulate gas migration processes are based on the
316 concept of two-phase flow through continuous porous media. Stress-dependent porosity
317 and permeability are often used as a way to better reproduce rapid increase of gas flow
318 above a threshold injection pressure [10]. Other approaches suggest to couple explicitly
319 two-phase flow transport models with poro-mechanics models [26, 27] to better take
320 into account the role of the evolving stress field. The latter models are claimed to better
321 reproduce the effect of pathway dilation on gas transport at the relevant scale of the
322 system. The Two-Part Hooke's Model (TPHM) has been used as a possible alternative,
323 which assumes that sedimentary rocks can be thought as a superposition of 'soft' and
324 'hard' parts [28, 29]. The model applied to a layered structure is conceived with soft
325 layers undergoing relatively large strains, thus showing higher permeability, and hard
326 layers which undergo small strains [30]. To take into account material anisotropy and
327 the possible presence of preferential gas transport pathways along a given orientation,
328 other authors used embedded fracture permeability models or added joint elements to a
329 continuum model [14, 31]. In many cases, the experimental data could be reproduced
330 reasonably well by the previous approaches for what concerns gas pressure evolution.
331 On the contrary, the deformational behaviour during the gas transport process has been
332 seldom tackled.

333 In this work an embedded fracture model in a fully coupled hydro-mechanical approach
334 was adopted to simulate the gas injection tests. The coupled solution of the mechanical
335 and two-phase flow equations is performed using finite elements with Code_Bright
336 [16]. The adopted approach for gas and water flow through discontinuities including
337 mechanical interactions was described and demonstrated on some synthetic cases in
338 [32] and in [17], and afterwards applied to some experimental results on sand/bentonite
339 [15] and claystone [14].

340 Actually, modelling this type of tests is a challenging task and involves several aspects.
341 The main objective of the tests simulations is to better understand the coupled hydro-
342 mechanical consequences of gas flow passage through the material by analysing the
343 deformational response recorded in the experimental tests.

344 A 2D axisymmetric representation of the sample was selected. Both for the constitutive
345 model and the geometry of the problem simple approximations, still able to capture the
346 consequences of preferential path development, were made. The sample was modelled
347 by two zones having different hydraulic properties: the matrix and the zone of fracture
348 development (ZFD) in which the dominant advective flow of gas occurs. In the choice
349 of the latter, a single predefined central zone was chosen, renouncing to investigate the
350 local distribution of the fractures, but still keeping the possibility of reproducing the
351 global effect of the localised preferential paths on the sample response. For the matrix
352 and the embedded fracture zone the same mechanical parameters were chosen, but
353 different hydraulic models were used. Soil volume changes will be analysed.

354 ***4.2 Constitutive laws***

355 The mechanical model adopted for the Boom Clay is the elastoplastic model BBM
356 (Barcelona Basic Model, [33]). To model the effects of the localised fissures along the
357 bedding planes (gas pathways parallel to bedding planes), a fracture development zone
358 is added, where the embedded fracture permeability model is applied. This hydraulic
359 model can take into account the variation of the intrinsic permeability and the capillary
360 air entry pressure with fracture aperture, which depends on strain.

361 The intrinsic permeability of the matrix depends on the porosity ϕ through Kozeny's
362 law

$$363 \quad k_{matrix}(\phi) = k_0 \frac{\phi^3}{(1-\phi)^2} \frac{(1-\phi_0)^2}{\phi_0^3} \quad (1)$$

364 where k_0 is the intrinsic permeability for the reference porosity ϕ_0 .

365 In the single fracture, liquid and gas flow are computed using Darcy's law, with the
366 intrinsic permeability calculated assuming laminar flow, as

$$367 \quad k_{fracture} = \frac{b^2}{12} \quad (2)$$

368 where b is the fracture aperture.

369 The equivalent element permeability is computed as follows

$$370 \quad k_{element} = k_{matrix} + \frac{b^3}{12s} \quad (3)$$

371 where s is a characteristic dimension for a specific rock. This parameter, which weights
372 the role of the fractures on the hydraulic response, governs the constitutive functions for
373 permeability and capillary pressure variations. Therefore, it was chosen as fitting
374 parameter based on the available experimental data from water retention curve and
375 intrinsic permeability values.

376 The current aperture b can be estimated as a function of the strain ε (positive when
377 describing expansion in the model) from

$$378 \quad b = b_0 + \Delta b \quad \text{for} \quad \Delta b \geq 0 \quad (4)$$

$$379 \quad \Delta b = s\Delta\varepsilon = s(\varepsilon - \varepsilon_0) \quad \text{for} \quad \varepsilon > \varepsilon_0 \quad (5)$$

380 Therefore the local strain results in changes in aperture. The strain perpendicular to the
381 fracture plane was chosen to estimate the aperture change. The threshold strain ε_0 is
382 associated with fracture initiation. In this study, an existing fracture is considered with
383 an initial aperture b_0 , and is assumed to be initially open at $\varepsilon = 0$ (i. e., $\varepsilon_0 < 0$ and $b =$
384 $b_0 + s(-\varepsilon_0) > b_0$). Normal extension will induce further aperture opening ($\varepsilon > \varepsilon_0$).
385 Unloading will imply fracture closure, but a residual aperture is expected owing to
386 irreversible strains.

387 The variation of capillary air entry pressure caused by changes in the aperture is also
 388 included. The capillary air entry pressure, P , necessary to desaturate a fracture is given
 389 by

$$390 \quad P = \frac{2\sigma \cos \theta}{b} \quad (6)$$

391 where σ is the surface tension and θ the contact angle.

392 This equation can be used directly to calculate the air entry value of the element. If Eq.
 393 (6) is combined with Eq. (2), then the capillary air entry pressure to start desaturation is
 394 obtained as

$$395 \quad P = P_0 \sqrt[3]{\frac{k_0}{k}} \quad (7)$$

396 where P_0 is the capillary pressure for a reference permeability k_0 , which eventually can
 397 be the initial permeability.

398 Additional details of the embedded fracture permeability model are given in [17]. The
 399 hydraulic equations used in the simulations are listed in detail in the Appendix.

400 **4.3 Model parameters**

401 Table 2 lists the mechanical parameters required for the BBM model used in the present
 402 calculations and determined from different hydro-mechanical tests performed during
 403 this study. This set of parameters is in accordance with those reported in [25] for Boom
 404 Clay. Figure 12 shows the results of the numerical simulation of one of the mechanical
 405 compression paths in Figure 5. The model is capable of reproducing the initial loading,
 406 the swelling upon contact of the sample with the SBCW and the drained loading very
 407 well.

408 For the retention properties of the matrix material the van Genuchten's model is
 409 adopted, while a power law is considered for both liquid and gas relative permeability
 410 fitting the experimental data reported by [11]. Table 3 shows the hydraulic constitutive
 411 parameters considered in the analysis. The diffusion coefficient was selected according
 412 to [13] for samples with bedding planes parallel to the flow direction. In Figure 13 the
 413 fitted Kozeny's model for the intrinsic permeability changes of the matrix as a function

414 of void ratio is shown, together with the experimental measurements for samples with
415 bedding planes parallel to water flow.

416 The required parameters for the embedded fracture permeability model were fitted by
417 using experimental data. An initial aperture $b_0 = 100$ nm – slightly higher than the
418 dominant pore mode of the matrix – was selected (Figure 2). The threshold strain, ε_0 ,
419 and the characteristic dimension, s , were fitted so as to achieve a final capillary pressure
420 in the ZFD similar to the one determined by MIP after the air injection tests. The
421 procedure to obtain the water retention curve from MIP data is described in [21]. The
422 theoretical variation of the intrinsic permeability and the capillary pressure with the
423 selected parameters – according to Eq. (2) and Eq. (7) – is depicted in Figure 14
424 together with the corresponding initial and final values. The initial and the final water
425 retention curves of the ZFD – obtained with the initial and final value of the capillary
426 pressures, respectively – are in good agreement with the experimental data (Figure 15).

427 ***4.4 Boundary conditions at the injection stage***

428 To properly simulate the test, it is necessary to include both the injection and recovery
429 systems in the model, which correspond to the drainage lines of the experimental set-up.
430 If these were not explicitly included in the numerical model, the actual injection and
431 outflow pressures history at the boundaries of the sample would not be properly
432 assigned, because the change in the density of the pressurised mass of air would be
433 disregarded. After the shut-off the mass of pressurised air in the upstream injection
434 system is significant and cannot be disregarded. Accounting for the controlling system
435 flexibility was achieved by adding to the model two reservoirs, which represent the
436 injection and recovery pistons, lines and coarse porous rings. These elements are
437 idealised with a porosity of 0.5 (the code cannot simulate non-porous materials), high
438 conductivity (permeability of 10^{-10} m²) and a flat retention curve with a very low air
439 entry value ($P_0 = 0.001$ MPa). The total volume of each system corresponds to the
440 volumes obtained from calibration tests of the equipment. The mechanical constitutive
441 law for these stiff elements was linear elasticity with a very high Young modulus. The
442 final geometry of the discretised problem is depicted in Figure 16. Null vertical
443 displacements at the bottom of the system and null radial displacement on the sample
444 lateral wall are imposed to simulate oedometer conditions.

445 Before the air injection starts, the sample is fully saturated, as well as both reservoirs.
446 The first stage of the injection consists in replacing the water in the upstream reservoir
447 by air at 0.5 MPa pressure. An injection pressure ramp is then applied at the bottom of
448 the injection reservoir, which follows the recorded data during the test. The dissipation
449 stage starts once the injection pressure reached the maximum pressure of 4 MPa. The
450 bottom boundary becomes impermeable along this dissipation stage forcing the
451 accumulated air in the reservoir to flow upwards.

452 The pressure of the downstream pressure/volume controller is kept constant at 0.5 MPa
453 during the tests. This device is capable of maintaining this pressure by changing the
454 volume as the air flows through the sample. However, when the tank of the controller is
455 full, it is not able to keep the pressure anymore if the air flow continues and thus the
456 pressure begins to rise. In contrast, the upper reservoir in the simulation has a fixed
457 volume. As a result, an increase in the outflow pressure must be imposed in the
458 numerical model to properly model the boundary conditions. Accordingly, the pressure
459 boundary condition in the downstream reservoir was set constant in the first stage of the
460 simulation - water pressure at 0.5 MPa - and was increased up to 1.5 MPa in the last
461 stage. Table 4 summarises the simulation stages for the air injection.

462 *4.5 Simulation of slower air injection test*

463 The results of the computed injection and outflow pressure response in the ZFD (at
464 0.0005 mm from the axis) as a function of time together with the outflow volume and
465 the average axial strain along the sample height are shown in Figure 17 compared with
466 experimental results for the sample tested at the slower injection rate.

467 Figure 17a shows that the air pressure decay at the bottom of the sample during the
468 dissipation stage is acceptably well fitted. Good agreement is also found on the fluid
469 pressure at the top, computed as the maximum between air and water pressure. The
470 computed sample volume change represented by the average axial strain is also
471 reasonably well reproduced (Figure 17b). The sample at an air injection rate of 2ml/min
472 undergoes expansion during the injection stage followed by compression during air
473 dissipation. The computed results show the same expansion, while a slightly larger
474 compression than the measured one is predicted.

475 Moreover, the time in which the outflow takes place (Figure 17c) compares well with
476 the measured one. Fixing the pressure boundary conditions at the downstream reservoir
477 as explained above, the outflow volume, computed as the sum of water and air volumes,
478 fits well with the experimental measurements.

479 The local sample response is depicted in Figure 18, with contour plots to better
480 understand the influence of the embedded fracture response. Absolute gas pressure,
481 porosity and liquid degree of saturation are represented at three different time steps. The
482 first one refers to 150 minutes after the start of gas injection, the second at the end of the
483 gas injection (shut-off) and finally during the dissipation stage. It is worth noting that
484 the opening of the fracture plays an important role in terms of air dissipation. On the
485 one hand, only when the air pressure increased enough, the fracture opens and becomes
486 desaturated, allowing the air to flow. On the other hand, as the air pressure decreases
487 due to the outflow, the fracture gradually closes up over the time. The matrix of the clay
488 presents a significant delayed behaviour, as its permeability does not change as the same
489 rate. Moreover, it remains fully saturated after the air passage. Indeed, within the
490 matrix, the dominant transport mechanism is the diffusion of dissolved air. Figure 19
491 shows the contribution of the diffusive and advective flows in the matrix and in the ZFD
492 at three different elapsed times. The dominant advective flow in the ZFD is clearly
493 observed. The simulated vertical profiles in the ZFD of the gas and the liquid pressures
494 at the same elapsed times are shown in Figure 20, where the evolution of the gas
495 pressure front along the ZFD can be clearly appreciated.

496 In Figure 21 the computed local radial strains (positive in compression) show that the
497 oedometer constraint does not prevent local radial strains associated with the aperture of
498 the fracture. The local strains start developing at the beginning of the gas injection and
499 clearly reach their maximum in correspondence of the maximum aperture of the
500 fracture. The intact matrix is subjected to compressive strains which compensate the
501 extension strains of the ZFD. Afterwards, they decrease during the dissipation stage. It
502 is worth noting that the non-null radial strain at the boundary of the sample is a
503 numerical artefact due to calculation of strains at the Gauss points. The position of the
504 maximum radial displacement moves towards the external boundary during the
505 injection tests, and its value at the boundary is correctly null, as shown in the same
506 figure.

507 **4.6 Prediction: faster air injection test**

508 The parameters of the embedded fracture model were calibrated by comparison with the
509 slower injection test. To demonstrate the predictive capability of the numerical model,
510 the air injection test at 100 mL/min was simulated with the previous set of parameters,
511 representing a blind test. The stages of the simulation were changed to adapt them to the
512 faster injection as the injection stage lasted only 4.87 minutes, but keeping the same
513 criteria regarding boundary conditions as before (Table 5).

514 Figure 22 presents the computed results together with the experimental ones showing a
515 general good agreement. The pressure release in the simulation was slower than the
516 measured, and thus the average expansion axial strain is slightly higher. However, the
517 overall deformation behaviour is well captured, displaying some expansion at the early
518 injection stage which continues after the shut-off, while the air pressure front propagates
519 into the sample. Afterwards, during the air pressure dissipation stage, progressive
520 compression of the sample is well caught. The outflow volume breakthrough at the top
521 of the sample is well reproduced, although the amount of outflow is slightly
522 overestimated.

523 **5. Concluding remarks**

524 Experimental data are essential to provide deeper understanding of the long-term
525 consequences of gas flow through saturated clays such as Boom Clay. The results
526 presented in this paper correspond to two oedometer tests carried out with orientated
527 bedding planes -parallel to air flow- and different injection rates. The main focus was
528 given to the coupled hydro-mechanical response, tracked through the measurements of
529 the axial displacements during the experimental tests, and complemented with results
530 from numerical simulations allowing preferential paths development. Injection and
531 dissipation stages were examined in order to investigate the volume change behaviour
532 and the hydro-mechanical coupling in the gas migration process in Boom Clay, as well
533 as to examine the consequences of preferential path development.

534 The air injection tests were performed in a dedicated oedometer at a constant vertical
535 stress of 6 MPa, on samples with pre-defined orientation of bedding planes, parallel to
536 the direction of flow. The maximum air pressure was achieved by injecting air at

537 constant volume rate. In order to not exceed the minimum stress (radial stress) and the
538 air entry value, the maximum air pressure target was 4 MPa. In the analysed tests, large
539 amounts of fluid volume were measured at the downstream reservoir which indicated
540 the break-through point was exceeded. The deformation response during the process is
541 fully coupled with the hydraulic process. The samples underwent expansion during the
542 air injection (stress decrease), and compression when the air pressure decayed (stress
543 increase). MIP tests allowed detecting a new family of large pores with entrance sizes $>$
544 $2 \mu\text{m}$ after the gas injection tests and displaying a fractal dimension that suggests a
545 fissure-like structure.

546 The results of the experimental tests, already providing better insight into the long term
547 behaviour of the material subjected to gas injection, can be further evaluated with the
548 aid of numerical analysis, which give preliminary suggestion on the local stress-strain
549 response of the sample in oedometer. A FE coupled hydro-mechanical code was used to
550 analyse the results, adopting an embedded fracture permeability model within the
551 continuous elements of the matrix in order to simulate the fracture opening in the
552 material. The initial aperture of the fracture was set based on the dominant entrance
553 pore size detected by MIP, and the current aperture was assumed to be governed by the
554 local normal strain, once this overran a threshold strain. One of the experimental tests
555 was used to calibrate the unknown parameters, while a second one was performed as a
556 blind prediction test. It is worth noted that including the upstream and downstream
557 reservoirs explicitly is necessary to simulate the flexibility of the experimental system
558 and to accomplish reliable results, by properly assigning the conditions time history at
559 the boundaries of the sample.

560 The comparison between the experimental data and the model predictions shows
561 encouraging agreement. The simple numerical model implemented for the analysis of
562 these oedometer tests provided some interesting information on the local hydro-
563 mechanical coupled response of Boom Clay subjected to gas formation, pressurisation
564 and transport. However, realistic modelling in a more general geometric configuration
565 can be achieved only by integrating the embedded fracture model in all the elements.
566 Including random permeability (or porosity) fields, like the ones proposed by Arnedo et
567 al. [14], would allow heterogeneity to automatically develop fracture patterns, without
568 the need for a pre-defined fracture zone. The experimental and numerical studies are

569 continuing with the analysis of the influence of the inherent anisotropic structure of the
570 Boom Clay.
571

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575 Olivella for his valuable comments regarding the numerical modelling.

576

577 **Appendix: Hydraulic constitutive equations**

578 Liquid and gas flows follow Darcy's law

579
$$\mathbf{q}_l = -\mathbf{K}_l(\nabla P_l - \rho_l \mathbf{g}) \quad (8)$$

580
$$\mathbf{q}_g = -\mathbf{K}_g(\nabla P_g - \rho_g \mathbf{g}) \quad (9)$$

581 where P_l and P_g are liquid and gas pressures, respectively, $\rho_l = \rho_{l0} \exp(\beta(P_l - P_{l0}))$
 582 ($\beta =$ liquid compressibility) is the liquid density, ρ_g is the gas density and $\mathbf{K}_\alpha = \mathbf{k} \cdot$
 583 $k_{r\alpha}/\mu_\alpha$ is the permeability tensor. The intrinsic permeability tensor, \mathbf{k} , depends on the
 584 pore structure of the porous medium. $k_{r\alpha}$ is the value of relative permeability, which
 585 controls the variation of permeability in the unsaturated regime and μ_α denotes the
 586 dynamic viscosity. In this expressions, α may stand for either l or g depending on
 587 whether liquid or gas flow is considered. The relative permeability of liquid and
 588 gaseous phases depend on degree of saturation using empirical relationships. \mathbf{g} is the
 589 gravity vector.

590 The relative permeability of liquid (k_{rl}) and gaseous (k_{rg}) phases are made dependent
 591 on S_e (effective degree of saturation) according to

592
$$S_e = \frac{S_l - S_{lr}}{S_{ls} - S_{lr}} \leq 1 \quad (10)$$

593
$$k_{lr} = S_e^n \quad (\text{generalised power law}) \quad (11)$$

594
$$k_{gr} = A(1 - S_e)^\beta \quad (\text{generalised power law}) \quad (12)$$

595 where S_l is degree of saturation, S_{lr} and S_{ls} are residual and maximum degrees of
 596 saturation, respectively, and A , n and β are material parameters.

597 It is also necessary to define the retention curve of the materials by relating degree of
 598 saturation with suction ($s = (P_g - P_l) \geq 0$). The expression selected (van Genuchten's
 599 model) is

600
$$S_e = \frac{S_l - S_{lr}}{S_{ls} - S_{lr}} = \left(1 + \left(\frac{s}{P_0}\right)^{1/\lambda}\right)^{-\lambda} \quad (13)$$

601 where P_0 is a parameter related to the capillary pressure and λ is a parameter which
602 controls the shape of the curve. Hysteresis effects have not been taken into account in
603 the analysis.

604 Finally, molecular diffusion of dry gas in liquid is governed by Fick's law

$$605 \quad \mathbf{i}_l^a = -(\tau\phi\rho_l S_l D_m^a \mathbf{I}) \nabla \omega_l^a \quad (14)$$

606 where \mathbf{i}_l^a is the non-advective mass flux of gas in liquid, τ is the tortuosity, ϕ is the
607 porosity, ω_l^a is the mass fraction of gas in liquid and D_m^a is the molecular diffusion
608 coefficient of dry gas in liquid.

609

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- 720

721 **TABLES:**

722 Table 1. Boom Clay properties and initial conditions.

Main properties / initial conditions	Symbol	Value
Density of solids	ρ_s	2.67 Mg/m ³
Liquid limit	w_L	67%
Plastic limit	w_P	29%
Dominant entrance pore size from MIP		70 nm
Air-entry value from dominant entrance pore size		4.8 MPa
Dry density	ρ_d	1.66-1.69 Mg/m ³
Void ratio	e	0.57-0.61
Water content	w	22.6-24.0%
Degree of saturation	S_r	close to 1
Total suction	ψ	2.5 MPa

723

724 Table 2. Parameters of the BBM model [33] used in the simulations.

Definition parameter	Symbol	Value
<i>Elastic behaviour</i>		
Elastic compressibility (against mean stress changes)	κ	0.020
Volumetric compressibility against suction changes	κ_s	0.005
Poisson ratio	ν	0.33
<i>Plastic and shear strength behaviour</i>		
Elastoplastic volumetric compressibility	$\lambda(0)$	0.10
Parameters to define loading-collapse yield curve	r	0.95
	β	4 MPa ⁻¹
	k	0.01
Parameter to define increase in cohesion with suction	k	0.01
Reference stress	P_c	0.1 MPa
Slope of critical state line	M	0.73
Parameter for the plastic potential	α	0.333
<i>Initial state</i>		
Initial preconsolidation stress for saturated conditions	p_0^*	6 MPa
Lateral earth pressure at rest	K_0	0.84
Initial void ratio	e_0	0.57

725

726 Table 3. Hydraulic parameters used in the simulations.

Definition parameter	Symbol	Value
Kozeny's model		
Reference intrinsic permeability	k_0	$4.2 \cdot 10^{-19} \text{ m}^2$
Reference porosity	ϕ_0	0.363
Embedded fracture permeability model		
Reference intrinsic permeability	k_0	$4.2 \cdot 10^{-19} \text{ m}^2$
Reference porosity	ϕ_0	0.363
Initial aperture	b_0	$1 \cdot 10^{-7} \text{ m}$
Threshold strain	ε_0	$-1 \cdot 10^{-4}$
Characteristic dimension	s	$3 \cdot 10^{-5} \text{ m}$
Retention curve (van Genuchten's model)		
Shape function for retention curve	λ	0.6
Material parameter related to air entry value	P	10 MPa
Residual saturation	S_{rl}	0.2
Maximum saturation	S_{ls}	1
Liquid relative permeability		
Power	n	1.48
Gas relative permeability		
Constant	A	1
Power	β	2.8
Diffusion of dissolved air		
Molecular diffusion coefficient	D_m^a	$5 \cdot 10^{-10} \text{ m}^2/\text{s}$

727

728 Table 4. Stages and pressure boundary conditions for the simulation of slower air
 729 injection test.

Time (min)	Stage	Boundary conditions
0-500	Replace water by air	Upstream air pressure increases up to 0.5 MPa Downstream pressure constant (0.5MPa)
500-745	Air injection ($r=2$ mL/min)	Upstream pressure increases from 0.5 to 4 MPa Downstream pressure constant (0.5MPa)
745-1080	Air dissipation	Upstream boundary becomes impermeable Downstream pressure constant (0.5MPa)
1080-2300	Air dissipation	Upstream boundary remains impermeable Downstream pressure increases up to 1.5 MPa

730

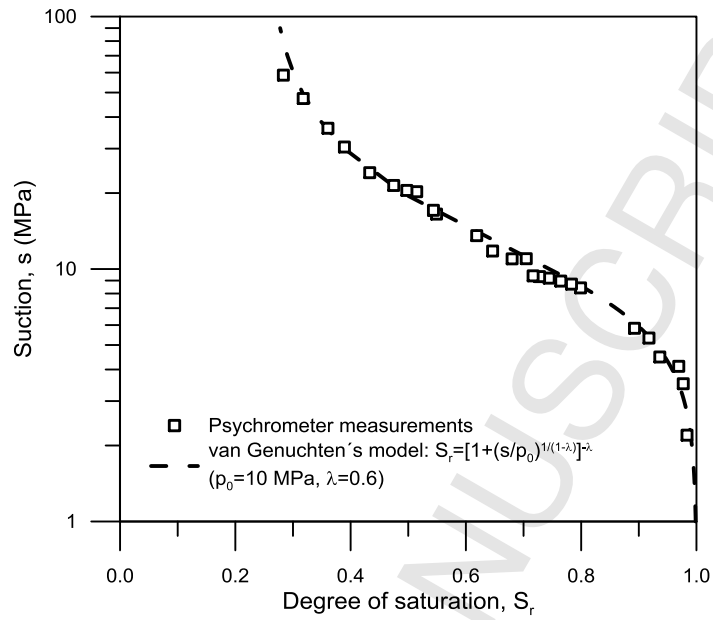
731 Table 5. Stages and pressure boundary conditions for the simulation of faster air
 732 injection test.

Time (min)	Stage	Boundary conditions
0-500	Replace water by air	Upstream air pressure increases up to 0.5 MPa Downstream pressure constant (0.5MPa)
500-504.87	Air injection ($r=100$ mL/min)	Upstream pressure increases from 0.5 to 4 MPa Downstream pressure constant (0.5MPa)
504.87-535.68	Air dissipation	Upstream boundary becomes impermeable Downstream pressure constant (0.5MPa)
535.68-541.68	Air dissipation	Upstream boundary remains impermeable Downstream pressure increases up to 1.8 MPa
541.68-2000	Air dissipation	Upstream boundary remains impermeable Downstream pressure constant (1.8 MPa)

733

734 **FIGURES:**

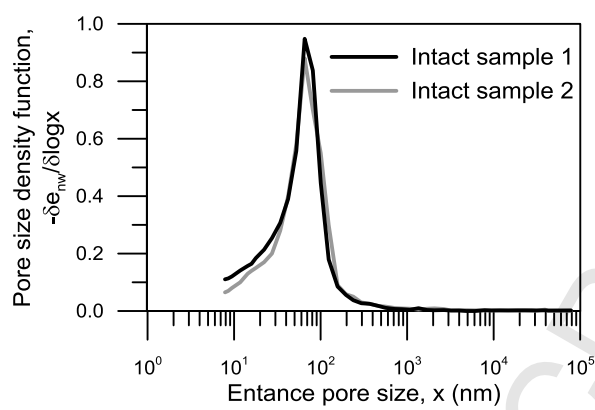
735 Figure 1. Drying branch of the water retention curve of intact Boom Clay.



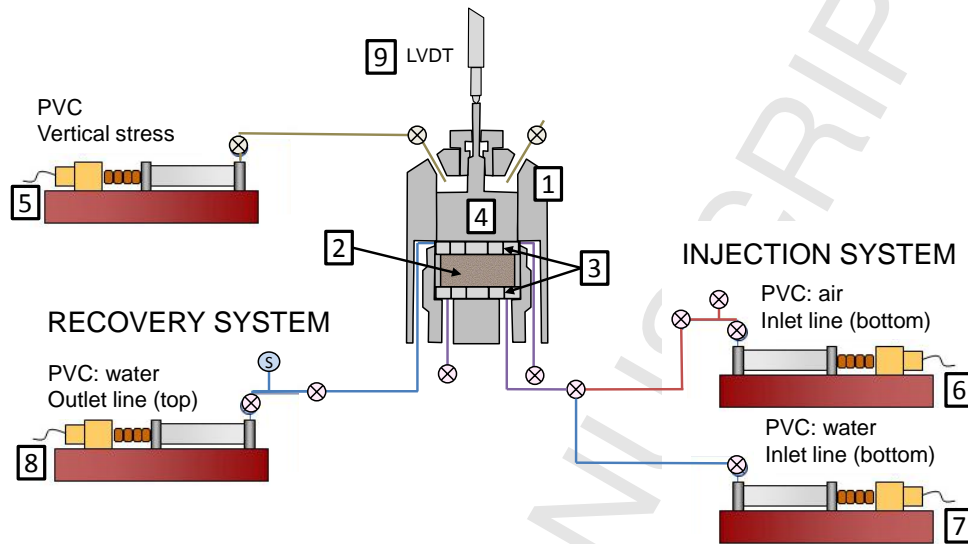
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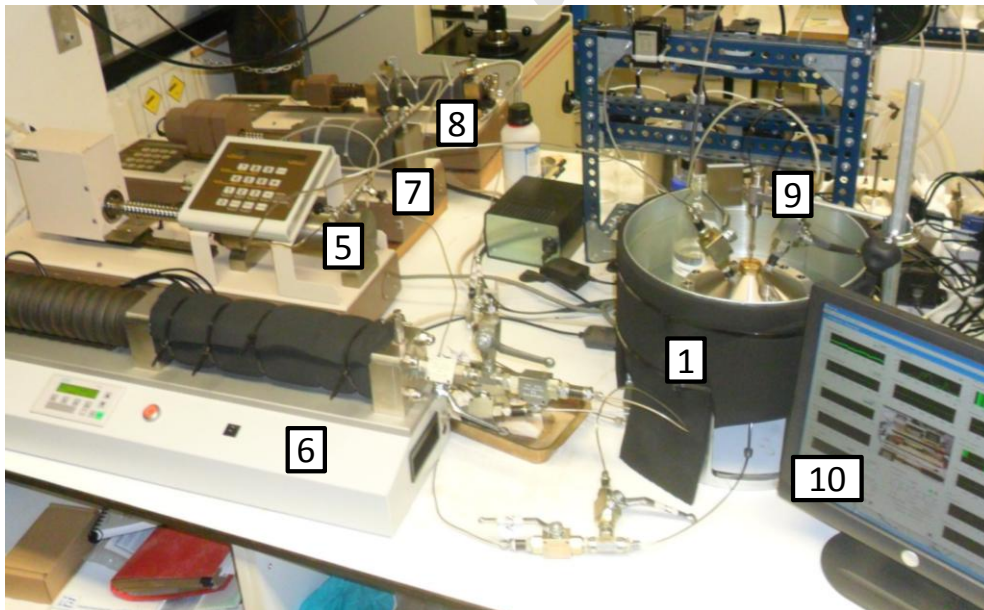
738 Figure 2. Pore size density function of intact Boom Clay samples.



741 Figure 3. Scheme and picture of the experimental set-up. 1) Oedometer cell; 2) sample;
 742 3) coarse porous concentric rings; 4) axial loading piston; 5) pressure/volume controller
 743 for vertical stress; 6) air pressure/volume controller; 7) and 8) water pressure/volume
 744 controllers; 9) LVDT; 10) acquisition system.



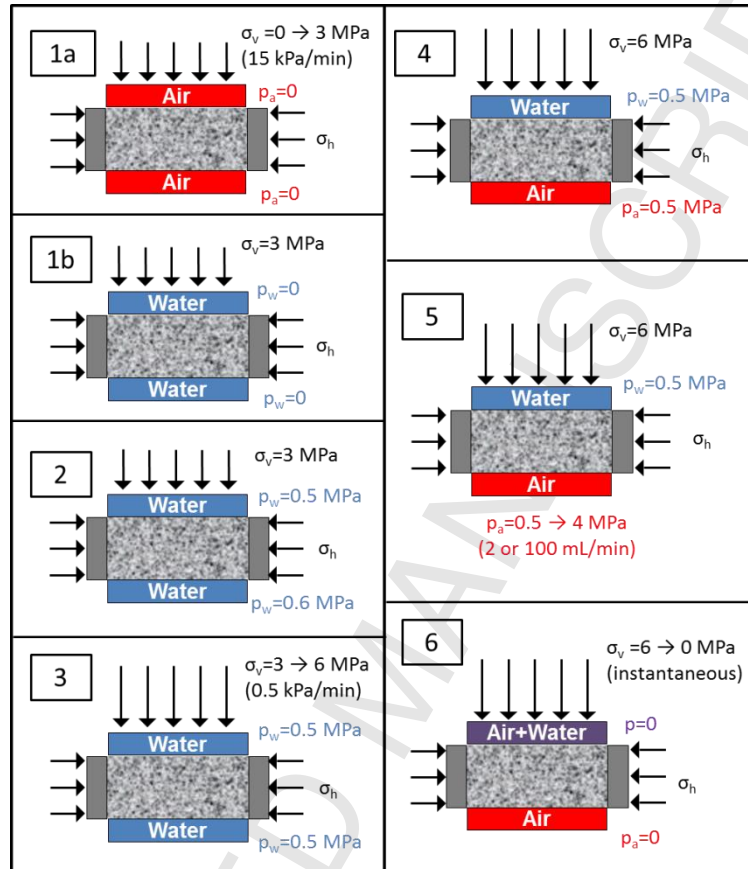
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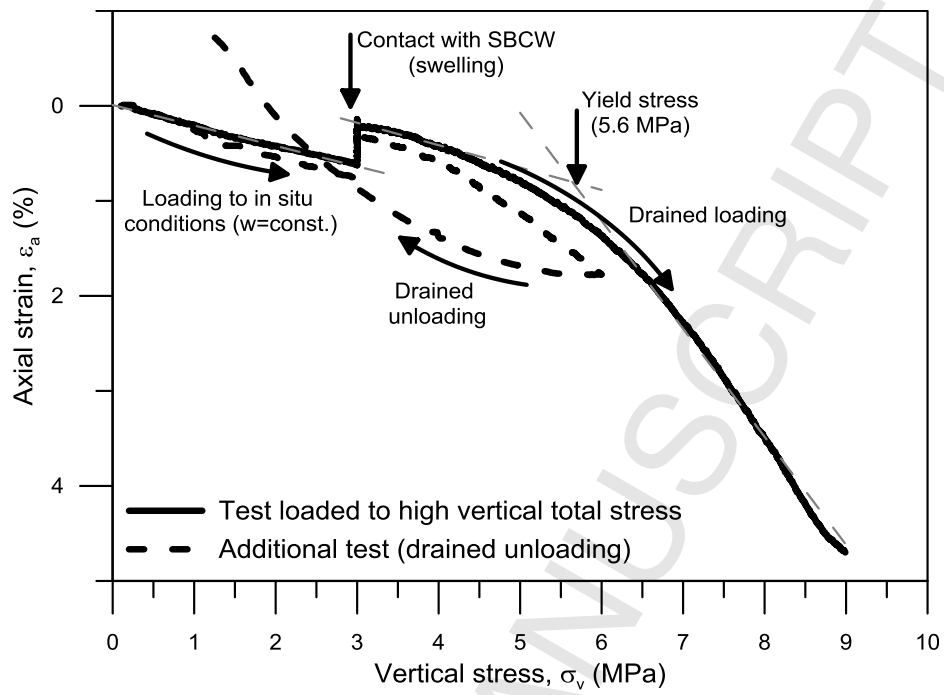
747

748 Figure 4. Scheme of test protocols followed: 1) Pre-conditioning path: a) undrained
 749 loading; b) contact with SBCW. 2) Water permeability determination. 3) Drained
 750 loading. 4) Fast replacement of water by air at the bottom cap. 5) Air injection and
 751 dissipation stages. 6) Undrained unloading.



752
 753

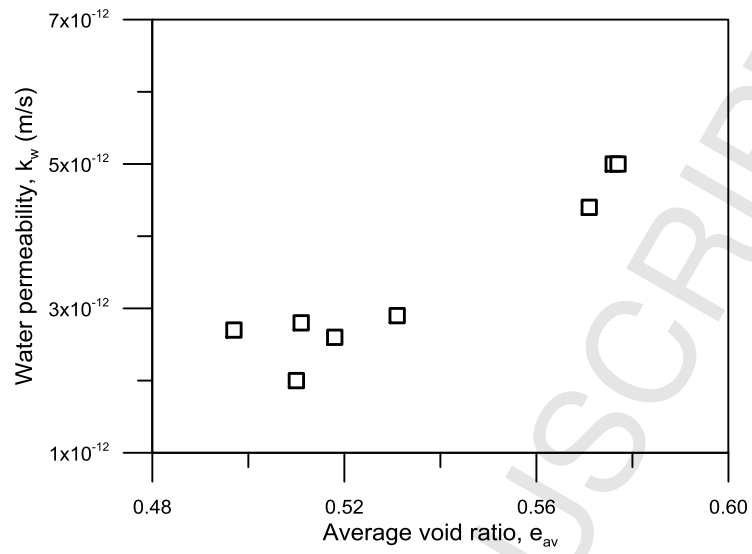
754 Figure 5. Compressibility curves including water undrained loading to in situ
755 conditions, contact with SBCW, and drained loading and unloading.



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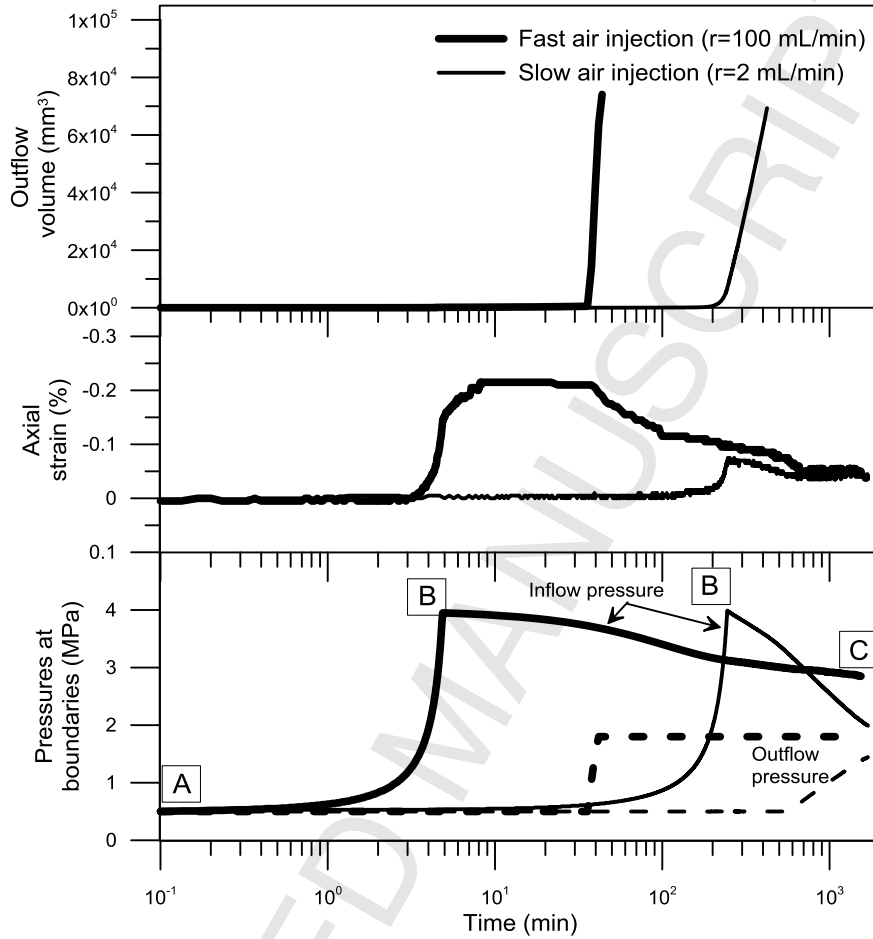
758 Figure 6. Water permeability as a function of the average void ratio for samples
759 orientated with bedding planes parallel to flow.



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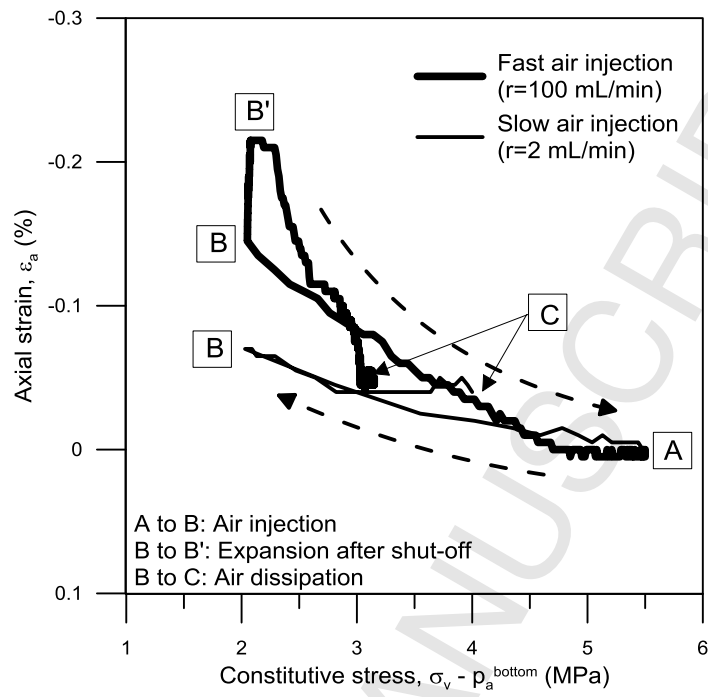
762 Figure 7. Time evolution of outflow volume, axial strain, and pressures at the injection
 763 (inflow) and recovery (outflow) boundaries, for the two injection rates. A to B: air
 764 injection stage; B: shut-off; B to C: dissipation stage.



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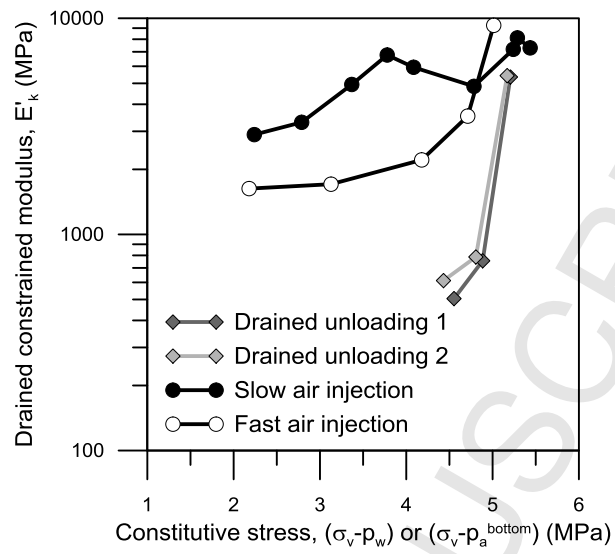
767 Figure 8. Axial strains against constitutive stress (vertical stress minus air pressure at
768 the upstream boundary) for tests at two injections rates.



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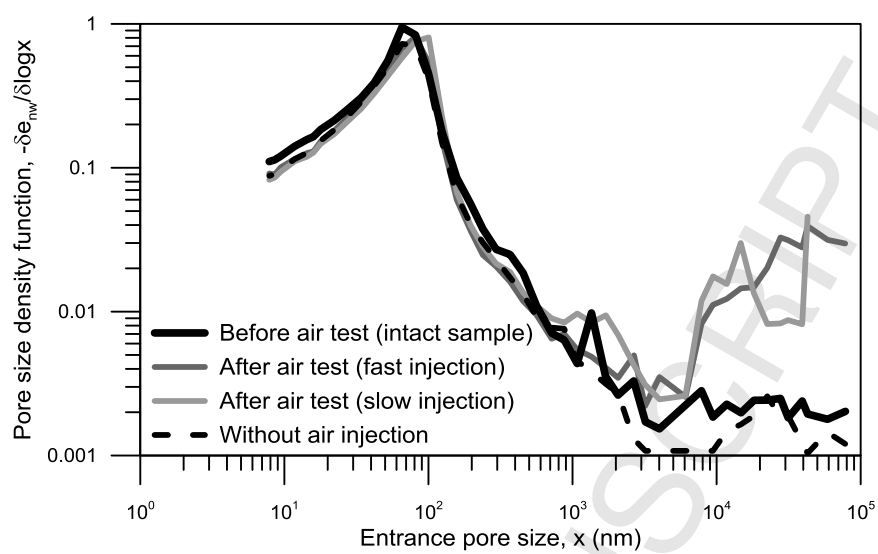
771 Figure 9. Drained constrained stiffness during the unloading paths and during the air
 772 injection stages under oedometer conditions.



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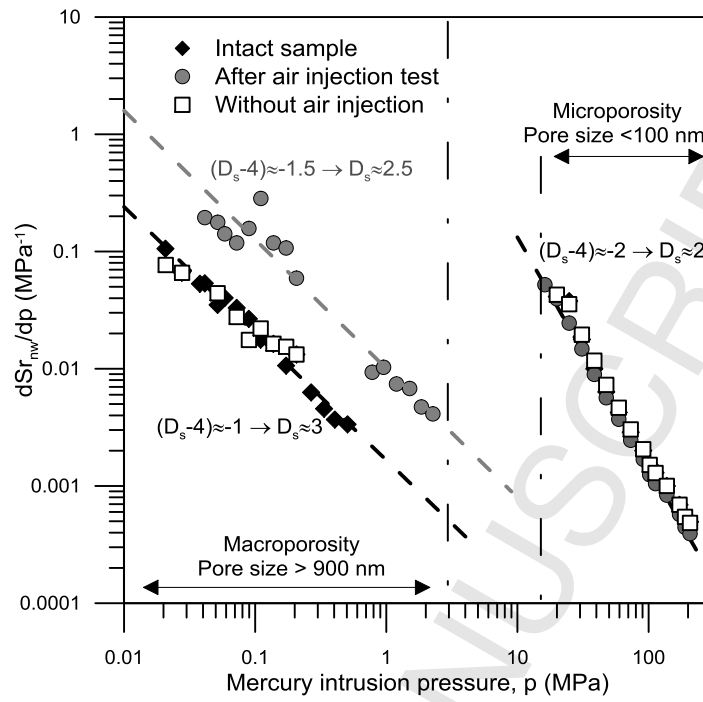
775 Figure 10. Pore size distribution changes before and after air injection tests.



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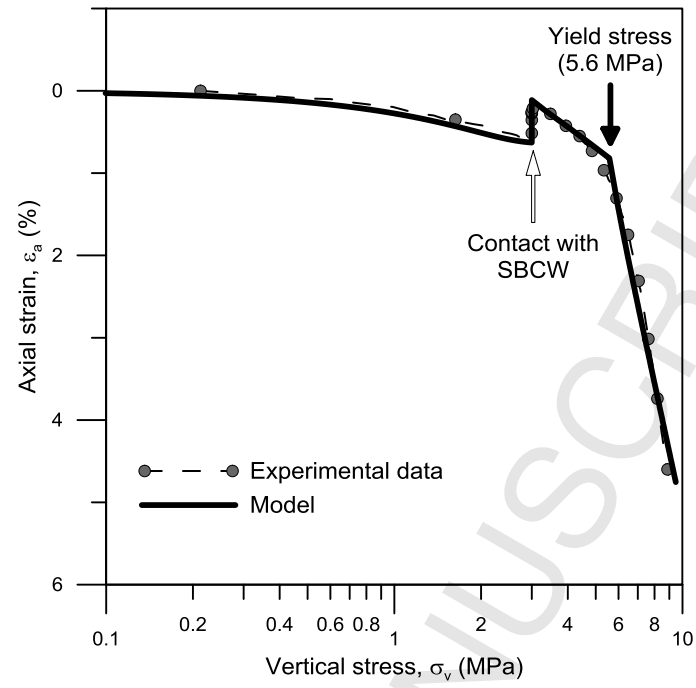
778 Figure 11. Fractal analysis of the pore network before and after air injection tests.



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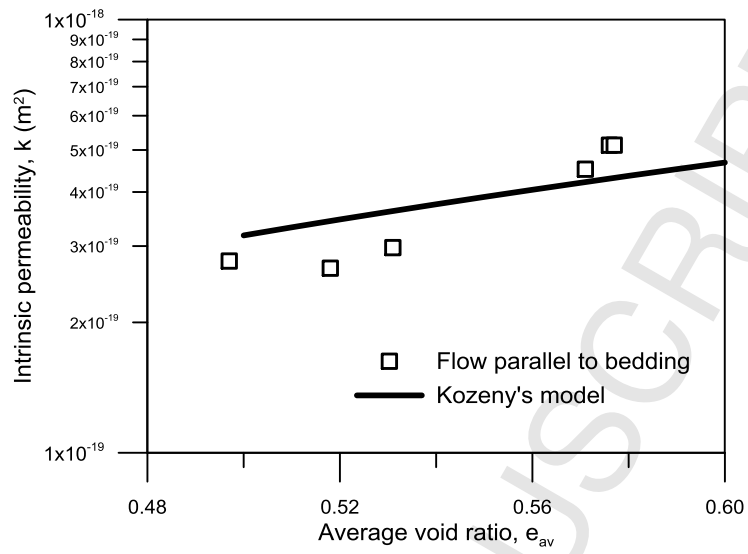
781 Figure 12. Computed versus measured axial strains during hydro-mechanical loading.



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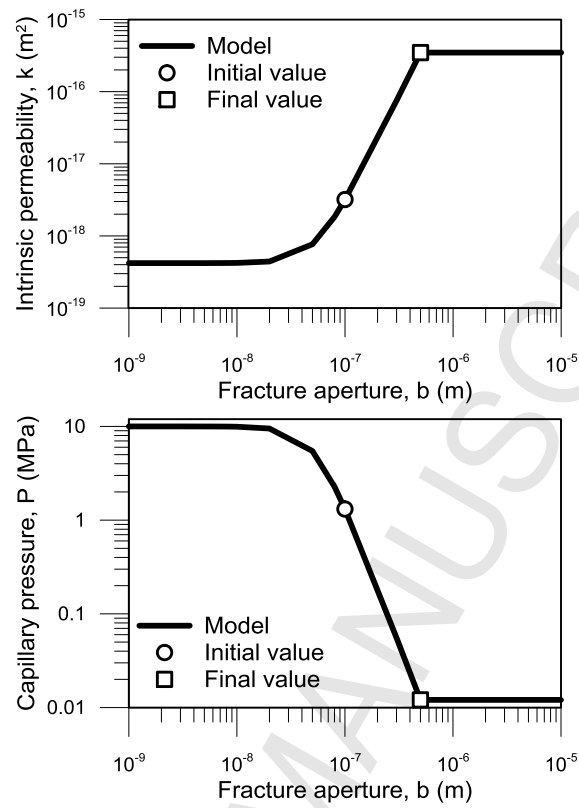
784 Figure 13. Intrinsic permeability changes with void ratio together with fitted Kozeny's
785 model.



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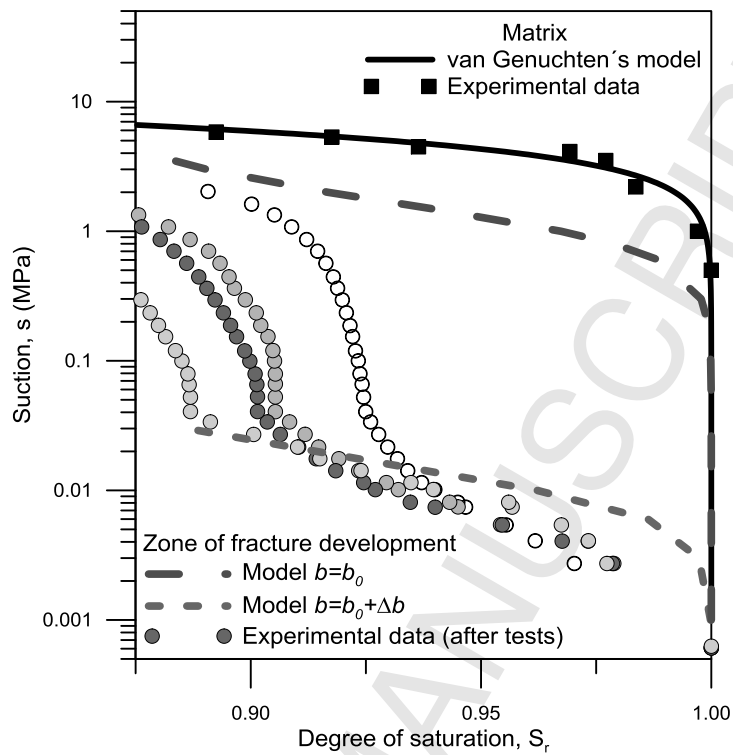
788 Figure 14. Theoretical variation of intrinsic permeability and capillary pressure in the
789 fracture. Initial and final values for the set of parameters indicated in Table 3.



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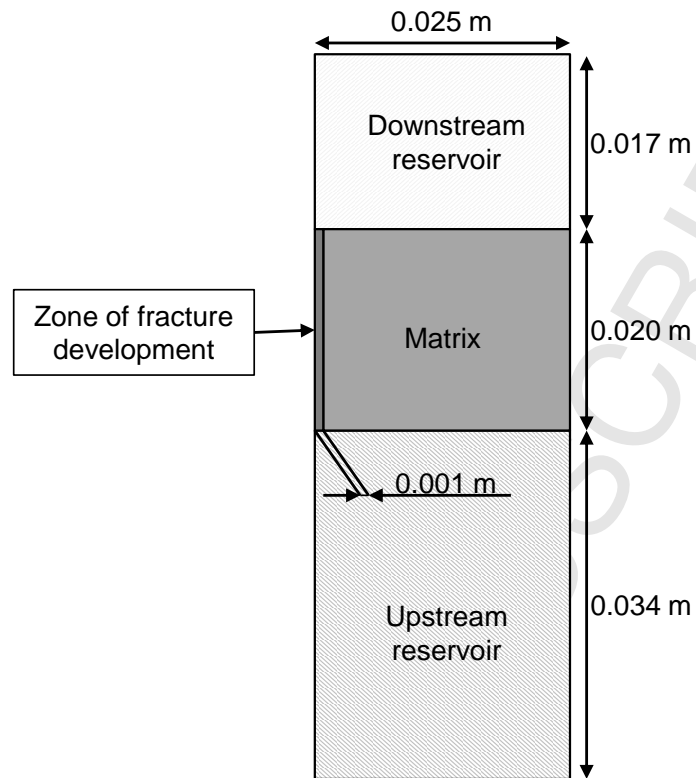
792 Figure 15. Experimental water retention curves before (matrix) and after (fracture) air
793 tests together with their modelling curves.



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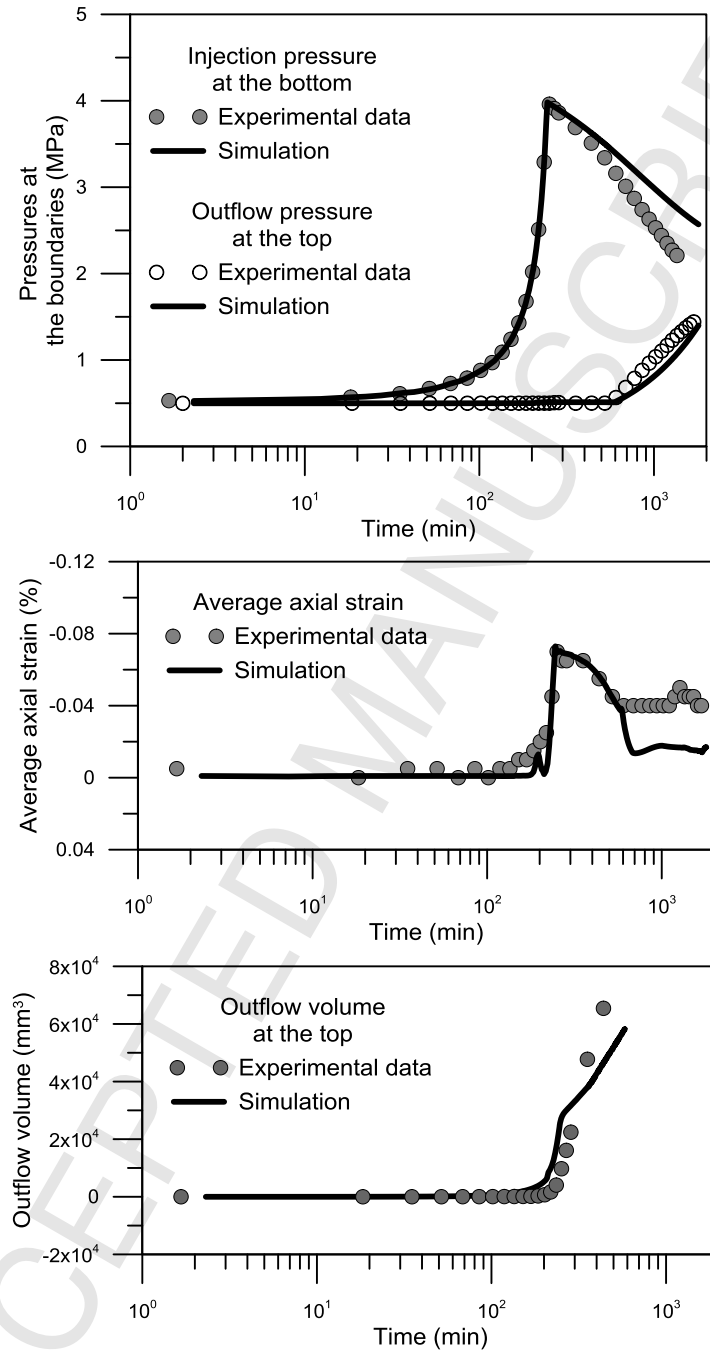
796 Figure 16. Materials and geometry for numerical simulation.



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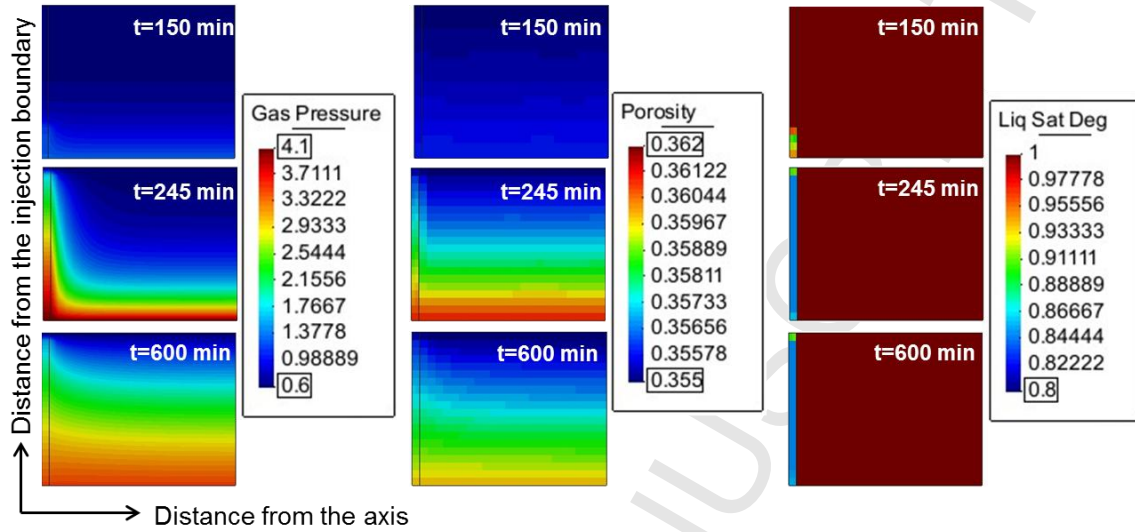
799 Figure 17. Computed versus measured in the ZFD (at 0.0005 mm from the axis): a)
800 injection and recovery pressures; b) average axial strains; c) outflow volumes. Slower
801 air injection test.



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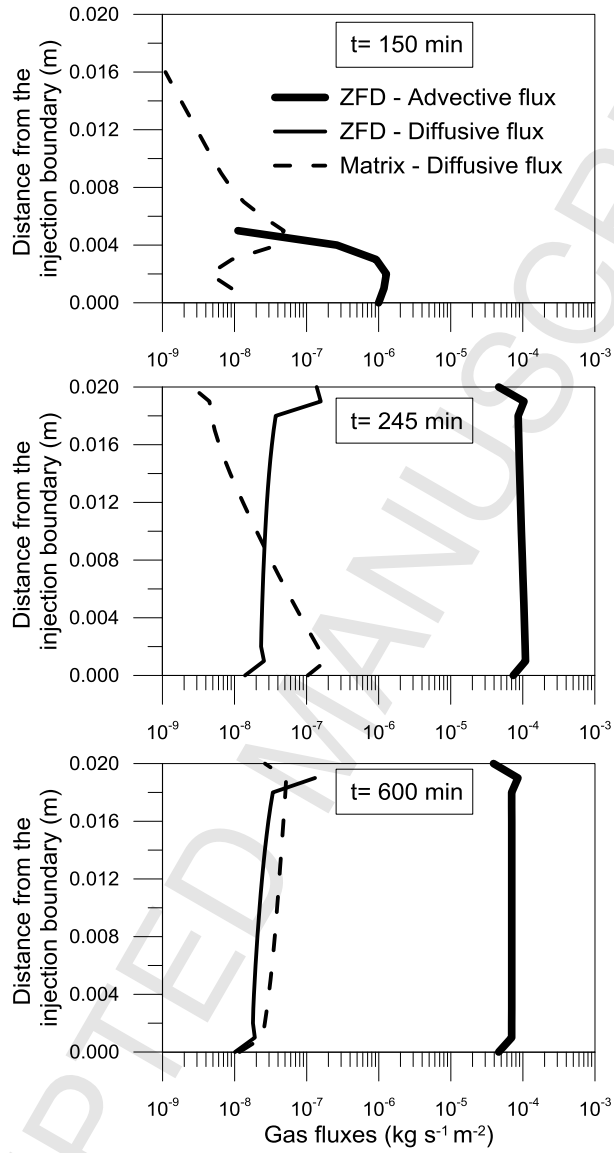
804 Figure 18. Simulated distribution of absolute gas pressure (left, in MPa), porosity
 805 (middle) and liquid degree of saturation (right) during the air injection ($t=150$ min), at
 806 shut-off ($t=245$ min) and during the dissipation ($t=600$ min).



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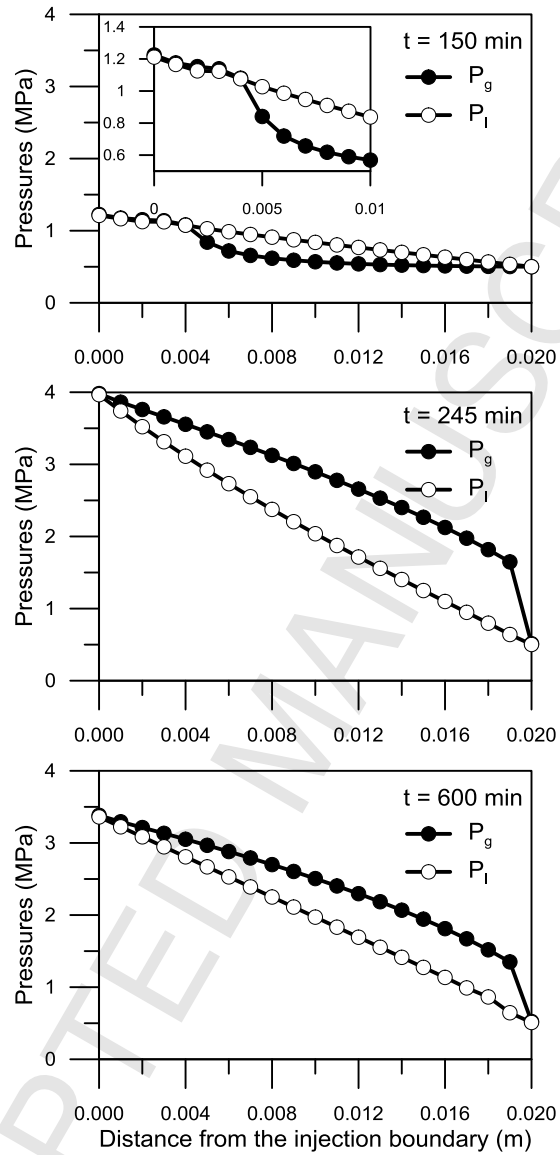
809 Figure 19. Diffusive and advective fluxes along the core height in the ZFD (at 0.0005
 810 mm from the axis) and in the matrix (at 0.0125 mm from the axis) at air injection (t=150
 811 min), shut-off (t=245 min) and dissipation (t=600 min) stages.



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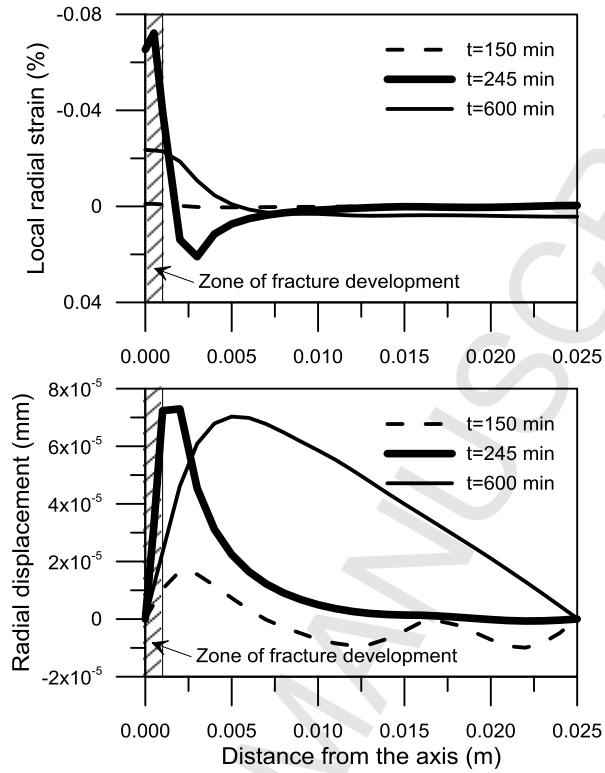
814 Figure 20. Simulated vertical profiles of gas pressure, P_g , and liquid pressure, P_l ,
815 throughout the core height in the ZFD (at 0.0005 mm from the axis) at air injection
816 (t=150 min), shut-off (t=245 min) and dissipation (t=600 min) stages.



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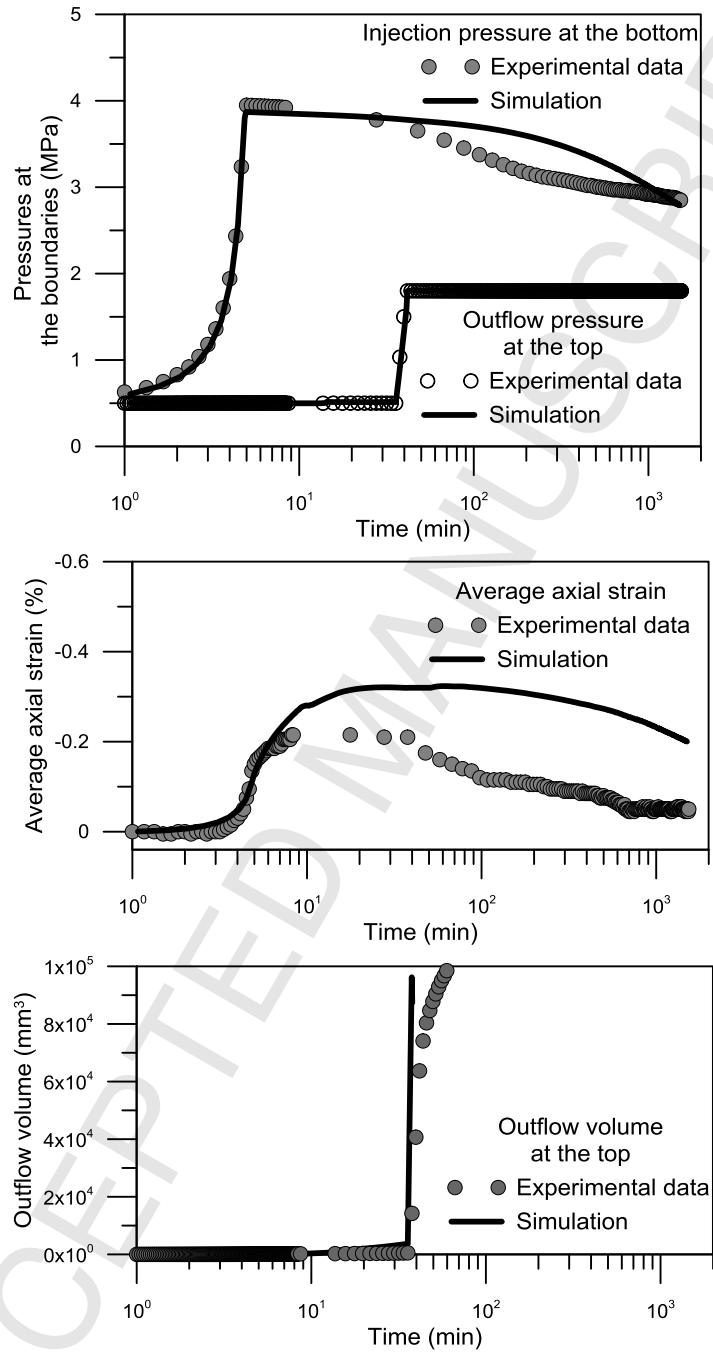
819 Figure 21. Computed local radial strains and radial displacements at the mid-height of
820 the sample as a function of the distance from the symmetry axis at air injection ($t=150$
821 min), shut-off ($t=245$ min) and dissipation ($t=600$ min) stages.



822

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824 Figure 22. Computed versus measured in the ZFD (at 0.0005 mm from the axis): a)
825 injection and recovery pressures; b) axial strains; c) outflow volumes. Faster air
826 injection test.



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Highlights:

- Volume changes due to gas injection and pressure release are measured
- Opening of preferential paths can occur even in a constrained setup (local strains)
- Gas transport rate depends on volumetric strains and preferential path opening
- Opening of fractures after gas injection is confirmed by MIP tests
- Aperture changes affected the intrinsic permeability and air-entry value